FOREFRONT REVIEW

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# Impact of the clinical use of ROCK inhibitor on the pathogenesis and treatment of glaucoma

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#### Abstract

Rho-associated protein kinase (ROCK), a ubiquitously expressed signaling messenger and downstream effector of Rho, is activated by several bioactive factors in the aqueous humor (AH). Rho-ROCK signaling regulates a wide spectrum of fundamental cellular events, including cell adhesion, motility, proliferation, differentiation, and apoptosis. Previous studies, including our own, found that ROCK inhibitor lowers intraocular pressure (IOP) via a direct effect on the conventional AH outflow pathway, by regulation of contractile properties, fibrotic activity, and permeability of the trabecular meshwork (TM) and Schlemm's canal (SC) tissues, influencing extracellular matrix (ECM) production. Recently, a novel ROCK inhibitor, ripasudil, has been introduced in Japan. Other ROCK inhibitors are now in clinical trials as new IOP-lowering drugs for glaucoma patients. To date, ripasudil, administered together with other glaucoma medications, has proved safe and efficient in lowering IOP as well as additional effects such as prostaglandin analogs, beta-blockers, and carbonic anhydrase inhibitors, all of which help lower IOP by different mechanisms. In addition, we found that long-term treatment with ripasudil exerted an additional IOP-lowering effect, especially in eyes with high IOP, suggesting that late-onset remodeling of the ECM in glaucomatous eyes may elicit mild and delayed changes in IOP levels. ROCK inhibitors have also shown several additional effects, including increased retinal blood flow, direct protection of neurons against various types of stress, and regulation of wound healing; these benefits may potentially be useful in glaucoma treatment.

**Keywords** Glaucoma  $\cdot$  Conventional outflow  $\cdot$  Intraocular pressure  $\cdot$  Rho-associated protein kinase (ROCK) inhibitor  $\cdot$  Trabecular meshwork

## Introduction

Glaucoma remains the leading cause of irreversible vision loss worldwide, and 3.9% of people aged 40 years or older are affected in Japan [1]. Taking all subtypes into consideration, the global prevalence of glaucoma in the population 40–80 years old is estimated to increase in the coming years from 76 million in 2020 to 111.8 million in 2040 [2].

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<sup>2</sup> Department of Ophthalmology, Faculty of Life Sciences, Kumamoto University, 1-1-1, Honjo, Kumamoto, Japan Patients with glaucoma suffer from irreversible progressive visual field loss due to deterioration of the optic nerve [3, 4]. Many risk factors are known to be involved, including elevated intraocular pressure (IOP), advanced age, family history, African ancestry, axial myopia, thin central corneal thickness, and low cerebrospinal fluid pressure [4]. Furthermore, glaucoma is known to be a multifactorial disease, with several factors, including retinal vascular autoregulation, oxidative stress and free radical formation, alterations in local cytokines, retinal ganglion cell (RGC) glutamate stimulation, aberrant local immunity, and irregular optic nerve perfusion pressure, having been implicated in its pathogenesis [3, 5]. At present, however, elevated IOP is the major and only clinically modifiable risk factor in glaucoma prevention, and is the sole target of current glaucoma treatment. Elevated IOP in the anterior chamber of the eye damages optic nerve axons and leads to RGC death, which eventually results in impairment of vision in glaucoma patients [6, 7].

IOP is determined from the balance between aqueous humor (AH) production and outflow. AH is produced by and released from the ciliary body and flows from the posterior to the anterior chamber via a pressure-dependent gradient. It then drains through the conventional pathway via the trabecular meshwork (TM) and uveoscleral pathway through the ciliary body to the suprachoroidal space (Fig. 1) [8, 9]. The major drainage pathway for AH from the anterior chamber is the pressure-dependent conventional outflow pathway, which carries 80% of total AH out of the eye [10]. Under normal physiological conditions, the TM route accounts for up to 90% of aqueous outflow. IOP elevation is hypothesized to be derived from the increased outflow resistance mainly in the conventional pathway. In the most common type of glaucoma, primary open-angle glaucoma (POAG), increased outflow resistance occurs mainly in the juxtacanalicular tissue (JCT), the portion closest to Schlemm's canal (SC), and in the endothelial-lined SC [11, 12]. A number of medications, such as prostaglandin analogs (PGs),  $\beta$ -blockers, carbonic anhydrase inhibitors (CAIs), and  $\alpha$ 2-agonists, are used to lower IOP levels in glaucomatous eyes; however, these affect the uveoscleral pathway or AH production as opposed to the conventional pathway.

Recently a new class of antiglaucoma medications, Rhoassociated protein kinase (ROCK) inhibitors, have been shown to reduce IOP in animal and human eyes. ROCK inhibitors directly modulate the target site in AH outflow by



Schema showing the two aqueous outflow pathways. Pathway (1) is the conventional path through the TM and SC to the episcleral vein. Pathway (2) is the uveoscleral pathway, in which aqueous travels through the iris root and ciliary muscle to the choroidal circulation or orbital tissues.

**Fig. 1** Schematic drawing showing the two AH outflow pathways. Pathway (1) is the conventional path through the TM and SC to the episcleral vein. Pathway (2) is the uveoscleral pathway, in which AH travels through the iris root and ciliary muscle to the choroidal space. TM, trabecular meshwork; SC, Schlemm's canal; AH, aqueous humor

decreasing resistance in the conventional outflow by relaxation of TM cells and TM tissue; this changes the behavior of SC endothelial cells and alters the production and contraction of the extracellular matrix (ECM) [13–18]. These IOPlowering mechanisms are different from other antiglaucoma medications that modulate unconventional outflow (PGs and  $\alpha$ 2-agonists) or inhibit AH formation ( $\beta$ -blockers, CAIs, and  $\alpha$ 2-agonists).

In the AH of glaucomatous eyes, elevated levels of several bioactive factors, including transforming growth factorbeta (TGF- $\beta$ ), endothelin-1, connective tissue growth factor (CTGF), myocilin, and several other cytokines are proposed as physiological cues to influence TM tissue properties and cellular responses in the context of AH outflow resistance and elevation of IOP via different intracellular signaling pathways, including Rho/ROCK signaling, Wnt, integrins, PKC, BMPs/SMADs, MAP kinases, and others [10, 19-27]. Cellular responses in the conventional pathway, such as regulation of contractile properties of TM cells, ECM turnover, adhesive interactions, permeability, and survival of outflow pathway tissues and cells, are reported to be involved in the modulation of outflow resistance [13, 23, 26]. It is also believed that changes in stiffness and metabolic activity of TM tissues due to altered cellular contraction and oxidative damage are associated with increased resistance to AH outflow and elevated IOP [11].

In this review, we focus on describing the Rho/ROCK signaling pathway and its role in TM and SC cellular physiology, regulation of AH outflow, and IOP and the development of ROCK inhibitors as glaucoma medication.

# Rho GTPase and Rho kinase (ROCK) signaling pathway

Rho GTPases are a family within the Ras superfamily of monomeric small GTP-binding proteins, including Rho (RhoA, RhoB, RhoC), Rac, and CDC42, which act as molecular switches that cycle between a GTP-bound active and a GDP-bound inactive conformation (Fig. 2). This cycling between bound GDP and GTP is regulated by guanine nucleotide exchange factors (GEFs), GTPase activating proteins (GAPs), and guanine nucleotide dissociation inhibitors (GDIs) [28]. The activities of GEFs and GAPs are regulated via various receptors in the plasma membrane (Fig. 2) [29, 30]. Rho is activated either by stimulation of secreted bioactive molecule receptors (e.g., endothelin-1, thrombin, angiotensin II, lysophosphatidic acid, TGF-β, and cytokines) or via integrin activation after binding with the ECM (Fig. 2). RhoA acts as a direct downstream target for several G protein-coupled receptors that mediate fundamental cellular processes, including receptors for angiotensin II, platelet-derived growth factor and serotonin [31].



**Fig. 2** Schematic diagram of the role of Rho-ROCK signaling in regulation of actin cytoskeletal organization, and the effects on IOP, filtering blebs, RGCs, and the optic nerve. Agonists, Angioten II, Growth factors, lipid mediator, Thrombin, Endothelin-1 et al.; ROCK, Rho kinase; MYPT1, myosin phosphatase target subunit 1; MLC, myosin light chain; P-MLC, phosphorylation of MLC; LIMK1/2, LIM kinase1/2; P-Cofilin, phosphorylation of cofilin; TM, trabecular meshwork; ECM, extracellular matrix; JCT, juxtacanalicular tissue; TF, tenon fibroblast; IOP, intraocular pressure; RGC, retinal ganglion cell

ROCK is a serine/threonine protein kinase with a molecular weight of ~160 kDa [32], activated by RhoA. When activated by GTP-bound RhoA, ROCK phosphorylates and activates various intracellular molecules, including myosin light chain (MLC) phosphatase, LIM-kinase (LIMK), CPI-17, calponin, and the ERM (ezrin, radixin, and moesin) proteins, which polymerize actin stress fibers, forming focal adhesions (Fig. 2) [31, 33–37]. Through these interactions, Rho-ROCK signaling regulates actin cytoskeletal dynamics, actomyosin contraction, cell adhesion, cell stiffness, cell morphology, and ECM reorganization (Fig. 2). While cellular contraction can be regulated via both calciumdependent and calcium-independent mechanisms involving myosin light chain kinase (MLCK) and myosin phosphatase, respectively, ROCK regulates cellular contraction in smooth muscle tissues mainly through modulating myosin II activity in a calcium-independent manner [34, 38, 39].

There are two isoforms of Rho kinase, ROCK1 and ROCK2, which are nearly 65% identical in their overall

amino acid sequences and 92% of their kinase domains and are reported to share many cellular functions [38, 40]. A study in gene-targeted mouse models indicates several functional differences between ROCK1 and ROCK2 in aspects of cellular physiology [33, 41]. While both isoforms are known to be ubiquitously expressed in tissues throughout the body, it is reported previously that both ROCK1 and ROCK2 were expressed in most eye tissues studied, except the lens [42]. Both ROCK1 and ROCK2 null mice exhibited lower basal IOP, suggesting that they play important roles in IOP regulation [43]. Due to the involvement of ROCK in basic cellular processes and its ubiquitous distribution, it has long been considered a poor therapeutic target due to the potential for numerous off-target effects. In ophthalmology, ROCK inhibitors are applied topically to minimize undesirable off-target adverse effects. In contrast, systemic ROCK inhibitor treatment may result in an excessive vasodepressor response. However, there is also accumulating evidence that the dysregulation of Rho-ROCK signaling is associated with various diseases, including cardiovascular, metabolic, and neurodegenerative diseases as well as cancer. Therefore, ROCK inhibitors are promising therapeutic agents for the treatment of a wide range of diseases [31, 33, 44-47].

### Role of Rho-ROCK signaling in the conventional AH outflow pathway and regulation of IOP

Several studies indicate that cytoskeletal agents that act directly on the cytoskeleton (e.g., cytochalasins, ethacrynic acid (ECA), and latrunculin B) and the contractile properties of the TM influence AH outflow and lower IOP [25, 48–52]. It is also reported that the broad specificity kinase inhibitors, such as staurosporine, ML-7, and H-7, alter the contractile properties of TM and AH outflow [52, 53]. The inhibitor H-7 is believed to target protein kinase C (PKC), MLCK, and ROCK to induce cell relaxation.

These pioneering studies prompted us to investigate the possible IOP-lowering effects of specific ROCK inhibitors. We first reported that topical application and intracameral injection of the specific ROCK inhibitor Y-27632, significantly lowered IOP and induced relaxation of ciliary muscle in rabbit eyes (Fig. 3a) [13]; in that study, we found that Y-27632 modulated cytoskeletal changes in TM cells, increased conventional outflow through the TM, and relaxed ciliary muscle contraction. Rao et al. [54] and Waki et al. [55] also report that Y-27632 increased outflow facility of porcine and rabbit eyes.

Other ROCK inhibitors, including Y-39983, fasudil (HA-1077), H1152, and SR-367, were subsequently reported to show IOP-lowering effects [56–60].



**Fig. 3** IOP-lowering effect of ROCK inhibitors in rabbit eyes and human eyes. (a) Effects of topical Y-27632 on IOP in rabbit eyes. Contralateral eyes were treated with the same volume of vehicle (phosphate-buffered saline). The results are presented as means  $\pm$  SEM (n = 6). The significance of differences from controls (vehicle alone) was evaluated using Student's unpaired t tests (\*P < 0.05, \*\*P < 0.01, †P < 0.005). (b) Levels of IOP after single instillation of SNJ-1656. Levels of IOP decreased after instillation but were restored by 24 hours after instillation. Values are represented as mean  $\pm$  SD (SNJ-1656 group, 12 eyes in 6 subjects; placebo group, 30 eyes in 15 subjects). The significance of findings was evaluated by the Dunnett test (2-sided). \*P  $\leq$  .05 compared with the placebo group. †P  $\leq$  .01 compared with the placebo group. Figures modified from (a) Honjo *et al.* [13] and (b) Tanihara et al. [84], respectively

We first reported that topical application of the IOPlowering effects of these ROCK inhibitors are mediated by a novel mechanism that is unique and different from other classes of glaucoma drugs, increasing AH outflow, by acting directly on TM cells and SC cells responsible for increased outflow resistance in nearly all types of open-angle glaucoma.

A great deal of work involving many laboratories has gone into exploring the role of Rho-ROCK signaling in the regulation of TM cells, SC cells, and AH outflow, and in trying to determine how ROCK inhibitors lower IOP. These studies indicate that the mechanisms regulating AH outflow and IOP are heavily dependent on physiological changes in the cytoskeleton and ECM, affected by bioactive molecules in the AH.

ROCK inhibition in TM cells was shown to induce doseand time-dependent reversible changes in cell shape in association with decreased actin stress fibers, focal adhesions, and cell–cell interactions [13]. As Rho-ROCK signaling regulates smooth muscle contractility and actomyosin organization in a calcium-independent manner [34, 38, 39] and the TM cells and tissue are known to possess smooth muscle-like properties and to express  $\alpha$ -smooth muscle actin ( $\alpha$ SMA) [25, 61], application of ROCK inhibitors caused a reduction in MLC phosphorylation in TM cells and TM tissue. The cellular changes were confirmed to be closely regulated by non-muscle myosin II activity via inhibition of phosphorylation of MLC and myosin phosphatase [55, 62].

The permeability of SC endothelial cells is also suggested to play an important role in the regulation of aqueous outflow [16]. After perfusion with cytoskeletal drugs, breaks have been found in the endothelial lining of the SC and aqueous plexus [63-65], and SC endothelial cells had transcellular pores accompanied by giant vacuoles [16, 66]. ROCK inhibition affects the integrity of intercellular junctions, including the adherens and tight junctions, thereby influencing the permeability barrier of the inner wall of the SC; it also affects cell shape, actin cytoskeleton, and cell adhesive interactions, similar to those observed in TM cells [14, 16, 55, 67, 68]. These reactions were confirmed in a series of experiments to assess the effects of ROCK inhibitors on AH outflow both in enucleated eyes and in live animals. A dose-dependent increase in AH outflow primarily through the conventional pathway was observed following treatment with ROCK inhibitors; this response was associated with TM tissue relaxation, increases in giant vacuoles in the SC inner wall, expansion of JCT, widening of SC, and wash-out of the ECM in the conventional pathway [13, 16, 55, 69].

In addition, it is reported that inhibition of ROCK reduces cell tension and stiffness, regulates fibrogenic activity, and decreases ECM synthesis and rigidity in TM, SC, and JCT [70, 71]. Fujimoto et al. report that Y-27632 suppressed dexamethasone-induced cytoskeletal changes and enhanced SC cell permeability, which suggests the possible involvement of Rho-ROCK signaling in steroidinduced glaucoma [15]. The involvement of Rho-ROCK signaling in the modulation of outflow resistance in the conventional pathway was confirmed by several series of experiments using gene transfer, in which the expression of dominant negative ROCK in TM cells decreased actin stress fibers, MLC phosphorylation, and focal adhesions [72–74], or expression of constitutively active RhoA GTPase (RhoAV14) in organ-cultured eyes. In an in vivo rat model, it induced an increase in TM contractile activity, a decrease in AH outflow facility, and elevated the IOP and fibrogenic activity of TM [71, 75]. Moreover, we recently reported that in glaucoma patients there were notable increases of aqueous lysophosphatidic acid (LPA) levels [76], and that in conventional outflow pathway specimens from glaucoma patient there was significant expression of autotaxin (ATX) and a generating enzyme of LPA [77]. LPA is known as a strong lipid mediator that induces many kinds of cellular responses including Rho GTPase-regulated cellular interaction. In the study, we demonstrated that the ATX expression was upregulated by dexamethasone treatment in human TM cells, and fibrotic response was also induced in TM cells by dexamethasone possibly by the de novo production of LPA by ATX. The fibrotic response was significantly suppressed by a ROCK inhibitor, Y-27632 (Fig. 4) [77].

As described above, AH derived from glaucoma patients contains elevated levels of various bioactive factors [10, 19–26, 76], and ROCK inhibitors are shown to suppress TGF- $\beta$ 2-, LPA-, CTGF-, and RhoA-induced increases in fibrogenic activity in TM cells and transdifferentiation into myofibroblast-like cells [70, 77]. These observations suggest that Rho-ROCK signaling plays a central role in the pathophysiology underlying the initiation of glaucomatous changes in the conventional pathway by interacting with other key regulatory molecules and cellular pathways.

Pilocarpine is another glaucoma drug that lowers IOP by increasing conventional outflow. Pilocarpine is known to



50µm

Fig. 4 The effects of ATX inhibition on dexamethasone or TGF- $\beta$ 2induced fibrotic responses in human trabecular meshwork cells. The effects of S32826, ATX inhibitor, or Y-27632 in HTM cells treated with 100nM dexamethasone (Dex) or 5 ng/mL TGF $\beta$ 2. Immunostaining for  $\alpha$ SMA (red, upper panels), fibronectin (FN, green), and collagen-1 (Col, red) (lower panels) was performed. Cell nuclei were counterstained with DAPI (blue). Bars, 100 µm and 50 µm for upper and lower panels, respectively. Figure modified from Honjo *et al.* [77]

widen the spaces of the TM, thereby decreasing flow resistance and increasing AH outflow by ciliary muscle contraction [78]. Ciliary muscle contraction is mediated mostly in a calcium-dependent manner, but TM contraction is reported to involve both calcium-dependent and calcium-independent pathways [25]. High levels of mRNAs for ROCK and ROCK substrates are reported in the TM in comparison with ciliary muscle [79], and ROCK inhibitors are shown to affect TM cells through a calcium-independent pathway, which is not prominent in ciliary muscle cells. In addition, Inoue et al. report faster and more potent effects of Y-27632 in TM cells than in ciliary muscle cells, suggesting a difference in ROCK pharmacological affinity for TM and ciliary muscle cells [18, 80]. Taken together, these observations indicate that although pilocarpine and ROCK inhibitors both increase conventional outflow, they do it by different mechanisms and with different pharmacological affinity to the target tissue. Still, the interactions between these two classes of drugs should be explored further in future studies.

There are active investigations of the mechanisms and changes in tissues affected by ROCK inhibitors using new techniques, such as anterior high-resolution spectral domain optical coherence tomography (SD-OCT) [81]. A relatively new ROCK inhibitor currently in clinical development, netarsudil (formerly AR-13324), which has been shown to decrease IOP in monkeys by increasing trabecular AH outflow facility [27], has also been shown to decrease episcleral venous pressure in rabbits [82]. Using OCT, Li et al. report that netarsudil treatment widened the TM and significantly increased the SC cross-sectional area [83]. Because netarsudil also exhibits norepinephrine transport inhibitory activity, it is unclear whether the reduction of episcleral venous pressure or widening of the SC is due to inhibition of ROCK [27]; however, an investigation of the modulation of episcleral venous pressure distal to the SC may provide novel possibilities for glaucoma therapy.

# ROCK inhibitors as glaucoma medications in clinical use

Several clinical trials of ROCK inhibitors are underway with a view toward their approval for clinical use. We first reported that twice-daily use of the topical ROCK inhibitor, Y-39983 (SNJ-1656), markedly lowered IOP in healthy human volunteers (Fig. 3b) [84]. Several ROCK inhibitors have been evaluated for clinical safety and efficacy in human subjects, including ripasudil (K-115), fasudil, AMA0076, AR-12286, and netarsudil (AR-13324), all of which showed ocular hypotensive effects [60, 85–91]. In 2014, ripasudil was the first clinically available ROCK inhibitor approved for the treatment of ocular hypotension (OHT) and glaucoma in Japan [90–92].

It has been over 3 years since the approval of ripasudil, and clinical experience with this drug has been accumulating. Although the number of patients has been small and analyses were performed retrospectively, several groups have used ripasudil as an adjunctive therapy and report significant IOP-lowering effects in POAG and normal tension glaucoma (NTG), even in patients receiving other medical therapy at the maximum tolerated doses [93, 94].

Treating glaucoma subtypes other than POAG, NTG, and OHT, Matsumura et al. report the IOP-lowering effects of ripasudil as a second-line drug in addition to prostaglandin analogs, with a follow-up period of at least 5 months in 27 eyes of 19 exfoliation glaucoma patients [95]. Ripasudil significantly lowered IOP in patients with exfoliation glaucoma, and the effect increased over time within 5 months, the mean average IOP level prior to commencement of ripasudil was 16.2, mmHg, after 1-2 months it was 14.7 mmHg, and after 5-6 months it was and 13.1 mmHg. Sato et al. also report IOP-lowering effects of ripasudil as an additive treatment in secondary glaucoma, exfoliation glaucoma, and developmental glaucoma, as the mean preadministration IOP and %IOP reduction at the last follow-up were  $22.8 \pm 8.3$  mmHg and  $19.1\% \pm 13.5\%$  for secondary glaucoma,  $22.5 \pm 4.4$ mmHg and  $2.1\% \pm 14.5\%$  for exfoliation glaucoma, and  $20.2 \pm 8.9$  mmHg and  $11.4\% \pm 23.1\%$  for developmental glaucoma [96].

These recent clinical reports indicate no cases of severe drug-related systemic or ocular adverse events. As reported in previous clinical studies [90, 91], one of the major ocular adverse reaction of topical administration of 0.4% ripasudil is conjunctival hyperemia, which was consistently reported to peak rapidly to moderate severity after instillation, but subsided relatively quickly [97]. As ROCK inhibitors can increase blood flow by inhibiting calcium sensitization and relaxing vascular smooth muscle [39], vasodilation of conjunctival vessels would manifest as conjunctival hyperemia [84]. This cosmetic side effect has been a concern since long before approval of the drug, as it would likely reduce adherence or satisfaction in glaucoma patients. However, it has not been a major problem. For example, Sato et al. report that although several ocular side effects, i.e., allergic reaction, blepharitis, or a burning sensation, led to discontinuation of ripasudil in some patients, this was unlikely to be related to conjunctival hyperemia. Transient morphological changes in corneal endothelial cells were detected in healthy subjects after ripasudil administration by noncontact specular microscopy; however, these morphological changes were reversible, and corneal endothelial cell morphology returned to normal prior to the next administration [98]. As ROCK inhibitors are completely different from other glaucoma medications and represent a new class of glaucoma treatment, further studies are needed to clarify the long-term safety and adverse events of these drugs.

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In a recently published prospective clinical study assessing the IOP-lowering effects and safety of ripasudil in a large cohort with a longer follow-up period, a 52-week administration of 0.4% ripasudil successfully lowered IOP when used as both monotherapy and additive therapy [99]. A multicenter prospective study of 354 patients with POAG or OHT was conducted, in which patients were divided into a ripasudil monotherapy group or a group receiving additive therapy with prostaglandin analogs, beta-blockers, or fixed combination drugs. The mean IOP reductions at trough and peak at the conclusion of the study were -2.5 and -3.7mmHg for monotherapy and -1.4 and -2.4, -2.2 and -3.0, and -1.7 and -1.7 mmHg for additive therapy with prostaglandin analogs, beta-blockers, and fixed combination drugs, respectively [99]. The frequency of adverse drug reactions was relatively high, affecting 301 of 354 (85.0%) patients, with the most frequent drug-related adverse events being conjunctival hyperemia (74.6%), blepharitis (20.2%), and allergic conjunctivitis (15.0%). Despite the high incidence, the majority of adverse effects were mild and transient, and frequently resolved spontaneously, consistent with other reports.

In previous phase III clinical trials, ripasudil showed additive effects on IOP reduction to latanoprost and timolol after 8 weeks of treatment [100]. This recent clinical study supported and expanded the findings related to the additive effects of ripasudil on IOP reduction to other prostaglandin analogs, beta-blockers, and fixed combination drugs over a longer treatment period. In addition, the study also confirmed the effectiveness of ripasudil for IOP reduction, even in monotherapy, compared with current first-line antiglaucoma drugs [99], adding to previous findings of the IOP-lowering effects of the drug compared to second-line medications, such as CAIs and brimonidine [101, 102].

Despite accumulating knowledge regarding the IOP-lowering effects of ripasudil, the long-term efficacy and safety of ROCK inhibitors have yet to be fully asserted. Therefore, we conducted additional analysis by conducting a clinical study with a 52-week treatment period to better characterize the IOP response of twice-daily topical administration of 0.4% ripasudil.

Additional IOP-lowering effects of ripasudil observed after long-term topical administration: insights from a prospective, open-label, 52-week clinical study.

The study design and main results regarding efficacy and safety are described above [97, 98]. Changes in IOP in the first half (4–28 weeks) and the second half (32–52 weeks) of the study period were calculated as the difference between the least-square means of the two periods based on the mixed effect model.

Of 354 patients with glaucoma and OHT, 109 (31%) discontinued treatment with ripasudil 52-week trial; 36% in the monotherapy group and 26% in the combination therapy with prostaglandin analogs,  $\beta$ -blockers, or fixed combination drugs group also discontinued their treatment. The time course of IOP was comparable for all of the enrolled patients and for the patients that completed the study (data not shown). Therefore, it seems unlikely that the dropouts would bias our results. The most critical limitations were that the study was a post hoc analysis and it was conducted without a placebo control group.

In the monotherapy group (n = 173, 66 men, age) $62.5 \pm 12.6$  years, IOP at 09:00  $19.3 \pm 2.7$  mmHg), the changes in IOP from baseline to the first and second halves of the study period were  $-2.12 \pm 0.15$  and  $-2.61 \pm 0.15$ mmHg at trough (before instillation) with a difference of  $-0.49 \pm 0.07$  mmHg (P < 0.001), and  $-3.23 \pm 0.17$  and  $-3.81 \pm 0.17$  mmHg at peak (2 h after instillation) with a difference of  $-0.58 \pm 0.08$  mmHg (P < 0.001), respectively (Fig. 5a). Subgroup analysis stratified according to the baseline IOP ( $15 \le to < 18, 18 \le to < 21, \ge 21 \text{ mmHg}$ ); eyes with IOP of 21 mmHg or higher showed the greatest reduction (trough:  $-0.40 \pm 0.12$ ,  $-0.28 \pm 0.11$ , and  $-0.91 \pm 0.16$  mmHg; peak:  $-0.49 \pm 0.12$ ,  $-0.47 \pm 0.11$ , and  $-0.84 \pm 0.17$  mmHg, P < 0.01 respectively) (Fig. 5b and 5c). Differences in IOP were also observed (trough:  $-0.40 \pm 0.07$  mmHg, P < 0.001; peak:  $-0.46 \pm 0.07$  mmHg, P < 0.001) in the combination therapy group (n = 181, 80 males, age  $63.9 \pm 11.7$  years, IOP at 09:00  $17.8 \pm 2.1 \text{ mmHg}$  (Fig. 5a).

To our knowledge, this is the first report of additional IOP-lowering effects derived from long-term topical administration of an antiglaucoma medication. In POAG, abnormal outflow resistance occurs mainly in conventional aqueous outflow. ROCK inhibitors modulate this target site, which is a mechanism different from other antiglaucoma medications. The additional IOP-lowering effects of ripasudil may be related to the aberrant status of the TM and/or SC in eyes with POAG and OHT. As Rho-ROCK signaling is in part associated with the production and contraction of ECM via altered TM cellular behaviors [15, 17], late-onset remodeling of the ECM in glaucomatous eyes may elicit mild and delayed changes in IOP levels.

Long-term treatment with ripasudil revealed an additional IOP-lowering effect in eyes with glaucoma and OHT, suggesting an attractive clinical feature that differentiates this drug from other antiglaucoma medications. Positive findings of recent clinical studies are encouraging, as ripasudil appears to be a highly promising new drug for IOP reduction in patients with POAG and OHT, and possibly in secondary glaucoma.

# Additional ocular effects of ROCK inhibitors

In addition to the primary function of ROCK inhibitors, lowering IOP, the inhibition of ROCK is reported to be effective in several pathological conditions and could be a



**Fig. 5** Changes in IOP by 0.4% ripasudil. Changes in IOP in the first half (4–28 weeks) and the second half (32–52 weeks) of the study period were calculated as the difference between the least-square means of the two periods based on the mixed effect model. Changes in IOP before instillation (trough) or at 2 h after instillation (peak) in monotherapy and combination therapy groups are shown (a). Changes in IOP at trough (b) and at peak (c) in monotherapy were stratified according to the baseline IOP level (•: < 18 mmHg, n = 47; O:  $18 \le \text{to} < 21 \text{ mmHg}$ , n = 74;  $\blacksquare$ :  $\ge 21 \text{ mmHg}$ , n = 52). The differences in IOP between the first and second halves of the study period were statistically significant in all groups (P < 0.01). Data are presented as least-square means  $\pm$  standard error

useful drug target. These additional desirable effects discussed below could possibly slow progression of glaucomatous neuropathy, which may stimulate efforts to bring ROCK inhibitors to the clinic.

# Increase in ocular blood flow

Impaired blood flow around the optic disc is reported as a risk factor in glaucomatous eves [103, 104]. As described above, ROCK inhibitors increase blood flow by relaxing vascular smooth muscles [39], and the vasodilating effect of topical instillation of ROCK inhibitors has been documented in the retina and optic nerve [56, 105, 106]. In addition, in-vitro experiments using isolated rabbit ciliary arteries showed that selective ROCK inhibitors (Y-27632, Y-39983, and K115) induced concentration-dependent relaxation of ciliary arteries; the results indicate that the relaxation mechanism may involve a decrease in Ca<sup>2+</sup> sensitivity of key intracellular contractile protein(s). Additionally, altered regulation of Rho kinases Y-27632, Y-39983, and K115 (ripasudil) may relax isolated rabbit ciliary arteries via mechanisms independent of changes in intracellular calcium ion concentrations and/or altered regulation of ROCK (Fig. 6) [107, 108]. Decreased perfusion is thought to be a causative factor in loss of vision in glaucoma [109]. ROCK inhibitors may slow progression of glaucomatous optic neuropathy not only by lowering IOP but also by working directly on the optic disc blood vessels.

#### Improved RGC survival and RGC axon regeneration

Although the precise role of the Rho-ROCK pathway in the pathology of glaucoma optic neuropathy remains unknown, significantly elevated levels of RhoA in the optic nerve head are reported in glaucomatous eyes in comparison with age-matched healthy control subjects, suggesting the possible involvement of the Rho-ROCK pathway in the pathophysiology of optic nerve damage in glaucoma [110]. Moreover, ROCK inhibitors, including HA1007 (fasudil), Y-39983 (SJN-1656), ripasudil (K-115), and Y-27632 are shown to exhibit neuroprotective effects against various stresses by promoting axonal outgrowth and RGC survival in a number of animal models [106, 111–116]. A recent study demonstrates that administration of the ROCK inhibitor, K115 (ripasudil), delayed RGC death in an optic nerve crush model in mice or TNF injection model in rat (Fig. 7) [117, 118]. Although a number of animal and preclinical studies supported the potency of Rho-ROCK signaling as a target for neuroprotection therapy in glaucoma [116], the actual efficacy of these drugs as direct neuroprotective agents has yet to be tested in human patients.



Fig. 6 Effects of ripasudil on optic disc blood flow (ODBF). The mean blur rate (MBR) was determined in the optic disk by use of the LSFG-NAVI. The MBR is a parameter that yields a theoretically exact measurement of the retinal microcirculation. MBR-A was the average MBR over the entire optic disk, MBR-V was the MBR average over the vessel area, and MBR-T was the average of the MBR of the optic disk area minus the vessel area. %MBR values were calculated by dividing the MBR value at each time by the baseline

#### **Regulation of wound healing**

Glaucoma filtration surgery, such as trabeculectomy, is the most widely used type of antiglaucoma surgery. The most frequent cause of failure in filtration surgery is postoperative excess scarring in the filtering bleb and ECM deposits, resulting in closure of the route for AH; controlling postoperative scarring is key to improving the outcome of filtering surgery. Subconjunctival scarring of the filtering bleb is mainly mediated by tenon fibroblast proliferation, migration, and contraction [119, 120]. Transdifferentiation of fibroblasts into myofibroblasts is a crucial step in wound healing and scar formation [121], associated with enhanced expression of α-SMA with increased synthesis of ECM proteins, growth factors, and integrins [122]. Our research group, along with several other groups, previously reported that ROCK inhibition may reduce scarring by inhibiting transdifferentiation of fibroblasts into myofibroblasts, collagen matrix contraction, and ECM deposition via suppression of



MBR value. In the case of administration of ripasudil without phenylephrine, MBR-V (**a**), MBR-T (**b**), and MBR-A (**c**) did not change significantly (n = 5, P > .05). MBR-V (**d**) in the control eye (n = 9) instilled with phenylephrine followed by saline was decreased from the baseline value at 120 min (\*P < .05). MBR-V (**d**), MBR-T (**e**), and MBR-A (**f**) were increased in the ripasudil-treated eyes (n = 9) when compared with the control eyes at 30 and 120 min (<sup>†</sup>P < .05). Figure modified from Ohta *et al.* [108]

TGF- $\beta$  signaling or LPA-induced fibrotic reaction (Fig. 8) [17], resulting in improved glaucoma filtration surgery outcome in rabbit models [17, 123, 124]. Ripasudil (K115) is also reported to attenuate activation of human conjunctival fibroblasts in vitro [125], resulting in prolonged bleb survival in an in-vivo model of canine glaucoma filtration surgery [126]. Therefore, ROCK inhibitors have potential as anti-scarring agents after glaucoma filtration surgery.

#### Potent therapeutic property for corneal disease

Rho-ROCK pathway is known to be involved in many corneal cell functions. In corneal epithelial cells, it is involved in a number of cellular reactions such as differentiation, proliferation, cell adhesion cytoskeleton reorganization and cell-matrix interactions in corneal epithelial cells [127–131]. Both ROCK 1 and ROCK 2 are activated in response to wounding, and ROCK inhibitor Y-27632 accelerates wound healing mainly by modulating cell-ECM and



**Fig. 7** Effects of Ripasudil on TNF-Induced Axon Loss. Rat TNF injection model was carried out by intravitreal injection of 10 ng TNF to the right eye and PBS to the contralateral left eye. Histologic findings 2 weeks following injection of (a) PBS, (b) TNF, (c) TNF + 2

cell–cell adhesion in corneal endothelial cells, whereas Rho inhibitor C3 attenuates wound closure [128, 132]. Meanwhile, the use of ROCK inhibitors for enhancing endothelial wound healing is also garnering attention as a promising approach for corneal endothelial dysfunction. Corneal

pmol ripasudil, (**d**) TNF + 20 pmol ripasudil, or (**e**) TNF + 200 pmol ripasudil in rat eye. *Scale bar*: 10  $\mu$ m. (**f**) Comparison of axon numbers. N = 4-6 per group. #P < 0.005 versus CTL. \*P < 0.05 versus TNF. Figure modified from Kitaoka *et al.* [118]

endothelial dysfunction results in corneal haziness and causes severe vision loss, a primary indication for corneal endothelial transplantation. Y-27632 promoted migration of corneal endothelial cells in different models both in vitro and in vivo (rabbit, monkey and human eyes), [133–136].

Fig. 8 Effect of Y-27632 on the cytoskeleton and on α-SMA expression. (a) Distribution of F-actin in human tenon fibroblasts (HTFs). Serum-starved HTFs were incubated with 10 µM LPA for 10 minutes and were then incubated without (control, 0 µM) or with 1, 10, or 100 µM Y-27632 for 30 minutes. Experiments repeated three times yielded similar results. (b) Distribution of F-actin and vinculin in HTFs. Serum-starved HTFs were stimulated with 10 uM LPA for 10 minutes and were then incubated with 10 µM Y-27632 for 30 minutes. LPA induced assembly of actin stress fibers (B, white arrows) and redistribution of focal adhesions in the cell periphery (b, white arrowheads), and Y-27632 prevented these effects. Right: merged images. (c) Distribution of F-actin and α-SMA in HTFs. α-SMA expression is a hallmark of myofibroblast generation and the cells' fibrogenic reaction. The cells were stimulated with LPA in the presence of 10 µM Y-27632. LPA treatment induced assembly of α-SMApositive stress fibers (c white arrows). Y-27632 prevented LPA-induced expression of α-SMA and its incorporation into actin stress fibers. Right: merged images. Scale bars, 50 µm. Figure modified from Honjo et al. [17]



ROCK inhibitor eye drops have been tested for accelerating endothelial healing in a rabbit model and eyes of Fuchs' endothelial dystrophy and bullous keratopathy patients that all underwent transcorneal freezing, and the topical administration of ROCK inhibitors improved corneal clarity in patients with central corneal edema caused by Fuchs' dystrophy and in rabbit eyes (Fig. 9) [133, 137, 138]. Furthermore, it is reported that ROCK inhibitors promote the engraftment of injected cultured corneal endothelial cells to the recipient cornea, and the use of ROCK inhibitors as adjunct drugs for cell-based therapeutic treatment of corneal endothelial dysfunction are being proposed [139, 140]. Okumura et al. report the initiating clinical research into cell injection therapy using a ROCK inhibitors in corneal disorders may prove to be efficient for treatment of corneal epithelial disease, edematous cornea due to endothelial dysfunction, and as a post-surgical management, not only in patients with corneal disorders but also in glaucoma patients.

#### Anti-inflammatory properties

ROCK inhibitors can inhibit cell migration, invasion, and cytokinesis [142–144], all of which play roles in inflammation. Yamada et al. report that the topical administration of ripasudil significantly decreased the aqueous flare values and IOP in patients with anterior uveitis with glaucoma [145]. We recently reported that the systemic administration of ripasudil significantly reduced infiltrating cells and protein exudation in the aqueous humor, as well as the number of Fig. 9 Effect of ripasudil eye drops on corneal endothelial proliferation in a rabbit corneal freezing model. (a) A stainless-steel 7-mmdiameter probe was immersed in liquid nitrogen for 3 minutes and then placed onto the rabbit cornea for 15 seconds with the animal under general anesthesia. Then, one 0.4% ripasudil (four times daily) or 0.8% ripasudil (two times daily) eye drop was topically instilled, whereas vehicle was instilled in the fellow eye of each rabbit as a control (n = 6). Slit-lamp microscopy showed that control eyes exhibited hazy corneas after 48 hours, but eyes treated with 0.4% or 0.8% ripasudil eye drops exhibit less hazy corneas. No other adverse effects, such as the delay of corneal epithelial wound healing, severe conjunctival injection, and corneal opacity were observed. (Bb) The wound area of the corneal endothelium was evaluated by Alizarin red staining after 48 hours of treatment. Alizarin red staining images showed that the wound area tended to be smaller in eyes treated with 0.4% or 0.8% ripasudil eye drops than in control eyes. Scale bar: 1 mm. (C, D) Ki67<sup>+</sup> cells located at the leading edge (3.5 mm distant from the center of the cornea), middle area (4.5 mm distant from the center of the cornea), and periphery (5.5 mm distant from the center of the cornea) were evaluated. Ki67 staining confirmed that 0.4% or 0.8% ripasudil eye drops promoted cell proliferation throughout the wound edge to the peripheral area. Administration of 0.8% ripasudil (two times per day) enhanced Ki67 expression to a higher level than was observed with 0.4% ripasudil eye drops (four times daily). Morphology was evaluated using actin staining performed with Alexa Fluor 594-conjugated phalloidin. Nuclei were stained with DAPI. Representative images of pupil centers are shown. Scale bar: 50 µm. Figure modified from Okumura et al. [138]

infiltrating cells in the iris-ciliary body (ICB) and adherent leukocytes in retinal vessels in endotoxin-induced uveitis (EIU) [146]. In the study, we revealed that the mRNA levels of IL-1 $\beta$ , IL-6, TNF- $\alpha$ , MCP-1, and intercellular adhesion molecule-1 in the ICB and retina were suppressed by ripasudil (Fig. 10) [146]. The other ROCK inhibitors, AMA0428 and AMA0526, are also reported to show anti-inflammatory effects in experimental diabetic retinopathy and corneal wound healing models, suggesting that Rho-ROCK signaling may play critical roles in ocular inflammation [147, 148].

# Conclusions

Rho-ROCK signaling has been identified as a key pathway in the conventional outflow pathway, which integrates input from various bioactive factors in the AH; subsequent output results in cellular behavior that modulates AH outflow resistance.

Ripasudil is the first ROCK inhibitor approved for treatment of patients with glaucoma in Japan. It has been shown to reduce IOP in glaucoma patients, with additive efficacy even when used synergistically with other classes of glaucoma medications. Due to the multi-target nature of ROCK inhibitors, there has been concern regarding tolerance and unwanted side effects. To date, however, ripasudil has been shown to be safe, with only transient cosmetic conjunctival hyperemia and blepharitis side effects. Long-term treatment with ripasudil showed an additional IOP-lowering effect in



eyes with glaucoma and OHT, suggesting that the late-onset remodeling of the ECM in glaucomatous eyes may elicit mild and delayed changes in IOP levels. The approval of a clinically available ROCK inhibitor has greatly enhanced our understanding of glaucoma pathology. However, despite our increased knowledge regarding the pathophysiology of glaucoma, the biological basis of disease progression/initiation, giving rise to IOP elevation despite open angles, remains elusive. As glaucoma is a multifactorial disease, it is difficult to explain its progression based only on IOP elevation. **Fig. 10** The effect of ripasudil on mRNA levels of proinflammatory mediators in the ICB and the retina. The effects of ripasudil on LPS-induced mRNA levels of IL-1 $\beta$ , IL-6, TNF- $\alpha$ , MCP-1, and ICAM-1 in the ICB and the retina were evaluated by quantitative realtime PCR. \*P < 0.05; \*\*P < 0.01. Data are representative of at least three independent experiments. Figure modified from Uchida *et al.* [146]



However, identification of a biological marker related to IOP elevation would constitute a novel yet fundamental therapeutic target for the treatment of glaucoma. Therefore, it is imperative to identify the cellular and molecular mechanisms that drive the increased resistance to AH outflow. Further studies are required to understand how Rho-ROCK signaling is regulated by bioactive factors in the AH outflow pathway, as well as to identify the mechanisms underlying dysregulation of this pathway in glaucomatous eyes.

In addition to lowering of IOP, ROCK inhibitors may provide other benefits for the treatment of glaucoma. The antifibrotic activity of ROCK inhibitors may attenuate the fibrotic-glaucomatous changes in the conventional pathway, which may represent the mechanism underlying initiation of IOP elevation in glaucoma patients. The neuroprotective effects of ROCK inhibitors may enhance RGC survival in patients with glaucoma.

A therapeutic strategy based on the use of ROCK inhibitors has a great deal of potential, as accumulating evidence indicates that glaucoma is a disease with multifaceted etiology and targets for pharmacological manipulation.

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