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Hydrology-oriented (adaptive) silviculture in a semiarid pine plantation: How much can be modified the water cycle through forest management?

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Abstract Hydrology-oriented silviculture might adapt Mediterranean forests to climatic changes, although its implementation demands a better understanding and quantification on the water fluxes. The influence of thinning intensity (high, medium, low and a control) and its effect on the mid-term (thinned plots in 1998 and 2008) on the water cycle (transpiration, soil water and interception) and growth [basal area increment (BAI)] were investigated in 55-year-old Aleppo pine trees. Thinning enhanced a lower dependence of growth on climate fluctuations. The high-intensity treatment showed significant increases in the mean annual BAI (from 4.1 to 17.3 cm^2) that was maintained in the mid-term. Thinning intensity progressively increased the sap flow velocity (v_s) in all cases with respect to the control. In the mid-term, an increased functionality of the inner sapwood was also observed. Mean daily tree water use ranged from 5 (control) to 18 (high intensity) l tree^{-1}. However, when expressed on an area basis,

daily transpiration ranged from 0.18 (medium) to 0.30 mm (control), meaning that in spite of the higher transpiration rates in the remaining trees, stand transpiration was reduced with thinning. Deep infiltration of water was also enhanced with thinning (about 30 % of rainfall) and did not compete with transpiration, as both presented opposite seasonal patterns. The changes in the stand water relationships after 10 years were well explained by the forest cover metric. The blue to green water ratio changed from 0.15 in the control to 0.72 in the high-intensity treatment, with the remaining treatments in the 0.34–0.48 range.

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Introduction and objectives

Pine reforestation of barren lands has been called as an appropriate technique for soil and water conservation in the Mediterranean region, in which millions of hectares have been reforested, especially in Spain and Turkey (FAO 2010). Most of the plantations were established with one or several pine species (*Pinus halepensis*, *P. pinaster*, *P. pinea*) due to their excellent performance under harsh climatic and edaphic conditions. The improvement in site conditions (microclimate and soil properties) brought by the pine forests is expected to trigger late-successional species to spontaneously establish and to stimulate the ecosystem towards a more mature stage. However, this has not been the case in many semiarid areas, where additional management is needed to promote stand dynamics (Sánchez-Salguero et al. 2010). Thinning, the introduction of re-sprouting shrubs or the integration of small-scale spatial heterogeneity into the stand management strategy, might

be adequate techniques to improve stand resilience and overcome decline or stand stagnation (Maestre and Cortina 2004; Molina and del Campo 2012).

Under a general scope of precipitation decrease and evapotranspiration increase due to climate change in the Mediterranean, proactive adaptive management is becoming a basic strategy to either maintain or to gradually adapt current forest ecosystems (Birot and Gracia 2011; Fitzgerald et al. 2013; Lindner et al. 2010). Artificial plantations are a special case of forests with low resilience to environmental shifts, and they could be really favoured from this adaptive silviculture (Ungar et al. 2013). However, this type of silviculture is underdeveloped in many aspects as compared to that traditionally oriented to timber production. In this sense, guidelines dealing on how to maintain site productivity, enhance soil water content (SWC) or promote tree and stand resilience (most adapted species, proper density, etc.) are needed for specific regions or ecosystem types.

The long-term effect of forest management on the water cycle has been a topic deeply studied in the field of forest hydrology by comparing the water flux in the catchment outlet (Webb et al. 2012). These types of studies are very useful to know the global impacts of forest management at catchment scale. However, land uses in Mediterranean catchments are very heterogeneous, and the forest management should consider it accordingly. In this sense, there is still a need for an improved understanding of how the different elements of the water cycle are affected and what is the management margin that foresters have to manipulate these elements (del Campo 2013). That is to say, it is needed to develop guidelines for a more efficient implementation of hydrology-oriented silviculture, which pursuits the quantification and the manipulation of water cycle components in forests according to management objectives (Molina and del Campo 2012). The terms blue and green water (Falkenmark 2003) are usually referred to in this context (Birot and Gracia 2011).

Several studies conducted in the Mediterranean region have focused on the short-term effects of thinning on tree water use and have demonstrated an increment in throughfall, an improvement of conditions for tree growth and a reduction in the evapotranspiration (Aussenac 2000; Ganatsios et al. 2010; Molina and del Campo 2012). Previous studies have addressed the water balance in Aleppo pine plantations in the eastern Mediterranean (Schiller and Cohen 1998; Ungar et al. 2013). However, understanding how and how much the water fluxes are mutually affected as a function of the intensity of the treatments, or how and how much their mid-term effect is offset by densification and expansion of the canopy and root systems (Aussenac and Granier 1988; Andréassian 2004; Delzon and Loustau 2005) remains unclear.

The aim of this paper is to study how the water balance and the tree growth change as a consequence of thinning. Previous results on the effect of thinning intensity on the throughfall and stemflow were reported in Molina and del Campo (2012). Two main aspects are dealt with in this work: firstly the influence of thinning intensity and secondly the effect of the elapsed time since thinning. The experimental hypothesis is, in the first case, that clearing more trees increases the ratio of blue to green water (decreases the evapotranspiration's components), whereas in the second case, this ratio decreases with the time elapsed since thinning. To address these questions, five experimental plots were established, three of them were thinned in 2008 at different intensities, the forth was thinned in 1998 and the fifth was a control. Specific questions to be addressed are: (1) what is the effect of the thinning intensity and the effect of the elapsed time since thinning on tree transpiration, soil water and growth? (2) How are affected the throughfall and stemflow by the time elapsed since the thinning intervention? (3) How does the global water balance of the forest change with both the thinning intensity and the elapsed time since thinning?

Materials and methods

Study site and experimental trial

The study was carried out in a planted pine forest located in the southwest region of Valencia province in Spain (39°05′30″N, 1°12′30″W) at 950 m a.s.l. The average annual rainfall is 465.7 mm and typically shows high intra- and inter-annual variability. The mean annual temperature is 13.7 °C, the mean annual potential evapotranspiration is 749 mm (Thornthwaite) and the reference evapotranspiration is 1,200 mm (Hargreaves). The soils display a basic pH of 7.6, are relatively shallow (50–60 cm) and have a sandy–silty loam texture.

The *P. halepensis* plantations were established in the area during the late 1940s with high densities (approximately 1,500 trees ha^{-1}), and no forest management has been carried out due to the role of the forest in soil protection (corroborated by the personnel at the nearby forest nursery). In late 1998, a 100-m-wide strip thinning was performed in order to break the continuity of the forest canopy, as a typical fire prevention practice. This high-intensity thinning (H98) left a final stand density of 155 trees ha^{-1} and cover of 41 % in 2009. In this area, the understory vegetation is removed every 2–3 years (last removal in 2008). In February 2008, a new experimental thinning including several intensities was carried out in the vicinity of the H98 treatment (80 m apart, same stand). In this case, each thinning treatment was performed in an

Table 1 Means of the forest structure variables in the control and thinned (2008 and 1998) plots

Thinning treatment	Cover (%)	Basal area* (m^2 ha^{-1})	Density (trees ha^{-1})	DBH^2 (cm)	LAI (m^2 m^{-2})	Mean height** (m)	Heartwood area** (cm^2)	Sapwood area** (cm^2)	Crown-projected area** (m^2)	Crown volume** (m^3)
Control (*C*)	84	40.1	1,489	16.9	2.6	11.5	0.58	230.9		
Low intensity (*L*)	68	27.2	744	17.7	1.7	12.2	0.32	256.3		
Medium intensity (*M*)	50	18.2	478	17.5	1.7	11.3	0.25	250.8		
High intensity (*H*)	22	9.4	178	20.4	0.5	12.2	3.9	332.0	15.6	53.4
High intensity 1998 (H98)	41	13.6	155	25.2	0.9	12.6	8.8	504.7	25.8	93.8

H98 is the plot thinned in 1998; the rest thinned in 2008, except the control plot. DBH, diameter at breast height without bark, is estimated from two wood cores (south and north) at a height of 1.3 m. Mean bark thickness is 2.8 cm. Sample size is all the trees in each plot (*) or 12 trees per plot (**). Adapted from Molina and del Campo (2012)

experimental plot of 30 × 30 m. One plot was not thinned (control, *C*), and the other plots were thinned at three different intensities: high (*H*), medium (*M*) and low (*L*). Thinning removed the less developed trees and was performed to achieve a relatively homogeneous tree distribution (based on forest cover) in the plots. Thinning was conducted and supervised by the Forest Service of Valencia; timber and debris were removed and piled outside the plots. All plots were on a slope of less than 5 %. Forest structure characterisation in all plots was accomplished in March 2009 as indicated in Molina and del Campo (2012) and presented in Table 1.

Tree selection and characterisation

A total of 20 trees (four per plot) were selected to study growth and transpiration by considering a diameter distribution of three classes in each plot (<20.5, 20.5–26.5, >26.5 cm). Two trees were selected from the lowest and the highest diameter class, respectively, and the other two were selected from the middle class. This sample, although modestly sized, falls within the range considered in tree–water relation studies (Granier 1987; Klein et al. 2013; Martínez-Vilalta et al. 2002). Trees were selected from both the 2008 thinning (*C*, *L*, *M* and *H*) and the 1998 thinning (H98). The effect of forest management intensity was studied in the 2008 plots, whereas the influence of time elapsed since thinning was studied in both high intensity plots (1998 and 2008) assuming a chronosequence (Major 1951), i.e. regional climate, parent material (soil origin), topography and biota were considered as constant between both plots, whereas time was considered as variable. In this case,

although both plots were the same age, we assumed both are two different temporal stages of the same population. In these treatments, characterisation of trees was additionally performed, as these should have different architecture (Table 1): total height and begin of crown height were measured with an optical hypsometer (Suunto, Finland), crown diameter was calculated by averaging the measurements of two orthogonal crown diameter projections, crown volume was estimated after summing up the two volumes (the lower third as a cylinder and the other two thirds as a pyramid) and bark thickness was estimated by averaging two measurements from the north and south sites of the trunks.

Tree growth

Tree growth was studied using dendrochronological procedures. Two cores (north and south) were extracted from each selected tree at the end of the study (5-mm increment core). To avoid underestimation (Mérian et al. 2013), between four and eight additional trees per plot were cored in the same way. All cores were visually cross-dated and measured to the nearest 0.01 mm (LINTAB 6.0, coupled with the TSAP-Win software package). Cross-dating of the tree-ring series was evaluated using COFECHA software (Holmes 1983). Basal area increment (BAI) was selected as an indicator of growth because it is closely related to the sapwood area and was calculated both per year and per tree. The BAI series were standardised by dividing the raw BAI by the expected values (dimensionless index) using a double de-trending method: first, the series were adjusted by a negative exponential curve or a linear regression; second, a cubic smoothing spline function was used with a

wavelength fixed at 66 % of the length of the series and a 50 % frequency cut-off; third, autoregressive modelling was carried out on each series to remove temporal auto-correlations (Cook and Briffa 1990). The indexed residual series were subsequently averaged using a bi-weighted robust mean to obtain the residual chronologies using the dplR R-package (Bunn 2008; R Core Team 2013). The result of this procedure was a basal area increment index (BAIi).

Transpiration and tree water use determinations

The study of the water cycle spans the period from 27 March 2009 to 31 May 2011, when most of the measurements were available, giving a total of 796 days or 25 months or 2.08 years. However, other time spells are included as seen in next points. Sap flow velocity was measured through the HRM method (Burgess et al. 2001; Hernandez-Santana et al. 2011; Williams et al. 2004) in all sample trees and pro-grammed to average every hour. One sap flow sensor (HRM sensor, ICT International, Australia) was installed in each selected tree on the north side of the trunk at a 1.3-m height. A heater emits the heat pulse, and the temperature increase is subsequently measured in two needles containing two ther-mocouples each, located 27.5 and 12.5 mm from their bases. Each pair of measurements (inner and outer) is then used to estimate the heat pulse velocity at the both depths and con-verted to sap flow velocity, v_s (Burgess et al. 2001). The system was powered by a 12-V battery connected to a solar panel and a datalogger (Smart Logger, ICT).

Sapwood area was obtained by subtracting heartwood area from the inner-bark area (Giuggiola et al. 2013) from the cores extracted for the growth analysis. The sapwood area was divided into four different sections to assign different v_s values and consequently to estimate daily values of sap flow (l day^{-1}) (Hatton et al. 1990; Delzon et al. 2004) as the sum of their multiplications: (1) the v_s from the outer thermocouple was assigned to the sapwood area from the cambium to middle point located between the outer and inner thermocouples (i.e. 20-mm depth); (2) the v_s from the inner thermocouple was assigned to the sap-wood area from the middle point to inner depth of the sensor (27.5-mm depth from the cambium); and (3) the remaining area from the inner depth to the beginning of the heartwood or to the pith (if heartwood was not present) was divided into two halves and then, the v_s value from the inner thermocouple was multiplied by 0.75 and 0.25, respectively. Sap flow was up-scaled by the number of trees (density) to get the stand transpiration (mm) taking into account the diameter frequency distribution of trees in the different treatments.

Data were quality controlled for any possible spikes and gaps. In some cases, sap flow data were lost for more than 15-day spells (several months in the case of the M treat-ment), because of datalogger/sensors malfunction, battery failure and rodents activity. In these cases, an artificial neural network (ANN) model was used to estimate tran-spiration (mm) using cover forest, soil moisture and meteorological data (coefficient of correlation: 0.95; Nash–Sutcliffe coefficient: 0.90).

Soil water content and climate variables

The SWC (m^3 m^{-3}) was continuously measured for the whole period in all treatments every 20 min by means of FDR sensors (EC-TM, Decagon Devices Inc., Pullman, WA) connected to several EM50 (Decagon) dataloggers. In each treatment, between 6 and 9 sensors were placed at a 30 cm depth considering either tree influence or not (i.e. with/without crown influence: sensors increased from 6 (C) to 9 (H) in order to account for this cover variability). Field calibrations were carried out by determining the gravimetric water content in four sampling dates (satura-tion, field capacity, between field capacity and wilting point and wilting point) to obtain the full range of SWC in the study site. After the data readings were corrected, the current volumetric water content was divided by SWC at field capacity (SWC/FC), in order to have a relative vari-able to be used in the comparisons among the treatments. Field capacity in each treatment was calculated from the average of SWC's readings in three dates (28–29/March/09; 12/October/10; 23/March/11) in which the rainfall depth was higher than 30 mm in the previous 2 days. These dates were selected in cool days (see next point). Data gaps in SWC for a whole treatment were minimal due to the use of several sensors and dataloggers, which always allowed for reliable data. However, in the medium treatment, the number of data gaps was higher and estimations were performed from linear or polynomial functions fitted with the neighbouring sensors ($r^2 > 0.80$).

The rainfall (Gr) was continuously measured by means of a tipping-bucket rain gauge with 0.2-mm resolution (7852 Davis, USA) located in an open area at 400 m apart from the experimental plots. Measurements of air temper-ature and relative humidity were collected by a single sensor (RH/T sensor, Decagon Devices, Pullman, USA) placed at a 1 m height close to the rainfall gauge. The data were subsequently used to obtain values for the vapour pressure deficit (VPD).

Throughfall and stemflow on a tree basis (H and H98 treatments)

A previous study (Molina and del Campo 2012) addressed the influence of thinning intensity on throughfall and stemflow in this site. The influence of time elapsed since

thinning on the rainfall partitioning was studied here on a tree basis, i.e. under the crowns of the *H* and H98 trees. The throughfall was measured under the crown-projected area of each sample tree by a set of 18 collectors (Ø: 12 cm) systematically placed on six radial axes (60°) with respect to the trunk (72 collectors per treatment), at a 50 cm height and separated 50 cm from each other. The data collection was conducted between November 2009 and February 2010, the main rainy season in the area, by gauging the collectors 1–3 days after. Evaporation during rainfall was expected to be negligible and very similar among isolated trees of different structure (Pereira et al. 2009). Therefore, the collectors' measurements (throughfall) were assumed to be representative of the water saturation capacity of crown. The stemflow was initially measured in the same season, although the low amount of stemflow and its irregularity made it advisable to extend the measuring until June 2010. This variable was measured in all sample trees by fixing plastic collars (cut lengthwise) to the trunks at a 1.3-m height. Water from the collars was subsequently collected in 10-l tightly closed containers at intervals of 6–12 days.

Data analysis

The total set of 796 days was divided into 4 day-types according to daily precipitation and daily mean temperature. First, days were grouped into dry or wet spells (D, W). A dry spell was considered to begin when none of the previous 14 consecutive days registered a daily precipitation higher than 5 mm (day 14 would belong to wet and day 15 would belong to dry; that is to say, wet spell is set to a minimum to 14 days long, whereas dry spells do not have minimum limit). This resulted in 18 and 17 wet and dry spells, respectively. Secondly, in any of these periods, each single day was classified as cool or warm (C, W) if its mean temperature was, respectively, lower or higher than 13.2 °C, the overall mean of the data set. The DC, DW, WC and WW codes are used for each day-type.

Differences in BAIi, v_s, sap flow and SWC/FC among treatments were analysed with ANOVA (Steel and Torrie 1989) with treatment and tree considered as fixed factors. When ANOVA indicated significant differences between treatments, the Tukey's post hoc test was selected for the comparison of multiple means. In every case, the data were examined to ensure normality using the Kolmogorov–Smirnoff test and the homogeneity of variance using the Levene test. When these assumptions were violated, the variables were transformed with power functions to achieve homoscedasticity or, alternatively, a nonparametric Kruskal–Wallis test was used (with the Tamhane's T2 test used to compare multiple means). Relationships between different variables (growth and transpiration) were

investigated through Pearson's correlations and linear regression models. Here, the residuals were examined for normality and independence (Steel and Torrie 1989). A significance level of $p < 0.05$ was used for all analyses. Data were analysed with SPSS© 16.0. All statistical proofs were performed considering only empirical data, i.e. data estimated to fill in gaps were used exclusively for water balances. In the case of v_s, sap flow and SWC/FC, the analyses were also carried out for the four different day-types.

Results

Climatic conditions during the study period

From April 2009 to May 2011, the total precipitation was 1,545, 511 mm higher than expected (1961–2007). Despite this observation, drought spells occurred throughout the study period: only 26 mm of rain was registered in the 17 dry spells registered, accounting for 210 days out of the 796 days. The longest dry spell lasted 48 days (summer 2009). From December 2009 to April 2010, precipitation was much higher than expected. Regarding temperature, 412 days were classified as cool days ($T < 13.2$ °C) and 383 days as warm ($T > 13.2$ °C) (Fig. 1). Mean VPD for each category was 0.37 ± 0.17, 1.56 ± 0.73, 0.26 ± 0.17, 0.96 ± 0.57 kPa for DC, DW, WC and WW, respectively. Frequency of each day-type in the whole study period is presented in Table 2.

Tree growth

The BAI and BAI index (BAIi) series showed similar patterns until thinning both for the intensity (*C, L, M, H*) and the elapsed time (*H*, H98) treatments (Figs. 2, 3) with significant correlations between any pair of treatments in all cases (Pearson's correlation *p* value <0.001, 1960–1997 series). This similar pattern changed sharply in response to the thinning treatments carried out either in 1998 (case of elapsed time) or in 2008 (case of thinning intensity), and no significant correlation (neither for BAI and BAIi) was detected from this time onwards. In the intensity treatments, the BAI changed from an annual mean of 4.09 ± 1.36 and 3.61 ± 1.19 cm^2 (1960–2007) in *H* and *C* treatments, respectively, to an annual mean of 17.29 ± 5.96 and 3.47 ± 0.14 cm^2 (2008–2010), respectively. *L* and *M* thinning treatments presented intermediate values (5.18 ± 0.39 and 7.23 ± 2.07 cm^2 in the 2008–2010 spell, respectively) (Fig. 2). In the case of H98, the BAI increased from an annual mean of 3.52 ± 1.03 cm^2 in 1960–1997 to 18.31 ± 8.92 cm^2 in 1998–2010 (Fig. 2).
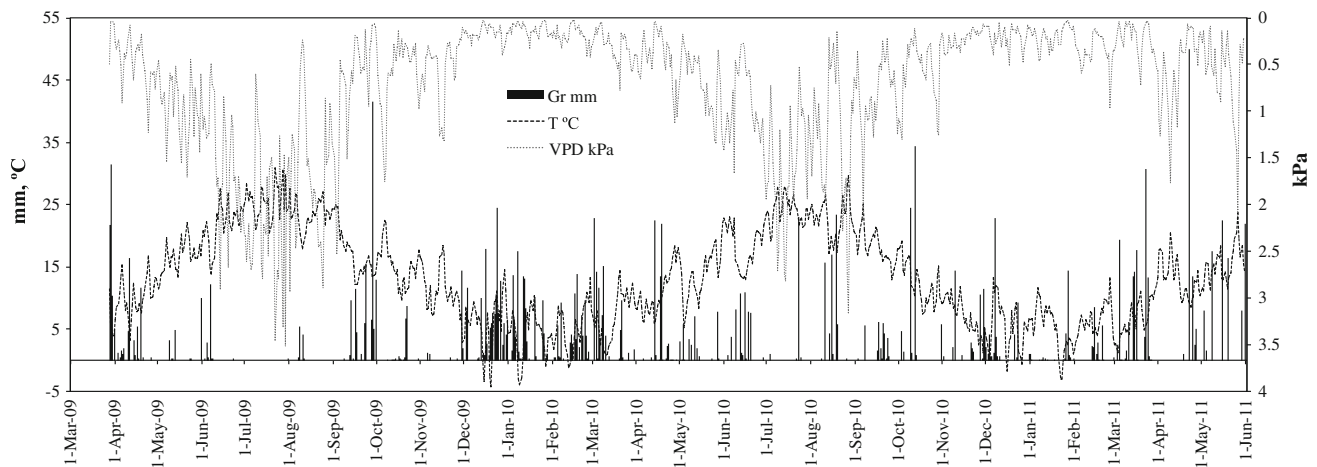
Fig. 1 Meteorological conditions during the study period expressed as accumulated daily values for precipitation and mean daily values for the other variables: Gr is daily rainfall, T is the mean daily temperature of the air, and VPD is the mean daily VPD of the air

Table 2 Mean values of daily v_s (cm h^{-1}), accumulated daily sap flow (l day^{-1}) and daily SWC relative to field capacity (SWC/FC, cm^3 cm^{-3}) as regards the 4 day-types considered in this study (*DC* dry–cool, *DW* dry–warm, *WC* wet–cool, *WW* wet–warm) and the sapwood depth (the case of v_s)

Variable and factor	C	L	M	H	H98
v_s (cm h^{-1})					
Outer	1.18a	1.26b	1.45c	3.46d	1.79*
Inner	0.70a	0.88b	0.94b	2.66c	1.64*
DC (8.7 %)	0.71a	0.78ab	0.93b	1.98c	1.43*
DW (17.7 %)	0.94a	1.24b	2.29c	5.59d	2.58*
WC (43.1 %)	0.64a	0.66a	1.03b	1.54c	0.87*
WW (30.4 %)	1.42a	1.62b	2.62c	4.00d	2.07*
Sap flow(l day^{-1})					
DC	3.57a	3.82a	4.54a	12.00b	12.9
DW	4.58a	6.51b	11.33c	33.80d	22.90*
WC	2.72a	2.70a	5.08b	7.94c	8.03
WW	6.89a	8.00a	12.93b	24.08c	18.94*
SWC/FC					
DC	0.66a	0.61a	0.78b	0.81b	0.70*
DW	0.49a	0.44a	0.62b	0.67b	0.59*
WC	0.84a	0.86a	0.83a	0.96b	0.89*
WW	0.67a	0.69a	0.75ab	0.82b	0.71*

Different letters in a same row indicate significant differences at p value <0.05 in that variable for that level of the factor. In the H98 column

* Significant differences with the H treatment at p value <0.05

Parametric tests indicated significant differences in the BAIi after thinning treatments, either for the intensity or the elapsed time factors (p value <0.001). In the former case, C and L presented BAIi values significantly lower than those of M and H treatments, whereas in the latter case, BAIi in H98 was significantly lower in the period

1998–2010 than that for H in the period 2008–2010 (1.03 ± 0.45 and 1.48 ± 0.13, respectively). Another remarkable result was that independently of the treatments, the BAIi chronology (Fig. 3) reflected a first stage with low-amplitude oscillations until the early 1980s and a second stage from this date onwards in which the amplitude of the oscillations was higher: most of the values (89 %) falling outside of the central interval (10–90 %) belong to years after 1980 (57 % of the years). This observation was especially relevant in the driest years (e.g. 1988, 1995 and 2005), which induced a sharp decrease in growth.

Tree water use and stand transpiration

The water use data showed a variable behaviour depending on the different factors being considered in this study: the variable considered [i.e. v_s (mean, outer, inner), sap flow or stand transpiration], type of analysis (thinning intensity or elapsed time) or the day-type (spells) (Table 2; Fig. 4). Thinning intensity affected significantly v_s both on its outer and inner measurements, progressively increasing with the intensity of the treatment from C to H (Table 2). Moreover, v_s (mean) was also significantly affected by thinning intensity according to the day-type considered, with warm days (either from wet or dry spells) associated with higher differences among treatments (Table 2). The maximum differences among treatments were found when v_s (mean) was high, where the Tukey's test yielded four single-treatment groups. Sap flow differences among treatments were also significant, with higher differentiation in the warm spells too. Tukey's tests always isolated the H treatment from the others, whereas C and L were frequently joined in a same group; M treatment showed an intermediate pattern between the L and H treatments in most of the
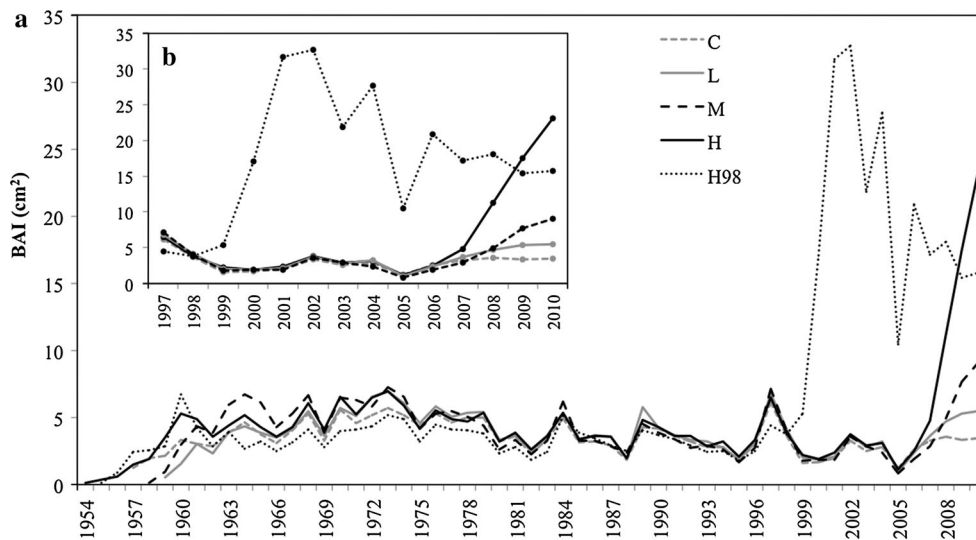
Fig. 2 Mean BAI values in each treatment along the entire growth period analysed (1960–2010, **a**) and a close-up (**b**) showing differences in growth after thinning in 1998 (H98) and in 2008 (remaining treatments). *C* control, *L* low intensity, *M* medium intensity, *H* high intensity 2008; *H98* high intensity 1998
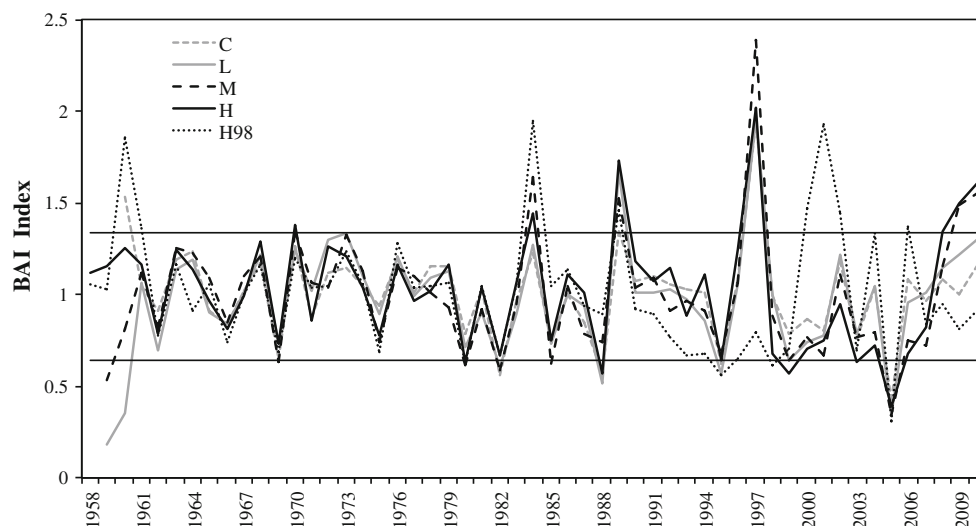

Fig. 3 Mean BAIi in each treatment along the entire growth period analysed (1960–2010). *Horizontal lines* delimitate the 10–90 % range. *C* control, *L* low intensity, *M* medium intensity, *H* high intensity 2008, *H98* high intensity 1998

cases (Table 2). In several trees from the *H* treatment, daily sap flow in June and July 2009 (dry–warm spell) surpassed the amount of 50 l.

Regarding the elapsed time from thinning, the v_s was significantly different between *H* and H98 in all analyses, with the latter showing values about one half of *H*, except in the inner sapwood v_s, where differences were lower (Table 2). In this comparison, the sap flow (l day^{-1} tree^{-1}) presented significant differences between both treatments only in the warm spells and the magnitude of these differences was lower (about one third), thus indicating relatively less changes in total tree water consumption after 10 years of thinning intervention. The weighted (from each

day-type frequency) daily average of sap flow during the study period was 5.22, 5.08, 8.52, 17.77 and 14.39 l of water transpired per tree for treatments *C*, *L*, *M*, *H* and H98, respectively.

Considering the frequency distribution of diameters in the different treatments as well as the tree density (Table 1), the previous sap flow values correspond to 0.297, 0.256, 0.180, 0.271 and 0.170 mm transpired per day or 108.6, 93.4, 65.7, 98.9 and 61.9 mm transpired per year for treatments *C*, *L*, *M*, *H* and H98, respectively.

The tree growth (BAI) presented many significant correlations with v_s and the sap flow (Table 3). These correlations were stronger (*p* value <0.001) when considering

Fig. 4 Daily mean accumulated values of transpiration (mm) in each treatment along the entire study period (March 2009–May 2011). *C* control, *L* low intensity, *M* medium intensity, *H* high intensity 2008, *H98* high intensity 1998
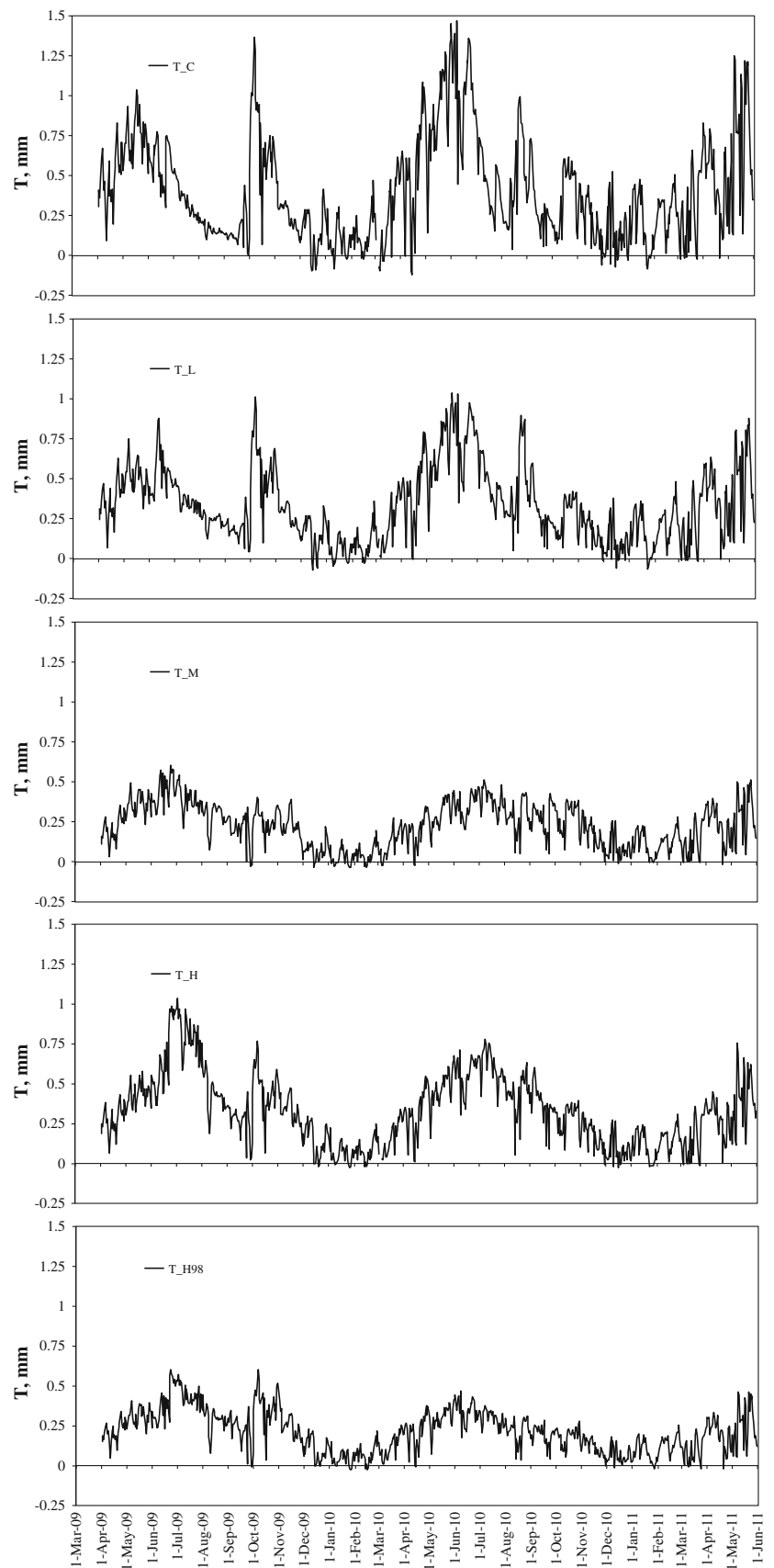
Table 3 Pearson's correlation coefficients (only high significant cases with p value <0.001 are shown) between tree growth (BAI) and different transpiration variables (sap flow velocity v_s and sap flow), as regards the study year (2009 and 2010) and the day-type (DC dry–cool, DW dry–warm, WC wet–cool, WW wet–warm)

	BAI 09	BAI 10
Sap flow_09	0.736	0.763
Sap flow_09_DC	0.765	0.78
Sap flow_09_DW	0.785	0.82
Sap flow_09_WC	0.727	0.747
Sap flow_09_WW	0.751	0.761
Sap flow_10_DW	0.725	0.736
Sap flow_10_WW	0.679	0.684
v_s_inner_09	0.752	0.835
v_s_inner_10		0.74
v_s_outer_09		0.807
v_s_inner_09_DC	0.733	0.81
v_s_inner_09_DW	0.764	0.849
v_s_inner_09_WC		0.76
v_s_inner_09_WW	0.727	0.804
v_s_outer_09_DC		0.81
v_s_outer_09_DW		0.817
v_s_outer_09_WC		0.745
v_s_outer_09_WW		0.781
v_s_inner_10_DC		0.677
v_s_inner_10_DW	0.709	0.785
v_s_inner_10_WW		0.704
v_s_outer_10_DW		0.719

$N = 20$

transpiration variables from the previous year, i.e. the 2010 BAI with the 2009 transpiration variables. Also, the association was higher for warmer periods, with Pearson's coefficient reaching values higher than 0.8. The BAIi showed few significant correlations with the transpiration variables, and these were weaker than in the case of BAI (p value <0.05). Based on those correlations, simple linear regressions between v_s and BAI were developed. The models fitted were $v_{s\ inner\ 2009} = 0.071*BAI_{2010} + 0.5961$ ($p < 0.001$, $r^2 = 0.70$, df: 14; MAE = 0.44; MAPE = 52.9 %) and $v_{s\ outer\ 2009} = 0.098*BAI_{2010} + 0.9689$ ($p < 0.001$, $r^2 = 0.65$, df: 14; MAE = 0.68; MAPE = 35.3 %).

Soil water content

According to the calibration, the sensors output increased the estimated volumetric water content in a general manner. SWC at field capacity varied among treatments in spite of the fact of their vicinity: 0.306 ± 0.026, 0.264 ± 0.016, and 0.252 ± 0.026, 0.316 ± 0.023 and 0.201 ± 0.025

$m^3\ m^{-3}$ for C, L, M, H and H98, respectively, thus showing a different water holding capacity. In this sense, comparisons among treatments were done based on the relative variable water content to field capacity ratio (SWC/FC) (Fig. 5).

Significant differences were obtained for either the thinning intensity analysis or the elapsed time analysis in the 4 day-types considered (Table 2). In the first case, the treatment H showed always more relative water content than C and L treatments (range 67–96 and 44–86 % for H and C, L, respectively), whereas M had an intermediate behaviour, showing significant differences with H in the wet–cool spells (lower relative water content) and with C, L in the dry spells (higher relative water content). Regarding the elapsed time analysis, differences between H and H98 were significant and maintained in the 4 day-types considered, showing the latter lower values.

Throughfall and stemflow in the elapsed time analysis

Throughfall in the thinning intensity analysis was presented in Molina and del Campo (2012). Regarding the elapsed time analysis, a total rainfall of 146.8 mm was collected during the rainy spells when throughfall was measured; spells ranged from 2.4 to 56.4 mm. The throughfall under the crown-projected area of the sampled trees was always higher for treatment H (ranging from 55.2 to 77.0 % of the gross rainfall) than for H98 (from 39.3 to 67.0 %) (Fig. 6). A significant difference (p value <0.001; df:142) was found between the total accumulated values of the throughfall in H (60.3 ± 6.8 % of the gross rainfall) and H98 (51.4 ± 9.7 % of the gross rainfall), accounting for a mean general difference of 8.9 %. Linear regressions between the throughfall and the rainfall collected in each period were highly significant for both treatments (Throughfall = 0.64*Gross_Rainfall-0.75; $p < 0.001$, $r^2 = 0.97$, df: 28; MAE = 1.17; MAPE = 12.9 %, and Throughfall = 0.58*Gross_Rainfall-1.57; $p < 0.001$, $r^2 = 0.94$, df: 27; MAE = 1.79; MAPE = 36.7 %, for H and H98, respectively). The comparison between the models indicated that the intercepts were found to be different at p value <0.001, whereas the slopes were not.

The stemflow showed a similar trend for both treatments, with no significant differences in the accumulated values for the entire study period, averaging 24.03 ± 1.24 l tree^{-1} in H and 17.73 ± 9.45 l tree^{-1} in H98 (Fig. 1. Electronic supplemental material). A high variation in stemflow was noted among the different periods as well as high intra-variation in each treatment, an observation that was much more pronounced in treatment H98. The linear regressions showed a poor relationship between the stemflow and the rainfall in both treatments (not shown).

Fig. 5 Daily mean values of SWC, expressed as its proportion over field capacity (SWC/FC), and deep infiltration ($I_{>30cm}$) in each treatment along the entire study period (March 2009–May 2011). *C* control, *L* low intensity, *M* medium intensity, *H* high intensity 2008, *H98* high intensity 1998
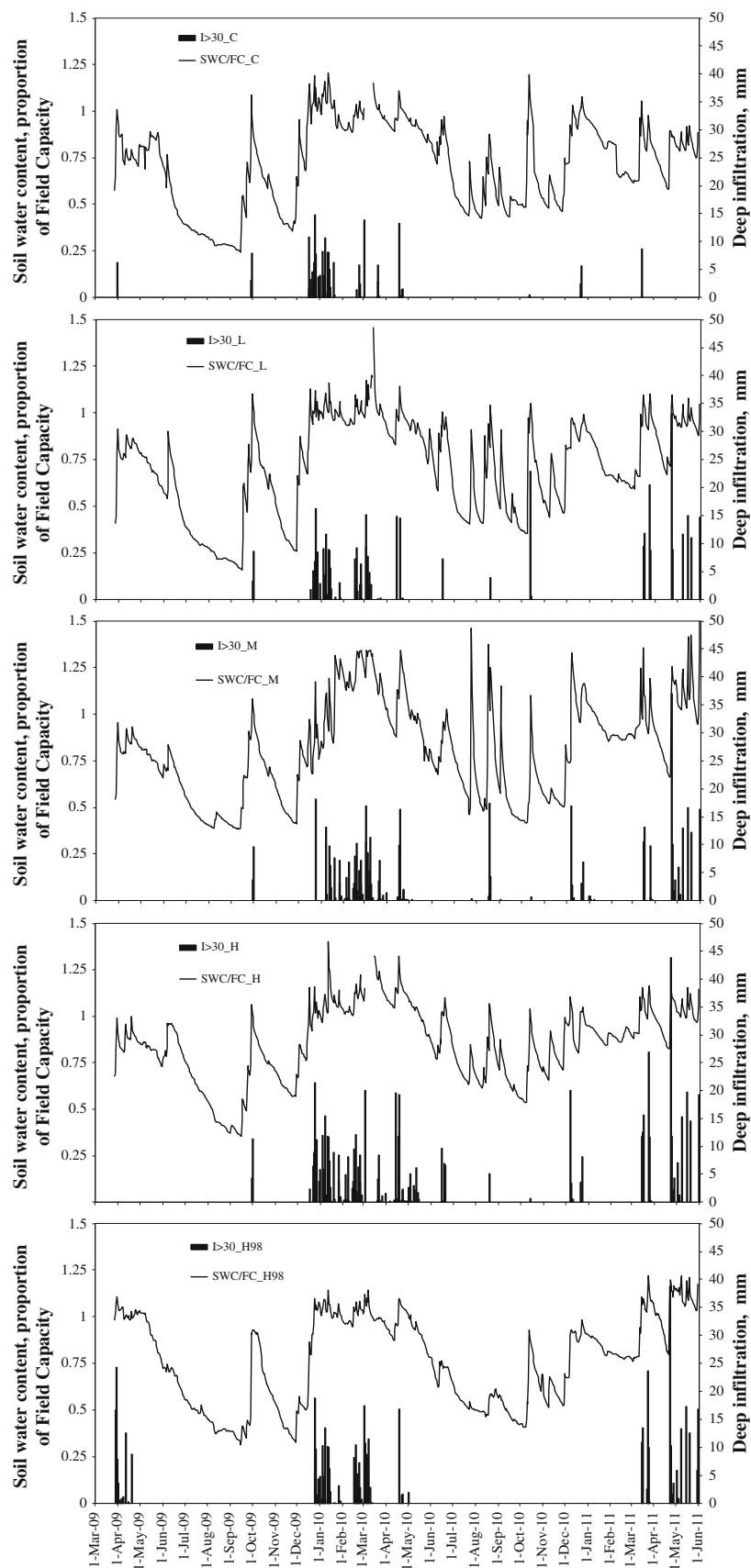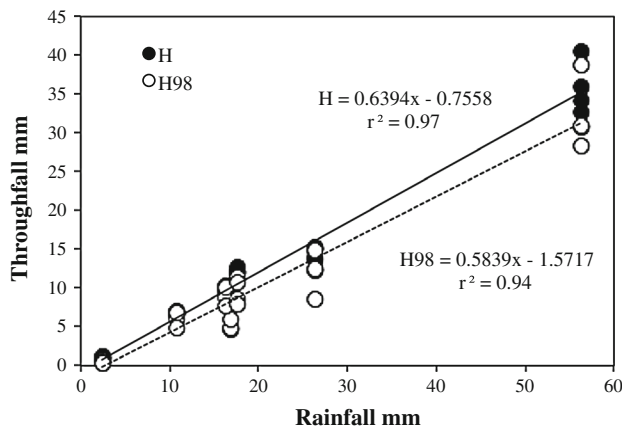
Fig. 6 Relationship between throughfall (mm) and rainfall (mm) in the high-intensity treatments (*H* and H98). *N* = 28 and 27 for *H* and H98, respectively. Regressions models were statistically significant at *p* value <0.001. Statistical comparisons between the models indicated that intercepts were different at *p* = 0.001

Water balance for the whole study period

An attempt of integration of previous results in a global water balance is presented in Table 4, which shows this balance for all the treatments on a stand basis (as mm and as % of rainfall). Stand interception (It) was subtracted to gross rainfall (mm) to compute total throughfall (stemflow was considered negligible). Interception was estimated on a daily basis based on the exponential model of throughfall reported in Molina and del Campo (2012). In the case of H98, the model was modified in order to decrease throughfall 0.82 mm under the area covered by the trees (41 %), as seen in this work (0.82 is the difference in the intercepts of the linear equations presented in 3.5). The remaining precipitation (net precipitation, Pn) was considered to be infiltration (I). Pn fallen when SWC/FC was higher or equal to 1 was considered as deep infiltration (Fig. 5), which is a proxy for deep percolation. Summing up the values per treatment, it yielded 207.6, 395.1, 455.5, 647.0 and 505.9 mm for *C*, *L*, *M*, *H* and H98 treatments, respectively, in the whole period analysed (796 days). These amounts were irregularly distributed among the 4 day-types: no deep infiltration was registered in the dry–warm spells, negligible amounts in the dry–cool, between 14 % (*H*) and 8.6 % (*C*) of the total was infiltrated in the wet–warm periods and between 86 % (*H*) and 91 % (*C* and *L*) was infiltrated in the wet–cool periods.

Subtracting deep infiltration ($I_{>30cm}$) to I corresponds to the Pn that fell when SWC was below field capacity. This term was divided into stand transpiration (T) and a residue that includes the upper soil horizon evaporation and the interception and transpiration of understory. The total evapotranspiration (interception plus stand transpiration plus the residual component) ranged from 86.6 % of gross rainfall in the control treatment to 58.1 % in the high-intensity treatment *H*, with the remaining treatments concentrated around the value of 70 % (H98, *M* and *L*, from lower to higher evaporation). Concordantly, blue ($I_{>30cm}$) to green (total evapotranspiration) water ratios ranged between 0.15 (*C*) and 0.72 (*H*). Time elapsed since thinning decreased this ratio to 0.49, which is close to that of the medium intensity thinning, with similar forest cover.

Discussion

Proactive adaptive silviculture is a key element for coping with the impacts of global change in the Mediterranean forests due to their higher vulnerability (Lindner et al. 2010). This brings into focus the need to develop, implement and improve adaptation measures. In the present study, the effect of thinning interventions was studied by focusing on the change in the distribution of water fluxes and tree growth in a planted pine forest in a semiarid climate.

Tree growth

The dendrochronological approach applied in this work facilitated the study of the stand growth during most of its lifespan. Growth was highly limited in the pre-thinning stages of the stand, with basal area showing increments around 3.5–4 cm^2 year^{-1}. The BA increments for this species in the eastern region of Spain are within 8–11 cm^2 year^{-1} for a DBH of 20–25 cm (Condés and Sterba 2008), although wider ranges (2–15 cm^2 year^{-1}) and higher maximum values (22.2 cm^2 year^{-1} in the wettest area of the species in Spain) have also been reported (Linares et al. 2011; Sánchez-Salguero et al. 2010). In this study, the interventions improved the BAI in all cases, although in the low-intensity thinning, the value is still low as regards the reported ranges. On the contrary, the high-intensity thinning treatments revealed the improved growth capacity of the species when competence is suppressed. Delzon and Loustau (2005) showed that growth in a *P. pinaster* stand with 150 trees ha^{-1} began to decline at approximately 40 years. In this work, the inter-annually averaged BAI in H98 of approximately 18 cm^2 tree^{-1}-year^{-1} from thinning on indicates the potential and lasting response associated with thinning, even for the mature state of the studied trees (55 years old).

Tree-ring chronologies reflect the complex interaction of climatic and environmental conditions at sites where samples are taken (Fritts 1976). In our case, the BAIi chronology reflected a two-stage pattern of oscillations, with a break point around the early 1980s that was

Table 4 Itemisation of the water cycle at the stand scale for the whole study spell (March 2009–May 2011) for each treatment (*C* control, *L* low intensity, *M* medium intensity, *H* high intensity 2008, *H98* high intensity 1998)

	Gr	It	Thr	T	$I_{>30cm}$	E	ET total	B/G
mm								
C	1,545	611.8	933.2	319.8	207.0	406.3	1,338.0	0.155
L	1,545	517.6	1,027.4	264.2	395.5	367.7	1,149.5	0.344
M	1,545	400.2	1,144.8	180.8	455.8	508.3	1,089.2	0.418
H	1,545	191.6	1,353.4	261.1	647.4	445.0	897.6	0.721
H98	1,545	419.5	1,125.5	168.4	499.5	457.6	1,045.5	0.478
%								
C	100	39.6	60.4	20.7	13.4	26.3	86.6	0.155
L	100	33.5	66.5	17.1	25.6	23.8	74.4	0.344
M	100	25.9	74.1	11.7	29.5	32.9	70.5	0.418
H	100	12.4	87.6	16.9	41.9	28.8	58.1	0.721
H98	100	27.1	72.8	10.9	32.3	29.6	67.7	0.478

Gr: gross rainfall; It: interception loss; Thr: throughfall; T: stand transpiration; $I_{>30cm}$: deep infiltration; *E*: evaporation from soil, litterfall and grass/scrub transpiration. ET total: summing up of the evapotranspiration terms; *B/G*: blue (deep infiltration) to green (total evapotranspiration) ratio. See text for details

independent of the treatment. Water availability is one of the main factors that control growth in this species, with a significant relationship between tree growth and rainfall commonly observed (De Luis et al. 2009; Raventós et al. 2001; Sánchez-Salguero et al. 2010). In fact, in such semiarid well-drained sites as the experimental plots, a maximum response of the tree rings to precipitation is expected (Meko et al. 1995) and could be the driving factor for the higher amplitude of oscillations in the second stage of our chronology (from the early 1980s onwards). In this work, two observations occurred concurrently: first, the tree density became excessive in the early 1980s, necessitating at least one thinning intervention according to Montero et al. (2001). Second, these years correspond to a generalised warming and drying trend in the Mediterranean climate, conditions that are reported to affect the growth of this species (Linares et al. 2011; Sarris et al. 2007, 2011). An increasing number of missing tree rings from the late 1980s has been reported in this species in a nearby area (Raventós et al. 2001). Long, intense and continuous droughts can have a spatial structuring effect on the growth index, especially if successive vegetative seasons record strong precipitation deficits (Planchon et al. 2008), as observed in 1994–1995 and 2004–2005 in this study. Figure 2 shows that the amplitude of oscillations is higher and the BAIi value is below 1 in *C*; *L*, *M* and *H* in the last years before the thinning, but it changed to 1.08, 1.22, 1.39 and 1.48, respectively, for 2008–2010. By the same, during the 1999–2007 spell, the H98 showed a trend towards values higher than 1, averaging 1.12. Taken together, these observations mean that a lack of forest management together with rainfall variability is highly reflected in the BAIi

chronology, which shows a higher and stronger dependence of growth on climate fluctuations.

Tree water use and stand transpiration

The tree water use results explain how the water fluxes have changed in the short and mid-term after thinning. The availability of such limited resources such as water, radiation or nutrients has increased for the remaining trees, and as a consequence, tree water use has increased after thinning, which is a known fact (Medhurst et al. 2002; Morikawa et al. 1986). The results of tree water use have shown that differences in transpiration among the treatments varied depending on the considered variable, i.e. sap flow velocity (v_s), sap flow or stand transpiration.

First, v_s always increased with the intensity of the intervention, independently of the spells or the depth of the thermocouple (either inner or outer). This result might be due to an increase in the hydraulic conductivity of the sapwood soon after thinning (Medhurst et al. 2002), which might be also positively correlated with the increased water availability (White et al. 1998). The outer and inner v_s data allow additional insight into the intrinsic differences between treatments in the sapwood functionality. We observed changes in the radial variation in v_s with treatment and hence in the permeability of the sapwood: the mean differences between the outer and inner records went from 40 % in the *C* treatment to approximately 28 % in the *H* and only 8 % in H98. The detailed work of Cohen et al. (2008) does not explain this fact completely. If we add a hypothetical third point to these series (zero velocity at the pith depth) and fit the proper functions, the *C* series fits better to a logarithmic

pattern, whereas H98 fits better to a linear one. In studying the question of why trees growing on better sites showed greater sapwood permeability and conductance, Shelburne and Hedden (1996) obtained logarithmic and linear fits for poor and good sites, respectively. This changing pattern in the hydraulic conductivity of the sapwood could be explained by differences in permeability due to a higher functionality of tracheids. Better sites allow for additional functional tissue in the inner sapwood, which thus enhances the tree's ability to obtain adequate nutrients and water. In our case, the H98 trees had been growing in better conditions (lower competence) for a longer period of time, and hence, their conductive anatomy was more adapted (the outer and inner thermocouples were located in rings formed after the 1998 thinning, i.e. under low stand density conditions), whereas the sapwood permeability drops off much more rapidly in the inner sapwood of trees growing in high stand density (higher competence) like the C treatment, because many tracheids have become non-functional. In contrast, the H trees showed intermediate pattern because, on the one hand, they are growing on an appropriate density since 2008 and show higher permeability along the sapwood, but, on the other hand, they have had little time to adapt to the new stand conditions, and the rings before 2008 were formed under worse conditions due to excessive competence.

Second, the values of sap flow found in this work for 55-year-old *P. halepensis* trees ranged from 5 to 18 l day^{-1} which are consistent, in the case of the H treatment (basal area 9.4 m^2 ha^{-1}), with those found in the same species and basal area by Schiller and Cohen (1998) under more arid Mediterranean conditions (maximum and average of 49 and 17 l day^{-1}, respectively). A noticeable finding is that the larger H98 trees (diameter, crown volume, height, etc.) used less water than the smaller H trees. This observation is not common in the literature, where the opposite pattern is usually reported (O'Grady et al. 1999). However, this difference is relatively low despite the higher differences in v_s between both treatments. The reason for these observations again underscores the relative importance of the inner and outer sapwood in the total tree water use for both treatments. The H is nearly exempted from water deficits year-round, thus exhibiting a type of water-spending behaviour indicative of the sudden release of water and nutrient resources. This observation is reflected in notably wide new rings (outer sapwood growth), high sap velocities and different response to VPD (Fig. 2 Electronic supplemental material). In H98, a higher number and better functionality of tracheid layers in the inner sapwood allows for sufficient water supply to its dense and expanded crown, thus minimising the differences with H, where the impact of previous branches and tissues is lower. However, this pattern is likely to change in the short term because the cool spells indicate no differences between them.

However, the results for stand transpiration give a different ranking for the treatments to that obtained on a tree basis. Effectively, when the number of trees is taken into account, the C treatment is the most water-spending stand in spite of its lower growth. Low growth and high green water losses are the reasons to implement hydrology-oriented (proactive adaptive) silviculture. Transpiration values presented here are in the range of those reported for the species by Ungar et al. (2013), although the long-term average in our case is lower. This could be due to the cumulative effect of wet days in our case that led to a relative lower importance of days owning high evaporative demand (high VPD, see Table 2; Fig. 1). Nevertheless, our data are subjected to errors. In addition to the errors associated with the heat pulse method for the v_s estimation (Hatton et al. 1990), computation of the sap flow from v_s may lead to important overestimations that have been widely reported and discussed in tree water use studies (Delzon et al. 2004; Cohen et al. 2008). We established a pattern of variation in v_s with the area of the sapwood annuli sampled by each thermocouple (Hatton et al. 1990). In contrast, the results from Cohen et al. (2008) indicate that the pattern of v_s in this species in Israel decreases considerably, with negligible values beyond a 4-cm depth. This reasoning would make our values of sap flow to decrease. By contrast, applying the correction factor from Delzon et al. (2004) for maritime pines (a fast-growing species in a more humid climate), our overall sap flow values would increase. Thus, it can be argued that our criteria can yield acceptable values, particularly if we consider that the years of study were particularly wet (the correction factor from the latter reference may be more appropriate).

Although the H trees transpired more water than H98, there was more water in the soil in the former than in the latter. The interception loss is a direct cause for this difference because net precipitation in H was approximately 10 % higher. The elapsed time from thinning changed the tree storage capacity, as indicated by a difference of 0.82 mm between the intercepts (Rutter 1963). The throughfall (49–62 % of rainfall) and stemflow (0.25–0.5 % of rainfall) values fall within the range reported in other studies conducted on isolated trees in the Mediterranean area (Belmonte Serrato 1997; Pereira et al. 2009). Another important difference between H98 and H (and extensively to the remaining treatments) is that found in the hydraulic properties of the soil, as field capacity (and absolute SWC) was lower in H98. This could be due either to spatial variations in the sites (corroborated by the BAI chronology, Fig. 2, which indicated lower growth of H98 trees) or to the forest treatments themselves. If we assume the chronosequence hypothesis of this work (which establishes that both soils are similar), then it is needed to address why a lower

water holding capacity have appeared in H98 after the thinning intervention. A possible explanation could derive from the higher density of root systems in the *H* treatment, which contribute (death or alive) substantially to soil organic matter (Persson 2012); their cavities can readily fill with water during and after major precipitation events, thus affecting soil hydraulic properties (Devitt and Smith 2002). This reasoning would mean that thinning from high to low densities might affect the soil water holding capacity in the mid-term.

Water balance

Thinning has affected all the water cycle components considered in this study. The water balance indicates that the first main difference among treatments is found in the interception loss (*C* intercepted 27 % more rainfall than *H*), as previously reported (Molina and del Campo 2012). Thinning also diminished the stand transpiration in all cases, although this reduction was lower than that found for rainfall interception (differences <10 % of rainfall). The important point here is that, in spite of the higher water use rates maintained in the remaining trees, the stand transpiration component is reduced even in the low-intensity thinning treatment. Our results also indicate a little competence between transpiration and the deep infiltration terms for the throughfall water, as they present opposite seasonal patterns. This would mean that the differences in throughfall in wet–cool spells are relocated into deep infiltration water if the soil is wet enough; all the treatments presented important differences in this term against the control (>12 %). The term for soil/understory evaporation owns higher uncertainty due to its residual nature. This component yielded low differences among treatments (<10 % of rainfall), which would mean that, in spite of clearing vegetation, the evaporation is not severely increased. The ratio of the evaporation term to rainfall deduced in this work (0.24–0.33) is slightly lower to that reported by Ungar et al. (2013) in a drier climate (0.34–0.42). Thus, higher soil or understory evaporation in the more intensive treatments (*M*, *H* and H98) is compensated with reduced transpiration due to a lower tree number per unit area. Regarding the temporal evolution, water balance between *H* and H98 indicates that the latter evolves towards the values found for the medium intensity treatment, with similar cover but higher number of trees. Ten years after thinning primary and secondary growth have affected the entire tree architecture, including the crown densification and a higher complexity in canopy structure. However, our results would indicate that theses changes could be easily integrated into the forest cover metric, at least with regard their effects on the tree–water relationships. In fact, regressing the blue to green water

ratio on the forest cover (proportion) the model yields a good fit ($r^2 > 0.96$; $p < 0.01$; Fig. 3 Electronic supplemental material).

Concluding, we can confirm the two experimental hypotheses tested in this work and consider the results meaningful for a hydrology-oriented silviculture for these types of plantations. Methods from dendrochronology and hydrology have proven useful in deepening our understanding of the effects of thinning in this semiarid forest. The lack of forest management led to growth stagnation and to a higher and stronger dependence on climate fluctuations (rainfall variability), as was reflected by the BAIi. Management of these forests for maximising blue water budgets is effective both on the short and the mid-term according to the usual forestry timeframes. Ten years after thinning, tree growth and transpiration remained enhanced with higher relative inner sapwood permeability, providing evidence of the maintenance of a more effective sapwood area. However, there are important limitations in our results. First, due to the atypical wet years studied, this balance is expected to change drastically in drier years (Schiller and Cohen 1998; Ungar et al. 2013). Secondly, the residual evaporation term should be experimentally addressed in order to have a better estimation of errors associated with the global water balance. Thirdly, the vegetation structure of this site is quite simple, with little importance of the understory (even in the 1998 thinning, where scrub weeding is accomplished regularly) meaning that translating these results to other forest plantations need caution. This study has also identified some questions and relationships between the measured variables (e.g. transpiration and BAI, soil properties in H98) that require further evaluation and should be the subject of successive studies in drier years. In any case, it is evident from our view the importance of these water-centred studies in the field of forest management.

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