



Techniques and modeling of polyphenol extraction from food: a review

Adithya Sridhar¹ · Muthamilselvi Ponnuchamy¹ · Ponnusamy Senthil Kumar² · Ashish Kapoor¹ · Dai-Viet N. Vo³ · Sivaraman Prabhakar¹

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Abstract

There is a growing demand for vegetal food having health benefits such as improving the immune system. This is due in particular to the presence of polyphenols present in small amounts in many fruits, vegetables and functional foods. Extracting polyphenols is challenging because extraction techniques should not alter food quality. Here, we review technologies for extracting polyphenolic compounds from foods. Conventional techniques include percolation, decoction, heat reflux extraction, Soxhlet extraction and maceration, whereas advanced techniques are ultrasound-assisted extraction, microwave-assisted extraction, supercritical fluid extraction, high-voltage electric discharge, pulse electric field extraction and enzyme-assisted extraction. Advanced techniques are 32–36% more efficient with approximately 15 times less energy consumption and producing higher-quality extracts. Membrane separation and encapsulation appear promising to improve the sustainability of separating polyphenolic compounds. We present kinetic models and their influence on process parameters such as solvent type, solid and solvent ratio, temperature and particle size.

Keywords Polyphenols · Foods · Process techniques · Extraction · Kinetics · Modeling

Abbreviations

UAE	Ultrasound-assisted extraction
GAE	Gallic acid equivalent
HVED	High-voltage electric discharge
PEF	Pulse electric field extraction
EAE	Enzyme-assisted extraction
MAE	Microwave-assisted extraction
SFE	Supercritical fluid extraction
MR	Moisture ratio
RMSD	Root-mean-square deviation
NRMSD	Normal root-mean-square deviation

CAPEX	Capital expenditure cost
OPEX	Operating cost
SPT	Simple payback time
SSE	Sum of squared errors

Introduction

The continuous demand for nutrient-rich diet in contemporary health conscious society has led to an increasing consumption of polyphenol-rich foods (Iriando-Dehond et al. 2018). Polyphenol compounds form an important part of the bioactive group. These compounds are a diverse family having more than one hydroxyl groups with a structure ranging from a simple molecule to a complex polymer. The compounds are naturally present in small amounts in various foods, shrubs and medicinal plants like tea, grapes, vegetables, wine, cereals, coffee, eucalyptus and neem. Polyphenols possess antioxidant, anticarcinogenic, anti-inflammatory and antimicrobial properties (Rowland 1999; Treutter 2006; Barakat et al. 2010; Sanchez et al. 2013; Xiao et al. 2013; Frontuto et al. 2019; Román et al. 2019). Figure 1 illustrates the role of polyphenols in the food industry.

✉ Ponnusamy Senthil Kumar
senthilkumar@ssn.edu.in

✉ Ashish Kapoor
ashishko@srmist.edu.in

¹ Department of Chemical Engineering, Faculty of Engineering and Technology, SRM Institute of Science and Technology, Kattankulathur, Tamil Nadu 603203, India

² Department of Chemical Engineering, Sri Sivasubramaniya Nadar College of Engineering, Chennai, India

³ Center of Excellence for Green Energy and Environmental Nanomaterials (CE@GrEEN), Nguyen Tat Thanh University, Ho Chi Minh City, Vietnam

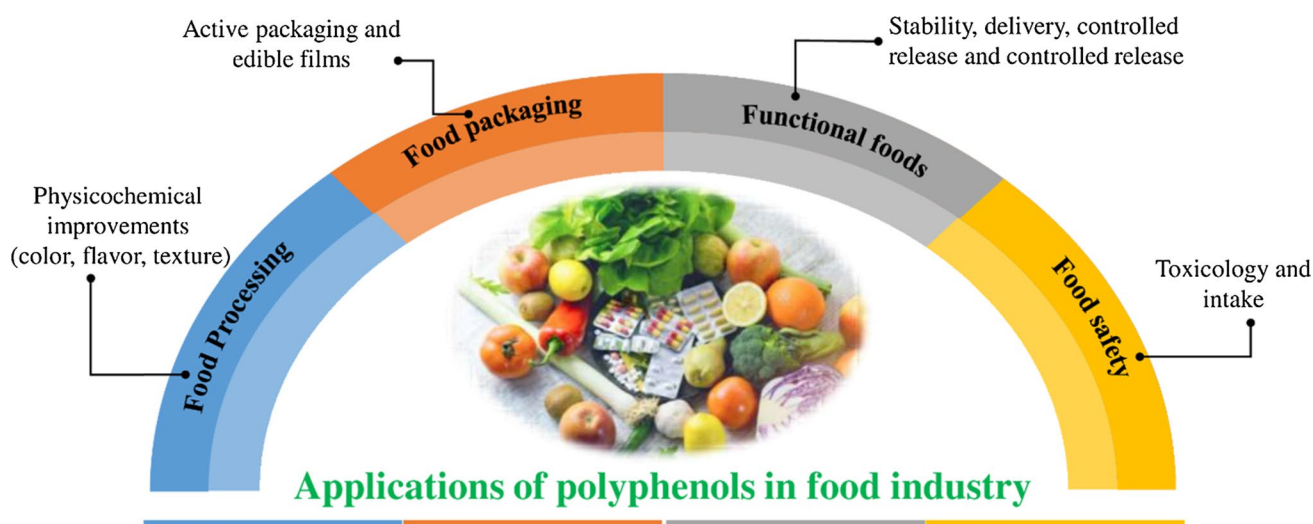


Fig. 1 Role of polyphenols in the food industry. The dietary characteristics of polyphenols improve the physicochemical properties of foods, giving several health benefits

The production and development of such novel foods is a challenge, as it has to fulfill the consumer expectations for the final product to be healthy, nutritious and palatable. The polyphenolic family has played an integral role in pharmaceutical sector, food as well as nutraceutical industry. They have a potential in tackling health-related diseases and pandemics like COVID-19 (Paraiso et al. 2020). At a molecular level, they show a promising potential to inhibit viral proteases involved in replication with low risk of toxicity. However, the research on natural approaches against viral diseases is still in its infant stages. Studies on secondary metabolites with health benefits have identified the widespread use of polyphenol giving positive dietary effect on humans (Theodorou et al. 2006; Piccolella et al. 2019). Apart from contributing to the color and sensory characteristics of foods, they have also shown applications in food preservation and giving protection against bacteria and other pathogens (Kuai et al. 2020; Ribeiro et al. 2020; Sridhar et al. 2020). In the recent years, special sensors have been fabricated for the detection of biomolecules from foods (Govindarajulu et al. 2019; Verma and Rani 2020). However, the performance of such sensors still remains a huge concern (Saravanan et al. 2020). For this reason, great efforts have been made to understand the evaluation, characteristics and quantification of polyphenols. The concept of circular economy has led to the introduction of “zero waste strategy” for the recovery of such special compounds (Fu et al. 2015; Romani et al. 2020). It not only acts as a solution to tackle problems immediately in case of crisis but also provides a long-term sustenance in the food sector. However, feasible, cost-effective, energy-efficient, environmentally friendly methods as well as suitable parameter optimizations still need to be explored for a controlled intake.

Apart from widely used extraction techniques of Soxhlet and maceration, ultrasound-assisted extraction (UAE) (Zhou et al. 2019), microwave-assisted extraction (Rodsamran and Sothornvit 2019) and supercritical fluid extraction (Sánchez-Camargo et al. 2020) have been analyzed extensively in food and bioprocessing sector for its merits and economic values (Osorio-Tobón 2020). Investigation is still being carried out to find an isolation method, which has the least experimental set-up, low cost with environmental and user-friendly characteristics (Kothari et al. 2012). One of the methods gaining interest in recent years is encapsulation technologies (Wang et al. 2020). The enhanced extraction of polyphenols was also carried out using low-transition temperature mixtures (e.g., glycerol and sodium acetate) at a specified proportion (Karageorgou et al. 2017).

However, investigation on emerging developments and kinetics for the optimization of parameters still remains a concern. Kinetic studies are one of the ways that help in understanding the extraction rate, factors, energy consumption and scaleup (Natolino and Da Porto 2020). The need for mathematical modeling is essential to optimize the extraction parameters and control the process to get the best output.

Rapid exploration has been carried out on different types, characteristics and health effects of polyphenolic compounds (Tresserra-Rimbau et al. 2018; Sanches Silva et al. 2020). In a period of 116 years (1900–2016), 85% of the total published works on polyphenols was in the form of peer-reviewed research articles, while the remaining included book chapters, conference proceedings and review articles. The USA published the highest number of research articles (6713) followed by China (4959) and Spain (3677). According to Web of Science, the total number of articles published

in the area of polyphenols increased by more than two and half times in the last 10 years from 2006 to 2016 with majority of studies done on grape seeds (Adebooye et al. 2018). The global polyphenol market was valued at USD 873.7 million in 2018 and is expected to achieve a growth rate of 6.1% owing to increasing demands and market size (Ameer et al. 2017). However, an exhaustive understanding of the developments of polyphenols from different foods still needs to be achieved. Thus, this article brings focus on the technologies developed for the isolation of polyphenolic compounds from different foods. Techniques mainly percolation, decoction, heat reflux extraction, Soxhlet extraction, maceration, ultrasound-assisted extraction, microwave-assisted extraction, supercritical fluid extraction, high-voltage electric discharge, pulse electric field and enzyme-assisted extraction have been given adequate importance. Additionally, future advancements in extraction technology, mainly membrane separation and encapsulation techniques with their usage in concentrating polyphenolic compounds, have been given enough focus. Key parameters like nature of solvent, solid–solvent ratio, temperature, particle size and their influence on food have been thoroughly discussed. Lastly, mathematical models for optimizing the overall extraction have been highlighted for controlling the process. This article could provide a promising platform for understanding the scope of polyphenols

in the food industry addressing major issues like quality, adaptability and cost.

Extraction methods of polyphenols

Extraction plays an important role for isolation and purification of many bioactive components from food material. In order to obtain the extract from the food sample, steps like size reduction, extraction, filtration, concentration and drying should be noted (Azmir.J et al. 2013; Živković et al. 2018). Figure 2 illustrates the general flow diagram for the isolation method of polyphenols from foods. Various extraction techniques have been analyzed to estimate the polyphenol recovery from foods ranging from traditional methods to modern methods. The most extensively used techniques for extraction include Soxhlet extraction, maceration, ultrasound-assisted extraction, microwave-assisted extraction, supercritical fluid extraction, high-voltage electric discharge, pulse electric field extraction and enzyme-assisted extraction (Pasrija and Anandharamakrishnan 2015; Luo et al. 2018). Apart from these technologies, membrane separation and encapsulation methods have also shown their potential for better extraction of polyphenols (de Santana Magalhães et al. 2019; El-Messery et al. 2019).

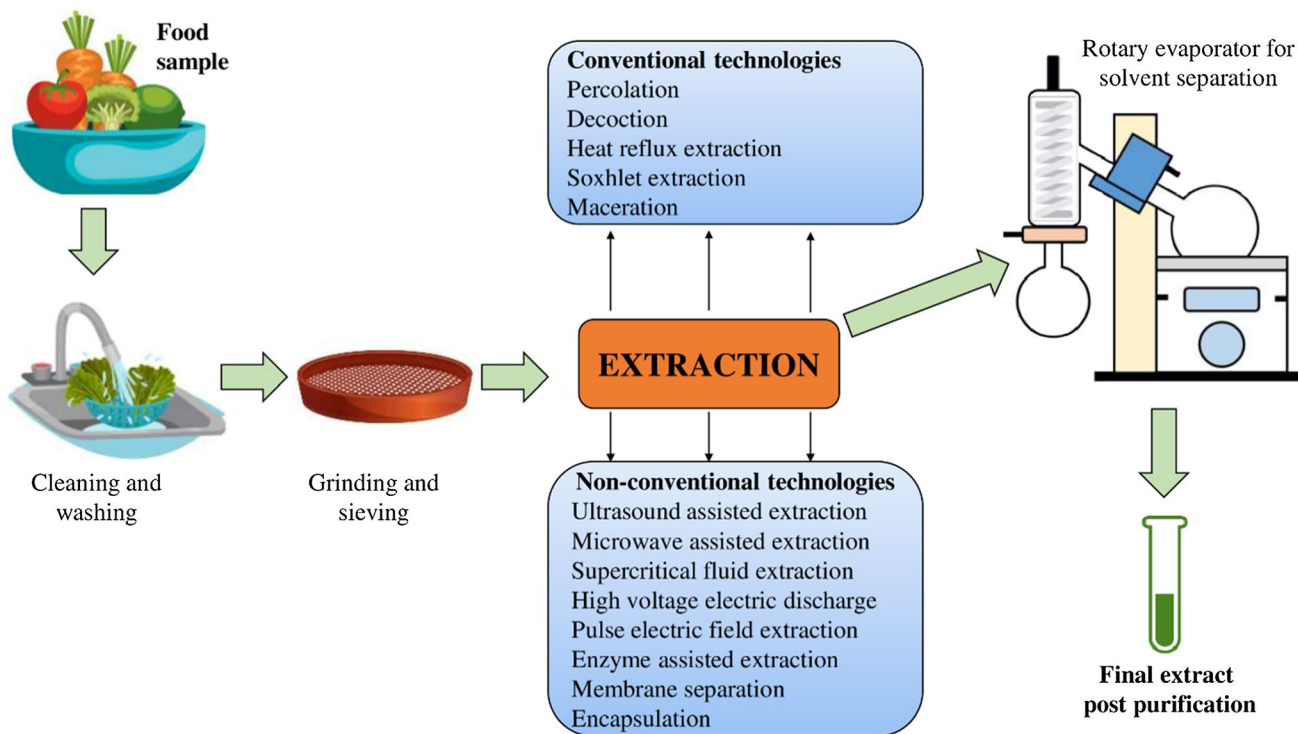


Fig. 2 Isolation of polyphenols from food materials. Different extraction technologies have been investigated for the separation of bioactive compounds from foods, ranging from conventional to non-con-

ventional methods. Extraction efficiency is dependent on factors such as the nature of solvent, solvent–solid ratio, temperature and particle size

Conventional technologies

As polyphenolic compounds are usually obtained inside different foods, beverages and plant matrices in small quantities, extraction methods become necessary. Pretreatment techniques like drying, crushing and grinding may be required depending on the samples. After extraction, isolation and purification are done to obtain the active compounds (Chuo et al. 2020). Conventional extraction methods mainly include percolation, decoction, heat reflux extraction, Soxhlet extraction and maceration. These are varied depending on the composition and characteristics of food samples. Although conventional methods are easy to use, they pose negative effects like high extraction time, huge energy consumption and solvent wastage (Zwengelstein et al. 2020).

Percolation

Percolation is a traditional procedure used for separation of active compounds from fluid extract. It consists of a narrow percolator (generally cone-shaped). The food sample is mixed thoroughly with water, and the solution is added from the top through the column into a closed container. The mixture seeps down with time (24–48 h depending on the sample), thus obtaining the pure extract. The enriched wet extract is concentrated in evaporators to get the desired concentration. The technique has been used for isolating a variety of polyphenolics from food matrices (Hansen and Møller 1975; Rathore et al. 2012).

Decoction

Decoction involves boiling the crude aqueous extract to a certain volume for a specific time to get the heat-stable materials. The liquid settles down and is cooled, strained or filtered. The technique can be used for extracting water-soluble constituents. However, it should be noted that this procedure holds inefficient for heat and light-sensitive compounds. Additionally, mass transfer and kinetic effects are needed to be considered. Keeping negatives aside, this technique has found applications in various aromatic (Fuleky and Czinkota 1993) and medicinal plants (Rijo et al. 2014; Hashemi et al. 2019).

Heat reflux extraction

Heat reflux extraction is a much preferred technique as compared to percolation and decoction as it requires less extraction time and solvent (Zhang et al. 2018b). The technology involves heating the matrix for a particular time, leading to a complex chemical reaction. As the process uses reflux extractor as the main reactor, better mass transfer and contact efficiency are achieved between solvent and treated matrix

(Tian et al. 2016). The vapor trickles down to the flask, thus controlling the temperature of the reaction. The technology has been preferred due to its simplicity and easy operation (Zhang et al. 2018a). The technology has found application in extracting many natural, phytochemical compounds and essential oils (Gao and Liu 2005; Aliboudhar and Tigrine-Kordjani 2014).

Soxhlet extraction

Soxhlet extraction has always been the most extensively used process for extraction purposes involving concentration of analyte leading to separation of bioactive constituents from natural products. Sample preparation is the most critical and can be done using variety of techniques (Luque de Castro and Priego-Capote 2010). Leaching is considered as one of the traditionally and practically used methods for solid pretreatment. When it comes to environmentally friendly methods, Soxhlet extraction is one of the relevant techniques. In conventional Soxhlet, sample is added inside a thimble and fresh solvent is added in the round-bottomed flask. The fresh solvent is passed through the thimble during the extraction process and then used as recovery. When the liquid reaches the top, the siphon drops the solvent back into the round-bottomed flask through the thimble holder. This operation is repeated until the process reaches saturation. The extraction of polyphenols is carried out for about 24–50 h, and more than half of the solvent is used for extraction studies (Sen et al. 2017).

Due to its increased simplicity, the Soxhlet extraction method is still considered. However, there are some drawbacks to this technique. It uses large amounts of samples (10–30 g), long extraction times (18–24 h depending on the sample), large amounts of solvent usage (300–500 mL per sample) and excessive loss of heat energy (Hawthorne et al. 2000).

On the other hand, maceration is considered a more suitable extraction technique as it uses lower temperatures, lesser time duration and gave higher yield of polyphenolic content. For instance, a study was done for determining the phenolic and flavonoid content of *Syzygium cumini*. L seed kernel. Soxhlet extraction gave a total phenolic content (TPC) of 30.05 mg GAE/g at 100 °C in 6 h, while batch extraction gave a phenolic content of 79.87 mg GAE/g at 50 °C in 105 min (Mahindrakar and Rathod 2020). Another investigation on extraction of curcumin was analyzed using process intensification methods. It was concluded that batch extraction gave higher yield (7.89 mg/g) at lower temperatures (30 °C) as compared to Soxhlet, which took almost the same yield at increased temperatures (Shirsath et al. 2017). Thus, it can be concluded that maceration is a more promising and affordable technique.

Maceration

Maceration is one of the go-to methods for determination of polyphenolic compounds (Ćujić et al. 2016). This is due to its simplicity, least experimental set-up, low cost and environmentally friendly characteristics.

The speed of agitation and time are the two most important factors to be considered in this technique. The speed of the magnetic stirrer may lead to a vortex formation, which leads to a turbulence when the speed of the stirrer is varied. Due to these parameters, an increase in mass transfer rate may also be possible. Thus, the speed of the stirrer should be maintained between 180 and 240 rpm. If the speed is increased, there is high variations in equilibrium concentration and hence the diffusion coefficient (Shewale and Rathod 2018). Thus, the full study is undergone until all the compounds are extracted and process reaches equilibrium (Amita Pandey and Tripathi 2014).

Apart from the advantages and simplicity in design, maceration technique poses few disadvantages. There may be batch-to-batch variations leading to error. Additionally, with the upcoming technology and fast-growing world, time could play a key role in this extraction. A huge amount of time is required for reaching equilibrium. Table 1 shows the comparison between Soxhlet extraction and maceration technique (Ozel and Kaymaz 2004; Azwanida 2015; Shukla et al. 2016) as they have extensively used for extraction studies.

Non-conventional technologies

Various studies have shown the potential of conventional extraction methods like Soxhlet extraction and maceration technique with promising results. However, such methods

require huge usage of solvent, time and energy. The commercially used techniques for the extraction include ultrasound-assisted extraction, microwave-assisted extraction and supercritical fluid extraction (Luo et al. 2018). These techniques have shown a promising potential for improvements in polyphenolic content by 32–36% with about 17.6-fold lower energy consumption as compared to thermal treatments (Maza et al. 2019). Table 2 shows the unique characteristics of the above technologies (Azwanida 2015; Llompert et al. 2019; Fayaz et al. 2020; Wen et al. 2020). Emerging techniques like high-voltage electric discharge, pulse electric field extraction and enzyme-assisted extraction have also gained interest and have been investigated with different food and plant matrices. These techniques show high quality of extract with the least consumption of raw material and energy. Figure 3 shows the emerging technologies required for the isolation of polyphenols. Figure 3a shows ultrasound-assisted extraction systems (probe and bath sonicator) illustrating the cavitation phenomenon due to application of frequency on food matrix. Figure 3b gives a schematic of the heat exchange occurring between the food material and environment on application of microwave energy. Figure 3c depicts the mechanism of supercritical fluid extraction with CO₂ as critical solvent.

Ultrasound-assisted extraction

Ultrasound-assisted extraction (UAE) deals with the application of high-intensity ultrasonic waves into the treated food sample. The technology is known for its simplicity and is comparatively cheaper as compared to other conventional extraction techniques (Dai and Mumper 2010). The introduction of high-frequency waves leads to a disturbance in

Table 1 Comparison between Soxhlet extraction and maceration technique

Criteria	Soxhlet extraction	Maceration
Terminology	Finely ground sample is placed in a thimble Solvent in the flask is heated, it vaporizes into the thimble containing sample and condenses back into the flask. When the liquid reaches the top, the contents get emptied and the extraction continues	Soaking of coarse food materials into a container with a solvent Frequent agitation and mixing take place due to which cell walls break releasing bioactive compounds The solvent is recovered, and the extract is obtained using filtration process
Sample type	Dry and finely divided solids	Coarse or powdered form
Extraction time span	Low	High
Solvent generally used	Petroleum ether, hexane	Ethanol/methanol–water mixtures
Selectivity of solvent	Solvent should be carefully selected. Exposure to hazardous or flammable organic solvents can have negative effects on the overall extraction depending on the food sample chosen	Depends on the compounds extracted from the food or plant sample
Cost	High cost as solvents chosen need to be highly pure for taking part in the extraction	The choice of solvent enhances the extraction process. Solvents used in the soaking process play a critical role
Purity and efficiency	Lower yield of polyphenolic content and flavonoids	High yield with maximum phytochemicals
Energy consumption	High	Low

Table 2 Characteristics of extraction techniques including ultrasound-assisted extraction (UAE), microwave-assisted extraction (MAE) and supercritical fluid extraction (SFE) commercially used in the industry

Criteria	Ultrasound-assisted extraction (UAE)	Microwave-assisted extraction (MAE)	Supercritical fluid extraction (SFE)
Terminology	Involves the application of ultrasound energy on the food material leading to an increase in surface area between solvent and sample owing to increased yields	Application of microwave energy for the separation of materials using a solvent. The microwave radiation interacts with the sample causing heat transfer by conduction	Involves separation of one food (a bioactive compound) component from the sample using supercritical fluids as an extracting solvent. CO ₂ is the most extensively used supercritical solvent
Unique characteristics	Alterations in the physical and chemical properties of the sample. Enhancement of mass transfer of solvent into the plant material	Improved sample recoveries with high efficiencies observed	Easy alterations can be done by changing temperature, pressure or adding a solvent with least effect on final sample
Optimum extraction parameters	20–2000 kHz, 10–30 min	400–600 W, 10–30 min, with appropriate temperature depending on samples	31 °C, 7380 kPa
Selectivity	High	Method prefers to interact with polar molecules and solvents with high dielectric constant	High
Benefits and ease of use	Simple set-up, low solvent usage and least extraction time	Least extraction time and solvent usage as compared to conventional	No cost of buying solvent and least sample usage
Disadvantages	Cavitation issues may arise and should be taken care of	Thermal degradation of compounds may occur and proper conditions should be maintained	Can only be used for extracting bioactive compounds with high yields
Cost	Low cost technology with least energy usage	Low set-up cost	High initial equipment cost
Scalability	Used for small and large-scale polyphenolic extractions	High power consumption if working on a large scale	High generally used for optimization studies

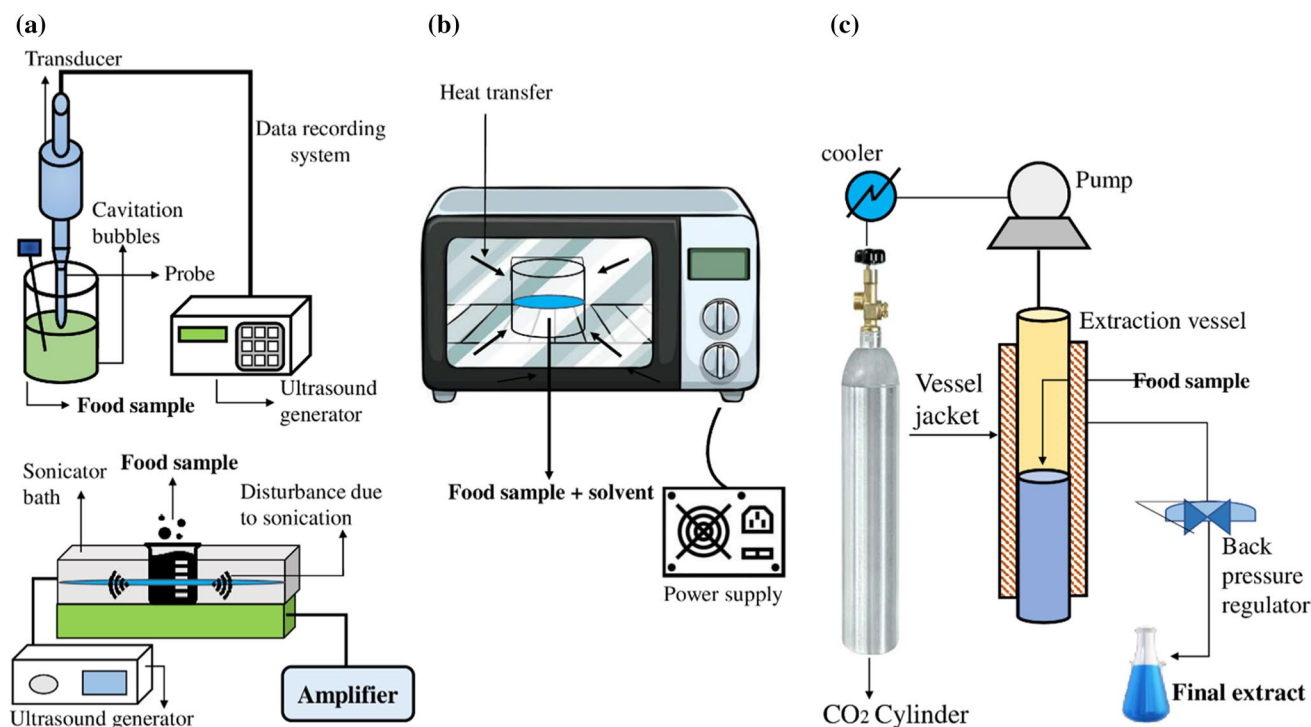


Fig. 3 **a** Ultrasound-assisted extraction (UAE) assembly with probe and bath extraction illustrating mechanism of bubble cavitation. Application of ultrasound energy leads to rupturing of cell wall of food material resulting in increased yields. **b** Schematic of a microwave-assisted extraction (MAE). The mechanism involves applica-

tion of microwave energy to the food matrix leading heat transfer. Solvents with high dielectric constants are generally preferred for the process. **c** Mechanism of supercritical fluid extraction (SFE). The technique involves the usage of supercritical fluids as extracting solvent

solute–solvent mixture, resulting in breakage of cell walls and solvent diffusion (Cares et al. 2010). Criteria such as swelling rate, disruption and particle size post-treatment need to be considered for obtaining a higher efficiency (Xu et al. 2007). An increase in intensity during the process leads to intramolecular forces breaking the particle–particle bond. This leads to bond breakage and excessive penetration of solvent into the compounds resulting in cavitation.

The reason why ultrasound extraction holds an edge with respect to technology is due to shorter residence time between particles to solvent, the usage of small amounts of material, the least amount of solvents needed for use (100 ml minimum) and increased yields in overall polyphenolic extraction (Chmelová et al. 2020; Oroian et al. 2020). It holds useful in the isolation of bioactive elements within a very small period of time. One disadvantage is the requirement of a surplus amount of constant ultrasound energy for extraction of phenolic content (Savic and Savic Gajic 2020).

Microwave-assisted extraction

Microwave-assisted extraction (MAE) is another advanced technique used for isolation of polyphenolic compounds. The introduction of electric and magnetic field leads to

heat transfer and conduction, resulting in a dipole moment between solvent and sample. The rotation also leads to successive collisions because of which thermal energy is produced in a closed environment. The phenomenon takes place very fast as the heating takes place in a closed medium. In this process, it heats the whole sample thoroughly by convection (Wen et al. 2020). Microwave extraction has smaller extraction time, minimal solvent requirement, increased extract purity, cost-effective, and better phenolic extraction yield in comparison with traditional methods. However, a tremendous amount of heat and energy loss occurs while conducting the process (Périno-Issartier et al. 2011).

Supercritical fluid extraction

In recent years, supercritical fluid extraction (SFE) has gained a lot of interest to extract bio-actives from plants at atmospheric temperatures preventing thermal denaturation. Supercritical fluid extraction is considered an efficient technique for separation studies due to its design and simplicity of construction. Transport phenomena studies allow better understanding of flow behavior and boundary conditions making it a faster extraction method than conventional techniques. Important criterions such as

temperature, pressure, sample volume, solvent additions, flow rate controlling are to be strictly considered. Many solvents were tried due to the special conditions desired for carrying out the extraction procedures. Solvents like hexane (Lee et al. 2019), pentane (Lanças et al. 1994), toluene (Pripakhaylo et al. 2019), nitrous oxide and sulfur hexafluoride (Sakaki et al. 1990) were considered to be the most suitable for studies. However, carbon dioxide (CO₂) has been the most extensively used solvent due to its ease of solvent removal and least cost (Pasrija and Anandharamakrishnan 2015). It is considered as a promising solvent because of its supercritical existence. Additionally, the gas is non-corrosive, inexpensive, colorless and odorless making it one of the ideal choices for isolation and purification in food industry (Sánchez-Vicente et al. 2009; Campalani et al. 2020).

However, there may also be few disadvantages when considering the following extraction studies. The phase equilibrium plays an essential role during the designing of a highly sensitive process with too many operating conditions to be followed. A large amount of pressure and environmental conditions also need to be met for the initiation of separation studies (Chaves et al. 2020).

Keeping the negatives aside, supercritical fluid extraction has shown positive signs as compared to other conventional techniques for extraction of polyphenolic compounds.

High-voltage electrical discharge

High-voltage electric discharge (HVED) technique is a sustainable technology involving the application of high voltages into an aqueous solution. It can be a good alternative as compared to thermal and conventional treatments. Figure 4a shows the mechanism of high-voltage electric discharge treatment. The two submerged electrodes having high voltage release energy through a plasma channel into the mixture causing the disturbance (Roselló-Soto et al. 2015). The principle of high-voltage electric discharge can be described in two phases: the first phase (prebreakdown phase) and the second phase (breakdown phase). The first phase involves the introduction of light shock waves to the food sample, thus forming small bubbles. Adjusting the electric field intensity leads to the initiation of breakdown phase, resulting in release of active ingredients from the sample. However, the modification of electric field should be performed carefully as transition from prebreakdown phase to breakdown phase (electrohydraulic phase) could lead to several effects such as strong shock waves, structural damage to the sample, plasma bubbles inside the sample and strong liquid turbulence (Li et al. 2019). Energy usage forms an important factor for better recovery of active compounds and determining the efficiency of the system. The energy of the treatment (Rajha et al. 2014; Barba et al. 2015) can be shown in Eq. (1) and Eq. (2):

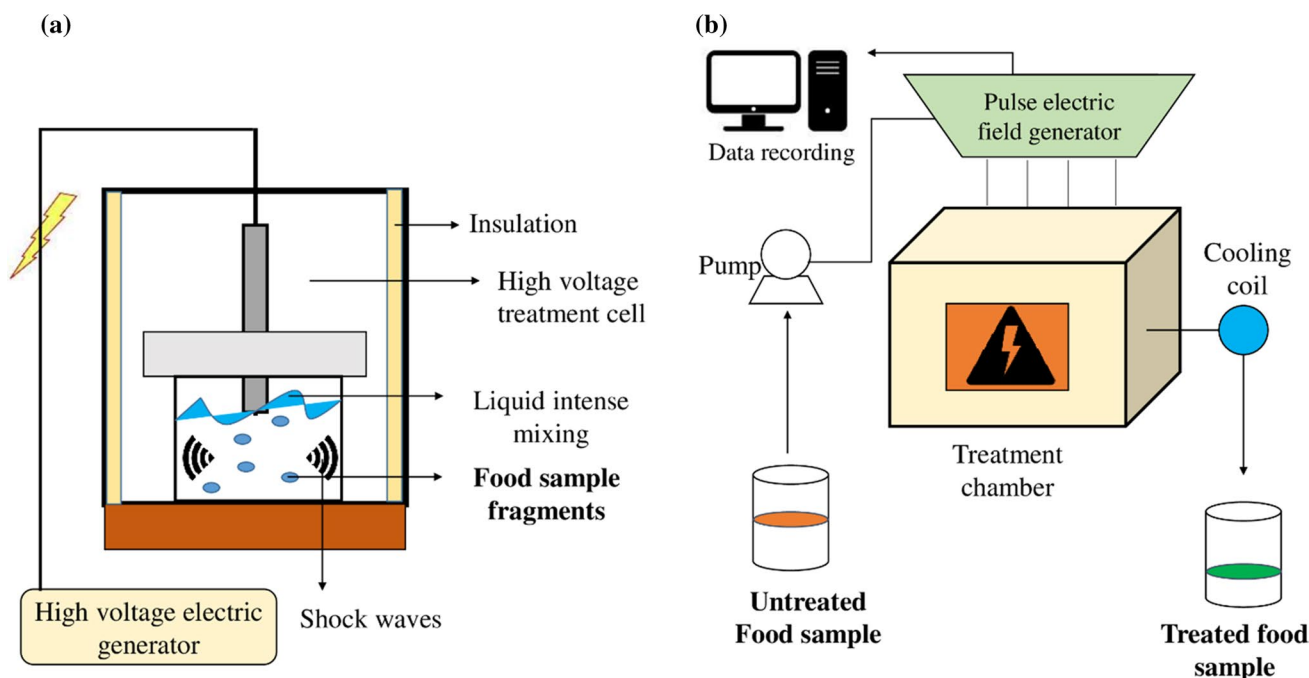


Fig. 4 **a** Mechanism of high-voltage electric discharge treatment (HVED) and **b** pulse electric field extraction (PEF) treatment

$$E = \sum_{i=1}^n \frac{W_{\text{HVED}}}{m} \quad (1)$$

$$W_{\text{HVED}} = \int_0^t VI dt \quad (2)$$

where E is the specific energy (kJ/kg), m the product mass, W_{HVED} is pulse energy (kJ/pulse), V is the voltage (V) and I is the current applied (A).

In recent years, the technology has been extensively used for extracting polyphenols from various foods like grapes (Brianceau et al. 2016), pomegranate peel (Xi et al. 2017), peanut shells (Yan et al. 2018) and papaya (Lončarić et al. 2020). The technology thus poses as a sustainable method for the extraction of polyphenols.

Pulse electric field extraction

Pulse electric field extraction (PEF) is a green technology used for extraction of phytochemicals from many food materials in the absence of heat. The method involves the usage of electric pulses of moderate intensity leading to rupturing of cell membranes. The sample is placed between two electrodes, and electric field is varied depending on the sample. The release of compounds is due to the intermittent pulses of electricity produced during the process. (Puértolas et al. 2010). Figure 4b shows the mechanism of pulse electric field extraction.

Electric field strength ($E = V/d$), pulse duration and number of pulses play an integral role in determining the efficiency of the whole sample. The intensities range from low (< 100–200 V/cm) to high (> 1500 V/cm). However, it is noted that short pulses are most effective for extraction of polyphenols in pulse electric field.

The energy consumption for this treatment (E_{PEF}) (El Darra et al. 2013) can be calculated as per Eq. (3):

$$E_{\text{PEF}} = \int_0^t \frac{VI dt}{m} \quad (3)$$

where V is the electric field voltage (V), I the current (A), t is time (s) and m is the mass of the food sample (g).

In recent years, the technique has been in demand for analyzing many bioactive compounds in fruits and vegetables like strawberry (Stübler et al. 2019), orange (Luengo et al. 2013), red beet (Loginova et al. 2011), grapes (Delsart et al. 2012; Brianceau et al. 2015), tea (Liu et al. 2019) and onion (Liu et al. 2018).

Enzyme-assisted extraction

Enzyme-assisted extraction (EAE) is a sustainable technology dealing with introduction of enzymes into a mixture enhancing overall efficiency. Figure 5 shows the mechanism of enzyme-assisted extraction. The basic mechanism involves disruption of cell wall of food material by hydrolyzing it using an enzyme as a catalyst under optimum extraction conditions for release of bioactive components (Nadar et al. 2018). Addition of an enzyme softens the cell wall of the sample giving it easier access to the solvent medium. Since bioactive compounds, polyphenols and other phytochemicals exist inside the cells and are difficult to extract, this technique helps to release such compounds. The enzymes mainly used for extraction are cellulase (Yuliarti et al. 2015; Wikiera et al. 2016), protease (Oliveira et al. 2020) and pectinase (Marić et al. 2018; Domínguez-Rodríguez et al. 2021). Table 3 shows the estimation of total phenolic content extracted from food samples using different enzymes. Particle size and enzyme ratios play an integral role in moderating the yield of polyphenols.

The technology is an environmentally friendly method majorly using water as solvent medium. Additionally, the extraction is carried out at low temperatures and requires low energy, thus preventing polyphenols from degradation. However, not many enzymes have investigated specifically for isolation in polyphenols from foods. The technology has extensively been used for extraction of polyphenols from vegetables and beverages like cabbage (Huynh et al. 2014) and wine (De Camargo et al. 2016).

Table 4 shows the estimation of polyphenolic content using conventional and non-conventional technologies as discussed above for different foods. The method selection depends on factors like raw material, concentration, bioactivity, target molecule, process yields, cost, energy consumption and impact on the environment (Maroun and Chacar 2018).

The emerging novel food processing technology for isolation of polyphenols has a promising potential to produce safe products with high quality. These techniques could minimize adverse losses taking place during conventional processing of bioactive compounds from fruits, vegetables and other products. Advanced technologies like membrane separation and encapsulation have also paved their way at a commercial level. These are discussed below.

Membrane separation

The use of membrane separation technology has been gaining lot of interest for separating as well as concentrating phenolic compounds and purifying them. The technology offers far better replacement as compared to traditional technologies like Soxhlet extraction as they possess low

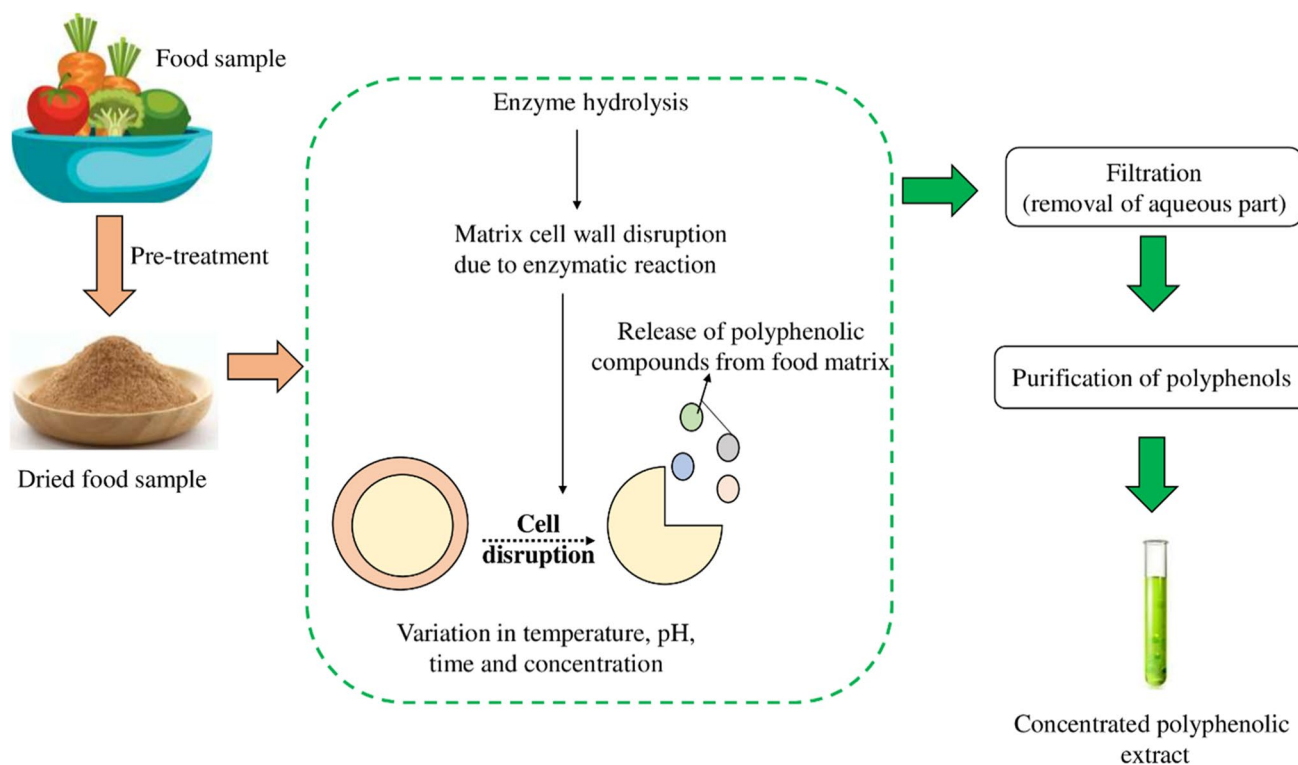


Fig. 5 Extraction of phenolics using enzyme-assisted technology (EAE). The technique is a green technology involving the addition of a suitable enzyme for increasing the overall efficiency. Addition of

an enzyme like cellulase or xylanase leads to enzymatic hydrolysis, resulting in faster cell wall breakage. Variation in process conditions leads to release of polyphenolic compounds from the food matrix

Table 3 Estimation of total phenolic content from food samples using enzyme-assisted extraction (EAE)

Food sample	Enzyme used	Conditions	Total phenolic content (in terms of gallic acid equivalent (GAE))	Reference
Chokeberry pomace	Viscozyme L and CeluStar XL	Enzyme to solute ratio: 6% v/w, 40 °C, pH: 3.5, 7 h	With enzyme: 15 mg GAE/g Without enzyme: 11.6 mg GAE/g	Kitrytė et al. (2017)
Grape pomace	Cellulase, tannase	Acetate buffer, pH: 5, 45 °C, 2 h	0.74–0.76 mg GAE/g	Meini et al. (2019)
Guava leaves	Cellulase, xylanase	Dried powdered guava leaf (5 g) with water pH: 5, 12 h Enzyme dosage: 0.5 g	With cellulase enzyme: 27.2 No significant influence on xylanase enzyme	Wang et al. (2017)
Citrus peel	Viscozyme L	Citrus peel (0.5 g), acetate buffer, pH: 4.8, 60 °C, 0.8% concentration of enzyme usage, 1 h	With enzyme: 1590 Without enzyme: 1169.23	Nishad et al. (2019)

operating costs, easy scaleup and give higher product quality (Castro-Muñoz et al. 2019). In recent years, pressure-driven membrane process mainly ultrafiltration, microfiltration and nanofiltration have been largely studied in the agro-food sector. Figure 6 shows the membrane process technology used for isolating polyphenolic compounds from a food sample. Studies done for polyphenol recovery from artichoke (Conidi et al. 2014), wine lees (Giacobbo et al. 2017) and other natural compounds (Cañadas et al. 2020) have shown variation

in improved recoveries with greater efficiencies. The processing of juices and liquid foods has also yielded useful health benefits and given higher recoveries when treated with membrane technology (Avram et al. 2017). Table 5 shows membrane separation as a technology for isolation of polyphenolic compounds from different foods.

Membrane-assisted solvent extraction has shown to be an efficient alternatives compared to traditional extraction techniques in terms of toxicity and quality of extract. This

Table 4 Estimation of total phenolic content using various non-conventional extraction methods like ultrasound-assisted extraction (UAE), microwave-assisted extraction (MAE), supercritical fluid extraction (SFE), high-voltage electric discharge (HVED) and pulse electric field extraction (PEF) for different foods

Food sample	Technique	Conditions	Total phenolic content (mg gallic acid equivalent (GAE)/g)	Conclusions	Reference
Cantaloupe melon peel and seeds	Ethanol extraction using water	200 mg powder of peels and seeds. Solvent usage: 10 ml, 6 h, 50 °C	Peel: 25.48 Seed: 1.50	Improvement in radical stability between hydrogen and phenoxyl radicals. Melon extracts could be used in food and cosmetic products	Vella et al. (2019)
Citrus peels	Maceration	0.3 g sample, 50 ml ethanol–water (20:80 v/v), 15 min, 90 °C	280–673	Highest polyphenol content was found in the flavanone hesperidin. Evaluated citrus peel by-products could be transformed into value-added products	Gómez-Mejía et al. (2019)
Mango peel	Maceration and ultrasound-assisted extraction (UAE)	Maceration: 5 g peel powder, 40 °C, 5000 rpm, 10 min Ultrasound-assisted extraction: Frequency: 35 kHz@ Temperature: 35, 45, 55 °C@Solvent analyzed: Methanol and ethanol	Maceration: 18.66 UAE: 67.58	UAE proved as a better extraction technique. Mango peel has an adequate amount of phenolics, making it a suitable ingredient for preparation of functional foods	Safdar et al. (2017)
Grape seeds	Maceration, ultrasound-assisted extraction and Soxhlet extraction	Soxhlet extraction Seed sample: 25 g, 50 °C, 300 ml n-hexane solvent usage for 6 h UAE with maceration: Seed sample: 25 g, 20 kHz, 150 W, 30 min at 30 °C-50 °C. Maceration time: 12 h	105.20	Better oil recovery observed when grape seeds were subjected to UAE as compared to traditional Soxhlet	Da Porto et al. (2013)
Apple	Ultrasound-assisted extraction (UAE) vs ultrasound-assisted extraction with hydrostatic treatment	25 kHz, 70% amplitude, 20 °C, 60 min	748	High extraction efficiency and inactivation of enzymes observed during ultrasound extraction combined with hydrostatic treatment	Abid et al. (2014)
Cantaloupe melon	Ultrasound-assisted extraction	376 W/cm ² , 10 min	–	Juice homogeneity improvements during treatment	Fonteles et al. (2012)

Table 4 (continued)

Food sample	Technique	Conditions	Total phenolic content (mg gallic acid equivalent (GAE)/g)	Conclusions	Reference
Rosemary and thyme extracts	Conventional vs ultrasound-assisted extraction	Conventional: 1200 rpm Ultrasound-assisted extraction: 28.7 W/cm ² 400 W, 40 °C	Thyme: 158 Rosemary: 15.4	Ultrasound stimulated activity of <i>Bifidobacterium</i> . Polyphenolic compounds noticed in both thyme and rosemary. @Growth rate improvement of <i>salmonella enterica</i> in thyme. Carotenoids improvements from thyme and rosemary	Munekata et al. (2020)
Citrus peels	Maceration and ultrasound-assisted extraction	Acetone (1:3), 30 min, 37 °C	21.99	Ultrasound-assisted extraction turned out to be an ideal technique in terms of yield, total phenols, flavonoids and antioxidant activity	Saini et al. (2019)
Green tea	Microwave-assisted extraction (MAE)	120,360,600 W 1,3,5 min	116.58	Optimum conditions were 350.65 W power, 5 min irradiation time	Taşkın and Aksoylyu Özbek (2020)
Sea buckthorn bush	Conventional extraction vs microwave-assisted extraction	Microwave extraction (MAE): 400 W, 20–100 °C, 15 min Conventional: 8000 rpm, 5 min	Microwave-assisted extraction (MAE): 1147 Conventional: 741	MAE turned out to be more preferred extraction method as compared to conventional for determining polyphenolic content antioxidant activity of the bush food	Périno-Issartier et al. (2011)
Carrot juice	Microwave-assisted extraction	165 W, 9,39 min extraction time, 8,06:1 g/g oil to waste ratio	215	Enriched flaxseed oil used in carrot juice was in good quality, high in phenolic content and antioxidant activity (70% inhibition)	Elik et al. (2020)
Propolis	Maceration, microwave-assisted extraction, ultrasound-assisted extraction	Maceration: 24 h, 250 rpm, room temperature ultrasound extraction: 20 kHz, 15 min microwave extraction: 140 W, 1 min	185–504	Ultrasound-assisted extraction proved as a much efficient technique for isolation of polyphenolics extraction efficiency and flavonol content. Sample preparation of propolis played a critical role in obtaining the results	Oroian et al. (2019)

Table 4 (continued)

Food sample	Technique	Conditions	Total phenolic content (mg gallic acid equivalent (GAE)/g)	Conclusions	Reference
Carob bark	Microwave-assisted extraction	80 °C, 35% ethanol, 29.5 min	33.6	High amount of gallic acid was seen. Microwave extraction was found to be a suitable technique for revalorization of agro-food waste	Quiles-Carrillo et al. (2019)
<i>Lamiaceae</i> herbs	Supercritical fluid extraction (SFE)	35 MPa, 100 °C	–	Highest amount phenolic diterpenes detected in thyme and sage. Improved antioxidant activity as compared to commercial antioxidants	Babovic et al. (2010)
Spearmint leaves	Supercritical fluid extraction and solvent extraction	Supercritical extraction: 100–300 bar, 40–60 °C, 60–90 min	–	Greater flavonoid content with higher yields. Best yield was achieved at 200 bar, 60 °C and 60 min. Solvent extraction: 257.67 mg/g Supercritical fluid extraction: 60.57 mg/g	Bimakr et al. (2011)
Apple seeds	Supercritical fluid extraction and Soxhlet extraction	24 MPa, 40 °C, 1 L/h of CO ₂ , 140 min	Supercritical fluid extraction: 2.96 µg Soxhlet: 1.56 µg	SFE gave higher oxidative stability than Soxhlet extraction. The final product post-supercritical extraction was rich in linoleic acid (63.76 g/100 g of oil)	Ferrentino et al. (2020)
Strawberry leaves	Supercritical fluid extraction	308 K, 20 MPa, ethanol: CO ₂ : 1:10	1709.1 µg	Solvent density, solubility of organic compounds and vapor pressure played an important role influencing phenolic content and antioxidant activity	Sato et al. (2019)
Sesame cake	High-voltage electric discharge (HVED)	Energy input: 83 kJ/kg, 10% ethanol, 0.5 Hz, pulse duration: 10 µs	54.3–440.3	Technique showed least usage of organic solvents with higher diffusion giving increased efficiency	Sarkis et al. (2015)

Table 4 (continued)

Food sample	Technique	Conditions	Total phenolic content (mg gallic acid equivalent (GAE)/g)	Conclusions	Reference
Orange peels	High-voltage electric discharge and enzyme-assisted extraction	Energy input: 222 kJ/kg, 80 min	700	Intense extraction of biomolecules with high polyphenols and reducing sugar yields from defatted orange peels was found during the combination of high-voltage electric discharge and enzyme-assisted extraction	El Kantar et al. (2018b)
Grape seeds	High-voltage electric discharge	Number of discharges: 300, electric field strength: 40 kV/cm, electrode diameter: 25 mm	8300	Peleg's model showed the best extraction kinetics ($R^2 > 0.995$)	Liu et al. (2011)
Pomegranate peels	High-voltage electric discharge	Voltage: 40 kV, electrode diameter: 35 mm, electric field strength: 10 kV/cm, Time taken: 7 min	46	High-voltage electric discharge improved the recovery of polyphenols by 3 for ultrasound extraction and by 1.3 times for pulse electric field extraction	Rajha et al. (2019)
Grape fruit peels	High-voltage electric discharge	Energy: 7.27 kJ/kg to 218 kJ/kg, 20% aqueous glycerol	86	Addition of glycerol reduced pretreatments by 6 times. Same diffusivity of polyphenols was obtained in water from high-voltage electric discharge at 218 kJ/kg and in aqueous glycerol at 36 kJ/kg	El Kantar et al. (2019)
Onion	Pulse electric field extraction (PEF)	2.5 kV/cm, 90 pulses, 45 °C	102.86	Technique proved an environmentally friendly method with greater extraction yields and least sample consumption as compared to Soxhlet extraction	Liu et al. (2018)
Tea	Pulse electric field extraction	1.25 kV/cm, 100 pulses, energy: 22 kJ/kg, 2 h	398	77% of total polyphenols were extracted on application of electric field. Significant increase in extraction recovery implied improvement in cell membrane permeability post-treatment	Liu et al. (2019)

Table 4 (continued)

Food sample	Technique	Conditions	Total phenolic content (mg gallic acid equivalent (GAE)/g)	Conclusions	Reference
Lemon residues	Pulse electric field extraction	0,3,5, 7 kV/cm, 0, 2,5, 5 bars pressure, extraction time: 45 min	292	Huge variations in phenolic content after pressing the sample and increasing the field strength	Peiró et al. (2019)
Orange, pomelo, lemon	Pulse electric field extraction	3 kV/cm and 10 kV/cm	–	Efficiency increased post-pressing by: 25% for orange, 37% for pomelo and 59% for lemon	El Kantar et al. (2018a)

is mainly because of the least solvent usage (approximately 800 μL) for extraction. The analyte passes through the membrane to the acceptor phase according to the partition coefficient in the sample-solvent mixture (Vincelet et al. 2010). Additionally, the entire extraction is carried out in a vial on a flat membrane separating the aqueous phase with organic phase, thus not requiring any space to perform (Barbara Hauser 2002). Nonpolar solvents are generally preferable to inhibit loss of solvent through membrane. The technology with direct coupling to large-volume injection and gas chromatography detection has shown to be a quick and economic procedure for estimation of bioactive compounds from different foods and wastewaters (Schellin and Popp 2005; Rodil et al. 2007; Antónia Nunes et al. 2019).

However, one of the major challenges faced during separation and isolation of compounds is membrane fouling. Membrane fouling is the most influential factor that restricts the performance of membranes in its long-term operations. Factors such as origin of foulants, pore size and materials used play a vital role during characterization and control aspects (Chang et al. 2019). There have been several studies done to reduce the blockage of membranes and fabrication improvements (Dickhout et al. 2019; Li et al. 2020; Xu et al. 2020). Due to this reason, many membranes are used more as a purification process than as an extraction technology. The general method to evaluate membrane fouling is to compare the water flux through original and used membranes keeping same parameters. It can be determined using the formula (Seifzadeh et al. 2019) as given by Eq. (4):

$$F_w = \frac{\Delta P}{\mu_w R} \quad (4)$$

where F_w is pure water flux ($\text{L}/\text{m}^2 \text{ h}$), ΔP is feed pressure (Pa), μ_w is water viscosity (Pa s) and R is membrane resistance.

Thus, it can be concluded that although the technology has progressed through the years, advancements still need to be carried out for better scaleup purposes.

Encapsulation techniques

Encapsulation technology has been considered as a highly advanced method for enabling modification of physical properties or isolation of food materials. In recent years, various researchers have recommended carrier agents and phenolic compounds, which can be used for producing micro- or nanocapsules (Lohith Kumar and Sarkar 2018; Saini et al. 2020). The encapsulation technique gives polyphenols and other micronutrients protection from the environment (Ezhilarasi et al. 2013). Certain factors need to be considered for determining the performance of encapsulation technology. Figure 7a shows the experimental procedure

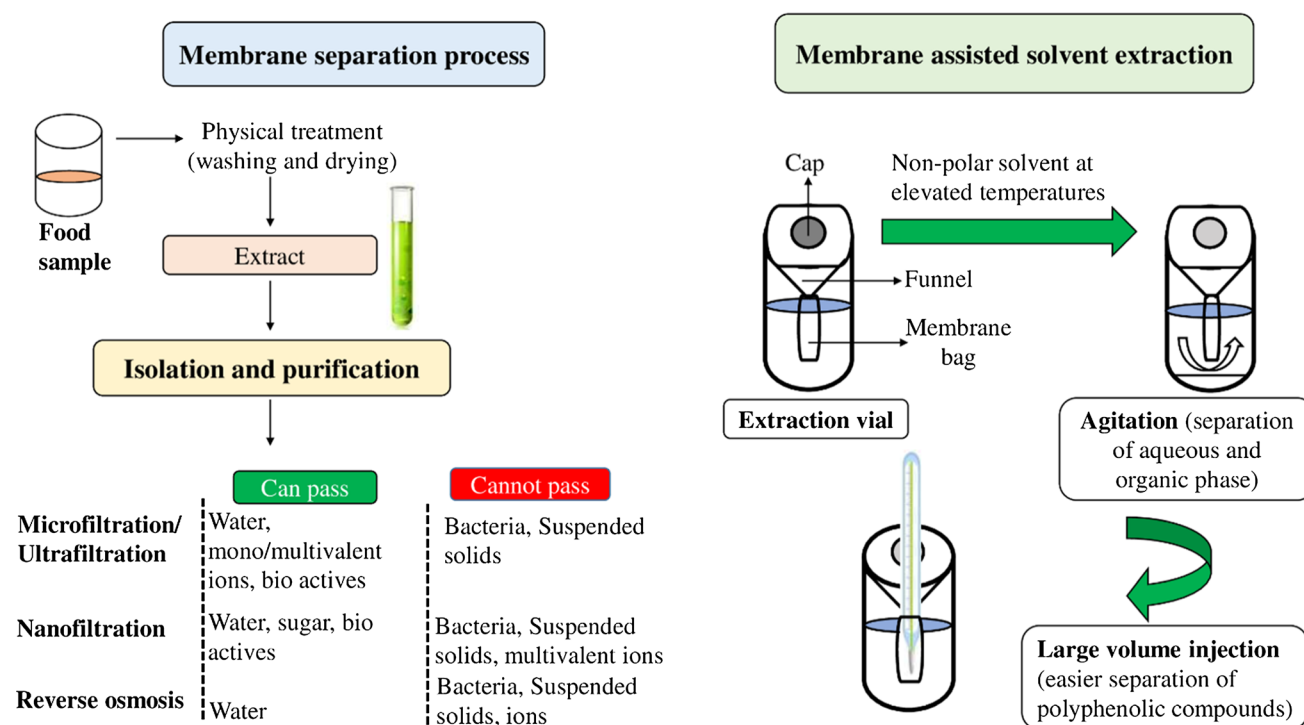


Fig. 6 Membrane process used for isolating polyphenolic compounds from different foods. Membrane separation technology has various benefits like easy operation, environment friendliness, energy savings and high quality of products

involved in encapsulation. Figure 7b illustrates the step-by-step mechanism for extraction of polyphenols using encapsulation technique using suitable wall material. Selection of technique and wall material holds a key factor to encapsulate phenolic compounds. The carrier or wall material is important as it controls the release action and protects the efficiency. Several techniques and wall materials have been evaluated for encapsulation of polyphenolic compounds as discussed in Table 6.

However, there remains a huge gap in finding a universally applicable technique for polyphenol encapsulation due to their complex structure. Another challenge lies in keeping up to the consumer standards in terms of nutritional value, product quality, safety and cost. In the last few years, several other innovative green technologies have also been analyzed for improving the characteristics and yield of polyphenols in foods. Table 7 gives an overview of such green technologies, which are in their early stages and have a potential for scaleup.

Process parameters for optimization

Influence of solvent

It has been found that solvents are one of the main factors influencing the reaction kinetics. Solvents play a major

role in the reactions between radicals and antioxidants (Tavasi et al. 2009). Phenolic compounds generally show a higher yield and greater solubility characteristic toward organic solvents (Hameed et al. 2020). When the food power is added, a cell wall breakage occurs. Due to this, diffusion reaction takes place bringing out phenolic compounds in the solution. It should be noted that solution should not be kept for a long time as the compounds are highly volatile. Due to excessive reaction temperature, the molecules can also form polyphenol oxidase leading to mutual equilibrium between the free and blocked groups in the structure of food material (Olszowy 2019). Literature has also reported that a shortage of solvent can also lead to multiple clusters and bond formation in the measuring system (Dawidowicz and Olszowy 2013; Dawidowicz et al. 2015). Solvent toxicity is another issue which needs to be carefully considered. For instance, a recent study analyzed the effects of toxicity during polyphenolic compounds extraction from red grapes (Makris et al. 2016). After optimization using response surface methodology and carefully comparing the two solvents, it was found that mixing 20% w/v glycerol was a more preferred option as compared to 2% w/v tartaric acid, which gave a negative effect on polyphenol recovery. Thus, choosing the right solvent for food sample needs to be given adequate importance irrespective of the extraction technique conducted.

Table 5 Application of membrane separation technology for isolation of polyphenolics from different foods

Food sample	Technique	Conditions	Phenolic content—(mg gallic acid equivalent (GAE)/g)	Conclusions	References
Pistachio hull	Membrane separation with ultrasound-assisted extraction (UAE)	2 stage membrane process: 1 kDa cellulose membrane, 4 bar pressure, 250 rpm	120.31	Highest amount of phenolic compound and antioxidant activity in the retentate part. 34 compounds were found. Most abundant were gallic acid, galloylshikimic acid, pyrogallol and quercetin	Seifzadeh et al. (2019)
Red wine lees	Microwave-assisted extraction (MAE) and membrane separation	3 stage membrane process MAE: 356 Wh, 0.5–3 min, 1:10 wine; solvent used Membrane area: 13.85 cm ² , 68.9 bar Pore size: 0.15 µm	933–1939	Usage of membrane separation technology gave more importance to membrane material used and pore sizes. Aliphatic polyamide membrane gave the highest retention toward polyphenolic compounds as compared to polyvinylidene fluoride and cross-linked membranes	Arboleda Meija et al. (2019)
Pomegranate juice	Membrane separation using polyvinylidene fluoride and polysulfone membrane	Absorbance: 765 nm	Polyvinylidene fluoride membrane: 1934.3 Polysulfone membrane: 1888.1	Lower retention of polyvinylidene fluoride membranes as compared to polysulfone membranes	Galiano et al. (2016)
Roselle extract	Ultrafiltration and nanofiltration membranes	Membrane area: 0.0155 m ² , thermal bath temperature (35 °C)	Ultrafiltration: 29.1 Nanofiltration: 28.4	Nanofiltration membranes gave higher (95%) permeate fluxes and retention values for total soluble solids, acidity and bioactive components. No damages in quality of the extract	Cissé et al. (2012)
<i>Lyciumbarbarum</i> . L extracts	Membrane separation with aqueous extraction	Polyethersulphone membrane (0.3–0.4 kDa)	1870.7	73–80% retention values of total polyphenols were observed	Conidi et al. (2020)

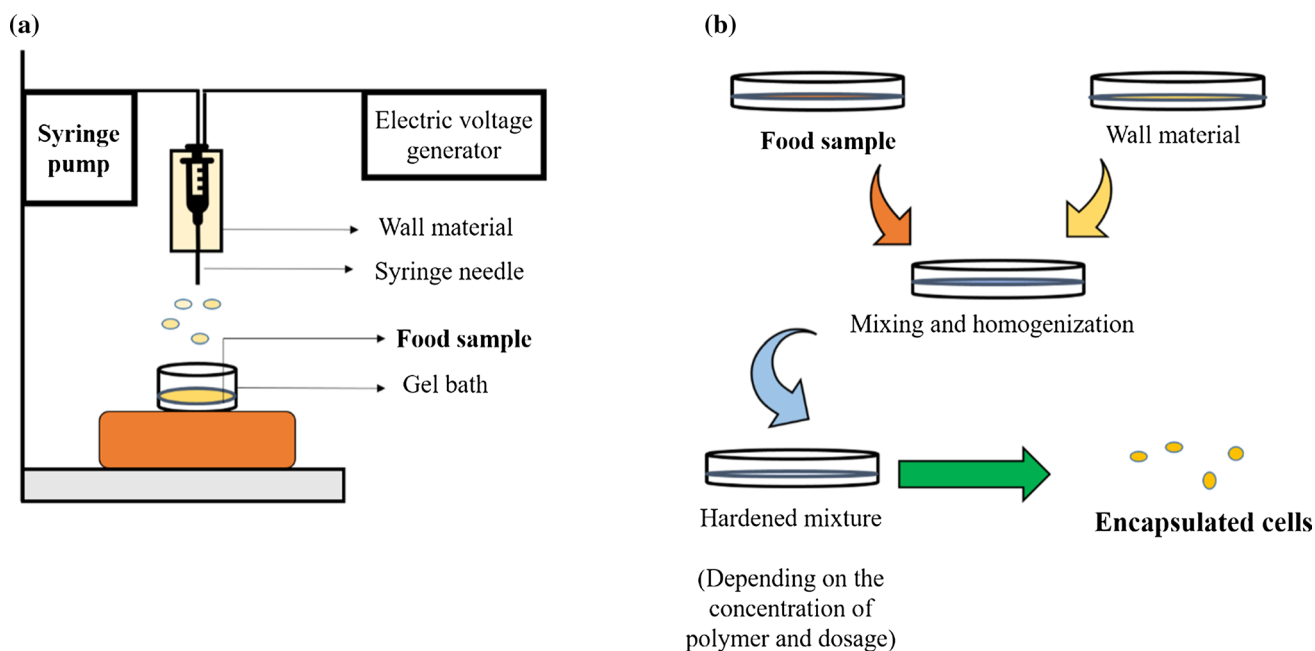


Fig. 7 Illustration of **a** experimental procedure and **b** mechanism for encapsulating polyphenols from food samples using wall materials. The main goal of encapsulation is to resist the core material from

external conditions and effects like light, moisture, temperature and oxygen resulting in shelf-life increase. Proper selection of wall material is necessary for increased efficiency of final product

Influence of solid–solvent ratio

The selection of solvent-to-solid ratios plays a vital role in process optimization and can thus have an impact on the yield. The main objective is to pick the best ratio for intensification studies. The amount of polyphenolic compounds depends on polarity of solvent, and it generally increases in the order of methanol > ethanol > ethyl acetate > acetone > n-butanol > water (Vetal et al. 2013). The initial extraction rate is not impacted in the whole extraction process. The extraction can be explained in the following phases: the solvent begins to mix with the solute and disruption of sample cell causes release of bioactive compounds which are extracted accordingly (Herodež et al. 2003; Qu et al. 2010). However, an increase in the solvent ratio can affect the whole extraction process leading to solvent wastage. This is due to the hydroxyl groups and hydrophilic compounds present in the solution. The hydroxyl groups mix with the polyphenols and hydrophilic compounds forming an enzyme polyphenol oxidase. This leads to difficulty in isolation of polyphenolic compounds due to improved enzyme activity (Shewale and Rathod 2018). For instance, a study was conducted to understand the polyphenol recovery in snake grass medicinal plant using microwave-assisted extraction. The results after mathematical modeling indicated a water–ethanol solvent mixture of 50% vol of ethanol and solid-feed ratio of 14 mL/g gave optimum results and increased the extraction rate by twofold–fivefold (Mustapa

et al. 2015). On the contrary, it should be noted that a higher water/solvent ratio infers more water and less solvent being utilized during extraction leading to greater energy consumption for performing the study. Also, secondary factors like cost and vapor pressure may affect the extraction yield. Thus, a correct consistency in solute to solvent needs to be maintained for better extraction of bioactive compounds.

Influence of temperature

Beside the solvent type, the temperature is another variable that affects overall efficiency. It has been analyzed that extraction of thermally stable compounds offers increased yields at greater temperatures and lesser extraction times (Cissé et al. 2012). This may be due to the barrier properties when the solute diffuses into the solvent. For instance, an optimization study was conducted on Jaboticaba leaves (a Brazilian native plant) using pressurized liquid extraction. The variables chosen were temperature of 313–393 K, pressure 5–10 MPa and extraction time 3–15 min. The findings showed an 8% rise in phenolic content and 13% in anthocyanin content with optimized conditions of 553 K, 5 MPa with 9 min time (Santos et al. 2012). Additionally, an increase in temperature of approximately 200 K had a significant impact on the overall yield. On the other hand, for thermally sensitive compounds, increased temperatures have negative effects on the overall extraction process, resulting in degradation or loss of volatile phenolic/flavonoid compounds

Table 6 Encapsulation techniques for separation of polyphenols from food samples

Encapsulating technique	Process technology	Polyphenols extracted	Wall material	Food sample	Inferences	Reference
Liposomes	Flexible system which can entrap both oil and water functional compounds. Generally used for entrapping aqueous solution within a lipid membrane	Catechin, epicatechin, quercetin, vanillin	Chitosan	Coca hull via drinking yoghurt	Reduction in phenolic degradation by protecting them from interacting with other proteins in yoghurt	Altin et al. (2018)
Electro-spinning	Rapid technique involving the application of electric field to stretch the ultra-thin filaments using a syringe needle. Generally carried out at room temperature to stop the degradation of polyphenol compounds	Phenolic acids and anthocyanins	Gelatin	Sour cherry	Eight times better protection of glucoside molecules as compared to non-encapsulated sour cherry concentrate	Isik et al. (2018)
Electro-spraying	Single-step process where the solution is subjected to electric field and is broken into droplets due to high electric potential. The technology is a slight modification of electrospraying process	Curcumin	Water-soluble protein	Turmeric	Elimination of interactions between curcumin and muscle proteins Reduction of antioxidant activity observed	Gómez-Estaca et al. (2015)
Spray drying	Technology involves dispersion of phenolic compounds into the carrier material followed by atomization in a hot chamber. The solid particles formed from liquid droplets offer increased stability and solubility	Polyphenolic compounds	Sodium alginate	Olive leaf	Protection and controlled release of oleuropein under gastric conditions observed	González et al. (2019)
Freeze-drying	Process involves pressure reduction with removal of water from frozen food materials. Involves a phase change from solid to gaseous phase. Generally used for encapsulating water-soluble bioactive compounds	Flavonoids	Whey proteins, pectin	Yellow onion	Results showed that the encapsulated polyphenols can be used as a functional food ingredient and had improvement on consumer's health	Milea et al. (2019)

Table 6 (continued)

Encapsulating technique	Process technology	Polyphenols extracted	Wall material	Food sample	Inferences	Reference
Emulsification	Encapsulation technique involves dispersion of two or more immiscible liquids where one liquid gets dispersed in the form of droplets. @Technique offers better stability and controlled release of polyphenolic compounds	Resveratrol molecules	Chitosan	Nutraceuticals	Slowing down of diffusion rate and release kinetics were studied using encapsulation techniques	Sanna et al. (2015)

(Xiao et al. 2008). With increase in temperature, there may be better interaction and improved analyte contact with solvent. Thus, temperature is a critical component, which needs to be monitored carefully according to the amount of sample used.

Influence of particle size

Modifications in particle size can greatly affect the extraction yields of plant samples. Size reduction offers greater surface area for better interaction between food matrices. Miniscule variations in particle size can lead to significant changes in overall yield. For extraction of polyphenolic compounds, a smaller particle size involves greater diffusivity and thus an improved mass transfer. As the particle size is small, cell rupturing is easier. For instance, a study done on black chokeberry showed a variation in phenolic content among different plant parts (pulp, seeds and pellicle) (Galvan D'Alessandro et al. 2012). Similar studies were conducted on spruce bark (*Piceaabies*), which also showed positive results. The results revealed lowest size gives the highest amount of phenolic acids and tannins (Pătrăuțanu et al. 2019). Another research was done to investigate the particle size variation on soybean meal using supercritical fluid extraction. The study showed an optimum particle size for soybean meal lies in the range of 20–30 mesh. Additionally, it was concluded that a small variation from this particle size (lesser or greater than 20 mesh) could result in a significant reduction (10–15% decrease) in recovery of bioactive compounds (Zuo et al. 2008). Thus, the parameter can play a vital role in determining the extraction kinetics.

Kinetic modeling

The kinetic study is generally conducted to for better reaction control and understanding degradation rate of the extraction. Various mathematical models have been explored to evaluate their feasibility. An extensive research has being undertaken to estimate the degradation of total phenolic compounds from different foods (Karacabey et al. 2013; Shewale and Rathod 2018). Table 8 shows a list of various kinetic models applied to food samples. However, main challenge lies in understanding the mechanism of initial period of extraction. The kinetic extraction takes place in two stages (Sturzoiu and Stroescu 2011): the first stage involves washing stage where there is an initial mixing of solute with solvent. The second stage involves a much slower solute transfer with a diffusion process. The concentration of compounds (C_s), extraction order (n), activation energy (E_a) and rate constant (k) plays a vital role in understanding kinetics of the extraction. Mathematics models and statistical evaluation using regression

Table 7 Green technologies that have a potential at commercial level

Technology involved	Process methodology	Food analyzed	References
Cloud point extraction	<p>One-step procedure involving the extraction of polyphenolic and bioactive compounds using nonionic surfactants.</p> <p>The surfactants tend to separate out from the main solution yielding a cloud formation when heated.</p> <p>Simple and rapid process with reduced extraction time, less toxic and yields negligible environmental pollution as compared to conventional techniques</p>	Olive oil	Kiai et al. (2018)
Ultrasound-assisted extraction (UAE) using glycerol-based natural eutectic mixtures	<p>Technology involving mixing of two solid materials with high melting points which do not interact to form a new chemical compound.</p> <p>Hydrogen bonding interactions and phase behaviors play a key role in studying this process</p>	Agri-food wastes	Mouratoglou et al. (2016)
Infrared irradiation technology	<p>One of clean energy sources for improved extraction of natural products and bioactive compounds using a ceramic infrared emitter.</p> <p>Entire extraction requires low energy, easy to use, economical and has a great potential for scaleup</p>	Pomegranate, olive, apricot pomace	Abi-Khattar et al. (2019); Rajha et al. (2019)
Rapid solid–liquid dynamic extraction	<p>An innovative solid–liquid cyclic pressurization process involving the rapid extraction of polyphenols from their organic or inorganic solvent mixtures. The technique uses liquid pressure and takes place at room temperature (or slightly lower) in order to avoid thermal stress on phenolic compounds.</p> <p>The technique is environmentally friendly and requires less energy as compared to conventional extraction process</p>	Wine	Gallo et al. (2019)
Vacuum-based solvent-free microwave extraction	<p>Green extraction method which does not require solvent usage.</p> <p>The food matrix is exposed to microwave radiation leading to expansion of cells resulting in extraction of solutes. The application of a vacuum condition allows the boiling point of solvent (water) to become lower than ambient pressure. Thus, the water can continuously boil at a reduced pressure and temperature allowing much efficient mixing preventing polyphenols from degradation</p>	Medicinal herb (<i>C. nutans</i>)	Othman et al. (2020)

coefficient (R^2), root-mean-square deviation (RMSD), normal root-mean-square deviation (NRMSD) have been proposed for making a strong agreement between theoretical data and experimental data.

Peleg's model

This model is generally used to determine the quality or decay rate of food materials. The hyperbolic model equation

Table 8 Kinetic models widely explored for different food materials

Model name	Formula	Food sample	Process technology	Mathematical inferences	Reference
Peleg's model	$C_t = \frac{t}{(k_1 + k_2 t)}$	Spruce bark (<i>Piceaabies</i>)	Ultrasound-assisted extraction	$R^2 = 0.98$	Charpe and Rathod (2016)
First-order model	$\log(C_s - C_t) = \log(C_s) - \frac{k_1 t}{2.303}$	Chickpea, bean	Batch extraction in distilled water	E_a for chickpea = 301.28 kJ/mol E_a for bean = 86.77 kJ/mol	Shafaei et al. (2016)
		Pomegranate peel	Ultrasound-assisted extraction	$R^2 = 0.964$ RMSE = 0.034 Duty cycle = 90%	Rakshit et al. (2020)
Second-order model	$C_t = \frac{1}{\left(\frac{1}{b} + \frac{t}{c}\right)}$	Jamun (<i>Syzygiumcumini. L</i>)	Maceration (stirring) vs membrane separation	$E_a = 9.5$ kJ/mol 0.45 μ m membrane was suitable for best polyphenol recovery and purity	Balyan et al. (2019)
		Saffron floral bio-residues	Microwave-assisted extraction	$R^2 > 0.99$ RMSE: 0.65–3.35%	Da Porto and Natolino (2018)
Page model	$C_t = \exp(-kt^n)$	Apple pomace	Maceration method (stirring)	$R^2 = 0.9873$	Skrypnik and Novikova (2020)
		Soybeans	Solvent extraction	$R^2 = 0.961$ RMSE = 0.108	S. et al. (2010)
Power law model	$C_t = Bt^n$	Red rice	Convective drying	$R^2 = 0.99$ Chi-square < 0.014665 Temperature = 80 °C	(Santos et al. (2020)
		Craft beer	Ultrasound-assisted extraction with water as solvent	$B = 0.073$ $n = 0.342$ RMS = 5.35 Temperature = 47 °C	Alonso-Riño et al. (2020)
Logarithmic model	$C_t = a \log t + b$	Soybeans	Solvent extraction	$R^2 = 0.989$ RMSE = 0.082	S. et al. (2010)
		Green tea	Pressure-assisted extraction and solvent extraction	$R^2 = 0.996$ Yield obtained at 300 MPa: 17.9% 500 MPa: 29.5% Yield obtained during solvent extraction: 1.81%	Xi et al. (2015)
Two site kinetic model	$\frac{C_t}{C_0} = 1 - F e_1^{-k_1 t} - (1 - F) e_2^{-k_2 t}$	Grape marc	Ultrasound-assisted extraction	Highest yield of 24.42 mg/g total phenolic content was obtained for 80 min at 47.4 W/L	Tao et al. (2014)
Weibull distribution model	$\frac{C_t}{C_0} = \exp(-bt^n)$	Sugarcane juice	Ohmic heating (moderate electric field) and conventional extraction	Presence of electric field influenced the overall extraction at 60 °C and 80 °C. Amount of total phenolic content degradation = 23%	Brochier et al. (2016)
		Rosemary	Electrospinning	88% of rosemary polyphenols were released in food simulants	Estevez-Areco et al. (2018)

describes the determination of several compounds from plant extracts. It is given by Charpe and Rathod 2016 as per Eq. (5):

$$C_t = C_0 + \frac{t}{(k_{p1} + k_{p2}t)} \quad (5)$$

where C_t is the concentration during the extraction study at time t (mg/g powder), K_{p1} Peleg's rate constant (min g/mg) and K_{p2} Peleg's capacity constant (g/mg). As the initial concentration of solute is zero, C_0 term is neglected. The extraction takes place in two stages: at the start it is first order and then moves down to zero order. Peleg's equation with time can be given as per Eq. (6):

$$C_t = \frac{t}{(k_{p1} + k_{p2}t)} \quad (6)$$

The value of C_t is calculated by Eq. (6) by plotting a graph between $1/C_t$ vs $1/t$ for determining values of K_{p1} and K_{p2} (Vetal et al. 2013).

First-order model

The differential form of first-order model equation (Harouna-Oumarou et al. 2007) can be written as Eq. (7),

$$\frac{dC_t}{dt} = K_{F1}(C_s - C_t) \quad (7)$$

where K_1 is the first-order rate constant and t is the time at that instant.

Applying the boundary conditions $C_t=0$ at $t=0$ and $C_t=t$ at $t=t$, we get the integral form as Eq. (8),

$$\ln\left(\frac{C_s}{C_s - C_t}\right) = K_{F1}t \quad (8)$$

Rearranging to get the linear form as Eq. (9),

$$\log(C_s - C_t) = \log(C_s) - \frac{K_{F1}t}{2.303} \quad (9)$$

The $\log(C_s - C_t)$ vs time (t) graph was plotted for different parameters. By plotting the graph, calculations were done by K_{F1} as slope and C_s (concentration of the solution obtained during equilibrium) as the intercept value.

Second-order model

Another suitable kinetic model applied for evaluating the rate kinetics is the second-order model. This model was first used to understand the extraction process of polyphenolic content activated from saffron residues. It concluded enough data regarding the rate kinetics of solid–liquid extraction process (Da Porto and Natolino 2018).

The rate of the solid–liquid extraction can be mathematically described by Eq. (10):

$$\frac{dC_t}{dt} = k_s(C_s - C_t)^2 \quad (10)$$

where C_t is the concentration of polyphenolic compounds during extraction at time (t), C_s the concentration of polyphenolics when the extraction reaches equilibrium and k is the second-order reaction rate constant.

After applying boundary condition, $C_t=0$ to C_t and $t=0$ to t Eq. (11)

$$C_t = \frac{C_s^2 k_s t}{1 + C_s k_s t} \quad (11)$$

Solving Eq. (11) to get Eq. (12),

$$\frac{t}{C_t} = \frac{1}{k_s C_s^2} + \frac{t}{C_s} = \frac{1}{h} + \frac{t}{C_s} \quad (12)$$

Re-arranging Eq. (12), we get the final equation for calculating total polyphenolic concentration to get Eq. (13):

$$C_t = \frac{1}{\left(\frac{1}{h}\right) + \left(\frac{t}{C_s}\right)} \quad (13)$$

where h is the initial extraction rate, k_s is second-order rate constant, which is graphically calculated using slope and intercept by plotting the t/C_t vs t graph (Qu et al. 2010).

Page model

The page model has been extensively used for calculating the diffusion rate for different grains and seeds (Roberts et al. 2008). The model can be mathematically described as Eq. (14):

$$C_t = \exp(-k_p t^n) \quad (14)$$

where C_t is the experimental concentration at time t and k refers to drying rate constant.

The normalized page equation is Eq. (15):

$$\ln[-\ln(C_t)] = \ln(k_p) + n \ln(t) \quad (15)$$

The values of k and n are determined by plotting the $\ln(-\ln(C_t))$ vs $\ln(t)$ curve. The graph will determine k and n as the intercept and slope of the curve.

Power law model

Power law model is generally applied to understand the diffusion process of a solvent or a chemical agent through

non-swelling devices. The formula can be defined as Eq. (16) and Eq. (17):

$$y = Bt^n \quad (16)$$

$$\ln y = n \ln t + \ln B \quad (17)$$

where y is the yield of the food material or final concentration, B is the constant of the carrier agent applied during the extraction, t is the time (min) and n refers to the diffusional exponent required for transport mechanisms (Kiew and Mat Don 2013).

Logarithmic model

Logarithmic model was proved the best fit for extraction of bioactive compounds with various medicinal plants possessing antioxidant properties (Ali et al. 2018). The mathematical equation can be written as Eq. (18):

$$C_t = a \log(t) + b \quad (18)$$

where a and b are logarithmic model constants, C_t refers to the concentration of phenolic compounds at time t .

Patricelli's model

The Patricelli's model was applied to explain the active ingredients and polyphenolic extraction in various food materials. The model is based on two simultaneous processes: (1) washing stage, where the active ingredients are washed within the solvent, and (2) diffusion stage, where the polyphenolic compounds are broken within the cell matrix leading to decrease in particle size. The mathematical equation can be written as Eq. (19):

$$C_t = C_1(1 - \exp(-k_{PA1}t)) + C_2(1 - \exp(-k_{PA2}t)) \quad (19)$$

where C_1 is the active ingredient yields at equilibrium for washing step (% w/w), C_2 the active ingredient yield at equilibrium for the diffusion step (% w/w), k_{PA1} the mass transfer coefficient for washing step (min^{-1}), while k_{PA2} is for the diffusion step (min^{-1}). It is assumed that k_{PA1} is greater than k_{PA2} . The derivative form of Patricelli's equation can also be written as Eqs. (20) and (21):

$$v = \frac{dC}{dt} = k_{PA1}(C_1 \exp(-k_{PA1}t) + k_{PA2}C_2 \exp(k_{PA2}t)) \quad (20)$$

The rate during the start of the reaction (v_0) at $t=0$ is:

$$v_0 = \left(\frac{dC}{dt} \right)_{t=0} = k_{PA1}C_1 + k_{PA2}C_2 \quad (21)$$

Two-site kinetic model

The two-site kinetic model is applicable to two different solute fractions and can take place in fast and slow extraction periods. The desorption rate of fast extraction of polyphenols (F) is given by k_{T1} and that of slow extraction ($1-F$) is given by k_{T2} . The mathematical equation can be described as follows: Eq. (22) (Duba et al. 2015):

$$\frac{C}{C_0} = 1 - \left[F e^{-k_{T1}t} \right] - \left[(1-F) e^{-k_{T2}t} \right] \quad (22)$$

where C is the mass of polyphenols extracted per mass of substrate, C_0 is the initial mass of total polyphenols per mass of substrate and t is the time.

Weibull distribution model

The Weibull distribution model is based on assumption that thermal sensitivity to heat depends on transient heating intensity and residual activity. The distribution is generally used for analyzing enzyme activity at different processing conditions. The mathematical equation for this model can be as follows (Eq. (23)):

$$\frac{C}{C_0} = \exp(-bt^n) \quad (23)$$

where C is the concentration of enzyme at time t and C_0 is the initial concentration of enzymes. The value of n determines the shape of the distribution curve, while b is the thermal reaction rate. A distribution of $n > 1$ indicates a semi-logarithmic curve (Brochier et al. 2016).

Factors influencing extraction kinetics

Moisture ratio and drying rate

Moisture ratio (MR) and drying rate is one of the critical parameters for understanding the kinetics of foods. The reduced drying time with variation in drying conditions has been reported for different food stuffs such as apricot (Toğrul and Pehlivan 2003), eggplant (Doymaz and Göl 2011), birch (He and Wang 2020), rice starch (Ding et al. 2019), apple pomace (Zlatanović et al. 2019) and beef (Holman et al. 2019). The moisture ratio and drying rate can be calculated according to Eq. (24) and Eq. (25):

$$\text{Moisture Ratio} = \frac{M_t - M_e}{M_0 - M_e} \quad (24)$$

$$\text{Drying Rate} = \frac{M_{t+dt} - M_t}{dt} \quad (25)$$

where M_t , M_e and M_{t+dt} are moisture contents at time t , equilibrium moisture and moisture content at time $(t+dt)$, respectively. The plot of MR vs time curves can be widely used in most food, agriculture and biological materials. Table 9 shows the different mathematical model applied for calculating moisture content and drying.

Influence of temperature

The thermal processing of foods requires heating between 50 and 150°C depending upon various factors like pH, shelf life, quality and enzymatic reactions. The effect of thermal stability depends on the sample preparations, rate constant (k) and activation energy (E_a). The value of activation energy (Vishwasrao et al. 2017) can be obtained using the formula given in Eq. (26):

$$\ln(k) = \ln(k_{\text{ref}}) - \frac{E_a}{R} \left(\frac{1}{T} - \frac{1}{T_{\text{ref}}} \right) \quad (26)$$

where E_a is the activation energy (kJ/mol), R is the gas constant ($8.314 \text{ J mol}^{-1} \text{ K}^{-1}$) T and T_{ref} are temperatures.

Z-value is defined as the temperature increase to have tenfold decrease in decimal reduction time (Wilińska et al. 2008) as stated in Eq. (27):

$$Z = \frac{T_2 - T_1}{\log\left(\frac{D_1}{D_2}\right)} \quad (27)$$

where D_1 and D_2 are decimal reduction times at T_1 and T_2 , respectively.

Considering major parameters like moisture ratio, drying rate and temperature, Table 10 gives a review of the kinetic studies performed for different food materials with the parameters optimized and their kinetic models.

Statistical modeling and evaluation

The goodness of fit is evaluated for each model based on statistical evaluation. This is done after the kinetic extraction to understand the deviation between the models. Statistical error functions are calculated to find the errors for the extraction models. The mathematical expressions (Da Porto and Natolino 2018) of the error functions are given in Eqs. (28,29,30)

Table 9 Moisture absorption models for calculating moisture content and drying

Mathematical model	Model equation	Application in foods	References
Newton	$MR = \exp(-kt)$	Red chili	Hossain and Bala (2007)
Modified page	$MR = \exp[(-kt)^n]$	Mango slices	Akoy (2014)
Wang and Singh	$MR = 1 + at + bt^2$	Smith apples	Blanco-Cano et al. (2016)
Two-term model	$MR = a \exp(-kt) + (1-a)\exp(-kat)$	Plum	Jazini and Hatamipour (2010)
Logarithmic	$MR = a_2 e^{-kt} + b_2$	Basil leaves, stone apple	Kadam et al. (2011); Rayguru and Routray (2012)
Henderson and Pabis	$MR = a_1 e^{-kt}$	Pumpkin	Hashim et al. (2014)
Othmer and Jaatinen	$MR = mt^{-b}$	Spices (<i>S. aromaticum</i> and <i>C. Cassia</i>)	Radha Krishnan et al. (2013)

Table 10 Optimization of kinetic studies of different plant and food materials with their respective models

Food material	Treatment medium	Kinetic model used	Kinetic parameters			Reference
			Temperature	K (min^{-1})	Activation energy (E_a) (kJ mol^{-1})	
Beef muscle	Water bath	First-order model	100	1.2×10^{-3}	81	Goñi and Salvadori (2011)
Garlic	Water bath	Biphasic model	80–100	0.098–2.044	202.81	Fante and Noreña (2012)
Red beet	Water bath attached with pobel tubes	First-order	90	3.2×10^{-3}	35.37	Fernández-López et al. (2013)
Litchi	Water bath	Weibull model	90	2.7×10^{-2}	79.7	Yu et al. (2011)
Soybean lecithin	Vortex stirrer	Higuich model	37	0.011	–	Zhang and Wang (2019)
Carrot	Blanching in boiling water bath	Jean and Das model	70	–	35.987	Maleki et al. (2020)

$$\text{RMSD} = \frac{\sqrt{1}}{n} \sum_{i=1}^n (C_{\text{calculated}} - C_{\text{experimental}})^2 \quad (28)$$

$$R^2 = \frac{1 - (n - 1)}{(1 - n - m)} * \sum_{i=1}^n \frac{(C_{\text{calculated}} - C_{\text{experimental}})^2}{(C_{\text{experimental average}} - C_{\text{experimental}})^2} \quad (29)$$

$$\text{NRMSD} = \frac{\text{RMSD}}{C_{\text{experimental}}} \quad (30)$$

where n and m are the number of observations, $C_{\text{calculated}}$ and $C_{\text{experimental}}$ denote the model and experimental concentration with time, respectively. Closer prediction to the experimental data signifies the RMSD value is near zero.

Chi-square value (χ^2) measures the accuracy of models and determines the acceptability of data with the expected distribution data. It can be calculated using the formula (Bhushan and Girirajsinh 2019) in Eq. (31):

$$\chi^2 = \sum_{i=1}^n \frac{(C_{\text{experimental}} - C_{\text{predicted}})^2}{C_{\text{predicted}}} \quad (31)$$

where $C_{\text{experimental}}$ and $C_{\text{predicted}}$ refer to the experimental and predicted values of the concentration responses, respectively, and n refers to the sample points taken. The significance levels generally range between 0 and 1. Smaller Chi-square values denote an acceptable fit between the model and experimental values..

Sensitivity analysis

Sensitivity analysis is a promising tool for optimizing a set of parameters generally by regression analysis. It is done to assure the kinetic modeling correspond to the best fit values. The analysis is applied to each of the parameters by keeping the other parameters in their constant (perturbations). These are generally done in the range of $\pm 20\%$ perturbations (Alcázar and Ancheyta 2007). A zero percent perturbation implies nonlinearity and poor estimation.

The technique can be carried out in three steps as described by Félix et al. 2019. The first step is the initialization of parameters by plugging in random numbers to obtain the guesses. The second step involves nonlinear regression using least square methods by applying the objective function based on sum of squared errors (SSE) for getting an optimal solution using Eq. (32):

$$\text{SSE} = \sum_{i=1}^n (\text{experimented value} - \text{calculated value})^2 \quad (32)$$

The final step involves plotting the values against the number of experimental observations to understand the fit of the proposed model and calculated parameters.

Economic analysis

Economic feasibility study plays a major role during selection of appropriate technology for extraction. Major factors like capital investment cost (CAPEX) and operating cost (OPEX) should be calculated for estimating initial set-up. Apart from this, external factors like battery limits (if electrical equipment), engineering cost, location, installation cost, pipeline fitments, maintenance cost, raw materials and financial reserves should be considered. The cost indexing (Kratky and Zamazal 2020) is generally done using the formula in Eq. (33)

$$C_e = a + bS^n \quad (33)$$

where C_e is the cost of equipment, a and b are coefficients, n is the index and S is the size parameter.

The operating cost is generally described as the sum of direct operating cost, indirect operating cost and distribution costs. The cash cost is the cost of making products. It can be estimated using the formula in Eq. (34):

$$\text{Cash cost of production} = \text{Variable cost} + \text{Fixed cost} \quad (34)$$

It should be noted that profit made by producing the food material is generally subjected to taxes depending on country and location.

The simple payback time (SPT) is the capital investment given to the particular equipment (CAPEX) over the annual cash flows. It can be described using the formula in Eq. (35):

$$\text{SPT} = \frac{\text{CAPEX}}{\text{AI} - \text{PC}} \quad (35)$$

where CAPEX is the capital expenditure, AI is the annual income and PC is the production cost which is inclusive of tax and depreciation (Towler and Sinnott 2013).

Challenges and limitations

The extraction of polyphenolic compounds and other bioactive compounds can be a challenge due to its complex properties. Polyphenolic compounds often suffer from degradation with small changes in extraction methods. For example, technologies like high pressure and temperature processing involved about 22% loss in phenolic content with a small change in color while studying strawberries (Terefe et al. 2009). Another study aquatic plant treatment showed an increase in phenolic content may lead

to contamination and change in odor, thus harming the aquatic ecosystem (Zhang et al. 2020). Factors like light, temperature change and air result in spontaneous and rapid variation in polyphenolic and bioactive content facilitating losses. Thus, it becomes crucial to ensure the conditions for the stability of contents before and after extraction (Hoe et al. 2020). When polyphenols are added to food in the form of an extract, choosing the right method and parameter optimization is critical in maintaining the properties. The performance of extraction primarily depends on solvent, food matrix phase, concentration and its extraction process. Choosing the right solvent post-consideration of its polarity may be optimal for carrying out overall extraction (Brewer 2011). Figure 8 shows the challenges and limitations faced during the isolation of polyphenols from different foods.

When it comes to industry implementation, these compounds are known to be added mainly in the cosmetic and food industry (Albuquerque et al. 2021). Although they are in small amounts, extensive research on polyphenolic compounds has shown their applications in different foods imparting color, flavor, odor and bitterness. However, more investigation needs to be done on analyzing the right dosage and their toxicological information. Additionally, cost, safety and sustainability aspects of the whole extraction technology need to be monitored for a potential scaleup for the intake of these compounds (Olszewska et al. 2020).

Conclusion

Polyphenols are a class of compounds which can be found in various foods, beverages and plants. These compounds are essential as they have a huge potential in maintaining immunity and their characteristics show promising effects in preventing various diseases. Considering crisis situations like COVID-19, such foods could play a role in protection from diseases and pandemics. This review involved emerging developments taking place for the isolation of polyphenolic compounds followed by kinetic studies being carried out for scaleup purposes. Conventional technologies like percolation, decoction, heat reflux, Soxhlet extraction and maceration as well as non-conventional technologies mainly ultrasound extraction, microwave extraction, supercritical extraction, enzyme-assisted extraction, pulse electric field, high-voltage electric discharge and their applications in extracting polyphenolic compounds were discussed. Further, emphasis was given to advanced and green techniques, mainly membrane separation, encapsulation, cloud point extraction, infrared irradiation technology, ultrasound-assisted extraction using eutectic mixtures, rapid solid–liquid dynamic extraction and vacuum-based microwave extraction as they could be the possible future in isolating polyphenolic compounds with more precision and accuracy. Mathematical equations and kinetic models were reviewed so as to optimize extraction parameters and control the process, energy,

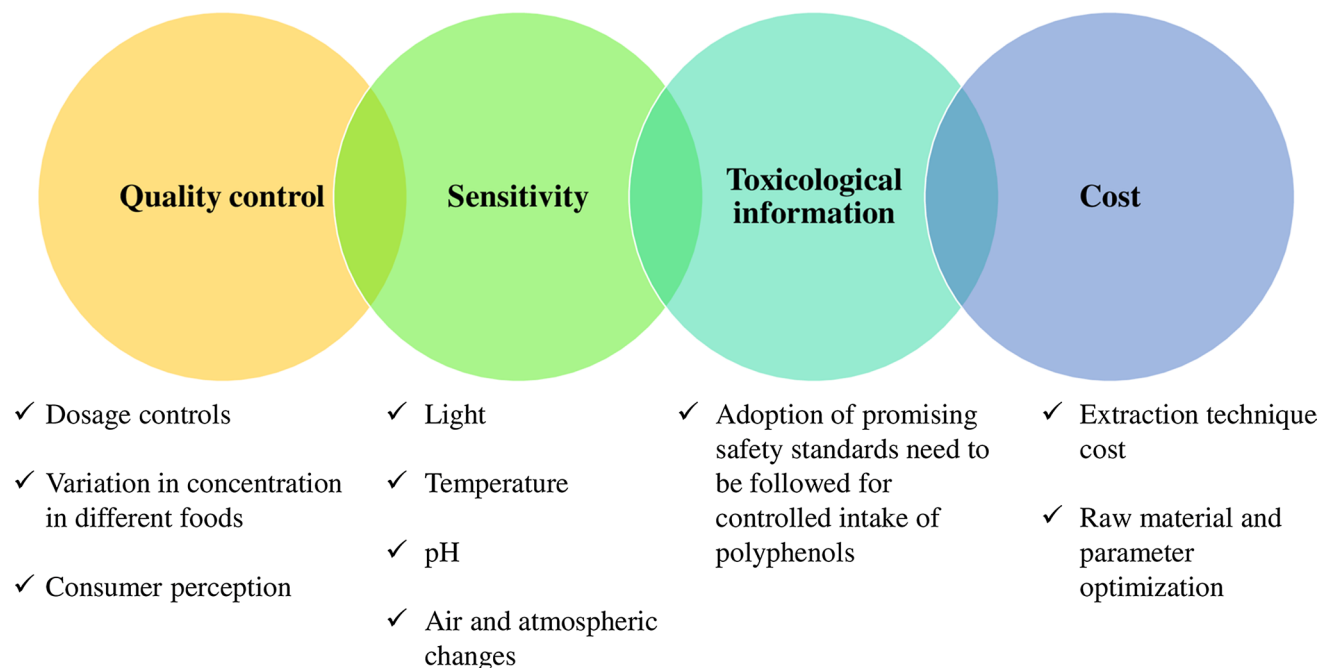


Fig. 8 Challenges and limitations faced during the isolation of polyphenols from foods. Although polyphenols offer several benefits, suitable conditions, cost, proper extraction methods and safety standards still need to be set for commercial scaleup

time and solvent usage. Future outlooks can be noticed in scaling-up Hurdle technology as they involve a combination of processes for estimating different parameters like pH and temperature for isolation of bioactive compounds. Emphasis can also be given by using computational and molecular modeling for determining the characteristics and scavenging potential of polyphenols. Additionally, the use of simulations for optimizing conditions can assist in choosing the best extraction medium. Greater possibilities also lie in the field of nanoencapsulated food intake due to its precise measurements, portability and quality. However, factors like manufacturing, biological influence and cost need to be considered in advance in order to satisfy the consumer taste and food standards.

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Declarations

Conflict of interest The authors declare that they have no conflict of interest.

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