ORIGINAL ARTICLE



Recycling of phenol from poly (1,4-cyclohexylene dimethylene terephthalate) using subcritical water from 260 to 340 °C

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Abstract

Recycling of chemicals from waste plastics is important for the reutilization of resources and the reduction of environmental pollution. The phase behaviors of poly (1,4-cyclohexylene dimethylene terephthalate) (PCT) in water at different temperature and time were observed in a fused silica capillary reactor (FSCR) with a microscope. The effects of the reaction temperature (260–340 °C), the reaction time (30–90 min), and the mass ratio of water/PCT (6:1–14:1) on the degradation of PCT were studied in an autoclave reactor on the basis of the results obtained from the FSCR. Under our research conditions, depolymerization of both 1,4-cyclohexanedimethanol and terephthalic acid (TPA) generated mainly phenol, and the maximum yield of phenol was obtained at 340 °C after its reaction with a water/PCT mass ratio of 10:1 for 90 min. Moreover, a reaction mechanism of PCT degradation in subcritical water was proposed. Our results suggest that PCT can be depolymerized rapidly and completely in subcritical water to produce phenol, and this method is conducive to the recycling of waste PCT.

Keywords Recycling · Phenol · Poly (1,4-cyclohexylene dimethylene terephthalate) · Subcritical water · Phase behavior

Introduction

Poly(1,4-cyclohexylene dimethylene terephthalate) (PCT) is a new type of thermoplastic engineering material, which was discovered and developed at Tennessee Eastman in 1959 by Kibler et al. and was produced commercially in 1987 by the Eastman Chemical Company of United States [1]. It is widely used in automobiles, medical appliances, optical components, and other fields because of its better characteristics including heat resistance, chemical resistance and mechanical properties than poly(trimethylene terephthalate) (PTT),

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polyethylene terephthalate (PET) and poly(butylene terephthalate) (PBT) [2, 3]. With the rapid increase of PCT usages, its waste has caused pollution. Therefore, the treatment of PCT has become increasingly important. Gregory and Watson [4] reported the kinetics of the thermal degradation of PCT. Wampler and Gregory [5] investigated the effects of temperature, time, and moisture content of the polymer on thermal and hydrolytic degradation of PCT. In recent years, with the increase of the amount of polymer, the effective utilization of its waste has been widely concerned [6–8].

At present, chemical recycling is investigated extensively because it can meet the needs of sustainable development, and it degrades polymers into their corresponding monomers or other useful chemicals, which can be re-used, thus reducing environmental pollution [9–14]. Some studies focusing on depolymerization have reported the recovery and utilization of monomers or other chemicals produced from polymer in some organic solvents, such as methanol [15–18], propanol [18], ethanol [18–20], toluene [21, 22], ethylene glycol [23, 24], n-Butanol [25], decalin [26] and benzene [27]. Goto [10] reviewed the chemical recycling of waste polymers in sub- and supercritical fluids. Compared with organic solvents, sub- and supercritical water has attracted attention recently due to good solubility [28–30], oxidability [31–34], catalysis [35, 36] and harmless [37]. Decomposition of polymers and recovery of

monomer in sub- and supercritical water have been reported [10, 36, 38–43]. Decomposition of polycarbonate (PC) into bisphenol A (BPA) in subcritical water has been reported by Watanabe et al. [43], and the maximum yield of BPA was about 80% at saturated pressure of 300 °C for about 5 min. Our group previously studied the hydrolysis of polymer into its monomer [41, 42, 44–47]. Pan et al. [41, 42] investigated hydrolysis of PC with and without catalyzer in sub-critical water, and found BPA was the main liquid product. Liu et al. [47] reported catalytic depolymerization of PET into TPA and ethylene glycol (EG) in hot compressed water (HCW). Gao et al. [45] studied depolymerization of poly(trimethylene terephthalate) (PTT) into TPA and 1,3-propanediol (1,3-PDO) in HCW. Huang et al. [46] reported the decomposition of polyimide (PI) in subcritical water, and the main monomers produced by PI depolymerization were 4,4'-diaminodiphenyl ether (ODA) and 4,4'-oxydibenzoic acid (OBBA). In addition, to the authors' knowledge, few literatures reported on the degradation of PCT in sub-critical water.

Fused silica capillary reactor (FSCR) has the advantages of small size, optical visibility, high temperature and high pressure resistance. It has been widely used in environment, chemical, geology and other fields [20, 28, 41, 42, 48–53]. In this work, the phase behaviors of PCT in sub-critical water in a FSCR was investigated first, which provides guidance in the selection of reaction conditions in a batch autoclave reactor. The effects of reaction temperature (260–340 °C) and reaction time (30-90 min), as well as the mass ratio of water/PCT (6:1-14:1) on the depolymerization of PCT, were studied by a batch autoclave reactor. It was found that the main product was phenol instead of PCT monomer 1,4-cyclohexanedimethanol and TPA. Moreover, a depolymerization mechanism of PCT in sub-critical water was proposed. This experimental technique has a great advantage in guiding the resource utilization of waste PCT, and it reduces environmental pollution effectively.

Experimental

Materials

The PCT (weight average molecular weights 46,000–50,000) used in this study was purchased from Eastman Technology Development Co., Ltd. (Kingsport, USA). Phenol and 1,4-cyclohexanedimethanol were obtained both from Aladdin Chemistry Co., Ltd. (Shanghai, China). Sodium hydroxide and TPA were supplied by Hangzhou Xiaoshan Chemical Reagent Factory (Hangzhou, China) and Acros Organics (Geel, Belglum), respectively. All reagents were used without further purification. Fused silica capillary reactor (665 μ m OD, 300 μ m ID, and about 18–25 mm long) was obtained from fused silica capillary (Polymico Technologies, USA). Ultrapure water was prepared in the laboratory.

Experimental procedures

A FSCR in combination with a heating-cooling stage (HCS402, INSTEC, USA) was used for our study of the phase behavior of PCT in subcritical water at different temperatures. The detailed descriptions of the sample loading in the FSCR and experimental procedures were given by Pan et al. [20, 41, 42, 46, 47]. Briefly, a silica capillary about 2 cm long was cut first, and one end of the capillary was sealed and the polyimide protective layer was removed with a hydrogen flame, then PCT and ultrapure water was loaded into the tube successively and the open end of the FSCR was sealed. After this, the FSCR was loaded on the sample chamber of the heating-cooling stage and the temperature was measured by a K-type thermocouple (accurate to ± 0.1 °C). The phase behavior during PCT depolymerization in the FSCR was observed with a microscope and recorded by a digital camera (JVC, TK-C1481, Yokohama, Japan).

In addition, the depolymerization of PCT in subcritical water was conducted in a stainless-steel autoclave (50 mL), in which PCT and water were added in the certain ratio (6:1–14:1) before sealing. Subsequently, the autoclave was heated to a set temperature using a heating collar with a rate of 10 °C/min, and the temperature was measured by a K-type thermocouple with an accuracy of ± 1 °C. Finally, the autoclave reactor was cooled to room temperature and the reaction products were recovered. These experimental procedures have been described previously in detail [41, 46].

The conversion yield of PCT and products yields of phenol or TPA were defined as follows:

Conversion yield of PCT(%)

$$= \frac{\text{Mass of PCT feed} - \text{Mass of unreacted PCT}}{\text{Mass of PCT feed}} \times 100\%$$
(1)

Yield of phenol (%)

$$= \frac{\text{Mass of phenol recovered after reaction}}{\text{Theoretical mass of phenol in PCT feed}} \times 100\%$$
 (2)

Yield of TPA (%) =
$$\frac{\text{Mass of TPA recovered after reaction}}{\text{Theoretical mass of TPA in PCT feed}} \times 100\%$$
(3)

The theoretical masses of phenol and TPA were calculated as 2 mol of phenol and 1 mol of TPA generated from 1 mol of converted 1,4-cyclohexylene dimethylene terephthalate.

Sample analysis

The solid residue was dissolved in NaOH solution, the pH was adjusted to 7.0 using phosphoric acid, and then filtrated. The solution was identified by a high performance liquid chromatography-mass spectrometer (HPLC-MS, Acquity UPLC system, Waters, USA). Fourier-transform infrared (FT-IR) spectrometer (AVATAR-370, Thermo Nicolet, USA) was used to measure the functional groups of insoluble solid. The liquid products were identified qualitatively using the HPLC-MS and a gas chromatograph-mass spectrometer (GC-MS) using an Agilent 6890 gas chromatograph equipped with a 30 m \times 0.25 mm \times 0.25 µm TR-5 capillary column and a mass selective detector. Solid product which dissolved in NaOH solution and liquid products were analyzed by HPLC (Dionex UltiMate 3000, Dionex, USA). The HPLC analyses were carried out on a Yilite BDS C8 column (4.6 mm \times 250 mm \times 5 µm) and a UV detector, and the mobile phase was employed with a methanol/water solution (6/4 vol.%) at a flow rate of 0.5 mL/min.

Results and discussion

Phase behavior of PCT in FSCR in deionized water

PCT and ultrapure water in the FSCR was heated with a rate of 10 °C/min to 340 °C. We observed and recorded the phase behaviors of PCT in water using the advantages of optical viewing of FSCR. As shown in Fig. 1, there were solid PCT, liquid water, and vapor in the FSCR at room temperature. During the heating process, PCT began softening

and shrinking when the temperature rose to 150 °C. As the temperature continues to increase, the PCT melted gradually and developed into a liquid spherule at 340 °C. The melted PCT and the solution had an obvious interface. The FSCR was held at 340 °C for 30 min, and the phase changes of PCT was depicted in Fig. 1b, the liquid spherule of PCT dissolved gradually in the solution and finally dissolved completely in 30 min. Figure 1c shows that the crystal began to precipitate from solution at 170 °C during the cooling process. Subsequently, as the temperature continues to decrease, the number of crystals in the FSCR gradually increases. Based on the phase behaviors results observed in the FSCR, the depolymerization of PCT was further studied in an autoclave reactor.

Analysis of the depolymerization products

A series of experiments were carried out in an autoclave reactor under the conditions of reaction for 30 min at 300 °C, and with water/PCT mass ratio 20.0 g/2.0 g. The solid residue was dissolved in NaOH solution, and the insoluble solid was identified by a FT-IR spectrometer. Figure 2 displays the FT-IR spectra of the insoluble solid and PCT standard sample. The peaks at 3600–3400, 3000–2843, 1750, 1500 and 1050 cm⁻¹ are assigned to O–H, C–H, C=O, C=C and C–O stretching vibrations, respectively. The infrared spectra of the insoluble solid have obvious absorption band at 3600–3400 cm⁻¹ due to partial hydrolysis of the PCT. The similarity of these two spectra indicates that the solids insoluble in NaOH solution is actually the residual PCT.

The solid products which dissolved in NaOH solution and liquid products were analyzed by LC–MS and GC–MS,



Fig. 1 Photomicrographs of PCT in water in FSCR during a heating; b reaction at 340 °C and c cooling



Fig. 2 FT-IR spectra of the insoluble solid (a) and PCT standard sample (b)

and according to the analysis results, the main compositions of the PCT depolymerization products in sub-critical water are given in Table 1. They were composed mainly of TPA, phenol, 1,4-cyclohexylene dimethylene terephthalate, 1,4-dimethylene cyclohexane, 4-isopropylphenol and 4'-hydroxyacetophenone. 1,4-cylohexanedimethanol and TPA are monomers for the synthesis of PCT, but 1,4-cylohexanedimethanol was not detected, and the yield of TPA was low. It was unexpectedly that phenol was found to be the main depolymerization product at the research conditions. Phenol is a useful organic chemical raw material, and it has important applications in synthetic fiber, plastic, synthetic rubber, medicine and other industries [54, 55]. Degradation of waste PCT to phenol can not only reduce environmental pollution, but also obtain valuable and important chemical products. The detailed reaction mechanism will be analyzed in later. The mass spectra plots of liquid products and solid product are shown in the Supporting Information.

Table 1 Main products of PCT depolymerization in subcritical water

	Retention time/min	Chemical name	Detection technol- ogy
Main solid product	4.15	Terephthalic acid (TPA)	LC-MS
Main liquid product	4.619	Phenol	GC-MS
	6.269	1,4-dimethylene cyclohexane	GC-MS
	7.738	4-isopropylphenol	GC-MS
	8.560	4'-hydroxyacetophe- none	GC-MS
	9.458	1,4-cyclohexylene dimethylene tereph- thalate	LC-MS

Effects of the mass ratio of water/PCT

The influences of water/PCT mass ratio from 6:1 to 14:1 on PCT depolymerization were investigated at 300, 320 and 340 °C for 30 min. Figure 3a shows that the conversion yield of PCT increased sharply from 42.3 to 73.4% with water/PCT mass ratio from 6:1 to 14:1 at temperature of 300 °C. At 320 °C and 340 °C, the conversion yields of PCT both exceeded 99% with the water/PCT mass ratio of



Fig. 3 Effects of the mass ratio of water/PCT on the conversion yield of PCT (a), yield of phenol (b) and yield of TPA (c) in subcritical water

10:1. Figure 3b, c reveal the relationship between the ratio of water/PCT and the yields of phenol and TPA, respectively. Yield of phenol increased with increasing water/PCT mass ratio and reached the maximum of 49.3%, 69.3% and 75.3% under the water/PCT mass ratio 14:1 at 300 °C, 320 °C and 340 °C, respectively. When the water/PCT mass ratio was above 10:1, the phenol yield increased slowly at 320 °C and 340 °C, and the effect of water/PCT mass ratio became weaker. TPA yield decreased with increasing water/PCT mass ratio and was below 20%, mainly because the TPA underwent further hydrolysis to produce phenol under high-temperature and high-pressure water.

In addition, the conversion yield of PCT and the yield of phenol increased with increasing temperature (300 °C, 320 °C and 340 °C) under the same water/PCT mass ratio, the yield of TPA decreased first and then increased slightly with increasing temperature. This is because TPA is generated and hydrolyzed simultaneously. Considering all of the reactions in the conversion yield of PCT and the yield of phenol and TPA consumed H_2O , a water/PCT mass ratio of 10:1 was chosen.

Effects of reaction temperature and time

The influences of temperature (260-340 °C) and time (30-90 min) on PCT depolymerization were investigated with water/PCT mass ratio of 10:1. Figure 4a clearly shows that increasing the reaction temperature and prolonging the reaction time have a positive influence on the conversion of PCT. PCT was barely depolymerized in water at 260 °C, and the conversion yield of PCT was only 5.6%, even after the reaction of 60 min. However, the ratio increased to 57.3% at 280 °C after 60 min. Furthermore, the PCT completely depolymerized at 300 °C after 45 min and at 320, 340 °C after 30 min. The reason for this result is that PCT is almost insoluble in water at lower temperatures and dissolved more rapidly in water at higher temperatures, which could be well proved by the phenomenon in Fig. 1. Figure 4b shows an increase in the yield of phenol when the reaction temperature increased and the reaction time prolonged. After 90 min of reaction, the yield of phenol was less than 7.3% at 260 °C and increased to 86.9% at 340 °C. The optimum reaction temperature was 340 °C in order to obtain phenol. The yield of phenol was 86.9% at 340 °C for 90 min, with water/PCT mass ratio of 10:1.

From Fig. 4c, it can be seen that the yield of TPA increased first and then decreased with time, and reached a maximum after 60 min. When the temperature is below 320 °C, the yield of TPA decreased with the temperature, and the maximum value was 15.8% at 260 °C for 60 min. This is different from the conclusions of some articles [45, 47, 56], which indicated the yield of TPA increased with temperature during the depolymerization of PTT or PET.



Fig. 4 Effects of time and temperature on the conversion yield of PCT (a), yield of phenol (b) and yield of TPA (c) in subcritical water

The decreased of TPA yield in this study maybe due to the instability of TPA at high temperature. Wang et al. [57] reported TPA hydrolyzed gradually with an increase in the temperature. Sato et al. [58] got the same conclusion. However, the yield of TPA increased slightly from 320 to 340 °C was due to the greater rate of TPA formation through PCT degradation than the rate of TPA loss through hydrolysis.

Degradation mechanism of PCT in subcritical water

A mechanism for the depolymerization of PCT (Fig. 5) was hypothesized based analysis of the phase behavior and the products. It can be seen from the phase behaviors shown in Fig. 1, as the temperature increased, the PCT gradually melted. The intermolecular forces between the PCT chains were weakened and their distance was increased. In the reaction system, water is both a reaction solvent and a reactant in high-temperature [59]. The active ester linkage of the PCT was cleaved in high temperature water, causing the chains of PCT polymer to break and PCT convert into 1,4-cyclohexylene dimethylene terephthalate. Then, 1,4-cyclohexylene dimethylene terephthalate was degraded to form TPA and 1,4-cyclohexanedimethanol by further hydrolysis. Further reaction of 1,4-cyclohexanedimethanol produced the intermediate product, 1,4-dimethylene cyclohexane, which underwent a series of reactions such as oxidation, rearrangement and dehydration led to the end product, phenol. Dehydration reactions, occurred in the environment of huge water excess under pressure, were due to the catalytic dehydration of protons produced by terephthalic acid and phenol at high temperatures [60]. Under high-temperature and high-pressure conditions, benzoic acid was formed by decarboxylation of TPA, and phenol was formed by further reaction of benzoic acid. Some previous studies have reported that benzoic acid can generate phenol under hydrothermal conditions [61], although the reaction mechanism is not very clear. Other side reactions in the system produced 4'-hydroxyacetophenone and 4-isopropylphenol. The products that had been detected by LC-MS and GC-MS are shown in frame of solid line in Fig. 5. The mass spectra plots of products are shown in the Supporting Information. No other intermediates could be detected, which may be because there were transition states during the reaction under high temperature and high pressure system. The reaction pathway in frame of dotted line in Fig. 5 is the hypothetical process, it is only a surmise based on the product, and we will further discuss in our subsequent studies.



Fig. 5 Mechanism for the depolymerization of PCT in subcritical water. \square : The substance in frame of solid line is that has been detected in the experiment; \square : The reaction pathway in frame of dotted line is the hypothetical process



Fig. 6 Effects of 1,4-cyclohexanedimethanol on the yield of phenol in subcritical water

To prove that the 1,4-cyclohexanedimethanol undergoes further reaction to produce phenol under high-temperature and high-pressure conditions, a set of experiments was conducted to add 2.0 g PCT, 20.0 mL water and with or without 1.0 g 1,4-cyclohexanedimethanol within the temperature range of 300–340 °C for 60 min in an autoclave reactor. The results are shown in Fig. 6. By comparison, the yield of phenol increased when 1.0 g 1,4-cyclohexanedimethanol was added, indicating that further reaction occurred in 1,4-cyclohexanedimethanol to produce phenol. Zhang et al. [62] studied the pyrolysis-GC/MS of PCT and found that there is phenol in the product, although the yield of phenol is very low.

Conclusions

We used a FSCR and a batch autoclave to study the depolymerization of PCT in subcritical water, and unexpectedly found that the main product was phenol rather than monomers. Recycling phenol from waste PCT could realize the reutilization of waste resources and the reduction of environmental pollution. The phase behavior of PCT in water suggested that PCT began to soften and shrink at 150 °C and mingled completely with water at 340 °C after 30 min. The main solid product and liquid product in the autoclave reactor were TPA and phenol, respectively. The conversion yield of PCT and the yield of phenol both increased with the increased mass ratio of water/PCT, reaction temperature and time. However, the yield of TPA decreased with increasing mass ratio of water/PCT due to the further hydrolysis of TPA to produce phenol in subcritical water. The optimal reaction condition for the recovery of phenol was found with the mass ratio of water/PCT of 10:1 at 340 °C within 90 min, the yield of phenol reached 86.9% and PCT can be depolymerized completely. In addition,

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