# Research Article



# A Genome-Wide Association Study of Chronic Otitis Media with Effusion and Recurrent Otitis Media Identifies a Novel Susceptibility Locus on Chromosome 2

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# ABSTRACT

Chronic otitis media with effusion (COME) and recurrent otitis media (ROM) have been shown to be heritable, but candidate gene and linkage studies to date have been equivocal. Our aim was to identify genetic susceptibility factors using a genome-wide association study (GWAS). We genotyped 602 subjects from 143 families with 373 COME/ROM subjects using the Illumina Human CNV370-Duo DNA Bead Chip (324,748 SNPs). We carried out the GWAS scan and imputed SNPs at the regions with the most significant associations. Replication genotyping in an independent family-based sample was conducted for 53 SNPs: the 41 most significant SNPs with  $P < 10^{-4}$  and 12 imputed SNPs with  $P < 10^{-4}$  on chromosome 15 (near the strongest signal). We replicated the association of rs10497394 (GWAS discovery P=  $1.30 \times 10^{-5}$ ) on chromosome 2 in the independent otitis media population ( $P=4.7 \times 10^{-5}$ ; meta-analysis P= $1.52 \times 10^{-8}$ ). Three additional SNPs had replication Pvalues <0.10. Two were on chromosome 15q26.1 including rs1110060, the strongest association with COME/ROM in the primary GWAS ( $P=3.4\times10^{-7}$ ) in *KIF7* intron 7 (P=0.072), and rs10775247, a non-synonymous SNP in *TICRR* exon 2 (P=0.075). The third SNP rs386057 was on chromosome 5 in *TPPP* intron 1 (P=0.045). We have performed the first GWAS of COME/ROM and have identified a SNP rs10497394 on chromosome 2 is significantly associated with COME/ROM susceptibility. This SNP is within a 537 kb intergenic region, bordered by *CDCA7* and *SP3*. The genomic and functional significance of this newly identified locus in COME/ROM pathogenesis requires additional investigation.

Keywords: otitis media, genetics, genome, susceptibility, locus

## **INTRODUCTION**

Every year, over 10 million children in the United States are treated for otitis media (OM), the inflam-

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mation of the middle ear (Gunasekera et al. 2007; Daly et al. 2010). OM is a leading reason for physician visits during childhood and represents a major component of the pediatric health care burden (Grevers 2010). Antibiotic prescriptions for OM contribute significantly to increasing multi-drug resistance (Grevers 2010), and insertion of ventilation tubes into the tympanic membrane in the middle ear to assist fluid drainage remains one of most common childhood surgeries performed in the U.S. (Schraff 2008).

Acute OM is characterized by middle ear inflammation with signs of middle ear effusion and acute symptoms of infection including middle ear pain and fever (Coker et al. 2010; Toll and Nunez 2012). A minority of children who suffer from acute OM will develop either chronic otitis media with effusion (COME) or recurrent otitis media (ROM). Estimates suggest that by 2 years of age, nearly a third of all children will have had more than three episodes of OM within 6 months or four episodes within 1 year (Poehling et al. 2007). Recurrent and chronic forms of OM are associated with high economic and societal costs such as medical care costs, parental days lost from work, and loss of earnings (Greenberg et al. 2003). Complications of COME/ROM include permanent hearing loss, tympanic membrane abnormalities (e.g., chronic ear drainage), other infections such as meningitis, and other sequelae (Daly et al. 1998; Johnston et al. 2004; Grevers 2010). The annual economic burden of otitis media in the United States is estimated to be at least \$5 billion for direct and indirect costs (Gates 1996).

COME/ROM has long been recognized to aggregate in families (Teele et al. 1980; De Melker and Burke 1988; Harsten et al. 1989; Teele et al. 1989). The first family study of COME/ROM (Daly et al. 1996) demonstrated that first-degree relatives (parents and siblings) of probands with COME/ROM had greater than expected rates of OM based on population rates. Subsequent twin and triplet studies confirmed the strong familial aggregation of COME and ROM, with heritability estimates of 0.64-0.74 in monozygotic twins and 0.20-0.53 in dizygotic twins (Kvaerner et al. 1997; Casselbrant et al. 1999; Rovers et al. 2002; Kvestad et al. 2004, 2006). Two linkage studies for COME/ROM have been published to date (Daly et al. 2004; Casselbrant et al. 2009; Chen et al. 2011); however, the loci identified in the two studies did not overlap. Recently, the first GWAS for acute and chronic OM in the first 3 years of life discovered novel associations with OM, including CAPN14, GALNT14, and the BPIFA gene cluster, though the study lacked replication in an independent population of COME/ROM (Rye et al. 2012). In an effort to detect common variants contributing to COME/ROM

susceptibility, we have conducted the first genomewide association study (GWAS) of COME/ROM.

# METHODS

This study was conducted with Institutional Review Board approval at the University of Minnesota, Wake Forest University, the University of Virginia, and the University of Pittsburgh, and adhered to the tenets of the Declaration of Helsinki.

### University of Minnesota (UMN) study

Details of recruitment and examination of the study participants have been described previously (Daly et al. 1996, 2004; Segade et al. 2006). Index cases (probands) who had tympanostomy tube surgery for COME/ROM and their family members were recruited for the study. An otolaryngologist performed an ear examination to determine presence of OM sequelae without knowledge of the subject's prior OM history. Tympanometric testing was performed in subjects at three frequencies (226, 630 or 710, and 1,400) to detect abnormal middle ear mechanics, and hearing was screened at 20 dB for speech frequencies. Individuals from 143 families with phenotypic data and DNA available were enrolled in genetic studies. The sample includes 44 families with five to ten members, 55 families with four members, 36 trios, and 8 families with less than three members (Table 1).

## University of Pittsburgh (UPitt) study

We carried out a replication analysis in an independent study of OM (Casselbrant et al. 2009) that consisted of 1,584 genotyped individuals from 441 Caucasian families. In order to assure a history of significant ear disease, two or more full siblings who both or all had undergone tympanostomy tube

	TABLE 1	
Participant characteri (UMN) and University	stics for the Unive of Pittsburgh (UPitt	rsity of Minnesota t) study populations
	UMN study	UPitt study
Trait	Value	Value
Number of families	143	441
Number of genotyped subjects	602	1584
Female (%)	53.3 %	51.4 %
Affected subjects	62.0 % (373/602)	59.1 % (932/1584)
Caucasian	95.7 %	100 %
Non-Hispanic	98.7 %	-

insertion were enrolled. The need for tympanostomy tube insertion established a subject's history of significant middle ear disease. A subject was only considered affected if he/she had undergone tympanostomy tube insertion at least once for recurrent/persistent OM, while a subject was considered unaffected if he/she had never had tympanostomy tubes and had no known history of recurrent/persistent OM. The remaining subjects were considered as having unknown disease status. Otoscopic examinations and tympanometry were conducted at entry when possible, but the condition of the ears at entry did not determine eligibility, and the tubes may have been inserted many years prior to study entry (Casselbrant et al. 2009). The UPitt sample includes 87 families with five to eight members, 330 families with four members, and 12 trios (Table 1).

#### GWAS genotyping and data cleaning

The Illumina Human CNV370-Duo DNA Bead Chip was used for genotyping DNA family members of the UMN Study. Removal of SNPs was based upon filtering for poor genotype clusters, low minor allele frequency (MAF<0.01), and genotypes inconsistent with Hardy Weinberg proportions ( $P<10^{-5}$ ). Samples and SNPs with excessive Mendelian errors (>0.6 %) and/or low genotype call rates (<95 %) were removed. A total of 324,748 SNPs were genotyped in 602 subjects and available for analyses.

Kinship coefficients were estimated between all pairs of individuals based on the GWAS SNP data using software KING (Manichaikul et al. 2010), and these data were used to correct both within- and between-family pedigree errors. The Minnesota study samples are from a predominantly Caucasian population (95.7 %), with the remaining 4.3 % of the population from Asian, Native American, and Mixed populations. Two families, consisting of two and four individuals, respectively, and had non-Caucasian ethnicity, and 19 individuals self-identified as belonging to more than one race. These individuals did not substantially change the family-based association results and so were retained for analyses.

#### Imputation

To improve genomic coverage, we used the imputation method implemented in the software package MACH using HapMap3 with the CEU reference population (Li et al. 2009; 2010). This method uses Markov models to identify stretches of shared chromosomes between individuals and then to infer intervening genotypes by contrasting study samples with densely typed HapMap samples. Although imputation is accurate (Li et al. 2010), improvement in accuracy can be achieved by tuning the quality metric threshold. The "most likely" genotypes were used in the QLSw test; therefore, imputed SNPs were treated the same as genotyped SNPs. Imputed SNPs that passed a quality threshold of  $r^2>0.3$  and MAF>0.5 were included in analysis. Imputed genotypes that were not consistent with Mendelian inheritance in a family were set to missing. To reduce the multiple comparisons penalty of evaluating all imputed SNPs, our investigation of imputed data was focused only on GWAS regions with prior evidence of association in the primary (genotyped) analysis (P< $10^{-4}$ ). In our region of interest on chromosome 15, there were 2,818 SNPs available for imputation.

After evidence of replication on chromosome 2, we investigated imputed data in the chromosome 2 region (chr2: 174286992–174307309) using the same imputation methods already described. Eighteen imputed SNPs were available in this region for association analysis.

#### Statistical analyses

We applied the family-based association test QLS<sub>W</sub> to the genome scan data. This method was recently developed (Chen et al. 2010) as an extension of the powerful method MQLS (Thornton and McPeek 2007), and the implementation is publicly available through software package Generalized Disequilibrium Test (GDT) (Chen et al. 2009). The  $OLS_W$  method has been shown more powerful than the MQLS method in the presence of population stratification and/or in the presence of family ascertainment such as the affected sibling pairs design. Population substructure does not affect analysis using the QLSw family-based association test as this approach is robust to population stratification. The QLSw family-based association test (January 2011) was used for GWAS analysis and replication analysis.

Association of SNPs on the X chromosome with COME/ROM was analyzed using the GDT (Chen et al. 2009).

The GDT method (Chen et al. 2009) was used for the conditional analysis of the chromosome 2 locus. The genotype at SNP rs10497394 was considered as a covariate and was adjusted in the GDT test statistic.

#### Replication studies

A total of 53 SNPs were selected for replication genotyping in the UPitt families. The 53 SNPs included the 41 most significant SNPs with  $P < 10^{-4}$  from our discovery GWAS (*n*=41), plus 12 imputed SNPs with  $P < 10^{-4}$  located on chromosome 15 near rs1110060, the only genotyped SNP with marginal significance. Genotyping was conducted on the Sequenom platform using the iPlex assay (Jurinke et al. 2002).

### In silico eQTL analysis

An eQTL analysis of the chromosomes 2, 5, and 15 regions was conducted using three different databases including "eQTL resources @ the pritchard lab" (http://eqtl.uchicago.edu), Wellcome Trust Sanger Institute's Genevar (Yang et al. 2010), and SCAN: SNP and CNV annotation database (Gamazon et al. 2010). The eQTL analysis using "eQTL resources @ the pritchard lab" was conducted using RNAseq data from a study using total RNA from lymphoblastoid cell lines in 63 HapMap individuals of European ancestry (Montgomery et al. 2010). The eQTL analysis using Genevar was conducted using expression data from the total RNA of 109 lymphoblastoid cell lines of European ancestry (Stranger et al. 2012). The eQTL analysis using SCAN: SNP and CNV annotation database was conducted using expression data from total RNA from lymphoblastoid cell lines of 30 trios of European ancestry and 30 trios of African ancestry (Duan et al. 2008), though based on our population, only significant results from the population of European ancestry are included.

# RESULTS

We performed the first genome-wide association study for COME/ROM by analyzing 324,748 SNPs on the Illumina HumanCNV370-Duo DNA Bead Chip (genomic control lambda value (GC)=0.993) in the UMN family population (Fig. 1). The strongest association



with COME/ROM in the UMN families was rs1110060 ( $P=9.1\times10^{-7}$  (Fig. 1)) located on chromosome 15 within the gene encoding *KIF*7. One region had a "suggestive"  $P<10^{-5}$  (Duggal et al. 2008) located on chromosome 6 (rs10499006,  $P=2.1\times10^{-6}$ ), and one region approached the "suggestive" P value threshold on chromosome 3 (rs6438779,  $P=2.9\times10^{-6}$ ) both of which were in intergenic regions. CNV association analyses conducted with PennCNV (Wang et al. 2007) did not reveal any evidence for significant association (data not shown).

Analyses of the X chromosome using the GDT (Chen et al. 2009) did not result in any significant findings. The most significant result on the X chromosome was with rs2215100 ( $P=3.3\times10^{-5}$ ). This was the only SNP that exceeded  $P=10^{-4}$ . SNP rs2215100 lies within a large intergenic region with the closest genic feature, the VENT homeobox 1 pseudogene (*VENTXP1*) 115.8 kb distal to the SNP and closest gene Melanoma antigen family B, 6 (*MAGEB6*), 481.3 kb distal to the SNP.

All replication results with P < 0.10 are shown in Table 2. All four SNPs listed in Table 2 had genotyping success rates over 99.35 % in the UMN GWAS and over 98.0 % in the UPitt replication study. Five of the 53 SNPs failed quality control assessment (rs3773770, rs11639117, rs17360083, rs6808013, and rs11259905). Three SNPs (rs1361049, rs4701136, and rs11852287) were unable to be successfully genotyped on the Sequenom platform. The significance threshold after performing a conservative Bonferroni correction for multiple testing (*i.e.*, 45 SNPs) was  $P=1.1\times$  $10^{-3}$ . The locus identified on chromosome 2 (rs10497394,  $P=2.9\times10^{-5}$ ) was successfully replicated in the UPitt Study ( $P=4.7\times10^{-5}$ ) with a meta-analysis P  $=1.52\times10^{-8}$ . Meta-analysis results are listed in Table 2 and confirmed directionality of these four SNPs in the two studies. Note a naive Bonferroni corrected P value threshold that assumes both datasets are typed at 324,748 SNPs is  $0.05/324,748=1.54\times10^{-7}$  and the

**FIG. 1.** COME/ROM genome-wide scan association results using the  $QLS_W$  method (GC=genomic control value). The *circled* SNPs represent the SNPs in Table 2 (replication *P*<0.10). The SNP circled in *red* is rs10497394, the significantly replicated SNP. X chromosome analyses were not presented since they were analyzed using a different method (GDT; Chen et al. 2009).

7	9	5

				Replicati	on result	s with <i>P</i> <	<b>IABLE :</b>	sta-analysis r	results of	those SN	μPs			
				UMN Study				UPitt study				Meta-analysis		
ž	SNP	Position (hg19)	Ref allele	Allele freq	OR	Ζ	Pvalue	Allele freq	OR	Ζ	Pvalue	OR (95 % CI)	Ζ	Pvalue
I I	rs10497394	174,297,659	U	0.629	1.754	4.18	$2.9 \times 10^{-5}$	0.637	1.422	4.07	$4.7 \times 10^{-5}$	1.51 (1.3–1.7)	5.66	$1.52 \times 10^{-8}$
	rs386057	685,748	U	0.447	1.733	4.33	$1.5 \times 10^{-5}$	0.585	1.193	2.01	0.045	1.35 (1.2–1.6)	3.98	$6.94 \times 10^{-5}$
	rs10775247	90,126,121	U	0.570	0.573	-4.00	$6.3 \times 10^{-5}$	0.460	0.861	-1.78	0.075	0.77 (0.67–0.89)	-3.62	$3.00 \times 10^{-4}$
	rs1110060	90,190,048	<	0.551	0.510	-4.91	$9.1 \times 10^{-7a}$	0.409	0.856	-1.80	0.072	0.74 (0.64–0.85)	-4.10	$4.09 \times 10^{-5}$
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significance threshold for a more appropriate twostage joint analysis is  $1.80 \times 10^{-6}$  (Skol et al. 2006).

The replicated SNP on chromosome 2 (rs10497394) maps within a 537 kb intergenic region bordered by two genes (CDCA7 and SP3) coding for nuclear proteins with transcription factor activities. Subsequent imputation analyses of the chromosome 2 region using HapMap3 data found 14 SNPs with P<  $10^{-5}$  in this region, with the lowest  $P=1.1\times10^{-7}$ . P values for all 14 of these SNPs exceeded our top genotyped SNP, rs10497394. Haplotype analysis using Haploview (version 3; Release 27; CEU analysis panel) (Barrett 2009) identified two haplotype blocks of high linkage disequilibrium ( $r^2 > 0.9$ ), one block spanning approximately 16kb (chr2:174286992-174303858) and the other less than 1kb (chr2:174306514-174307309), the total region containing both haplotype blocks spanning chr2:174286992-174307309 (UCSC Genome Browser, build hg19). This region of high LD contains many regulatory and genomic elements that have putative functional effects (Fig. 2). Locus zoom plots using our primary GWAS data with HapMap3 imputation results (Fig. 3) confirmed this region is in high LD and lies between two areas of high recombination (Pruim et al. 2010). HaploReg (Ward and Kellis 2012) identified two SNPs (rs1435769 and rs10185704) in high LD ( $r^2=1.0$ ) with rs10497394 that lie within transcription factor binding sites for STAT3 and NFkB, respectively. In order to investigate whether other SNPs in this region represent independent associations with COME/ROM in this population, we used a conditional analysis using the genotype at SNP rs10497394 as a covariate. There were 14 SNPs in the chromosome 2 region of interest with GDT  $P \le 0.001$  (the smallest  $P = 8.6 \times 10^{-5}$ ) before the conditional analysis, and none of them have P <0.001 after the conditional analysis. Note that, although the existing method GDT is slightly less powerful than the method QLSw that we are currently using, it has the ability to adjust for covariates. This analysis suggests that the other SNPs in this region do not represent independent associations with COME/ ROM.

The strongest locus from our discovery sample (rs1110060) produced a replication P=0.072 and a meta-analysis  $P=4.09\times10^{-5}$  (Table 2.) The two chromosome 15 SNPs (rs10775247 and rs1110060) lie about 64 kB from each other in adjacent genes *TICRR* and *KIF7* ( $r^2=0.47$ ; D=0.77). SNP rs386057 (replication P=0.045; meta-analysis  $P=6.94\times10^{-5}$ , Table 2) is located on chromosome 5p15.33 within intron 1 of the gene tubulin polymerization promoting protein (*TPPP*).

From our in silico eQTL analysis, the SNPs on chromosomes 2 and 15 were found to regulate genes in the CEU population (Table 3). Analysis of the



**FIG. 2.** Genomic characteristics of the chromosome 2 region of high LD (chr2: 174286992–174307309) from UCSC Genome Browser build hg19 which includes a processed pseudogene with 89 % sequence identity to the coding sequence of the hematological and neurological expressed 1 (*HN1*) gene; an H3K27Ac mark and an H3K4Me1 mark from ENCODE; DNasel hypersensitive regions including those discovered in relevant cell lines (lung,

chromosome 2 region of interest using the eQTL browser of the Pritchard Lab and Genevar produced no significant results. Using the SCAN database, the chromosome 2 SNP (rs10497394) is associated with the expression of the *LDLR* gene on chromosome 19 in the CEU population ( $P=6 \times 10^{-5}$ ). eQTL analysis of the chromosome 15 region of interest with the "eQLT resources @ the pritchard lab" found our most



**FIG. 3.** Locus Zoom plots of the chromosome 2 region using UMN GWAS data and HapMap3 imputation. SNP shown in *purple* is our replicated SNP rs10497394, and linkage disequilibrium (LD) is in relation to this SNP.

non-pigment ciliary epithelial, and small airway epithelial cell lines); a conserved CTCF insulator binding site; and numerous predicted transcription factor binding sites (The ENCODE Project Consortium 2004; Rosenbloom et al. 2012). Conservation in this region is measured using Multiz Alignments of eight vertebrates.

significantly associated SNP in the discovery population (rs1110060) acts in *cis* as an exon-QTL for *IQGAP1* (Montgomery et al. 2010). Using the SCAN database, SNP rs10775247 regulates expression of Resistin (*RETN*) in the CEU population ( $P=4\times10^{-5}$ ). Due to differences in sample sets and methods for measuring and analyzing expression data, results varied between the databases.

# DISCUSSION

We have conducted the first GWAS of COME/ROM and identified and investigated replication in an independent collection of families with otitis media. In our discovery GWAS, one SNP on chromosome 15q26.1 (rs1110060) approached significance ( $P=9.1 \times 10^{-7}$ ). A panel of 53 SNPs with *P*values less than  $10^{-4}$ from our GWAS was tested for replication in an independent otitis media family population at the University of Pittsburgh. We successfully replicated a novel locus on chromosome 2q31.1 (rs10497394), with replication  $P=4.7 \times 10^{-5}$  and meta-analysis P= $1.52 \times 10^{-8}$ .

The replicated SNP rs10497394 is in a large intergenic region on chromosome 2q31.1 between the genes *CDCA7* and *SP3*. Although predicting functional consequences of non-protein coding SNPs proves challenging, loci have been identified in a GWAS in gene deserts and have been found to have

	TABLE 3						
Signific	ant results from	the three eQTL browsers used for the in	n silico eQTL	. analysis for t	he four SNPs	of interest	
Chromosome	SNP	Database	Gene	Population	P value	Cis/trans	
2	rs10497394	eQTL browser @ pritchard lab	LDLR	CEU	6.00E-05	Trans (Chr19)	
5	rs386057		-	-	-	-	
15 15	rs10775247 rs1110060	SNP and CNV annotation database eQTL browser @ pritchard lab	RETN IQGAP1	CEU CEU	4.00E-05 3.07E-05	Trans (Chr19) <i>Cis</i> (exonQTL)	

functional significance in complex diseases (Jia et al. 2009). SNP rs10497394 was found to regulate expression of LDLR on chromosome 19 using SCAN: SNP and CNV annotation database. Low-density lipoprotein receptor (LDLR) is expressed on ciliated airway epithelial cells, and LDLR has been found to have a role in the pathogenesis of asthma in mice (Yao et al. 2011). Additionally, *LDLR* is a binding site for human rhinovirus species C which have been implicated in upper and lower respiratory infections in children and adults with chronic respiratory disease (Bochkov et al. 2011). Replication and significant meta-analysis results for rs10497394, when combined with evidence for potential functional consequences, support a role in COME/ROM pathogenesis that warrants further investigation.

Association results on chromosomes 5 and 15 did not meet Bonferroni-corrected significance for replication but are of interest for further evaluation in additional populations. SNP rs1110060, with strongest evidence for association in the primary GWAS, is located on 15q26.1 in the proximity of the 5' end of intron 7 of KIF7, a location that may conceivably affect the splicing of the primary KIF7 transcript. The likelihood of this SNP affecting splicing was confirmed by Spliceman Web Server, an online tool used to predict how likely mutations around annotated splice sites are to disrupt splicing (Lim and Fairbrother 2012). KIF7 is involved in regulating mammalian Sonic Hedgehog (Shh) (Ingham and McMahon 2009) and Indian hedgehog (IHH) (Hsu et al. 2011) via protein trafficking within the primary cilium (Ingham and McMahon 2009), resulting in skeletal abnormalities, possibly providing a link between craniofacial development such as Eustachian tube formation and COME/ROM susceptibility. Hedgehog interacting protein (HHIP), a regulator of the Hedgehog (Hh) pathway, and Patched (PTCH1), a Shh protein upstream of KIF7, have been associated with abnormal lung function and chronic obstructive pulmonary disease in two large meta-analyses of GWAS studies of lung function (Li et al. 2011). Polymorphisms in a related kinesin gene, KIF3A, were recently shown to be associated with aspirin-intolerance in asthma and the decline of forced expiratory volume at 1 s (FEV<sub>1</sub>)% by aspirin provocation (Kim et al. 2010), suggesting a possible role in immune response.

The second SNP on chromosome 15 in Table 2, rs10775247, is a missense mutation (Arg287Cys) in C15orf42 (TICRR). TICRR encodes the protein Treslin which has been shown to play a role in initiation of DNA replication (Kumagai et al. 2010). Although the link with COME/ROM is unclear, Treslin interacts with TopBP1, a member of a complex impacted by inactivation of host DNA replication by certain viruses, including adenovirus and parainfluenza virus type 2 (Lilley et al. 2007) which are both commonly coincident with otitis media (Massa et al. 2009). This suggests that TICRR may be worth evaluating in a larger sample to determine a role in COME/ROM susceptibility. A SNP in TICRR (rs8032553, not genotyped in our study) was found to be associated with cholesterol/LDL in the Framingham Heart Study (Kathiresan et al. 2007). As far as we are aware, none of the other regions reported in our study have been previously associated in any GWAS.

The eQTL analysis of the chromosome 15 region using the "eQTL resources @ the pritchard lab" showed regulation of IQGAP1 by rs1110060 as an exon-QTL. IQGAP1 is located about 741 kB distal to this SNP (chr15:90931473-91045475; hg19). IQGAP1 is a widely conserved and ubiquitously expressed scaffold protein with numerous protein-interaction domains allowing for binding to diverse proteins (Brown and Sacks 2006). IQGAP1 has been implicated as a target of several bacteria as a player in their mechanisms of infection. Salmonella typhimurium has been shown to bind to IQGAP1 which allows S. typhimurium to escape the host immune response allowing for the establishment of chronic infection (Kim et al. 2011). Additionally, IQGAP1 was found to be needed to organize ROS-dependent VEGF signaling via VEGFR2 in endothelial cells which may contribute to the repair and maintenance of blood vessels and angiogenesis (Yamaoka-Tojo et al. 2004). VEGF signaling is known to induce angiogenesis, increase vascular permeability, and influence inflammation in several ways, all which could increase risk of chronic otitis media by effusion build-up and increased inflammation (Angelo and Kurzrock 2007). Inhibitors of *VEGF* signaling have been found to reduce hearing loss and fluid buildup in the middle ear of *Junbo* mice, a spontaneous mouse model of OM (Cheeseman et al. 2011). These roles of *IQGAP1* indicate that *IQGAP1* may be involved in COME/ ROM pathogenesis though further investigation is necessary.

SNP rs386057 is located on chromosome 5p15.33 in the intronic region of the gene tubulin polymerization promoting protein (*TPPP*). *TPPP* is important in microtubule (MT) assembly, MT bundling, and the stabilization of existing MTs, and may also play a role in mitotic spindle assembly and nuclear envelope breakdown (Ovádi and Orosz 2009). It has been identified as a brain specific protein, but its orthologs are ciliary proteins, suggesting a role of *TPPP* in the basic function of cilia (Orosz and Ovádi 2008). This potential role ciliary function is interesting due to our findings of the association of rs1110060 in *KIF7*, an important intraflagellar transport protein.

Many epidemiological studies have shown that male children are more likely to be affected with COME/ROM than females (Auinger et al. 2003; Damoiseaux et al. 2006; Daly et al. 2010). Despite evidence of a gender bias, analysis of the X chromosome from our GWAS data did not result in any significant loci associated with COME/ROM.

The primary strength of our study is the familybased study design for both the GWAS and replication phases, permitting an analytical approach robust to population stratification and the application of a powerful new test of association. Though the QLSw family-based association test is powerful and robust, it is unable to model covariates. The main limitations to this study include SNP density and modest population size. We carried out a power analysis for a two-stage genome-wide association study using the software tool CaTS (Skol et al. 2006). In a study with 1,309 cases and 877 controls, with 27.5 % of the samples genotyped at all 324,748 SNPs in the first stage, and 0.015 % of SNPs followed up by genotyping the remaining samples in Stage 2, assuming the prevalence of OM is 0.15, and the genotype relative risk is 1.5 at a causal SNP with the disease allele frequency 0.5, we have 59 % power to identify a variant at significance level  $1.5 \times 10^{-7}$ . In common diseases, statistical power has been shown to be slightly lower in family-based studies than in case-control studies (Laird and Lange 2006); therefore, the statistical power calculated is slightly inflated. Another limitation is that the UMN Study included only a small sample of non-European families, and the UPitt Study excluded all non-European families. Consequently, we are unable to draw conclusions about whether the observed associations are also present in populations

of non-European ancestry. Due to the nominal significance of the chromosome 5 and 15 SNPs in the replication study, we cannot exclude the possibility of Type I error in the discovery GWAS or Type II error in the replication study.

The RAINE cohort GWAS of OM discovered novel associations with combined acute/chronic OM, including CAPN14, GALTN14, and the BPIFA gene cluster, which are all found within or connected to the TGFβ pathway (Rye et al. 2012). The TGFβ pathway has recently been identified as important in COME/ROM in multiple studies (Tateossian et al. 2009; Rye et al. 2011; Tateossian et al. 2013). These loci were not able to be replicated in the Western Australia Family Study of Otitis Media. In our familybased population, we also found no evidence of association with the reported SNPs at the loci that had genotype data in our study (N=21). The lack of replication could be due to the differences in inclusion criteria for case classification (acute/chronic OM in first 3 years versus COME/ROM) or due to lack of power in the UMN population to detect associations.

This investigation has demonstrated that a family-based GWAS approach can successfully identify susceptibility loci for COME/ROM, despite the high prevalence of acute OM in the general population and modest sample size of our study. Larger genome-scale investigations of COME/ROM would be anticipated to lead to identification of additional susceptibility loci. Functional investigation of the chromosome 2 susceptibility locus identified by our study is warranted and may provide insights into COME/ROM initiation and pathophysiology.

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