#### REVIEW



# Anastomotic leak and cancer-specific outcomes after curative rectal cancer surgery: a systematic review and meta-analysis

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#### Abstract

**Background** The aim of the present study was to perform a systematic review and meta-analysis of cancer-specific outcomes after curative rectal cancer surgery comparing anastomotic leak (AL) with no leak.

**Methods** PubMed, Medline and Embase databases were searched to identify studies comparing cancer-specific outcomes after rectal cancer surgery in patients with AL and without. A meta-analysis with a random-effects model was used to calculate pooled odds ratios (OR) and confidence intervals (CI) for each outcome measure.

**Results** A total of 18 studies were included for meta-analysis, comprising a total of 18,039 patients after curative rectal resection (1764 AL, 16,275 without AL). The overall rate of AL was 9.8%. After AL and excluding 30-day mortality there was an increased risk of local recurrence (OR 1.50; CI 1.23, 1.82), worse overall survival (OR 0.69; CI 0.60–0.81), decreased disease free survival (OR 0.51; CI 0.36–0.73) and cancer specific survival (OR 0.71; CI 0.54–0.94). Distant recurrence (OR 1.10; CI 0.89–1.37) and overall recurrence (OR 1.33; CI 0.64–2.76) were not significantly different between the two groups. **Conclusions** AL may negatively impact cancer-specific outcomes after curative rectal cancer surgery and could be considered an independent negative prognostic factor.

Keywords Rectal cancer · Recurrence · Anastomotic leak · Meta-analysis

# Introduction

Improvement in outcomes after rectal cancer treatment have occurred over the last three decades irrespective of tumour stage, largely attributable to advances seen in available therapies [1]. The standard treatment paradigm in a curative setting involves a multi-modal approach including neoadjuvant chemoradiation, surgery and adjuvant chemotherapy where indicated [2].

In locally advanced rectal cancer there is established benefit derived from using 5-fluorouracil (FU) based neoadjuvant chemoradiation to reduce local recurrence [3, 4], even where total mesorectal excision (TME) has been performed [5]. Adjuvant chemotherapy has been shown to improve recurrence, disease free and overall survival rates with 5-FU

A. Karim ahmedkarim101@yahoo.com or Capecitabine based chemotherapy with the addition of oxaliplatin [6–12]. A Cochrane meta-analysis demonstrated a 25% reduction in risk of recurrence after usage of 5-FU based adjuvant regimens [13].

TME has been the standard surgical approach for treatment of rectal adenocarcinoma since 1982 [14]. This involves en bloc removal of the entire mesorectum via a meticulous dissection to avoid breaching the mesorectal plane. Initial reports following the advent of TME reported drastically reduced rates of local recurrence, as low as 4–9% compared with 32–55% in non-TME series [15].

Unfortunately after rectal resection 5–19% of patients will develop anastomotic leak (AL), with devastating impact on short term outcomes [16] with real time prediction of AL in its early stages [17, 18]. A recent national Dutch audit reported peri-operative mortality after AL to be 16.4% vs 3.1% without AL [19]. Whilst short term outcomes after AL are established, the effect of AL upon longer term oncological outcomes after rectal cancer surgery is unclear.

One meta-analysis from 2011 has reported increased local recurrence after rectal cancer surgery complicated by AL [20]. Espin et al. recently reported contrasting data from the

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Spanish Rectal Cancer Project and noted that the prior metaanalysis includes a number of pre-TME era studies and may not be supported by the subsequent published literature [21].

Our meta-analysis seeks to comprehensively investigate the effect of AL after rectal cancer surgery upon long term cancer-specific outcome measures.

# **Materials and methods**

#### Search strategy

Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement guidelines were followed for conducting and reporting meta-analysis data [22]. Medline and Embase were searched from inception to July 2015 using the search terms: "anastomosis" OR "anastomotic" AND "leak" OR "dehiscence" OR "leakage" AND "recurrence" OR "local recurrence" OR "leakage" AND "recurrence" OR "local recurrence" OR "survival" OR "disease free survival" OR "overall survival". The same terms were used to search Pub-Med. The search encompassed titles, abstracts, subject headings and registry words. Results were filtered to exclude animal and non-English language studies, with duplicates then removed.

#### **Study selection**

Search results were screened by title and abstract and relevant full text articles were obtained. Full text review was performed for eligibility of inclusion into meta-analysis independently by authors PW and HP. Discrepancies of opinion were resolved by discussion of the particular manuscript between authors.

Studies were considered for meta-analysis if they included attempted curative rectal cancer surgery with anastomosis and reported long term cancer specific outcomes for patients with and without AL. Appropriate cancer specific outcome measures chosen were rates of 5 year local recurrence (LR), distant recurrence (DR), overall recurrence (OR), disease free survival (DFS), cancer specific survival (CSS) and overall survival (OS). Studies with limited oncological follow-up (<3 years) or inclusion of recurrent disease, palliative resections, perforated tumours and unmatched stage IV disease were excluded from analysis. Studies pre-dating the total mesorectal excision (TME) era were excluded [14]. Studies that did not explicitly report outcome measures after 30 or 90 day mortality data exclusion or where such outcomes could not be derived were removed from later analysis.

## **Data extraction**

Data extraction was performed by authors PW and HP for each study into a Microsoft Excel spreadsheet (MS Office 2010). Relevant data included study author, date range, study design, patient numbers (total, AL, no AL, with and without 30/90 day mortality data exclusion), definition of anastomotic leak, definition of rectum, follow-up details, group patient characteristics (age, sex, neo-adjuvant therapy, diversion stoma, tumour characteristics and disease stage, r0/1 classification, positivity of circumferential resection margin (CRM), adjuvant chemotherapy), 5-year outcome measures (LR, DR, OR, DFS, CSS, OS).

Studies reporting outcomes for both colon and rectal resection were included if rectal data could be extracted separately for analysis.

### **Statistical analysis**

Extracted data underwent statistical analysis with Rev Man 5.3, SPSS (version 20; IBM) and Comprehensive Meta Analysis (version 3.3.070). Patient group characteristics were compared using  $\chi^2$  test without Yates correction and unpaired t-test for dichotomous and continuous variables respectively. A *p* value of <0.05 was deemed significant. All outcome variables were dichotomous and were analysed with the Mantel–Haenszel statistical method and random effects model. This model was chosen as it does not assume homogeneity between included studies, either in terms of methodology or clinical characteristics, resulting in a more conservative analysis than a fixed effect model. Outcome measures were reported differently between studies and hence numbers of patients in each meta-analysis were variable. OR and 95% CI, forest and funnel plots were created for each outcome.

## **Risk of bias**

Study quality was assessed using the Newcastle–Ottawa score for non-randomised studies in meta-analysis. (https://www.ohri.ca/programs/clinical\_epidemiology/oxfor d.asp). Thresholds chosen for quality assessment were: <5 'poor', 5–6 'fair' and  $\geq 7$  'good'.

Study heterogeneity was assessed by  $\tau^2$  and  $\chi^2$  testing with a quantitative measure of heterogeneity provided by the  $I^2$  measure. An  $I^2$  value of greater than 50% was considered to be evidence of substantial heterogeneity. Publication bias was assessed by funnel plot analysis and study distribution was inspected around the combined effect size. Egger's test of funnel plot asymmetry was also performed for each outcome measure that included ten or more studies to ensure statistical power. Meta-analysis was repeated without outlier high risk studies as required to reduce bias.

#### Outcomes

Outcome measures for meta-analysis were chosen to test the null hypothesis of equivalent cancer specific outcomes with and without AL. Primary outcome measures comprised LR, DFS and OS. Secondary outcome measures were DR, OR and CSS.

# Results

# Search results

The search strategy identified a total of 7219 articles from Medline, Embase and PubMed databases (following English

Fig. 1 PRISMA flow diagram

language and human filters). Title and abstract screening excluded 7064 records, with a further 106 excluded as duplicates. This left 49 full-text articles to be assessed for eligibility. Following full-text review 18 studies were included for meta-analysis. (Fig. 1; Table 1).

## **Study characteristics**

The characteristics of the included 18 studies are summarised in Table 1. There was no overlap of study populations



Table 1	Included stu	udies																			
Refer-	Country	Study	Design	Data	Site	Rectum	30 day	AL d	efiniti	uo	Ő	atcomes	s report	ed by stu	ły	DFS	DFS30 C	CSS C	SS30 (	O SC	S30
ences		period		source		defined?	mortality excluded?	C	R	u	LF	k LR3	0 DR	DR30	OR OR30						
Adels- dorfer [23]	Spain	1998– 2010	RA	Single center	Rectum	No	NS	NS	NS	NS 3	390 1				1	1	1	_			
Bertel- son [24]	Den- mark	2001– 2004	RA	National cancer regis- try	Rectum	No	1	-		14	1 194 1	1	-	-						-	
Brana- gan [25]	UK	1991– 1995	RA	Multi- center	Colon and rec- tum	No	No	-	-	1	533 1	1								-	
Chang [26]	Taiwan	1991– 1997	RA	Single center	Rectum	No	1	1		(T)	373 1	1	1	1		1	1				
Den Dulk [27]	Nether- lands	1987– 2003	RA	Multi- center RCT trial	Rectum	No	-	-	-	1 27	726 1	1	-	1		-	1			-	
Eber- hardt [28]	SU	1979– 2007	RMCC	Single center	Colon and rec- tum	No	0	-	-	1	291 1				1	-	1	_			
Eriksen [29]	Norway	1993– 1999	RA	National cancer regis- try	Rectum	Yes < 15 cm	1	-	1	16	958 1	1								-	
Espin [21]	Spain	2006– 2008	RA	National cancer regis- try	Rectum	Yes M15cm	1				[81 1	1					1	-		-	
Hai-Lin [30]	China	2007– 2011	RA	Single center	Rectum	No	0	NS	NS	NS 6	553 1		1			1					
Jorgren [31]	Sweden	1995– 1997	RMCC	National cancer regis- try	Rectum	Yes < 15 cm	1	-	-	1	250 1	1	1	-	1 1		1	1		-	
Jung [32]	Korea	1997– 2003	RA	Single center	Rectum	Yes<15 cm	_	-		1 13	91	-			-			-		-	

Table 1	(continued)	~													
Refer-	Country	Study	Design	Data	Site	Rectum	30 day	AL d	efinitio	e	Outc	omes reported by study		DFS DFS30 CSS CSS30	OS OS30
ences		period		source		defined'?	mortality excluded?	U	R I	u	LR	LR30 DR DR30 OR	OR30		
Katoh [33]	Japan	1990– 2000	RA	Single center	Colon and rec- tum	No	1	1	1	76	<u>,</u>		1	I	
Kulu [34]	Switzer- land	2002- 2011	RA	Single center	Rectum	No	1	1	1 1	579	•	-			1 1
Lee [35]	Korea	1996– 2006	RA	Single center	Rectum	No	1	1	1 1	1278	~		1	1	1
Merkel [36]	Ger- many	1978– 1996	RA	Single center	Rectum	Yes < 16 cm	0	1		814	4	1		1	
Ptok [37]	Ger- many	2000 2001	)-RA	Multi- center trial	Rectum	Yes < 12 cm	1	1	1	204	4	1		1	
Smith [38]	SU	1991 - 2010	RA	Single center	Rectum	Yes<15 cm	1	-	1 1	112	7 1	1 1	1	1 1	1 1
Steele [39]	UK	1998– 2005	RA	Multi- center RCT trial	Rectum	Yes < 15 cm	SN	NS	NSN	S 78	1				
		-				-	- - -	•		•					

RA retrospective analygsis, RMCC retrospective matched case control, C clinical, R radiological, I intervention

Table 2 Demographics and reporting

References	AL %	Follow up (months)	Lost to follow up %	Reporting of adjuvant chemo- therapy	Neoad- juvant therapy	Stoma	R grade	CRM+ve	TNM	UICC stage	T height
Aldelsdorfer [23]	11.5	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
Bertelson [24]	10.9	44	NS	NS	NS	NS	NS	NS	NS	NS	NS
Branagan [25]	6.3	60	NS	NS	NS	NS	NS	NS	NS	NS	NS
Chang [26]	7.2	60	8.40	Yes	NS	NS	NS	NS	NS	Yes	NS
Den Dulk [27]	9.7	71	NS	NS	NS	NS	NS	NS	NS	NS	NS
Eberhardt [28]	*	90	NS	NS	NS	NS	NS	NS	Yes	Yes	NS
Eriksen [29]	11.6	45	NS	NS	Yes	Yes	NS	Yes	Yes	NS	NS
Espin [21]	9.4	60	NS	Yes	Yes	Yes	NS	Yes	Yes	NS	Yes
Hai-Lin [30]	6.1	NS	NS	Yes	NS	NS	NS	NS	NS	NS	NS
Jorgren [31]	*	60	NS	Yes	Yes	Yes	NS	NS	Yes	Yes	Yes
Jung [32]	2.5	40	NS	Yes	Yes	Yes	NS	NS	Yes	NS	Yes
Katoh [33]	9.2	116	NS	NS	NS	NS	NS	NS	NS	NS	NS
Kulu [34]	8.9	56	NS	Yes	Yes	Yes	NS	NS	NS	NS	NS
Lee [35]	4.0	45	NS	NS	Yes	NS	Yes	NS	Yes	NS	Yes
Merkel [36]	10.9	90	NS	NS	NS	NS	NS	NS	NS	Yes	Yes
Ptok [37]	14.8	40	20	NS	Yes	Yes	NS	NS	NS	Yes	Yes
Smith [38]	3.5	74	NS	Yes	Yes	Yes	NS	NS	NS	Yes	Yes
Steele [39]	7.6	96	NS	Yes	NS	NS	NS	NS	NS	NS	NS

CRM circumferential resection margin

\*Matched studies

in the included studies. All studies comprised retrospective analysis with two matched case–control studies [28, 31].

Four studies recruited patients directly from national colorectal cancer registries in Norway [29], Spain [21], Denmark [24] and Sweden [31]. Three studies used pooled trial data [27, 37, 39], with the remainder either being prospectively collected multi or single center in origin.

Three studies were graded as 'fair' [23, 30, 39] according to the NOS criteria with the remaining 15 studies scoring 7 or above ('good' quality). The 'fair' quality studies did not report 30 day mortality and were therefore excluded from every meta-analysis except local recurrence.

Only 8 of 18 studies defined the rectum [21, 29, 31, 32, 36–39], which varied from 12 to 16 cm from the anal verge. Of the 18 included studies, 11 excluded 30 or 90 day mortality during reporting of outcome measures [21, 24–29, 32, 34, 36, 37] and a further three allowed adjusted outcome data to be derived [31, 35, 38]. Only one included stage IV patients and was appropriate for analysis due to case matching [28]. 15 studies defined anastomotic leak using a combination of clinical, radiological and interventional criteria.

#### **Patient characteristics**

A total 18,039 patients were included in the analysis of which 1764 suffered AL giving an overall leak rate of

9.8% (range 2.5–14.8%). There was an older population of patients and a greater male preponderance in those with AL (77% vs 71%; p < 0.0001,  $\chi^2$ ). Significantly fewer patients in the AL group (40.8%) received a diverting stoma at the time of surgery compared with patients without AL (50.1%) (p < 0.0001,  $\chi^2$ ). (see Table 2).

Tumour stage was reported in 11 studies and differently across included studies with 6 studies reporting according to UICC criteria [26, 28, 31, 36–38] and a further 6 studies using TNM classification [21, 28, 29, 31, 32, 35]. There was a significantly higher proportion of stage III patients in the leak group (p=0.0015,  $\chi^2$ ). However, TNM status was worse in the group without AL in terms of T (p=0.0002,  $\chi^2$ ) and N stage (p=0.018,  $\chi^2$ ). There was no disparity in the proportion of low rectal cancer cases between the group with and without AL (13% vs 12.2%; p=0.6381,  $\chi^2$ ).

Eight studies [21, 29, 31, 32, 34, 35, 37, 38] reported on neo-adjuvant therapy prior to surgery, and significantly fewer patients in the without AL group received such treatment (31% vs 11%, p < 0.0001,  $\chi^2$ ). 8 of the 18 studies [21, 26, 30–32, 34] reported adjuvant chemotherapy use after surgery, with no studies quantitatively reported adjuvant treatment delay. Only 31.5% of patients in the AL group eventually received adjuvant chemotherapy, compared with 52% in the group without AL (p < 0.0001,  $\chi^2$ ).



#### **Outcome measures**

#### Local recurrence

12 of 18 studies reported local recurrence after anastomotic leak, comprising a total of 13,610 patients with 1434 patients suffering AL [21, 23, 37–39]. 130 (9.1%) episodes of local recurrence were reported in the AL group, compared with 813 (6.7%) episodes in the group without AL. There was an increased risk of local recurrence in the AL group (OR 1.47; CI 1.17–1.85) which was highly significant (Z=3.28, p=0.001). There was minimal heterogeneity ( $\tau^2$ =0.03;  $\chi^2$  13.29 df=11 p=0.27,  $I^2$ =17%). Egger's test was not significant (p=0.47, 2 tailed). However, funnel plot analysis revealed an outlier study [38] which was removed from subsequent analysis and produced a slight alteration in results that remained highly significant (OR 1.34 CI 1.09–1.65, Z=2.77, p=0.006). Heterogeneity was reduced even further and Egger's test was non-significant ( $I^2=0$ ,  $\tau^2=0$  Eggers 2 tailed p=0.45) (Fig. 2).

11 of 18 studies either directly adjusted for 30 day mortality or allowed it to be derived, comprising a total of 11,951 patients (1329 AL vs 10,622 without AL) (see Fig. 3) [19, 22–27, 29, 34–36]. There were 144 (10.8%) events in the AL group and 824 (7.8%) in the without AL group. Local recurrence was significantly increased in the AL group (OR 1.50; CI 1.23, 1.82; Z=4.06, p=0.0001). There was minimal heterogeneity in this group ( $I^2=0\%$ ,  $\chi^2=9.05$ , df=10, p=0.53,  $\tau=0.00$ ) and funnel plot revealed no outliers (see Fig. 4). Egger's test was not significant (2-tailed p=0.75).

	Anastomoti	c leak	Cont	rol		Odds Ratio	Odds Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% Cl	I M-H, Random, 95% CI
Bertelson 2009	13	145	84	1251	10.1%	1.37 [0.74, 2.52]	]
Branagan 2005	9	36	60	581	5.9%	2.89 [1.30, 6.44]	] — —
Chang 2003	3	25	20	347	2.3%	2.23 [0.61, 8.08]	]
den Dulk 2009	26	220	194	2199	19.9%	1.39 [0.90, 2.14]	] +
Eberhardt 2009	11	97	10	194	4.7%	2.35 [0.96, 5.75]	] +
Eriksen 2005	26	212	182	1688	19.6%	1.16 [0.75, 1.79]	]
Espin 2015	4	100	49	1053	3.5%	0.85 [0.30, 2.42]	]
Jorgren 2009	9	110	12	134	4.6%	0.91 [0.37, 2.24]	]
Merkel 2000	20	89	91	725	12.7%	2.02 [1.17, 3.48]	] —
Ptok 2007	20	255	70	1370	14.1%	1.58 [0.94, 2.65]	] +
Smith 2012	3	40	52	1080	2.6%	1.60 [0.48, 5.37]	]
Total (95% CI)		1329		10622	100.0%	1.50 [1.23, 1.82]	」
Total events	144		824				
Heterogeneity: Tau² =	0.00; Chi² = 9	9.05, df=	10 (P = 0	).53); l² =	:0%		
Test for overall effect:	Z = 4.06 (P ≤ I	0.0001)					Eavours Control Eavours Anastomotic leak



All studies in this meta-analysis scored 7 or above on NOS criteria.

## **Distant recurrence**

Distant recurrence was only reported in 4 of 18 studies after exclusion of 30 day mortality (500 with AL, 3931 without) [24, 26, 27, 31]. There was no difference between the two groups (OR 1.10; CI 0.89–1.37) with minimal heterogeneity amongst the studies ( $\tau^2 = 0.00$ ,  $\chi^2 = 3.98$ , df = 4, p = 0.41;  $I^2 = 1\%$ ) (Fig. 5).

#### **Overall recurrence**

Only 5 of 18 studies reported overall recurrence following exclusion of 30 day mortality (305 with AL vs 2704 without) comprising just 18% of the total study population [28, 31, 33, 35, 38]. There was no significant difference between groups (OR 1.33; CI 0.64–2.76) and a high degree of heterogeneity was noted between studies ( $\tau^2 = 0.49$ ,  $\chi^2 = 17.53 df = 4$ , p = 0.002;  $I^2 = 77\%$ ) (Fig. 6).

# **Disease free survival**

Six studies reported disease free survival (at 5 years) excluding 30 day mortality with 69.4% (455/655) DFS in the group with AL [26–28, 33, 35, 37]. There was greater survival in the group without AL (75.7%; 4095/5406), which was significant (OR 0.51; CI 0.36–0.73). Marked heterogeneity was noted within studies ( $\tau^2 = 0.1$ ,  $\chi^2 = 12.67$ , df = 5, p = 0.03,  $l^2 = 61\%$ ) but Egger's test was not performed due to lack of power (Fig. 7).

#### **Overall survival**

11 of 18 studies reported overall survival excluding 30 day mortality (722 with AL, 8535 without) [22, 24, 25, 27–29,



	Experim	ental	Contr	rol		Odds Ratio		Odds Ratio	
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% Cl		M-H, Random, 95% Cl	
Bertelson 2009	27	145	231	1251	26.6%	1.01 [0.65, 1.57]		-+-	
Chang 2003	9	25	81	347	7.2%	1.85 [0.79, 4.34]			
den Dulk 2009	61	220	563	2199	53.0%	1.11 [0.82, 1.52]			
Jorgren 2009	20	110	31	134	13.2%	0.74 [0.39, 1.39]			
Total (95% CI)		500		3931	100.0%	1.07 [0.85, 1.34]		•	
Total events	117		906						
Heterogeneity: Tau <sup>2</sup> =	0.00; Chi <sup>z</sup>	= 3.04,	df = 3 (P	= 0.39)	); I <sup>z</sup> = 1 %				1
Test for overall effect:	Z = 0.55 (F	° = 0.58	)				0.01	Favours Control Favours Anastomotic leak	0

Fig. 5 Forest plot: distant recurrence excluding 30 day mortality

	Anastomotic	c leak	Cont	rol		Odds Ratio	Odds Ratio	
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% Cl	M-H, Random, 95% Cl	
Eberhardt 2009	28	97	30	194	23.8%	2.22 [1.23, 3.99]	_ <b>_</b> _	
Jorgren 2009	22	110	38	134	23.6%	0.63 [0.35, 1.15]		
Katoh 2011	3	7	7	69	11.2%	6.64 [1.23, 35.95]		
Lee 2008	17	51	269	1227	23.6%	1.78 [0.98, 3.24]		
Smith 2012	4	40	213	1080	17.8%	0.45 [0.16, 1.28]		
Total (95% CI)		305		2704	100.0%	1.33 [0.64, 2.76]	-	
Total events	74		557					
Heterogeneity: Tau² =	: 0.49; Chi <sup>z</sup> = 1	7.53, df:	= 4 (P = 0	0.002);	l² = 77%			100
Test for overall effect:	Z = 0.78 (P = 0	0.44)					Favours Control Favours Anastomotic le	eak

Fig. 6	6 Forest p	lot: overal	l recurrence ex	cluding 30	day mortality
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	Anastomoti	c leak	Conti	ol		Odds Ratio		Od	ds Ratio	
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% Cl		M-H, Ra	ndom, 95% Cl	
Katoh 2011	4	7	62	69	3.9%	0.15 [0.03, 0.81]		-	-	
Chang 2003	8	25	246	347	11.0%	0.19 [0.08, 0.46]				
Eberhardt 2009	69	97	164	194	17.2%	0.45 [0.25, 0.81]			-	
Lee 2008	34	51	958	1227	16.9%	0.56 [0.31, 1.02]				
Ptok 2007	207	255	1194	1370	24.4%	0.64 [0.45, 0.90]		-	⊢∣	
den Dulk 2009	133	220	1471	2199	26.6%	0.76 [0.57, 1.01]		-	•	
Total (95% CI)		655		5406	100.0%	0.51 [0.36, 0.73]		•	•	
Total events	455		4095							
Heterogeneity: Tau <sup>2</sup> =	0.10; Chi <sup>2</sup> = 12	2.67, df =	5 (P = 0	.03); l²	= 61%			01		100
Test for overall effect:	Z = 3.70 (P = 0	0.0002)					0.01	Favours Contro	ol Favours Anastomot	ic leak

Fig. 7 Forest plot: disease free survival excluding 30 day mortality

31, 32, 34, 35, 38]. 65.9% of patients with AL were alive at 5 years, compared with 75.7% without AL. There was a significant decrease in overall survival following AL (OR 0.66; CI 0.56–0.78;  $Z=4.81 \ p < 0.00001$ ). There was minor heterogeneity of studies ( $\tau^2 = 0.02$ ,  $\chi^2 = 13.6$ , df = 10, p = 0.19,  $I^2 = 26\%$ ) and funnel plot analysis revealed an outlier study [34] (Fig. 8).

Subsequent analysis without the outlier diminished significance only slightly (OR 0.69, CI 0.60–0.81). Egger's test was not significant (2-tailed p = 0.28) and heterogeneity became minimal ( $\tau^2 = 0.01$ ,  $I^2 = 9\%$ ) (Fig. 9).

#### **Cancer specific survival**

6 of 18 studies reported cancer specific survival excluding 30 day mortality (470 with AL vs 4542 without) [21, 28, 31, 32, 36, 38]. 5 year cancer specific survival was 76.6% with AL and 82.9% without AL respectively. This proved to be significant (OR 0.71; CI 0.54–0.94; p < 0.02, Z 2.4). Heterogeneity was minimal [ $\tau^2 = 0.02$ ,  $\chi^2 = 6.07 df = 5$  (p =0.30)  $I^2 = 18\%$ ] with no outliers identified on funnel plot (Figs. 10, 11).

## Discussion

Our meta-analysis is, to the best of our knowledge, the first to examine the full spectrum of long term cancer-specific outcomes after curative rectal cancer surgery complicated by AL. The meta-analysis was designed to limit oncological bias and followed strict inclusion and exclusion criteria with removal of studies not reporting rectal cancer surgery outcomes separately. Wide variation was noted between studies in criteria for definition of the rectum, AL and oncological outcome measures. Reporting of neoadjuvant therapy use, pathological r grade and adjuvant chemotherapy treatment was also incomplete.

In total, 18 studies with a combined patient population of 18,036 met inclusion criteria and were eligible for meta-analysis. This analysis includes published data from national colorectal cancer registries of Denmark, Norway, Spain and Sweden, and incorporates an extremely large number of patients with which to interrogate oncological outcomes after rectal cancer surgery. The principal findings are that patients who suffer AL are significantly more likely to develop LR and experience reduced DFS, CSS

**Fig. 8** Funnel plot: overall survival





	Anastomoti	c leak	Cont	rol		Odds Ratio	Odds Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% Cl	M-H, Random, 95% Cl
Bertelson 2009	95	145	944	1251	14.9%	0.62 [0.43, 0.89]	
Branagan 2005	19	36	371	581	4.8%	0.63 [0.32, 1.24]	_ <b></b> +
den Dulk 2009	157	220	1660	2199	19.9%	0.81 [0.59, 1.10]	
Eberhardt 2009	52	97	138	194	8.3%	0.47 [0.28, 0.78]	
Eriksen 2005	135	212	1166	1688	21.0%	0.78 [0.58, 1.06]	
Espin 2015	73	100	802	1053	9.8%	0.85 [0.53, 1.35]	
Jorgren 2009	72	110	90	134	7.5%	0.93 [0.54, 1.58]	<b>_</b>
Jung 2008	19	34	1005	1356	4.7%	0.44 [0.22, 0.88]	
Lee 2008	33	51	982	1227	6.2%	0.46 [0.25, 0.83]	_ <b></b>
Smith 2012	34	40	946	1080	2.8%	0.80 [0.33, 1.95]	
Total (95% CI)		1045		10763	100.0%	0.69 [0.60, 0.81]	•
Total events	689		8104				
Heterogeneity: Tau <sup>2</sup> =	0.01; Chi <sup>2</sup> = 9	9.86, df =	9 (P = 0.3	36); I <b>ř</b> = !	9%	F	
Test for overall effect:	Z= 4.72 (P <	0.00001)	)			U.	Favours Control Favours Anastomotic leak

Fig. 9 Forest plot: overall survival excluding 30 day mortality

and OS. Furthermore, these outcomes remain significant after adjustment for exclusion of operative 30 day mortality. Our results suggest that AL increases risk of developing LR by nearly 50%, with 5 year DFS, CSS and OS reduced by 6%, 6% and 9% respectively after AL. No significant difference between groups was identified for DR, OR and CSS, but meta-analysis was limited by the small number of studies that provided data on these outcome measures.

A limitation of this meta-analysis is the heterogeneity between the two groups undergoing comparison. This can be attributed to the unmatched nature of the majority of studies in the analysis and also reflects the observation that patients who suffer AL in general display differing risk factors, patient and tumour characteristics to those who do not. In accordance with published data, patients with AL were older and more likely to be male [19]. Only two studies attempted case–control matching of patient groups with one [28] demonstrating worse outcomes after AL and no difference from the second report [31]. Both studies included relatively small patient numbers.

Tumour stage has marked prognostic implications and a difference in stage distribution between the two groups would have resulted in significant oncological impact upon outcome measures. An attempt was made to compare the composition of tumour stages between groups but unfortunately this was reported variably between studies. Limited analysis revealed a larger number of stage III patients in the group with AL, but TNM stage was more advanced in the group without AL (data from a different cohort of studies).

**Fig. 10** Funnel plot: cancer specific survival





Fig. 11 Forest plot: overall survival excluding 30 sday mortality

There were too few studies with either reporting measure to perform a robust subset meta-analysis in an attempt to reduce the effect of tumour stage on outcomes.

Wang et al. in 2017 reported that AL was associated with high LR and poor survival (both overall and cancerspecific), but not with DR [40]. The exact mechanism by which AL may cause a worse oncological outcome is unknown. The presence of viable tumour cells within the bowel lumen has been established [41]. Poor outcomes have also been identified in patients with perforated tumours [42] and this supports the theory that AL allows the escape of viable tumour cells from the bowel lumen to potentially implant within the peritoneal cavity. This may explain the increased LR and reduction in DFS in patients suffering AL in our meta-analysis. The pelvis is a relatively confined area and it is feasible that AL localised to this space may only increase LR but not DR. Some studies found that peritoneal infection increased serum interleukin-6 (IL-6), vascular endothelial growth factor (VEGF), and C-reactive protein (CRP) concentrations, which are associated with poor overall and cancer-specific survival [43]. Furthermore AL causes postoperative peritoneal and pelvic infection, which may enhance proliferation, migration, and invasion capacities of cancer cells as shown in cancer cell lines in vitro [44].

This may also explain our findings of equivalent DR and OR between the two groups.

AL may prevent or delay the receipt of adjuvant chemotherapy. This may also explain poorer survival in patients with AL [45]. This study found that significantly fewer patients in the AL group received adjuvant chemotherapy than in the group without AL (31.5% vs 52%). Given the increase in morbidity and mortality with AL after rectal cancer surgery it seems logical that fewer such patients would be fit to receive adjuvant chemotherapy. Failure to receive the established survival benefit from adjuvant chemotherapy [13] may be an important factor in explaining the worse oncological outcomes after AL we have identified.

A previous meta-analysis by Mirnezami in 2011 examined oncological outcomes after colorectal cancer surgery and AL [20]. Following a rectal cancer subset analysis, an increased risk of LR after AL was reported (OR 2.05; CI 1.51-2.8) in a population of 12,202 patients (1249 AL, 10,953 without AL). This meta-analysis includes a number of pre-TME era studies [46-49] and did not exclude studies with unmatched stage IV disease [50], or report on DR, OR, DFS, CSS or OS specific to rectal cancer surgery. A recent meta-analysis performed in 2017 by Won Ha et al. studied oncologic impact of anastomotic leakage following colorectal cancer surgery [51]. The rectal cancer subset analysis showed increased local recurrence (RR 1.62, 95% CI 1.29-2.05,) and reduced OS (RR 1.45, 95% CI 1.26-1.67). AL had no significant effect on distant recurrence (RR 1.04, 95% CI 0.89–1.21). This meta-analysis subgroup also included a number of pre-TME studies and the authors had commented on variable follow up periods as being a study limitation.

Our use of more rigorous exclusion criteria and the addition of recent published studies [21, 23, 30, 33, 35, 38, 39] to the meta-analysis provides a current and precise understanding of the impact of AL on long term outcomes in a significantly larger patient population (n = 18,039). Furthermore, our results agree with the findings of the previous meta-analyses by also demonstrating a significant association between AL and the development of LR. The attenuated significance in our meta-analysis (OR 1.50; CI 1.23, 1.82) may be due to the inclusion of more recently published data.

# Conclusions

Our results confirm that AL significantly worsens LR after curative rectal cancer surgery and demonstrates that this negative oncological effect extends also to all survival outcomes. The latter findings are novel to this analysis and have not been examined or reported elsewhere. The addition of tumour stage-specific outcome analysis or larger matched case–control studies in the future may allow the true effect of AL on oncological outcome to be more clearly elucidated. Patients with AL after rectal curative surgery may require special attention in terms of adjuvant therapy. AL may be an independent negative prognostic factor and adjuvant therapy should be strongly considered in these patients.

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#### **Compliance with ethical standards**

Conflict of interest The authors deny any conflict of interest.

Ethical approval The study does not contain any human participants and does not require ethical approval.

Informed consent For this type of study formal consent is not required.

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