



# Advances in the treatment of human epidermal growth factor receptor 2-positive gastric cancer

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## Abstract

Human epidermal growth factor receptor 2 has been a pivotal biomarker for gastric cancer treatment strategies for many years. However, more than a decade after the ToGA trial demonstrated the efficacy of trastuzumab in improving survival, the development of treatments targeting human epidermal growth factor receptor 2 remains challenging. Several large-scale clinical trials of tyrosine kinase inhibitors, non-trastuzumab anti-human epidermal growth factor receptor 2 antibodies, and antibody–drug conjugates have failed to meet the primary endpoints. The concept of trastuzumab beyond progression and the complexity of resistance mechanisms to anti-human epidermal growth factor receptor 2 therapy after trastuzumab treatment presented significant obstacles, leading to trastuzumab being the sole therapy for human epidermal growth factor receptor 2-positive gastric cancer for some time. Nevertheless, the landscape has shifted in recent years, especially since the introduction of the antibody–drug conjugate trastuzumab deruxtecan in 2020. This has rekindled the interest in developing treatments targeting human epidermal growth factor receptor 2 in gastric cancer.

**Keywords** Human epidermal growth factor receptor 2 · Trastuzumab · Gastric cancer · Chemotherapy · Antibody–drug conjugate

## Introduction

Human epidermal growth factor receptor 2 (HER2) has been considered a crucial biomarker and therapeutic target for over 2 decades. Coded by the ERBB2 gene on chromosome 17q21, HER2 is a transmembrane glycoprotein belonging to the epidermal growth factor receptor (EGFR) family, which includes EGFR (HER1), HER3, and HER4. These receptors play crucial roles in cellular processes including growth, differentiation, and survival. HER2 is unique among its family members because of its potent intrinsic kinase activity and ability to form active homodimers or heterodimers with other HER receptors without a ligand. This leads to amplified signaling pathways that drive oncogenesis. Although breast and gastric cancers are the primary malignancies associated with HER2-targeted therapies, recent findings have revealed HER2 positivity in other cancers, emphasizing

its importance across different cancer types. However, the effectiveness of therapies and supporting evidence between breast and gastric cancers, highlighting that treatments effective for HER2-positive tumors are not universally applicable to all cancers. This article outlines the evidence gathered regarding gastric cancer and discusses future perspectives. Currently, HER2-positive gastric cancer, accounting for 15–20% of all gastric cancers, is defined by an immunohistochemistry (IHC) score of 3+ or 2+ in conjunction with HER2 gene amplification detected by in situ hybridization (ISH). HER2 positivity is more common in intestinal-type tumors than in diffuse-type tumors and often found in tumors originating from the gastroesophageal junction to the proximal stomach [1].

## First-line treatment for HER2-positive gastric cancer

The ToGA trial was the first to provide significant evidence for treating HER2-positive gastric cancer. This open-label, phase III trial examined the additive effects of trastuzumab and capecitabine (or 5-fluorouracil, 5-FU) and cisplatin. The inclusion criteria for HER2 positivity were initially defined as IHC 3+ or fluorescence ISH (FISH)-positive cells. The

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median overall survival (mOS) was 11.1 months for the control group and 13.8 months for the trastuzumab group (hazard ratio [HR] 0.74, 95% confidence interval [CI] 0.60–0.91,  $p=0.0046$ ), showing a significantly prolonged survival in the trastuzumab group (Table 1) [2]. However, a subset analysis revealed that the additive effect of trastuzumab was unclear in the groups with IHC 0 or 1+ (despite being FISH-positive), leading to the current definition of HER2-positive gastric cancer as IHC 3+ or IHC 2+/FISH-positive cells, for which trastuzumab treatment is indicated. Notably, in the IHC 3+ or IHC 2+/FISH-positive group, the mOS was 11.8 months for the control group and 16.0 months for the trastuzumab group (HR 0.65, 95% CI 0.51–0.83).

The ToGA trial used cisplatin as the platinum compound; no phase III trial has demonstrated a survival prolongation by adding trastuzumab to a regimen of fluoropyrimidine and oxaliplatin. However, based on evidence from several phase II trials on HER2-positive gastric cancer [3–6], oxaliplatin use as a platinum compound in combination with trastuzumab would likely yield treatment outcomes that are not significantly different from those with cisplatin. The ESMO guidelines [7] mention that cisplatin and oxaliplatin have almost equivalent effects, and the NCCN guidelines [8] state that oxaliplatin is preferable to cisplatin from a toxicity perspective. However, they do not deeply mention the efficacy of combining trastuzumab with oxaliplatin.

### Other agents in first- and second-line treatment for HER2-positive gastric cancer

Several agents have been investigated for treating HER2-positive gastric cancer. However, these drugs, which have shown effectiveness in HER2-positive breast cancer and are active in routine clinical practice, fail to meet the primary endpoints in gastric cancer, leading to a prolonged situation in which trastuzumab remains the only treatment option for HER2-positive gastric cancer.

#### Lapatinib

Lapatinib is a small-molecule compound that inhibits cell proliferation signaling by binding to the intracellular tyrosine kinase domains of EGFR and HER2, thereby inhibiting their autophosphorylation. The TyTAN trial, an open-label randomized phase III study, investigated the additive effect of lapatinib and weekly paclitaxel in the second-line treatment of HER2-positive (defined as FISH-positive in this trial rather than IHC) gastric cancer. The primary endpoint, overall survival (OS), did not show superiority in the lapatinib group, with 11.0 months compared with 8.9 months in the control group (HR 0.84,  $p=0.1044$ ) (Table 1) [9]. Subgroup analysis showed promising results for the IHC 3+ population (HR 0.59, mOS 14.0 m vs. 7.6 m,  $p=0.0176$ );

however, unlike in the ToGA trial, no additive effect was observed in the IHC 2+ population (HR 0.88). The LOGiC trial, conducted around the same time, was a double-blind, randomized, phase III study aimed at evaluating the effectiveness of adding lapatinib to capecitabine and oxaliplatin in untreated patients with gastric/esophagogastric junction/esophageal adenocarcinoma that was positive for HER2 by FISH [10]. The primary endpoint, OS, failed to demonstrate a significant difference between the lapatinib (12.2 months) and placebo (10.5 months; HR 0.91, 95% CI 0.73–1.12) groups (Table 1). Notably, even among patients with IHC 3+ HER2, adding lapatinib did not show substantial benefit (HR 0.90, 95% CI 0.69–1.18,  $p=0.4603$ ), indicating a lack of efficacy in this subgroup. These studies also provided significant opportunities to determine whether HER2 protein expression or HER2 gene amplification should be used to define HER2-positive gastric cancer.

#### Pertuzumab

Pertuzumab, similar to trastuzumab, is an anti-HER2 monoclonal antibody; however, it binds to a different HER2 domain. While trastuzumab binds to the extracellular domain IV of HER2, pertuzumab binds to the extracellular domain II. Trastuzumab inhibits the formation of homodimers or heterodimers with the HER family by binding to extracellular domain IV. However, it cannot inhibit heterodimer formation via extracellular domain II. Therefore, the combination with pertuzumab, which binds to extracellular domain II and inhibits dimerization, was expected to inhibit cell proliferation signaling more effectively [11]. The JACOB trial, a double-blind, placebo-controlled phase III trial, investigated the additive effect of pertuzumab and trastuzumab plus chemotherapy (fluoropyrimidine + cisplatin) on untreated HER2-positive gastric or gastroesophageal junction cancer with IHC3+ or IHC2+/ISH+. The trial included 780 participants and did not demonstrate statistical superiority in mOS, with 17.5 months in the pertuzumab group and 14.2 months in the placebo group (HR 0.84, 95% CI 0.71–1.00,  $p=0.057$ ) (Table 1) [12]. Subsequent analyses suggested the potential for refining patient selection based on genetic alterations associated with resistance (e.g., mutations in EGFR/MET/KRAS/PIK3CA and amplification of EGFR/MET/KRAS) and HER2 copy number variations [13, 14]. These findings imply the necessity to reconsider the sole reliance on IHC to identify candidates for anti-HER2 therapy in future therapeutic developments for HER2-positive gastric cancer.

#### Trastuzumab emtansine (T-DM1)

T-DM1 is an antibody–drug conjugate (ADC) that combines trastuzumab with a cytotoxic chemotherapeutic

**Table 1** HER2-targeted pivotal clinical trials

Trial (year)	Phase	Line	n	Experimental arm	Control arm	Results					
						OS (months)	HR p value	PFS (months)	HR p value	ORR (%)	p value
ToGA (2010)	III	1st	294 vs. 290	Tra + XP/FP	XP/FP	13.8 vs. 11.1	0.74 0.0046	6.7 vs. 5.5	0.71 0.0002	47 vs. 35	0.0017
TyTAN (2014)	III	2nd	132 vs. 129	Lap + PTX	PTX	11.0 vs. 8.9	0.84 0.1044	5.4 vs. 4.4	0.85 0.2441	27 vs. 9	<0.001
LOGiC (2016)	III	1st	249 vs. 238	Lap + CAPOX	P + CAPOX	12.2 vs. 10.5	0.91 0.3492	6.0 vs. 5.4	0.82 0.0381	53 vs. 39	0.0031
JACOB (2018)	III	1st	388 vs. 392	Per + Tra + XP/FP	P + Tra + XP/FP	17.5 vs. 14.2	0.84 0.057	8.5 vs. 7.0	0.73 0.0001	56.7 vs. 48.3	0.026
GATSBY (2017)	II/III	2nd	228 vs. 117	T-DM1 (2.4 mg/kg weekly)	Taxane	7.9 vs. 8.6	1.15	2.7 vs. 2.9	1.13	20.6 vs. 19.6	0.8406
DESTINY-Gastric01 (2020)	II	3rd	125 vs. 62	T-DXd	PC	12.5 vs. 8.4	0.59 0.01	5.6 vs. 3.5	0.47	51 vs. 14	<0.001
KEYNOTE-811 (2023)	III	1st	350 vs. 348	Tra + Pem + CAPOX/FP	P + Pem + CAPOX/FP	20.0 vs. 16.9	0.87 0.084*	10.0 vs. 8.1	0.72 0.0002*	72.6 vs. 60.1	–

OS Overall survival; PFS progression-free survival; ORR objective response rate; Tra trastuzumab; XP capecitabine plus cisplatin; FP fluorouracil plus cisplatin; Lap lapatinib; PTX paclitaxel; Per pertuzumab; P placebo; PC physician's choice; Pem pembrolizumab

\*Results at the second interim analysis

agent. Derivatives of maytansine, including DM1, showed efficacy in tumor reduction but were discontinued owing to their high toxicity; specifically, DM1 showed 24–270 times the cytotoxic effect of taxanes *in vitro*. However, by conjugating DM1 as a payload with an anti-HER2 antibody, DM1 could be effectively delivered to HER2-positive cancer cells, mitigating its toxicity. Nevertheless, in the GATSBY trial, a phase II/III study for gastric cancer, T-DM1 did not demonstrate superiority in mOS (7.9 months for the T-DM1 group vs. 8.6 months for the control taxane group, HR 1.15, 95% CI 0.87–1.51,  $p=0.86$ ) (Table 1), and survival curves slightly favored the control taxane group.

Although these agents demonstrate clinical efficacy in breast cancer, they do not show the same efficacy in gastric cancer owing to several factors, such as the heterogeneity of HER2 staining in gastric cancer and the blood concentration of antibody preparations. However, a clear answer is yet to be obtained. Additionally, the concept of trastuzumab beyond progression (TBP) [15] suggests that continuing anti-HER2 therapy could extend survival. Although this TBP strategy has reached consensus in breast cancer, it is not well-established in gastric cancer. The WJOG7112G (T-ACT) trial, a randomized phase II trial, compared paclitaxel alone with paclitaxel plus trastuzumab in patients who received trastuzumab as the first-line treatment for HER2-positive gastric cancer. The primary endpoint was progression-free survival (PFS); however, no significant difference was observed (3.2 months vs. 3.7 months, HR 0.91,  $p=0.33$ ), and response rates were similar (32% vs. 33%) [16]. Continuing trastuzumab as a second-line treatment may not be beneficial owing to decreased HER2-positive gastric cancer cells rather than acquiring resistance mechanisms to trastuzumab. However, the detailed cause remains unknown. An important finding was the importance of confirming the HER2 status immediately before starting second-line or later anti-HER2 therapy after trastuzumab as a first-line treatment [17].

### Third-line treatment for HER2-positive gastric cancer

Trastuzumab deruxtecan (T-DXd) has become the first drug since trastuzumab to meet the primary endpoints for treating HER2-positive gastric cancer and is available for daily clinical practice. As an ADC, T-DXd is characterized by its payload, a topoisomerase I inhibitor known as DXd, and the use of a cleavable peptide-based linker. This structural feature facilitates the delivery of the cytotoxic payload to cells expressing HER2 and adjacent tumor cells with lower levels of HER2 expression, thereby inducing a bystander-killing effect. This has been demonstrated in preclinical studies, suggesting the potential for broader efficacy in the heterogeneous expression landscape of HER2-positive gastric

tumors [18, 19]. The therapeutic development of T-DXd is advancing for various HER2-positive cancers; however, the first evidence for gastric cancer was demonstrated in the DESTINY-Gastric01 trial [20, 21]. This open-label, randomized phase II trial was conducted in Japan and South Korea. In the primary cohort, patients with a history of at least two prior treatment regimens, including trastuzumab, were allocated 2:1 to T-DXd versus the physician's choice of chemotherapy (paclitaxel or irinotecan). The primary endpoint was the objective response rate, as determined by an independent review, which was 51% in the T-DXd group and 14% in the physician's choice chemotherapy group ( $p<0.001$ ) (Table 1). The secondary endpoints, OS and PFS, were 12.5 months vs. 8.4 months (HR 0.59, 95% CI 0.39–0.88,  $p=0.01$ ) and 5.6 months vs. 3.5 months (HR 0.47, 95% CI 0.31–0.71), respectively. Although this trial was not a phase III study, these results led to the approval of T-DXd for treating HER2-positive gastric cancer in a third-line setting in Japan. Meanwhile, in Europe and the United States, a single-arm phase II trial (DESTINY-Gastric02 trial) to examine the activity of T-DXd was conducted, achieving an objective response rate of 42% (95% CI 30.8–53.4) [22]. The expected response rate was 45%, and the threshold response rate was 27%; the lower bound of the 95% confidence interval exceeded this threshold, meeting the primary endpoint. Therefore, T-DXd has been approved as a second-line treatment for HER2-positive gastric cancer in Western countries.

The DESTINY-Gastric01 trial included an exploratory cohort of patients with low HER2 expression [23]. Although evidence from a phase III trial has led to the approval of low HER2 expression in breast cancer [24], the DESTINY-Gastric01 trial showed some drug activity in gastric cancer with low HER2 expression (objective response rate of 26.3% in the HER2 IHC 2+/ISH- population, 95% CI 9.1–51.2). However, this study was based on a few cases. Therefore, further evidence of gastric cancer with low HER2 expression is warranted.

### Recent developments

#### Trastuzumab and immune checkpoint inhibitors

Immune checkpoint inhibitors have been used in combination for the first-line treatment of HER2-negative gastric cancer for a while [25, 26]. However, the appropriateness of combining anti-PD-1 antibodies with trastuzumab and cytotoxic chemotherapy for HER2-positive gastric cancer remains unclear. The potential combination of trastuzumab with immunotherapy is supported by reports of significant increases in NK cells, CD8-positive T cells, and B lymphocyte infiltration following treatment with trastuzumab, providing a rationale for expecting a synergistic effect in

HER2-positive gastric cancer [27, 28], which has been further supported by single-arm phase II studies showing favorable objective response rates [29, 30].

The KEYNOTE-811 trial is a placebo-controlled, phase III comparative trial that evaluated the efficacy of adding pembrolizumab to chemotherapy (fluoropyrimidine plus platinum agents) and trastuzumab for HER2-positive gastric cancer. The primary endpoints were PFS and OS, but an interim analysis showed that the objective response rate was 74.4% in the pembrolizumab group and 51.9% in the placebo group, indicating a significant additive effect of 22.7% (95% CI 11.2–33.7,  $p=0.0006$ ) [31]. Consequently, the FDA rapidly approved a combination of pembrolizumab with chemotherapy and trastuzumab. However, the second interim analysis reported that the mPFS was 10.0 months in the pembrolizumab group and 8.1 months in the placebo group (HR 0.72, 95% CI 0.60–0.87,  $p=0.0002$ ), showing a significant prolongation regardless of PD-L1 expression level. In contrast, mOS was 20.0 months in the pembrolizumab group and 16.9 months in the placebo group (HR 0.87, 95% CI 0.72–1.06,  $p=0.084$ ) for all patients; it was 20.5 months versus 15.6 months (HR 0.79, 95% CI 0.64–0.98) for those with PD-L1 combined positive score (CPS)  $\geq 1$  (Table 1) [32]. In the third interim analysis, the respective hazard ratios were similar: PFS 0.73 and OS 0.84. Consequently, the FDA revised the approval criteria to include only patients with HER2-positive gastric cancer with a CPS of  $\geq 1$ , and the EMA approved the combination for the same subset.

A trial combining margetuximab, an anti-HER2 antibody with an optimized Fc region, to enhance immune cell recognition and the destruction of cancer cells and the anti-PD-1 antibody retifanlimab (MAHOGANY trial; NCT04082364) was also conducted [33]. However, with an objective response rate of 53% (95% CI 36.1–68.5), the trial concluded that cytotoxic chemotherapy still plays a significant role and that immunotherapy alone is insufficient, leading to the termination of Part 2 of Cohort A without commencement [34]. Cohort B of the same trial involved a design that included margetuximab, chemotherapy, and tebotelimumab (a bispecific antibody recognizing LAG-3 (lymphocyte-activation gene 3) and PD-1 checkpoint molecules). However, these results have not yet been reported.

In HER2-negative gastric cancer, a treatment arm in the CheckMate-649 trial did not use chemotherapy agents, consisting of nivolumab 1 mg/kg and ipilimumab 3 mg/kg; however, this arm was prematurely discontinued owing to side effects. What is the optimal combination of multiple immune checkpoint inhibitors and anti-HER2 therapy for HER2-positive gastric cancer? The AIO INTEGA trial was a randomized phase II trial conducted with a parallel non-comparative design that compared two regimens for HER2-positive gastric/gastroesophageal junction adenocarcinoma: trastuzumab + nivolumab (1 mg/kg) + FOLFOX and

trastuzumab + nivolumab (1 mg/kg) + ipilimumab (3 mg/kg), each against historical controls. With a 12-month survival rate of 55% for the historical controls and 70% for the experimental arm of this trial, the results were 70% (95% CI 54–81%) for the FOLFOX group and 57% (95% CI 41–71%) for the ipilimumab group, with the FOLFOX group achieving the primary endpoint [35].

### Other tyrosine kinase inhibitors (TKIs)

Apart from lapatinib, which binds to the intracellular domain of HER2, other TKIs, such as neratinib, afatinib, and tucatinib, exist [36]. Lapatinib is a reversible TKI, whereas afatinib and neratinib are irreversible inhibitors of EGFR, HER2, and HER4 and function as pan-HER inhibitors. Although these agents have been approved for lung and breast cancers, their application in gastric cancer remains largely preclinical [37]. Trials combining neratinib with T-DXd for the treatment of HER2-positive gastric cancer are currently underway (NCT05274048). In contrast, tucatinib is known for its high selectivity for HER2, and its combination with trastuzumab is expected to offer enhanced efficacy compared with their individual efficacies. A phase II trial (MOUNTAINEER trial) that administered trastuzumab and tucatinib to treat HER2-positive colorectal cancer has been conducted [38], whereas for HER2-positive gastric cancer, a phase II/III trial (MOUNTAINEER-02 trial) targeting second-line treatment is in progress [39]. The phase II trial evaluates the activity of combining tucatinib and trastuzumab with paclitaxel and ramucirumab. In contrast, the phase III trial is a placebo-controlled trial designed to compare paclitaxel and ramucirumab with or without tucatinib and trastuzumab. A notable feature of this trial is the determination of HER2 positivity using circulating tumor DNA (ctDNA). An ongoing phase 1b/2 trial of tucatinib in HER2-positive gastrointestinal cancers (SGNTUC-024 trial; NCT04430738) includes a cohort for first-line treatment of HER2-positive gastric cancer. Given the anticipated synergistic antitumor effects of combining tucatinib with an anti-PD-1 antibody [40], the regimen used in the SGNTUC-024 trial comprises tucatinib + trastuzumab + pembrolizumab + FOLFOX/CAPOX.

### Anti-HER2 therapy in second-line treatment

Following the DESTINY-Gastric01 and 02 trials, a phase III trial comparing T-DXd with ramucirumab + paclitaxel in patients with HER2-positive gastric cancer with HER2 IHC 3 + or IHC2 + /ISH + after first-line treatment with trastuzumab is currently underway (DESTINY-Gastric04 trial; NCT04704934). The primary endpoint is OS, and one distinctive feature of this trial is the confirmation of HER2



positivity just before enrollment, which differs from the DESTINY-Gastric01 trial.

CD47 expression on tumor cells can impair phagocytosis by immune cells mediated by the Fc portion of antibodies like trastuzumab (the "Don't Eat Me" signal) [41]. Therefore, a phase II/III trial is ongoing to test the additive effect of combining trastuzumab and the anti-CD47 antibody evorpacept (ALX148) with ramucirumab and paclitaxel using standard treatment with ramucirumab and paclitaxel as the control arm for HER2-positive gastric cancer (ASPEN-06 trial; NCT05002127). In Part 2 of the preceding ASPEN-01 trial, a response rate of 72.2% ( $n = 18$ ) was reported for a combination of these four drugs [42].

### Bispecific antibody (BsAb) targeting HER2

Antibody drugs, such as trastuzumab, typically recognize one antigenic site; however, BsAbs that recognize two different epitopes have recently gained attention [43]. One such antibody is zanidatamab (ZW256), a biparatopic antibody that recognizes both the extracellular domains IV and II of HER2 using a single antibody. Zanidatamab is expected to form large clusters of HER2 on the cell surface, potentially inducing complement-dependent cytotoxicity more than when trastuzumab and pertuzumab are administered [44]. Currently, a phase III trial is comparing three regimens for the first-line treatment of HER2-positive (IHC 3+ or IHC 2+ /ISH+) gastric cancer: trastuzumab + chemotherapy, zanidatamab + chemotherapy, and zanidatamab + tislelizumab + chemotherapy (HERIZON-GEA-01 trial; NCT05152147) [45].

### Conclusion

The ToGA trial established a combination of trastuzumab as the standard treatment for HER2-positive gastric cancer; however, subsequent therapeutic developments have not advanced sufficiently. Although ADCs have achieved some success, continued efforts are necessary regarding the combination of anti-PD-1 antibodies and other immunotherapies and a thorough investigation of the resistance mechanisms of anti-HER2 therapies. The importance of HER2 as a critical therapeutic target for gastric cancer is undeniable, and further examination of treatment strategies for HER2-positive gastric cancer is essential.

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