



Induction TPF chemotherapy followed by CRT with fractionated administration of cisplatin in patients with unresectable locally advanced head and neck cancer

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Abstract

Background In treatment of locally advanced squamous cell carcinoma of the head and neck (SCCHN), the use of docetaxel, cisplatin, and 5-fluorouracil (TPF) followed by high-dose cisplatin chemoradiotherapy (CRT) carries concerns over toxicity. We evaluated the feasibility of TPF as induction chemotherapy (IC) to Japanese patients and the tolerability of CRT with fractionated administration of cisplatin after IC.

Methods Patients with unresectable stage III, IV SCCHN received IC followed by CRT. IC consisted of three 3-week cycles of docetaxel 70–75 mg/m² on day 1, cisplatin 70–75 mg/m² on day 1, and 5-fluorouracil 750 mg/m² on days 1–5. Patients subsequently received IMRT concomitant with fractionated administration of cisplatin (20 mg/m²) on days 1–4, repeated every 3 weeks. The primary endpoint was completion of the three cycles of IC.

Results Forty-eight patients were enrolled. The IC treatment completion rate was 85%. Grade 3–4 toxicities of TPF were neutropenia (79%) and febrile neutropenia (15%). Thirty-eight patients (79%) achieved a response after IC. Forty patients subsequently underwent CRT. Thirty-three patients (83%) completed the planned cycles of fractionated administration of cisplatin, but seven (18%) did not. Grade 3–4 toxicities during CRT were neutropenia (23%), mucositis (53%), and dysphagia (33%). With a median follow-up of 36.1 months, 3-year overall survival was 65%.

Conclusion TPF IC is feasible and CRT with fractionated administration of cisplatin after IC is tolerable. IC followed by CRT appears to be a useful and safe sequential treatment. (Trial registration no. UMIN000024686).

Keywords TPF protocol · Cisplatin · Induction chemotherapy · Chemoradiotherapy · Head and neck neoplasms

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Introduction

Standard treatment for stage III or IV unresectable locally advanced squamous cell carcinoma of the head and neck (SCCHN) is chemoradiotherapy (CRT) [1]. Several trials have shown that the addition of chemotherapy to radiation therapy (RT) improves local control and overall survival compared with RT alone [2, 3]. The standard drug used concurrently with RT is high-dose cisplatin (CDDP), which is associated with both acute and late toxicities [1].

Induction chemotherapy (IC) may improve the prognosis of locally advanced SCCHN [4]. Several studies have shown that IC consistently results in a higher response and exerts a pronounced effect on distant metastases [5, 6]. The combination of docetaxel, cisplatin, and 5-fluorouracil (TPF) is considered a standard IC regimen based on the results of several phase III trials [7–10]. Although some retrospective data for IC with TPF in Japanese populations are available, no prospective trial has evaluated the safety of standard dose of TPF in Japanese patients [11, 12].

No consensus exists yet regarding the optimal post-IC regimen. Although previous studies demonstrated survival benefit with IC followed by RT alone versus RT alone in unresectable locally advanced SCCHN, the role of IC versus CRT alone in unresectable disease remain controversial, due to insufficient patient accrual or complicated study design [13, 14]. Furthermore, IC increased toxicity and compromises the subsequent CRT in some studies [15, 16]. Based on these results high-dose cisplatin CRT has not been recommended after induction TPF [17]. The recommended cumulative dose of cisplatin reported is approximately 200 mg/m² independent of administration schedule [18–21]. Therefore, we decided to select the fractionated administration of cisplatin at a dose of 20 mg/m² on days 1–4, repeated every 3 weeks.

Here, we evaluated two features of the treatment of unresectable locally advanced SCCHN, namely the feasibility of TPF as IC to Japanese patients and the tolerability of CRT with fractionated administration of CDDP after IC.

Patients and methods

Eligibility

Main inclusion criteria were defined as follows: histologically proven SCCHN; stage III or IV unresectable locally advanced disease; primary lesion located in the oropharynx, hypopharynx, or larynx; no distant metastases documented by computed tomography (CT); age 20 to 75 years; Eastern Cooperative Oncology Group performance status

(ECOG PS) of 0 or 1; and adequate hematologic and organ function, namely a white-cell count of at least 2000/m³, platelet count of at least 100,000/m³, and creatinine clearance of > 60 mL/min.

Patients were deemed unsuitable for radical surgery after evaluation of a multidisciplinary team. Inoperability criteria were technical reasons (tumor fixation/invasion to either cervical vertebra, skull base, carotid artery or fixed lymph nodes), risk of significant postoperative dysfunction (T4 oropharyngeal cancer) and low surgical curability (T3–4, N2–3 excluding T1N2).

The trial was conducted under a multi-institutional design at three institutions in Japan: National Cancer Center Hospital East, The Jikei University School of Medicine, and Hyogo Cancer Center. The study protocol was approved by the National Cancer Center Hospital Protocol Review Committee and the institutional review board of each participating institution, and carried out in accordance with the Declaration of Helsinki. This trial was registered at the UMIN Clinical Trials Registry as UMIN000024686. All patients gave written informed consent before study entry in accordance with institutional guidelines.

Treatment plan: IC

The TPF regimen consisted of docetaxel at a dose of 70–75 mg/m², administered as a 1-h infusion on day 1, followed by cisplatin at a dose of 70–75 mg/m², administered as a 1-h infusion on day 1, and fluorouracil at a dose of 750 mg/m²/day, administered by continuous infusion on days 1–5. A range of 70–75 mg/m² for the docetaxel and cisplatin doses was used, because their maximum allowable doses under Japanese health insurance regulations were changed in 2010 from 70 to 75 mg/m². Treatment was administered every 3 weeks for up to three cycles. Dose modifications were determined based on hematological and non-hematological toxicities. During chemotherapy, patients were monitored clinically and by laboratory testing. Patients received prophylactic antibiotics (ciprofloxacin, at a dose of 300 mg given orally twice daily, or an alternative agent) from days 5 to 15 of each cycle. Prophylactic Granulocyte colony-stimulating factor(G-CSF) was not used but therapeutic G-CSF was used only if a patient had febrile neutropenia or infection, a delay in recovery of the absolute neutrophil count. After three cycles, radiological evaluation was performed. Patients achieving a complete response (CR), partial response (PR) or stable disease (SD) after three cycles were then directed to undergo CRT.

CRT

CRT with cisplatin was started between 4 and 6 weeks after the three cycles of TPF. A cisplatin dose of 20 mg/m²/day

was administered for 4 days concomitantly with the start of radiotherapy. Treatment cycles were repeated every 3 weeks during the whole course of radiotherapy (three infusions during conventional RT). Cisplatin dose modifications were determined based on hematological and non-hematological toxicity.

RT was delivered in accordance with institutional preferences. All patients were treated with intensity-modulated radiation therapy (IMRT). Using an IMRT system with the Simultaneous Integrated Boost (SIB) method, high-risk areas received 70 Gy/33 fr, intermediate risk areas received 60 Gy/33 fr and prophylactic irradiation areas received 54 Gy/33 fr. Following the recommendations of the International Commission on Radiation Units reports 50 and 62, the gross tumor volume (GTV) including the primary tumor and involved lymph nodes and CTV was determined. The definition of involved lymph nodes (GTV) was as follows: Cervical lymph nodes with the shortest axial diameter of ≥ 10 mm and retropharyngeal lymph nodes with the shortest axial diameter of ≥ 5 mm on CT or MRI were defined as malignant. Margins of 3–5 mm for treatment set-up and internal organ motion error were added to the CTV to determine the planning target volume (PTV). For planning organ at risk volume, a margin of 3 mm was added to the spinal cord. For the parotid glands, no margin was added in treatment planning.

Clinical evaluations

Pretreatment evaluation consisted of complete history and physical examinations, complete blood counts, liver function tests, chest X-rays, chest CT, and ECGs. All patients were imaged with CT and magnetic resonance imaging (MRI) scans of the head and neck. Bone scans were performed when clinically indicated. PET-CT was not used because it was not allowed under Japanese health insurance regulations. Treatment responses were assessed radiographically according to the RECIST 1.1 criteria after the three cycles of IC and the completion of CRT.

IC and CRT toxicities were described using the National Cancer Institute Common Toxicity Criteria (version 4.0).

Statistical analysis

The primary endpoint was completion of the three cycles of IC. Based on the data available in the literature this was predicted to be approximately 70–80% of patients [7, 8]. A sample size of 35 patients was needed to accept the hypothesis that the true completion rate was $> 85\%$ with 80% power and to reject the hypothesis that the completion rate was $< 70\%$ with 10% significance. Assuming 10% non-evaluable patients, a total of 38 patients were required. After 38 patients were enrolled, one more ten patient cohort was

enrolled. Because the dose of drug which was allowed to use in Japan was changed in 2010, we tried to use increased drugs of TPF (docetaxel at a dose of 75 mg/m², cisplatin at a dose of 75 mg/m²). Secondary endpoints were progression-free survival (PFS), overall survival (OS), response rate (including CR and PR), acute toxicities, and the completion rate of CRT after IC.

The follow-up time for each patient was calculated as the time from the start of treatment to 31 March 2015. A survival curve was generated using the Kaplan–Meier method. Safety and efficacy analyses were both conducted on an intention-to-treat basis, defined as all patients enrolled in the study who received at least one dose of chemotherapy. Statistical data were obtained using commercial software (SPSS 11.0 Inc., Chicago IL, USA).

Results

Patient population

From 2010 to 2014, 48 patients were enrolled. Patient clinical and disease characteristics are summarized in Table 1. The patients consisted of 43 males and five females with a median age of 61 years (range, 35–74 years). Most patients had stage IVa (70%) disease; approximately 30% had stage IVb. The primary tumor sites were larynx (3/48), oropharynx (25/48), and hypopharynx (20/48).

Treatment (Fig. 1)

A total of 41 patients (85%) completed the three cycles of planned IC (Table 2). This completion rate met the predefined criteria. Six patients received only one cycle of IC, of whom two developed grade 2 renal insufficiency, two could not abstain from alcohol, one had rapidly progressive disease, and one experienced drug allergy to 5-FU. One patient received two cycles of TPF because of grade 2 renal insufficiency. The median dose intensities relative to the target dose of docetaxel, cisplatin, and 5-FU were 93.3%, 87.1%, and 93.3%, respectively.

Among 48 patients receiving IC, 40 (83%) patients underwent CRT. Four patients received RT alone (one with lung abscess, two with renal insufficiency, one at drug allergy), and four patients received palliative care (two with progression of disease during IC, and two with alcoholism).

A total of 33 of the 40 patients (83%) completed the planned CRT with three cycles of fractionated cisplatin (Table 3). Seven patients received only two cycles of cisplatin due to fever ($n = 1$), poor clinical condition ($n = 2$), bone-marrow suppression ($n = 1$), physician's judgment ($n = 1$), patient refusal ($n = 1$), and reason unknown ($n = 1$).

Table 1 Patient characteristics ($n = 48$)

Characteristic	n (%)
Median age (range)	61 (35–74)
Performance status (ECOG)	
0	41 (85)
1	7 (15)
Sex	
Male	43 (90)
Female	5 (10)
Stage	
IVa	35 (73)
IVb	13 (27)
T classification	
T1	2 (4)
T2	10 (21)
T3	8 (17)
T4a	19 (40)
T4b	9 (19)
N classification	
N0	1 (2)
N1	0 (0)
N2a	1 (2)
N2b	12 (25)
N2c	30 (63)
N3	4 (8)
Primary site	
Larynx	3 (6)
Oropharynx	25 (52)
Hypopharynx	20 (42)

ECOG eastern cooperative oncology group

Adverse events

Acute toxicities experienced during TPF treatment and CRT are listed in Table 4. During TPF treatment, 38 patients (79%) experienced grade 3 or 4 neutropenia, 7 (15%) experienced grade 3 febrile neutropenia, and 7 (15%) experienced grade 3 anorexia. Toxicity was as expected and manageable.

During CRT, nine patients (23%) experienced grade 3 or 4 neutropenia, 21 (53%) experienced grade 3 mucositis, and 13 (33%) experienced grade 3 dysphagia.

Treatment outcomes

A total of 44 patients enrolled in the study were assessable for a response to TPF. Objective response rate (ORR) after IC was documented in 38 patients (79%), including 8 (17%) with complete response (CR) and 30 (63%) with partial response (PR) (Table 5).

After the completion of CRT, 40 patients were evaluable. ORR was documented in 37 patients (93%), including 27 with CR and 10 with PR (Table 5).

Also, among four patients who received RT alone, three patients achieved a CR and one patient achieved a PR.

The median follow-up time was 36.1 months (range, 1.5–62.5 months), and 3-year PFS and OS were 49% and 65%, respectively (Fig. 2). A total of 27 of the 48 patients were alive at the time of this report with no evidence of disease, while two patients were alive with disease.

Patterns of first treatment failure

Local recurrence developed in three patients. Median time to local recurrence was 14.4 months (range, 0.3–25.1 months). Of the three patients with local relapse, one is alive after salvage surgery, one is presently alive with disease and continues to receive chemotherapy, and one is alive with palliative therapy. Regional relapse developed in six patients, of whom one patient, who underwent elective neck dissection, is alive with no relapse. Local and regional failure developed in three patients. Finally, eight patients developed distant metastases. Median time to distant metastases was 12.3 months (range, 5.0–52.7 months). Six patients had metastases to lung, one to bone, one to a mediastinal lymph node, and one to brain.

Discussion

This is the first prospective study to evaluate the feasibility of TPF as IC to Japanese patients and that tolerability of CRT with fractionated CDDP after IC for the treatment of locally advanced SCCHN. Our study shows that IC with TPF is feasible to Japanese patients and fractionated administration of high-dose CDDP after three cycles TPF is tolerable.

Many trials of IC have been conducted, and the toxicity of TPF alone has been confirmed to be manageable. TPF is a standard IC regimen around the world and many institutions use it in accordance with past reports [7, 8]. On the other hand, reduced dose regimens have been used clinically due to safety or tolerability concerns [22, 23]. Because the effect of lower doses of TPF has not been studied, however, we consider that current regimens should be employed. Here, we studied the feasibility of three cycles of IC TPF, which is covered by the national health insurance program.

Although about half of the patients required dose reduction, many patients (85%) received the three planned cycles of TPF, and dose intensity was generally maintained at 90%. Our results are consistent with previous studies, which reported completion rates of 75.7–90.0% [8, 16, 24].

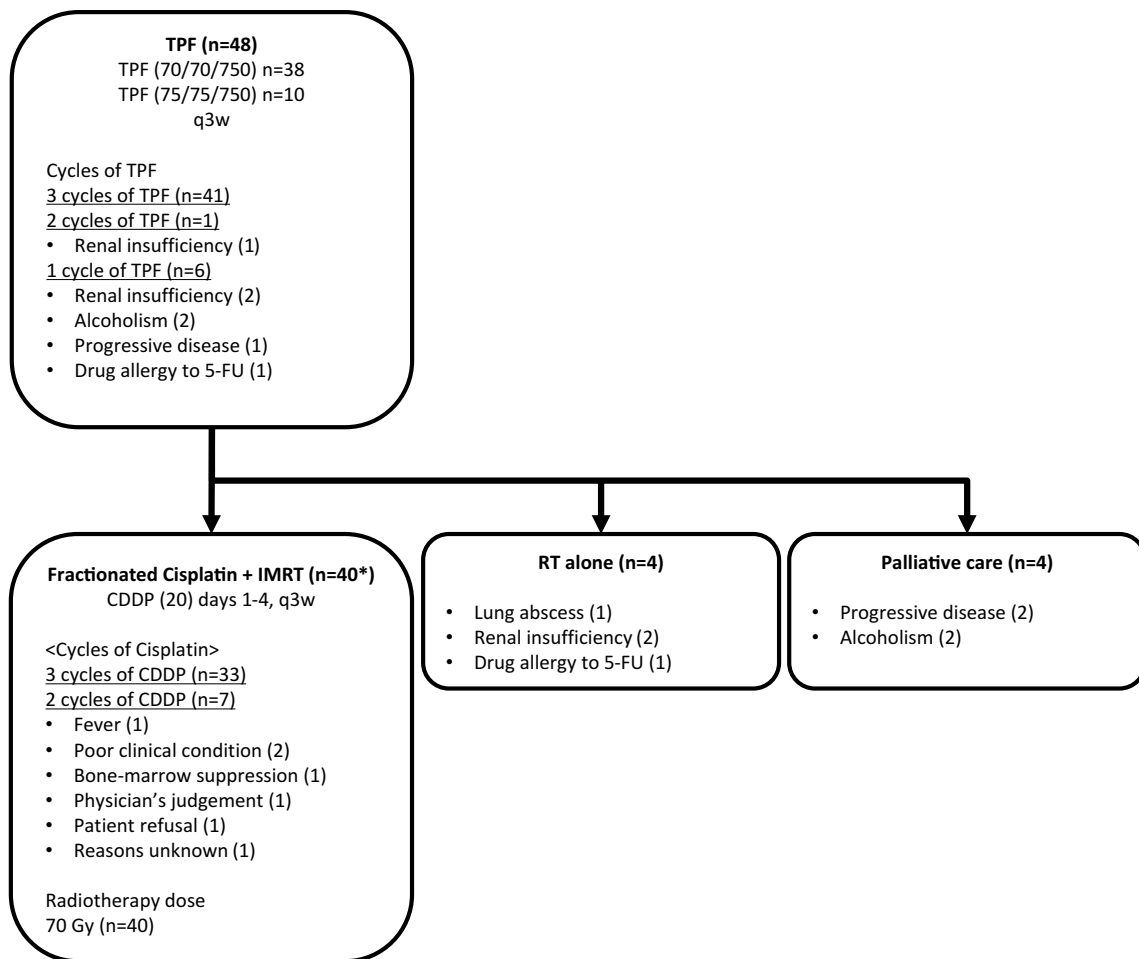


Fig. 1 Study diagram ($n=48$). TPF, Docetaxel + Cisplatin + 5-FU; 70/70/750 = 70 mg/m² doxorubicin/70 mg/m² cisplatin/750 mg/m² 5-FU; 75/75/750 = 75 mg/m² doxorubicin/75 mg/m² cisplatin/750 mg/

m² 5-FU; *IMRT* Intensity-modulated radiation therapy, *RT* radiation therapy, *All 40 patients received three cycles of TPF before CRT

Table 2 Induction therapy (IC) treatment administered ($n=48$)

Parameter	Docetaxel	Cisplatin	5-FU
No. of doses			
Median	3	3	3
Range	1–3	1–3	1–3
Dose intensity (mg/m ² /week)			
Median (%)	23.3 (93.3)	21.8 (87.1)	1167 (93.3)
Range	7.8–25	7.8–25	416.7–1250
Patients requiring dose reductions			
No. of patients (%)	23 (47.9)	28 (58.3)	25 (52.1)
Cycles of TPF			
Cycle	1	2	3
No. of patients (%)	6 (13)	1 (2)	41 (85)

With regard to efficacy, overall response rate (ORR) to IC was 79% (CR: 17%, PR: 63%), again consistent with previous studies (67.8–80.1%) [8, 16, 24].

Table 3 Administration of CRT ($n=40$)

Parameter	Cisplatin
No. of doses	
Median	3
Range	1–3
Dose intensity (mg/m ² /week)	
Median (%)	22.2 (83.3)
Range	10.7–26.7
Cumulative dose (mg)	
Median	200
Range	96–240
Patients requiring dose reductions	
No. of patients (%)	26 (65)
Cycles of CDDP with RT	
Cycle	1 2 3
No. of patients (%)	0 (0) 7 (18) 33 (83)

CRT chemoradiotherapy, *CDDP* cisplatin, *RT* radiotherapy

Table 4 Acute toxicities

Acute toxicities during IC (<i>n</i> = 48)				
	Grade 1–2	Grade 3	Grade 4	Grade 3–4 (%)
Hematologic				
Leukopenia	17	19	5	50
Neutropenia	5	18	20	79
Anemia	33	1	0	2
Thrombocytopenia	15	1	0	2
Non-hematologic				
Anorexia	27	7	0	15
Nausea	20	3	0	6
Diarrhea	13	1	0	2
Mucositis	19	3	0	6
Alopecia	38	–	–	–
Febrile neutropenia	–	7	0	15
Acute toxicities during CRT (<i>n</i> = 40)				
	Grade 1–2	Grade 3	Grade 4	Grade 3–4 (%)
Hematologic				
Leukopenia	21	6	1	18
Neutropenia	12	8	1	23
Anemia	22	6	0	15
Thrombocytopenia	5	5	0	13
Non-hematologic				
Anorexia	22	4	0	10
Nausea	14	2	0	5
Diarrhea	3	0	0	0
Mucositis	19	21	0	53
Dysgeusia	40	–	–	–
Dysphagia	17	13	0	33
Radiation dermatitis	37	3	0	8

IC induction chemotherapy, CRT chemoradiotherapy

The main adverse events with this IC were hematologic toxicity, febrile neutropenia, and anorexia. As expected, grade 3/4 leukopenia and neutropenia were seen with high frequency (leukopenia: 50%, neutropenia: 79%) but did not lead to frequent infectious complications, as the patients were on prophylactic antibiotics. In our study, febrile neutropenia occurred in 15%, which is again comparable to other reports (5.2–17%) [8, 16, 24]. Three patients (6%) stopped IC because of renal insufficiency, but most patients did not experience prolonged severe adverse events.

For CRT, the standard drug concurrent with RT is cisplatin. A meta-analysis revealed that platinum regimens might provide a survival advantage compared with non-platinum regimens [2, 3]. Currently, the standard regimen is 100 mg/m² cisplatin every 3 weeks.

However, the reported rate of high-grade adverse events with this regimen has ranged from 77 to 85% for high-dose cisplatin. Common adverse events with CRT include

mucositis, dysphagia, and anorexia. Additionally, renal toxicity from high-dose cisplatin is a relatively common but critical event, with an incidence of 5–8% in previous trials. The completion rate of this high-dose cisplatin regimen has been reported as 70–85% of patients in the CRT arm in several clinical trials [1, 25, 26].

Although high-dose cisplatin concurrent with RT after IC has not been recommended due to residual toxicity from IC, this study indicates that it is tolerable to use cisplatin concurrently with RT by changing the method of cisplatin administration.

Previous studies that used intensive induction strategies followed by radiotherapy alone reported significant numbers of loco-regional failure but few distant metastases [8, 24]. In contrast, studies of CRT showed that this treatment was associated with lower rates of loco-regional failure than radiotherapy alone but with a minimal effect on the rate of distant metastases [1, 25]. To improve these results, CRT

Table 5 Response

	No. of patients (%)
Response to IC (<i>n</i> = 48)	
Complete response (CR)	8 (17)
Partial response (PR)	30 (63)
Stable disease (SD)	4 (8)
Progressive disease (PD)	2 (4)
Non-evaluable (NE) ^a	4 (8)
Overall response rate (ORR: CR + PR)	38 (79)
Response to CRT with fractionated cisplatin (<i>n</i> = 40)	
CR	27 (68)
PR	10 (25)
SD	1 (3)
PD	2 (5)
ORR	37 (93)

IC induction chemotherapy, CRT chemoradiotherapy

^aFour patients terminated treatment early because of alcoholism, drug allergy to 5-FU, and renal insufficiency

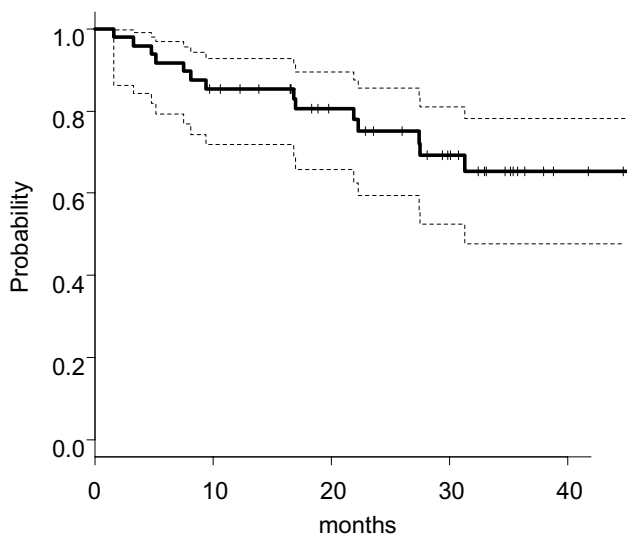


Fig. 2 Overall survival (*n* = 48). Overall survival estimated by Kaplan–Meier analysis. The 3-year OS rate was 65% (95% CI 48–78%). Dotted lines = 95% confidence interval

with modified cisplatin after IC appears to be the treatment of choice.

Ang et al. [18] suggested that a cumulative cisplatin dose of approximately 200 mg/m² would be the threshold for patients to yield a beneficial antitumor effect from CRT regardless of schedule [19]. A recent systematic review concluded that the recommended cumulative dose of cisplatin that should be administered during radiotherapy appears to be approximately > 200 mg/m² [20, 21]. Based on these findings, the present study used a maximum cumulative dose of 240 mg/m² as the target dose.

With regard to CRT after IC, the question here is whether patients tolerate an adequate amount of CDDP during CRT. Previous studies have reported that three cycles of concurrent cisplatin after TPF IC are infrequently administered, with rates reported as only 22–47% [16, 27]. On the other hand, in our study, the treatment completion rate was 83%, and 68% of patients received the minimum cumulative dose of cisplatin of approximately ≥ 200 mg/m². We confirmed the good trend in response rate after CRT in the cohort of cumulative dose of cisplatin approximately ≥ 200 mg/m² than lower (ORR: 96% vs 85%, respectively).

Although another concern is increased adverse events during CRT after IC, their incidence was not greater than that with CRT alone. The predominant adverse events were neutropenia (23%), mucositis (53%), and dysphagia (33%). Our results are consistent with a previous study, which reported neutropenia (35%) and mucositis (45%) [28].

Several limitations of this study should be noted. First, use of our study to assess the feasibility of a standard dose of TPF would be premature. Although the standard TPF regimen consists of docetaxel at a dose of 75 mg/m², cisplatin at a dose of 75 mg/m², and fluorouracil at a dose of 750 mg/m²/day, we used a 70-mg/m² cisplatin regimen initially because the dose was restricted by insurance regulations at the time the study was started [7, 8, 24]. During the course of the study, approval of the higher dose of drug was received, allowing us to increase the dose to 75 mg/m², hence the range of 70–75 mg/m². Although the additional cohort of patients is small sample size (10 patients) and exploratory, similar trends were seen on feasibility of IC and tolerability of CRT after IC between initial TPF (70/70/750) and standard TPF (75/75/750). Both treatment completion rate of IC (84%, 90%, respectively) and CRT after IC (81%, 89%, respectively) were good. Second, the higher proportion of patients with oropharyngeal cancer may have affected the response [29]. Although about half of the patients had oropharyngeal cancer, we did not collect their HPV status because the primary objective of the current study was to assess the feasibility of induction TPF chemotherapy followed by CRT, not to assess its efficacy and the assessment of HPV infection was not allowed under Japanese health insurance regulations at that time.

The results showed that three cycles of TPF IC is feasible with acceptable toxicities to Japanese patients. CRT with fractionated administration of CDDP after IC is tolerable with acceptable toxicities. On the basis of these findings, TPF followed by CRT with fractionated administration of CDDP appears to be a useful and safe sequential treatment in selected patients. To make an accurate assessment of IC followed by CRT requires further investigation.

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Compliance with ethical standards

Conflict of interest MT received fees from Merck Serono during the study; and grants and personal fees from MSD, Bayer, Eisai, Pfizer, Astra Zeneca, Ono Pharmaceutical, and Bristol-Myers Squibb, personal fees from Otsuka, grants from Boehringer Ingelheim, Novartis and NanoCarrier outside the submitted work. The other authors declare no conflicts of interest.

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