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ORIGINAL ARTICLE

Paclitaxel-induced peripheral neuropathy in patients receiving adjuvant chemotherapy for breast cancer

Yuko Tanabe · Kenji Hashimoto · Chikako Shimizu · Akihiro Hirakawa · Kenichi Harano · Mayu Yunokawa · Kan Yonemori · Noriyuki Katsumata · Kenji Tamura · Masashi Ando · Takayuki Kinoshita · Yasuhiro Fujiwara

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Abstract
Background The long-term outcomes and risk factors of paclitaxel-induced peripheral neuropathy (PIPN) have not yet been fully elucidated.
Methods We identified 219 breast cancer patients who received paclitaxel as adjuvant chemotherapy between 2002 and 2009. We retrospectively analyzed the incidence, time to onset, duration, and risk factors for PIPN by chart review.
Results Of the 219 patients, 212 developed PIPN (97%) during a median follow-up time of 57 months (range 5.3–95.5). Median time to PIPN onset was 21 days (range 11–101) for the entire patient population: 35 days (range 14–77) for weekly administration and 21 days (range 11–101) for tri-weekly administration. PIPN caused termination of paclitaxel treatment in 7 patients (4%). Median duration of PIPN was 727 days (range 14–2621 days). PIPN persisted in 64 and 41% of patients at 1 and 3 years after initiating paclitaxel, respectively. Age ≥60 years and severity of PIPN were significantly associated with PIPN duration.
Conclusions PIPN persists longer in older patients and in those who experience severe neuropathy. Further studies to identify the risk factors for PIPN are warranted.

Keywords Breast cancer · Paclitaxel · Peripheral neuropathy

Y. Tanabe · K. Hashimoto · C. Shimizu (✉) · K. Harano · M. Yunokawa · K. Yonemori · N. Katsumata · K. Tamura · M. Ando · T. Kinoshita · Y. Fujiwara
Department of Breast Oncology and Medical Oncology, National Cancer Center Hospital, 5-1-1 Tsukiji, Chuo-ku, Tokyo 104-0045, Japan
e-mail: cshimizu@ncc.go.jp

A. Hirakawa
Department of Management Science, Graduate School of Engineering, Tokyo University of Science, 1-3 Kagurazaka, Shinjuku-ku, Tokyo 162-8601, Japan

Introduction

Paclitaxel (PTX) is a key component of many therapeutic regimens in both early-stage and metastatic breast cancer [1–4]. PTX, a microtubule-stabilizing agent, binds to microtubules and abolishes their dynamic behavior, leading to inhibition of cell proliferation [5]. The agent is known to cause peripheral neurotoxicity (PN), which may result in discontinuation of treatment and poor quality of life.

The incidence of PTX-induced PN (PIPN) is known to depend on several factors, including dosages per cycle, treatment schedule, duration of infusion, cumulative dosage, and co-morbidity such as diabetes [6–11]. Although the clinical response of tumors to PTX is an important factor in selecting a chemotherapy regimen, it is also prudent to evaluate the risk of developing PN associated with each regimen, especially for patients already at high risk for neuropathy. The risk of sensory neuropathy is proportional to the dose of PTX administered. Grade 3 or 4 sensory neurotoxicity occurs in 20–35% of patients receiving 250 mg/m^2 every 3 weeks compared to 5–12% using doses ≤200 mg/m^2 every 3 weeks [12]. The weekly schedule is associated with higher neurotoxicity than the tri-weekly schedule. In a previous study, grade 3 neuropathy occurred significantly more often with the weekly regimen than with the tri-weekly regimen (24 vs. 12%) [13]. In another study, which compared weekly versus

tri-weekly PTX dosages, it was reported that grade 2, 3, or 4 neuropathy occurred more frequently with weekly than with tri-weekly PTX administration (27 vs. 20%, respectively) [14].

The time to onset of PIPN was previously determined in a phase III trial of patients with metastatic breast cancer treated with PTX (175 mg/m^2) every 3 weeks; the mean total dose at the onset of grade 2 neurotoxicity was 715 mg/m^2 [15]. However, there are limited data available describing the outcome of PIPN and risk factors of severe PN. We therefore conducted a retrospective study to determine the duration of PIPN and to identify potential factors predicting severe or persistent PN.

Patients and methods

Data collection

This study included breast cancer patients treated with PTX as adjuvant chemotherapy at the National Cancer Center Hospital between 2002 and 2009. All patients met the following criteria: female gender; age >18 years; recipients of lumpectomy or mastectomy; and presentation of more than one axillary lymph node metastasis, as determined pathologically. The following patients were excluded from this study: those previously treated with PTX, those who presented with severe neuropathy before initiating PTX treatment, and those who discontinued PTX treatment after only 1 cycle for any reason.

We performed chart reviews for all patients to obtain the following information: age; gender; stage; hormonal status; human epidermal growth factor receptor-2 (HER2) status; previous surgical procedures (lumpectomy or mastectomy); adjuvant chemotherapy; adjuvant radiotherapy; PTX administration schedule; date of the first documentation of PIPN; maximum grade of PIPN; date of disappearance of PIPN symptoms. This study was approved by the local institutional review board.

Treatment schedule

Chemotherapy consisted of anthracycline followed by PTX regimens as generally recommended for high-risk breast cancer patients, according to the St. Gallen risk criteria at our division [16, 17]. However, therapeutic options could vary based on the physician's discretion. Patients received either 80 mg/m^2 of PTX on days 1, 8, and 15 of each 21-day interval for 4 cycles, following anthracycline plus cyclophosphamide (AC) (weekly administration schedule), or 175 mg/m^2 of PTX on day 1 of each 21-day interval for 4 cycles, following AC (tri-weekly administration schedule).

Grading of PIPN

Patients were evaluated during and after chemotherapy by medical oncologists. We graded PIPN retrospectively according to the National Cancer Institute Common Terminology Criteria for Adverse Events (NCI-CTCAE) version 3.0 [18]. Grade 1 PIPN had paresthesias including tingling, but not interfering with function, while grade 2 had sensory alterations or paresthesias interfering with function but not interfering with activities of daily living (ADL). Grade 3 had sensory alterations or paresthesias interfering with ADL. Patients were determined to have PIPN if their score for sensory neuropathy was grade 1 or higher. The severity of pain was not evaluated in this study because of insufficient data.

Statistical analysis

The time to onset of PIPN was defined as the time from the date of PTX administration to the date of the first documentation of PIPN. The duration of PIPN was defined as the time from the date of first documentation of PIPN to the date of disappearance of the PIPN symptoms described. The time to onset and duration of PIPN were estimated by the Kaplan–Meier method. We used multivariate Cox regression analysis to identify the variables associated with the time to onset and duration of PIPN. Furthermore, to identify the risk factors for PIPN above grade 2, we applied multivariate logistic regression analysis. A 2-sided $P < 0.05$ was considered statistically significant. All analyses were performed by SAS software, version 9.2 (SAS Institute, Cary, NC, USA).

Results

Patient characteristics

Of the 227 patients initially identified, 2 were excluded due to severe neuropathy induced by combination chemotherapy with AC before being treated with PTX. Several patients discontinued systemic therapy before completion of 1 cycle due to the following adverse events: severe liver dysfunction (grade 3) ($n = 3$), acute renal failure (grade 3) ($n = 1$), allergic reaction (grade 3) ($n = 1$), and interstitial pneumonitis (grade 3) ($n = 1$). Finally, a total of 219 patients were included; 212 patients (97%) developed PIPN which was characterized by numbness and tingling, while 7 had no PIPN symptoms. The maximum severity of PIPN reached in each of the 212 patients was as follows: grade 1, 159 patients (75%); grade 2, 45 patients (21%); and grade 3, 9 patients (4%). Two patients needed dose modifications due to PIPN above grade 2. No patients postponed or skipped the scheduled PTX due to PIPN.

Baseline characteristics of the population are listed in Table 1. The median age of patients was 53 years (range 22–70). Eighteen patients had diabetes mellitus without neuropathy complications at baseline. Disease-free survival and overall survival were evaluated with a median follow-up time of 57.1 months (range 5.3–95.5). A total of 25 patients received weekly PTX: 23 following AC and 2 without AC. The remaining 194 patients received tri-weekly PTX: 182 following AC and 12 without AC. The mean dose intensity was 58 mg/week (range 16–80). Treatment cessation was deemed necessary in 9 patients (4%); reasons for cessation were PIPN (8 patients, 3 with grade 1, 1 with grade 2, and 5 with grade 3) and myelosuppression (1 patient).

Table 1 Patient characteristics

Variables	triPTX ($N = 188$)	wPTX ($N = 24$)	All ($N = 212$)
Age			
Median (range)	53 (22–70)	52 (32–68)	53 (22–70)
<60 (%)	141 (75.0)	17 (70.8)	158 (74.5)
≥60 (%)	47 (25.0)	7 (29.2)	54 (25.5)
Sex (%)			
Female	187 (99.5)	24 (100.0)	211 (99.5)
Male	1 (0.5)	0 (0.0)	1 (0.5)
Lymph (%)			
<4	118 (62.8)	12 (50.0)	130 (61.3)
≥4	70 (37.2)	12 (50.0)	82 (38.7)
Tumor size (%)			
<5 cm	153 (81.4)	18 (75.0)	171 (80.7)
≥5 cm	35 (18.6)	6 (25.0)	41 (19.3)
Surgery (%)			
Mastectomy	114 (60.3)	16 (66.7)	130 (61.3)
Lumpectomy	73 (39.2)	8 (33.3)	81 (38.2)
Excisional biopsy	1 (0.5)	0 (0.0)	1 (0.5)
Systemic therapy (%)			
Chemo	56 (29.8)	8 (33.3)	64 (30.2)
Chemo + endocrine	132 (70.2)	16 (66.7)	148 (69.8)
Radiation (%)			
No	69 (36.7)	8 (33.3)	77 (36.3)
Yes	119 (63.3)	16 (66.7)	135 (63.7)
Hormone (%)			
Negative	48 (25.5)	5 (20.8)	53 (25.0)
Positive	140 (74.5)	19 (79.2)	160 (75.0)
HER2 (%)			
Negative	156 (83.0)	16 (66.7)	172 (81.1)
Positive	32 (17.0)	8 (33.3)	40 (18.9)
Diabetes mellitus (%)			
No	171 (91.0)	23 (95.8)	194 (91.5)
Yes	17 (9.0)	1 (4.2)	18 (8.5)

triPTX tri-weekly paclitaxel, *wPTX* weekly paclitaxel, *chemo* chemotherapy

PIPN development time

The median time taken for the total patient group to develop PIPN was 21 days (range 11–101) (Fig. 1). With weekly administration of PTX, the median time taken to develop PIPN was also 21 days (range 11–101); the median time with tri-weekly administration was 35 days (range 14–77).

Cumulative dose

The mean cumulative dose at the onset of grade 1 or higher PIPN was 175 mg/m^2 for patients treated with PTX every 3 weeks and 320 mg/m^2 for weekly PTX patients.

Diabetes mellitus

Of 18 diabetic patients, all had PIPN and 3 had maximum grade 3 PIPN. Median time to PIPN onset was 21 days (range 20–21), and median duration of PIPN was 287 days (range 70–503). In patients without diabetes, median time to PIPN was 21 days (range 20–21), and median duration of PIPN was 231 days (range 190–271).

Risk factors correlated with PIPN

Multivariate analysis using a logistic regression model after stepwise selection revealed no significant correlations between time to PIPN onset and maximum PIPN severity (Table 2), while there were significant correlations between duration of PIPN and age (>60 years old) ($P = 0.027$) and between duration of PIPN and maximum PIPN severity ($P = 0.015$) (Table 3). Moreover, we could not identify

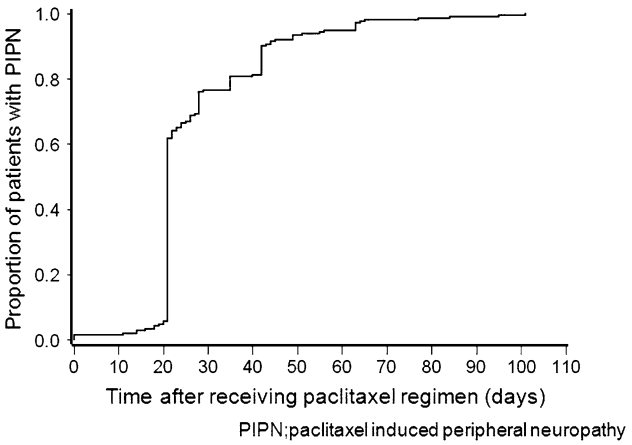

Fig. 1 Time taken for the total patient group to develop paclitaxel-induced peripheral neuropathy

Table 2 Multivariate analysis for factors associated with time to PIPN

Variables	HR	95% CI		*P* value
Regimen				
triPTX	1			
wPTX	0.66	0.43	1.03	0.070
Age				
<60				
≥60	0.99	0.72	1.37	0.960
Lymph				
<4				
≥4	1.20	0.82	1.77	0.341
Tumor size (cm)				
<5				
≥5	0.98	0.68	1.42	0.917
Radiation				
No				
Yes	0.78	0.51	1.20	0.259
Surgery				
Mastectomy				
Lumpectomy	1.08	0.75	1.56	0.666
Endocrine				
No				
Yes	0.87	0.65	1.18	0.366
Grade				
1				
2 or 3	1.35	0.97	1.87	0.073
Diabetes mellitus				
No				
Yes	1.34	0.81	2.21	0.260

PIPN paclitaxel-induced peripheral neurotoxicity, *triPTX* tri-weekly paclitaxel, *wPTX* weekly paclitaxel, *HR* hazard ratio, *CI* confidence interval

Table 3 Multivariate analysis for factors associated with duration of PIPN

Variables	HR	95% CI		*P* value
Regimen				
triPTX	1			
wPTX	0.48	0.19	1.21	0.119
Age				
<60				
≥60	0.55	0.32	0.94	0.027
Lymph				
<4				
≥4	0.86	0.46	1.59	0.621
Tumor size (cm)				
<5				
≥5	1.03	0.59	1.77	0.927
Radiation				
No				
Yes	1.05	0.52	2.12	0.900
Surgery				
Mastectomy				
Lumpectomy	0.67	0.36	1.26	0.213
Endocrine				
No				
Yes	1.10	0.70	1.73	0.668
Grade				
1				
2 or 3	0.53	0.32	0.88	0.015
Diabetes mellitus				
No				
Yes	0.66	0.28	1.56	0.345

PIPN paclitaxel-induced peripheral neurotoxicity, *triPTX* tri-weekly paclitaxel, *wPTX* weekly paclitaxel, *HR* hazard ratio, *CI* confidence interval

any correlation with grade 2/3 PIPN (Table 4). Based on the results of multivariate analyses, there were no significant associations between diabetes mellitus and time to PIPN onset ($P = 0.260$) or duration of PIPN ($P = 0.345$) or grade 2/3 PIPN ($P = 0.229$).

Duration of PIPN

The median duration of PIPN was 727 days for the total patient group (range 14–2621) (Fig. 2). With weekly administration, the median duration was not reached (range 14–1089); the median duration for patients with tri-weekly administration was 651 days (range 23–2621). One year after initiating PTX treatment, PIPN (all grades included) persisted in 64% of patients; 3 years after treatment initiation, this number had dropped to 41%.

Discussion

This is the first published report to our knowledge that investigates the time to onset and duration of PIPN among breast cancer patients and explores potential risk factors related to severe and/or persistent PIPN. The data from this study confirm that most patients (97%) developed PIPN with a severity of at least grade 1. Peripheral neuropathy persisted in 64% of patients at 1 year and 41% at 3 years after the first administration of PTX. Approximately half of the patients who received PTX and developed PN experienced recovery from PN within 9 months after cessation of PTX treatment. We found correlations between the maximum PIPN severity and both the time to onset of PIPN and the duration of PIPN. In addition, we observed that PN lasted significantly longer in patients >60 years of age.

Table 4 Multivariate analysis for factors associated with grade 2 or 3 PIPN

Variables	Odds ratio	95% CI		*P* value
Regimen				
triPTX	0.57	0.18	1.83	0.345
wPTX				
Age				
<60	1.65	0.81	3.36	0.171
≥60				
Lymph				
<4	0.98	0.40	2.41	0.968
≥4				
Tumor size (cm)				
<5	0.47	0.18	1.24	0.125
≥5				
Radiation				
No	0.98	0.35	2.77	0.975
Yes				
Surgery				
Mastectomy	0.73	0.29	1.82	0.499
Lumpectomy				
Endocrine				
No	0.72	0.36	1.45	0.360
Yes				
Diabetes mellitus				
No	2.05	0.69	6.09	0.197
Yes				
Dose intensity				
<58	1.00	0.50	2.01	1.000
≥58				
Cumulative dose				
<700	0.31	0.08	1.13	0.077
≥700	0.57	0.18	1.83	0.345

PIPN paclitaxel-induced peripheral neurotoxicity, *triPTX* tri-weekly paclitaxel, *wPTX* weekly paclitaxel, *CI* confidence interval

Previous studies have reported that the incidence of PIPN is related to several risk factors, including treatment schedule, doses per course, patient age, diabetes mellitus, and cumulative dose [6–11]. We found no association between the severity of PIPN and the PTX administration schedule including single dose, dose intensity, diabetes mellitus, or interval of administration. In our study, the mean cumulative dose at the onset of grade 1 or higher PN was 175 mg/m^2 for patients treated with PTX every 3 weeks and 320 mg/m^2 for weekly PTX patients. In contrast to an earlier study [14], our clinical outcomes indicated that tri-weekly administration of PTX was associated with more severe PIPN than weekly administration. However, this result may be attributed to frequent hospital visits and/or the relatively small number of patients treated by weekly PTX.

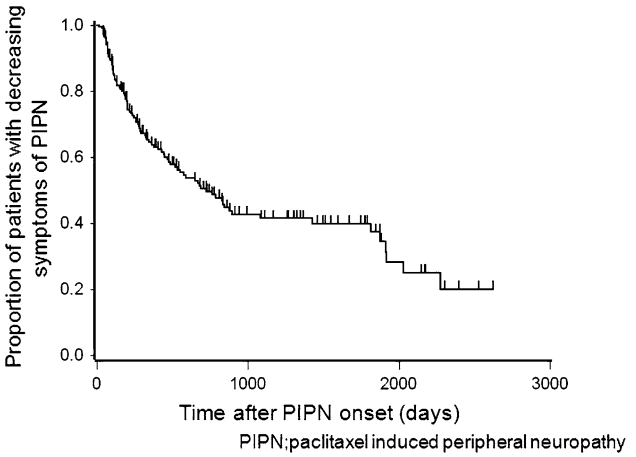

Fig. 2 Time to resolving PIPN from the time of developing paclitaxel-induced peripheral neuropathy

Previous reports suggest there are several risk factors for PIPN, including concurrent administration of cisplatin [19] and various genetic predispositions for neuropathy, such as *Wlds* (slow Wallerian degeneration gene) and *CYP3A* genotype [20, 21], but we did not examine any of those risk factors in this study.

Axonal microtubules are composed largely of β-tubulin. Neurotoxicity is caused by disruption of the microtubule structure, impairing axoplasmic transport and leading to dying-back neuropathy [22]. The most widely accepted mechanism of taxane neurotoxicity is a dying-back process that starts from distal nerve endings and progresses to affect Schwann cells, neuron bodies, or axons, resulting in transport changes that disturb cytoplasmic flow in the affected neurons [23]. Another possible cause of PIPN is that sensory nerves may be particularly vulnerable to the inhibition of tubulin assembly, as sensory nerves have long axons. However, motor neurons and C-neurons are not as sensitive to taxanes as are sensory nerves, despite the fact that these neurons are as long as sensory nerves. Some reports suggest that induction of Ca$\alpha 2\delta$-1 expression by PTX in the spinal root may be important, but further investigation is necessary to understand the mechanisms of PIPN [24].

There are no medications that prevent or relieve PIPN. Likewise, there are no laboratory tests that can predict the severity of PN. Management of PIPN is now based on early detection during chemotherapy to prevent its progression to grade 3 or 4. Clinical assessment, including a physical examination, is currently the most reliable method of assessing PIPN because we lack more reliable objective methods, and the symptoms of PIPN, such as numbness, sensory pain, fatigue, and weakness, are complicated [12, 25]. If grade 2 PN is diagnosed, it may be prudent to

withhold PTX until PN improves to at least grade 1; PTX administration can then be resumed at a reduced dose.

There were several limitations to our study. We used physician-based assessments, which relies on patients' report and examiners' interpretation and could have resulted in underestimation and under-reporting of the frequency and severity of PN [26]. In addition, physicians were more prone to quit following symptoms periodically once patients recovered from maximum PIPN. In fact, there were many censored cases in this study (Fig. 2). Therefore, features of PIPN such as location, presence of accompanying symptoms, and triggers for increase or decrease in severity were unclear. This study was retrospective, with censored data; the neurotoxicity corresponding to each grade of PIPN was unclear. In fact, time to onset of PIPN was faster for grades 2 and 3 than grade 1. In order to properly evaluate the correlation between severity and duration of PIPN, we will need further studies to determine whether or not the duration of PIPN is longer when the maximum severity increases from grade 1 to grade 2.

In conclusion, we analyzed the incidence and duration of PIPN and identified correlations between these and several risk factors. We found that the median time to onset of PIPN was 21 days, and the median duration of PIPN was 727 days. Patient age and PIPN severity were the independent risk factors significantly associated with longer PIPN duration. Urgent needs currently include identification of specific risk factors for PIPN, establishment of subjective methods for evaluating PIPN, and development of effective strategies for prevention and treatment of PIPN. To meet these ends, further investigation of the biological mechanisms leading to PIPN is warranted.

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Conflict of interest The authors have declared no conflicts of interest.

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