## ORIGINAL ARTICLE





# Large and irregular population fluctuations in migratory Pacific (*Calidris alpina pacifica*) and Atlantic (*C. a. hudsonica*) dunlins are driven by density-dependence and climatic factors

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**Abstract** Understanding the forces driving population dynamics is critical for species conservation and population management. For migratory birds, factors that regulate population abundance could come from effects experienced on breeding areas, wintering grounds, or during migration. We compiled survey data for Pacific and Atlantic subspecies of dunlins (Calidris alpina pacifica and C. a. hudsonica) from range-wide Christmas bird counts (1975–2010), and investigated the influences on this population index of density-dependence, falcon numbers, a set of seasonal environmental conditions during breeding, migration and non-breeding periods, and large-scale meteorological measures. For both sub-species, numbers fluctuated irregularly, varying threefold over the survey period, with no long-term upward or downward trend. Based on Royama's general model framework, the change in numbers between successive years for both sub-species was negatively affected by the total count in the previous year (i.e., negative density-dependence) and by the eastward component of storm movement during fall migration, with slower motion associated with higher population growth. The remaining environmental factors differed between the sub-species (snowmelt date on the Pacific, temperature on the Atlantic) or acted in opposite directions (soil moisture). The directional effects of each of these factors are consistent with the biology of dunlin, and together they explain 67.4 (72.9 %) of the variation in the rate of change of Pacific (Atlantic) dunlin annual counts. Falcon numbers were not predictive, despite a tenfold increase in abundance, suggesting compensatory mortality. This study highlights directions for future studies, and provides a model for the analysis of other migratory species.

**Keywords** Annual cycle · Christmas bird counts · Large scale analysis · Migratory shorebirds · Population dynamics · Seasonal environmental conditions

#### Introduction

One of the central topics in population ecology is understanding temporal changes in population abundance and how these are related to biotic (e.g., population density, predation, food/resource competition) and abiotic (e.g., climate, weather, and disturbance) factors. Such knowledge is essential to understanding the forces driving population dynamics, and is critical for species conservation and population management (Thomas 1990; Bjørnstad and Grenfell 2001; Fromentin and Powers 2005; Wiegand et al. 2005). There is a long history of debate over the relative roles of biotic and abiotic factors in regulating and limiting population abundance (Nicholson 1933; Andrewartha and Birch 1954; Hunter and Price 1998). Recent studies have suggested that both types of forces are important in shaping population dynamics (Dennis and Otten 2000; Fromentin et al. 2001; Turchin 2003; Xu and Boyce 2009).

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Changes in population abundance can result from changes in population growth rate, which in turn is influenced by demographical rates (Ozgul et al. 2004). Variain demographic rates, namely reproduction, recruitment, or survival, is often associated with variation in biotic and abiotic factors through density-dependent or density-independent processes (Tyler et al. 2008; Brown 2011). Negative feedback between demographic rates and population density may lead to density-dependent changes in population growth (Reed and Slade 2008). Among density-independent processes, local climate and environmental conditions can drive population fluctuations through seasonal variation in food availability and habitat conditions (Karell et al. 2009). In addition, broad climatic phenomena such as the Pacific Decadal Oscillation (PDO), the North Pacific Index (NPI), and the North Atlantic Oscillation (NAO), can have far-reaching impacts on ecological systems (Stenseth et al. 2002; Hallett et al. 2004). These sources of climate variation can work in concert with density-dependent processes to shape the trajectories of fluctuating populations (Goswami et al. 2011).

Although the joint effects of biotic and abiotic factors on population dynamics have been widely studied for fish and mammals (Dennis and Otten 2000; Fromentin et al. 2001), few studies have been done for migratory birds to integrate factors across their distribution and migration range (Sæther et al. 2002, 2006). Migratory birds have distinct breeding, wintering, and migration periods, and conditions encountered across the geographic range during the annual cycle may influence their abundance (Sutherland 1996a; Newton 2004, 2006). Demographic rates in one period may be influenced not only by conditions in the same period, but by conditions in earlier periods of geographical separation (Norris 2005; Harrison et al. 2011). For instance, events that cause individual variation in physiological condition during the non-breeding period can have substantial consequences on the timing and success of reproduction the following breeding period (Norris et al. 2004; Kennedy et al. 2008). Such effects may interact with density-dependent mechanisms at one or multiple periods of the annual cycle to influence population dynamics (Ratikainen et al. 2008). In this study, we choose two dunlin sub-species (Calidris alpina pacifica and C. a. hudsonia) as models to investigate the effects on population dynamics of density-dependence, predation danger, and seasonal environmental conditions across their distribution range.

Dunlins are small shorebirds of the family Scolopacidae. Five—nine 'races' or 'sub-species' are recognized, all breeding in Arctic or subarctic regions spread around the northern hemisphere. We consider the population dynamics of the two North American subspecies (Warnock and Gill

1996). The Pacific dunlin breeds in coastal western Alaska (Gabrielson and Lincoln 1959) and winters at coastal sites from southern British Columbia to California (MacLean and Holmes 1971; DeSante and Pyle 1986) and western Mexico. Fall migration, peaking in October, is transoceanic over the Gulf of Alaska and northeastern Pacific to southern British Columbia and south through central California (Gill et al. 2013; Warnock et al. 2013). Spring migration, however, appears to be largely coastal. The birds gain fuel at several major stopover sites along the Pacific coast (Senner et al. 1989; Warnock et al. 2004) before arriving on their breeding grounds in early May. The second sub-species is the Atlantic dunlin (C. a. hudsonia), which breeds in western and northern Hudson Bay and west of James Bay, Canada. This population spends the winter along the eastern seaboard of the United States and the Gulf of Mexico. Spring migration of this population starts in mid-March along the Gulf coast up to New England, passing through the Great Lakes region in May and arriving on its breeding grounds in late May/early June. A small portion of the population migrates through North Dakota and Manitoba in May. Fall migration is more easterly than spring migration. In fall, dunlins move along Atlantic coastal mudflats, peak in the Maritime Provinces in October, move down to New England in late October, and peak in New Jersey in December (Warnock and Gill 1996).

Major predators of dunlins are falcons, including the peregrine (Falco peregrinus) and merlin (F. columbarius) (Page and Whitacre 1975), which both are experiencing ongoing population recovery following the 1973 ban on dichlorodiphenyltrichloroethane (DDT) and other conservation measures (Cade et al. 1988). These large increases in predator populations are a plausible factor contributing to declining populations of small shorebirds that have been reported worldwide (Stroud et al. 2006) and in North America (Brown et al. 2001; Morrison et al. 2001; Bart et al. 2007). Population trends for eastern dunlin, based on counts on migration, are negative between 1974 and 2009 (Bart et al. 2007); no data are available for western populations. Since substantial direct mortality of dunlin and other small shorebirds to falcons and other raptors (e.g., Page and Whitacre 1975; Cresswell and Whitefield 1994; Dekker et al. 2012), and raptor induced changes in habitat usage have been well documented (e.g., Ydenberg et al. 2002; van den Hout et al. 2008), it is reasonable to consider the potential relationship between numbers of raptors and their prey (Cresswell 2011).

In this paper, we compile survey data for Pacific and Atlantic dunlins from Christmas bird counts (National Audubon Society 2010). We conduct time series analyses based on Royama's general model framework (Royama 1992). Time series analyses of population dynamics has



proven to be a useful approach in demonstrating the importance of density-dependent and density-independent processes in governing population dynamics (Berryman and Turchin 2001). We test the time-series for density dependence and investigate the effects on the annual changes in dunlin number of density dependence, predation danger, and climate and environmental conditions within the annual cycle. Our objective is to identify the driving forces of population dynamics of dunlin for the purpose of ecological understanding and potential conservation action.

## Materials and methods

## Population data

We compiled survey data for dunlins from the North American Christmas bird count (CBC, National Audubon Society 2010). CBC surveys are conducted annually between 14 December and 5 January, on 'count circles' with a 12-km radius circles. To provide broad and consistent sampling, we included in our compilation any circle (i) registering five or more dunlins in a single survey from 1975 to 2010, (ii) that had at least 30 years of counts, and (iii) that had no gaps between consecutive surveys >5 years. This filter captured 93 circles for Pacific dunlin and 151 circles for Atlantic dunlin, which cover most of their winter ranges and, we believe, the majority of both populations (Fig. 1). The most significant gaps are underrepresentation of inland sites in the Pacific region, particularly the Willamette and Central Valleys, and a lack of sites from Baja California, Mexico. We also compiled the counts of peregrine falcons and merlins, the most important avian predators, from each selected CBC circle over the same years.

Our compilation included 8784 site-year combinations, 3348 (=36 years  $\times$  93 sites) for Pacific dunlin and 5436 (=36 years  $\times$  151 sites) for Atlantic dunlin. Of these, 6.80 % (228) surveys were missing for Pacific dunlin and 8.20 % (446) for Atlantic dunlins. We imputed missing surveys using population marginal means (Searle et al. 1980). The percentage of the total number of dunlin imputed was 3.40 % for Pacific dunlin and 1.50 % for Atlantic dunlin. Total annual counts are sums over all sites per population.

Dunlins would seem to be a good species to count using CBC methodology. They occur in a specific habitat, with most individuals in large flocks whose size can be reasonably estimated by observers with some experience. The tally reached for a specific survey would thus seem to be largely independent of survey effort, at least above some minimum. Falcons, on the other hand, occur in low numbers, are highly dispersed, and range widely. The tally reached for a specific survey would thus seem to

depend strongly on the survey effort. We followed standard practise and scaled each survey for both dunlins and falcons by the effort invested (measured as 'party hours'). We found that scaling made only very minor differences to the results we report here, which are based on the scaled data.

Nonlinear relationships between survey effort and the number of birds counted may create bias in analyses based on CBC data, as has been reported for some species (Preston 1979; Johnson 1981; but see McCrimmon et al. 1997). Using the methods of Butcher and McCulloch (1990), we found no evidence for nonlinearity between counts and survey effort for either dunlins or falcons on either Pacific or Atlantic coasts (all P values >0.45).

#### **Environmental and climate data**

Environmental factors such as snowmelt, soil moisture, air temperature, and precipitation may affect the annual changes in dunlin number through influences on the availability of breeding or wintering habitats and food resources (Niehaus and Ydenberg 2006; Verhulst et al. 2007; Clark 2009). Storms have been reported as an important factor that causes mortality of migrating birds (Newton 2007). Here we consider snowmelt date (day of year) on the breeding grounds; storm motion (m/s) (eastward & southward) during fall migration; overwinter precipitation (mm), soil moisture (mm), and surface air temperature (°C) on the wintering grounds; and storm motion (m/s) (eastward & southward), soil moisture (mm), and precipitation (mm) at major stopover sites during spring migration. The geographical regions and times of year (see "Introduction" section) relevant to each phase of the annual cycle were derived from Warnock and Gill (1996) and later literature cited above. Time-series data for these variables were derived from modeled climate data extracted from the gridded climate data sets available at Physical Sciences Division (PSD), Earth System Research Laboratory, NOAA (http://www.esrl.noaa.gov/psd/cgi-bin/ db\_search/SearchMenus.pl), with a spatial resolution of 0.10°-2.50° latitude and longitude, depending on variables. The data extracted were monthly averages except for snow depth. Snow depth was a daily average, which we used to derive snowmelt date, calculated as the last day when snow depth was greater than 2.50 cm. The storm motion index was only available starting in 1979, thus our analyses of relationships between changes in dunlin counts and environmental variables start in that year. For the analysis period, we imputed values for 4.30 % (144) missing surveys for Pacific dunlin and 5.60 % (304) for Atlantic dunlins, representing 2.80 % of the total Pacific dunlin and 0.50 % of Atlantic dunlin counts.



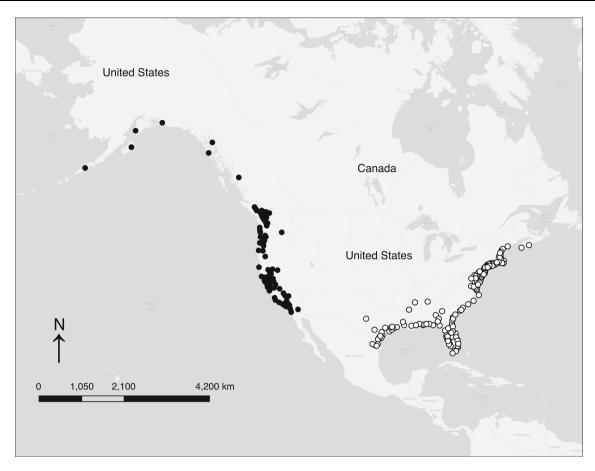


Fig. 1 Christmas bird count (CBC) sites of North American dunlins (*Calidris alpina*). *Black circles* represent the CBC sites of Pacific dunlins and *white circles* represent the CBC sites of Atlantic dunlins. Base map from ARCVIEW 10.2 (ESRI Products)

For each of the variables, we used the open-source R software (R Core Team 2012) to access, organize, and extract time-series data from the original NetCDF (.nc) files downloaded from PSD, NOAA. Spatial data are highly correlated. In our analysis, the time-series data we used for each of the variables were centrally located within the region of interest, unless otherwise specified. Using averages over the region did not qualitatively change the results. As dunlins are widely distributed along the Pacific and Atlantic coast in winter, time-series data of the overwinter variables were averaged over the major CBC sites where they are concentrated. Because winter conditions can be more severe in the northeast, for Atlantic dunlin, we also specifically examined whether the colder weather in the coastal northeastern US had any effect on annual counts.

Besides the above environmental variables, we also tested whether large scale climate pattern might predict the year-to-year changes in number of dunlin. Specifically, we looked into annual indices of the PDO (http://jisao. washington.edu/pdo/PDO.latest) and NPI (Trenberth and Hurrell 1994; Hurrell and NCAR staff 2012) for Pacific

dunlin, and NAO (Hurrell 2003; Hurrell and NCAR staff 2013) for Atlantic dunlin.

#### Data analysis

The year-to-year changes in number of dunlin can be modelled according to Royama's general model framework (Royama 1992) in the following manner. Assume  $N_t$ ,  $P_t$ , and  $Z_t$  is the number of dunlin, the number of predators, and environmental conditions at year t, respectively, let  $X_t = \log_e(N_t)$ , then the rate of change in number of dunlin  $r_t = X_t - X_{t-1}$  can be written in the form:

$$r_{t} = f(X_{t-1}, P_{t-1}, Z_{t-1}) + Z_{t-1} + \varepsilon_{t}, \tag{1}$$

where  $f(\cdot)$  is a function of  $X_{t-1}, P_{t-1}, Z_{t-1}$ , and  $\varepsilon_t$  is other density-independent random effects beyond  $Z_{t-1}$  or could be considered as an error term.

We were interested in whether density-dependence, predators, and multiple environmental variables had any additive or interactive effects on  $r_t$ , so model (1) could be written in the following general form



$$r_{t} = \alpha + \beta \cdot X_{t-1} + \gamma \cdot P_{t-1} + \theta_{1} \cdot X_{t-1} \cdot P_{t-1} + \varphi_{1i} \cdot X_{t-1} \cdot Z_{i,t-1} + \varphi_{2i} \cdot P_{t-1} \cdot Z_{i,t-1} + \omega_{ij} \cdot Z_{i,t-1} \cdot Z_{j,t-1} + \delta_{i} \cdot Z_{i,t-1} + \varepsilon_{t},$$
(2)

where  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\theta_1$ ,  $\varphi_{1i}$ ,  $\varphi_{2i}$ ,  $\omega_{ij}$ , and  $\delta_i$   $(i = 1, ..., n; i \neq j)$  are model parameters, which can be estimated through multiple regression analysis.

We investigated the effects of density-dependence, predators, and environmental variables on  $r_t$  by developing a set of candidate models of the general form (2). To limit the number of the candidate models, we used correlation tests to facilitate the process. Correlations between environmental variables were checked to avoid multicollinearity. We tested for correlation between  $r_t$  and environmental variables and incorporated only those variables as covariates when the correlation was significant at P < 0.15. Our analysis (not shown here) indicated that incorporating the environmental variables when the correlation was not significant did not improve model fit, nor were these variable terms significant in the regression models.

Correlation tests and standardized regression analysis were conducted using the R software (R Core Team 2012). Model selection was based on Akaike's information criterion adjusted for small sample size (AICc, Hurvitch and Tsai 1989; Burnham and Anderson 1998) and Bayesian information criterion (BIC, Schwarz 1978). AIC<sub>c</sub> and BIC are both penalized-likelihood criteria. BIC penalizes model complexity more heavily than AICc. The AICc for the model of interest minus the smallest AICc for the set of models being considered is denoted by ΔAIC<sub>c</sub>. By definition, the most-supported model has a  $\Delta AIC_c$  of zero, which gives the most parsimonious description of the data. In general,  $\Delta AIC_c < 2$  suggests no strong evidence for a difference between candidate models, and models with  $\Delta AIC_c < 2$  are generally considered worthy of consideration. In model selection, we included interactive terms in models only when they were significant.

Besides model selection, time-series of dunlin data were also independently tested for density-dependence using a bootstrap test (Dennis and Taper 1994). This test is based on a randomization procedure that reduces the Type I error. Here, the bootstrap test was used to test null hypothesis  $\beta = 0$  in equation:

$$r_t = \alpha + \beta \cdot X_{t-1} + \varepsilon_t \tag{3}$$

against alternative hypothesis  $\beta$  < 0. We present a plot of this regression model along with the data points  $(X_{t-1}, r_t)$ .

To assess how much of the variation in the rate of change in number of dunlin was explained by each covariate in the top model of form (2) from model selection, we calculated their contribution to the model's coefficient of multiple determination (Sokal and Rohlf

1981; Vucetich and Peterson 2004). The contribution of each covariate x (denoted  $R_x^2$ ) was calculated as its standardized partial regression coefficient multiplied by the correlation coefficient between x and the response variable (Schumacker and Lomax 1996; Previtali et al. 2009). As storm motion data are available from 1979, data analysis was conducted using data from 1979 to 2010.

#### Measurement error and model evaluation

Measurement error may influence the reliability in detecting density-dependence in ecological time series, resulting in false detection of density dependence that would otherwise not exist (Shenk et al. 1998; Freckleton et al. 2006; Kölzsch et al. 2007). The bootstrap method that we used to test for density dependence is robust against measurement error as suggested by Dennis and Taper (1994). We also ran a simulation suggested by Freckleton et al. (2006) to quantify the effects of possible count data error on our results. First, we fitted a density-independent model (i.e., the top model from model selection with the densitydependent term dropped) to our data obtaining a densityindependent time series. Then we simulated 1000 data series by adding random errors to the density-independent time series. We examined three levels of random perturbation, which are  $\pm 10$ ,  $\pm 20$ , and  $\pm 30$  % of the densityindependent time series, to address the uncertainty in possible CBC error, although we have used a number of methods to minimize the potential bias in counts. P values from bootstrap test, AICc and BIC values were calculated for each data series, and we examined the probability that measurement errors could generate the levels of densitydependence observed in our data.

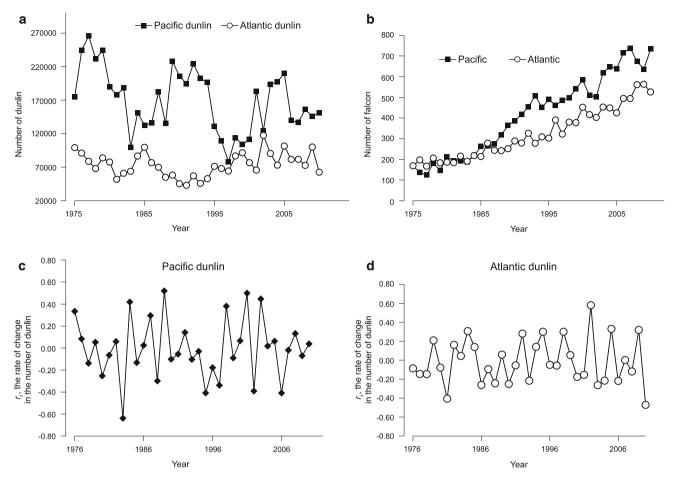
Linear regressions assume that the error term  $\varepsilon_t$  in Eq. 2 is independent and normally distributed. To account for the appropriateness of the general model assumptions, we made residuals plot to examine if the distribution of the model residuals was random, and used Shapiro–Wilk normality test to check whether model residuals fit a normal distribution.

# Results

#### Annual variability in dunlin and falcon numbers

There were substantial year-to-year changes in the number of dunlins counted between 1975 and 2010. The total count for Pacific dunlin varied more than threefold among years, ranging between 70,000 and 270,000 in three strong cycles of about 10 years each (Fig. 2a). The





**Fig. 2** The annual counts of Pacific (*Calidris alpina pacifica*) and Atlantic dunlins (*C. a. hudsonia*) (**a**) and the annual counts of falcon (*Falco peregrinus* and *F. columbarius*) on the Pacific and Atlantic coasts (**b**) between 1975 and 2010, based on Christmas bird counts.

Also shown are the rates of change in the number of Pacific (**c**) and Atlantic dunlins (**d**)  $r_t$ , from 1976 to 2010. Counts were adjusted by survey effort

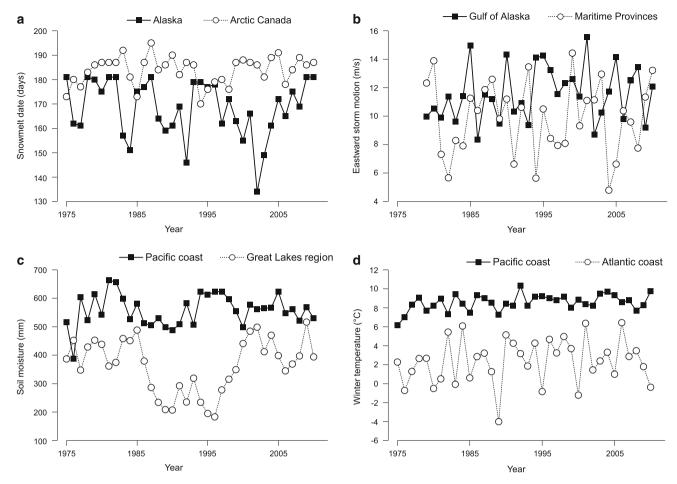
number of Atlantic dunlin also varied threefold among years (40,000–120,000; Fig. 2a), though the total counts are smaller. The rate of change in number of dunlins among years ( $r_t$ ) varied from -0.64 to 0.52 for Pacific dunlin and from -0.47 to 0.58 for Atlantic dunlin (Fig. 2b, c). There was no long-term trend in dunlin counts in either region (least-squares linear regressions: Pacific:  $R^2 = 0.03$ , year =  $-713.90 \pm 0.71$  SE, 1 df, F = 1.02, P = 0.32; Atlantic:  $R^2 = 0.02$ , year =  $+240.70 \pm 0.29$  SE, 1 df, F = 0.70, P = 0.41).

In strong contrast to dunlins, the counts of falcons increased steadily in both regions (Fig. 2d) (least-squares linear regressions: Pacific:  $R^2 = 0.96$ , year =  $+20.90 \pm 0.70$  SE, 1 df, F = 927.00, P < 0.0001; Atlantic:  $R^2 = 0.93$ , year =  $+11.10 \pm 0.60$  SE, 1 df, F = 463.90, P < 0.0001), resulting in sevenfold increase from 1975 to 2010 in the west, and a threefold increase in the east.

## Annual variability in environmental variables

The values of environmental variables vary substantially from year to year in both regions (Fig. 3). Snowmelt date varied between 134 and 181 days in Alaska, and from 170 to 195 days in Arctic Canada (on average, 15 days later than in Alaska) (Fig. 3a). Eastward storm motion during fall migration varied from 8.35 to 15.57 m/s over the Gulf of Alaska and from 4.80 to 14.43 m/s over the Maritime Provinces (on average, 1.68 m/s weaker than over the Gulf of Alaska) (Fig. 3b). Overwinter soil moisture on the Pacific coast varied from 387.32 to 663.14 mm; soil moisture in the Great Lakes region during spring migration of Atlantic dunlin varied from 182.92 to 516.31 mm, which, on average was 195 mm less than on the Pacific coast (Fig. 3c). Overwinter temperature on the Pacific coast varied from 6.16 to 10.31 °C; early winter





**Fig. 3** Time series plots (1975–2010) of environmental variables **a** snowmelt date (days) on breeding grounds; **b** eastward storm motion (m/s) during fall migration; **c** soil moisture (mm) (overwinter averages on the Pacific coast or monthly averages over the Great

Lakes region during spring migration); **d** winter temperature (°C) (overwinter averages on the Pacific coast or December averages in the coastal northeast US)

temperature in the coastal northeastern US varied from -4.02 to 6.43 °C (about 7 °C lower than on the Pacific coast) (Fig. 3d).

# **Density dependence**

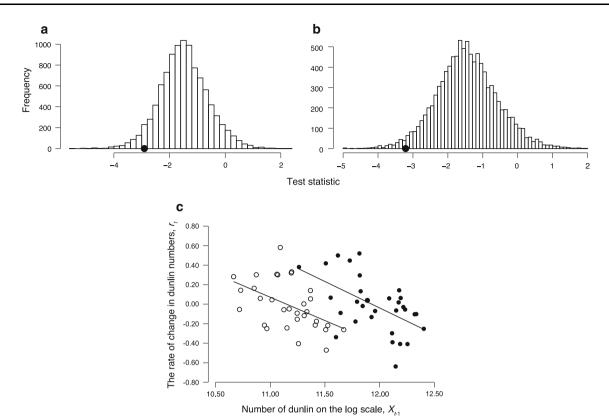
The AIC<sub>c</sub> (and BIC) for the density-dependent models were distinctly lower than for the density-independent models  $[\Delta \text{AIC}_{\text{c}}\ (\Delta \text{BIC}) = -8.51\ (-7.36)$  for Pacific dunlin, and  $-6.26\ (-5.12)$  for Atlantic dunlin], supporting the presence of strong density-dependence in both populations. Bootstrap test results were significant for both populations (Ps < 0.05, Fig. 4a, b), suggesting density-dependence was negative. This is further illustrated by the regression plots (Fig. 4c) and the negative estimates of the slope parameter  $\beta$  in Eq. 3  $(\hat{\beta} = -0.54 \pm 0.16$  for Pacific dunlin;  $-0.48 \pm 0.16$  for Atlantic dunlin).

# Correlation between $r_t$ and environmental variables

A number of factors within the annual cycle were correlated with  $r_t$ , but the number of predators had no correlation with  $r_t$  in either region (correlation coefficients <0.02, Ps > 0.90).

For Pacific dunlin, snowmelt date in Alaska, eastward storm motion over Gulf of Alaska during fall migration, overwinter soil moisture, and eastward storm motion around San Francisco Bay during spring migration all had a significant negative correlations with  $r_t$  (Ps < 0.05) (Table 1). The negative correlation between  $r_t$  and overwinter precipitation, soil moisture around San Francisco Bay during spring migration, or NPI was relatively weak (Ps < 0.15) (Table 1). Among these environmental variables, overwinter soil moisture, precipitation, and soil moisture around San Francisco Bay during spring migration





**Fig. 4** Bootstrap test for density dependence in time-series data of a Pacific dunlin  $(n=10,000,\ P=0.05)$  and **b** Atlantic dunlin  $(n=10,000,\ P=0.02)$ . Histograms are the frequency distributions of test statistic under null hypothesis  $(\beta=0)$  in Eq. 3; alternative is  $\beta<0$ ), and the *black dots* are values of test statistic calculated from the dunlin data. A plot of  $r_t$  versus  $X_{t-1}=\log_e(N_{t-1})$  is shown in

c. The *black circles* represent yearly data points for Pacific dunlin and *white circles* for Atlantic dunlin. The *straight lines* are the regression models (Pacific dunlin,  $r_t = 6.46 - 0.54 \times X_{t-1}$ ),  $R^2 = 0.29$ , P = 0.00; Atlantic dunlin,  $r_t = 5.33 - 0.48 \times X_{t-1}$ ),  $R^2 = 0.24$ , P = 0.01) of Eq. 3

were highly correlated (correlation coefficients >0.70,  $P_S < 0.001$ ) (Table 2).

For Atlantic dunlin, eastward storm motion in Maritime Provinces during fall migration, early winter air temperature and soil moisture in New Jersey, and soil moisture in May in Great Lakes region had a significant correlations with  $r_t$  (Ps varied from 0.003 to 0.12 <0.15) (Table 3). None of these variables were highly intercorrelated (absolute values of correlation coefficients <0.34, Ps > 0.05) (Table 4).

## Top models for dunlin populations

The top model for Pacific dunlin consisted of density-dependence, snowmelt date in Alaska, the rate of eastward storm motion over Gulf of Alaska during fall migration, and overwinter soil moisture (Table 5). As with Pacific dunlin, the top model for Atlantic dunlin included density-dependence and eastward storm motion during fall migration. In addition, the model also included early winter air temperature in New Jersey, soil moisture in the Great

Lakes region during spring migration and interaction terms between density-dependence and soil moisture and between temperature and soil moisture (Table 6). The rest of the candidate models  $\Delta AIC_c$ 's and  $\Delta BIC$ 's were all >2, thus we only considered the top models for further description.

In the top model of Pacific dunlin, parameter estimates were significantly negative for density-dependence, storm motion, overwinter soil moisture, and marginally significant for snowmelt date (Table 7). This suggested that Pacific dunlin counts between successive years were negatively affected by the tally in the previous year (negative density-dependence), by snowmelt date in Alaska in the previous spring (earlier snowmelt associated with higher population growth), by the eastward component of fall storm movement over the Gulf of Alaska during fall migration (slower motion associated with higher population growth), and by overwinter soil moisture on Pacific coast (lower level of soil moisture associated with higher population growth). Among these factors, density-dependence had the highest contribution to the variation in the rate of change in Pacific dunlin number ( $R_{DD}^2 = 29.90 \%$ ),



**Table 1** Correlation between the rate of change in number of Pacific dunlin (*r*) versus environmental variables within the distribution range during the annual cycle

	Environmental variable	Correlation coefficient	P value
Breeding ground	Snowm	-0.46	0.01
Fall migration	Ustm10	-0.45	0.01
	Vstm10	0.16	0.39
Wintering ground	SoilM	-0.36	0.05
	Prcp	-0.29	0.11
	Temp	0.14	0.46
Spring migration	Ustm4.SFB	-0.44	0.02
	Ustm4	(-0.07) $-0.20$	0.29-0.73
	Vstm4	0.19-0.20	0.29-0.29
	SoilM4.SFB	-0.28	0.14
	SoilM4	(-0.21) $-0.02$	0.26-0.91
	Prcp4	(-0.18) $-0.03$	0.34-0.88
Large scale climate pattern	NPI	-0.35	0.06
	PDO	0.10	0.58

Significant variables (at a level of 0.15) are shown in bold

Snowm snowmelt date (days) in western Alaska, *Ustm10/Vstm10* the eastward/southward component of storm movement (m/s) over the Gulf of Alaska in October during peak fall migration, *SoilM*, *Prcp*, *Temp* overwinter soil moisture (mm), precipitation (mm), and air temperature (°C) averaged from January to April over major CBC sites along the Pacific coast, *Ustm4.SFB* the eastward component of storm movement (m/s) around San Francisco Bay (one of the major stopover sites) in April during peak spring migration, *Ustm4* the eastward component of storm movement (m/s) around other major stopover sites (i.e., Grays Harbor, WA, Fraser River Delta, BC, Copper River deltas, AK) in April during peak spring migration, *SoilM4.SFB* averaged soil moisture (mm) around San Francisco Bay in April during peak spring migration, *Prcp4* averaged precipitation (mm) around major stopover sites in April during peak spring migration, *NPI* north pacific index, *PDO* pacific decadal oscillation

Table 2 Correlation between environmental variables for Pacific dunlin

	Snowm	Ustm10	SoilM	Prcp	Ustm4.SFB	SoilM4.SFB	NPI
Snowm		0.19 (0.29)	0.25 (0.17)	0.37 (0.04)	0.14 (0.45)	0.23 (0.20)	0.06 (0.76)
Ustm10			0.20 (0.27)	0.20 (0.27)	0.00 (1.00)	0.24 (0.18)	0.24 (0.19)
SoilM				0.75 (<0.001)	0.15 (0.41)	0.86 (<0.001)	-0.17 (0.36)
Prcp					-0.01 (0.94)	0.86 (<0.001)	0.11 (0.54)
Ustm4.SFB						-0.02(0.91)	-0.05(0.78)
SoilM4.SFB							-0.11 (0.54)
NPI							

P values are shown in parentheses and significant values are shown in bold. Variables are as in Table 1

followed by eastward storm motion ( $R_{Ustm10}^2 = 16.10 \%$ ), snowmelt date ( $R_{Snowm}^2 = 11.10 \%$ , and overwinter soil moisture ( $R_{soilM}^2 = 10.30 \%$ ). Together these factors captured many features of the population trajectory 1979–2010 (Fig. 5a), and explained 67.40 % of the variation in the rate of change of Pacific dunlin counts (Table 5).

In the top model of Atlantic dunlin, parameter estimates were significantly negative for density-dependence and storm motion and significantly positive for early winter temperature and soil moisture during migration (Table 8). This suggested that Atlantic dunlins, as with Pacific dunlins, were also

negatively affected by density-dependence and by the east-ward component of fall storm movement during fall migration. In contrast to Pacific dunlin, arctic snowmelt date did not have significant effects on Atlantic dunlin; soil moisture, which was in Great Lakes region during spring migration, positively affected Atlantic dunlin (i.e., higher level of soil moisture associated with higher population growth) (Table 8). In addition, Atlantic dunlins were also positively affected by early winter air temperature in New Jersey and by two interactions (between density-dependence and soil moisture during spring migration, and between early winter



**Table 3** Correlation between the rate of change in number of Atlantic dunlin (*r*) versus environmental variables within the distribution range during the annual cycle

	Environmental variable	Correlation coefficient	P value
Breeding ground	Snowm	-0.10	0.60
Fall migration	Ustm10	-0.51	0.00
	Vstm10	-0.04	0.82
Wintering ground	SoilM12	-0.42	0.02
	Prcp12	-0.08	0.67
	Temp12	0.43	0.02
	SoilM	0.18	0.34
	Prcp	0.12	0.54
Spring migration	Ustm5.GL	0.14	0.44
	Vstm5.GL	-0.05	0.78
	SoilM5.GL	0.28	0.12
	Prcp5.GL	0.26	0.16
	Ustm5.int	0.04	0.83
	Vstm5.int	-0.17	0.36
	SoilM5.int	0.00	0.99
	Prcp5.int	0.06	0.75
Large scale climate pattern	NAO	0.12	0.56

Significant variables (at a level of 0.15) are shown in bold

Snowm snowmelt date (days) on the west side of Hudson Bay, Canada, Ustm10/Vstm10 the east-ward/southward component of storm movement (m/s) over Maritime Provinces in October during peak fall migration, SoilM12, Prcp12, Temp12 averaged soil moisture (mm), precipitation (mm), and air temperature (°C) around New Jersey in December, when migratory dunlin peak in New Jersey, SoilM, Prcp overwinter soil moisture (mm) and precipitation (mm) averaged from January-April over major CBC sites along the Atlantic coast and Gulf of Mexico, Ustm5.GL/Vstm5.GL averaged eastward/southward component of storm movement (m/s) over Great Lakes region in May during peak spring migration, SoilM5.GL, Prcp5.GL averaged eastward/southward component of storm movement (m/s) over North Dakota and Manitoba in May during peak spring migration, SoilM5.int, Prcp5.int averaged soil moisture (mm) and precipitation (mm) over North Dakota and Manitoba in May, NAO annual index of North Atlantic Oscillation

Table 4 Correlation between environmental variables for Atlantic dunlin

	Ustm10	SoilM12	Temp12	SoilM5.GL
Ustm10		0.16 (0.38)	-0.24 (0.18)	-0.07 (0.69)
SoilM12			-0.34 (0.06)	-0.24 (0.19)
Temp12				0.11 (0.56)
SoilM5.GL				

P values are shown in parentheses. Variables are as in Table 3

air temperature and soil moisture during spring migration) (Table 8). As in Pacific dunlin, density-dependence had the highest contribution to the variation in the rate of change  $(R_{DD}^2 = 32.40 \%)$ , followed by eastward storm motion  $(R_{Ustm10}^2 = 15.50 \%)$ , early winter air temperature  $(R_{Temp12}^2 = 14.10 \%)$ , soil moisture during spring migration  $(R_{SoilM5.GL}^2 = 11 \%)$ . Together these factors explained 72.90 % of the variation in the rate of change of Atlantic dunlin counts (Table 6, Fig. 5b).

#### Measurement error and model evaluation

Our analysis using simulated data series with various levels of census errors suggested that measurement error is unlikely to account for the density-dependence. Only 1.60-2.90 % of the 1000 simulated error-perturbed data series showed bootstrap P values smaller than 0.05 for low to high levels of measurement error. Similarly, of the simulated BIC values from low to high levels of measurement error, only 1.50-3.50 % showed indications of spurious density-dependence [BIC(density-dependence) < BIC(density-independence)]; of the simulated  $AIC_c$ values 2.10-4.30 % [AIC<sub>c</sub>(density-dependence) < AIC<sub>c</sub>(density-independence)].

The residuals from the top models are random, almost uniformly scattered along the axis of fitted values (Fig. 6), and did not significantly deviate from a normal distribution (Shapiro–Wilk tests: W = 0.97, P = 0.58 for Pacific dunlin; W = 0.97, P = 0.54 for Atlantic dunlin).



**Table 5** Model selection table for Pacific dunlin

Models $r_t = \log_e (N_t/N_{t-1}) \sim$	$AIC_c$	$\Delta AIC_c^d$	BIC	ΔΒΙϹ	Percent variation explained <sup>c</sup>
DD <sup>a</sup> + Snowm + Ustm10 + soilM	66.59	0.00	72.80	0.00	67.40
DD + Snowm + Ustm10 + Ustm4.SFB	69.23	2.64	75.44	2.64	64.50
DD + Snowm + Ustm10	69.80	3.21	75.43	2.63	60.40
DD + Snowm + Ustm10 + Prcp	70.97	4.38	77.17	4.37	62.50
DD + Snowm + Ustm10 + NPI	71.04	4.45	77.24	4.44	62.40
DD + Snowm + Ustm10 + soilM4.SFB	71.48	4.89	77.68	4.88	61.90
DD + Snowm	77.44	10.85	82.29	9.49	44.80
DD	82.59	16.00	86.47	13.67	29.40
Snowm + Ustm10 + soilM	83.48	16.89	89.11	16.31	38.40
$DD + Falc^b$	85.05	18.46	89.90	17.10	29.40
Constant	91.10	24.51	93.83	21.03	0.00
Falc	93.18	26.59	97.06	24.26	0.70

The models are structured as the rate of change in dunlin numbers  $r_t = \log_e(N_t/N_{t-1})$  in relation to ( $\sim$ ) one or more variables as specified in the first column

The rest of the variables are as in Table 1

Table 6 Model selection table for Atlantic dunlin

Models $r_t = \log_e (N_t/N_{t-1}) \sim$	AICc	$\Delta AIC_c^d$	BIC	ΔΒΙϹ	Percent variation explained <sup>c</sup>
$DD^a + Ustm10 + Temp12 + SoilM5.GL + DD \times SoilM5.GL + Temp12 \times SoilM5.GL$	67.37	0.00	73.97	0.00	72.90
$DD + Ustm10 + Temp12 + SoilM5.GL + Temp12 \times SoilM5.GL$	70.42	3.05	76.95	2.99	66.70
DD + Ustm10 + Temp12 + SoilM5.GL	72.09	4.73	78.30	4.33	61.10
DD + Ustm10 + SoilM5.GL	74.91	7.54	80.54	6.57	53.30
DD + Ustm10 + Temp12 + SoilM5.GL + SoilM12	75.18	7.81	81.71	7.74	61.10
DD + Ustm10 + Temp12	75.51	8.14	81.14	7.17	52.40
DD + Ustm10	78.90	11.54	83.75	9.78	42.10
DD + Ustm10 + SoilM12	79.15	11.78	84.78	10.81	46.40
Ustm10 + Temp12 + SoilM5.GL	82.19	14.83	87.82	13.86	40.90
DD	84.84	17.47	88.71	14.75	24.10
$DD + Falc^b$	86.05	18.68	90.89	16.93	27.10
Constant	91.10	23.73	93.83	19.86	0.00
Falc	93.34	25.98	97.22	23.25	0.14

The models are structured as the rate of change in dunlin numbers  $r_t = \log_e (N_t/N_{t-1})$  in relation to ( $\sim$ ) one or more variables as specified in the first column

The rest of the variables are as in Table 3



<sup>&</sup>lt;sup>a</sup> DD—density dependence

<sup>&</sup>lt;sup>b</sup> Number of falcon along the Pacific coast from Christmas bird counts (CBC)

<sup>&</sup>lt;sup>c</sup> Coefficient of multiple determination,  $R^2$ 

 $<sup>^{\</sup>text{d}}$  Models are sorted according to  $\Delta \text{AIC}_{c}$ 

<sup>&</sup>lt;sup>a</sup> DD—density dependence

<sup>&</sup>lt;sup>b</sup> Number of falcon along the Pacific coast from Christmas bird counts (CBC)

<sup>&</sup>lt;sup>c</sup> Coefficient of multiple determination,  $R^2$ 

<sup>&</sup>lt;sup>d</sup> Models are sorted according to  $\Delta AIC_c$ 

Table 7 Parameter estimates (±SE) of the top model for Pacific dunlin

Variables	Parameter estimate (±SE)	P value
DD	$-0.55 \pm 0.12$	0.00
Snowm	$-0.24 \pm 0.12$	0.06
Ustm10	$-0.36 \pm 0.12$	0.00
soilM	$-0.28 \pm 0.12$	0.03

Variables are as in Tables 1 and 5

а 300000 CBC data - - - Model output 250000 Number of Pacific dunlin 200000 150000 100000 50000 1985 2005 1975 1995 Year b CBC data - -o - Model output 110000 Number of Atlantic dunlin 90000 70000 50000

Fig. 5 Comparison between CBC survey data and output of the top models for a Pacific dunlin; b Atlantic dunlin during 1979–2010

1995

2005

1985

## **Discussion**

30000

1975

Our 36 year compilation of range-wide winter censuses is one of the first for any shorebird, and among only a very few for any long distance migrant. Our data reveal several previously-unknown features, including strong year-to-year fluctuations of up to 50 % or more around long term means of about 170,000 (Pacific) and 80,000 (Atlantic), which do not run in parallel in the two sub-species. In contrast to repeated assertions in the literature that have assigned North American dunlin populations 'declining' status

Table 8 Parameter estimates (±SE) of the top model for Atlantic dunlin

Variables	Parameter estimate (±SE)	P value
DD	$-0.66 \pm 0.13$	0.00
Ustm10	$-0.30 \pm 0.11$	0.01
Temp12	$0.33 \pm 0.11$	0.01
SoilM5.GL	$0.39 \pm 0.11$	0.00
$DD \times SoilM5.GL$	$-0.30 \pm 0.13$	0.03
Temp12 × SoilM5.GL	$0.21 \pm 0.11$	0.07

Variables are as in Tables 3 and 6

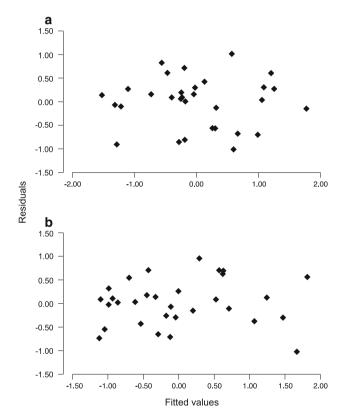


Fig. 6 Residual plots from the top model for  ${\bf a}$  Pacific dunlin and  ${\bf b}$  Atlantic dunlin

(Donaldson et al. 2000; Bart et al. 2007), these data reveal no long-term down- or upward trend in either sub-species. Since our counts cover most of the migratory range, we doubt that these changes generally reflect spurious correlated annual redistribution of wintering birds (e.g., Bart et al. 2007), and consider explanations relating to real population change.

For both Pacific and Atlantic sub-species, the fluctuations in total regional winter counts of dunlin were irregular, and could be accounted for by the combined effects of negative density-dependence and a set of seasonal



environmental conditions during breeding, migration and non-breeding periods. As measured by the proportion of variation explained, density-dependence acted with similar magnitude on both sub-species, as did eastward storm motion during southward migration. The remaining environmental factors differed between the sub-species (snowmelt date on the Pacific, temperature on the Atlantic) or acted in opposite directions (soil moisture). Models incorporating these features outcompeted other models (as assessed by AIC<sub>c</sub>) in their ability to replicate the dynamics of both populations. Finally, in both populations the number of falcons recorded in the same CBC count circles rose steadily throughout the 36-year period, but in neither population did the number of falcons enter any of the explanatory models. Novel among these findings are the apparently strong role of storm motion during migration, the apparent lack of any effect due to predators, and the additive effects of environmental factors from all parts of the annual cycle.

The applicability of tests of density dependence to ecological time series in the presence of measurement error, have recently been debated (Shenk et al. 1998; Freckleton et al. 2006; Kölzsch et al. 2007). Studies suggest that measurement error could contribute to a large amount of bias in the estimation of density dependence (Dennis et al. 2006; Knape 2008; Knape and de Valpine 2012; Hosack et al. 2012). The approach we used to test for density dependence is the bootstrapping method of Dennis and Taper (1994), which has been suggested to be robust against measurement error (Dennis and Taper 1994). By adding random errors to density-independent time series our simulation on effects of measurement error further indicated that measurement error alone could not account for the density-dependence in dunlin counts.

Figure 4 shows that the magnitude of density-dependence (i.e., the rate of change in year t in relation to the population size in year t—the slope of the lines) is almost identical in the two sub-species, though the Pacific subspecies is about twice as numerous as is the Atlantic subspecies. Density-dependence could operate by influencing survival (Goss-Custard et al. 1995) through a variety of mechanisms, including intraspecific competition for food resources (Goss-Custard 1980), habitat heterogeneity (Gill et al. 2001; Sutherland and Norris 2002), interference among individuals at high densities (Fernandez et al. 1998; Newton 1998), or altered mortality risk due to predation danger (Cresswell 2011). Fecundity could also be affected (Török and Tóth 1988; Dhondt et al. 1992). Holmes (1966) claimed that dunlin breeding habitats are limited by snow cover and food availability, so at high population densities poorer quality territories may be used disproportionately, thus reducing breeding success (Sutherland 1996b; Rodenhouse et al. 1997; Balbontín and Ferrer 2008) and shrinking population growth (Soutullo et al. 2006). The data we present here are based on annual counts made at mid-winter, and we thus have no way to evaluate which if any of these potential mechanisms is involved. Measures of survival over parts of the annual cycle would help enormously.

In each sub-species, this basic density-dependence is augmented or attenuated by a set of environmental factors. Storm motion during fall migration appears to be a major factor influencing annual changes in dunlin counts, and accounts for 16.10 and 15.50 % of the variation in the annual abundance of Pacific and Atlantic sub-species, respectively. Faster storm motion may decrease migrant's probabilities of safely completing migration. Storm kills of migrating birds have been recorded for many species (Newton 2006, 2007), including North American songbirds (Butler 2000), the chimney swift (Dionne et al. 2008), ducks (Roth 1976), and warblers (Case et al. 1965). Fall migration of Pacific dunlin is transoceanic (Gill et al. 2013), but this is not true of Atlantic dunlins and so the mortality agent would not seem to involve a long overocean flight. Heavy rain associated with strong storms may wet the plumage, increase wing loading and reduce body heat. These stresses, if coupled with disorientation, could cause migrants be killed by drowning or chilling (Frazar 1881; Saunders 1907; Newton 2007). Flying birds could also be killed by electrocution in lightning storms (Glasrud 1976). Storms or more general conditions during southward migration may also cause indirect mortality, by affecting the condition or slowing migratory progress, increasing the risks of starvation or predation, either during the migration itself or in the subsequent nonbreeding period (Dionne et al. 2008). These potential mechanisms require further investigation.

Snowmelt date, soil moisture, and winter temperature affected Pacific dunlin differently from Atlantic dunlin. Early snowmelt, which was associated with high population growth rates of Pacific dunlin, had no significant effects on Atlantic dunlin. This may be due to the different timing of two populations arriving on the breeding grounds. Pacific dunlin arrive on the breeding ground in late April and early May, whereas the arrival of Atlantic dunlin is later by a month (Warnock and Gill 1996). Snowmelt timing is highly variable in Alaska (e.g., Niehaus and Ydenberg 2006), perhaps more so than in the eastern Arctic. Late snow limits the availability of nest sites and food resources of migrant birds and consequently delays breeding (Young et al. 1995; Babcock et al. 2002; Niehaus and Ydenberg 2006), but early snowmelt years lengthens the breeding period and increases the amount of reproduction for Pacific dunlins (Jamieson 2009). This would seem to explain the influence of snowmelt timing on population change in this sub-species.



Soil moisture affected the two dunlin counts in opposite ways, and at different stages of the annual cycle. Pacific dunlin population growth is negatively affected by overwinter soil moisture and Atlantic dunlin is positively affected by soil moisture during spring migration. Positive effects of soil moisture on shorebird populations have been documented in a number of studies (Green 1988; Beintema et al. 1997; Milsom et al. 2000; Verhulst et al. 2007). High soil moisture, which generally reduces the soil penetration resistance, is known to be beneficial to soil-probing birds such as dunlins by increasing the accessibility to food resources (Green 1988). In contrast, the negative effects of soil moisture on Pacific dunlin growth rate is likely a sampling artefact related to underrepresentation of interior sites in our dataset. In wet years, dunlin move from the coast to interior sites in the Central Valley of California and elsewhere (Warnock et al. 1995), thus the numbers on California coast negatively correlated with levels of fall and winter rainfall. However, a direct effect on survivorship is also possible; studies of winter energetics of wild and captive dunlin with respect to rainfall show changes in activity budgets and fat storage strategies that suggest negative fitness consequences under rainier regimes (Kelly and Weathers 2002; Kelly et al. 2002).

Winter temperature had no effect on Pacific dunlin population growth, but low temperatures suppress the population growth of Atlantic dunlins, presumably because the weather on the northeast coast of US is more severe in winter than on the west coast. The average temperature (1979–2010) in December in New Jersey was around 2 °C, roughly 7 °C lower than on the west coast. Low winter temperature that reduces the availability of food resources could lead to starvation, poor body conditions, and a consequent increased mortality of wintering dunlins (Davidson and Evans 1982; Clark 2004, 2009).

A notable feature of the situation examined here is that falcon numbers rise steadily throughout our 36 year record, increasing about sevenfold in the Pacific and threefold in the Atlantic region (Fig. 2). It is well-documented that peregrines and merlins have increased strongly in North America during the past 30 years, and they have substantial distributional overlap with wintering dunlins (White et al. 2002). Several reports verify that falcons kill significant fractions of the dunlin wintering at certain sites (Page and Whitacre 1975; Dekker et al. 2012). For example, Dekker et al. (2012) estimate that 16 % of the approximately 30,000 dunlin wintering at Boundary Bay, British Columbia, are killed by peregrines in the course of an average winter. However, this systematic increase in avian predator counts (peregrine falcon and merlin) has no detectable effect in our models on the year-to-year changes in dunlin numbers. Though initially surprising, this is consistent with other studies in which changes in predator numbers had little numerical effect on bird populations (Newton 1998; Holt et al. 2008). The lack of effect could arise from any of several mechanisms, including complete avoidance of predators by prey, compensatory predation (Errington 1945), or by predators switching to alternative prey as dunlin become less abundant (Cresswell 2011).

It seems implausible that wintering dunlins would be able to avoid falcons completely. Quite aside from the reports documenting high depredation by falcons of dunlins during winter (see above), the data reported here show that the majority of the CBC count circles we analyzed were occupied by both dunlins and falcons. A slightly more tenable possibility is that falcons switch to feeding on other prey species as dunlins decline in abundance. Peregrines and merlins both feed on a wide range of species, including pigeons and doves, waterfowl, songbirds, and shorebirds (e.g., Castellanos et al. 2006). We have no way to evaluate this hypothesis with our data.

In our view the most likely explanation for the lack of a population effect on dunlins of increased predator abundance is that the mortality due to falcons is compensatory, in that the prey killed by predators during winter would have died due to other agents of mortality (Perrins and Geer 1980; Newton 1998). A variety of mechanisms are possible, but we feel that the evidence currently available is most consistent with the hypothesis that the wintering sites occupied by dunlins are sufficiently crowded such that the removal of dunlins by predators lessens the intensity of competition for the resources necessary to avoid starvation. Predation danger has substantial effects on the behavior of migrating and wintering shorebirds, including selection of less food rich habitats by vulnerable birds (Ydenberg et al. 2002; Taylor et al. 2007; Pomeroy et al. 2008), and shorter lengths of stay at stopover sites (Ydenberg et al. 2004). These effects have intensified as falcon populations have increased (Ydenberg et al. 2004), including a well-documented case for wintering dunlins, who reduced their midwinter fat stores and took up energetically expensive over-ocean flight in place of roosting during high tides (Ydenberg et al. 2010). Apparently, these birds have reduced their investment in defense against starvation (lowered fat reserves) to gain defense against predators, which is entirely consistent with the increasing danger posed by falcons. However, without further information concerning the relationship between population density and demographical rates and the interactions between predators and this relationship, we are unsure exactly how compensatory mortality operates.

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