

# Integrating heterogeneous information via flexible regularization framework for recommendation

Chuan Shi<sup>1</sup> · Jian Liu<sup>1</sup> · Fuzhen Zhuang<sup>2</sup> · Philip S. Yu<sup>3</sup> · Bin Wu<sup>1</sup>

Received: 10 February 2015 / Revised: 3 January 2016 / Accepted: 3 February 2016 /  
Published online: 20 February 2016  
© Springer-Verlag London 2016

**Abstract** Recently, there is a surge of social recommendation, which leverages social relations among users to improve recommendation performance. However, in many applications, social relations are very sparse or absent. Meanwhile, the attribute information of users or items may be rich. It is a big challenge to exploit this attribute information for the improvement of recommendation performance. In this paper, we organize objects and relations in recommender system as a heterogeneous information network and introduce meta-path-based similarity measure to evaluate the similarity of users or items. Furthermore, a matrix factorization-based dual regularization framework SimMF is proposed to flexibly integrate different types of information through adopting users' and items' similarities as regularization on latent factors of users and items. Extensive experiments not only validate the effectiveness of SimMF but also reveal some interesting findings. We find that attribute information of users and items can significantly improve recommendation accuracy, and their contribution seems more important than that of social relations. The experiments also reveal that different regularization models have obviously different impacts on users and items.

**Keywords** Recommender system · Heterogeneous information network · Matrix factorization · Similarity measure

---

✉ Fuzhen Zhuang  
zhuangfz@ics.ict.ac.cn

Chuan Shi  
shichuan@bupt.edu.cn

- <sup>1</sup> Beijing Key Lab of Intelligent Telecommunications Software and Multimedia, Beijing University of Posts and Telecommunications, Beijing, China
- <sup>2</sup> The Key Lab of Intelligent Information Processing of Chinese Academy of Sciences, Institute of Computing Technology, Beijing, China
- <sup>3</sup> University of Illinois at Chicago, Chicago, IL, USA

# 1 Introduction

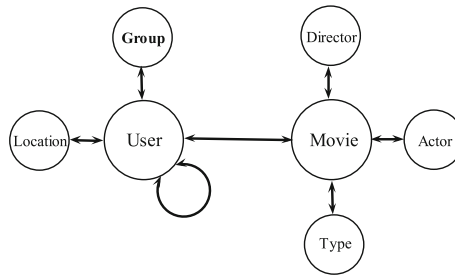
In order to tackle information overload problem, recommender systems are proposed to help users to find objects of interest through utilizing the user–item interaction information and/or content information associated with users and items. Recommender systems have attracted much attention from multiple disciplines, and many techniques have been proposed to build recommender systems. Thereinto, hybrid recommendation [1] is widely studied, which can achieve better recommendation performance in certain scenarios through combining user feedback data (e.g., ratings) and additional information of users or items. Particularly, with increasing popularity of social media, there is a surge of social recommendation techniques [6, 15], which leverage rich social relations among users, such as friendships in Facebook, following relations in Twitter.

However, the emerging social recommendation usually faces the problem of relation sparsity. On the one hand, dense social relations can improve the recommendation performance. However, social relations are very sparse or absent in many real applications. For example, there are no social relations in Amazon, and 80% users in Yelp have less than 3 following relations. On the other hand, users and items in many applications have rich attribute information, which are seldom exploited. This information may be very useful to reveal users' tastes and items' properties. For example, the group attribute of users can reflect their interests, and the type attribute of movies can reveal the content of movies. So it is desirable to effectively integrate all kinds of information for better recommendation performance, including not only feedback and social relations but also attributes of users and items. Some works have began to explore this issue [7, 26, 28], while they did not focus on revealing the importance of these attributes and their effects on recommendation accuracy.

Although integrating more information is promising to achieve better recommendation performance, how to integrate this information still faces two challenges. (1) The information to be integrated has different types. These mixed information types include integer (i.e., rating information), vector (i.e., attribute information), and graph (i.e., social relations). We need to design a unified model to effectively integrate these different types of information. (2) A unified and flexible method is desirable to integrate all or some of this information. In order to intensively study the impacts of different information, the designed method should flexibly integrate different granularities of information and uniformly utilize different types of information.

In this paper, we organize objects and relations in recommender system as a heterogeneous information network which contains different types of nodes or links. Figure 1 shows such an example representing the objects and their relations in a movie recommender system (detailed in Sect. 3). Intuitively, this network can effectively integrate different types of heterogeneous information including not only feedback (i.e., user–movie) and social relations (i.e., user–user) but also attribute information of users (e.g., user–group) and items (e.g., movie–type). Moreover, meta-path, a relation composition connecting two types of objects, contains rich semantic information [21]. For example, the meta-path “User–Movie–User” connecting users means users watching the same movies.

In order to utilize this heterogeneous information, we introduce meta-path-based similarity measure to evaluate the similarity of users and items. Based on matrix factorization, a dual regularization framework SimMF is proposed to integrate heterogeneous information through adopting similarity information of users and items as regularization on latent factors of users and items. Moreover, in SimMF, two different regularization models, average- and individual-based regularization, can flexibly confine regularization on users or items. Exten-



**Fig. 1** Objects and relations in movie recommender system are organized as a heterogeneous information network

sive experiments on four real datasets (i.e., Douban Movie, Yelp, MovieLens, and Douban Book) validate the effectiveness of SimMF and reveal some interesting and useful findings. The major contributions of this paper are summarized as follows:

1. We proposed a unified and flexible matrix factorization-based dual regularization framework to integrate heterogeneous information. The framework can flexibly and granularly integrate different types of information. In addition, it provides two optional regularization models on users and items.
2. We crawled comprehensive Douban Movie and Douban Book datasets including feedback, social relations, and attribute information of users and items. More importantly, extensive experiments reveal some interesting and useful findings. On these experimental datasets, the attribute information of items and users can significantly enhance recommendation performance. Their improvements are even higher than that of social relations. In addition, the similarity information generated by meta-paths with dense relations and meaningful semantics usually obtain better performance. These findings indicate that, although social recommendation is an important direction, utilizing attribute information can also be a promising way to further improve recommendation performance.
3. Another important finding is that different regularization models on users and items have obvious effects on recommendation performance. Ma et al. [14] have studied the effect of different regularization models on social relations, and we further discuss the effect on similarity relations of users and items. This finding illustrates that it is helpful to set proper regularization model according to data property in real applications.

The remainder of this paper is organized as follows. Section 2 introduces the related work. Section 3 presents some preliminary knowledge, then the proposed SimMF model is detailed in Sect. 4. Experiments and analysis are shown in Sect. 5. Finally, we conclude the paper in Sect. 6.

## 2 Related work

According to the utilized information for recommendation, we can roughly classify contemporary recommendation methods into three types: feedback-based, social relation-based, and heterogeneous information-based methods.

Traditional recommender systems normally only utilize user–item rating feedback information for recommendation. Collaborative filtering is one of the most popular techniques, which includes two types of approaches: memory-based method and model-based method.

Recently, matrix factorization has shown its effectiveness and efficiency in recommender systems, which factorizes user–item rating matrix into two low-rank user-specific and item-specific matrices, then utilizes the factorized matrices to make further predictions [20].

With the prevalence of social media, more and more research study social recommender systems which utilize social relations among users. Many researchers utilized trust information among users. Ma et al. [12] fused user–item matrix with users' social trust networks by sharing a common latent low-dimensional user feature matrix. Furthermore, the authors in [13] coined with the social trust ensemble to represent the formulation of the social trust restrictions. Meanwhile, friendship relation among users is also exploited. In [14], the additional social regularization term ensures that the distance of latent feature vectors of two friends with similar tastes to be closer. Yang et al. [24] inferred category-specific social trust circles from available rating data combined with friend relations. Recently, many studies have begun to utilize other types of information. For example, Cantador et al. [4] made use of user and item profiles defined in terms of weighted lists of social tags for top N recommendation. Furthermore, they presented a comparative study on the influence that different types of information available in social systems have on item recommendation [2].

Research on heterogeneous information network, in which objects are of different types and links among objects represent different relations, has surged over the years. More and more researchers have been aware of the importance of heterogeneous information for recommendation. Jones et al. [8] validated the importance of the exploitation on available heterogeneous data sources and proposed a Bayesian approach called LaD-BAE to capture both feature heterogeneity and predictive heterogeneity. Zhang et al. [29] investigated the problem of recommendation over heterogeneous network and formalized the recommendation as a ranking problem then proposed a random walk model to estimate the importance of each object in the heterogeneous network. Considering heterogeneous network constructed by different interactions of users, Jamali and Lakshmanan [7] proposed HETEROMF to integrate a general latent factor and context-dependent latent factors. Wang et al. [5] proposed the OptRank method to alleviate the cold start problem by utilizing heterogeneous information contained in social tagging system. Yu et al. [26,28] proposed an implicit feedback recommendation model with systematically extracted latent features from heterogeneous network. Furthermore, they utilized users' clicked URLs to build a Freebase entity graph, which is a heterogeneous information network [27]. More recently, Luo et al. [11] proposed a collaborative filtering-based social recommendation method, called Hete-CF, using heterogeneous relations, and Burke et al. [3] incorporated multiple relations generated by meta-paths in a weighted hybrid model. Vahedian [23] designed the WHYLDR approach for multiple recommendation tasks, which combines heterogeneous information with a linear-weighted hybrid model. In addition, due to massive amounts of fashion items available online, Han-bit et al. [10] extracted meta-paths from heterogeneous information network and designed a meta-path-based method for fashion items recommendation. Shi et al. [19] proposed the concept of weighted heterogeneous information network and designed a meta-path-based recommendation model called SemRec.

The proposed SimMF belongs to heterogeneous information-based methods. Compared to feedback-based and social relation-based methods, SimMF can flexibly integrate various heterogeneous information. And SimMF is also different from existing heterogeneous information-based models in several aspects. Contemporary methods usually consider one or two types of heterogeneous information. For example, HETEROMF focuses on different interactions of users. The method proposed by Yu et al. only considers attributes of items [25], and it is an item recommendation model [26,28]. SimMF considers all kinds of information and flexibly integrates them together. Moreover, we intensively investigate the impact of

this heterogeneous information which is seldom explored before. WHyLDR considers heterogeneous information as SimMF does. However, while WHyLDR focuses on component selection and component combination and is for item recommendation rather than rating prediction. The method proposed in [10] and SemRec [19] are both meta-path-based model, while SimMF should be considered as a matrix factorization-based model. The proposed work is similar to Hete-CF, but Hete-CF only applies one type of matrix factorization constraint called individual regularization on users and items, and SimMF considers two types of regularization and exploits their different impacts on recommendation performance.

### 3 Preliminary

In this section, we describe the notations used in this paper and present some preliminary knowledge.

A heterogeneous information network (HIN) is a special type of information network with underneath data structure as a directed graph, which contains either multiple types of objects or multiple types of links. Specifically, given a schema  $S = (\mathcal{A}, \mathcal{R})$  which consists of a set of entity types  $\mathcal{A} = \{A\}$  and a set of relations  $\mathcal{R} = \{R\}$ , an information network is defined as a directed graph  $G = (V, E)$  with an object type mapping function  $\varphi: V \rightarrow \mathcal{A}$  and a link type mapping function  $\psi: E \rightarrow \mathcal{R}$ . If types of objects  $|\mathcal{A}| > 1$  or types of relations  $|\mathcal{R}| > 1$ , the network is called heterogeneous information network; otherwise, it is a homogeneous information network.

Figure 1 shows the network schema of a typical heterogeneous network which organizes objects and relations in movie recommender system. The heterogeneous network contains objects from multiple types of entities: user (U), movie (M), group (G), location (L), actor (A), director (D), and type (T). For each user, it has links to a set of other users as his (her) friends, a set of affiliated groups, and a set of rated movies. Links exist between user and user denoting the friendship relation, between user and group denoting the membership relation, between user and movie denoting rating and rated relation. It is similar for movie. We can find that above HIN includes different types of information, such as feedback (i.e., user–movie), social relations (i.e., user–user), and attributes (e.g., user–group, movie–actor).

Two objects in a heterogeneous network can be connected via different paths, which can be called meta-path [21]. A meta-path  $\mathcal{P}$  is a path defined on a schema  $S = (\mathcal{A}, \mathcal{R})$ , and is denoted in the form of  $A_1 \xrightarrow{R_1} A_2 \xrightarrow{R_2} \dots \xrightarrow{R_l} A_{l+1}$  (abbreviated as  $A_1 A_2 \dots A_{l+1}$ ), which defines a composite relation  $R = R_1 \circ R_2 \circ \dots \circ R_l$  between type  $A_1$  and  $A_{l+1}$ , where  $\circ$  denotes the composition operator on relations. As an example shown in Fig. 1, users can be connected via “User–User” (UU) path, “User–Group–User” (UGU) path, “User–Movie–User” (UMU), and so on. It is obvious that semantics underneath these paths are different. The UU path means users’ friends (i.e., friend relation among users), while the UMU path means users watching the same movies. Since different meta-paths have different semantics, objects connecting by different meta-paths have different similarity. So we can evaluate the similarity of users (or movies) based on different meta-paths. For example, for users, we can consider meta-paths UU, UGU, UMU, and so on. Similarly, meaningful meta-paths connecting movies include MAM, MDM, and so on.

There are several path-based similarity measures to evaluate the similarity of objects in HIN [9, 17, 21]. Considering semantics in meta-paths, Sun et al. [21] proposed PathSim to measure the similarity of same-type objects based on symmetric paths. Lao and Cohen [9] proposed a path-constrained random walk (PCRW) model to measure the entity proximity

in a labeled directed graph constructed by the rich meta-data of the scientific literature. The HeteSim [17] can measure the relatedness of heterogeneous objects based on an arbitrary meta-path. All these similarity measures can be used in the similarity calculation, and their differences can be seen in reference [17].

We define  $S_{ij}^{(l)}$  to denote the similarity of two objects  $u_i$  and  $u_j$  under the given meta-path  $\mathcal{P}_l$ . The similarity ( $S$ ) is determined by the given meta-path ( $\mathcal{P}$ ) and the similarity measure ( $\mathcal{M}$ ). That is  $S = \mathcal{P} \times \mathcal{M}$ . We know that the similarity of different paths are different, and they are incomparable. So we normalize them with *Sigmoid* function as shown in Eq. 1, where  $\bar{S}^{(l)}$  means the average of  $S_{ij}^{(l)}$  and  $\beta$  is set to 1. The normalization process has the following two advantages. (1) It confines the similarity into  $[0, 1]$  without changing their ranking. (2) It can reduce the similarity difference of different paths. In the following section, we directly use the  $S_{ij}^{(l)}$  to represent the normalized similarity:

$$S_{ij}^{(l)'} = \frac{1}{1 + e^{-\beta \times (S_{ij}^{(l)} - \bar{S}^{(l)})}} \tag{1}$$

Since users (or items) have different similarity under different meta-paths, we consider their similarity on all paths through assigning weights on different paths. For users, we define  $S^U$  for the similarity matrix of users on all paths, and  $S^I$  for the similarity matrix of items on all paths. They can be defined as follows, where  $w_l^U$  represents the weight of similarity matrix of users under the path  $\mathcal{P}_l$  and  $w_l^I$  represents that of items,

$$\begin{aligned} S^U &= \sum_l w_l^U S^{(l)} \quad \sum_l w_l^U = 1; 0 \leq w_l^U \leq 1, \\ S^I &= \sum_l w_l^I S^{(l)} \quad \sum_l w_l^I = 1; 0 \leq w_l^I \leq 1. \end{aligned} \tag{2}$$

### 4 The SimMF method

In this section, we will introduce the SimMF method, which utilizes matrix factorization framework to incorporate similarity information. We firstly review the basic low-rank matrix factorization framework and then introduce the improved model through constraining similarity regularization on users and items, respectively. Finally, we show the unified model through applying similarity regularization on users and items simultaneously.

#### 4.1 Low-rank matrix factorization

The low-rank matrix factorization has been widely studied in recommender system [20]. Its basic idea is to factorize the user-item rating matrix  $R$  into two matrices ( $U$  and  $V$ ) representing users' and items' distributions on latent semantic, respectively. Then, the rating prediction can be made through these two specific matrices. Assuming an  $m \times n$  rating matrix  $R$  to be  $m$  users' ratings on  $n$  items, this approach mainly minimizes the objective function  $\mathcal{L}(R, U, V)$  as follows:

$$\begin{aligned} \min_{U, V} \mathcal{L}(R, U, V) &= \frac{1}{2} \sum_{i=1}^m \sum_{j=1}^n I_{ij} (R_{ij} - U_i V_j^T)^2 \\ &\quad + \frac{\lambda_1}{2} \|U\|^2 + \frac{\lambda_2}{2} \|V\|^2, \end{aligned} \tag{3}$$

where  $I_{ij}$  is the indicator function that is equal to 1 if user  $i$  rates item  $j$  and equal to 0 otherwise.  $U \in \mathbb{R}^{m \times d}$  and  $V \in \mathbb{R}^{n \times d}$ , where  $d$  is the dimension of latent factors and

$d \ll \min(m, n)$ .  $U_i$  is a row vector derived from the  $i$ th row of matrix  $U$  and  $V_j$  is a row vector derived from the  $j$ th row of matrix  $V$ .  $\lambda_1$  and  $\lambda_2$  represent the regularization parameters. In summary, the optimization problem minimizes the sum-of-squared-errors objective function with quadratic regularization terms which aim to avoid overfitting. This problem can be effectively solved by a simple stochastic gradient descent technique.

### 4.2 Similarity regularization on users

As mentioned above, the user-specific factorized matrix describes users’ distribution over latent semantic. In this section, we will introduce two different types of similarity regularization (i.e., average-based and individual-based regularization) on users to force the distance between  $U_p$  and  $U_q$  to be much smaller if user  $p$  is highly similar to user  $q$ .

#### 4.2.1 Average-based regularization

Intuitively, we have similar behavior model with people who are similar with us. That is, the latent factor of a user is similar to the latent factor of people who are the most similar to the user. Based on this assumption, we add user’s similarity regularization to the basic low-rank matrix factorization framework.

$$\begin{aligned} \min_{U, V} \mathcal{L}(R, U, V) &= \frac{1}{2} \sum_{i=1}^m \sum_{j=1}^n I_{ij} (R_{ij} - U_i V_j^T)^2 \\ &+ \frac{\alpha}{2} \sum_{i=1}^m \left\| U_i - \frac{\sum_{f \in \mathcal{T}_u^+(i)} S_{if}^{\mathcal{U}} U_f}{\sum_{f \in \mathcal{T}_u^+(i)} S_{if}^{\mathcal{U}}} \right\|^2 \\ &+ \frac{\lambda_1}{2} \|U\|^2 + \frac{\lambda_2}{2} \|V\|^2 \end{aligned} \tag{4}$$

where  $\mathcal{T}_u^+(i)$  is the set of users who are in the top  $k$  similarity list of user  $i$  and  $S_{if}^{\mathcal{U}}$  is the element located on the  $i$ th row and the  $f$ th column of user similarity matrix  $S^{\mathcal{U}}$ . The average-based regularization confines that the latent factor of a user is close to the average of the latent factor of the top  $k$  similar people to the user. The analogous regularization has been used in social recommendation [14], while it just enforces constraints on friends of users. Here the average-based regularization not only extends to the top  $k$  similarity list of users but also considers the similarity values as the weights. The parameter  $k$  can be set to trade off accuracy and computation cost. Large  $k$  usually means high accuracy but low efficiency. In our experiments,  $k$  is set to 5 % of the vector dimension. A local minimum of the objective function given by Eq. 4 can be solved by performing gradient descent in feature vectors  $U_i$  and  $V_j$ , which is shown in Eqs. 5 and 6. Here  $\mathcal{T}_u^-(i)$  represents the set of users whose top  $k$  similarity list contains user  $i$ .

$$\begin{aligned} \frac{\partial \mathcal{L}}{\partial U_i} &= \sum_{j=1}^n I_{ij} (U_i V_j^T - R_{ij}) V_j + \alpha \left( U_i - \frac{\sum_{f \in \mathcal{T}_u^+(i)} (S_{if}^{\mathcal{U}} U_f)}{\sum_{f \in \mathcal{T}_u^+(i)} S_{if}^{\mathcal{U}}} \right) \\ &+ \alpha \sum_{g \in \mathcal{T}_u^-(i)} \frac{-S_{ig}^{\mathcal{U}} \left( U_g - \frac{\sum_{f \in \mathcal{T}_u^+(g)} (S_{gf}^{\mathcal{U}} U_f)}{\sum_{f \in \mathcal{T}_u^+(g)} S_{gf}^{\mathcal{U}}} \right)}{\sum_{f \in \mathcal{T}_u^+(g)} S_{gf}^{\mathcal{U}}} + \lambda_1 U_i, \end{aligned} \tag{5}$$

$$\frac{\partial \mathcal{L}}{\partial V_j} = \sum_{i=1}^m I_{ij} (U_i V_j^T - R_{ij}) U_i + \lambda_2 V_j. \tag{6}$$

### 4.2.2 Individual-based regularization

The above average-based regularization constrains user’s taste with the average taste of people who are the most similar users. However, it may be ineffective for users whose similar users have diverse tastes. In order to avoid this disadvantage, we employ individual-based regularization on users as follows:

$$\begin{aligned} \min_{U, V} \mathcal{L}(R, U, V) &= \frac{1}{2} \sum_{i=1}^m \sum_{j=1}^n I_{ij} (R_{ij} - U_i V_j^T)^2 \\ &+ \frac{\alpha}{2} \sum_{i=1}^m \sum_{j=1}^m S_{ij}^{\mathcal{U}} \|U_i - U_j\|^2 \\ &+ \frac{\lambda_1}{2} \|U\|^2 + \frac{\lambda_2}{2} \|V\|^2. \end{aligned} \tag{7}$$

In essential, the individual-based regularization enforces a large  $S_{ij}^{\mathcal{U}}$  to have a small distance between  $U_i$  and  $U_j$ . That is, similar users have smaller distance on latent factors. With the same optimization technique, a local minimum of Eq. 7 can also be found by performing gradient descent in  $U_i$  and  $V_j$ .

$$\begin{aligned} \frac{\partial \mathcal{L}}{\partial U_i} &= \sum_{j=1}^n I_{ij} (U_i V_j^T - R_{ij}) V_j \\ &+ \alpha \sum_{j=1}^m (S_{ij}^{\mathcal{U}} + S_{ji}^{\mathcal{U}}) (U_i - U_j) + \lambda_1 U_i, \end{aligned} \tag{8}$$

$$\frac{\partial \mathcal{L}}{\partial V_j} = \sum_{i=1}^m I_{ij} (U_i V_j^T - R_{ij}) U_i + \lambda_2 V_j. \tag{9}$$

### 4.3 Similarity regularization on items

For simplicity, we define the notation  $Reg_y^x$  to represent the average-based or individual-based regularization term on users or items, where  $x \in \{\mathcal{U}, \mathcal{I}\}$  means  $\mathcal{U}$ Users or  $\mathcal{I}$ Items and  $y \in \{ave, ind\}$  means *average* or *individual*-based regularization. That is, for similarity regularization on users, we have

$$Reg_{ave}^{\mathcal{U}} = \sum_{i=1}^m \left\| U_i - \frac{\sum_{f \in \mathcal{T}_u^+(i)} S_{if}^{\mathcal{U}} U_f}{\sum_{f \in \mathcal{T}_u^+(i)} S_{if}^{\mathcal{U}}} \right\|^2, \tag{10}$$

$$Reg_{ind}^{\mathcal{U}} = \sum_{i=1}^m \sum_{j=1}^m S_{ij}^{\mathcal{U}} \|U_i - U_j\|^2. \tag{11}$$

Similar to the regularization on users, we can also define these two different types of regularization on items as follows.

$$Reg_{ave}^{\mathcal{I}} = \sum_{j=1}^n \left\| V_j - \frac{\sum_{f \in \mathcal{T}_i^+(j)} S_{jf}^{\mathcal{I}} V_f}{\sum_{f \in \mathcal{T}_i^+(j)} S_{jf}^{\mathcal{I}}} \right\|^2, \tag{12}$$

$$Reg_{ind}^{\mathcal{I}} = \sum_{i=1}^n \sum_{j=1}^n S_{ij}^{\mathcal{I}} \|V_i - V_j\|^2. \tag{13}$$



where  $\mathcal{T}_i^+(j)$  is the set of items who are in the top  $k$  similarity list of item  $j$ , and  $S_{jf}^{\mathcal{I}}$  is the element located on the  $j$ th row and the  $f$ th column of similarity matrix  $S^{\mathcal{I}}$ . We can also define the optimization function based on these two regularization terms on items and derive their gradient learning algorithms as above.

### 4.4 A unified dual regularization

Now we consider regularization on users and items simultaneously. The corresponding optimization function is shown as follows:

$$\begin{aligned} \min_{U,V} \mathcal{L}(R, U, V) = & \frac{1}{2} \sum_{i=1}^m \sum_{j=1}^n I_{ij} (R_{ij} - U_i V_j^T)^2 \\ & + \frac{\alpha}{2} \text{Reg}_y^{\mathcal{U}} + \frac{\beta}{2} \text{Reg}_y^{\mathcal{I}} \\ & + \frac{\lambda_1}{2} \|U\|^2 + \frac{\lambda_2}{2} \|V\|^2, \end{aligned} \tag{14}$$

where  $\alpha$  and  $\beta$  control the effect of user and item regularization, respectively. For  $y \in \{ave, ind\}$ , there are four regularization models. Similarly, we can use the gradient descent method to solve this optimization problem. The whole algorithm framework is shown in Algorithm 1.

---

#### Algorithm 1 Algorithm Framework of SimMF

---

**Input:**

- $G$ : heterogeneous information network
- $\mathcal{P}_U, \mathcal{P}_I$ : sets of meta-paths related to users and items
- $\eta$ : learning rate for gradient descent
- $\alpha, \beta, \lambda_1, \lambda_2$ : controlling parameters defined above
- $\epsilon$ : convergence tolerance

**Output:**

- $U, V$ : the latent factor of users and items

- 1: Calculate similarity matrix of user  $S^{\mathcal{U}}$  based on  $\mathcal{P}_U, G$
  - 2: Calculate similarity matrix of item  $S^{\mathcal{I}}$  based on  $\mathcal{P}_I, G$
  - 3: Initialize  $U, V$
  - 4: **repeat**
  - 5:  $U_{old} := U, V_{old} := V$
  - 6: Calculate  $\frac{\partial \mathcal{L}}{\partial U}, \frac{\partial \mathcal{L}}{\partial V}$
  - 7: Update  $U := U - \eta * \frac{\partial \mathcal{L}}{\partial U}$
  - 8: Update  $V := V - \eta * \frac{\partial \mathcal{L}}{\partial V}$
  - 9: **until**  $\|U - U_{old}\|^2 + \|V - V_{old}\|^2 < \epsilon$
- 

### 4.5 Discussion

Through employing dual regularization on users and items, SimMF is a general and flexible framework for matrix factorization-based recommendation, which can integrate rating, social relations, and attribute information of users and items. The  $\alpha$  and  $\beta$  control how much SimMF integrates information from social relations and attribute of users and items, and  $S^{\mathcal{U}}$  and  $S^{\mathcal{I}}$  decide what kind of similarity information will be used. If both  $\alpha$  and  $\beta$  are set with 0,

SimMF degrades to traditional collaborative filtering with matrix factorization [20]. When the  $\alpha$  is 0, SimMF can integrate the attributes of items, which is recently considered by Yu et al. [26, 28]. When  $\beta$  is 0, SimMF can fuse the social relations, like social recommendation [12], as well as the attributes information of users. Particularly, social relations in social recommendations can be presented through setting the similarity matrix of users  $S^U$  with the similarity generated by special meta-paths. For example, in Douban Movie dataset, the friend relation can be represented by the meta-path UU, and the membership can be represented by the meta-path UGU. In this condition, SimMF converts to the social recommendation [12, 13] indeed. In addition, SimMF considers two regularization models (i.e., individual- and average-based regularization) to integrate similarity information. We can find that these two regularization models have different impacts on users and items in the following experiments.

Let's give more discussion on the similarity matrix of users ( $S^U$ ) and items ( $S^I$ ). As we know,  $S^U$  and  $S^I$  are the similarity matrix of users and items on multiple meta-paths, respectively. There are two notable problems. (1) How to select the meta-paths for users or items? We know that there are infinite meta-paths connecting users or items. As illustrated in the following experiments, the short and meaningful meta-paths are helpful to achieve better recommendation performance through generating good similarity measures. Sun et al. [21] pointed out that the semantics of long meta-paths are not meaningful and they fail to produce good similarity measures. Some priori knowledge can also be applied to the selection of meta-paths, such as domain knowledge and user-guided information [22]. (2) How to combine the multiple meta-paths? We can set proper weights for meta-paths according to their importances. Supervised weight learning can also be designed to automatically determine the weight of meta-paths, as Yu et al. [28] and Lao et al. [9] did. In this paper, we simply set the weight with the equal value, since the mean weight is sufficient to show the benefits of SimMF.

According to Algorithm 1, the complexity of SimMF can be analyzed as follows. SimMF contains two main parts: (1) similarity evaluation (Lines 1–2). It can be completed offline, and many strategies [17] can speed it up; (2) parameters learning (Lines 4–9). The main computation of the parameters learning is to calculate the gradients. The complexity of calculating gradients need to consider two conditions: average-based and individual-based regularizations. Assume that  $|R|$  is the number of nonzero entries in rating matrix  $R$ . In terms of user-related gradient,  $|\mathcal{T}_u^-(i)|$  and  $|\mathcal{T}_i^-(j)|$  can be usually estimated by a small constant  $c$  and  $c \ll m, c \ll n$ . Thus, the complexity for average-based regularization  $\frac{\partial \mathcal{L}}{\partial U}$  is  $O((m \times k \times c + |R|) \times d)$  and the complexity for individual-based regularization  $\frac{\partial \mathcal{L}}{\partial U}$  is  $O((m \times k + |R|) \times d)$ . Similarly, the complexity for average-based regularization  $\frac{\partial \mathcal{L}}{\partial V}$  is  $O((n \times k \times c + |R|) \times d)$  and the complexity for individual-based regularization  $\frac{\partial \mathcal{L}}{\partial V}$  is  $O((n \times k + |R|) \times d)$ . In summary, the whole complexity of parameters learning is  $O((m + n) \times k \times c + |R|) \times d \times t$  where  $t$  is the number of iterations.

## 5 Experiments

In this section, we will verify the superiority of our model by conducting a series of experiments compared to the state-of-the-art recommendation methods.

## 5.1 Datasets

Although there are many public datasets for recommendation, they focus on the rating information and social relations [12, 14, 15]. Yu et al. [26, 28] considered the attribute information of items, while they ignore the attribute information of users. In order to get more comprehensive heterogeneous information, including rating information, attribute information of users and items, and social relations, we prepared four different datasets from three various domains.

Douban Movie<sup>1</sup> and MovieLens<sup>2</sup> [25] are from the movie domain. Douban is a well-known social media network in China. Douban Movie dataset includes 13,616 users and 34,453 movies with 1,301,072 movie ratings ranging from 1 to 5. Moreover, we also extract social relations among users and attribute information of users (e.g., groups and locations) and movies (e.g., actors, directors, and types). The network schema of Douban Movie is shown in Fig. 1. MovieLens dataset contains rating information of users on movies and attributes information of user (e.g., age range and occupations). Stemming from the business domain, the widely used Yelp challenge dataset<sup>3</sup> [26, 28] records users' ratings on local business and also contains social relations and attribute information of business (e.g., cities and categories). Belonging to the book domain, the Douban Book<sup>4</sup> includes 13,024 users, 22,347 books, and 792,026 rating records between users and books. The detailed description can be seen in Table 1. Besides different domains, we can find that these four datasets have different characteristics. MovieLens dataset has dense rating information but with no social relation, and Douban Movie dataset has medium dense rating information with sparse social relations. In addition, Douban Book dataset has medium dense rating information with dense social relations, and Yelp dataset has sparse rating information with dense social relations.

## 5.2 Metrics

We use mean absolute error (MAE) and root mean square error (RMSE) to evaluate the performance of different methods. The metric MAE is defined as:

$$MAE = \frac{1}{T} \sum_{i,j} |R_{ij} - \hat{R}_{ij}|, \quad (15)$$

where  $R_{ij}$  is the rating user  $i$  gives to item  $j$  and  $\hat{R}_{ij}$  denotes the rating user  $i$  gives to item  $j$  as predicted by a method. Particularly,  $\hat{R}_{ij}$  can be calculated by  $U_i V_j^T$  in our model. Moreover,  $T$  is the number of tested ratings. The metric RMSE is defined as:

$$RMSE = \sqrt{\frac{1}{T} \sum_{i,j} (R_{ij} - \hat{R}_{ij})^2}. \quad (16)$$

From the definitions, we can see that smaller value of MAE or RMSE means better performance.

<sup>1</sup> <http://movie.douban.com/>.

<sup>2</sup> <http://grouplens.org/datasets/movielens/>.

<sup>3</sup> [http://www.yelp.com/dataset\\_challenge/](http://www.yelp.com/dataset_challenge/).

<sup>4</sup> <http://book.douban.com/>.

**Table 1** Statistics of datasets

Datasets	Relation type	Relations (A-B)	Number of A	Number of B	Number of relations	Min./max./ave. degrees of A	Min./max./ave. degrees of B
Douban Movie	Rating	User-movie	13616	34,453	1,301,072	1/818/95.6	1/3697/37.8
	Social relation	User-user	3198	3198	3129	1/49/2.0	1/49/2.0
	Attribute of users	User-group	13,582	2796	579,555	1/499/42.7	50/12892/207.3
	Attribute of movies	User-location	11,463	354	11,463	1/1/1	2/1690/32.4
Yelp		Movie-director	7916	964	8654	1/32/1.1	5/62/9.0
		Movie-actor	15488	3330	37,539	1/4/2.4	4/101/11.3
	Rating	Movie-type	29,250	45	59,990	1/3/2.1	1/14303/1333.1
	Social relation	User-business	14,085	14,037	194,255	1/639/4.6	1/1026/20.7
	Attribute of business	User-user	9581	9581	150,532	1/2032/10.0	1/2032/10.0
		Business-category	14,037	575	39,406	1/10/2.8	1/5556/73.9
MovieLens	Rating	Business-location	14,037	62	14,037	1/1/1.0	1/5493/236.1
	Attribute of users	User-movie	6040	3952	180,037	1/394/29.8	1/640/52.0
		User-gender	6040	2	6040	1/1/1.0	1709/4331/3020.0
		User-age	6040	7	6040	1/1/1.0	222/2096/862.8
		User-occupation	6040	21	6040	1/1/1.0	177/59/287.6
	Attribute of movies	Movie-type	3952	18	6408	1/6/1.6	44/1603/356.0
Douban Book	Rating	User-book	13,024	22,347	792,026	1/2551/60.81	6/2679/35.4
	Social relation	User-user	12,748	12,748	169,150	1/1998/13.3	1/1998/13.3
	Attribute of users	User-group	13,024	2936	1,189,271	1/629/91.3	100/13022/405.1
	Attribute of books	User-location	10,592	453	10,592	1/1/1.0	1/2480/23.4
		Book-author	21,907	10,805	21,907	1/1/1.0	1/199/2.0
		Book-publisher	21,773	1815	21,773	1/1/1.0	1/981/11.9
	Book-year	21,192	64	21,192	1/1/1.0	1/2039/331.1	

### 5.3 Compared methods

In this section, we compare SimMF with six representative methods. There are different variations for SimMF. We use SimMF-U( $y$ )I( $y$ ) to represent SimMF with regularization on users and items, where  $y \in \{a, i\}$  and it represents the average- or individual-based regularization. Similarly, SimMF-U( $y$ ) (SimMF-I( $y$ )) means SimMF with regularization only on users (items). There are six baseline methods, including four types. There are two basic methods (i.e., UserMean and ItemMean), a collaborative filtering with low-rank matrix factorization (i.e., PMF), a social recommendation method (i.e., SoMF), and two HIN-based methods (i.e., Hete-MF and Hete-CF). These baselines are summarized as follows.

- UserMean. This method uses the mean value of every user to predict the missing values.
- ItemMean. This method utilizes the mean value of every item to predict the missing values.
- PMF. This method is a typical matrix factorization method proposed by Salakhutdinov and Minh [16]. And in fact, it is equivalent to basic low-rank matrix factorization in Sect. 4.1.
- SoMF. This is the matrix factorization-based recommendation method with social average-based regularization proposed by Ma et al. [14].
- Hete-MF. This is the matrix factorization-based recommendation framework combining user ratings and various entity similarity matrices proposed by Yu et al. [25].
- Hete-CF. This is the social collaborative filtering algorithm using heterogeneous relations [11].

We employ HeteSim [17] to evaluate the similarity of objects. For the Douban Movie dataset, we use 7 meaningful meta-paths for user whose length is smaller than 4 (i.e., UU, UGU, ULU, UMU, UMDMU, UMTMU, and UMAMU) and 5 meaningful meta-paths for movie whose length is smaller than 3 (i.e., MTM, MDM, MAM, MUM, and MUUM). For the Yelp dataset, we use 4 meta-paths for user (i.e., UU, UBU, UBCBU, and UBLBU) and 4 meta-paths for business (i.e., BUB, BCB, BLB, and BUUB). Similarly, we utilize 5 meta-paths for user (i.e., UGU, UAU, UOU, UMU, and UMTMU) and 2 meta-paths for movie (i.e., MTM and MUM) for the MovieLens dataset. And for the Douban Book dataset, we utilize 7 meta-paths for user (i.e., UU, UGU, ULU, UBU, UBABU, UBPBU, and UBYBU) and 5 meta-paths for book (i.e., BAB, BPB, BYB, BUB, and BUUB). These similarity data are fairly used for Hete-CF and SimMF. Hete-MF uses similarity data of users, since the model only considers the similarity relationships between items.

### 5.4 Effectiveness experiments

This section will validate the effectiveness of SimMF through comparing its different variations to baselines. Here we run four versions of SimMF-U( $y$ )I( $y$ ) ( $y \in \{a, i\}$ ) and record the worst (denoted as SimMF-max in Tables 2, 3, 4, 5), the best (denoted as SimMF-min) and average (denoted as SimMF-mean) performance of these four versions. The  $\alpha$  and  $\beta$  are set to 100 and 10, respectively, for Douban Movie dataset, as suggested in the following parameter experiment. For other datasets,  $\alpha$  and  $\beta$  are set to the optimal values according to related parameter experiments. For all the experiments in this paper, the values of  $\lambda_1$  and  $\lambda_2$  are set to a trivial value 0.001 and the length of latent feature vectors  $U_i$  and  $V_j$  are set to 10. The parameters of other methods are set to the optimal values obtained in parameter experiments.

**Table 2** Performance comparisons on Douban Movie (the baseline of improved performance is PMF)

Training	Metrics	UserMean	ItemMean	PMF	SoMF	Hete-MF	Hete-CF	SimMF-mean	SimMF-max	SimMF-min
80 %	MAE	0.6958	0.6476	0.6325	0.6073	0.6221	0.6273	0.5974	0.6026	0.5926
	Improve	-10.01 %	-2.83 %		3.99 %	1.64 %	0.82 %	5.55 %	4.73 %	6.31 %
	RMSE	0.8846	0.8537	0.8815	0.8283	0.8609	0.8664	0.7729	0.7809	0.7656
	Improve	-0.35 %	3.15 %		6.03 %	2.34 %	1.71 %	12.32 %	11.41 %	13.14 %
60 %	MAE	0.6986	0.6557	0.6591	0.6219	0.6490	0.6509	0.6060	0.6110	0.6008
	Improve	-6.00 %	0.35 %		5.63 %	1.53 %	1.24 %	8.06 %	7.30 %	8.85 %
	RMSE	0.8925	0.8748	0.9281	0.8584	0.9100	0.9118	0.7852	0.7927	0.7772
	Improve	3.84 %	5.75 %		7.51 %	1.95 %	1.76 %	15.40 %	14.59 %	16.26 %
40 %	MAE	0.7052	0.6733	0.7092	0.6457	0.6933	0.7029	0.6186	0.6237	0.6134
	Improve	0.57 %	5.07 %		8.96 %	2.24 %	0.89 %	12.77 %	12.06 %	13.51 %
	RMSE	0.9085	0.9139	1.0107	0.9034	0.9842	0.9941	0.8023	0.8093	0.7952
	Improve	10.11 %	9.57 %		10.62 %	2.62 %	1.64 %	20.62 %	19.93 %	21.32 %
20 %	MAE	0.7227	0.7124	0.8367	0.6973	0.8235	0.8302	0.6461	0.6509	0.6417
	Improve	13.63 %	14.85 %		16.66 %	1.58 %	0.78 %	22.78 %	22.21 %	23.31 %
	RMSE	0.9502	1.0006	1.2060	1.0037	1.1838	1.1963	0.8388	0.8446	0.8335
	Improve	21.21 %	17.03 %		16.78 %	1.84 %	0.80 %	30.45 %	29.97 %	30.89 %
Running time (s)		0.5157	0.5242	1096	1385	4529	7342	3168		

**Table 3** Performance comparisons on Yelp (the baseline of improved performance is PMF)

Training	Metrics	UserMean	ItemMean	PMF	SoMF	Hete-MF	Hete-CF	SimMF-mean	SimMF-max	SimMF-min
80 %	MAE	0.9664	0.8952	1.2201	0.8789	0.9307	1.2117	0.8292	0.8503	0.8059
	Improve	20.79 %	26.63 %		27.96 %	23.72 %	0.69 %	32.04 %	30.31 %	33.95 %
	RMSE	1.3443	1.2327	1.6479	1.1912	1.2773	1.6249	1.0577	1.0708	1.0465
60 %	Improve	18.42 %	25.20 %		27.71 %	22.49 %	1.40 %	35.82 %	35.02 %	36.49 %
	MAE	0.9803	0.9247	1.3835	0.9156	0.9708	1.3510	0.8366	0.8615	0.8109
	Improve	29.14 %	33.16 %		33.82 %	29.83 %	2.35 %	39.53 %	37.73 %	41.39 %
40 %	RMSE	1.3556	1.2893	1.8438	1.2591	1.3352	1.7940	1.0684	1.0842	1.0532
	Improve	26.48 %	30.07 %		31.71 %	27.58 %	2.70 %	42.05 %	41.20 %	42.88 %
	MAE	1.0219	0.9819	1.7081	0.9790	1.0409	1.6360	0.8509	0.8810	0.8186
20 %	Improve	40.17 %	42.52 %		42.68 %	39.06 %	4.22 %	50.18 %	48.42 %	52.18 %
	RMSE	1.4241	1.3873	2.2123	1.3682	1.4343	2.1116	1.0863	1.1031	1.0686
	Improve	35.63 %	37.29 %		38.15 %	35.17 %	4.55 %	50.90 %	50.12 %	51.70 %
Running time (s)	MAE	1.1344	1.1202	2.6935	1.1252	1.8429	2.5782	0.8687	0.9047	0.8290
	Improve	57.88 %	58.41 %		58.23 %	31.58 %	4.28 %	67.75 %	66.41 %	69.22 %
	RMSE	1.5958	1.5981	3.2512	1.5907	2.3357	3.0807	1.1307	1.1733	1.0944
Running time (s)	Improve	50.92 %	50.85 %		51.07 %	28.16 %	5.24 %	65.22 %	63.91 %	66.34 %
		0.0646	0.0642	100	137	1963	2378	1414		

**Table 4** Performance comparisons on MovieLens (the baseline of improved performance is PMF)

Training	Metrics	UserMean	ItemMean	PMF	Hete-MF	Hete-CF	SimMF-mean	SimMF-max	SimMF-min
80 %	MAE	0.8439	0.7911	0.7902	0.7659	0.8088	0.7491	0.7615	0.7289
	Improve	-6.80 %	-0.11 %		3.08 %	-2.35 %	5.20 %	3.63 %	7.76 %
	RMSE	1.0594	0.9961	1.0111	0.9721	1.0366	0.9437	0.9559	0.9215
	Improve	-4.78 %	1.48 %		3.86 %	-2.52 %	6.67 %	5.46 %	8.86 %
60 %	MAE	0.8527	0.7962	0.8252	0.7841	0.8644	0.7623	0.7727	0.7496
	Improve	-3.33 %	3.51 %		4.98 %	-4.75 %	7.62 %	6.36 %	9.16 %
	RMSE	1.0753	1.0051	1.0549	0.9971	1.1119	0.9592	0.9688	0.9456
	Improve	-1.93 %	4.72 %		5.48 %	-5.40 %	9.07 %	8.16 %	10.36 %
40 %	MAE	0.8745	0.8062	0.8992	0.8283	1.0000	0.7790	0.7880	0.7711
	Improve	2.75 %	10.34 %		7.88 %	-11.21 %	13.37 %	12.37 %	14.25 %
	RMSE	1.1169	1.0222	1.1526	1.0595	1.2918	0.9789	0.9861	0.9719
	Improve	3.10 %	11.31 %		8.08 %	-12.08 %	15.07 %	14.45 %	15.68 %
20 %	MAE	0.9561	0.8378	1.2942	1.1104	1.5824	0.8114	0.8154	0.8139
	Improve	26.12 %	35.27 %		14.20 %	-22.27 %	37.30 %	37.00 %	37.11 %
	RMSE	1.2724	1.0780	1.6251	1.4280	1.9427	1.0156	1.0167	1.0213
	Improve	21.70 %	33.67 %		12.13 %	-19.54 %	37.51 %	37.44 %	37.15 %
Running time (s)		0.0575	0.0555	80	183	295	159		



**Table 5** Performance comparisons on Douban Book (the base line of improved performances is PMF)

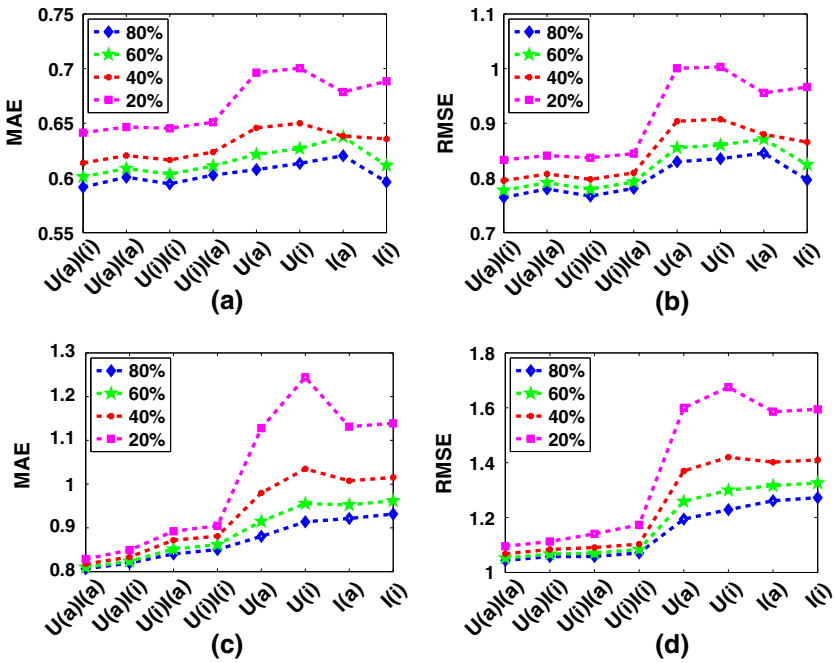
Training	Metrics	UserMean	ItemMean	PMF	SoMF	Hete-MF	Hete-CF	SimMF-mean	SimMF-max	SimMF-min
80 %	MAE	0.6204	0.6050	0.5754	0.5748	0.5709	0.5815	0.5517	0.5540	0.5495
	Improve	-7.81 %	-5.15 %		0.11 %	0.79 %	-1.06 %	4.11 %	3.72 %	4.50 %
	RMSE	0.7902	0.7588	0.7454	0.7294	0.7309	0.7573	0.6974	0.7011	0.6937
60 %	Improve	-6.01 %	-0.79 %		2.14 %	1.94 %	-1.61 %	6.44 %	5.94 %	6.93 %
	MAE	0.6244	0.6090	0.6008	0.5902	0.5822	0.6068	0.5569	0.5594	0.5543
	Improve	-3.93 %	-1.37 %		1.77 %	3.10 %	-1.00 %	7.32 %	6.89 %	7.74 %
40 %	RMSE	0.7998	0.7588	0.7827	0.7520	0.7480	0.7953	0.7028	0.7068	0.6988
	Improve	-2.19 %	3.06 %		3.92 %	4.43 %	-1.61 %	10.21 %	9.69 %	10.71 %
	MAE	0.6325	0.6231	0.6696	0.6141	0.6008	0.6767	0.5700	0.5749	0.5651
20 %	Improve	5.55 %	6.96 %		8.29 %	10.28 %	-1.05 %	14.87 %	14.15 %	15.62 %
	RMSE	0.8193	0.7933	0.8885	0.7903	0.7764	0.9027	0.7189	0.7277	0.7102
	Improve	7.78 %	10.72 %		11.05 %	12.61 %	-1.60 %	19.08 %	18.10 %	20.06 %
Running time (s)	MAE	0.6617	0.7068	0.9873	0.6329	0.6582	1.0695	0.6306	0.6439	0.6174
	Improve	32.98 %	28.41 %		35.89 %	33.33 %	-8.32 %	36.12 %	34.79 %	37.47 %
	RMSE	0.8906	1.0033	1.3251	0.8245	0.8660	1.4294	0.8003	0.8260	0.7746
Running time (s)	Improve	32.79 %	24.29 %		37.78 %	34.65 %	-7.88 %	39.60 %	37.67 %	41.54 %
		0.2957	0.2797	787	982	1071	1147	1064		

For these datasets, we use different ratios (80, 60, 40, 20%) of data as training set. For example, the training data 80% means that we select 80% of the ratings from user–item rating matrix as the training data to predict the remaining 20% of ratings. The random selection was carried out 10 times independently in all the experiments. We report average results on Douban Movie, Yelp, MovieLens, and Douban Book datasets in Tables 2, 3, 4, and 5, respectively, and record the improvement ratio of all methods compared to the PMF. In addition, we also report the average running time of these methods with the 80% training ratio in the last line of above tables. For those HIN-based methods (i.e., Hete-CF, Hete-MF, and SimMF), we only report the running time of the model learning process, ignoring the running time of similarity computation. Note that, we report the mean running time for SimMF, since the four versions of SimMF have the similar computational complexity.

The results are shown in Tables 2, 3, 4, and 5. In addition, we also conduct the *t*-test experiments with 95% confidence, which shows that the MAE/RMSE improvement difference is statistically stable and non-contingent. Due to the space limitation, they are omitted in the paper, but the results can be found in [18]. Note that SoMF is absent in Table 4 because there is no social relation in MovieLens dataset. From the experimental comparisons, we can observe the following phenomena.

- SimMF always outperforms the baselines in most conditions, even for the worst performance of SimMF (i.e., SimMF-max). It validates that more attribute information from users and items exploited in SimMF is really helpful to improve the recommendation performance. In addition, the model integrating more information usually has better performances. That is the reason why other matrix factorization models integrating heterogeneous information usually have better performance than the basic matrix factorization model PMF.
- Although Hete-MF and Hete-CF also utilize the attribute information from users and items, they have worse performance than SimMF, which implies the proposed SimMF has better mechanism to integrate heterogeneous information. We know that Hete-MF only integrates attribute information of items, while the same parameter for similarity regularization terms of users and items may cause the bad performance of Hete-CF.
- When considering different training data ratios, we can find that the superiority of SimMF is more significant for less training data. It indicates that SimMF can effectively alleviate data sparsity problem. We think the reason lies in that, through exploiting different meta-paths, we can make full use of rich attribute information of users and items to reflect the similarity of users and items from different aspects. The integration of similarities can comprehensively reveal the similarity of users and items, which compensates for shortage of training data.

Comparing results of PMF on these four datasets, we can find the performances of PMF are greatly affected by the density of rating matrix. For Douban Movie (see Table 2) and Douban Book (see Table 5) datasets, PMF performs reasonably, while its performance degrades greatly on Yelp dataset (see Table 3) because of the very sparse rating data on Yelp dataset. When comparing results of SoMF to PMF, it marginally improves the performance on Douban Movie dataset because of the sparse social relations on Douban Movie, while it obviously improves the performance on Yelp dataset due to the sparse social relations on Yelp. So we can conclude that the recommendation performance of SoMF is largely affected by the density of social relations. However, no matter how dense or sparse rating and social relations, SimMF can always achieves the best performance through making full use of the rich attribute information.



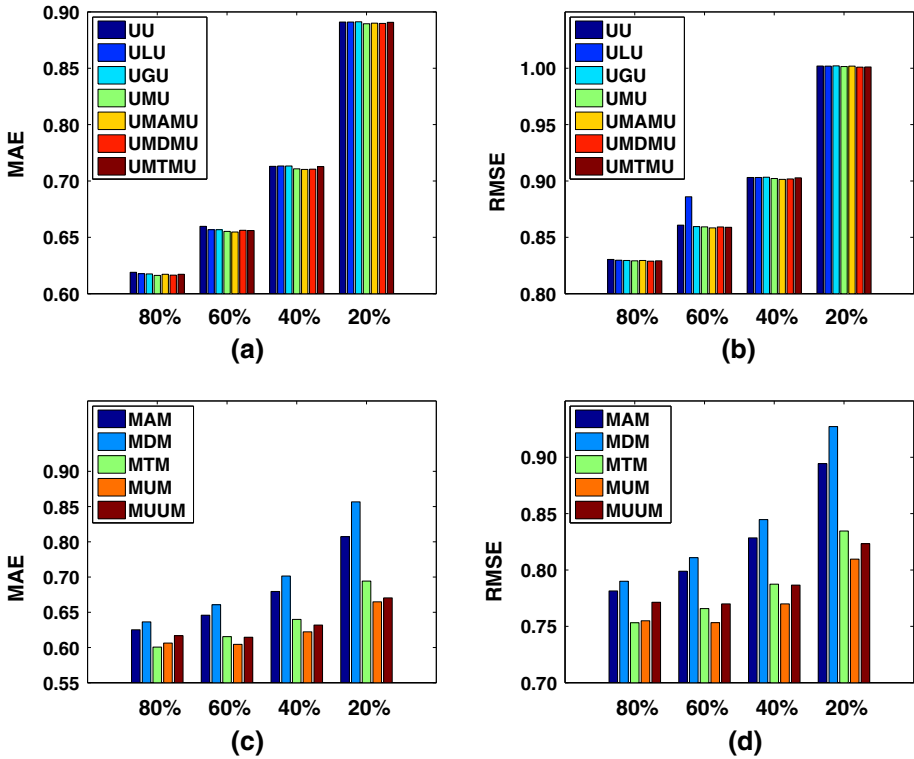
**Fig. 2** Performance of SimMF with different regularizations on Douban Movie and Yelp datasets. **a** Douban Movie, MAE. **b** Douban Movie, RMSE. **c** Yelp, MAE. **d** Yelp, RMSE

Observing the running time of different methods in the last row of Tables 2, 3, 4, and 5, we can find that the running time becomes longer as the models become more complex. That are, HIN-based methods (i.e., Hete-MF, Hete-CF, and SimMF) have longer running time than the other methods, since they have more parameters to be learned. However, SimMF is still faster than the other two HIN-based methods because SimMF does not need to learn the weights of meta-paths.

### 5.5 Impact of different regularizations

Experiments in this section will validate the effect of different regularization models on users and items. Ma et al. [14] have explored the effect of average- and individual-based regularization on social relations of users. However, in this paper, we not only explore the effect on more complex relations but also consider the effect on both users and items.

We employ four variations of SimMF with average- and individual-based regularization on users and items (i.e., SimMF with  $U(a)I(i)$ ,  $U(a)I(a)$ ,  $U(i)I(i)$ , and  $U(i)I(a)$ ) and four variations of SimMF with average- or individual-based regularization on users or items (i.e., SimMF with  $U(a)$ ,  $U(i)$ ,  $I(a)$ , and  $I(i)$ ). The same parameters are set with above experiments, and the average results are shown in Fig. 2. We can find that SimMF, integrating similarity information on both users and items, always has better performance than the one only integrating similarity information on users or items. Again we can observe the difference is far more pronounced when the fraction of training set is low, e.g., at 20% SimMF- $U(i)$  and SimMF- $U(a)$  perform very bad. Moreover, we can also observe an interesting phenomena: Regularization models have different effects on users and items. SimMF- $U(a)$  has better performance than SimMF-



**Fig. 3** Performance of SimMF with different meta-paths on Douban Movie dataset. **a** Paths on users, MAE. **b** Paths on users, RMSE. **c** Paths on movies, MAE. **d** Paths on movies, RMS

U(i) on both datasets, which indicates average-based regularization may be more suitable for users. However, it is not the case for items. SimMF-I(i) performs better than SimMF-I(a) on Douban Movie, while SimMF-I(a) outperforms SimMF-I(i) on Yelp. As a result, SimMF-U(a)I(i) has the best performance on Douban Movie, while SimMF-U(a)I(a) is the best one on Yelp. Although it is hard to draw general conclusions, the above study indicates that different regularization model may significantly affect performance of matrix factorization methods. In summary, we need to find the optimal regularization model according to data properties in real applications.

### 5.6 Impact of different meta-paths

In this section, we study the impact of different meta-paths. Due to similar analysis, we only show results on Douban Movie dataset. As illustrated above, we employ 7 meta-paths on users and 5 meta-paths on movies. We will observe performance of SimMF with similarity matrix generated by one single meta-path. Under the same parameters with above experiments, we run SimMF-U(a) with similarity matrix generated by each meta-path on users. Similarly, we also run SimMF-I(i) with similarity matrix generated by each meta-path on movies.

The experimental results on Douban Movie dataset are shown in Fig. 3. We can observe different impacts of meta-paths on users and movies. The SimMF-U(a) with different meta-paths (see Fig. 3a, b) on users all have close performance. Moreover, SimMF-U(a) with MUM

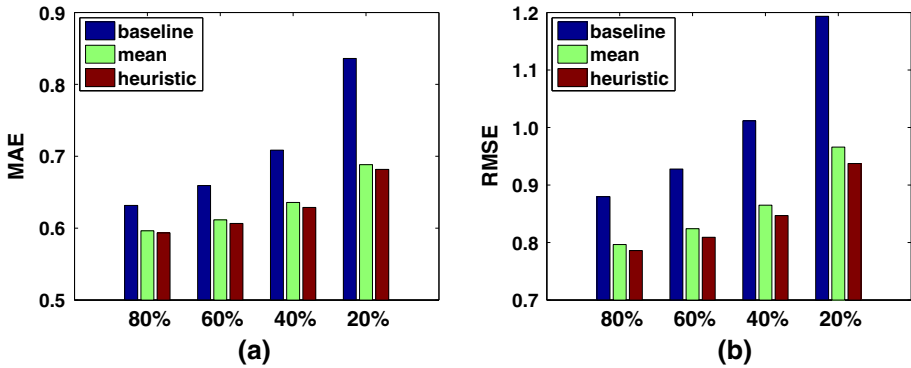


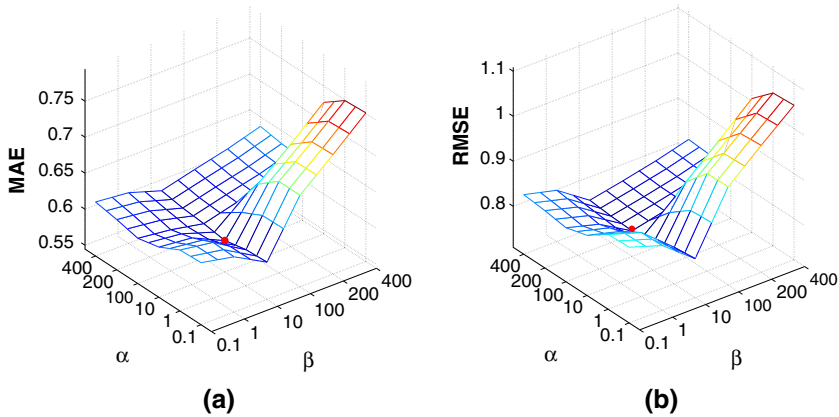
Fig. 4 Performance of SimMF on MAE and RMSE with different weights setting methods. a MAE. b RMSE

has slightly better performance and SimMF-U(a) with UU has worse performance. However, it is not the case for meta-paths on items. The SimMF-I(i) with different meta-paths on items (see Fig. 3c, d) have totally different performance. We can find that SimMF-I(i) with MDM has the worst performance, even worse than PMF in some conditions, while SimMF-I(i) with MTM and MUM achieve much better performance on both criteria. We think there are two reasons: (1) Observing Table 1, we can find that the performance of SimMF are much affected by the density of relations. The density of relations on MT and MU is much higher than that on MD and MA. The dense relations are helpful to generate good similarity of items. The similar phenomena have been widely observed in social recommendation [12, 14]. (2) The meaningful meta-paths are helpful to reveal the similarity of objects. MTM means movies with same type, and MUM means movies seen by same users. These two paths are highly correlated as both reveal properties of the movies. These two reasons can also explain the slightly worse performance of the meaningful but sparse UU meta-path as compared to other meta-paths of users. The experiments imply that we only need to use one single dense and meaningful meta-path to generate similarity information, which also can obtain good enough performance.

We further design an experiment to illustrate different importance of meta-paths. Concretely, we observe the performance of above SimMF-I(i) with different weight combination methods on 5 meta-paths. Except mean weight and random weight on 5 paths, we design a heuristic weight method, i.e., setting the weights according to the performance of these paths. That is, paths with good performance have higher weights. Assume the MAE performance value of a path ( $P_l$ ) is  $P_l$ , and the max MAE value is  $P_{max}$ . Then the difference is  $d_l = e^{P_{max}-P_l}$ . And thus, the weight of the path is  $w_l^T = \frac{d_l}{\sum_l d_l}$ . The experiment also includes PMF as the baseline. The results are shown in Fig. 4. It is obvious that SimMF-I(i) with the heuristic weight method has the best performance, which further validates the meaningful and dense meta-paths are more important.

### 5.7 Parameter study on $\alpha$ and $\beta$

Since other parameters have been studied in other matrix factorization methods, here we only do parameter study on  $\alpha$  and  $\beta$ . The parameters  $\alpha$  and  $\beta$  control how much SimMF fuses the similarity information of users and items. On the one hand, if we only factorize the user-item matrix for recommendation with a very small value of  $\alpha$  and  $\beta$ , SimMF will ignore users' own tastes and items' latent properties. On the other hand, if we employ a very large value of  $\alpha$  and



**Fig. 5** Performance of SimMF on MAE and RMSE with varying  $\alpha$  and  $\beta$  on Douban Movie dataset. The lower, the better. **a** MAE. **b** RMSE

$\beta$ , the similarity information of users and items will dominate the model learning process. Intuitively, we need to set moderate values for  $\alpha$  and  $\beta$  to balance the rating and similarity information. In this section, we will analyze how  $\alpha$  and  $\beta$  affect the final recommendation accuracy. Specifically, we observe the performance of SimMF-U(a)I(i) with varying  $\alpha$  and  $\beta$  on Douban Movie dataset.

Figure 5 shows the impacts of  $\alpha$  and  $\beta$  on MAE and RMSE in SimMF-U(a)I(i) model. We can find that performance of SimMF-U(a)I(i) on MAE and RMSE have very similar trend. Moreover, the value of  $\alpha$  and  $\beta$  affect recommendation results significantly, which demonstrates that incorporating the similarity information generated by attribute information greatly affects the recommendation accuracy. For very small values of  $\alpha$  and  $\beta$ , SimMF-U(a)I(i) will degrade to the traditional PMF, which makes its MAE and RSME increase to higher and stable values (i.e., bad performance). For large values of  $\alpha$  and  $\beta$ , the similarity information of users and items will dominate model learning process, which makes the MAE and RSME values of SimMF-U(a)I(i) sharply increase. It indicates that the matrix factorization on user–item rating matrix should dominate the learning process, while similarity information is useful supplement to improve performance. In addition, we can observe that, when the value of  $\beta$  is around 10 and the value of  $\alpha$  is between 10 and 100, SimMF-U(a)I(i) has stable and good performance.

## 6 Conclusion

In this paper, we organized the objects and relations in recommender system as a heterogeneous information network, and designed a unified and flexible matrix factorization-based dual regularization framework SimMF to effectively integrate different types of information. SimMF employs meta-path-based similarity measure to evaluate the similarity of objects and flexibly integrate heterogeneous information through adopting the similarity of users and items as regularization on latent factors of user and item. Experiments on real datasets validate the effectiveness of SimMF, and some interesting works are needed to explore in the future. It is desirable to design clever weight learning strategy for the combination of similarity matrices to further improve recommendation performance.

**Acknowledgments** This work is supported by National Key Basic Research and Department (973) Program of China (No. 2013CB329606), the National Natural Science Foundation of China (No. 71231002, 61473273), the CCF-Tencent Open Fund, the Co-construction Project of Beijing Municipal Commission of Education, US NSF through grants III-1526499, and 2015 Microsoft Research Asia Collaborative Research Program.

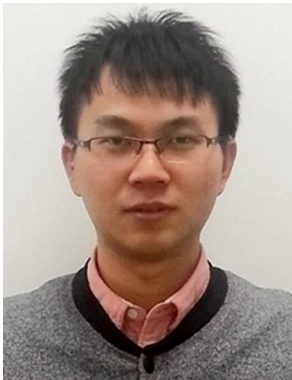
## References

1. Adomavicius G, Tuzhilin A (2005) Toward the next generation of recommender systems: A survey of the state-of-the-art and possible extensions. *IEEE Trans Knowl Data Eng* 17(6):734–749
2. BellogiN R, Cantador I, Castells P (2013) A comparative study of heterogeneous item recommendations in social systems. *Inf Sci* 221:142–169
3. Burke R, Vahedian F, Mobasher B (2014) Hybrid recommendation in heterogeneous networks. In: *UMAP*, pp 49–60
4. Cantador I, Bellogin A, Vallet D (2010) Content-based recommendation in social tagging systems. In: *RecSys*, pp 237–240
5. Feng W, Wang J (2012) Incorporating heterogeneous information for personalized tag recommendation in social tagging systems. In: *KDD*, pp 1276–1284
6. Jamali M, Ester M (2009) Trustwalker: a random walk model for combining trust-based and item-based recommendation. In: *KDD*, pp 397–406
7. Jamali M, Lakshmanan LV (2013) Heteromf: recommendation in heterogeneous information networks using context dependent factor models. In: *WWW*, pp 643–653
8. Jones C, Ghosh J, Sharma A (2011) Learning multiple models for exploiting predictive heterogeneity in recommender systems. In: *Proceedings of the 2nd international workshop on information heterogeneity and fusion in recommender systems*, pp 17–24
9. Lao N, Cohen W (2010) Fast query execution for retrieval models based on path constrained random walks. In: *KDD*, pp 881–888
10. Lee H, Lee Sg (2015) Style recommendation for fashion items using heterogeneous information network. *RecSys*
11. Luo C, Pang W, Wang Z (2014) Hete-cf: social-based collaborative filtering recommendation using heterogeneous relations. In: *ICDM*, pp 917–922
12. Ma H, Yang H, Lyu MR, King I (2008) Sorec: social recommendation using probabilistic matrix factorization. In: *CIKM*, pp 931–940
13. Ma H, King I, Lyu MR (2011) Learning to recommend with social trust ensemble. In: *SIGIR*, pp 203–210
14. Ma H, Zhou D, Liu C, Lyu MR, King I (2011) Recommender systems with social regularization. In: *WSDM*, pp 287–296
15. Ma H, Zhou T, Lyu M, King I (2011) Improving recommender systems by incorporating social contextual information. *ACM Trans Inf Syst* 29(2):9
16. Shardanand U, Maes P (1995) Social information filtering: algorithms for automating word of mouth. In: *Conference on human factors in computing systems*
17. Shi C, Kong X, Huang Y, Yu PS, Wu B (2014) Hetesim: a general framework for relevance measure in heterogeneous networks. *IEEE Trans Knowl Data Eng* 26(10):2479–2492
18. Shi C, Liu J, Zhuang F, Yu PS, Wu B (2015) Integrating heterogeneous information via flexible regularization framework for recommendation. [arXiv:151103759](https://arxiv.org/abs/1511.03759)
19. Shi C, Zhang Z, Luo P, Yu PS, Yue Y, Wu B (2015) Semantic path based personalized recommendation on weighted heterogeneous information networks. In: *CIKM*, pp 453–462
20. Srebro N, Jaakkola T (2003) Weighted low-rank approximations. In: *ICML*, pp 720–727
21. Sun Y, Han J, Yan X, Yu P, Wu T (2011) Pathsim: meta path-based top-k similarity search in heterogeneous information networks. In: *VLDB*, pp 992–1003
22. Sun Y, Norick B, Han J, Yan X, Yu PS, Yu X (2012) Integrating meta-path selection with user guided object clustering in heterogeneous information networks. In: *KDD*, pp 1348–1356
23. Vahedian F (2014) Weighted hybrid recommendation for heterogeneous network. In: *RecSys*, pp 429–432
24. Yang X, Steck H, Liu Y (2012) Circle-based recommendation in online social networks. In: *KDD*, pp 1267–1275
25. Yu X, Ren X, Gu Q, Sun Y, Han J (2013) Collaborative filtering with entity similarity regularization in heterogeneous information networks. In: *IJCAI-HINA workshop*
26. Yu X, Ren X, Sun Y, Sturt B, Khandelwal U, Gu Q, Norick B, Han J (2013) Recommendation in heterogeneous information networks with implicit user feedback. In: *RecSys*, pp 347–350

27. Yu X, Ma H, Hsu BJP, Han J (2014) On building entity recommender systems using user click log and freebase knowledge. In: WSDM, pp 263–272
28. Yu X, Ren X, Sun Y, Gu Q, Sturt B, Khandelwal U, Norick B, Han J (2014) Personalized entity recommendation: a heterogeneous information network approach. In: WSDM, pp 283–292
29. Zhang J, Tang J, Liang B, Yang Z, Wang S, Zuo J, Li J (2008) Recommendation over a heterogeneous social network. In: WAIM, pp 309–316



**Chuan Shi** received the B.S. degree from the Jilin University in 2001, the M.S. degree from the Wuhan University in 2004, and Ph.D. degree from the ICT of Chinese Academic of Sciences in 2007. He joined the Beijing University of Posts and Telecommunications as a lecturer in 2007 and is a professor and deputy director of Beijing Key Lab of Intelligent Telecommunications Software and Multimedia at present. His research interests are in data mining, machine learning, and evolutionary computing. He has published more than 40 papers in refereed journals and conferences.

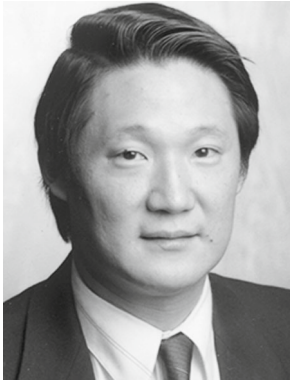


**Jian Liu** received the B.S. degree from the Beijing University of Posts and Telecommunications in 2014. He is currently a master student in School of Computer Science, BUPT. His research interests include data mining, machine learning, and recommender system.



**Fuzhen Zhuang** is currently an Associate Professor in Institute of Computing Technology, Chinese Academy of Sciences. His research interests include transfer learning, machine learning, data mining, and parallel classification algorithms. He has published several papers in some prestigious refereed conferences and journals, such as SIAM SDM, ACM CIKM, IEEE ICDM, ACM WSDM, ECML/PKDD, IJCAI, AAAI, ICDE, IEEE TKDE, and IEEE TSMC-Part B.





**Philip S. Yu** is a Distinguished Professor in Computer Science at the University of Illinois at Chicago and also holds the Wexler Chair in Information Technology. Dr. Yu spent most of his career at IBM, where he was manager of the Software Tools and Techniques group at the Watson Research Center. His research interest is on big data, including data mining, data stream, database, and privacy. He has published more than 920 papers in refereed journals and conferences. He holds or has applied for more than 250 US patents. Dr. Yu is a Fellow of the ACM and the IEEE. He is the Editor-in-Chief of ACM Transactions on Knowledge Discovery from Data. He is on the steering committee of ACM Conference on Information and Knowledge Management and was a steering committee member of IEEE Data Engineering, and IEEE Conference on Data Mining. He was the Editor-in-Chief of IEEE Transactions on Knowledge and Data Engineering (2001–2004). Dr. Yu received the B.S. Degree in E.E. from National Taiwan University, the M.S. and Ph.D. degrees in E.E. from Stanford University, and the M.B.A. degree from New York University.



**Bin Wu** received the B.S. degree from the Beijing University of Posts and Telecommunications in 1991, the M.S. and Ph.D. degree from the ICT of Chinese Academic of Sciences in 1998 and 2002, respectively. He joined the Beijing University of Posts and Telecommunications as a lecturer in 2002 and is a professor at present. His research interests are in data mining, complex network, and cloud computing. He has published more than 100 papers in refereed journals and conferences.