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# The Approximation Properties Determined by Operator Ideals

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Abstract We introduce the notion of the right approximation property with respect to an operator ideal  $\mathcal{A}$  and solve the duality problem for the approximation property with respect to an operator ideal  $\mathcal{A}$ , that is, a Banach space X has the approximation property with respect to  $\mathcal{A}^d$  whenever  $X^*$  has the right approximation property with respect to an operator ideal  $\mathcal{A}$ . The notions of the left bounded approximation property and the left weak bounded approximation property for a Banach operator ideal are introduced and new symmetric results are obtained. Finally, the notions of the *p*-compact sets and the *p*-approximation property with respect to an operator ideal and the *p*-approximation property are extended to arbitrary Banach operator ideals. Known results of the approximation property with respect to an operator ideal and the *p*-approximation property are generalized.

 $\label{eq:keywords} \begin{array}{ll} \mbox{Approximation property, operator ideals, bounded approximation property, $\mathcal{A}$-$p$-compact sets} \end{array}$ 

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#### 1 Introduction, Definitions and Notations

Throughout this paper, we denote by  $(\mathcal{A}, \|\cdot\|_{\mathcal{A}})$  a Banach operator ideal. When the norm  $\|\cdot\|_{\mathcal{A}}$  is understood or when we only work with an operator ideal, we simply write  $\mathcal{A}$ . As usual,  $\mathcal{L}, \mathcal{W}, \mathcal{K}, \overline{\mathcal{F}}$  and  $\mathcal{F}$  denote the operator ideals of bounded, weakly compact, compact, approximable and finite rank linear operators, respectively. All are considered with the supremum norm. Let  $\mathcal{A}$  be an operator ideal. For a pair of Banach spaces X and  $Y, \mathcal{A}^d(X, Y)$  denotes the set of  $T \in \mathcal{L}(X, Y)$  such that the adjoint  $T^* \in \mathcal{A}(Y^*, X^*)$  and  $\|T\|_{\mathcal{A}^d} = \|T^*\|_{\mathcal{A}}$ . The operator ideal  $(\mathcal{A}^d, \|\cdot\|_{\mathcal{A}^d})$  is called the *dual ideal* of  $\mathcal{A}$ .

Recall that a Banach space X is said to have the *approximation property* (AP) if for every compact subset K of X and every  $\varepsilon > 0$ , there exists a finite rank operator S on X such that  $\sup_{x \in K} ||Sx - x|| \le \varepsilon$ , briefly,  $\operatorname{id}_X \in \overline{\mathcal{F}(X,X)}^{\tau_c}$ , where  $\operatorname{id}_X$  is the identity map on X and  $\tau_c$  is the topology of uniform convergence on compact subsets of X. It is well known that

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a Banach space X has the AP if and only if for every Banach space Y and every operator  $T \in \mathcal{L}(Y, X)$ , one has  $T \in \overline{\{ST : S \in \mathcal{F}(X, X)\}}^{\tau_c}$ . Given an operator ideal  $\mathcal{A}$ , Delgado and Piñeiro in [4] introduce the notion of approximation property depending on the operator ideal  $\mathcal{A}$  by replacing the operator ideal  $\mathcal{L}$  by  $\mathcal{A}$ . A Banach space X is said to have the approximation property with respect to the operator ideal  $\mathcal{A}$  (for short,  $AP_{\mathcal{A}}$ ) if for every Banach space Y and every operator  $T \in \mathcal{A}(Y, X)$ , one has  $T \in \overline{\{ST : S \in \mathcal{F}(X, X)\}}^{\tau_c}$ . Delgado and Piñeiro in [4] proved that a Banach space X has the  $AP_{\mathcal{A}}$  if and only if  $\mathrm{id}_X \in \overline{\mathcal{F}(X, X)}^{\tau_c(\mathcal{A})}$ , where  $\tau_c(\mathcal{A})$  is the topology of uniform convergence on  $\mathcal{A}$ -compact subsets of X introduced by Carl and Stephani [1]. Following [1], a subset K of a Banach space X is said to be *relatively*  $\mathcal{A}$ -compact if there exist a Banach space Z, an operator  $T \in \mathcal{A}(Z, X)$  and a compact subset M of Z such that  $K \subset T(M)$ . A closed relatively  $\mathcal{A}$ -compact subset is said to be  $\mathcal{A}$ -compact. It is proved in [4] that a Banach space X has the  $AP_{\mathcal{A}}$  whenever  $X^{**}$  the  $AP_{\mathcal{A}}$ . However, it seems that the duality problem for the  $AP_{\mathcal{A}}$  remains unknown so far. In Section 2, we introduce the notion of the right approximation property with respect to an operator ideal  $\mathcal{A}$  and solve the duality problem for the  $AP_{\mathcal{A}}$ .

Section 3 is concerned with the bounded approximation property with respect to an operator ideal. Letting  $1 \leq \lambda < \infty$ , a Banach space X is said to have the  $\lambda$ -bounded approximation property ( $\lambda$ -BAP) if for every compact subset K of X and every  $\varepsilon > 0$ , there exists a finite rank operator S on X with  $||S|| \leq \lambda$  such that  $\sup_{x \in K} ||Sx - x|| \leq \varepsilon$ . In [11], Lima and Oja define the weak bounded approximation property. Recall that X has the weak  $\lambda$ -bounded approximation property (weak  $\lambda$ -BAP) if for every Banach space Y and for each operator  $T \in \mathcal{W}(X, Y)$ , there exists a net  $(S_{\alpha})_{\alpha}$  in  $\mathcal{F}(X, X)$  such that  $\sup_{\alpha} ||TS_{\alpha}|| \leq \lambda ||T||$  and  $S_{\alpha} \xrightarrow{\tau_{c}} \operatorname{id}_{X}$ . In [9], this concept is extended to an arbitrary Banach operator ideal  $(\mathcal{A}, \|\cdot\|_{\mathcal{A}})$  by replacing  $(\mathcal{W}, \|\cdot\|)$ . A Banach space X is said to have the  $\lambda$ -bounded approximation property for  $\mathcal{A}$  ( $\lambda$ -BAP for  $\mathcal{A}$ ) if for every Banach space Y and for each operator  $T \in \mathcal{A}(X,Y)$ , there exists a net  $(S_{\alpha})_{\alpha}$ in  $\mathcal{F}(X, X)$  such that  $\sup_{\alpha} ||TS_{\alpha}||_{\mathcal{A}} \leq \lambda ||T||_{\mathcal{A}}$  and  $S_{\alpha} \xrightarrow{\tau_{c}} \operatorname{id}_{X}$ . It is immediate that the  $\lambda$ -BAP implies the  $\lambda$ -BAP for every Banach operator ideal  $(\mathcal{A}, \|\cdot\|_{\mathcal{A}})$ . In [8], Lassalle and Turco presented a natural modification of the  $\lambda$ -BAP for  $\mathcal{A}$  and introduce the weak  $\lambda$ -BAP for  $\mathcal{A}$ . A Banach space X is said to have the weak  $\lambda$ -bounded approximation property for  $\mathcal{A}$  (weak  $\lambda$ -BAP for  $\mathcal{A}$  if for every Banach space Y and for each operator  $T \in \mathcal{A}(X,Y)$ , there exists a net  $(S_{\alpha})_{\alpha}$  in  $\mathcal{F}(X, X)$  such that  $\sup_{\alpha} ||TS_{\alpha}||_{\mathcal{A}} \leq \lambda ||T||_{\mathcal{A}}$  and  $S_{\alpha} \longrightarrow \mathrm{id}_X$  in the strong operator topology (SOT). Also, Lassalle and Turco [8] proved that the weak  $\lambda$ -BAP for  $\mathcal{A}$  is equivalent to the following condition: for every Banach space Y and for each operator  $T \in \mathcal{A}(X,Y)$ , there exists a net  $(S_{\alpha})_{\alpha}$  in  $\mathcal{F}(X, X)$  such that  $\sup_{\alpha} ||TS_{\alpha}||_{\mathcal{A}} \leq \lambda ||T||_{\mathcal{A}}$  and  $TS_{\alpha} \xrightarrow{\text{SOT}} T$ . In this section, we prove, given an arbitrary operator ideal  $\mathcal{A}$ , that the  $\lambda$ -BAP is equivalent to a stronger condition: for every Banach space Y and for each operator  $T \in \mathcal{A}(X,Y)$ , there exists a net  $(S_{\alpha})_{\alpha}$  in  $\mathcal{F}(X, X)$  such that  $\sup_{\alpha} ||S_{\alpha}|| \leq \lambda$  and  $TS_{\alpha} \xrightarrow{\text{SOT}} T$ . It is shown in [8], under the condition  $\mathcal{A} = \mathcal{A}^{dd}$ , that the strong operator topology under which the net  $(S_{\alpha})_{\alpha}$ in the definition of the weak  $\lambda$ -BAP for  $\mathcal{A}$  converges to the identity can be changed by a finer topology (coarser than the topology of uniform convergence on compact sets). Consequently, Lassalle and Turco [8] showed that the weak  $\lambda$ -BAP for  $\overline{\mathcal{F}}$  is equivalent to the  $\lambda$ -BAP for  $\overline{\mathcal{F}}$ . In this section, we omit the condition  $\mathcal{A} = \mathcal{A}^{dd}$  and hence obtain that the weak  $\lambda$ -BAP for

 $\mathcal{A}$  is equivalent to the  $\lambda$ -BAP for  $\mathcal{A}$  whenever  $\mathcal{A}^d$  contains  $\overline{\mathcal{F}}$ . The symmetric versions of the  $\lambda$ -BAP for  $\mathcal{A}$  and the weak  $\lambda$ -BAP for  $\mathcal{A}$  are also introduced in this section. We say that a Banach space X has the *left weak*  $\lambda$ -bounded approximation property for  $\mathcal{A}$  if for every Banach space Y and for each operator  $T \in \mathcal{A}(Y, X)$ , there exists a net  $(S_{\alpha})_{\alpha}$  in  $\mathcal{F}(X, X)$  such that  $\sup_{\alpha} \|S_{\alpha}T\|_{\mathcal{A}} \leq \lambda \|T\|_{\mathcal{A}}$  and  $S_{\alpha} \xrightarrow{\text{SOT}} \operatorname{id}_X$ . If the strong operator topology under which the net  $(S_{\alpha})_{\alpha}$  in the definition of the left weak  $\lambda$ -BAP for  $\mathcal{A}$  converges to the identity is replaced by the topology  $\tau_c$ , we say that X has the *left*  $\lambda$ -bounded approximation property for  $\mathcal{A}$ . In this section, we show that the strong operator topology in the definition of the left weak  $\lambda$ -BAP for  $\mathcal{A}$  can be changed by the topology  $\tau_c(\mathcal{A})$ . As a consequence, we prove that the left weak  $\lambda$ -BAP for  $\mathcal{A}$  is equivalent to the left  $\lambda$ -BAP for  $\mathcal{A}$  whenever  $\mathcal{A}$  contains  $\overline{\mathcal{F}}$ .

In the final section, we generalize the relatively *p*-compact sets and the *p*-approximation property in [14] to operator ideal cases. To illustrate our results, we need some definitions and notations. For  $1 \le p < \infty$ ,  $l_p(X)$  denotes the space of *p*-summable sequences in *X*. For  $p = \infty$ , we use the space  $c_0(X)$  of norm null sequences in *X*. If  $(\mathcal{A}, \|\cdot\|_{\mathcal{A}})$  is a Banach operator ideal, we denote by  $l_p^{\mathcal{A}}(X)(1 \le p < \infty)$  the space of *p*-summable sequences  $(x_n)_n$  in *X* with respect to  $\mathcal{A}$ , i.e., there exist an operator  $T \in \mathcal{A}(Z, X)$  and  $(z_n)_n \in l_p(Z)$  such that  $x_n = Tz_n(n = 1, 2, ...)$ . For  $p = \infty$ , we denote by  $c_0^{\mathcal{A}}(X)$  the space of  $\mathcal{A}$ -convergent to zero sequences in *X*. Then  $l_p^{\mathcal{L}}(X) = l_p(X)$  and  $c_0^{\mathcal{L}}(X) = c_0(X)$ . For  $(x_n)_n \in l_p^{\mathcal{A}}(X)$ , we define a quasi-norm

$$||(x_n)_n||_p^{\mathcal{A}} = \inf\{||T||_{\mathcal{A}}||(z_n)_n||_p : x_n = Tz_n (n = 1, 2, \ldots)\},\$$

where the infimum is taken over all Banach spaces Z, all operators  $T \in \mathcal{A}(Z, X)$  and all  $(z_n)_n \in l_p(Z)$  such that  $x_n = Tz_n (n = 1, 2, ...)$ . We say that a subset K of X is relatively  $\mathcal{A}$ -p-compact  $(1 \leq p \leq \infty)$  if there exists a sequence  $(x_n)_n \in l_p^{\mathcal{A}}(X)$  such that  $K \subset p$ -co $\{x_n\}$ , where p-co $\{x_n\} = \{\sum_{n=1}^{\infty} \alpha_n x_n : (\alpha_n)_n \in B_{l_{p'}}\}$  is called the *p*-convex hull of  $(x_n)_n$  and  $\frac{1}{p} + \frac{1}{p'} = 1$ . For  $\mathcal{A} = \mathcal{L}$ , the relatively  $\mathcal{L}$ -p-compact sets are precisely the relatively *p*-compact sets. For a relatively  $\mathcal{A}$ -p-compact subset K of X, we define

$$m_{\mathcal{A}, \|\cdot\|_{\mathcal{A}}}^{p}(K; X) = \inf\{\|(x_{n})_{n}\|_{p}^{\mathcal{A}} : K \subset p\text{-}\mathrm{co}\{x_{n}\}, (x_{n})_{n} \in l_{p}^{\mathcal{A}}(X)\},\$$

If K is not relatively  $\mathcal{A}$ -p-compact, then let  $m_{\mathcal{A},\|\cdot\|_{\mathcal{A}}}^{p}(K;X) = \infty$ . For simplicity, we write  $m_{\mathcal{A}}^{p}(K)$  instead of  $m_{\mathcal{A},\|\cdot\|_{\mathcal{A}}}^{p}(K;X)$ . For  $\mathcal{A} = \mathcal{L}, m_{\mathcal{A}}^{p}(\cdot)$  is precisely equal to  $m_{p}(\cdot)$  which is introduced by Lassalle and Turco [6] to measure the size of a relatively *p*-compact set. For  $p = \infty, m_{\mathcal{A}}^{p}(\cdot)$  is precisely equal to  $m_{\mathcal{A}}(\cdot)$  which is introduced by Lassalle and Turco [7] to measure the size of a relatively  $\mathcal{A}$ -compact set. In Section 4, we characterize relatively  $\mathcal{A}$ -p-compact sets and prove that a subset K of X is relatively  $\mathcal{A}$ -p-compact if and only if K is relatively  $\mathcal{A} \circ \mathcal{K}$ -p-compact and has the same size. This result extends Corollary 1.9 in [7] to the case  $1 \leq p \leq \infty$ . We say that an operator  $T: X \to Y$  is  $\mathcal{A}$ -p-compact if  $T(B_X)$  is relatively  $\mathcal{A}$ -p-compact. We denote by  $\mathcal{K}_{p}^{\mathcal{A}}$  the space of all  $\mathcal{A}$ -p-compact operators.  $\mathcal{K}_{p}^{\mathcal{A}}$  becomes a quasinormed operator ideal if for any  $T \in \mathcal{K}_{p}^{\mathcal{A}}(X, Y)$ , one defines

$$||T||_{\mathcal{K}_n^{\mathcal{A}}} := m_{\mathcal{A}}^p(T(B_X)).$$

In Section 4, we present the representation formula for  $\mathcal{K}_p^{\mathcal{A}}$  as  $\mathcal{K}_p^{\mathcal{A}} = (\mathcal{A} \circ \mathcal{K}_p)^{\text{sur}}$ . This formula also generalizes [7, Proposition 2.1] to the case  $1 \leq p \leq \infty$ . For  $\mathcal{A} = \mathcal{L}$ , the  $\mathcal{L}$ -p-compact

operators are precisely the *p*-compact operators introduced by Sinha and Karn [14]. Moreover,  $\|\cdot\|_{\mathcal{K}_p^{\mathcal{L}}}$  is equal to  $k_p(\cdot)$  introduced in [6]. For  $p = \infty$ , the  $\mathcal{A}$ -*p*-compact operators are precisely the  $\mathcal{A}$ -compact operators introduced by by Carl and Stephani [1].

The topology of uniform convergence on  $\mathcal{A}$ -*p*-compact subsets in X on  $\mathcal{L}(X, Y)$  is denoted by  $\tau_p(\mathcal{A})$  ( $\tau_c(\mathcal{A})$  for  $p = \infty$ ). This locally convex topology is generated by the family of semi-norms

$$p_K(T) := \sup_{x \in K} ||Tx||, \quad \forall T \in \mathcal{L}(X, Y)$$

where K is running over all the  $\mathcal{A}$ -p-compact subsets in X. We say that a Banach space X has the p-approximation property with respect to an operator ideal  $\mathcal{A}$  (for short, p- $AP_{\mathcal{A}}$ ) if for every  $\mathcal{A}$ -p-compact subset K of X and every  $\epsilon > 0$ , there exists a finite rank operator S on X such that  $\sup_{x \in K} ||Sx - x|| \leq \epsilon$ , that is,  $\operatorname{id}_X \in \overline{\mathcal{F}(X,X)}^{\tau_p(\mathcal{A})}$ . Some new characterizations of the p- $AP_{\mathcal{A}}$  are established. It is proved in [4] that, under the assumption  $\mathcal{A} = \mathcal{K} \circ \mathcal{A}$ , a Banach space X has the  $AP_{\mathcal{A}}$  if and only if  $\mathcal{F}(Y,X)$  is  $\tau_c(\mathcal{A})$ -dense in  $\mathcal{K}(Y,X)$  for any Banach space Y. In this paper, we prove the symmetric version of this result without any assumption. Actually, we prove a more general result, that is, a Banach space X has the p- $AP_{\mathcal{A}}$  if and only if  $\mathcal{F}(X,Y)$ is  $\tau_p(\mathcal{A})$ -dense in  $\mathcal{K}(X,Y)$  for every Banach space Y.

We refer to the books of Pietsch [13], of Diestel, Jarchow and Tonge [5] for operator ideals. For approximation properties, we refer to the books [2, 12].

## 2 The Duality Problem for the Approximation Property with Respect to an Operator Ideal

**Definition 2.1** We say that a Banach space X has the right approximation property with respect to an operator ideal  $\mathcal{A}$  (for short, the right  $AP_{\mathcal{A}}$ ) if for every Banach space Y and every operator  $T \in \mathcal{A}(X,Y)$ , one has  $T \in \overline{\{TS : S \in \mathcal{F}(X,X)\}}^{\tau_c}$ .

To prove the main results in this section, we need two lemmas which strengthen the Banach spaces Y in the definitions of the  $AP_{\mathcal{A}}$  and the right  $AP_{\mathcal{A}}$  to be separable and reflexive.

**Lemma 2.2** Let X be a Banach space and A be an operator ideal. The following statements are equivalent:

(a) X has the  $AP_{\mathcal{A}}$ .

(b) For every separable reflexive Banach space Y and every operator  $T \in \mathcal{A}(Y, X)$ , one has  $T \in \overline{\{ST : S \in \mathcal{F}(X, X)\}}^{\tau_c}$ .

(c) For every separable reflexive Banach space Y and every operator  $T \in \mathcal{A} \circ \mathcal{K}(Y, X)$ , one has  $T \in \overline{\{ST : S \in \mathcal{F}(X, X)\}}^{r_c}$ .

*Proof* It suffices to prove (c) $\Rightarrow$ (a). Let Y be a Banach space and an operator  $T \in \mathcal{A}(Y, X)$ . Fix sequences  $(y_n)_n$  in Y,  $(x_n^*)_n$  in X<sup>\*</sup> with  $\sum_n ||y_n|| ||x_n^*|| < \infty$  such that

$$\sum_{n} \langle x_n^*, STy_n \rangle = 0, \quad \forall S \in \mathcal{F}(X, X).$$
(2.1)

We may assume that  $1 \ge ||y_n|| \to 0$  and  $\sum_n ||x_n^*|| < \infty$ . Let  $K = \overline{absconv}((y_n)_n) \subset B_Y$ . By the factorization lemma, there exists a separable and reflexive space Z, which is a subspace of Y, such that the inclusion map  $J : Z \to Y$  is compact,  $||J|| \le 1$  and  $K \subset B_Z$ . Then  $TJ \in \mathcal{A} \circ \mathcal{K}(Z, X)$ . By the assumption, we get

$$TJ \in \overline{\{STJ : S \in \mathcal{F}(X, X)\}}^{\tau_c}.$$

Then there exists a net  $(S_{\alpha})_{\alpha} \subset \mathcal{F}(X, X)$  such that  $S_{\alpha}TJ \xrightarrow{\tau_c} TJ$ . Define  $\phi \in (\mathcal{L}(Z, X), \tau_c)^*$ by

$$\langle \phi, U \rangle = \sum_{n} \langle x_n^*, Uy_n \rangle, \quad U \in \mathcal{L}(Z, X).$$

Thus

$$\langle \phi, S_{\alpha}TJ \rangle \longrightarrow \langle \phi, TJ \rangle.$$
 (2.2)

By (2.1), for any operator  $S \in \mathcal{F}(X, X)$ , one has

$$\langle \phi, STJ \rangle = \sum_{n=1}^{\infty} \langle x_n^*, STJy_n \rangle = \sum_{n=1}^{\infty} \langle x_n^*, STy_n \rangle = 0.$$

By (2.2),

$$\sum_{n=1}^{\infty} \langle x_n^*, Ty_n \rangle = 0$$

Therefore,

$$T \in \overline{\{ST : S \in \mathcal{F}(X, X)\}}^{\tau_c}$$

A slight modification of the proof of Lemma 2.2 shows its symmetric version.

**Lemma 2.3** Let X be a Banach space and A be an operator ideal. The following statements are equivalent:

(a) X has the right  $AP_{\mathcal{A}}$ .

(b) For every separable reflexive Banach space Y and every operator  $T \in \mathcal{A}(X,Y)$ , one has  $T \in \overline{\{TS: S \in \mathcal{F}(X,X)\}}^{\tau_c}$ .

(c) For every separable reflexive Banach space Y and every operator  $T \in \mathcal{K} \circ \mathcal{A}(X,Y)$ , one has  $T \in \overline{\{TS : S \in \mathcal{F}(X,X)\}}^{r_c}$ .

**Theorem 2.4** Let X be a Banach space and  $\mathcal{A}$  be an operator ideal. If  $X^*$  has the right  $AP_{\mathcal{A}}$ , then X has the  $AP_{\mathcal{A}^d}$ . If X is reflexive, the converse holds.

*Proof* Let Y be a Banach space and an operator  $T \in \mathcal{A}^d(Y, X)$ . By the definition of  $\mathcal{A}^d$ , we get  $T^* \in \mathcal{A}(X^*, Y^*)$ . Since  $X^*$  has the right  $AP_{\mathcal{A}}$ , one has

$$T^* \in \overline{\{T^*S : S \in \mathcal{F}(X^*, X^*)\}}^{\tau_c}.$$
(2.3)

It remains to prove that

$$T \in \overline{\{RT : R \in \mathcal{F}(X, X)\}}^{\tau_c}$$

Fix sequences  $(y_n)_n \subset Y, (x_n^*)_n \subset X^*$  with  $\sum_n \|y_n\| \|x_n^*\| < \infty$  such that

$$\sum_{n} \langle x_n^*, RTy_n \rangle = 0, \quad \forall R \in \mathcal{F}(X, X).$$
(2.4)

Since  $\mathcal{F}(X^*, X^*) \subset \overline{\{R^* : R \in \mathcal{F}(X, X)\}}^{\tau_c}$ , by (2.4), we have  $\sum_{n=1}^{\infty} \langle T^* S x_n^*, y_n \rangle = \sum_{n=1}^{\infty} \langle S x_n^*, T y_n \rangle = 0, \quad \forall S \in \mathcal{F}(X^*, X^*).$  By (2.3), we get

$$\sum_{n=1}^{\infty} \langle x_n^*, Ty_n \rangle = \sum_{n=1}^{\infty} \langle i_Y(y_n), T^*x_n^* \rangle = 0.$$

Thus

$$T \in \overline{\{RT : R \in \mathcal{F}(X, X)\}}^{\tau_c}.$$

Suppose that X is reflexive. Fix a Banach space Y and an operator  $T \in \mathcal{A}(X^*, Y)$ . Since X is reflexive, there exists an operator  $U \in \mathcal{L}(Y^*, X)$  such that

$$\langle y^*, Tx^* \rangle = \langle x^*, Uy^* \rangle, \quad x^* \in X^*, y^* \in Y^*.$$

This implies that

$$U^* = i_Y T \in \mathcal{A}(X^*, Y^{**}),$$

where  $i_Y$  denotes the canonical embedding from Y to Y<sup>\*\*</sup>. Thus  $U \in \mathcal{A}^d(Y^*, X)$ . Since X has the  $AP_{\mathcal{A}^d}$ , we get

$$U \in \overline{\{SU : S \in \mathcal{F}(X, X)\}}^{\tau_c}$$
(2.5)

Fix sequences  $(x_n^*)_n \subset X^*, (y_n^*)_n \subset Y^*$  with  $\sum_n \|x_n^*\| \|y_n^*\| < \infty$  such that

$$\sum_{n=1}^{\infty} \langle y_n^*, TRx_n^* \rangle = 0, \quad \forall R \in \mathcal{F}(X^*, X^*).$$
(2.6)

By rescaling, we may assume that  $||y_n^*|| \to 0$  and  $\sum_{n=1}^{\infty} ||x_n^*|| < \infty$ . Then, by (2.5), there exists a net  $(S_{\alpha})_{\alpha} \subset \mathcal{F}(X, X)$  such that

$$\sup_{n} \|U(y_{n}^{*}) - S_{\alpha}U(y_{n}^{*})\| \to 0.$$
(2.7)

Note that for each  $\alpha$ , by (2.6), one has

$$\sum_{n} \langle x_n^*, S_\alpha U y_n^* \rangle = \sum_{n} \langle y_n^*, T S_\alpha^* x_n^* \rangle = 0.$$

By (2.7), we get

$$\sum_{n=1}^{\infty} \langle y_n^*, Tx_n^* \rangle = \sum_{n=1}^{\infty} \langle x_n^*, Uy_n^* \rangle = 0.$$

Thus

$$T \in \overline{\{TR : R \in \mathcal{F}(X^*, X^*)\}}^{\tau_c}.$$

**Theorem 2.5** Let X be a Banach space and  $\mathcal{A}$  be an operator ideal. If  $X^*$  has the  $AP_{\mathcal{A}}$ , then X has the right  $AP_{\mathcal{A}^d}$ . If X is reflexive, the converse holds.

*Proof* Let Y be a Banach space and an operator  $T \in \mathcal{A}^d(X, Y)$ . Since  $X^*$  has the  $AP_{\mathcal{A}}$ , one has

$$T^* \in \overline{\left\{ST^* : S \in \mathcal{F}(X^*, X^*)\right\}}^{\tau_c}.$$
(2.8)

Fix sequences  $(x_n)_{n=1}^{\infty} \subset X, (y_n^*)_{n=1}^{\infty} \subset Y^*$  with  $\sum_{n=1}^{\infty} \|x_n\| \|y_n^*\| < \infty$  such that

$$\sum_{n=1}^{\infty} \langle y_n^*, TRx_n \rangle = 0, \quad \forall R \in \mathcal{F}(X, X).$$
(2.9)

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By rescaling, we may assume that  $||y_n^*|| \to 0$  and  $\sum_{n=1}^{\infty} ||x_n^*|| < \infty$ . Let  $S \in \mathcal{F}(X^*, X^*)$ . Then there exists a net  $(R_{\alpha})_{\alpha} \subset \mathcal{F}(X, X)$  such that  $R_{\alpha}^* \xrightarrow{\tau_c} S$ . Hence

$$\sup_{n} \|R_{\alpha}^{*}T^{*}y_{n}^{*} - ST^{*}y_{n}^{*}\| \to 0.$$

This implies that

$$\sum_{n=1}^{\infty} \langle y_n^*, TR_{\alpha} x_n \rangle \to \sum_{n=1}^{\infty} \langle ST^* y_n^*, x_n \rangle.$$
(2.10)

By (2.9) and (2.10), we get

$$\sum_{n=1}^{\infty} \langle ST^* y_n^*, x_n \rangle = 0, \quad S \in \mathcal{F}(X^*, X^*).$$

$$(2.11)$$

By (2.8), there exists a net  $(S_{\beta})_{\beta} \subset \mathcal{F}(X^*, X^*)$  such that

$$\sup_{n} \|S_{\beta}T^*y_n^* - T^*y_n^*\| \to 0.$$

It follows that

$$\sum_{n=1}^{\infty} \langle S_{\beta} T^* y_n^*, x_n \rangle \to \sum_{n=1}^{\infty} \langle T^* y_n^*, x_n \rangle.$$

By (2.11), we have

$$\sum_{n=1}^{\infty} \langle y_n^*, Tx_n \rangle = \sum_{n=1}^{\infty} \langle T^*y_n^*, x_n \rangle = 0.$$

This shows that

$$T \in \overline{\{TR : R \in \mathcal{F}(X, X)\}}^{\tau_c}.$$

Consequently, X has the right  $AP_{\mathcal{A}^d}$ .

Suppose that X is reflexive. Fix a reflexive Banach space Y and an operator  $T \in \mathcal{A}(Y, X^*)$ . Define  $U: X \to Y^*$  by

$$\langle Ux, y \rangle = \langle Ty, x \rangle, \quad x \in X, y \in Y.$$

Then  $U^*i_Y = T$ . It follows from the reflexivity of Y that  $U^* \in \mathcal{A}(Y^{**}, X^*)$  and hence  $U \in \mathcal{A}^d(X, Y^*)$ . By the assumption, there exists a net  $(S_\alpha)_\alpha \subset \mathcal{F}(X, X)$  such that  $US_\alpha \xrightarrow{\tau_c} U$ . Fix sequences  $(y_n)_n \subset Y, (x_n)_n \subset X$  with  $\sum_n ||x_n|| ||y_n|| < \infty$  such that

$$\sum_{n=1}^{\infty} \langle RTy_n, x_n \rangle = 0, \quad \forall R \in \mathcal{F}(X^*, X^*).$$
(2.12)

We may assume that  $||x_n|| \to 0$  and  $\sum_{n=1}^{\infty} ||y_n|| < \infty$ . Thus

$$\sup_{n} \|US_{\alpha}(x_n) - U(x_n)\| \to 0.$$

From this, one has

$$\sum_{n} \langle US_{\alpha} x_n, y_n \rangle \to \sum_{n} \langle Ux_n, y_n \rangle.$$
(2.13)

By (2.12), for any  $\alpha$ ,

$$\sum_{n} \langle US_{\alpha} x_n, y_n \rangle = \sum_{n} \langle Ty_n, S_{\alpha} x_n \rangle = 0$$

By (2.13),

$$\sum_{n=1}^{\infty} \langle Ty_n, x_n \rangle = \sum_{n=1}^{\infty} \langle Ux_n, y_n \rangle = 0.$$

It follows from the reflexivity of X that

$$T \in \overline{\{RT : R \in \mathcal{F}(X^*, X^*)\}}^{\tau_c}.$$

By Lemma 2.2,  $X^*$  has the  $AP_{\mathcal{A}}$ .

An immediate consequence of Theorems 2.4 and 2.5 is the following result due to Delgado and Piñeiro in [4].

**Corollary 2.6** Let X be a Banach space and  $\mathcal{A}$  be an operator ideal. If  $X^{**}$  has the  $AP_{\mathcal{A}}$ , then so does X.

*Proof* Suppose that  $X^{**}$  has the  $AP_{\mathcal{A}}$ . By Theorem 2.5,  $X^*$  has the right  $AP_{\mathcal{A}^d}$ . By Theorem 2.4, X has the  $AP_{(\mathcal{A}^d)^d}$ . Note that  $\mathcal{A}(Y,X) \subset (\mathcal{A}^d)^d(Y,X)$  whenever Y is reflexive. It follows from Lemma 2.2 that X has the  $AP_{\mathcal{A}}$ .

#### **3** The Bounded Approximation Property with Respect to an Operator Ideal

**Theorem 3.1** The following statements are equivalent for a Banach space X, an operator ideal A and  $\lambda \geq 1$ .

(a) X has the  $\lambda$ -BAP;

(b) For every A-compact subset K of X and every  $\varepsilon > 0$ , there exists a finite rank operator S on X with  $||S|| \leq \lambda$  such that  $\sup_{x \in K} ||Sx - x|| \leq \varepsilon$ ;

(c) For every Banach space Y and every operator  $T \in \mathcal{A}(Y, X)$ , one has

$$T \in \overline{\{ST : S \in \mathcal{F}(X, X), \|S\| \le \lambda\}}^{\text{SOT}};$$

(d) For every Banach space Y and every operator  $T \in \mathcal{A}(X, Y)$ , one has

$$T \in \overline{\{TS : S \in \mathcal{F}(X, X), \|S\| \le \lambda\}}^{\text{SOT}}.$$

*Proof*  $(a) \Rightarrow (b) \Rightarrow (c)$  and  $(a) \Rightarrow (d)$  are trivial.

(c) $\Rightarrow$ (a). Fix a finite subset  $\{x_1, x_2, \dots, x_n\} \subset X$  and  $\epsilon > 0$ . Choose a projection P from X onto span $\{x_1, x_2, \dots, x_n\} \subset X$ . It follows from  $\mathcal{F} \subset \mathcal{A}$  that  $P \in \mathcal{A}(X, X)$ . By (c), we have

$$P \in \overline{\{SP : S \in \mathcal{F}(X, X), \|S\| \le \lambda\}}^{SOT}$$

Then there exists an operator  $S \in \mathcal{F}(X, X)$  with  $||S|| \leq \lambda$  such that

$$||x_i - Sx_i|| = ||Px_i - SPx_i|| < \epsilon, \quad i = 1, 2, \dots, n$$

Thus X has the  $\lambda$ -BAP.

(d) $\Rightarrow$ (a). It is well known that X has the  $\lambda$ -BAP if and only if

$$\operatorname{id}_X \in \overline{\{S: S \in \mathcal{F}(X, X), \|S\| \le \lambda\}}^{\operatorname{WOT}},$$

where WOT denotes the weak operator topology for simplicity. Let  $\phi \in (\mathcal{L}(X, X), WOT)^*$ . Then there exist finite sequences  $(x_n)_{n=1}^m \subset X$  and  $(x_n^*)_{n=1}^m \subset X^*$  such that

$$\langle \phi, U \rangle = \sum_{n=1}^{m} \langle x_n^*, U x_n \rangle, \quad U \in \mathcal{L}(X, X).$$

We may assume that  $||x_n^*|| \leq 1, n = 1, 2, ..., m$ . Let  $K := \overline{\operatorname{absconv}}((x_n^*)_{n=1}^m) \subset B_{X^*}$ . By the factorization lemma, there exists a separable reflexive space Z, which is a subspace of  $X^*$ , such that the inclusion map  $J : Z \to X^*$  is finite rank (see the proof of [10, Theorem 2.2]),  $||J|| \leq 1$  and  $K \subset B_Z$ . Since  $\mathcal{F} \subset \mathcal{A}$ , it follows from the assumption that

$$J^* i_X \in \overline{\{J^* i_X S : S \in \mathcal{F}(X, X), \|S\| \le \lambda\}}^{\text{SOT}}$$

Since the functional  $\psi: T \mapsto \sum_{n=1}^{m} \langle i_Z x_n^*, T x_n \rangle (\forall T \in \mathcal{L}(X, Z^*))$  belongs to  $(\mathcal{L}(X, Z^*), SOT)^*$ , we have

$$\begin{aligned} \operatorname{Re}\langle\phi, \operatorname{id}_X\rangle &= \operatorname{Re}\sum_{n=1}^m \langle i_X x_n, J x_n^* \rangle \\ &= \operatorname{Re}\sum_{n=1}^m \langle J^* i_X x_n, x_n^* \rangle = \operatorname{Re}\langle\psi, J^* i_X \rangle \\ &\leq \sup\{\operatorname{Re}\langle\psi, J^* i_X S \rangle : S \in \mathcal{F}(X, X), \|S\| \leq \lambda\} \\ &= \sup\left\{\operatorname{Re}\sum_{n=1}^m \langle J^* i_X S x_n, x_n^* \rangle : S \in \mathcal{F}(X, X), \|S\| \leq \lambda\right\} \\ &\leq \sup\{\operatorname{Re}\langle\phi, S\rangle : S \in \mathcal{F}(X, X), \|S\| \leq \lambda\}. \end{aligned}$$

Hence  $\operatorname{id}_X \in \overline{\{S: S \in \mathcal{F}(X, X), \|S\| \le \lambda\}}^{WOT}$ .

In the following result, we omit the condition  $\mathcal{A} = \mathcal{A}^{dd}$  of Proposition 1.3 in [8]. Recall that  $c_0^{\mathcal{A}^d}(X^*)$  denotes the space of  $\mathcal{A}^d$ -convergent to zero sequences in  $X^*$ .

**Theorem 3.2** Let X be a Banach space,  $(\mathcal{A}, \|\cdot\|_{\mathcal{A}})$  be a Banach operator ideal. Suppose that  $\tau$  is a locally convex Hausdorff topology on  $\mathcal{L}(X, X)$  such that every functional  $\phi \in (\mathcal{L}(X, X), \tau)^*$  is of the form

$$\langle \phi, T \rangle = \sum_{n} \langle x_n^*, Tx_n \rangle, \quad \forall T \in \mathcal{L}(X, X),$$

where  $(x_n)_n \in l_1(X), (x_n^*)_n \in c_0^{\mathcal{A}^d}(X^*)$ . Then the following are equivalent:

- (a) X has the weak  $\lambda$ -BAP for  $\mathcal{A}$ ;
- (b) For every Banach space Y and every operator  $T \in \mathcal{A}(X,Y)$ , one has

$$\operatorname{id}_X \in \overline{\{S: S \in \mathcal{F}(X, X), \|TS\|_{\mathcal{A}} \le \lambda \|T\|_{\mathcal{A}}\}}^{\tau}.$$

*Proof* (b) $\Rightarrow$ (a) is trivial, so it suffices to prove (a) $\Rightarrow$ (b). Let Y be a Banach space and an operator  $T \in \mathcal{A}(X,Y)$ . Suppose on the contrary that

$$\operatorname{id}_X \notin \overline{\{S: S \in \mathcal{F}(X, X), \|TS\|_{\mathcal{A}} \le \lambda \|T\|_{\mathcal{A}}\}}^{\tau}.$$

Then, by the separation theorem, there exists a functional  $\phi \in (\mathcal{L}(X, X), \tau)^*$  such that  $\langle \phi, \cdot \rangle = \sum_n \langle x_n^*, \cdot x_n \rangle, (x_n)_n \in l_1(X), (x_n^*)_n \in c_0^{\mathcal{A}^d}(X^*)$  and

$$|\langle \phi, \mathrm{id}_X \rangle| > \sup_{\substack{S \in \mathcal{F}(X, X) \\ \|TS\|_{\mathcal{A}} \le \lambda \|T\|_{\mathcal{A}}}} |\langle \phi, S \rangle|.$$

Fix  $\epsilon > 0$ . Then there exists an operator  $R \in \mathcal{A}^d(Z, X^*)$  and  $(z_n)_n \in c_0(Z)$  such that

$$||R||_{\mathcal{A}^d} \le (1+\epsilon)m_{\mathcal{A}^d}((x_n^*)_n), \quad R(z_n) = x_n^*, \quad n = 1, 2, \dots$$

Define an operator

$$T: X \to Z^* \times Y, \quad x \mapsto (\epsilon R^* J_X x, Tx).$$

Then we can derive that  $\widetilde{T} = \epsilon i_1 R^* J_X + i_2 T \in \mathcal{A}(X, Z^* \times Y)$  and  $P_2 \widetilde{T} = T$ , where  $i_1 : Z^* \to Z^* \times Y, i_2 : Y \to Z^* \times Y$  are the canonical injections and  $P_2 : Z^* \times Y \to Y$  is the canonical projection. For each n, define  $f_n \in (Z^* \times Y)^*$  by

$$\langle f_n, (z^*, y) \rangle = \langle z^*, z_n \rangle, \quad (z^*, y) \in Z^* \times Y.$$

By [8, Theorem 1.2 (iii)], we have

$$\left|\sum_{n} \langle f_n, \widetilde{T}x_n \rangle \right| \leq \lambda \sup_{\substack{S \in \mathcal{F}(X,X) \\ \|\widetilde{T}S\|_{\mathcal{A}} \leq \|\widetilde{T}\|_{\mathcal{A}}}} \left|\sum_{n} \langle f_n, \widetilde{T}Sx_n \rangle \right|.$$

Note that for each  $x \in X$ , one has  $\langle f_n, \widetilde{T}x \rangle = \epsilon \langle x_n^*, x \rangle$ . For any operator  $S \in \mathcal{F}(X, X)$  with  $\|\widetilde{T}S\|_{\mathcal{A}} \leq \|\widetilde{T}\|_{\mathcal{A}}$ , one can derive that

$$\begin{aligned} \|TS\|_{\mathcal{A}} &= \|P_2 \widetilde{T}S\|_{\mathcal{A}} \le \|\widetilde{T}S\|_{\mathcal{A}} \le \|\widetilde{T}\|_{\mathcal{A}} \\ &\le \epsilon \|R^*\|_{\mathcal{A}} + \|T\|_{\mathcal{A}} \\ &\le \epsilon (1+\epsilon) m_{\mathcal{A}^d}((x_n^*)_n) + \|T\|_{\mathcal{A}}. \end{aligned}$$

Thus,

$$\begin{aligned} \epsilon \bigg| \sum_{n} \langle x_{n}^{*}, x_{n} \rangle \bigg| &\leq \lambda \epsilon \sup_{\substack{S \in \mathcal{F}(X, X) \\ \| \widehat{T}S \|_{\mathcal{A}} \leq \| \widehat{T} \|_{\mathcal{A}}}} \bigg| \sum_{n} \langle x_{n}^{*}, Sx_{n} \rangle \bigg| \\ &\leq \lambda \epsilon \sup_{\substack{S \in \mathcal{F}(X, X) \\ \| TS \|_{\mathcal{A}} \leq \epsilon(1+\epsilon)m_{\mathcal{A}^{d}}((x_{n}^{*})_{n}) + \| T \|_{\mathcal{A}}}} \bigg| \sum_{n} \langle x_{n}^{*}, Sx_{n} \rangle \bigg| \\ &= \epsilon \bigg[ 1 + \epsilon(1+\epsilon) \frac{m_{\mathcal{A}^{d}}((x_{n}^{*})_{n})}{\| T \|_{\mathcal{A}}} \bigg] \sup_{\substack{S \in \mathcal{F}(X, X) \\ \| TS \|_{\mathcal{A}} \leq \lambda \| T \|_{\mathcal{A}}}} \bigg| \sum_{n} \langle x_{n}^{*}, Sx_{n} \rangle \bigg|. \end{aligned}$$

Letting  $\epsilon \to 0$ , we complete the proof.

As a consequence, we extend [8, Corollary 1.4] to any Banach operator ideal  $\mathcal{A}$  with  $\mathcal{A}^d \supset \overline{\mathcal{F}}$ . **Corollary 3.3** For any  $\lambda \geq 1$ , the  $\lambda$ -BAP for  $\mathcal{A}$  and the weak  $\lambda$ -BAP for  $\mathcal{A}$  coincide whenever  $\mathcal{A}^d$  contains  $\overline{\mathcal{F}}$ .

The rest of this section is concerned with the symmetric version of Theorem 3.2. We shall prove that the topology  $\tau$  in Theorem 3.2, in the case of the left weak  $\lambda$ -BAP for  $\mathcal{A}$ , can be replaced by the topology  $\tau_c(\mathcal{A})$ . First, we establish some characterizations of the left weak  $\lambda$ -BAP for  $\mathcal{A}$ .

**Theorem 3.4** Let X be a Banach space,  $(\mathcal{A}, \|\cdot\|_{\mathcal{A}})$  be a Banach operator ideal and  $\lambda \geq 1$ . Then the following are equivalent:

- (a) X has the left weak  $\lambda$ -BAP for  $\mathcal{A}$ ;
- (b) For every Banach space Y and every operator  $T \in \mathcal{A}(Y, X)$ , one has

 $T \in \overline{\{ST : S \in \mathcal{F}(X, X), \|ST\|_{\mathcal{A}} \le \lambda \|T\|_{\mathcal{A}}\}}^{\text{SOT}};$ 

(c) For every Banach space Y, every operator  $T \in \mathcal{A}(Y, X)$ , all sequences  $(y_n)_n \subset Y$  and  $(x_n^*)_n \subset X^*$  such that  $\sum_n \|y_n\| \|x_n^*\| < \infty$ , one has

$$\left|\sum_{n} \langle x_n^*, Ty_n \rangle \right| \le \lambda \sup_{\substack{S \in \mathcal{F}(X,X) \\ \|ST\|_{\mathcal{A}} \le \|T\|_{\mathcal{A}}}} \left|\sum_{n} \langle x_n^*, STy_n \rangle \right|.$$

(d) For every Banach space Y, every operator  $T \in \mathcal{A}(Y, X)$ , all finite sequences  $(y_n)_{n=1}^N \subset Y$ and  $(x_n^*)_{n=1}^N \subset X^*$ , one has

$$\left|\sum_{n=1}^{N} \langle x_n^*, Ty_n \rangle \right| \le \lambda \sup_{\substack{S \in \mathcal{F}(X,X) \\ \|ST\|_{\mathcal{A}} \le \|T\|_{\mathcal{A}}}} \left|\sum_{n=1}^{N} \langle x_n^*, STy_n \rangle \right|.$$

*Proof* It suffices to prove (d) $\Rightarrow$ (a). Let Y be a Banach space and an operator  $T \in \mathcal{A}(Y, X)$ . Suppose on the contrary that

$$\operatorname{id}_X \notin \overline{\{S: S \in \mathcal{F}(X, X), \|ST\|_{\mathcal{A}} \le \lambda \|T\|_{\mathcal{A}}\}}^{\operatorname{SOT}}$$

By the separation theorem, there exists a functional  $\phi \in (\mathcal{L}(X, X), SOT)^*$  such that it is of the form

$$\langle \phi, T \rangle = \sum_{n=1}^{N} \langle x_n^*, T x_n \rangle, \quad \forall T \in \mathcal{L}(X, X),$$

where  $(x_n)_{n=1}^N \subset X, (x_n^*)_{n=1}^N \subset X^*, ||x_n|| = 1 \ (n = 1, 2, \dots, N)$  and

$$|\langle \phi, \mathrm{id}_X \rangle| > \sup_{\substack{S \in \mathcal{F}(X,X) \\ \|ST\|_{\mathcal{A}} \le \lambda \|T\|_{\mathcal{A}}}} |\langle \phi, S \rangle|.$$

Let  $K = \overline{\operatorname{absconv}}((x_n)_{n=1}^N) \subset B_X$ . Then there exists a separable and reflexive space  $Z \subset X$  such that the inclusion map  $J : Z \to X$  is finite rank,  $||J|| \leq 1$  and  $K \subset B_Z$ . Fix  $\epsilon > 0$ . Define an operator

$$\widetilde{T}:Y\times Z\to X,\quad (y,z)\mapsto Ty+\epsilon Jz.$$

Then  $\widetilde{T} = TP_1 + \epsilon JP_2 \in \mathcal{A}(Y \times Z, X)$  and  $T = \widetilde{T}i_1$ , where  $P_1 : Y \times Z \to Y, P_2 : Y \times Z \to Z$ are the canonical projections and  $i_1 : Y \to Y \times Z$  is the canonical injection. By (d), one has

$$\left|\sum_{n=1}^{N} \langle x_n^*, \widetilde{T}(0, x_n) \rangle \right| \le \lambda \sup_{\substack{S \in \mathcal{F}(X, X) \\ \|S\widetilde{T}\|_{\mathcal{A}} \le \|\widetilde{T}\|_{\mathcal{A}}}} \left|\sum_{n=1}^{N} \langle x_n^*, S\widetilde{T}(0, x_n) \rangle \right|.$$

As in the proof of Theorem 3.2, for any operator  $S \in \mathcal{F}(X, X)$  with  $\|S\widetilde{T}\|_{\mathcal{A}} \leq \|\widetilde{T}\|_{\mathcal{A}}$ , we have

$$\|ST\|_{\mathcal{A}} = \|S\widetilde{T}i_1\|_{\mathcal{A}} \le \|S\widetilde{T}\|_{\mathcal{A}} \le \|\widetilde{T}\|_{\mathcal{A}}$$
$$\le \epsilon \|J\|_{\mathcal{A}} + \|T\|_{\mathcal{A}}.$$

Thus,

$$\epsilon \left| \sum_{n=1}^{N} \langle x_n^*, x_n \rangle \right| \le \lambda \epsilon \sup_{\substack{S \in \mathcal{F}(X, X) \\ \|S\tilde{T}\|_{\mathcal{A}} \le \|\tilde{T}\|_{\mathcal{A}}}} \left| \sum_{n=1}^{N} \langle x_n^*, Sx_n \rangle \right|$$
$$\le \lambda \epsilon \sup_{\substack{S \in \mathcal{F}(X, X) \\ \|ST\|_{\mathcal{A}} \le \epsilon \|J\|_{\mathcal{A}} + \|T\|_{\mathcal{A}}}} \left| \sum_{n=1}^{N} \langle x_n^*, Sx_n \rangle \right|$$

$$= \epsilon \left( 1 + \epsilon \frac{\|J\|_{\mathcal{A}}}{\|T\|_{\mathcal{A}}} \right) \sup_{\substack{S \in \mathcal{F}(X,X) \\ \|ST\|_{\mathcal{A}} \le \lambda \|T\|_{\mathcal{A}}}} \left| \sum_{n=1}^{N} \langle x_n^*, Sx_n \rangle \right|.$$

Let  $\epsilon \to 0$ . We are done.

**Corollary 3.5** Let X be a Banach space,  $(\mathcal{A}, \|\cdot\|_{\mathcal{A}})$  be a Banach operator ideal and  $\lambda \geq 1$ . Then the following are equivalent:

- (a) X has the left weak  $\lambda$ -BAP for  $\mathcal{A}$ ;
- (b) For every Banach space Y and every operator  $T \in \mathcal{A}(Y, X)$ , one has

$$\mathrm{id}_X \in \overline{\{S: S \in \mathcal{F}(X, X), \|ST\|_{\mathcal{A}} \le \lambda \|T\|_{\mathcal{A}}\}}^{\tau_c(\mathcal{A})}$$

*Proof* We only prove (a) $\Rightarrow$ (b). Let Y be a Banach space and an operator  $T \in \mathcal{A}(Y, X)$ . Suppose on the contrary that

$$\mathrm{id}_X \notin \overline{\{S: S \in \mathcal{F}(X, X), \|ST\|_{\mathcal{A}} \le \lambda \|T\|_{\mathcal{A}}\}}^{\tau_c(\mathcal{A})}$$

There exists a functional  $\phi \in (\mathcal{L}(X, X), \tau_c(\mathcal{A}))^*$  such that  $\phi$  is the form of

$$\langle \phi, T \rangle = \sum_{n} \langle x_n^*, Tx_n \rangle, \quad \forall T \in \mathcal{L}(X, X),$$

where  $(x_n)_n \in c_0^{\mathcal{A}}(X), (x_n^*)_n \in l_1(X^*)$  and

$$|\langle \phi, \mathrm{id}_X \rangle| > \sup_{\substack{S \in \mathcal{F}(X, X) \\ \|ST\|_{\mathcal{A}} \le \lambda \|T\|_{\mathcal{A}}}} |\langle \phi, S \rangle|.$$

Fix  $\epsilon > 0$ . Then there exists an operator  $R \in \mathcal{A}(Z, X)$  and  $(z_n)_n \in c_0(Z)$  such that

 $||R||_{\mathcal{A}} \le (1+\epsilon)m_{\mathcal{A}}((x_n)_n), \quad R(z_n) = x_n, \quad n = 1, 2, \dots$ 

As in Theorem 3.4, we define an operator

$$T:Y\times Z\to X,\quad (y,z)\mapsto Ty+\epsilon Rz.$$

Then  $\widetilde{T} = TP_1 + \epsilon RP_2 \in \mathcal{A}(Y \times Z, X)$  and  $T = \widetilde{T}i_1$ . Applying Theorem 3.4 (c) to  $\widetilde{T}, ((0, z_n))_n \subset Y \times Z$  and  $(x_n^*)_n \subset X^*$ , one has

$$\left|\sum_{n} \langle x_n^*, \widetilde{T}(0, z_n) \rangle \right| \le \lambda \sup_{\substack{S \in \mathcal{F}(X, X) \\ \|S\widetilde{T}\|_{\mathcal{A}} \le \|\widetilde{T}\|_{\mathcal{A}}}} \left|\sum_{n} \langle x_n^*, S\widetilde{T}(0, z_n) \rangle \right|.$$

Thus

$$\left|\sum_{n} \langle x_n^*, x_n \rangle \right| \le \lambda \sup_{\substack{S \in \mathcal{F}(X,X) \\ \|S\tilde{T}\|_{\mathcal{A}} \le \|\tilde{T}\|_{\mathcal{A}}}} \left|\sum_{n} \langle x_n^*, Sx_n \rangle \right|.$$

As in the proof of Theorem 3.4, we fix an operator  $S \in \mathcal{F}(X, X)$  with  $\|S\widetilde{T}\|_{\mathcal{A}} \leq \|\widetilde{T}\|_{\mathcal{A}}$ . Then

$$||ST||_{\mathcal{A}} = ||STi_1||_{\mathcal{A}} \le ||ST||_{\mathcal{A}} \le ||T||_{\mathcal{A}}$$
$$\le \epsilon(1+\epsilon)m_{\mathcal{A}}((x_n)_n) + ||T||_{\mathcal{A}}.$$

This implies that

$$\left|\sum_{n} \langle x_n^*, x_n \rangle \right| \le \lambda \sup_{\substack{S \in \mathcal{F}(X, X) \\ \|S\tilde{T}\|_{\mathcal{A}} \le \|\tilde{T}\|_{\mathcal{A}}}} \left|\sum_{n} \langle x_n^*, Sx_n \rangle \right|$$

$$\leq \lambda \sup_{\substack{S \in \mathcal{F}(X,X) \\ \|ST\|_{\mathcal{A}} \leq \epsilon(1+\epsilon)m_{\mathcal{A}}((x_{n})_{n})+\|T\|_{\mathcal{A}}}} \left| \sum_{n} \langle x_{n}^{*}, Sx_{n} \rangle \right|$$

$$= \left[ 1 + \epsilon(1+\epsilon) \frac{m_{\mathcal{A}}((x_{n})_{n})}{\|T\|_{\mathcal{A}}} \right] \sup_{\substack{S \in \mathcal{F}(X,X) \\ \|ST\|_{\mathcal{A}} \leq \lambda \|T\|_{\mathcal{A}}}} \left| \sum_{n} \langle x_{n}^{*}, Sx_{n} \rangle \right|$$

Letting  $\epsilon \to 0$ , we complete the proof.

Consequently, we obtain the symmetric version of Corollary 3.3.

**Corollary 3.6** For any  $\lambda \geq 1$ , the left  $\lambda$ -BAP for  $\mathcal{A}$  and the left weak  $\lambda$ -BAP for  $\mathcal{A}$  coincide whenever  $\mathcal{A}$  contains  $\overline{\mathcal{F}}$ .

### 4 The *p*-compact Sets and the *p*-approximation Property Given by Operator Ideals

We begin this section with some characterizations of relatively  $\mathcal{A}$ -p-compact sets.

**Theorem 4.1** Let X be a Banach space, K a subset of X and  $(\mathcal{A}, \|\cdot\|_{\mathcal{A}})$  a Banach operator ideal. The following are equivalent.

(a) K is relatively  $\mathcal{A}$ -p-compact;

(b) There exist a Banach space Z, an operator  $T \in \mathcal{A}(Z, X)$  and a p-compact subset C of Z such that  $K \subset T(C)$ .

(c) There exist a Banach space Z, operators  $T \in \mathcal{A}(Z,X), S \in \mathcal{K}_p(l_{p'},Z)$  and a compact subset  $M \subset B_{l_{p'}}$  such that  $K \subset (TS)(M)$ ;

(d) There exist a Banach space Z, operators  $T \in \mathcal{A}(Z,X)$  and  $S \in \mathcal{K}_p(l_{p'},Z)$  such that  $K \subset (TS)(B_{l_{p'}});$ 

(e) There exist Banach spaces Z and G, operators  $T \in \mathcal{A}(Z, X)$  and  $S \in \mathcal{K}_p(G, Z)$  such that  $K \subset (TS)(B_G)$ . Moreover,  $m^p_{\mathcal{A}}(K) = \inf\{\|T\|_{\mathcal{A}}m_p(C) : T, C \text{ as in } (b)\} = \inf\{\|T\|_{\mathcal{A}}k_p(S) : T, S \text{ as in } (c)\} = \inf\{\|T\|_{\mathcal{A}}k_p(S) : T, S \text{ as in } (d)\} = \inf\{\|T\|_{\mathcal{A}}k_p(S) : T, S \text{ as in } (e)\}.$ 

Proof (a)=(b) Suppose that K is relatively  $\mathcal{A}$ -p-compact. Let  $\epsilon > 0$ . Then there exists a sequence  $(x_n)_n \in l_p^{\mathcal{A}}(X)$  such that  $K \subset p$ -co{ $x_n$ } and  $||(x_n)_n||_p^{\mathcal{A}} < m_{\mathcal{A}}^p(K) + \frac{\epsilon}{2}$ . By the definition of  $|| \cdot ||_p^{\mathcal{A}}$ , there exist an operator  $T \in \mathcal{A}(Z, X)$  and  $(z_n)_n \in l_p(Z)$  such that  $x_n = Tz_n(n = 1, 2, ...)$  and  $||T||_{\mathcal{A}}||(z_n)_n||_p < ||(x_n)_n||_p^{\mathcal{A}} + \frac{\epsilon}{2}$ . This implies that  $||T||_{\mathcal{A}}||(z_n)_n||_p < m_{\mathcal{A}}^p(K) + \epsilon$ . Let C := p-co{ $z_n$ }. Then C is p-compact and  $||T||_{\mathcal{A}}m_p(C) < m_{\mathcal{A}}^p(K) + \epsilon$ . Thus inf{ $||T||_{\mathcal{A}}m_p(C) : T, C$  as in (b)}  $\leq m_{\mathcal{A}}^p(K)$ .

(b) $\Rightarrow$ (c) Take a Banach space Z, an operator  $T \in \mathcal{A}(Z, X)$  and a *p*-compact subset C of Z such that  $K \subset T(C)$  and  $||T||_{\mathcal{A}}m_p(C) < \inf\{||T||_{\mathcal{A}}m_p(C) : T, C \text{ as in (b)}\} + \epsilon$ . By the definition of *p*-compact subsets, there exists a sequence  $(z_n)_n \in l_p(Z)$  such that  $C \subset \{\sum_n \alpha_n z_n : (\alpha_n)_n \in B_{l_{p'}}\}$  and  $||(z_n)_n||_p < m_p(C) + \frac{\epsilon}{||T||_{\mathcal{A}}}$ . Choose  $1 \leq \xi_n \to \infty$  with  $||(\xi_n z_n)_n||_p < ||(z_n)_n||_p + \frac{\epsilon}{||T||_{\mathcal{A}}}$ . Define operators

$$D: l_{p'} \to l_{p'}, \quad (\alpha_n)_n \mapsto \left(\frac{\alpha_n}{\xi_n}\right)_n,$$

and

$$S: l_{p'} \to Z, \quad (\alpha_n)_n \mapsto \sum_n \alpha_n \xi_n z_n.$$

Then we can derive that D is compact,  $||D|| \leq 1$ , S is p-compact and  $k_p(S) \leq ||(\xi_n z_n)_n||_p < m_p(C) + \frac{2\epsilon}{||T||_A}$ . Thus  $D(B_{l_{p'}})$  is relatively compact and  $K \subset (TS)(D(B_{l_{p'}}))$ . Moreover,

 $||T||_{\mathcal{A}}k_p(S) \leq \inf\{||T||_{\mathcal{A}}m_p(C) : T, C \text{ as in (b)}\} + 3\epsilon.$  Thus, we have  $\inf\{||T||_{\mathcal{A}}k_p(S) : T, S \text{ as in (c)}\} \leq \inf\{||T||_{\mathcal{A}}m_p(C) : T, C \text{ as in (b)}\}.$ 

(c) $\Rightarrow$ (d) is immediate and  $\inf\{||T||_{\mathcal{A}}k_p(S) : T, S \text{ as in (d)}\} \leq \inf\{||T||_{\mathcal{A}}k_p(S) : T, S \text{ as in (c)}\}.$ 

 $(d) \Rightarrow (e) \text{ is trivial and } \inf\{\|T\|_{\mathcal{A}}k_p(S) : T, S \text{ as in } (e)\} \le \inf\{\|T\|_{\mathcal{A}}k_p(S) : T, S \text{ as in } (d)\}.$ 

 $\begin{aligned} (\mathbf{e}) &\Rightarrow (\mathbf{a}) \text{ Fix } \epsilon > 0. \text{ By } (\mathbf{e}), \text{ there exist Banach spaces } Z \text{ and } G, \text{ operators } T \in \mathcal{A}(Z, X) \text{ and } \\ S \in \mathcal{K}_p(G, Z) \text{ such that } K \subset (TS)(B_G) \text{ and } \|T\|_{\mathcal{A}}k_p(S) < \inf\{\|T\|_{\mathcal{A}}k_p(S) : T, S \text{ as in } (\mathbf{e})\} + \epsilon. \\ \text{By the definition of } k_p(S), \text{ there is a sequence } (z_n)_n \in l_p(Z) \text{ such that } S(B_G) \subset \{\sum_n \alpha_n z_n : (\alpha_n)_n \in B_{l_{p'}}\} \text{ and } \|(z_n)_n\|_p < k_p(S) + \frac{\epsilon}{\|T\|_{\mathcal{A}}}. \text{ Let } x_n = Tz_n(n = 1, 2, \ldots). \text{ Then } (x_n)_n \in l_p^{\mathcal{A}}(X) \\ \text{ and } K \subset p\text{-co}\{x_n\}. \text{ Thus } \|T\|_{\mathcal{A}}\|(z_n)_n\|_p \leq \inf\{\|T\|_{\mathcal{A}}k_p(S) : T, S \text{ as in } (\mathbf{e})\} + 2\epsilon. \text{ Therefore, we have } m_{\mathcal{A}}^{\mathcal{A}}(K) \leq \inf\{\|T\|_{\mathcal{A}}k_p(S) : T, S \text{ as in } (\mathbf{e})\}. \end{aligned}$ 

**Corollary 4.2** Let X be a Banach space, K a subset of X and  $(\mathcal{A}, \|\cdot\|_{\mathcal{A}})$  a Banach operator ideal. Then K is relatively  $\mathcal{A}$ -p-compact if and only if K is relatively  $\mathcal{A}$  $\circ\mathcal{K}$ -p-compact. Moreover,  $m^p_{\mathcal{A}}(K) = m^p_{\mathcal{A}\circ\mathcal{K}}(K)$ .

Proof The sufficient part is trivial and  $m^p_{\mathcal{A}}(K) \leq m^p_{\mathcal{A} \circ \mathcal{K}}(K)$ . On the other hand, let  $\epsilon > 0$ . By Theorem 4.1, there exist a Banach space Z, operators  $T \in \mathcal{A}(Z,X), S \in \mathcal{K}_p(l_{p'},Z)$  and a compact subset  $M \subset B_{l_{p'}}$  such that  $K \subset (TS)(M)$  and  $||T||_{\mathcal{A}}k_p(S) < m^p_{\mathcal{A}}(K) + \epsilon$ . By [3, Theorem 3.1], there exist a Banach space W, a p-compact operator  $U: l_{p'} \to W$  and a compact operator  $V: W \to Z$  such that  $k_p(U) \leq (1 + \epsilon)k_p(S), ||V|| \leq 1$  and S = VU. Then we have  $K \subset (TVU)(M)$  and  $TV \in \mathcal{A} \circ \mathcal{K}$ . By Theorem 4.1 again, K is relatively  $\mathcal{A} \circ \mathcal{K}$ -p-compact. Moreover,

$$m^{p}_{\mathcal{A}\circ\mathcal{K}}(K) \leq \|TV\|_{\mathcal{A}}k_{p}(U)$$
$$\leq \|T\|_{\mathcal{A}}\|V\|(1+\epsilon)k_{p}(S)$$
$$\leq (1+\epsilon)(m^{p}_{\mathcal{A}}(K)+\epsilon).$$

Since  $\epsilon > 0$  is arbitrary, we obtain  $m^p_{\mathcal{A} \circ \mathcal{K}}(K) \leq m^p_{\mathcal{A}}(K)$ .

Before stating the result, we recall the surjective hull of an operator ideal  $\mathcal{A}$ . Let  $(\mathcal{A}, \|\cdot\|_{\mathcal{A}})$ be a quasi-normed operator ideal. For a pair of Banach spaces X and Y,  $\mathcal{A}^{\text{sur}}(X,Y)$  denotes the set of  $T \in \mathcal{L}(X,Y)$  such that  $T(B_X) \subset S(B_Z)$  for some Banach space Z and  $S \in \mathcal{A}(Z,X)$ . For  $T \in \mathcal{A}^{\text{sur}}(X,Y)$ , one defines a quasi-norm:

$$||T||_{\mathcal{A}^{\mathrm{sur}}} = \inf\{||S||_{\mathcal{A}} : T(B_X) \subset S(B_Z)\}$$

Then  $(\mathcal{A}^{\text{sur}}, \|\cdot\|_{\mathcal{A}^{\text{sur}}})$  becomes a quasi-normed operator ideal. Combining Theorem 4.1(e) with Corollary 4.2, we obtain the following result.

**Corollary 4.3** Let  $(\mathcal{A}, \|\cdot\|_{\mathcal{A}})$  be a Banach operator ideal. Then

$$\mathcal{K}_p^{\mathcal{A}} = \mathcal{K}_p^{\mathcal{A} \circ \mathcal{K}} = (\mathcal{A} \circ \mathcal{K}_p)^{\mathrm{sur}}$$

holds isometrically.

**Theorem 4.4** The following statements are equivalent for a Banach space X and an operator ideal A.

(a) X has the p-AP<sub>A</sub>;

(b) For every Banach space Y and every operator  $T \in \mathcal{A}(Y, X)$ , we have that

$$T \in \overline{\{ST : S \in \mathcal{F}(X, X)\}}^{\tau_p};$$

(c) For every Banach space Y and every operator  $T \in (\mathcal{A} \circ \mathcal{K}_p)(Y, X)$ , we have that

$$T \in \overline{\{ST : S \in \mathcal{F}(X, X)\}}^{\|\cdot\|};$$

(d) For every Banach space Y and every operator  $T \in (\mathcal{A} \circ \mathcal{K}_p)(Y, X)$ , we have that

$$T \in \overline{\{ST : S \in \mathcal{F}(X, X)\}}^{\tau_c}$$

(e) For every operator  $T \in (\mathcal{A} \circ \mathcal{K}_p)(l_{p'}, X)$ , we have that  $T \in \overline{\{ST : S \in \mathcal{F}(X, X)\}}^{\tau_c}$ .

*Proof* (a) $\Rightarrow$ (b) $\Rightarrow$ (c) $\Rightarrow$ (d) $\Rightarrow$ (e) are trivial. (e) $\Rightarrow$ (a). Given  $\epsilon > 0$  and an  $\mathcal{A}$ -p-compact subset K in X. By Theorem 4.1, there exist a Banach space Z, operators  $T \in \mathcal{A}(Z, X), S \in \mathcal{K}_p(l_{p'}, Z)$  and a compact subset  $M \subset B_{l_{p'}}$  such that  $K \subset (TS)(M)$ . By (e), there exists an operator  $U \in \mathcal{F}(X, X)$  such that

$$||TSx - UTSx|| < \epsilon, \quad \forall x \in M.$$

This implies that

$$\|x - Ux\| < \epsilon, \quad \forall x \in K$$

Arguing as in [3, Theorem 2.5], we obtain the representation of the dual space  $(\mathcal{L}(X, Y), \tau_p(\mathcal{A}))^*$  for  $1 . Every element <math>\phi \in (\mathcal{L}(X, Y), \tau_p(\mathcal{A}))^*$  has the representation

$$\langle \phi, T \rangle = \sum_{n} \sum_{i} z_{i}^{(n)} \langle y_{i}^{*}, Tx_{n} \rangle, \quad T \in \mathcal{L}(X, Y),$$

where  $(x_n)_n \in l_p^{\mathcal{A}}(X), z_i = (z_i^{(n)})_n \in l_{p^*}(i = 1, 2, ...), (y_i^*)_i \in Y^*$  with  $\sum_i ||z_i|| ||y_i^*|| < \infty$ . Thus, we obtain

**Theorem 4.5** Let  $\mathcal{A}$  be an operator ideal, X be a Banach space and 1 . <math>X has the p- $AP_{\mathcal{A}}$  if and only if for every sequence  $(x_n)_n \in l_p^{\mathcal{A}}(X)$  and all sequences  $z_i = (z_i^{(n)})_n \in l_{p^*}(i = 1, 2, ...), (x_i^*)_i \in X^*$  with  $\sum_i ||z_i|| ||x_i^*|| < \infty$  such that  $\sum_n \sum_i z_i^{(n)} \langle x_i^*, x \rangle x_n = 0$  for all  $x \in X$ , we have

$$\sum_{n} \sum_{i} z_i^{(n)} \langle x_i^*, x_n \rangle = 0$$

**Theorem 4.6** Let  $\mathcal{A}$  be an operator ideal and 1 . Then a Banach space <math>X has the p- $AP_{\mathcal{A}}$  if and only if  $\mathcal{F}(X,Y)$  is  $\tau_p(\mathcal{A})$ -dense in  $\mathcal{K}(X,Y)$  for every Banach space Y.

*Proof* We only prove the sufficient part. Fix sequences  $(x_n)_n \in l_p^{\mathcal{A}}(X), z_i = (z_i^{(n)})_n \in l_{p^*}(i = 1, 2, ...), (x_i^*)_i \in X^*$  with  $\sum_i ||z_i|| ||x_i^*|| < \infty$  such that

$$\sum_{n} \sum_{i} z_{i}^{(n)} \langle x_{i}^{*}, x \rangle x_{n} = 0, \quad \forall x \in X.$$

We may assume that  $1 \ge ||x_i^*|| \to 0$  and  $\sum_i ||z_i|| < \infty$ . Then there exists a separable reflexive space Z, which is a subspace of  $X^*$ , such that the inclusion map  $J : Z \to X^*$  is compact,  $\overline{absconv}((x_n^*)_n) \subset B_Z$  and  $||J|| \le 1$ . Moreover,  $\mathcal{F}(X, Z^*) \subset \overline{\{J^*J_XS : S \in \mathcal{F}(X, X)\}}^{\|\cdot\|}$ . By the assumption, we have

$$J^*J_X \in \mathcal{K}(X, Z^*) \subset \overline{\{J^*J_XS : S \in \mathcal{F}(X, X)\}}^{\tau_p(\mathcal{A})}.$$

Let  $\epsilon > 0$ . Choose  $\delta > 0$  with  $\delta \sum_{i} ||z_{i}|| < \epsilon$ . Since  $K := \{\sum_{n} \alpha_{n} x_{n} : (\alpha_{n})_{n} \in B_{l_{p^{*}}}\}$  is  $\mathcal{A}$ -p-compact, there exists an operator  $S \in \mathcal{F}(X, X)$  such that

$$\sup_{(\alpha_n)_n \in B_{l_{p^*}}} \left\| J^* J_X\left(\sum_n \alpha_n x_n\right) - J^* J_X S\left(\sum_n \alpha_n x_n\right) \right\| < \delta.$$

This implies that for every  $(\alpha_n)_n \in B_{l_{p^*}}$  and  $i = 1, 2, \ldots$ , we have

$$\left| \left\langle J^* J_X \left( \sum_n \alpha_n x_n \right) - J^* J_X S \left( \sum_n \alpha_n x_n \right), x_i^* \right\rangle \right| < \delta_i$$

That is,

$$\left|\sum_{n} \alpha_n \langle x_i^*, x_n \rangle - \sum_{n} \alpha_n \langle x_i^*, Sx_n \rangle \right| < \delta.$$

In particular,

$$\left|\sum_{n} z_i^{(n)} \langle x_i^*, x_n \rangle - \sum_{n} z_i^{(n)} \langle x_i^*, Sx_n \rangle \right| \le \delta ||z_i||, \quad i = 1, 2, \dots$$

Thus

$$\left|\sum_{n}\sum_{i}z_{i}^{(n)}\langle x_{i}^{*},x_{n}\rangle\right| = \left|\sum_{n}\sum_{i}z_{i}^{(n)}\langle x_{i}^{*},x_{n}\rangle - \sum_{n}\sum_{i}z_{i}^{(n)}\langle x_{i}^{*},Sx_{n}\rangle\right| \le \delta\sum_{i}\|z_{i}\| < \epsilon.$$

By the arbitrariness of  $\epsilon$ , we have  $\sum_{n} \sum_{i} z_{i}^{(n)} \langle x_{i}^{*}, x_{n} \rangle = 0$ . By Theorem 4.5, X has the *p*-AP<sub>A</sub>. Acknowledgements We thank the referees for their time and comments.

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