

Integral Formula of Minkowski Type and New Characterization of the Wulff Shape

Yi Jun HE

Department of Mathematical Sciences, Tsinghua University, Beijing 100084, P. R. China
and
School of Mathematical Sciences, Shanxi University, Taiyuan 030006, P. R. China
E-mail: heyijun@sxu.edu.cn

Hai Zhong LI

Department of Mathematical Sciences, Tsinghua University, Beijing 100084, P. R. China
E-mail: hli@math.tsinghua.edu.cn

Abstract Given a positive function F on S^n which satisfies a convexity condition, we introduce the r -th anisotropic mean curvature M_r for hypersurfaces in \mathbb{R}^{n+1} which is a generalization of the usual r -th mean curvature H_r . We get integral formulas of Minkowski type for compact hypersurfaces in \mathbb{R}^{n+1} . We give some new characterizations of the Wulff shape by the use of our integral formulas of Minkowski type, in case $F = 1$ which reduces to some well-known results.

Keywords Wulff shape, F -Weingarten operator, anisotropic principal curvature, r -th anisotropic mean curvature, integral formula of Minkowski type

MR(2000) Subject Classification 53C42, 53A30, 53B25

1 Introduction

Let $F: S^n \rightarrow \mathbb{R}^+$ be a smooth function which satisfies the following convexity condition:

$$(D^2F + F1)_x > 0, \quad \forall x \in S^n, \quad (1)$$

where D^2F denotes the intrinsic Hessian of F on S^n and 1 denotes the identity on $T_x S^n$, > 0 means that the matrix is positive definite. We consider the map

$$\begin{aligned} \phi: S^n &\rightarrow \mathbb{R}^{n+1}, \\ x &\rightarrow F(x)x + (\text{grad}_{S^n} F)_x, \end{aligned}$$

its image $W_F = \phi(S^n)$ is a smooth, convex hypersurface in \mathbb{R}^{n+1} called the Wulff shape of F (see [1–5]).

Now let $X: M \rightarrow \mathbb{R}^{n+1}$ be a smooth immersion of a compact, orientable hypersurface without boundary. Let $\nu: M \rightarrow S^n$ denotes its Gauss map, that is, ν is a unit inner normal vector of M .

Let $A_F = D^2F + F1$, $S_F = -A_F \circ d\nu$. S_F is called the F -Weingarten operator, and the eigenvalues of S_F are called anisotropic principal curvatures. Let σ_r be the elementary symmetric functions of the anisotropic principal curvatures $\lambda_1, \lambda_2, \dots, \lambda_n$:

$$\sigma_r = \sum_{i_1 < \dots < i_r} \lambda_{i_1} \cdots \lambda_{i_r} \quad (1 \leq r \leq n).$$

Received March 13, 2007, Accepted June 20, 2007

The first author is supported partially by Tianyuan Fund for Mathematics of NSFC (Grant No. 10526030)

The second author is supported partially by Grant No. 10531090 of the NSFC and by Doctoral Program Foundation of the Ministry of Education of China (2006)

We set $\sigma_0 = 1$. The r -anisotropic mean curvature M_r is defined by $M_r = \sigma_r/C_n^r$, which was introduced by Reilly in [6].

In this paper we first give the following integral formulas of Minkowski type for compact hypersurfaces in \mathbb{R}^{n+1} .

Theorem 1.1 *Let $X: M \rightarrow \mathbb{R}^{n+1}$ be an n -dimensional compact hypersurface, $F: S^n \rightarrow \mathbb{R}^+$ be a smooth function which satisfies (1). Then we have the following integral formulas of Minkowski type hold:*

$$\int_M (FM_r + M_{r+1}\langle X, \nu \rangle) dA_X = 0, \quad r = 0, 1, \dots, n - 1. \tag{2}$$

By the use of the above integral formulas of Minkowski type, we prove the following new characterizations of the Wulff shape:

Theorem 1.2 *Let $X: M \rightarrow \mathbb{R}^{n+1}$ be an n -dimensional compact hypersurface, $F: S^n \rightarrow \mathbb{R}^+$ be a smooth function which satisfies (1), and $M_1 = \text{const}$ and $\langle X, \nu \rangle$ has fixed sign. Then up to translations and homotheties, $X(M)$ is the Wulff shape.*

Theorem 1.3 *Let $X: M \rightarrow \mathbb{R}^{n+1}$ be an n -dimensional compact hypersurface, $F: S^n \rightarrow \mathbb{R}^+$ be a smooth function which satisfies (1). If $M_1 = \text{const}$ and $M_r = \text{const}$ for some r , $2 \leq r \leq n$, then up to translations and homotheties, $X(M)$ is the Wulff shape.*

Theorem 1.4 *Let $X: M \rightarrow \mathbb{R}^{n+1}$ be an n -dimensional compact convex hypersurface, $F: S^n \rightarrow \mathbb{R}^+$ be a smooth function which satisfies (1). If $\frac{M_r}{M_k} = \text{const}$ for some k and r , with $0 \leq k < r \leq n$, then up to translations and homotheties, $X(M)$ is the Wulff shape.*

Theorem 1.5 *Let $X: M \rightarrow \mathbb{R}^{n+1}$ be an n -dimensional compact hypersurface, $F: S^n \rightarrow \mathbb{R}^+$ be a smooth function which satisfies (1). If $\frac{M_k}{M_n} = \text{const}$ for some k , with $0 \leq k \leq n - 1$, then up to translations and homotheties, $X(M)$ is the Wulff shape.*

Choosing $k = 0$ in Theorem 1.4, we get

Corollary 1.1 *Let $X: M \rightarrow \mathbb{R}^{n+1}$ be an n -dimensional compact convex hypersurface, $F: S^n \rightarrow \mathbb{R}^+$ be a smooth function which satisfies (1), and for a fixed r with $1 \leq r \leq n$, $M_r = \text{const}$. Then up to translations and homotheties, $X(M)$ is the Wulff shape.*

Remark 1.1 When $F = 1$, Wulff shape is just the round sphere and $M_r = H_r$, formula (2) reduces to the classical Minkowski integral formula (see [7] or [8]). Theorem 1.2 reduces to the classical Theorem given by Süß [4], Corollary 1.1 reduces to Theorem of Yano [9], Theorem 1.3 reduces to Theorem of Choe [10]. We also note that in [11], the authors proved the integral formula of Minkowski type for compact spacelike hypersurfaces in de Sitter space.

2 Preliminaries

Let $\{E_1, \dots, E_n\}$ is a local orthogonal frame on S^n , let $e_i = E_i \circ \nu$, where $i = 1, \dots, n$. Then $\{e_1, \dots, e_n\}$ is a local orthogonal frame of $X: M \rightarrow \mathbb{R}^{n+1}$.

The structure equation of S^n is:

$$\left\{ \begin{array}{l} dx = \sum_i \theta_i E_i, \\ dE_i = \sum_j \theta_{ij} E_j - \theta_i x, \\ d\theta_i = \sum_j \theta_{ij} \wedge \theta_j, \\ d\theta_{ij} - \sum_k \theta_{ik} \wedge \theta_{kj} = -\frac{1}{2} \sum_{kl} \tilde{R}_{ijkl} \theta_k \wedge \theta_l = -\theta_i \wedge \theta_j, \end{array} \right. \tag{3}$$

where $\theta_{ij} + \theta_{ji} = 0$ and

$$\tilde{R}_{ijkl} = \delta_{ik} \delta_{jl} - \delta_{il} \delta_{jk}. \tag{4}$$

The structure equation of X is (see [12], [13]):

$$\left\{ \begin{aligned} dX &= \sum_i \omega_i e_i, \\ d\nu &= - \sum_{ij} h_{ij} \omega_j e_i, \\ de_i &= \sum_j \omega_{ij} e_j + \sum_j h_{ij} \omega_j \nu, \\ d\omega_i &= \sum_j \omega_{ij} \wedge \omega_j, \\ d\omega_{ij} - \sum_k \omega_{ik} \wedge \omega_{kj} &= -\frac{1}{2} \sum_{kl} R_{ijkl} \theta_k \wedge \theta_l, \end{aligned} \right. \tag{5}$$

where $\omega_{ij} + \omega_{ji} = 0$, $R_{ijkl} + R_{ijlk} = 0$, and R_{ijkl} are the components of the Riemannian curvature tensor of M with respect to the induced metric $dX \cdot dX$.

From $de_i = d(E_i \circ \nu) = \nu^* dE_i = \sum_j \nu^* \theta_{ij} e_j - \nu^* \theta_i \nu$, we get

$$\left\{ \begin{aligned} \omega_{ij} &= \nu^* \theta_{ij}, \\ \nu^* \theta_i &= - \sum_j h_{ij} \omega_j, \end{aligned} \right. \tag{6}$$

where $\omega_{ij} + \omega_{ji} = 0$, $h_{ij} = h_{ji}$.

Let $F: S^n \rightarrow \mathbb{R}^+$ be a smooth function. We denote the coefficients of covariant differential of F , $\text{grad}_{S^n} F$, $D^2 F$ with respect to $\{E_i\}_{i=1, \dots, n}$ by F_i, F_{ij}, F_{ijk} respectively.

From Ricci identity and (4), we have

$$F_{ijk} - F_{ikj} = \sum_m F_m \tilde{R}_{mijk} = \delta_{ik} F_j - \delta_{ij} F_k, \tag{7}$$

where F_{ijk} denote the coefficients of the covariant differential of F_{ij} on S^n .

So, if we denote the coefficients of A_F by A_{ij} , then we have from (7)

$$A_{ijk} = A_{jik} = A_{ikj}, \tag{8}$$

where A_{ijk} denote the coefficients of the covariant differential of A_F on S^n .

Let $s_{ij} = \sum_k (A_{ik} \circ \nu) h_{kj}$, $S_F = -A_F \circ d\nu$. Then we have $S_F(e_j) = \sum_i s_{ij} e_i$. We call S_F the F -Weingarten operator. From the positive definiteness of (A_{ij}) and the symmetry of (h_{ij}) , we know the eigenvalues of (s_{ij}) are all real (in fact, because $A = (A_{ij})$ is positive definite, there exists a non-singular matrix C such that $A = C^t C$, we have $S = (s_{ij}) = AB$ has the same eigenvalues with the real symmetric matrix CBC^T , which follows from $|\lambda I - S| = |\lambda I - AB| = |\lambda I - C^t C B| = |\lambda I - C B C^t|$, where $B = (h_{ij})$). We call them anisotropic principal curvatures, and denote them by $\lambda_1, \dots, \lambda_n$.

We have n invariants, and the elementary symmetric function σ_r of the anisotropic principal curvatures:

$$\sigma_r = \sum_{i_1 < \dots < i_r} \lambda_{i_1} \cdots \lambda_{i_r} \quad (1 \leq r \leq n). \tag{9}$$

For convenience, we set $\sigma_0 = 1$. The r -anisotropic mean curvature M_r is defined by

$$M_r = \sigma_r / C_n^r, \quad C_n^r = \frac{n!}{r!(n-r)!}. \tag{10}$$

Using the characteristic polynomial of S_F , σ_r is defined by

$$\det(tI - S_F) = \sum_{r=0}^n (-1)^r \sigma_r t^{n-r}. \tag{11}$$

So, we have

$$\sigma_r = \frac{1}{r!} \sum_{i_1, \dots, i_r; j_1, \dots, j_r} \delta_{i_1 \dots i_r}^{j_1 \dots j_r} s_{i_1 j_1} \cdots s_{i_r j_r}, \tag{12}$$

where $\delta_{i_1 \dots i_r}^{j_1 \dots j_r}$ is the usual generalized Kronecker symbol, i.e., $\delta_{i_1 \dots i_r}^{j_1 \dots j_r}$ equals +1 (resp. -1) if $i_1 \dots i_r$ are distinct and $(j_1 \dots j_r)$ is an even (resp. odd) permutation of $(i_1 \dots i_r)$ and in other cases it equals zero.

We define $(F \circ \nu)_i, (F_i \circ \nu)_j, (A_{ij} \circ \nu)_k$ by

$$d(F \circ \nu) = \sum_i (F \circ \nu)_i \omega_i, \tag{13}$$

$$d(F_i \circ \nu) + \sum_j (F_j \circ \nu) \omega_{ji} = \sum_j (F_i \circ \nu)_j \omega_j, \tag{14}$$

$$d(A_{ij} \circ \nu) + \sum_k (A_{kj} \circ \nu) \omega_{ki} + \sum_k (A_{ik} \circ \nu) \omega_{kj} = \sum_k (A_{ij} \circ \nu)_k \omega_k. \tag{15}$$

By the use of (3), (5) and (6), we have by a direct calculation

$$\begin{cases} (F \circ \nu)_i = - \sum_j h_{ij} F_j \circ \nu, \\ (F_i \circ \nu)_j = - \sum_k h_{jk} F_{ik} \circ \nu, \\ (A_{ij} \circ \nu)_k = - \sum_l h_{kl} A_{ijl} \circ \nu. \end{cases} \tag{16}$$

3 Some Lemmas

We introduce an important operator P_r (also see Reilly [6]) by

$$P_r = \sigma_r I - \sigma_{r-1} S_F + \dots + (-1)^r S_F^r, \quad r = 0, 1, \dots, n. \tag{17}$$

We have the following lemmas:

Lemma 3.1 $(S_F A_F)^t = S_F A_F, (d\nu \circ S_F)^t = d\nu \circ S_F, s_{ijk} = s_{ikj}, \sum_l h_{il} s_{lk} = \sum_l h_{kl} s_{li}, \sum_l h_{kl} (P_r)_{lj} = \sum_l h_{jl} (P_r)_{lk}$, where s_{ijk} are the components of the covariant derivative of s_{ij} .

Proof Since $S_F = -A_F \circ d\nu$, and $A_F, d\nu$ are symmetric operators, the first two identities are obvious. From the symmetry property (8) of A_{ijk} , $h_{ij} = h_{ji}$ and Codazzi equation $h_{ijk} = h_{ikj}$, we have, by the use of (16),

$$\begin{aligned} s_{ijk} &= \left(\sum_l A_{il} h_{lj} \right)_k = \sum_l (A_{il} \circ \nu)_k h_{lj} + \sum_l A_{il} h_{ljk} \\ &= - \sum_{l,m} (A_{ilm} \circ \nu) h_{lj} h_{km} + \sum_l A_{il} h_{ljk} \\ &= \sum_m (A_{im} \circ \nu)_j h_{mk} + \sum_l A_{il} h_{lkj} = \left(\sum_l A_{il} h_{lk} \right)_j = s_{ikj}. \\ \sum_l h_{il} s_{lk} &= \sum_{l,m} h_{il} A_{lm} h_{mk} = \sum_{l,m} h_{km} A_{ml} h_{li} = \sum_l h_{kl} s_{li}. \end{aligned} \tag{18}$$

By the use of the above formula and the definition of P_r , we get the last identity in Lemma 3.1.

Lemma 3.2 The matrix of P_r is given by:

$$(P_r)_{ij} = \frac{1}{r!} \sum_{i_1, \dots, i_r; j_1, \dots, j_r} \delta_{i_1 \dots i_r}^{j_1 \dots j_r} s_{i_1 j_1} \dots s_{i_r j_r}. \tag{19}$$

Proof We prove Lemma 3.2 inductively. For $r = 0$, it is easy to check that (19) is true.

We can check directly

$$\delta_{i_1 \dots i_q}^{j_1 \dots j_q} = \begin{vmatrix} \delta_{i_1}^{j_1} & \delta_{i_1}^{j_2} & \dots & \delta_{i_1}^{j_{q-1}} & \delta_{i_1}^{j_q} \\ \delta_{i_2}^{j_1} & \delta_{i_2}^{j_2} & \dots & \delta_{i_2}^{j_{q-1}} & \delta_{i_2}^{j_q} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ \delta_{i_{q-1}}^{j_1} & \delta_{i_{q-1}}^{j_2} & \dots & \delta_{i_{q-1}}^{j_{q-1}} & \delta_{i_{q-1}}^{j_q} \\ \delta_{i_q}^{j_1} & \delta_{i_q}^{j_2} & \dots & \delta_{i_q}^{j_{q-1}} & \delta_{i_q}^{j_q} \end{vmatrix}. \tag{20}$$

Assume that (19) is true for $r = k$, we only need to show that it is also true for $r = k + 1$. For $r = k + 1$, using (12) and (20), we have

$$\begin{aligned}
 \text{RHS of (19)} &= \frac{1}{(k+1)!} \sum_{i_1, \dots, i_{k+1}; j_1, \dots, j_{k+1}} \delta_{i_1 \dots i_{k+1}}^{j_1 \dots j_{k+1}} s_{i_1 j_1} \dots s_{i_{k+1} j_{k+1}} \\
 &= \frac{1}{(k+1)!} \sum \begin{vmatrix} \delta_{i_1}^{j_1} & \delta_{i_1}^{j_2} & \dots & \delta_{i_1}^{j_{k+1}} & \delta_{i_1}^i \\ \delta_{i_2}^{j_1} & \delta_{i_2}^{j_2} & \dots & \delta_{i_2}^{j_{k+1}} & \delta_{i_2}^i \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ \delta_{i_{k+1}}^{j_1} & \delta_{i_{k+1}}^{j_2} & \dots & \delta_{i_{k+1}}^{j_{k+1}} & \delta_{i_{k+1}}^i \\ \delta_j^{j_1} & \delta_j^{j_2} & \dots & \delta_j^{j_{k+1}} & \delta_j^i \end{vmatrix} s_{i_1 j_1} \dots s_{i_{k+1} j_{k+1}} \\
 &= \frac{1}{(k+1)!} \sum (\delta_j^i \delta_{i_1 \dots i_{k+1}}^{j_1 \dots j_{k+1}} - \delta_j^{j_{k+1}} \delta_{i_1 \dots i_k i_{k+1}}^{j_1 \dots j_k i} + \dots) s_{i_1 j_1} \dots s_{i_{k+1} j_{k+1}} \\
 &= \sigma_{k+1} \delta_{ij} - \frac{1}{(k+1)!} \sum \delta_j^{j_{k+1}} \delta_{i_1 \dots i_k i_{k+1}}^{j_1 \dots j_k i} s_{i_1 j_1} \dots s_{i_{k+1} j_{k+1}} + \dots \\
 &= \sigma_{k+1} \delta_{ij} - \sum (P_k)_{ii_{k+1}} s_{i_{k+1} j} \\
 &= (P_{k+1})_{ij}.
 \end{aligned}$$

Lemma 3.3 For each r , we have

- (i) $\sum_j (P_r)_{jij} = 0$,
- (ii) $\text{tr}(P_r S_F) = (r + 1)\sigma_{r+1}$,
- (iii) $\text{tr}(P_r) = (n - r)\sigma_r$.

Proof (i) Noting (j, j_r) is skew-symmetric in $\delta_{i_1 \dots i_r i}^{j_1 \dots j_r j}$ and (j, j_r) is symmetric in $s_{i_1 j_1} \dots s_{i_r j_r}$ (from Lemma 3.1), we have

$$\sum_j (P_r)_{jij} = \frac{1}{(r-1)!} \sum_{i_1, \dots, i_r; j_1, \dots, j_r; j} \delta_{i_1 \dots i_r i}^{j_1 \dots j_r j} s_{i_1 j_1} \dots s_{i_r j_r} = 0.$$

(ii) Using (19) and (12), we have

$$\begin{aligned}
 \text{tr}(P_r S_F) &= \sum_{ij} (P_r)_{ij} s_{ji} \\
 &= \frac{1}{r!} \sum_{i_1, \dots, i_r; j_1, \dots, j_r; i, j} \delta_{i_1 \dots i_r j}^{j_1 \dots j_r i} s_{i_1 j_1} \dots s_{i_r j_r} s_{ji} \\
 &= (r + 1)\sigma_{r+1}.
 \end{aligned}$$

(iii) Using (ii) and the definition of P_r , we have

$$\text{tr}(P_r) = \text{tr}(\sigma_r I) - \text{tr}(P_{r-1} S_F) = n\sigma_r - r\sigma_r = (n - r)\sigma_r.$$

Remark 3.1 When $F = 1$, Lemma 3.3 is a well-known result (for example, see Barbosa–Colares [14]).

Lemma 3.4 If $\lambda_1 = \lambda_2 = \dots = \lambda_n = \text{const} \neq 0$, then up to translations and homotheties, $X(M)$ is the Wulff shape.

Proof Choose a local orthogonal frame e_1, e_2, \dots, e_n such that A_F is diagonalized:

$$A_F = \text{diag}(\mu_1, \dots, \mu_n), \tag{21}$$

where $\mu_i > 0$ for $i = 1, \dots, n$ by the convexity condition. Then we have $S_{ij} = \mu_i h_{ij}$. From (10) and (12), we get

$$0 = M_1^2 - M_2 = \left(\frac{1}{n} \sum_i \mu_i h_{ii} \right)^2 - \frac{2}{n(n-1)} \sum_{i < j} \mu_i \mu_j (h_{ii} h_{jj} - h_{ij}^2)$$

$$\begin{aligned}
 &= \frac{1}{n^2(n-1)} \left\{ (n-1) \left(\sum_i \mu_i h_{ii} \right)^2 - 2n \sum_{i < j} \mu_i \mu_j (h_{ii} h_{jj} - h_{ij}^2) \right\} \\
 &= \frac{1}{n^2(n-1)} \sum_{i < j} \{ (\mu_i h_{ii} - \mu_j h_{jj})^2 + 2n \mu_i \mu_j h_{ij}^2 \},
 \end{aligned}$$

so, $\mu_1 h_{11} = \mu_2 h_{22} = \dots = \mu_n h_{nn}$ and $h_{ij} = 0$ when $i \neq j$. Then, from [1] or [3], [15], up to translations and homotheties, $X(M)$ is the Wulff shape.

4 Proofs of Theorem 1.1–Theorem 1.5

Proof of Theorem 1.1 By the use of (5), we have

$$\langle X, \nu \rangle_i = - \sum_j h_{ij} \langle X, e_j \rangle, \quad \langle X, e_j \rangle_i = \delta_{ij} + h_{ij} \langle X, \nu \rangle, \tag{22}$$

so, from (16), Lemma 3.1 and (i), (ii), (iii) of Lemma 3.3, we have the following calculation

$$\begin{aligned}
 &\text{div}\{P_r(\langle X, \nu \rangle \text{grad}_{S^n} F - F \text{grad}|X|^2/2)\} \\
 &= \sum_{ij} \{(P_r)_{ij}(\langle X, \nu \rangle F_j - F \langle X, e_j \rangle)\}_i \\
 &= \sum_{ij} (P_r)_{ij} \left\{ - \sum_k h_{ik} (\langle X, e_k \rangle F_j + \langle X, \nu \rangle F_{jk} - F_k \langle X, e_j \rangle) - F \delta_{ij} - F h_{ij} \langle X, \nu \rangle \right\} \\
 &= - \sum_{ijk} h_{ki} (P_r)_{ij} \langle X, e_k \rangle F_j + \sum_{ijk} h_{ki} (P_r)_{ij} \langle X, e_j \rangle F_k \\
 &\quad - \langle X, \nu \rangle \sum_{ijk} (P_r)_{ij} (F_{jk} + F \delta_{jk}) h_{ki} - F \sum_i (P_r)_{ii} \\
 &= - \sum_{ijk} h_{ki} (P_r)_{ij} \langle X, e_k \rangle F_j + \sum_{ijk} h_{ji} (P_r)_{ik} \langle X, e_k \rangle F_j \\
 &\quad - \langle X, \nu \rangle \sum_{ijk} (P_r)_{ij} A_{jk} h_{ki} - F \sum_i (P_r)_{ii} \\
 &= - \langle X, \nu \rangle \sum_{ij} (P_r)_{ij} s_{ji} - F \sum_i (P_r)_{ii} \\
 &= - \langle X, \nu \rangle \text{tr}(P_r S_F) - F \text{tr}(P_r) \\
 &= - \langle X, \nu \rangle (r+1) \sigma_{r+1} - F(n-r) \sigma_r \\
 &= -(n-r) C_n^r (FM_r + M_{r+1} \langle X, \nu \rangle).
 \end{aligned}$$

Integrating the above formula over M , we get (2) by the use of Stokes Theorem.

Proof of Theorem 1.2 From (2), we have

$$\int_M (F + M_1 \langle X, \nu \rangle) dA_X = 0, \tag{23}$$

$$\int_M (FM_1 + M_2 \langle X, \nu \rangle) dA_X = 0. \tag{24}$$

By the assumption $M_1 = \text{const}$, we get from (23) and (24)

$$\int_M \langle X, \nu \rangle (M_1^2 - M_2) dA_X = 0. \tag{25}$$

On the other hand,

$$M_1^2 - M_2 = \frac{1}{n^2(n-1)} \sum_{j < i} (\lambda_i - \lambda_j)^2 \geq 0. \tag{26}$$

Thus, if $\langle X, \nu \rangle$ has fixed sign, then $M_1^2 - M_2 = 0$, so

$$\lambda_1 = \lambda_2 = \dots = \lambda_n.$$

Thus, from Lemma 3.4, up to translations and homotheties, $X(M)$ is the Wulff shape.

Proof of Theorem 1.3 We have the fact that if M is compact and $M_r > 0$ then

$$M_{r-1} \geq M_r^{(r-1)/r}, \quad 2 \leq r \leq n \tag{27}$$

with equality holding if and only if $\lambda_1 = \lambda_2 = \dots = \lambda_n$ on M (cf. [10], [16]). Indeed (27) holds if $M_r \equiv \text{const}$, since M is compact, there exists a point p_0 on M such that all principal curvatures are positive at p_0 , so all anisotropic principal curvatures are positive at p_0 . Applying (27) inductively, one sees that if $M_r \equiv \text{const}$, then

$$M_r \leq M_1^r, \tag{28}$$

here again equality holds if and only if $\lambda_1 = \lambda_2 = \dots = \lambda_n$.

Integrating $FM_r^{(r-1)/r} \leq FM_{r-1}$ over M , using (2) and $M_r = \text{const}$, we get

$$M_r^{(r-1)/r} \int_M F dA_X \leq \int_M FM_{r-1} dA_X = -M_r \int_M \langle X, \nu \rangle dA_X. \tag{29}$$

On the other hand, our assumption $M_1 = \text{const}$ (thus $M_1 > 0$) and (23) implies

$$\int_M \langle X, \nu \rangle dA_X = -\frac{1}{M_1} \int_M F dA_X. \tag{30}$$

Putting (30) into (29), we get

$$M_1^r \leq M_r. \tag{31}$$

Therefore equality holds in (28) and $\lambda_1 = \lambda_2 = \dots = \lambda_n$ on M . Thus, from Lemma 3.4, up to translations and homotheties, $X(M)$ is the Wulff shape.

Proof of Theorem 1.4 From (2), we have

$$\int_M (FM_k + M_{k+1} \langle X, \nu \rangle) dA_X = 0, \tag{32}$$

$$\int_M (FM_r + M_{r+1} \langle X, \nu \rangle) dA_X = 0. \tag{33}$$

From the assumptions $\frac{M_r}{M_k} = \text{const}$, $\frac{M_r}{M_k} \times (32) - (33)$ implies

$$\int_M \langle X, \nu \rangle (M_{r+1} - \frac{M_r}{M_k} M_{k+1}) dA_X = 0. \tag{34}$$

From the convexity of M , all the principal curvatures of M are positive, so all the anisotropic principal curvature are positive, we have $M_l > 0$, $0 \leq l \leq n$ on M . From (see [17])

$$M_k \cdot M_{k+2} \leq M_{k+1}^2, \quad \dots, \quad M_{r-1} M_{r+1} \leq M_r^2, \tag{35}$$

where equality holds in one of (35) if and only if $\lambda_1 = \lambda_2 = \dots = \lambda_n$, we can check

$$M_k M_{r+1} \leq M_{k+1} M_r,$$

that is,

$$M_{r+1} - \frac{M_r}{M_k} M_{k+1} \leq 0. \tag{36}$$

On the other hand, we can choose the position of origin O such that $\langle X, \nu \rangle$ has fixed sign. Thus, from (34) and (36), $M_k M_{r+1} = M_{k+1} M_r$, so $\lambda_1 = \lambda_2 = \dots = \lambda_n$. Thus, from Lemma 3.4, up to translations and homotheties, $X(M)$ is the Wulff shape.

Proof of Theorem 1.5 From the proof of Theorem 1.3, we know that there exists a point $p_0 \in M$ such that the anisotropic principal curvature $\lambda_i(p_0) > 0$, $1 \leq i \leq n$. From $\frac{M_k}{M_n} = \text{constant}$, we have $\frac{M_k}{M_n} = \frac{M_k}{M_n}(p_0) > 0$. Thus $M_n \neq 0$ on M , by the continuity of λ_i , we have $\lambda_i > 0$, $1 \leq i \leq n$, on M . Therefore, all principal curvatures of M are positive on M , and M is convex. Theorem 1.5 follows from Theorem 1.4.

References

- [1] Clarenz, U.: The Wulff-shape minimizes an anisotropic Willmore functional, *Interfaces and Free Boundaries*, **6**, 35–359 (2004)
- [2] Koiso, M., Palmer, B.: Geometry and stability of surfaces with constant anisotropic mean curvature. *Indiana Univ. Math. J.*, **54**, 1817–1852 (2005)
- [3] Palmer, B.: Stability of the Wulff shape. *Proc. Amer. Math. Soc.*, **126**, 3661–3667 (1998)
- [4] Süß, W.: Zur relativen Differentialgeometrie. V., *Tôhoku Math. J.*, **30**, 202–209 (1929)
- [5] Taylor, J.: Crystalline variational problems. *Bull. Amer. Math. Soc.*, **84**, 568–588 (1978)
- [6] Reilly, R.: The relative differential geometry of nonparametric hypersurfaces. *Duke Math. J.*, **43**, 705–721 (1976)
- [7] Hsiung, C. C.: Some integral formulas for closed hypersurfaces. *Math. Scand.*, **2**, 286–294 (1954)
- [8] Simon, U.: Minkowskische integralformeln und ihre Anwendungen in der Differentialgeometrie in Grossen. *Math. Ann.*, **173**, 307–321 (1967)
- [9] Yano, K.: Integral formulas in Riemannian geometry, Marcel, Dekker, N. Y., 1970
- [10] Choe, J.: Sufficient conditions for constant mean curvature surfaces to be round. *Math. Ann.*, **323**, 143–156 (2002)
- [11] Li, H., Chen, W. H.: Integral formulas for compact spacelike hypersurfaces in de Sitter space and their applications to Goddard’s conjecture. *Acta Mathematica Sinica, New Series*, **14**, 285–288 (1998)
- [12] Li, H.: Hypersurfaces with constant scalar curvature in space forms. *Math. Ann.*, **305**, 665–672 (1996)
- [13] Li, H.: Global rigidity theorems of hypersurfaces. *Ark. Math.*, **35**, 327–351 (1997)
- [14] Barbosa, J. L. M., Colares, A. G.: Stability of hypersurfaces with constant r -mean curvature. *Ann. Global Anal. Geom.*, **15**, 277–297 (1997)
- [15] Winklmann, S.: A note on the stability of the Wulff shape. *Arch. Math.*, **87** 272–279 (2006)
- [16] Montiel, S., Ros, A.: Compact hypersurfaces: The Alexandrov theorem for higher order mean curvatures, in Lawson, B. and Tenenblat, K. (eds), *Differential Geometry*, Pitman Monographs, Vol. 52, Longman, Essex, 1991, pp. 279–296
- [17] Hardy, G. H., Littlewood, J. E., Polya, G.: *Inequalities*, Cambridge Univ. Press, London, 1934