ORIGINAL ARTICLE



Factors associated with ventilator-associated events: an international multicenter prospective cohort study

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Abstract

A secondary analysis of a prospective multicenter cohort was performed in six intensive care units (ICU) in four European countries (France, Greece, Spain and Turkey). The main objective was to identify factors associated with ventilator-associated events (VAEs) in adults who underwent mechanical ventilation (MV) > 48 h. Secondary objectives were to identify: variables influencing VAE in the subpopulation with endotracheal intubation and in those subjects who were ventilated > 7 days. Subjects who had undergone $MV \ge 48$ h were included. In subjects with multiple episodes of MV, only the first one was eligible. The adult definitions for VAEs were adjusted to the 2015 update of the CDC's 2013 National Healthcare Safety Network Association. Factors associated with VAE were estimated through multivariate Cox proportional hazards analysis. Among 163 adults (42 tracheostomies), 76 VAEs (34.9 VAEs/1,000 ventilator-days) were documented: 9 were Ventilator-Associated Conditions (VAC) and 67 Infection-related Ventilator-Associated Complications (IVAC)-plus (9 only IVAC and 58 Possible Ventilator-Associated Pneumonia). VAEs developed after a median of 6 days (interquartile range: 4–9). VAEs were independently associated with longacting sedative/analgesic drugs (Hazard Ratio [HR]: 4.30), selective digestive decontamination (SDD) (HR: 0.38), and surgical/ trauma admission (HR: 2.30). Among 116 subjects with endotracheal tube, SDD (HR: 0.21) and surgical/trauma admission (HR: 3.11) remained associated with VAE. Among 102 subjects ventilated >7 days, only long-acting sedative/analgesic agents (HR: 8.69) remained independently associated with VAE. In summary, SDD implementation and long-acting analgesic/sedative agents restriction prescription may prevent early and late VAEs, respectively. Bundles developed to prevent VAEs should include these two interventions.

Keywords Ventilator-associated pneumonia · Selective digestive decontamination · Midazolam · Prevention bundles · Mechanical ventilation · Safety

Introduction

The implementation of ventilator bundles should be based on variables that influence the risk of ventilator-associated events (VAEs) [1]. Few studies have defined the risk factors for VAEs

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or how best to prevent these events. As healthcare systems differ according to geographical region, the composition of the bundles proposed is not the same in the USA, a country where private practice predominates, and in the European Union, with its national health care systems [1–3]. Certain practices such as selective digestive decontamination (SDD) [4] also present regional variations. Thus, potential new ventilator bundles should be based on evidence derived from analyses of associations between VAEs and clinical practice. The variability in clinical practice provides an opportunity to identify potential areas that might benefit from intervention.

Recently, two prospective studies with a similar design [5, 6] carried out by our group have described VAEs in adults and children undergoing mechanical ventilation (MV). Subjects recruited at six different sites from Europe were studied in



order to identify variables associated with a first VAE. Our hypothesis was that many variables would be suitable for intervention. Our main objective was to identify factors associated with VAEs in patients who underwent MV \geq 48 h. Secondary objectives were to identify variables influencing VAE in the subpopulation with endotracheal intubation, and in patients who underwent MV during more than 7 days.

Methods

Study design and inclusion criteria

The study was a secondary analysis of a prospective, international, multicenter study [6]. Thirty consecutive subjects who had undergone MV for 48 h or more were eligible. Sites reporting less than two VAE episodes or less than two controls were excluded (Figure 1 of the electronic supplementary material). Subjects with ICU-acquired respiratory viral infections were also excluded. Daily follow-up was implemented for 30 days. Duration of MV was considered until extubation or ICU death. In patients with more than one episode of MV, only the first one was considered. Data were collected through a collaborative web database (http://compartint.net/euvae/). An external quality assessment was performed for severity-of-illness score in a 5% sample of variables, which reported an agreement above 83%.

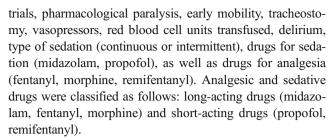
Definitions

An episode of ventilation was defined by the number of consecutive days during which the patient was ventilated. A period of at least one calendar day off the ventilator, followed by re-initiation of ventilation, defined a new episode of ventilation. The adult definitions for ventilator-associated events were adjusted to the 2015 update [7] (Fig. 1) of the CDC's 2013 National Healthcare Safety Network [8]. Delirium definition was reported elsewhere [9].

Study variables

The following patients' characteristics were considered: gender, age, presence of comorbidities, body mass index, and severity-of-illness index. High severity was defined as an APACHE II score above 20. Medical, surgical, and trauma patients were included.

Reasons for intubation included the following: respiratory failure, surgery, cardiogenic shock, altered level of consciousness, and sepsis/septic shock. Intubation could be performed in the ICU or elsewhere (operation room, pre-hospital, emergency department or hospital ward). Other variables recorded were implementation of SDD, chlorhexidine rinse (0.12%, 0.2% or 2% concentrations) for oral care, spontaneous breath



The following patients' outcomes were considered: length of stay (ICU and hospital) and ICU mortality.

Statistical analysis

A descriptive analysis was performed for patient characteristics, reporting percentages and medians, with its interquartile ranges (IQR) for quantitative variables. Two measures of VAE incidence were computed: (1) number of VAEs divided by the total number of ventilator-days and (2) number of VAEs divided by the total number of episodes of MV. To compare proportions, we used the Pearson's X^2 test, whereas to compare medians of quantitative variables (length of stay, ICU and hospital) we used the Mann-Whitney U test.

Association between factors and VAEs was estimated using Cox proportional hazards regression models. Hazard ratios (HR) and 95% confidence intervals (CI) were computed. Three multivariate models were constructed, according to the characteristics of patients included: (1) overall population, (2) patients with endotracheal tube, and (3) patients who underwent MV during more than 7 days. A fourth multivariate model was constructed to assess the association between factors and IVAC-plus. Patients were censored on extubation or death. We considered time-dependent variables for daily sedative and analgesic drugs, spontaneous breath trials and pharmacological paralysis. All multivariate analyses were adjusted by center. Variables were considered for the multivariate model if they reached a p value of 0.10 in the univariate Cox proportional hazards regression models. Statistical significance was considered if the p value was < 0.05. All statistical analyses were performed using the SPSS Statistics version 25.0 (IBM).

Results

The study population included 163 patients undergoing MV (2,178 ventilator-days) for at least 48 h at 6 intensive care units (ICU) in 4 European countries (France, Greece, Spain and Turkey). Forty-two (25.8%) underwent a tracheostomy. Males (n = 103, 63.2%) were predominant. Median age was 60 years (IQR: 48–72) and median APACHE II Score was 21 (IQR: 14–26). Patients' characteristics are summarized in Table 1. Acute respiratory failure was the cause of intubation in 79 (49.1%) patients. The presence of two or more



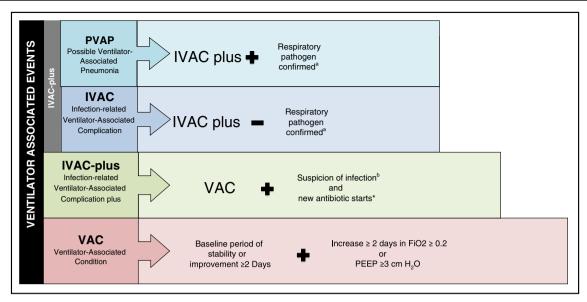


Fig. 1 Ventilator-associated events definitions. *The new antimicrobial agent must be started and sustained for at least 4 calendar days.
^aRespiratory pathogen confirmation: one of the following: (1) positive culture of respiratory samples meeting quantitative or semi-quantitative thresholds or (2) insufficient growth of a pathogenic microorganism in respiratory samples plus purulent sputum (>25 neutrophils and \leq 10

squamous epithelial cells per low power field) or (3) organisms identified from pleural fluid specimen, positive tests for *Legionellas* species, or positive lung histopathology. ^bSuspicion of infection: fever (≥ 38 °C) or hypothermia (≤ 36 °C) or leukocytosis (≥ 12,000 cells/mL) or leukopenia (≤ 4,000 cells/mL)

comorbidities was identified in 51 patients (32.7%). Of 76 VAEs (34.9 per 1,000 ventilator-days, 46.6 per 100 episodes), 9 were Ventilator-Associated Conditions (VAC) and 67 Infection-related Ventilator-Associated Complications (IVAC)-plus (9 only IVAC and 58 Possible Ventilator-Associated Pneumonia). Median day of VAE onset was day 6 (IQR: 4-9), with 51 (67.1%) presenting within the first 7 days of MV. IVAC-plus (the sum of IVAC and PVAP) represented 44 out of 52 VAEs (84.6%) within the first 7 days of ventilation compared with 23 out of 24 VAEs (95.8%) 7 days or more after MV (p = 0.258). Fifty of 163 patients (30.7%) died. VAEs were significantly more common among patients who died (58.0% vs 41.6%, p = 0.05). Most ICU deaths occurred early during hospitalization (median 6 days, IQR: 5-9), 36 (72.0%) of them during the first 15 days. In survivors, median length of stay was significantly higher, both for ICU (29 vs 13 p < 0.05) and for hospital (48 vs 23 p < 0.05) in patients developing VAEs.

Factors associated with VAE, adjusted by center, are reported in Table 2. In the overall population, a VAE was more likely to occur in trauma or surgical patients (HR: 2.30) than in medical patients. Long-acting drugs prescription (HR: 4.30) was identified as a risk factor for VAE, whereas the use of SDD was identified as a protective factor for VAE (HR: 0.38) (p < 0.05). In subjects with endotracheal tube, surgical or trauma admission was also identified as a risk factor for developing a VAE (HR: 3.11); again, SDD was identified as a protective factor (HR: 0.21) (p < 0.05). In patients under MV during more than 7 days, the prescription of long-acting drugs

was identified as a risk factor for developing a VAE (HR: 8.69) (p < 0.05). Factors associated with IVAC-plus are reported in Table 3 of the electronic supplementary material section. SDD was identified as a protective factor for developing an IVAC-plus (HR: 0.31) and long-acting drugs prescription was identified as a risk factor for IVAC-plus (HR: 3.83) (p < 0.05). Distribution of VAE incidences, time to VAE, duration of MV, SDD use, type of patient, and the use of long-acting drugs, according to each participant ICU, is detailed in Table 4 of the electronic supplementary material.

Discussion

This study of a multicenter cohort of mechanically ventilated subjects is the first to assess variables potentially associated with VAEs. VAEs were common, being PVAP six times more frequent than IVAC alone, which emphasizes the relevance of efforts to prevent respiratory infections. Interestingly, both SDD implementation and long-acting sedative/analgesic agents use, variables that are amenable to intervention, significantly influenced VAEs, and their influence remained significant when subjects with tracheostomy were excluded. Lastly, our study confirms the association of VAEs with worse outcomes.

Our findings indicate that compliance with SDD can influence the risk of developing VAEs. The high proportion of IVAC-plus in our cohort may explain why SDD implementation independently reduced VAE rates in subjects submitted to



Characteristic	Total	VAE	No VAE
	N (%) 163 (100.0)	N (%) 76 (46.6)	N (%) 87 (53.4)
Female	60 (36.8)	23 (38.3)	37 (61.7)
Male	103 (63.2)	53 (51.5)	50 (48.5)
Age* $N = 163$	60 (48–72)	62 (49–73)	59 (46–68)
≤40	32 (19.6)	12 (37.5)	20 (62.5)
41–60	50 (30.7)	23 (46.0)	27 (54)
>60	81 (49.7)	41 (50.6)	40 (49.4)
APACHE score* $N = 160$	21 (14–26)	20 (12–24)	23 (15–29)
High severity (>20)	83 (51.9)	34 (41)	49 (59)
Low severity (≤20)	77 (48.1)	40 (51.9)	37 (48.1)
Comorbidities $N = 156$			
≤1	105 (67.3)	54 (51.4)	51 (48.6)
≥2	51 (32.7)	22 (43.1)	29 (56.9)
Reason of intubation $N = 161$			
Respiratory failure	79 (49.1)	35 (44.3)	44 (55.7)
Other	82 (50.9)	39 (47.6)	43 (52.4)
Surgery	25 (15.5)	15 (60.0)	10 (40)
Cardiogenic shock	2 (1.2)	1 (50.0)	1 (50)
Altered level of consciousness	41 (25.5)	20 (48.8)	21 (51.2)
Sepsis. Septic shock	14 (8.7)	3 (21.4)	11 (78.6)
Place of intubation $N = 163$			
Intensive care unit	76 (46.6)	35 (46.1)	41 (53.9)
Other	87 (53.4)	41 (47.1)	46 (52.9)
Anesthesia/operating room	30 (18.4)	19 (63.3)	11 (36.7)
Pre-hospital	22 (13.5)	5 (22.7)	17 (77.3)
Emergency department	17 (10.4)	11 (64.7)	6 (35.3)
Hospital ward	18 (11.0)	6 (33.3)	12 (66.7)
Type of patient $N = 163$			
Medical	101 (62.0)	37 (36.6)	64 (63.4)
Other	62 (38.0)	39 (62.9)	23 (37.1)
Surgical	47 (28.8)	28 (59.6)	19 (40.4)

VAE ventilator-associated event, APACHE acute physiology and chronic health disease classification system *median and interquartile range

11 (73.3)

4 (26.7)

15 (9.2)

Table 2 Factors associated with VAE: multivariate cox proportional hazards models

Factor	HR (95%CI)	HR (95%CI)		
	Overall $(N=163)$	Endotracheal tube ($N = 116$)	MV > 7 days (N = 102)	
Type of patient, surgical, or trauma	2.30 (1.04–5.1)	3.11 (1.04–9.32)	1.68 (0.69–4.1)	
SDD	0.38 (0.15-0.92)	0.21 (0.06-0.72)	0.43 (0.14–1.26)	
Tracheostomy	0.88 (0.39-2.01)	_	0.75 (0.3-1.89)	
Long-acting drugs*	4.30 (1.62–11.42)	2.56 (0.77–8.54)	8.69 (2.53–29.86)	

VAE ventilator-associated event, CI confidence interval, HR hazard ratio, MV mechanical ventilation, SDD selective digestive decontamination

^{*}Long-acting drugs: midazolam, fentanyl, and morphine. Results in bold are statistically * significant, p<0.05



Trauma

long periods of MV. Another explanation for the effect of SDD on VAE is that much of VAE consists of tracheal colonization combined with a non-infectious pulmonary pathology such as pleural effusion, atelectasis, or pulmonary edema. Preventing tracheal colonization is the mechanism by which many VAP-prevention strategies such as subglottic suction, silver impregnated tubes, and oral decontamination work.

Moreover, our results also identify long-acting sedatives/ analgesic agents as an independent risk factor for VAEs, rather than delirium, suggesting that intervention in sedative/ analgesic prescription might be a possible strategy of prevention. This may be related with a higher probability of impregnation when prescribing drugs prone to being accumulated, and also with an underestimation of delirium if a systematic delirium-screening instrument is not used for its diagnosis in the ICU. If only clinical criteria are applied, it is the hyperactive subtype that will usually be detected [10]. However, recent data show that most delirious ICU patients have hypoactive delirium, which is more frequent in patients with more severe illness and undergoing MV [11]. Thus, the spontaneous breathing trial was not found to protect against VAE in our cohort. These findings are in line with the association between benzodiazepines and increased MV duration and ICU stay compared with other sedatives, as described in two recent meta-analyses [12, 13] and a cohort study [14]. Although midazolam may be considered as a short-acting benzodiazepine, its pharmacokinetic profile, with phase 1 and phase 2 metabolism and an end-active metabolite with renal excretion, frequently results in accumulation, over-sedation, and delayed wakening in the ICU, particularly in older and sicker patients, as it has been pointed out by Wyncoll et al. [15]. When analyzed midazolam in conjunction with morphine and fentanyl, those continuous around-the-clock drugs resulted the most important factor for developing VAE.

In the coming years, it would be interesting to analyze the role of alpha-2 agonists, which were hardly used in Europe during our study recruitment period [16], and the impact of new non-benzodiazepine sedation approach and ICU delirium guidelines on VAE [17–19]. In recent years, there has been an increasing concern with delirium in the ICU and its impact on patient safety and outcomes, and indeed delirium has been included in some evidence-based interventions designed to reduce adverse events in hospitals [20]. On the other hand, the difficulty of ventilator-associated pneumonia (VAP) diagnosis and the discrepancies in antibiotic consumption despite reporting/achieving low VAP rates [21] have shifted the focus of quality-improvement on patient outcomes beyond the mere recording of VAP rates in ventilated patients, and the concept of VAE has emerged.

Conservative rather than liberal fluid resuscitation will increase the number of ventilator-free days and several studies [14, 22] have reported a relation between VAEs and excess fluids. However, our data did not find this association, perhaps

due to differences in case mix, comorbidities (cardiomyopathy), VAC rates or measurement of fluid overload, or because the other studies limited their assessment to the first 4 days of ICU stay [14]. Other studies of potentially modifiable VAE risk factors [14, 22–26] have identified blood transfusions and mandatory modes of MV with high inspiratory pressure.

This study has several limitations. First, in spite of the multicenter design, the fact that only a few events per site were analyzed limits its power to identify potential risk factors. Second, dexmedetomidine was not properly evaluated due to its low use in the ICUs during the period of study, and further studies are needed to identify its potential effect. Third, this study was conducted in Europe and the interpretations may not be applicable to other settings, due to the large variation in therapeutic strategies, and duration of MV. Fourth, some potentially relevant variables such as average gastric retention were not evaluated. Five, the number of trauma patients is small and variables can be different in other case-mixes. Lastly, we considered the entire period of MV: other variables may be relevant if the time span is limited, for instance to the first 4 days of ventilation.

Conclusions

Future bundles should include SDD implementation and longacting analgesic/sedative agent restriction as potential strategies to prevent VAEs.

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Compliance with ethical standards

Conflict of interest Dr. Rello has served on the speakers' bureau and as a consultant for Cubist, Bayer, ROCHE, Medimmune, Pfizer, Anchoagen, and Aridis. The other authors report no conflicts of interest relevant to this article.

Ethical approval/informed consent The study protocol was approved by the institutional review board on human research at each participating center and at Vall d'Hebron Barcelona Hospital Campus, the coordinating center [PR(AG)25/2014]. Patients (or the relatives of unconscious patients) were asked to provide written consent prior to participation in the study.

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