

# Amyotrophic lateral sclerosis and myasthenia gravis: association or chance occurrence?

Silvia de Pasqua<sup>1</sup> · Francesco Cavallieri<sup>2</sup> · Roberto D'Angelo<sup>3</sup> · Fabrizio Salvi<sup>4</sup> · Nicola Fini<sup>2</sup> · Roberto D'Alessandro<sup>4</sup> · Rita Rinaldi<sup>3</sup> · Antonio Fasano<sup>2</sup> · Jessica Mandrioli<sup>2</sup>

Received: 14 September 2016 / Accepted: 28 November 2016 / Published online: 2 December 2016  
© Springer-Verlag Italia 2016

**Abstract** Very few cases of patients with myasthenia gravis (MG) who later developed amyotrophic lateral sclerosis (ALS) have been described, although some studies showed that significantly more cases than expected have ALS associated with a prior diagnosis of autoimmune diseases. Our aim was to investigate whether the association of ALS and MG was higher than expected in a population-based study and to describe the clinical features characterizing these patients. In Emilia Romagna Region of Italy, a prospective registry has been collecting all incident ALS cases since 1.1.2009. For each patient, detailed clinical information is collected by caring physicians, including comorbidities. From 1.1.2009 to 31.12.2014, 671 patients were diagnosed with ALS; five patients (0.75%) were also affected by MG. Considering Western Countries incidence rates the occurrence of both the diseases should be a really exceptional event ( $7.5/10^9$ ), compared to our findings ( $1.87/10^7$ ) ( $p < 0.01$ ). Patients with ALS and MG had more frequently a bulbar onset and a fast progressive course. These cases of ALS after MG raise the possibility of potential shared immunological

dysfunctions, which may be expression of common pathogenic mechanisms, as well as of shared disease-course modulating events.

**Keywords** Amyotrophic lateral sclerosis · Myasthenia gravis · Immunological dysfunction · Regulatory T lymphocytes · Immune-mediated disorders

## Introduction

Only a few cases of patients with myasthenia gravis (MG) who later developed amyotrophic lateral sclerosis (ALS) have been described [1], although a recent study showed that significantly more cases than expected have ALS associated with a prior diagnosis of autoimmune diseases [2].

Our aim was to investigate whether the association of ALS and MG was higher than expected in a population-based study and to describe the clinical features characterizing these patients.

## Materials and methods

In Emilia Romagna region of Italy (4.5 million population), a prospective registry (ERRALS) has been collecting all the incident ALS cases since 1.1.2009 [3].

Caring physicians collect a detailed phenotypic profile of each ALS patient, including demographic and clinical data among which clinical phenotype, presence of dementia, extrapyramidal signs, or any other pathological condition, the use of enteral nutrition and non-invasive or invasive ventilatory support, and date and cause of death. These data have been included into an electronic database

✉ Jessica Mandrioli  
j.mandrioli@ausl.mo.it

<sup>1</sup> DIBINEM, Department of Biomedical and Neuromotor Sciences, Alma Mater Studiorum, University of Bologna, Via Ugo Foscolo 7, 40123 Bologna, Italy  
<sup>2</sup> Department of Neuroscience, St. Agostino Estense Hospital, University of Modena and Reggio Emilia, Via Giardini 1355, 41126 Modena, Italy  
<sup>3</sup> Department of Neuroscience, St. Orsola-Malpighi University Hospital, Via Pietro Albertoni 15, 40138 Bologna, Italy  
<sup>4</sup> IRCCS-Institute of Neurological Sciences of Bologna, Bellaria Hospital, Via Altura 3, 40139 Bologna, Italy

available through a dedicated internet website to ERRALS participants; regular supervision on the data has been performed by the coordinating centre (Modena).

Analysis for comorbidities has been performed considering this data source. Clinical features of cases with ALS and MG were collected from caring physicians.

Descriptive statistics were performed using Student *T* test and Chi-square test as appropriate. The probability of the occurrence of the two diseases was calculated considering incidence rates.

## Results

Among 671 patients diagnosed with ALS through 6 years, five patients (0.75%) were also affected by MG. Their clinical and demographic characteristics are described in Table 1.

In all the cases, the diagnosis of MG preceded the diagnosis of ALS, with a variable delay. MG symptoms at onset were set in the ocular district for all the cases, and were associated with bulbar localization in two cases; in three cases, there was generalized fatigability. Bulbar-onset ALS represented the 60% of cases with ALS and MG, with a predominance of bulbar phenotype ( $p < 0.01$ ).

Patients diagnosed with ALS after MG were slightly older and with a short ALS diagnostic delay compared to other patients with ALS (Table 2). Patients diagnosed with both the diseases underwent NIV more frequently than the general ALS cohort ( $p < 0.01$ ) and had a rapidly progressing disease course.

Considering Western Countries incidence rates (ALS: 2–3/100,000; MG: 30/100,000) [3, 4], a chance occurrence of the two disorders should be a really exceptional event.

In fact, in Emilia Romagna Region, annual ALS incidence rates in the period 2009–2014 varied from 2.41 to 2.69/100,000 with a mean ALS incidence in the region of 2.52/100,000. Considering the number of expected MG cases based on Western Countries rates, we should expect  $7.55/10^9$  cases of ALS with MG, whereas we found  $1.87/10^7$  ( $p < 0.01$ ).

## Discussion

With limitations related to the relatively small size of our studied group, this study highlights some peculiar features of ALS cases with MG. The frequent bulbar onset of ALS symptoms may explain the lower survival due to nutritional deficiency and/or an earlier progression to brainstem respiratory centres due to proximity of affected areas, as confirmed by the early onset of respiratory symptoms in these five patients.

In a retrospective series of six cases with a concomitant diagnosis of ALS and MG collected among a French cohort of 4757 patients followed at 18 reference centers for ALS in a 12-year period, Amador Del Mar et al. [1] described three cases with initial MG and subsequent ALS development and three cases of ALS with subsequent diagnosis of MG. We described only patients with MG who later developed ALS. This may be due to the difficulty to think about MG during the course of a devastating disease, such as ALS, as stated by the authors [1]. Accordingly, with their data, patients who developed ALS after MG were older and had a frequent bulbar onset of ALS symptoms (60%) compared to patients with ALS alone (reported bulbar-onset frequency in ALS: 30–40% of cases) [3]. These data may explain also the shortened diagnostic latency, which is a surrogate marker of disease progression. Survival is not reported in the study of Amador Del Mar et al. [1], and it would be interesting to make a comparison with their data.

In our cohort, diagnoses were confirmed after revision of cases with the caring neurologists of the ALS Centres in Emilia Romagna Region, and were based mainly on clinical and neurophysiological (repetitive nerve stimulation and/or single fibre EMG) data and on treatment response; three of our cases were seronegative MG, but with an enduring effect of acetylcholinesterase (AChE) inhibitors on ocular symptoms and exhaustibility, antecedent to ALS diagnosis. This is another difference with the French cohort [1], which could be explained by the small number of available cases, and suggests that further studies should be performed.

From an epidemiological point of view, considering Western Countries incidence rates (ALS: 2–3/100,000; MG: 30/100,000) [3, 4], a chance occurrence of the two disorders should be a really exceptional event ( $7.5/10^9$ ), compared to our data ( $1.87/10^7$ ) ( $p < 0.01$ ).

The prospective, population-based design of our study can explain this difference with recent reports [1, 2], in which the retrospective nature may lead to some loss of cases. This unusual association can be “a by chance association” as well, but these cases raise the question whether the development of ALS after MG can represent an association based on shared pathogenic mechanisms.

Apart from antibodies described in MG and ALS, among which LRP4 antibodies that are crucial in the development and function of motor neurons and neuromuscular junction [5, 6], a possible link between the two diseases may be represented by immunoregulatory defects of regulatory T lymphocytes (Treg) [7, 8]. Treg are CD4 cells coexpressing CD25 and FoxP3 (a transcription factor essential for their regulatory function) and playing an important role in autoimmune and immune responses. Tregs downregulate pro-inflammatory cytokines production, secrete anti-inflammatory cytokines (IL4, IL10, and IL13), and neurotrophic factors, transform a Th1 to Th2

**Table 1** Clinical and demographic features of ALS patients with MG

Clinical features	Case 1	Case 2	Case 3	Case 4	Case 5
Sex	F	M	M	F	M
Age at onset (MG)	75	67	82	53	55
Age at onset (ALS)	75 (3 months later)	69 (2 years later)	83 (1 year later)	75 (22 years later)	55 (6 months later)
MG symptoms and signs at onset	Bilateral ptosis, diplopia, dysarthria, and weakness of proximal lower limbs with fatigability	Fluctuating diplopia	Fluctuating diplopia, bilateral ptosis worsening after prolonged upward gaze, fatigability and weakness	Left ptosis and fatigability	Ptosis with fatigability, dysphonia, dysphagia, both arms and legs weakness
ALS symptoms and signs at onset	Cramps, progressive lower extremities weakness, stiffness, and dyspnea without ocular or bulbar symptoms. Limbs fasciculations, widespread brisk deep tendon reflexes (DTR), bilateral Babinski sign and spastic gait	Rapidly worsening distal weakness at four limbs, widespread fasciculations and cramps, without ocular symptoms. Bilateral hand muscles atrophy, foot drop, brisk upper limbs DTR, and left Babinski sign	Progressive dysphonia, dysphagia, widespread weakness, bilateral hand muscles atrophy, widespread fasciculations, with increased DTR, bilateral Hoffmann sign, bilateral grasping, Myerson's sign	Severe dysarthria, mild dysphagia and progressive weight loss. Weakness of the left orbicularis oculi and of the left arm, tongue hypomobility, widespread fasciculations, increased DTR including the masseteric one	Worsening of dysphagia, dysarthria and onset of dyspnea, marked weakness of the legs with cramps and widespread fasciculations, muscles atrophy, weight loss, and brisk DTR
RNS	27% decrease of CMAP	21% decrease of CMAP	12% decrease of CMAP	Not done	20% decrease of CMAP
SFEMG	Not done	Pairs with increased jitter: 50%	Pairs with increased jitter: 80%	Pairs with increased jitter: 50%	Not done (patient's refusal)
Edrophonium chloride test	Not done	Not done	Positive	Not done	Positive
Antibodies	Anti-AchR Ab+	Anti-AchR Ab+	Anti-AchR Ab– Anti-MuSK Ab– Anti-Titin Ab–	Anti-AchR Ab–	Anti-AchR Ab– Anti-MuSK Ab– Anti-Ryanodine–
Lumbar puncture	Not done	Normal including protein electrophoresis and ganglioside Ab	Not done	Not done	Not done
Chest CT	Normal	Typical thymoma without lymphnodes infiltration	Normal	Normal	Normal
Treatment for MG	Pyridostigmine, prednisone, azathioprine	Pyridostigmine and prednisone	Pyridostigmine	Prednisone and pyridostigmine	Pyridostigmine and prednisone
Improvement of MG symptoms	Yes	Yes	Yes	Yes	Yes
Survival from ALS diagnosis	6 months	12 months	Alive (12 months follow up)	Alive (12 months follow up)	Alive (12 months follow up)
Time from ALS diagnosis to respiratory failure	At diagnosis	6 months	12 months	12 months	At diagnosis
Other diseases	Diabetes		Heart disease, cardiac pacemaker	Hyperthyroidism	

**Table 2** Clinical features of patients with ALS and MG versus patients with ALS

Clinical features	ALS + MG (5 patients)	ALS (666 patients)	<i>p</i>
Age at onset (mean ± SD)	71.25 ± 9.49	66.59 ± 11.05	ns
Diagnostic delay (mean ± SD)	10.20 ± 3.97	12.87 ± 12.22	ns
Site of onset (bulbar/spinal/respiratory) (no. of subjects)	3/2/0	232/413/21	<0.01
Phenotype (bulbar, classic, flail, UMNp, respiratory) (no. of subjects)	3/2/0/0/0	223/292/96/34/21	<0.01
NIV (yes, no) (no. of subjects)	3/2	244/422	<0.01
Survival <sup>a</sup> from onset to death (median 95% CI)	24 (12–)	56 (48–62)	ns

SD standard deviation, UMNp upper motor neuron predominant, CI confidence interval

<sup>a</sup> Survival data as per Kaplan–Meier analysis; Cox proportional Hazard Model: HR 2.60 (CI 0.64–10.51)

response, and attenuate toxic microglial responses. Tregs have been shown to directly differentiate macrophages from M1 to M2 states [9]. While in MG patients, the number of Tregs is unchanged, but they have a severe defect in their suppressive function [7], evidences for a “benign” role of Treg in ALS come from in vitro and in vivo studies showing a role of Tregs in promoting MN survival by suppressing M1 activation, and in reducing the release of reactive oxygen species [10]. In mSOD1 mice, increased Tregs and M2 microglia were associated with the stable phase of disease, suggesting a shift from protection to toxicity [11]. In ALS patients, Tregs percentage in the blood inversely correlated with ALS progression rate; in addition, Treg numbers and FoxP3 expression decreased with faster ALS progression [11]. These data were confirmed in post-mortem studies [8].

Nevertheless, because immunological investigations in MG and ALS patients are performed when the disease is already well established, it remains unclear whether Tregs dysfunction is a causal event or a result of perturbations of the immune system occurs during disease development because of the inflammatory environment [7].

In conclusion, we think that the co-occurrence of ALS and MG deserves further studies for a better diagnostic and phenotypical characterization and raise the possibility of potential shared immunological dysfunctions, which may be expression of common pathogenic mechanisms, as well as of shared disease-course modulating events.

**Acknowledgements** The ALS Registry is supported by a Grant from the Emilia Romagna Regional Health Authority.

#### Compliance with ethical standards

**Conflict of interest** The authors have no conflicts of interest. All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

## References

1. Del Mar Amador M, Vandenberghe N, Berhoun N et al (2016) Unusual association of amyotrophic lateral sclerosis and myasthenia gravis: a dysregulation of the adaptive immune system? *Neuromuscul Disord* 26:342–346. doi:[10.1016/j.nmd.2016.03.004](https://doi.org/10.1016/j.nmd.2016.03.004)
2. Turner MR, Goldacre R, Ramagopalan S, Talbot K, Goldacre MJ (2013) Autoimmune disease preceding amyotrophic lateral sclerosis: an epidemiologic study. *Neurology* 81:1222–1225. doi:[10.1212/WNL.0b013e3182a6cc13](https://doi.org/10.1212/WNL.0b013e3182a6cc13)
3. Mandrioli J, Biguzzi S, Guidi C et al (2014) Epidemiology of amyotrophic lateral sclerosis in Emilia Romagna Region (Italy): a population based study. *Amyotroph Lateral Scler Frontotemporal Degener* 15:262–268. doi:[10.3109/21678421.2013.865752](https://doi.org/10.3109/21678421.2013.865752)
4. McGrogan A, Sneddon S, de Vries CS (2010) The incidence of myasthenia gravis: a systematic literature review. *Neuroepidemiology* 43:171–183. doi:[10.1159/000279334](https://doi.org/10.1159/000279334)
5. Gotaas HT, Skeie GO, Gilhus NE (2016) Myasthenia gravis and amyotrophic lateral sclerosis: a pathogenic overlap. *Neuromuscul Disord* 26:337–341. doi:[10.1016/j.nmd.2016.03.003](https://doi.org/10.1016/j.nmd.2016.03.003)
6. Tzartos JS, Zisimopoulou P, Rentzos M et al (2014) LRP4 antibodies in serum and CSF from amyotrophic lateral sclerosis patients. *Ann Clin Transl Neurol* 1:80–87. doi:[10.1002/acn3.26](https://doi.org/10.1002/acn3.26)
7. Berrih-Aknin S, Le Panse R (2014) Myasthenia gravis: a comprehensive review of immune dysregulation and etiological mechanisms. *J Autoimmun* 52:90–100. doi:[10.1016/j.jaut.2013.12.011](https://doi.org/10.1016/j.jaut.2013.12.011)
8. Henkel JS, Beers DR, Wen S et al (2013) Regulatory T-lymphocytes mediate amyotrophic lateral sclerosis progression and survival. *EMBO Mol Med* 5:64–79. doi:[10.1002/emmm.201201544](https://doi.org/10.1002/emmm.201201544)
9. Tiemessen MM, Jagger AL, Evans HG, van Herwijnen MJ, John S, Taams LS (2007) CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>+</sup> regulatory T cells induce alternative activation of human monocytes/macrophages. *PNAS USA* 104:19446–19451
10. Zhao W, Xie W, Xiao Q, Beers DR, Appel SH (2006) Protective effects of an anti-inflammatory cytokine, interleukin-4, on motoneuron toxicity induced by activated microglia. *J Neurochem* 99:1176–1187
11. Beers DR, Henkel JS, Zhao W et al (2011) Endogenous regulatory T lymphocytes ameliorate amyotrophic lateral sclerosis in mice and correlate with disease progression in patients with amyotrophic lateral sclerosis. *Brain* 134:1293–1314. doi:[10.1093/brain/awr074](https://doi.org/10.1093/brain/awr074)