REVIEW



### Antibacterial activities of polyphenols against foodborne pathogens and their application as antibacterial agents

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Abstract Polyphenols are secondary metabolites produced in higher plants. They are known to possess various functional properties in the human body. Polyphenols also exhibit antibacterial activities against foodborne pathogens. Their antibacterial mechanism is based on inhibiting bacterial biofilm formation or inactivating enzymes. Foodderived polyphenols with such antibacterial activity are natural preservatives and can be used as an alternative to synthetic preservatives that can cause side effects, such as allergies, asthma, skin irritation, and cancer. Studies have reported that polyphenols have positive effects, such as decreasing harmful bacteria and increasing beneficial bacteria in the human gut microbiota. Polyphenols can also be used as natural antibacterial agents in food packaging system in the form of emitting sachets, absorbent pads, and edible coatings. We summarized the antibacterial activities, mechanisms and applications of polyphenols as antibacterial agents against foodborne bacteria.

**Keywords** Polyphenol · Foodborne pathogen · Antibacterial activity · Antibacterial mechanism · Natural antibacterial agents

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#### Introduction

Foodborne diseases are caused by consuming food contaminated with pathogenic bacteria, viruses, natural toxins, chemical residues, or parasites. Annually, these diseases adversely affect people's health and result in economic losses (Zhang et al., 2021). According to a report by the World Health Organization, it is estimated that, yearly, there are global outbreak of 600 million foodborne diseases, resulting in 420,000 deaths (Oliver, 2019). Among them, the main cause of foodborne disease is bacteria (66%) and the most common types are intoxication and infection (Addis and Sisay, 2015). Foodborne pathogens that cause foodborne diseases include Campylobacter jejuni, Bacillus cereus. Clostridium perfringens, Cronobacter sakazakii, Listeria monocytogenes, Escherichia coli, Salmonella spp., Staphylococcus aureus, Shigella spp., Vibrio spp., Yersinia enterocolitica, etc. (Bintsis et al., 2017).

Synthetic preservatives, such as formaldehyde, benzoate, sulfite, and nitrate, are used to inhibit the growth of microorganisms (Quinto et al., 2019). However, the safety of such preservatives is questionable as it can be associated with problems, such as allergies, asthma, skin irritation, and cancer (Silva et al., 2016; Quinto et al., 2019). In addition, consumers are increasingly aware of the adverse health effects associated with chemically synthesized preservatives, and as the demand for healthy and safe foods increases, the use of natural antimicrobials by both consumers and food manufacturers is rising (Bouarab-Chibane et al., 2019a; Rico et al., 2007).

Polyphenols have a structure of one or more aromatic rings with one or more hydroxyl groups, and more than 8,000 phenolic structures have been identified as natural compounds widely distributed in seeds, bark, leaves, roots, and fruits in the plant kingdom (Olszewska et al., 2020; Zhang and Tsao, 2016). Recently, polyphenols have been reported to exhibit antibacterial properties, inhibiting the growth of various pathogens, and can therefore be an alternative to other natural preservatives that can negatively affect the taste and sensory aspects of foods (Quinto et al., 2019). Polyphenols with antimicrobial activity also prevent the development of antibiotic resistance in bacteria (Xie et al., 2017). Hence, polyphenols have a positive effect as a natural preservative, receiving worldwide attention (Dhalaria et al., 2020).

In this paper, the antibacterial activity of polyphenols targeting food-borne pathogens and their mechanisms were summarized and investigated. In addition, the applicability of polyphenols as natural antibacterial agents was suggested by decreasing in harmful bacteria in gut microbiota and by inhibiting the growth of pathogenic bacteria in food packaging systems.

# Antibacterial activities of various types of polyphenols

Polyphenols are secondary metabolites that are naturally produced in higher plants. Some of them inhibit the growth of various types of microorganisms, such as bacteria, virus, and fungi, and also have antioxidant and anti-inflammatory activities (Daglia, 2012; Kumar et al., 2021; Sliva, 2020). These polyphenols include flavonoids, phenolic acids, tannins, and stilbenoids (Kumar et al., 2021). Table 1 summarizes the antibacterial activities of polyphenols according to their structures against foodborne pathogens.

#### Flavonoids

Flavonoids are easily found in natural products, such as fruit, vegetable, and nuts. They are characterized by 15 carbon skeletons and have two aromatic rings containing 2-phenyl-benzo $[\alpha]$ pyrene or flavan nucleus connected by a heterocyclic pyran ring (Cushnie and Lamb, 2005a, b). Naturally occurring flavonoids exists mainly in glycosylated or esterified forms (Yang and Xiao, 2013). Flavonoids classified according to the differences in oxygenated heterocycles exist in various forms, such as chalcones, flavan-3-ols (catechin), flavonols, flavones, flavanones, and anthocyanidins (Cho et al., 2017; Kumar et al., 2021). Among them, flavan-3-ols, flavonols, and flavanones have a wide spectrum that exhibits high antibacterial activities so it can inhibit various foodborne pathogens, such as B. cereus, E. coli O157:H7, S. aureus, etc. (Boudjemaa, 2017; Daglia, 2012; Taguri et al., 2006; Tsao, 2010).

#### Flavan-3-ols

Flavan-3-ols, which are present in tea, cocoa, wine, grape, apple, peach, and bean, exist either as monomeric catechins or in a complex form with polymeric procyanidins (Manach et al., 2004; Neilson and Ferruzzi, 2011). They are subdivided according to the degree of polymerization, oxidation state, and the pattern in which the B and C rings are substituted (Neilson and Ferruzzi, 2011). According to Friedman et al. (2006), it was reported that the 3-gallate ester group present in (-)-gallocatechin-3-gallate (GCG) plays an important role in its activity. At a concentration of  $0.43 \pm 0.14$  nmol/well, the number of CFUs of *B. cereus* was reduced by 50% (Friedman et al., 2006). Lee et al. (2009) reported that the activity of (-)-epigallocatechin-3gallate (EGCG), which reduced E. coli O157:H7 biofilm formation by 56.4% and inhibited colony migration, was associated with decreased production of autoinducer-2. Table 1 shows the minimum inhibitory concentration (MIC) concentrations of EGCG against Staphylococcus spp., C. perfringens, and other pathogenic bacteria.

#### Flavonols

Flavonols, commonly found in propolis, broccoli, kale, onion, blueberries, tomatoes, tea, and wine, exist in glycosylated forms (Manach et al., 2004). In addition, the B-ring exists most often in the form with hydroxyl (OH) groups at the C-3' and C-4' positions (Aherne and O'Brien, 2002). When the cytoplasm is damaged by toxic compounds, the cell releases potassium ions by the efflux system, which slows the rate of formation of fatal lesions as the cytoplasm is acidified (Epstein, 2003). S. aureus treated with galangin with a MIC concentration of 50 µg/mL lost about 21% of potassium ions in the cytoplasm compared to the control group, which was not treated with galangin (Cushnie and Lamb, 2005a, b). This suggests that galangin inhibits potassium-induced mitigation of cellular lesions. Myricetin-3-O-rhamnoside and quercetin-3-O-rhamnoside are structurally almost identical to quercetin and have antibacterial activity against B. subtilis, E. coli, Klebsiella pneumoniae, S. aureus, and Candida albicans (Aderogba et al., 2013). However, the reason that the antibacterial activity is lower than that of quercetin is that sugars such as rhamnose are added to the 3-OH group and a hydroxyl group is added to the 5'-OH group. (Aderogba et al., 2013). Nitiema et al. (2012) reported that quercetin has fewer free OH groups than other phenolic compounds, which increases the chemical affinity for the microbial lipid membrane, and thus, possesses more antibacterial activities. Table 1 shows that quercetin exhibited a MIC value of 0.03 mg/mL against B. cereus, while myricetin-3-O-rhamnoside and

#### Class Test method Bacterial strain Antibacterial activity References Subclass name Compounds name Flavonoid Flavan-3-ol (-)-Gallocatechin-3-**BA50** Bacillus cereus $0.43 \pm 0.14$ nmol/well Friedman et al. (2006)gallate Epigallocatechin-3-Escherichia coli Biofilm decreased to 56.4% at Lee et al. (2009) gallate (EGCG) formation O157:H7 5 µg/mL Swarming Escherichia coli decreased to 82.3% at µg/ Lee et al. (2010) motility O157:H7 mL MIC Staphylococcus spp. 50-100 µg/mL Taguri et al. (2006)Bacillus cereus 267 µg/mL Bacillus subtilis 533 µg/mL Clostridium perfringens 50 µg/mL Listeria monocytogenes 400 µg/mL Staphylococcus aureus 133 µg/mL MIC Flavonol Galangin Staphylococcus aureus 50 µg/mL Cushnie and Lamb (2005a, b) Viability Staphylococcus aureus 60-fold decrease at 50 µg/ Cushinie et al. mL (2003)1000-fold decrease at 1% Aderogba et al. Myricetin-3-O-MIC Bacillus subtilis 0.25 mg/mL rhamnoside (2013)Escherichia coli 0.25 mg/mL Klebsiella pneumoniae 0.25 mg/mL Staphylococcus aureus 0.25 mg/mL Candida albicans 0.25 mg/mL Quercetin-3-O-MIC Bacillus subtilis 0.13 mg/mL Aderogba et al. rhamnoside (2013)Escherichia coli > 0.25 mg/mL > 0.25 mg/mLKlebsiella pneumoniae Staphylococcus aureus > 0.25 mg/mLCandida albicans 0.25 mg/mL Ouercetin MIC Bacillus subtilis 0.03 mg/mL Aderogba et al. (2013) Escherichia coli > 0.25 mg/mL Klebsiella pneumoniae 0.25 mg/mL Staphylococcus aureus > 0.25 mg/mLCandida albicans 0.02 mg/mL MIC Methicillin-resistant 3.13-6.25 µg/mL Tsuchiya et al. Flavanone Sophoraflavanone G Staphylococcus aureus (1996)Exiguaflavanone D MIC Methicillin-resistant 3.13-6.25 µg/mL Tsuchiya et al. (1996) Staphylococcus aureus Macatrichocarpins A MIC Bacillus subtilis 26.5 µM Fareza et al. (2014)Staphylococcus aureus 105.8 µM Enterobacter aerogenes 423.3 µM 105.8 µM Escherichia coli Pseudomonas 211.6 µM aeruginosa, 211.6 µM Salmonella typhi 105.8 µM Shigella dysenteriae 105.8 µM Vibrio cholerae Macatrichocarpins B MIC Bacillus subtilis 50.9 µM Fareza et al. (2014) Staphylococcus aureus 101.8 µM 101.8 µM Enterobacter aerogenes Escherichia coli 50.9 µM Pseudomonas 50.9 µM aeruginosa, 203.6 µM Salmonella typhi 50.9 µM Shigella dysenteria 50.9 µM Vibrio cholerae

#### Table 1 Antibacterial activities of polyphenols against foodborne pathogen

Table 1 continued

Class name	Subclass name	Compounds	Test method	Bacterial strain	Antibacterial activity	References
		4',7-di-O-	MIC	Bacillus subtilis	50.9 µM	Fareza et al.
		methylnaringenin		Staphylococcus aureus	101.8 μM	(2014)
				Enterobacter aerogenes	101.8 μM	
				Escherichia coli	50.9 µM	
				Pseudomonas	50.9 µM	
				aeruginosa,	203.6 µM	
				Salmonella typhi	50.9 µM	
				Shigella dysenteriae	50.9 µM	
			<b>D</b> "	Vibrio cholerae		
Phenolic acid	Hydroxybenzoic acids	Gallic acid	Paper disc method	Escherichia coli	12 mm at 2.5 mg/well	Díaz-Gómez et a (2014)
			MIC	Pseudomonas aeruginosa	500 mg/mL	Borges et al. (2013)
				Escherichia coli	1500 mg/mL	
				Staphylococcus aureus	1750 mg/mL	
				Listeria monocytogenes	2000 mg/mL	
	Hydroxycinnamic acids	Ferulic acid	MIC	Pseudomonas aeruginosa	100 mg/mL	Borges et al. (2013)
				Escherichia coli	100 mg/mL	
				Staphylococcus aureus	1100 mg/mL	
				Listeria monocytogenes	1250 mg/mL	
		p-Coumaric acid	MIC	Bacillus cereus	24 μg/mL	Lou et al. (2012)
				Staphylococcus aureus	24 μg/mL	Camargo et al. (2017)
				Listeria monocytogenes	24 μg/mL	
				Escherichia coli	49–80 μg/mL	
				Shigella dysenteriae	10 μg/mL	
<b>—</b> ·				Salmonella typhimurium	20–49 μg/mL	
Tannin	Hydrolysable tannin	Tannin acid	Paper disc method	Escherichia coli	13.25 mm at 5 mg/mL	Chung et al. (1993)
				Klebsiella pneumoniae	14.75 mm at 5 mg/mL	
				Listeria monocytogenes	18.00 mm at 5 mg/mL	
				Staphylococcus aureus	11.50 mm at 5 mg/mL	
				Yersinia enterocolitica	14.75 mm at 5 mg/mL	
				Streptococcus faecalis	12.50 mm at 5 mg/mL	T
			MIC	Bacillus cereus	533 μg/mL	Taguri et al. (2006)
				Bacillus subtilis	667 μg/mL,	
				Clostridium perfringens	50 μg/mL	
				Listeria monocytogenes	1600 μg/mL,	
		Castalagin	MIC	Staphylococcus aureus Escherichia coli	400 μg/mL 533 μg/mL	Puljula et al.
		Castalagili	MIC			(2020)
				Staphylococcus aureus Bacillus cereus	267 μg/mL 467 μg mL	
				Bacillus subtilis,	407 μg mL 600 μg/mL	
				Clostridium perfringens	67 μg/mL	
				Listeria monocytogenes	667 μg/mL	
Гaguri				Listeria monocytogenes	007 μg/mL	
et al. (2006)						
		Punicalagin	MIC	Escherichia coli	2133 μg/mL	Puljula et al.
		e		Staphylococcus aureus	600 μg/mL	(2020)
				Bacillus cereus	667 μg/mL	
				Bacillus subtilis,	467 μg/mL	
				Clostridium perfringens	67 μg/mL	
				Listeria monocytogenes	3200 μg/mL	

Class name	Subclass name	Compounds	Test method	Bacterial strain	Antibacterial activity	References
Taguri et al. (2006)						
		Ellagitannin	Average growth inhibition Average growth inhibition	Escherichia coli	oligomeric ellagitannins with a 0.5 mM: 97%	Puljula et al. (2020)
					monomeric ellagitannins with a 0.5 mM: 63%	
				Clostridium perfringens	oligomeric ellagitannins with a 0.5 mM: 77%	Puljula et al. (2020)
					monomeric ellagitannins with a 0.5 mM: 61%	
	Condensed Tannin	-	Paper disc method	Staphylococcus aureus	8 mm	Zarin et al. (2016)
				Bacillus subtilis	8 mm	
				Streptococcus faecalis	7 mm	
				Salmonella choleraesuis	9 mm	
				Enterococcus faecium	9 mm	
			MIC	Staphylococcus aureus	12.5 mg/mL	Zarin et al. (2016)
				Bacillus subtilis	12.5 mg/mL	
				Streptococcus faecalis	25 mg/mL	
				Salmonella choleraesuis	25 mg/mL	
				Enterococcus faecium	50 mg/mL	
Stilbenoids	-	Resveratrol	MIC	Listeria monocytogenes	$50 \sim 200 \ \mu\text{g/mL}$	Mattio et al. (2020)
				Pseudomonas aeruginosa	$> 400 \ \mu g/mL$	
				Escherichia coli	$> 400 \ \mu\text{g/mL}$	Vestergaard and Ingmer (2019)
		Extract from roots of Stemona japonica	MIC	Staphylococcus aureus	$25 \sim 50 \ \mu g/mL$	Yang et al. (2006)
				Staphylococcus epidermidis	$12.5 \sim 25 \ \mu\text{g/mL}$	
		Extract from Stemona tuberosa	MIC	Klebsiella pneumoniae	50 µg/mL	Lin et al. (2008)

#### Table 1 continued

 $BA_{50}$  (Bactericidal activity) = defined as 50% decrease in the number of CFU, MIC (Minimum inhibitory concentration) = Lowest concentration of the compound resulting in 100% inhibition of bacterial growth

quercetin-3-*O*-rhamnoside showed MIC values of 0.25 mg/ mL and 0.13 mg/mL, respectively.

#### Flavanones

Flavanones are also found in aromatic plants, such as mint and tomato, but they are present in high concentrations in citrus fruit (Manach et al., 2004). Although flavanone itself does not show activity against methicillin resistant *S. aureus* (MRSA), but due to the OH group at the C-2' position of the B-ring, sophoraflavanone G and exiguaflavanone D have MIC values of  $3.13-6.25 \ \mu g/mL$  against MRSA. (Tsuchiya et al., 1996). Prenylated flavanones, macatrichocarpin, and flavanone derivative, 4',7-di-*O*methylnaringenin exhibit antibacterial activities against *B. subtilis, S. aureus, Enterobacter aerogenes, E. coli, Pseudomonas aeruginosa, Shigella dysenteria, Vibrio cholerae* in the range of 50.9 to 101.8  $\mu$ M (Fareza et al., 2014).

#### **Phenolic acids**

Phenolic acids are mainly found in whole grains and nuts, cocoa, fruits, and beverages, such as coffee and beer (Cueva et al., 2010; Godos et al., 2021). These acids are divided into two classes: 1) hydroxybenzoic acids, which include individual compounds, such as vanillic, gallic, salicylic acid, syringic, and protocatechuic and 2) hydroxycinnamic acids, which include ferulic, rosmarinic, p-coumaric, chlorogenic acid, cinnamic, and caffeic (Daglia, 2012; Godos et al., 2021; Manach et al., 2004). Phenolic acid has an aromatic ring with OH or methoxy groups, and the diversity is indicated by the number of OH or methoxy groups, and the antibacterial activities are related to the chemical structures (Cueva et al., 2010; Merkl et al., 2010; Sánchez-Maldonado et al., 2011). The lengths of the saturated chains of phenolic acids were determined by the number and position of the substitutions on the benzene ring (Merkl et al., 2010; Sánchez-Maldonado et al., 2011). Merkl et al. (2010) reported that the

antibacterial effects of phenolic acids increase as the alkyl chain length increases.

Among the phenolic acids, ferulic acid showed MIC values of 1250 mg/mL and 1100 mg/mL, respectively, to the Gram-positive bacteria *L. monocytogenes* and *S. aureus* (Borges et al., 2013). In addition, ferulic acid showed MIC values of 100 mg/mL, respectively, against Gram-negative bacteria, *P. aeruginosa* and *E. coli* (Borges et al., 2013). *p*-coumaric acid showed antibacterial activities in *E. coli, Salmonella dysenteriae* and *Salmonella* Typhimurium (Borges et al., 2013; Lou et al., 2012). Furthermore, Camargo et al. (2017) confirmed the presence of *p*-coumaric and ferulic acids in dry peanuts and demonstrated antibacterial activities against *B. cereus, S. aureus, L. monocytogenes, S.* Typhimurium, *E. coli*, etc. (Camargo et al., 2017).

### Tannins

Tannins, which are found in higher herbaceous and woody plants, are classified into hydrolysable tannins and condensed tannins (also called proanthocyanidin) according to their structures (Daglia, 2012; Kim et al., 2010). The hydrolysable tannins exist in the form of binding to phenolic acids and sugar esters, such as glucose (Scalbert, 1991). According to Kim et al. (2010), hydrolysable tannins prevented lipid oxidation and radical-mediated DNA-cleavage while scavenging oxygen and oxygen-derived radicals. Additionally, it has been reported that the number of free galloyl groups in ellagitannin, the type of oligomer binding, and the size of the molecule play a major role in the antibacterial activity. Ellagitannin inhibits the growth of *E. coli, C. perfringens, S. aureus,* and *B. cereus* (Puljula et al., 2020).

Condensed tannins, also called proanthocyanidins, are polymers in which flavonoids are linked through 2–50 (or more) carbon–carbon bonds, and are non-hydrolyzed, water-soluble substances (Zarin et al., 2016). In addition, condensed tannins inhibit the synthesis of beta-lactamase, which eliminates the possible development of bacterial resistance to beta-lactam antibiotics, such as penicillin and cephalosporin (Majiduddin et al., 2002). This prevents bacteria from becoming an antibiotic resistant bacteria (Joseph et al., 2016).

#### Stilbenoids

Stilbenoids are phenolic compounds found in various plant species, including vines, blueberries, peanuts, and pistachios. They contain stilbenes as a common backbone structure and are synthesized via the phenylpropanoid pathway in plants (Akinwumi et al., 2018). They exist as either a monomer or an oligomer, and the *trans*-isomer

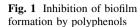
exists in a general and stable form (Akinwumi et al., 2018). Combretastatin B5 extracted from Combretum woodii leaf powder showed antibacterial activity against S. aureus (Xiao et al., 2008). Lakoochins A and Lakoochins B extracted from Artocarpus lakoocha also demonstrated antibacterial activities (Xiao et al., 2008). Resveratrol exhibited potassium leakage and propidium uptake in E. coli when treated with 182 µg/mL, indicating membrane damage, and also caused DNA damage by cleaving DNA to generate Cu (II)-peroxide complexes (Mattio et al., 2020). In addition, treatment with 228 µg/mL of resveratrol inhibits FtsZ, a key protein involved in septum formation during cell division, and interferes with cell division (Mattio et al., 2020). Resveratrol inhibited biofilm formation in Gram-negative bacteria, such as V. cholerae, P. aeruginosa, and E. coli (Mattio et al., 2020).

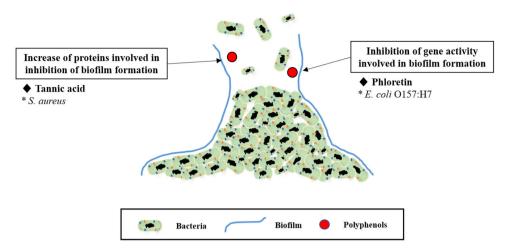
### Mechanisms of antibacterial activities of polyphenols

#### Inhibition of biofilm formation (antibiofilm)

Biofilm is a community of microorganisms present on living or non-living surfaces, embedded in extracellular polysaccharides, proteins, nucleic acids, lipids, and extracellular polymeric substances (EPS) (Hall-Stoodley et al., 2004; López et al., 2010). Since biofilms are highly resistant to adverse environmental factors, such as nutrients, oxygen starvation, and pH changes, the formation of biofilms affects the growth of microorganisms and is even resistant to antimicrobial agents (Galiè et al., 2018, Miklós Takó et al., 2020). Polyphenols inhibit bacterial biofilm formation by various mechanisms, including reducing bacterial motility and surface adhesion, blocking detection, and inhibiting the expression of virulence factors associated with pathogenic behavior (Borges et al., 2012; Eydelnant et al., 2008; Khokhani et al., 2013; Miklós Takó et al., 2020; Xu et al., 2011).

Figure 1 shows the inhibition of biofilm formation on bacteria by polyphenols. Phloretin, a flavonoid in apples, inhibits biofilm formation of *E. coli* O157:H7 by regulating the activity of curli genes (*csgA*, *csgB*) involved in biofilm formation (Lee et al., 2011; Payne et al., 2013). Tannic acid in black tea also inhibits biofilm formation of *S. aureus* by increasing the level of protein IsaA (immunodominant staphylococcal antigen A), which is involved in inhibiting biofilm formation. (Lee et al., 2011; Payne et al., 2013). Furthermore, red wine, widely known for its high content of flavonoids, has been demonstrated to strongly inhibit biofilm formation of *S. aureus* by hydroxyl groups present on the flavonoids. Hydroxyl groups play an important role in the formation of hydrogen bonds. Hydrogen bonding is





used in living bacteria to increase solubility in water and to bind to specific sites on DNA and proteins (Cho et al., 2015).

#### Destruction of cell wall and membrane

One of the important roles of the cell wall is to protect against osmotic pressure in Gram-positive and Gram-negative bacteria (Papuc et al., 2017). When the bacterial cell wall is damaged, the osmotic pressure is lowered while the ionic strength increases, and the resistance of the bacteria is reduced (Kumar et al., 2021). While exhibiting antibacterial effects, polyphenols inhibit the growth of bacteria by damaging the cell walls (Kumar et al., 2021). In addition, polyphenols interact with the cell membranes of Grampositive and Gram-negative bacteria to disrupt the phospholipid or lipid bilayers, affecting fluidity, and increasing membrane permeability, thereby altering the ion transport systems (Nazzaro et al., 2013).

Figure 2 shows the antibacterial mechanism shown by polyphenols destroying the cell wall and cell membrane of bacteria. EGCG directly binds to peptidoglycan in S. aureus and damages the cell wall, thereby lowering osmotic pressure and increasing ionic strength, which reduces cell tolerance (Zhao et al., 2002). Proanthocyanidins in cranberries exhibit antibacterial activities by binding to Lipopolysaccharide(LPS), which is a major molecular component of the outer membrane of Gram-negative bacteria, acting as a physical barrier to protect the bacteria from the surrounding environment (Johnson et al., 2008). Proanthocyanidins of persimmon exhibit antibacterial activities against S. aureus by inducing morphological damage to cell membrane permeability and destroying membrane integrity (Wang et al., 2020). Moreover, ferulic and gallic acids interact with cell membranes in E. coli, P. aeruginosa, S. aureus, and L. monocytogenes to reduce surface negative charges, form pores, leak intracellular

components, and change hydrophobicity to exhibit antibacterial activities (Borges et al., 2013; Miklós Takó et al., 2020). *p*-coumaric acid from apple, pear, and quinoa was involved in antibacterial activity by altering membrane permeability and pore formation in *E. coli, S. dysenteriae*, and *S. Typhimurium* (Lou et al., 2012). Catechin causes *B. subtilis* and *E. coli* to rapidly leak small molecules from the liposome inner space to agglomerate the liposome, and this action induces membrane lipid bilayer damage and apoptosis (Buzzini et al., 2007).

#### Inhibition of various enzyme activities

The B rings of flavonoids can inhibit DNA and RNA synthesis by intercalating or hydrogen bonding with nucleic acid bases of bacteria. In addition, polyphenols exhibit antibacterial activities by inhibiting DNA gyrase and blocking nucleic acid synthesis (Oblak et al., 2007). DNA gyrase, a type II isomerase, plays a role in making DNA into a negative superhelical form after replication and is used to break the link between the chromosomes of two daughter cells that are connected in a loop. Therefore, it is an enzyme that is a target for antibacterial agents (Oblak et al., 2007). DNA gyrase consists of two catalytic sub-units: GyrA, which is responsible for DNA breakage and recombination, and GyrB subunit, which contains an ATP-binding site (Oblak et al., 2007).

Figure 2 shows the antibacterial mechanism by which polyphenols inhibit various enzymatic activities necessary for the growth of bacteria. It has been reported that the flavonoids, such as myricetin, robinetin, and (-)-epigallocatechin, inhibit RNA synthesis in *S. aureus* and DNA synthesis in *Proteus vulgaris* (Mori et al., 1987). One of the phenolic acids, *p*-coumaric acid, destroys bacterial cell membranes and induces cell death by binding to DNA (Lou et al., 2012). Coumarin and cyclothialidine inhibit DNA gyrase by blocking the binding of ATP to the subunit of

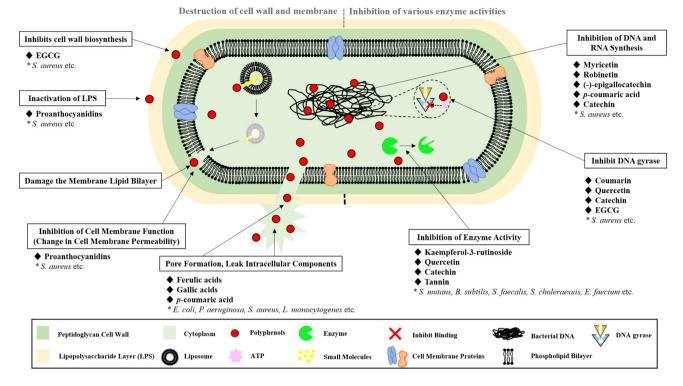


Fig. 2 Antibacterial mechanism of polyphenols

GyrB and exhibit antibacterial activities (Oblak et al., 2007). Quercetin, catechin, and epigallocatechin gallate have been studied and reported to possess antibacterial activities by inhibiting bacterial DNA gyrase and blocking nucleic acid synthesis (Gradišar et al., 2007; Plaper et al., 2003).

Polyphenols bind protein non-covalently and covalently, and effect antibacterial activities by sequestering and denaturing proteins into soluble or insoluble complexes (Brudzynski et al., 2015). In addition, polyphenols bind to proteins important for bacterial growth, such as enzymes, adhesin, and cell envelope transport proteins. Thus, inhibiting the protein's functions, and thereby exhibit antibacterial activities (Makarewicz et al., 2021).

Kaempferol-3-rutinoside (nicotiflorin) showed inhibitory activity against sortase A membrane enzyme, which plays an important role in the invasion of host cells of *S. mutans*, and showed antibacterial activity (Yang et al., 2015). Furthermore, quercetin inhibited sortase A and B, which are enzymes necessary for protein synthesis in *S. aureus* (Kang et al., 2006; Spirig et al., 2011). In addition, flavonoids inhibit the growth of *Mycobacterium smegmatis* II (FAS-II) by inhibiting fatty acid synthase, an enzyme required for fatty acid synthesis, when making the bacterial membrane (Brown et al., 2007; Mickymaray et al., 2020). Condensed tannins exhibit antibacterial activities against *S. aureus*, *B. subtilis, Streptococcus faecalis, S. choleraesuis, Enterococcus faecium* by complexing with the bacterial outer membrane porin protein and enzymes, permeases, to inhibit microbial enzymes (Joseph et al., 2016; Zarin et al., 2016).

#### Application of polyphenols as antibacterial agents

#### Effect of polyphenols on gut microbiota

Recently, many studies have reported the effects of gut microbiota on human gut health, and accordingly, studies showing the activities of polyphenols in the gut microbiota (Albenberg and Wu, 2014; Morais et al., 2016). Polyphenols can inhibit the growth of harmful microbial species, such as *C. perfringens* and *Helicobacter pylori* and in other side can establish beneficial microbial species, such as *Bifidobacteriaceae* and *Lactobacillaceae* in the gut microbiota (Aravind et al., 2021). Dietary polyphenols also produce aromatic metabolites, when ingested, which can positively affect the health of the host (Aravind et al., 2021; Cardona et al., 2013).

Polyphenols have a complex structure and large molecular weight, so their bioavailability is low. Hence, most of them are not absorbed, and only 5%–10% of the ingested amount is directly absorbed by enzymes, such as lactase-phlorizin hydrolase in the small intestine, with 90–95% of the unabsorbed in the small intestine reaching the colon in unchanged forms (Aravind et al., 2021; Gowd

et al., 2019). Polyphenols delivered to the colon are degraded into low molecular weight phenolic metabolites that can be absorbed by microorganisms (Aravind et al., 2021). These phenolic metabolites then bind to albumin and reach the liver through the portal vein, where they undergo more extensive metabolic processes, such as methylation, glucuronidation, and sulfation (Aherne and O'Brien., 2002). Eventually, they produce active metabolites, such as *O*-glucuronides, sulfate esters, and *O*-methyl ether (Scalbert et al., 2002). After reaching the target tissues and cells through the processes, the remaining metabolites are excreted through urine (Gowd et al., 2019).

#### Decrease in harmful bacteria

Gallic acid and caffeic acid in papaya juice have been reported to lower colonic pH and inhibit *Bacteroidetes, C. perfringens,* and *C. difficile* (Fujita et al., 2017). 3-O-methylgallate, GCG, EGC, EGCG of oolong tea reduced *C. histolyticum, Eubacterium,* and *Bacteroides* (Gowd et al., 2019). Ellagitannin from walnut inhibited the growth of the *Carnobacteriaceae* family, and polyphenols from green tea and red wine inhibited the growth of *S. enterica* and *E. coli* O157:H7 (Byerley et al., 2017; Tombola et al., 2003). Kaempferol, ferulic acid, quercetin, and vanillic acid in quinoa seeds inhibit the growth of *E. coli* and *S. aureus* (Ahmed 2013; Miranda et al., 2014).

#### Increase in beneficial bacteria

Gallic acid and caffeic acid in papaya juice were reported to promote the growth of Bifidobacteria and Eubacteria (Fujita et al., 2017). Proanthocyanidins from grape peel and grape seeds increased the numbers of Lactobacillus acidophilus, Lactobacillus reuteri, *Clostridiales*, and Ruminococcus in the intestine (Choy et al., 2014; Pozuelo et al., 2012). There is a study which reported that 3-Omethylgallate, gallocatechin gallate (GCG), epigallocatechin (EGC), epigallocatechin gallate (EGCG) of oolong tea increased the growth of Enterococcus, Bifidobacterium, and Lactobacillus (Gowd et al., 2019). Hydroxybenzoic acids, hydroxycinnamic acids, ferulic acids, and rutin in pea hull increased colonization of L. acidophilus, Lactobacillus delbrueckii, Lactobacillus casei, L. spp. and Lactobacillus bulgaricus (Guo et al., 2019).

# Application of polyphenols in food packaging systems

Food packaging plays a very important role in protecting food from bacterial infection during post-processing, distribution, and storage (Huang et al., 2019). Recently, as the

environmental problems caused by synthetic plastics and the health problems associated with synthetic preservatives are increasing, studies on the applications of polyphenols, which are natural preservatives, as antibacterial food packaging materials are being actively conducted (Arcan and Yemenicioğlu, 2011; Martillanes et al., 2017). Polyphenols can prevent the growth of foodborne pathogens, such as *Campylobacter*, *Salmonella* spp., and *Y. enterocolitica* present on food surfaces (Huang et al., 2019). Antibacterial packaging systems utilize these polyphenols, which interact with foods and packaging headspace to inhibit the growth of spoilage and pathogenic bacteria (Otoni et al., 2016).

#### Types of antibacterial food packaging systems

The application of polyphenols from natural extracts in the food packaging industry can further broaden the spectrum of antibacterial food packaging systems because it can solve problems, such as environmental problems and side effects associated with the use of synthetic preservatives (Arcan and Yemenicioğlu, 2011; Martillanes et al., 2017; Olszewska et al., 2020).

Antioxidant packaging methods that can delay food spoilage caused by microbial growth can be roughly classified into two types (Roman et al., 2016). The first is a separate device, a sachet and an absorbent pad (Gómez-Estaca et al., 2014). It is installed as a separate device from food and food packaging materials and exhibits antibacterial effects (Gómez-Estaca et al., 2014; Martillanes et al., 2017). The other is an edible coating, which is a packaging material (Gómez-Estaca et al., 2014). Direct incorporation of the active agent into the packaging material releases the antioxidant compound into the food and the headspace surrounding the food and exhibits its antibacterial effect (Gómez-Estaca et al., 2014; Martillanes et al., 2017).

#### **Emitting sachets**

Emitting sachets which belongs to an independent device, exhibit antibacterial effects by applying polyphenols, such as thymol and carvacrol, which are well known for their antibacterial activity against foodborne pathogens, in the form of volatile compounds (Gómez-Estaca., 2014). These compounds are released into the headspace of the package and exhibit antibacterial activities without direct contact with food (de Azeredo et al., 2013; Gómez et al., 2014; Martillanes et al., 2017).

#### Absorbent pads

When a food is packaged, liquid from the food collects at the bottom of the package, which can impair food quality or promote the growth of foodborne pathogens. To solve this problem, absorbent pads can be used, which are packaging devices that can delay the growth of microorganisms by absorbing liquid from food when food is placed on an absorbent pad (de Azeredo et al., 2013). In addition, carvacrol and thymol, the main phenolic components in oregano essential oil, exhibit antibacterial activities and are additionally applied to the absorbent pads where food exudates are absorbed to extend shelf life (Adam et al., 1998; Oral et al., 2009).

#### **Edible coating**

Edible coating is made from various raw materials, such as polysaccharides, proteins, and lipids, and an antioxidant packaging agents applied in direct contact with food surfaces (Baek et al., 2021; Gómez et al., 2014; Hassan et al., 2018). Antibacterial edible coatings are more effective than the direct addition of antibacterial agents to food because they can be applied where antibacterial activity is required by selectively migrating from the packaging to the food surface (Ouattar et al., 2000). There was a study to extend the shelf life of shrimp using an edible coating material containing alginate and grapefruit seed extract containing flavonoids (Baek et al., 2021; Cvetnić et al., 2004). Furthermore, a study reported that films made from 2 to 5% pomegranate peel powder containing anthocyanins inhibited the growth of S. aureus and L. monocytogenes (Hanani et al., 2019). In addition, various research has shown significant potentials for the application of polyphenols in edible coatings as antimicrobial packaging devices (Olszewska et al., 2020).

### Conclusion

Antimicrobial activity of food-derived polyphenols against food-borne pathogens has been demonstrated, and the mechanism is being studied. Due to these antibacterial properties, the application of polyphenols in food as a natural preservative to replace synthetic preservatives is environmentally friendly, which may become a promising trend for pursuing an eco-friendly and healthy life in recent years. In keeping with the trends of the food industry, it has been confirmed that studies on the potential of polyphenols to have a positive effect on the human microflora and the applicability of polyphenols in food packaging are underway. However, when polyphenol is applied to food, it has a negative effect on sensory characteristics such as taste and aroma of food. For the food industry application of polyphenols, further research is needed to improve the sensory properties and consider consumer preferences.

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#### Declarations

**Conflict of interest** The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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