#### **ORIGINAL PAPER**



# **Analyzing grain size distributions with the modal decomposition method: potential for future research in engineering geology**

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#### **Abstract**

Many studies describe soils by their grain/particle size distribution (GSD/PSD). Most samples are poly-disperse collections of solids. A companion article has reviewed earlier methods to fit a GSD: usually, their  $R^2$  value is in the 0.5–0.9 range. A modal decomposition method, MDM, was developed to extract from a GSD its sub-populations or modes, proportions, and specific surface area. This new MDM is easy to use in a spreadsheet and yields a  $R^2$  value typically over 0.999. This article explores the MDM potential for future research in engineering geology. Examples are provided for the accurate mathematical description of the GSD, instead of using a few parameters and adjectives. For stratifed formations, the MDM found that their sub-layers respect the usual flter criteria for well-graded or 1-mode soils. For internal erosion, the MDM has made it clear that the usual criteria are not enough and two new criteria seem to be needed, about the *d*-length over which the GSD slope criterion is not respected and its position. These new results should interest many researchers. In short, the MDM appears as a promising and useful tool for future research in engineering geology because it gives a close-to-perfect ft for the GSD, has the capacity to better defne quantitatively a GSD, the capacity to better understand and defne the conditions for fltering actions, and for the risk of internal erosion.

**Keywords** Modal decomposition · Grain size distribution · Permeability · Filters · Internal erosion

# **Introduction**

Soils in engineering geology are defned by their grain size distribution (GSD) and their classification (e.g., ASTM D2487 [2017](#page-8-0)). A companion paper (Chapuis [2021a](#page-8-1)) has listed many applications and use of the GSD in diferent research domains. The GSD is used to predict physical properties of soils such as (a) their saturated and unsaturated hydraulic conductivities (Chapuis [2012a,](#page-8-2) [2016](#page-8-3)); (b) their specifc surface area, (c) their total porosity, (d) their effective porosity for tracer tests (Chapuis [2019a](#page-8-4), (e) their pore size distribution, and (f) their water retention curve. The GSD is also used in erosion studies, in designing flters and in preventing internal erosion problems. The GSD is used to study the history of sediments. Combined with the porosity, *n*, or the void ratio, *e*, the GSD is used to predict mechanical properties of soils, landslides, and settlements. The GSD of air-borne particles is used in air and environmental studies, in correlation with health issues. The GSD of powders is used in powder technology and pharmaceutical manufacturing.

Diferent techniques give a GSD, such as sieving, sedimentation, elutriation, analysis of photographs, and laser difraction. These methods make diferent assumptions and thus, may yield diferent GSD data for the same specimen.

A companion paper (Chapuis [2021a](#page-8-1)) has reviewed the diferent methods that were proposed to ft a GSD: usually, their coefficient of determination,  $R^2$ , is in the 0.5–0.9 range (Buchan et al. [1993](#page-8-5); Hwang et al. [2002](#page-8-6); Bah et al. [2009](#page-8-7); Weipeng et al. [2015](#page-9-0); Esmaeelnejad et al. [2016](#page-8-8)). A modal decomposition method, MDM, was developed (Chapuis [2010;](#page-8-9) Chapuis et al. [2014\)](#page-8-10) to extract from a GSD its subpopulations or modes, proportions, and specifc surface area. This new MDM is easy to use in a spreadsheet and yields a  $R^2$  value typically over 0.999. As a result, the GSD is described mathematically, which improves the quality of studies (Chapuis [1995\)](#page-8-11). For example, when soil samples are taken in boreholes with a split-spoon, information on stratifcation is lost, but the MDM was shown to recover

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information. The MDM improves the prediction of feld permeability in stratifed soils, which is critical for groundwater and pollution studies (Chapuis [2010;](#page-8-9) Chapuis et al. [2014,](#page-8-10) [2021b\)](#page-8-12), For example, the large-scale *K* value, as derived from pumping tests, was found to be correctly predicted by the composed small-scale *K* values of the stratifed aquifer, as quantifed with the MDM (Chapuis [2013](#page-8-13); Chapuis et al. [2005\)](#page-8-14), which eliminates the need for a so-called scale-efect (Chapuis [2019b](#page-8-15), [2021b\)](#page-8-12). Single layers in stratifed soils are unimodal, with a single population of grains. Multimodal soils are either homogeneous (till, crushed stone) or stratified (sandy aquifers). Borehole samples may have up to four modes in their GSDs. This is why a four-mode MDM spreadsheet and instructions are made freely available to readers in the web site of Scholar Portal Database (Chapuis [2020\)](#page-8-16).

A companion article (Chapuis [2021a](#page-8-1)) has quantifed continuity between diferent types of sand. It has found, with several examples, that if the sand coefficient of uniformity,  $C_{U}$ , is higher than 3, the sand is stratified.

The objective of this article is to show diverse possibilities ofered by the MDM of a GSD, for studies and researches in engineering geology. Examples are provided for investigating problems such as improving the quantitative description of a GSD, improving the current flter criteria that are used for drainage and fltering projects, including those of earth dams, and improving the design rules to avoid the risk of internal erosion in earth dams, and in structural layers of streets and highways.

## **Background**

The GSD is plotted as the percentage *p* of solid mass smaller than size  $d$  (mm) against  $log (d / 1$  mm) =  $log (D)$ , which yields a curve  $p(D)$ . The GSD is a cumulative distribution function, defned as the integral of the probability density mass distribution of grain sizes (PDDGS). A grain size  $d_x$  is defined as the size such as  $x$  % of the solid mass is smaller than  $d_x$ .

Many soil GSDs are poly-disperse collections of particles. The soil in this article has a geotechnical defnition, not an agricultural defnition. For example, soil samples taken in boreholes may be mixtures of thin layers. Each of them results from several factors including diferent origins, means of transport, erosion, and deposition. Identifying the diferent sources, and their respective inputs, is important for correctly assessing natural and anthropogenic impacts upon environmental and health issues.

Chapuis et al. ([2014\)](#page-8-10) proposed a modal decomposition method, MDM, to describe mathematically the mixture and its specifc surface, including the percentage and own GSD of each layer or sub-population, or mode. Each mode was assumed to be defned by a lognormal distribution,

which may cover a wide range of grain sizes. A unimodal GSD results from a single series of processes. Individually sampled layers in a stratifed aquifer, and most natural clays, were shown to be unimodal (Chapuis et al. [2014\)](#page-8-10). A multimodal GSD results from several series of processes. Split-spoon samples in stratifed sand yield a multimodal GSD. The MDM provides a closed-form equation for the specific surface,  $S_s$ , whose values were verified against those given by the previous method of Chapuis and Légaré ([1992](#page-8-17)), which uses Riemann sums.

To analyze GSDs series for a geological unit, one must follow a single standard for sieving and sedimentation, respects the needed minimum solid mass, and does not mix GSDs obtained by diferent methods (e.g., sieving and laser). The MDM can accurately decompose man-made soil mixtures and thus, retrieve information, but may be inaccurate for a mode fraction below 2% (Chapuis et al. [2014](#page-8-10)).

The MDM key equations are summarized hereafter as Eqs. [1](#page-1-0)[–4.](#page-1-1) The GSD is described by a function *F*:

<span id="page-1-0"></span>
$$
F(D) = p[\ln D] = \sum_{j=1}^{N} m_j p_j[\ln D]
$$
 (1)

where  $D = d$  (mm)/ 1 mm. The mode j (index *j* from 1 to *N*) contributes for  $m_j$  (%) to the solid mass:

$$
\sum_{j=1}^{N} m_j = 1 \text{ or } 100\% \tag{2}
$$

The GSD of each mode j is described by *p*<sup>j</sup> [ln *D*], or  $p_j(x)$  where  $x = \ln D$ , which is a lognormal distribution with a mean  $\mu_j$  and a standard deviation  $\sigma_j$  (or a variance  $\sigma_j^2$ ). Its derivative is

$$
\frac{dp_j}{dx}(x; \mu_j, \sigma_j) = \frac{1}{\sigma_j \sqrt{2\pi}} \exp\left[-\frac{(x-\mu_j)^2}{2\sigma_j^2}\right]
$$
(3)

The probability density distribution of the grain size, PDDGS, is therefore obtained by summation of the  $(dp_j/dx)$  functions. The function  $F(D)$  is then defined by the summation of integrals, yielding

$$
F = p\left[\ln\left(\frac{dmm}{1mm}\right)\right] = p[\ln(D)] = \sum_{j=1}^{N} m_j \left(\frac{1}{2} + \frac{1}{2}\text{erf}\left(\frac{(\ln(D - \mu_j)}{\sigma_j \sqrt{2}}\right)\right)
$$
(4)

<span id="page-1-1"></span>where erf is the error function.

After having reminded basic information on the MDM (see Chapuis [2021a](#page-8-1) for more equations), this article provides interesting potential applications of the MDM for engineering geology. Three applications are examined, those linked to (1) an accurate quantifcation of the GSD, (2) flter criteria for materials undergoing seepage forces,

and (3) internal erosion issues, which are critical for earth dams and structural layers of highways and streets.

## **Possible tools for research with the GSD**

By providing an accurate GSD description, the MDM has an attractive potential for innovative research. Usually, geological engineers and specialists in geotechnique describe a soil with its GSD, its coefficients of uniformity,  $C_{U}$ , and curvature  $C_{\text{C}}$ . The  $C_{\text{U}}$  value reflects the steepness of the GSD in its central part, whereas the  $C<sub>C</sub>$  value, below or above unity, refects how the real GSD deviates from a normal distribution. These are defined as  $C_U = d_{60}/d_{10}$ and  $C_C = d_{30}^2 / (d_{60} d_{10})$ .

Many soils (geotechnical defnition) are found to be unimodal by their MDM: their  $C_U$  and  $C_C$  values depend upon the  $\mu$  and  $\sigma$  values. Any size  $d_x$  of a unimodal soil is also related to  $\mu$  and  $\sigma$ . Its value can be extracted using the function erfc−1 that can be found in textbooks and is available in most mathematical codes. A few equations are given hereafter to link the usual geotechnical parameters of the GSD and the new parameters of  $\mu$  and  $\sigma$  for a unimodal soil: these relationships of Eqs. [5](#page-2-0)[–12](#page-3-0) are shown in Figs. [1](#page-2-1) and [2](#page-2-2).



<span id="page-2-2"></span>**Fig. 2** Relationships between  $C_U$ ,  $C_C$ , and  $\sigma$  for unimodal GSDs

<span id="page-2-0"></span>
$$
d_{50} = \exp(\mu),\tag{5}
$$

<span id="page-2-3"></span>
$$
\sigma = \ln \left[ d_{84.1} / d_{50} \right] = \ln \left[ d_{50} / d_{15.9} \right] = \frac{1}{2} \ln \left[ d_{84.1} / d_{15.9} \right], \tag{6}
$$

<span id="page-2-1"></span>

$$
d_5/d_{50} = \exp(-1.6449 \,\sigma) \text{ or } d_5 = \exp(\mu - 1.6449 \sigma), \quad (7)
$$

$$
d_{10}/d_{50} = \exp(-1.2816 \,\sigma),\tag{8}
$$

$$
d_{30}/d_{50} = \exp(-0.4959 \,\sigma),\tag{9}
$$

$$
d_{60}/d_{50} = \exp(0.2533 \,\sigma),\tag{10}
$$

$$
C_U = \exp(1.5349 \sigma), \text{ and } C_C = \exp(0.0365 \sigma) \tag{11}
$$

$$
S_S(m^2/kg) = \frac{6}{\rho_s} \sum_{j=1}^{N} m_j \frac{\exp{(\sigma_j^2)}}{0.001 \exp{(\mu_j)}} = \frac{6}{\rho_s} \sum_{j=1}^{N} m_j \frac{\exp{(\sigma_j^2)}}{0.001 d_{50}(in \, mm)}
$$
(12)

It is worth noting that in practice, the  $\sigma$  value of a unimodal soil rarely exceeds 3. A high *σ* value is found for clay, whereas a low  $\sigma$  value is found for an individual sand layer. For a soil that has a multimodal GSD, it is mathematically impossible to derive similar simple expressions for the sizes  $d_x$  and the coefficients  $C_U$  and  $C_C$ . These sizes depend upon the total set of  $m_j$ ,  $\mu_j$ , and  $\sigma_j$  values. However, the mathematical code used for the MDM can easily fnd all the numerical values of the  $d_x$ , as target values and then, those of  $C_U$  and  $C_{\rm C}$  for any multimodal soil.

# **The usual filter criteria**

Filter criteria are important in geotechnical design. They were derived initially from laboratory tests with uniform base soil (the fner soil, the one to be protected) and protective (coarse) soil flter (Terzaghi [1939;](#page-9-1) Bertram [1940](#page-8-18); USACE [1953](#page-9-2); USBR [1974;](#page-9-3) Sherard et al. [1984](#page-9-4); Kenney et al. [1985;](#page-8-19) NRCS [1994](#page-9-5)). The common and old criteria involve specific particle sizes,  $d_{15}$ ,  $d_{50}$ , and  $d_{85}$  with an extra index B or F for the base and flter, respectively. For example, the common criteria for cohesionless uniform base and flter are (USBR [1974\)](#page-9-3):

$$
4d_{15B} \le d_{15F} \le 4d_{85B}.\tag{13}
$$

These were obtained for uniform (probably unimodal) GSDs, but cannot be simply applied to soils having irregular or multimodal GSDs (Honjo and Veneziano [1989](#page-8-20); Foster and Fell [2001](#page-8-21)). To avoid this difficulty and derive better criteria, several researchers proposed to study not the relationship between the two GSDs but the relationship between the base GSD and the flter constriction size distribution or CSD (Kenney et al. [1985;](#page-8-19) Indraratna et al. [2007;](#page-8-22) Raut and Indraratna [2008](#page-9-6); Reboul et al. [2010](#page-9-7)).

In this article, because the mathematical treatment for multimodal GSDs is fairly complex and will take more research time, only the simple case of well-graded or unimodal bases and flters is examined. In this simple case, the  $d_{15}$  and  $d_{85}$  sizes are given by simple relationships (Fig. [3](#page-3-1)):

$$
d_{15}/d_{50} = \exp(-1.0364 \sigma) \text{ or } d_{15} = \exp(\mu - 1.0364 \sigma),
$$
\n(14)

$$
d_{85}/d_{50} = \exp(1.0364 \sigma) \text{ or } d_{85} = \exp(\mu + 1.0364 \sigma). \quad (15)
$$

<span id="page-3-10"></span><span id="page-3-9"></span><span id="page-3-4"></span><span id="page-3-3"></span>As a result, the criteria of Eq. [\(13](#page-3-2)) become.

$$
d_{15F} = \exp(\mu_F - 1.0364\sigma_F) \ge 4d_{15B} = 4\exp(\mu_B - 1.0364\sigma_B),
$$
 and (16)

<span id="page-3-0"></span>
$$
d_{15F} = \exp(\mu_F - 1.0364 \sigma_F) \le 4 d_{85B} = 4 \exp(\mu_B + 1.0364 \sigma_B).
$$
\n(17)

Taking the natural logarithms of both sides in Eqs.  $(16)$  $(16)$ – $(17)$ , it comes:

$$
(\mu_F - 1.0364\sigma_F) \ge \ln(4) + (\mu_B - 1.0364\sigma_B) \text{ and } (18)
$$

$$
(\mu_F - 1.0364 \sigma_F) \le \ln(4) + (\mu_B + 1.0364 \sigma_B). \tag{19}
$$

<span id="page-3-8"></span><span id="page-3-7"></span><span id="page-3-6"></span><span id="page-3-5"></span>Equations  $(18)$  $(18)$ – $(19)$  $(19)$  are rearranged to give:

$$
\left(\mu_F - \mu_B\right) \ge 1.3863 + 1.0364\left(\sigma_F - \sigma_B\right), \text{ and } (20)
$$

$$
(\mu_F - \mu_B) \le 1.3863 + 1.0364 \left(\sigma_B + \sigma_F\right). \tag{21}
$$

Thus, for well-graded or unimodal bases and flters, the filter criteria of Eq.  $(13)$  $(13)$  $(13)$  become the inequalities of Eqs.  $(20)$  $(20)$ – $(21)$  $(21)$  $(21)$ . These involve the difference of the two means

<span id="page-3-2"></span>

<span id="page-3-1"></span>**Fig. 3** Relating the ratios  $d_{15}/d_{50}$  and  $d_{85}/d_{50}$  to  $\sigma$  for unimodal GSDs (Eqs. ([14](#page-3-9))–[\(15\)](#page-3-10) and afterwards verifcation of flter criteria

 $(\mu_{\rm F} - \mu_{\rm B})$ , the sum and the difference of the two standard deviations ( $\sigma_F + \sigma_B$ ) and ( $\sigma_F - \sigma_B$ ).

The simplest flter case is that of two materials made of equal spheres, of size  $d_{\text{B}}$  for the base and  $d_{\text{F}}$  for the filter, for which  $\sigma_F = \sigma_B = 0$ . Equation ([17\)](#page-3-4) predicts that the filtration will work if  $\mu_F - \mu_B$ )<1.386 which means also  $d_F/d_B$ <4. The large sphere diameter cannot exceed 4 times the small sphere diameter to be able to stop the movement of small spheres: this rule is safe for fltration according to physical tests of Ghidaglia et al. ([1996\)](#page-8-23) and numerical simulations of randomly loosely packed equal spheres (Roozbahani et al. [2014\)](#page-9-8). The latter have shown that all small spheres up to 0.15 times the large sphere size pass through the loose pack, whereas small spheres of diameter 0.25 times are stopped, which corresponds to  $d_F/d_B < 4$ .

In feld conditions, when an aquifer is stratifed, its adjacent sub-layers must have respected some natural flter criteria. The MDM provides the  $\mu$  and  $\sigma$  values of the sublayers. The collected data for seven stratifed aquifers are used here to assess the terms on each side of inequalities in Eqs.  $(20)$  $(20)$ – $(21)$  $(21)$ , for the M1 and M2 modes, and then for the M2 and M3 modes, when all GSDs are decomposed with a 3-mode MDM. The data for Eq. ([20](#page-3-7)) are plotted in Fig. [4,](#page-4-0) whereas those for Eq.  $(21)$  $(21)$  are plotted in Fig. [5.](#page-4-1)

Most data in Fig. [4](#page-4-0) respect the left side of Eq. [\(13\)](#page-3-2), or Eq. ([20](#page-3-7)), for the usual filter criterion involving the two  $d_{15}$ values. There are, however, six outlier points in Fig. [4](#page-4-0). A few outliers may be expected for at least two reasons: frst, the MDM is an inverse method, which means it may have several solutions, and second, the MDM was done initially



<span id="page-4-0"></span>**Fig. 4** Verifying Eq. [\(20\)](#page-3-7), equivalent to the Eq. [\(13\)](#page-3-2) left side for the usual filter criterion relating the  $d_{15}$  values for adjacent lognormal modes



<span id="page-4-1"></span>**Fig. 5** Verifying Eq. [\(21\)](#page-3-8) (equivalent to Eq. ([13](#page-3-2)) right side) for the usual filter criterion relating the  $d_{15F}$  and the  $d_{85B}$  for adjacent lognormal modes

with only three possible modes. The GSDs for the outlier points in Fig. [4](#page-4-0) were reanalyzed with a MDM allowing four possible modes. The arrows in Fig. [4](#page-4-0) indicate the displacements that occur when allowing 4 instead of 3 modes. All data now fall on or above the line, thus verify the left side of Eq. ([13\)](#page-3-2) for loose uniform soils.

According to Fig. [4](#page-4-0), stratifed sand GSDs must not be ftted with three modes because the assumed three modes may not respect the flter criteria. This is a new fnding. When a 3-mode MDM is used, and there are two asymmetrical peaks in the PDDGS, the 3-mode MDM fts one peak with one mode and the other peak with the two other modes. This type of fit (even with a  $R^2$  of 0.999) was inadequate as shown in Fig. [4](#page-4-0) for six specimens, which needed a four-mode MDM.

It must be noted that Fig. [4](#page-4-0) was plotted by assuming that the modes follow the order M1-M2-M3 or M1-M2-M3- M4 in field conditions, following the order of means  $\mu_1$  to  $\mu_4$ . This may be incorrect when M1 and M2 are close (or M3 and M4 are close). Then, in the feld, the order may be M1-M3-M2-M4 or M1-M4-M2-M3 instead of M1-M2-M3- M4, because M1 and M2 were created by the same physical phenomena with variations in parameter intensities. If the order M1-M3-M2-M4 is taken to plot the 4 M data in Fig. [4,](#page-4-0) then the points move upward (this is not shown in Fig. [4](#page-4-0)) and thus better respect the left side of Eq.  $(13)$  $(13)$  or the Eq.  $(21)$  $(21)$ , for the filter criterion involving the two  $d_{15}$  values.

All data in Fig. [5](#page-4-1) respect the right side of Eq. ([13](#page-3-2)) or Eq. ([21\)](#page-3-8) for the criterion with the  $d_{15F}$  and  $d_{85B}$  values. The points close to the equality line are for those specimens giving outliers in Fig. [4,](#page-4-0) and thus. they were reanalyzed with

a 4-mode MDM. A few displacements that result with 4 instead of 3 modes are shown by arrows in Fig. [5](#page-4-1). All data now fall above the line, thus verify the right side of Eq. ([13\)](#page-3-2) for uniform soils.

It seems that the criterion could be moved up by at least 0.25 in Fig. [5](#page-4-1). The constant 1.3863 in Eqs.  $(20)$  $(20)$ – $(21)$  would become 1.6363, which is the natural logarithm of 5.14. More simply stated, from Figs. [4](#page-4-0) and [5,](#page-4-1) it appears that the cohesionless uniform sand sub-layers in natural conditions and usually loose feld compactness verify

$$
(d_{15F}/d_{15B}) \ge 4 \text{ and } (d_{15F}/d_{85B}) \le 5. \tag{22}
$$

As stated before, this verifcation for feld conditions is valid only with well-graded or unimodal bases and flters for which the filter criteria of Eq.  $(13)$  become the inequalities of Eqs.  $(20)$  $(20)$ – $(21)$  $(21)$ . Figure [6](#page-5-0) illustrates the physical meaning of these flter equations that use the diference of means  $(\mu_F - \mu_B)$ , the sum, and the difference of standard deviations ( $\sigma_F + \sigma_B$ ) and ( $\sigma_F - \sigma_B$ ). It is worth noticing, and this is another new fnding to be added to those from Figs. [4](#page-4-0) and [5,](#page-4-1) that it is certainly not a coincidence if the experimental data of earlier researchers pointed out the key role of  $d_{15}$  and  $d_{85}$ for filter criteria, because  $d_{15}$  and  $d_{85}$  are close to  $(\mu + \sigma)$  and  $(\mu - \sigma)$ , respectively, as stated by Eqs. [\(14](#page-3-9))–[\(15](#page-3-10)).

As indicated previously, the flter criteria currently used in civil engineering have evolved to take diferent forms, depending upon the  $C_U$  and  $C_C$  values, the convex or concave shape of the GSD, and the density index. The latter depends upon the maximum and minimum values of porosity, which depend upon the particle shape (Youd [1973](#page-9-9); Chapuis [2012b;](#page-8-24) Chang et al. [2018\)](#page-8-25), and infuence also the



<span id="page-5-0"></span>**Fig. 6** Illustration of flter criteria for a unimodal base and a unimodal filter. Note that  $d_{50} = \mu$  and  $d_{15} \approx \mu - \sigma$ , and  $d_{85} \approx \mu + \sigma$ —see Eqs. ([6](#page-2-3)), [\(14\)](#page-3-9), and ([15](#page-3-10))

pore detailed geometry, which should be viewed as a key factor for fltration. Because soil fltering is complex, its translation into new criteria relating individual modes of the flter and base will need long and detailed investigations. This is why the article has not presented other cases than the simple one described. It proves the need for a 4-mode MDM, which is interesting because it allows us to better quantify and understand all the processes involved in fltration.

#### <span id="page-5-1"></span>**The usual criteria for internal erosion**

The example 5 in Chapuis  $(2021a)$  $(2021a)$  was for a 0–20-mm crushed stone that is prone to internal erosion according to usual criteria and as confrmed by laboratory tests (Chapuis et al. [1996;](#page-8-26) Chapuis and Saucier [2020](#page-8-27)). These usual criteria for internal erosion involve GSD parameters, but not the soil porosity *n* or void ratio *e*, which should be taken into account in improved criteria (Chapuis [1992\)](#page-8-28).

The "usual" criteria of Kezdi ([1969\)](#page-9-10), Sherard [\(1979](#page-9-11)), and Kenney and Lau [\(1985,](#page-8-29) [1986](#page-8-30)) frst split a GSD into a fne (index f) and a coarse portion (index c). Then, they apply flter criteria to the two portions to verify whether the solids of the fne portion can move in the pores of the coarse portion. More recent geometric criteria were proposed for well-graded and gap-graded GSDs (Perzlmaier et al. [2007](#page-9-12); Wan and Fell [2008;](#page-9-13) Li and Fannin [2008](#page-9-14), [2012;](#page-9-15) Chang and Zhang [2013a](#page-8-31), [b](#page-8-32); Ahlinhan and Adjovi [2019\)](#page-8-33).

The usual internal erosion criteria can be expressed as similar equations and geometric rules (Chapuis [1992](#page-8-28); Chapuis and Tournier [2006\)](#page-8-34). The criterion of Kezdi ([1969\)](#page-9-10) uses Eq. ([13\)](#page-3-2), and it became the following: if the GSD slope is flatter than 24.9% per log cycle at a size  $d_x$ , the soil voids cannot retain particles finer than  $d<sub>x</sub>$  (Chapuis [1992](#page-8-28)). The criterion of Sherard  $(1979)$  $(1979)$  uses Eq.  $(22)$  $(22)$  and became as follows: if the GSD slope is fatter than 21.5% per log cycle at a size  $d<sub>x</sub>$ , the soil voids cannot retain particles finer than *d*x (Chapuis [1992](#page-8-28)). The criterion of Kenney and Lau ([1985,](#page-8-29) [1986\)](#page-8-30) was built upon the theory of Lubochkov ([1965,](#page-9-16) [1969](#page-9-17)). It became as follows: the particles finer than  $d_0$  at a point of ordinate  $p_0$  will move in the pore space if the GSD slope falls below the slope of curve of Eq. [\(23](#page-5-2)) (Chapuis and Tournier [2006](#page-8-34)) at this pivot point  $(d_0, p_0)$ :

<span id="page-5-2"></span>
$$
\frac{p}{p_0} = \left(\frac{d}{d_0}\right)^{0.7213} \tag{23}
$$

Equation ([23\)](#page-5-2) is easily plotted over the GSD plot in a spreadsheet. The usual predictive methods (the two slope criteria plus Eq.  $(23)$  $(23)$  agree that the solid grains smaller than  $d_0 \approx 2.5$  mm will move in the pores of the coarse grains of the soil having the GSD of Fig. [7](#page-6-0).



<span id="page-6-0"></span>**Fig. 7** The GSD of a crushed stone and the usual predictive methods for internal erosion (Kezdi [1969](#page-9-10); Sherard [1979;](#page-9-11) Kenney and Lau [1985,](#page-8-29) [1986\)](#page-8-30), written as equations (Chapuis [1992](#page-8-28); Chapuis and Tournier [2006\)](#page-8-34)

Predicting internal erosion is simplifed when using the PDDGS, which plots the GSD slope. The criteria of Kezdi [\(1969\)](#page-9-10) and Sherard ([1979](#page-9-11)) become horizontal lines; that of Kenney and Lau ([1985,](#page-8-29) [1986](#page-8-30)) is the derivative of Eq. [\(23](#page-5-2)). All criteria agree that particles smaller than  $d_0 \approx 4$  mm will move in the pores of the coarse particles of the soil having the PDDGS of Fig. [8](#page-6-1). There is a small diference



<span id="page-6-1"></span>**Fig. 8** Using the PDDGS of the crushed stone to express geometrically the internal flter criteria using the equations of Chapuis [\(1992](#page-8-28)) and Chapuis and Tournier [\(2006](#page-8-34))

(2.5 or 4 mm) between the GSD (integral) and the PDDGS (derivative).

The criteria are easily verifed in Fig. [7](#page-6-0) for 0–20-mm crushed stone, which had internal erosion in laboratory tests. However, if example 1 in Chapuis [\(2021a](#page-8-1), its Fig. [1](#page-2-1)a) for uniform sand is examined, its slope becomes lower than 21.5% per log cycle at a size  $d_x \approx 0.33$  mm but everyone experienced with flters will sustain that this sand is not prone to internal erosion.

This example 1 in Chapuis ([2021a](#page-8-1)) is thus indicating that current slope criteria are insufficient and other criteria should be added. In the PDDGS, a frst visible diference is the *d*-length over which the slope criterion is not respected. A second diference is the position of this *d*-length that may be at the end of the GSD or in its middle. This *d*-length exceeds one log cycle in Fig. [7,](#page-6-0) but it is quite short (about 0.2 log cycle) in the Fig. [1b](#page-2-1) of Chapuis ([2021a](#page-8-1)). This *d*-length and its position should be taken into account to better predict whether or not a gap-graded GSD is prone to internal erosion. Therefore, the MDM makes it clear that new criteria are needed to help future research on internal erosion, which is another new result, derived from the use of the MDM. In parallel, new techniques are needed to better quantify the internal erosion process, such as tracer tests (Molina-Gomez and Chapuis [2021](#page-9-18)).

As a result, the MDM with its best fts for the GSD and PDDGS appears as a promising tool. Because internal erosion is complex to quantify, it will need long and detailed investigations to develop new criteria involving the soil own modes or sub-populations of particles. This is why this article has not analyzed other cases than simple unimodal ones. By better quantifying the GSD modes, the MDM should help to develop better criteria for internal erosion and fltration.

### **Discussion**

The modal decomposition method, MDM, was proposed and developed for two reasons. First, a soil mixture and a stratifed soil have diferent small- and large-scale *K* values. Second, sampling a stratifed aquifer with a split-spoon yields class-4 samples, which are remolded. Therefore, information on the detailed stratigraphy may be lost and each GSD may be questioned. A major issue is this loss of information about stratifcation, which is important for geomechanical and hydraulic properties. Initially, the purpose of the MDM (Chapuis [2010;](#page-8-9) Chapuis et al. [2014](#page-8-10)) was to better evaluate local- and large-scale *K* values (pumping tests), and also the efective porosity and longitudinal dispersivity for the migration of tracers or dissolved contaminants (Chapuis [2019a\)](#page-8-4). Thus, initially, the MDM was essential to quantify stratifcation and better understand the geological processes that have created a soil layer and its resulting characteristics.

The capacities of the MDM were shown in Chapuis et al.  $(2014)$  and Chapuis  $(2021a)$ . This article has examined different possibilities offered by the MDM for future research in engineering geology. First, in order to have a reliable GSD, the analyzed solid mass must exceed the minimum value of standards (e.g., ASTM C136/C136M [2014\)](#page-8-35). In addition, for sieving gravel and sand, it would be wise to add a few in-between sieves to those that are used habitually. The usual sieves may be not enough to describe correctly a multi-modal GSD. A good practice would be to insert an intermediate-size sieve between each sieve that is overloaded and the regular sieve just above that overloaded sieve. The laser difraction method may be a good complement to sieving for sand and silt. In this case, it would be wise to perform frstly a sieve analysis with a solid mass respecting the standard minimum requirement, and afterward select a fraction smaller than 0.63 or 0.315 mm to perform laser GSDs with a-few-grams specimens. This will allow the MDM to better quantify the diferent sub-populations that may exist in stratifed sands.

Afterward, the MDM gives accurate mathematical equations for the GSD and its derivative, PDDGS, which closely ft the experimental data. This means that the MDM has the capacity to organize in a single equation all information previously obtained with the use of  $d_5$ ,  $d_{10}$ ,  $d_{15}$ ,  $d_{30}$ ,  $d_{60}$ ,  $d_{85}$ ,  $C_{U}$ , and  $C_{C}$ , plus qualitative words. This capacity should prove useful for future research about correlations between the GSD, plus other parameters, and the mechanical and hydraulic properties of the tested soil.

A preliminary study of feld conditions, with a 4-mode MDM, has reached new fndings about flter criteria. It has shown that stratifed sand aquifers verify the usual criteria between the sand individual layers, which were already known to be unimodal. It has also clarifed the physical meaning of usual flter criteria, through the closed link between  $d_{15}$ ,  $d_{50}$ ,  $d_{85}$ , and the mean,  $\mu$ , and standard deviation, *σ*, of a 1-mode GSD. More research is needed for flter criteria with man-made polymodal mixtures, and gap-graded GSDs.

A preliminary study of internal erosion criteria has also reached new fndings. Recently, Chapuis and Saucier ([2020](#page-8-27)) found that a material undergoing internal erosion is more complex than the association of a coarse solid skeleton and a sub-population of mobile solids. They have found that the soil may have 3 modes: the mode 1 being that of mobile fne solids and the modes 2 and 3 forming altogether a solid skeleton supporting efective stresses. During an internal erosion test, modes 2 and 3 stay immobile, whereas only the solids of mode 1 migrate through the pores of the solid skeleton formed by modes 2 and 3. In this article, the use of the MDM has shown that current slope criteria are necessary but not sufficient, and probably two other criteria should be added. An improved understanding will require long and detailed investigations to develop new criteria involving the soil own modes or sub-populations of particles.

## **Conclusions**

Many studies need the GSD of solid particles. Most specimens are poly-disperse populations of grains of diferent sizes, refecting a variety of origins, transport, and alteration processes. The MDM of a GSD gives its lognormal components, their own GSDs, and their proportions in the specimen.

The MDM gives an equation with 1- to 4-modes, and 2, 5, 8, or 11 parameters (1 to 4 means, 1 to 4 standard deviations, and 1 or 2 or 3 percentages). Note that more than four modes may be used. The MDM advantage over previous methods is that it fits the GSD by an accurate equation with an  $R^2$ value usually over 0.999. In stratifed aquifers, the MDM was shown to help in better predicting the small- and largescale values of hydraulic conductivity and also its anisotropy, which are key parameters for the design of dewatering or remediation facilities, and for assessing the groundwater velocities and fate of dissolved contaminants.

This article has examined a few possibilities for future research. First, it gives new equations to link the GSD usual geotechnical parameters  $(C_U, C_C, d_{10}, d_{15} \dots)$  and the new parameters  $\mu$  and  $\sigma$  for a unimodal soil. The usual geotechnical parameters are used in relationships with extreme values of porosity, packing condition, permeability, elastic modulus, shear strength, etc. More research will be needed to develop similar relationships with the new parameters.

Second, for stratifed formations, new original results were obtained: the sub-populations or modes of grains in the feld were shown to respect usual flter criteria for the case of well-graded or unimodal bases and flters. Homogeneous formations (e.g., tills) and man-made (reworked by excavating, mixing, and transporting) materials are more complex and will need more research.

Third, the MDM has opened a new window that should help to better defne the conditions for internal erosion. Using the MDM made it clear that the usual criteria are not enough and two criteria seem to be needed, about the *d*-length over which the GSD slope criterion is not respected and its position: this is another new result that should interest many researchers.

In short, the MDM appears as a promising and useful tool for future research in engineering geology because it gives close-to-perfect fts for the GSD. By better quantifying the GSDs, the MDM should help to unify several concepts while helping researchers to develop better predictions, and better defne both flter conditions and internal erosion conditions.

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**Data availability** The reader may obtain free Excel fles with explanations on how to perform the modal decomposition method (MDM) from the web site of Scholars Portal Dataverse (Chapuis [2020](#page-8-16)).

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