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Scale model test study of influence of joints on blasting vibration attenuation

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Abstract

Ground vibrations are an integral part of the process of rock blasting. The analysis of blasting vibration attenuation is the basis for a blasting risk assessment. To study the influence of rock joints on the blasting vibration attenuation, an autoclaved aerated concrete block was used as a similar material of rock in model tests of blasting vibration propagation. The attenuation process of the blasting vibration was physically simulated. The attenuation behaviors of the blasting vibrations in different directions to joint strike were fitted. Additionally, a positive correlation between the attenuation parameters and the directions was obtained. Furthermore, the Hilbert–Huang transform (HHT) based on the complete ensemble empirical mode decomposition with adaptive noise (CEEMDAN) was used to analyze the vibration velocity signals. The characteristics and variation of the energy distribution were studied in the time–frequency domain. The results showed that the energy amplitude decreased as the propagation distance increased. The energy distribution gradually evolved from concentrated to discrete in the frequency domain. The low-frequency components were always present in the velocity signals at each measurement point, but the high-frequency components constantly changed due to the reflection and transmission of the waves after encountering the joint surfaces. The results of this study provide a reference for the prediction and control of the blasting vibration effect in jointed rock masses.

Keywords Blasting vibration \cdot Jointed rock masses \cdot Model test \cdot Attenuation law \cdot Energy distribution

Introduction

Drilling and blasting methods are widely used in various types of rock engineering, especially in hard rock conditions. The blasting vibration effect is a common safety hazard, and the attenuation of blasting vibrations is one of the main bases of blasting design. At present, the frequency-dependent peak particle velocity (PPV) is the main parameter for quantifying blasting vibrations used in China, the USA, Japan, India, and other countries (Ghasemi et al. [2016](#page-17-0); Zhang [2000](#page-17-0); Simangunsong and Wahyudi [2015;](#page-17-0) Jiang et al. [2017\)](#page-17-0). On this basis, empirical equations for the attenuation law have been

 \boxtimes Chong Yu cyu@whrsm.ac.cn established (Table [1\)](#page-1-0). Although there are several equations for different forms of the PPV, they contain the same variables.

Rock masses are not an idealized continuum, and there are generally weak structural planes such as joints and fissures, which make the attenuation behaviors of blasting vibrations more complicated. In recent years, through various research methods, some insights into the influence factors and effects on wave propagation in rock and soil with structural planes have been obtained.

Through theoretical derivations, analyses of the interactions between an obliquely incident P-wave and a rock joint were carried out (Li et al. [2014;](#page-17-0) Li and Ma [2010\)](#page-17-0). The analysis diagram is shown in Fig. [1.](#page-1-0) The reflection coefficient caused by the incident P-wave decreases first and then increases with the increase in the incident angle. Compared with the reflection coefficient, the transmission coefficient does not change significantly with the incident angle until the incident angle is close to the critical angle of 90°. Based on a layered medium model, Zhu et al. [\(2012\)](#page-17-0) adopted and modified a recursive method to analyze wave propagation across joints. It was determined that the transmission coefficient decreased as the number of joints increased. Wang et al. ([2010](#page-17-0)) numerically

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Table 1 Different conventional blast vibration equations (Khandelwal and Saadat [2015](#page-17-0))

R is the distance from the blast face to the monitoring point (m), Q is the maximum charge per delay (kg), and K and a are site constants. Herein, they are called the attenuation parameters

studied the attenuation of one-dimensional P-waves in rock embedded with joint filling material. The strong influence of the joint stiffness on the wave propagation was inspected via the Universal Distinct Element Code (UDEC) modeling. The results showed that a joint inclusion with a lower stiffness caused a larger deformation of the rock joint and absorbed more energy. However, the effects of the joint cohesion and friction were found to have small effects on the wave attenuation. Considering the joint angle, joint spacing and joint stiffness, a sensitivity analysis on influence factors of the parameters in the Ambraseys–Hendron equation was conducted numerically by Yu et al. [\(2019](#page-17-0)), and based on a uniform design and parameter optimization, a predictive formula for the attenuation parameters was proposed. This formula can quantitatively estimate the attenuation parameters of blasting waves in jointed rock masses and compensate for the uncertainty of artificially estimated parameters. However, the accuracy of the predictive formula must be improved. Hao et al. ([2001](#page-17-0)) carried out an in situ blasting test at a jointed rock

Fig. 1 Analysis diagram of the interaction between an obliquely incident P-wave and a rock joint

site. The peaks of the ground motions were measured and analyzed. It was found that a wave attenuated the fastest if it propagated in the direction perpendicular to the rock joint set, while it attenuated the slowest if it propagated in the direction parallel to the rock joint set. Similarly, through field tests, Wu et al. ([1998](#page-17-0)) found that with the increase in the distance from the charge center, the amplitudes of the blast-induced waves attenuated continuously in jointed rock masses. The amplitudes decreased by approximately 60%, while the incident angle decreased from 90° to 0°. In addition, the principal frequencies of the waves decreased dramatically in the nearfield detonation region and slowly when the distance *was* more than 10 m.

Various research methods, such as theoretical analyses, numerical simulations, and field tests, have been adopted, and important preliminary conclusions about blasting vibration attenuation in jointed rock masses have been drawn. Although theoretical derivations can reveal the propagation mechanism of blasting vibrations, directly applying the solution to practical engineering is difficult due to the complexity of jointed rock masses. Furthermore, the site conditions of the in situ blasting tests are not repeatable or adjustable. Thus, it is difficult to find a rock mass with natural joint surfaces for systematic research. Scale model tests are repeatable and can highlight the main factors designed in blasting vibrations. The design parameters are controlled without being affected by the surroundings. Pan [\(2014](#page-17-0)) conducted a model test of blasting vibration wave propagation. The research focus was mainly on analyzing amplitude variations before and after the passage of a velocity signal across a single rock joint. However, analyses of the attenuation parameters for a site with jointed rock masses are lacking, especially analyses that consider the frequency and energy characteristics of blasting vibrations. Therefore, in this study, a scale model test of jointed rock masses was designed and fabricated, and the vibration attenuation process of blasting vibrations was physically simulated. The incident direction of a blast-induced wave on joints was taken as a factor, and the influence of this factor on the attenuation parameters was analyzed. The characteristics and variation of the energy distribution were also studied in the time–frequency domain.

In the time–frequency domain analysis of blasting vibrations, there are mainly three methods: the fast Fourier transform (FFT), the wavelet transform (WT), and the Hilbert– Huang transform (HHT).

The FFT is the most widely used method for signal time– frequency analysis, and it has advantageous over the other methods for the analysis of stationary signals (Chaparro and Akan [2019;](#page-17-0) Chen et al. [2019b;](#page-17-0) Semmlow [2018](#page-17-0); Tan and Jiang [2019\)](#page-17-0). However, field-measured blasting vibration signals often contain multiple frequency components (as shown in Fig. $2(a)$) and have nonlinear and non-stationary properties. The nonlinearity means that the signal does not change linearly with time (Fig. $2(b)$). The non-stationary property means that distribution parameters or distribution behaviors change with time (Fig. $2(c)$).

The FFT cannot be used to investigate the transient characteristics of signals, and the computed frequency is defined by

(c) Stationary and non-stationary signals

Fig. 2 Description of nonlinear and non-stationary signals. a Fieldmeasured blasting vibration signal. b Linear and nonlinear signals. c Stationary and non-stationary signals

the frequency of a constant amplitude sine or cosine function in the overall signal data. Nonetheless, because of its clear physical meanings and the simple transformation algorithm, it is still applied to actual blasting engineering.

To analyze non-stationary signals, which are similar to blasting vibrations, scholars have proposed and developed various methods, including the short-time Fourier transform (Mateo and Talavera [2018](#page-17-0); Song and Cho [2009](#page-17-0)), the Gabor transform (Cheng et al. [2015\)](#page-17-0), and the WT (Huang et al. [2019;](#page-17-0) Huang et al. [2018](#page-17-0)). Among these methods, the WT has the ability to characterize local details of a signal in time– frequency space, whereas the optimal wavelet basis function must be selected. Different wavelet basis functions will obtain different time–frequency characteristics.

In 1998, the empirical mode decomposition (EMD) was established by Norden E Huang (Huang et al. [1998\)](#page-17-0). Any signal can be decomposed into a set of intrinsic mode function (IMF) components. Based on the EMD, the HHT was proposed (Huang and Wu [2008](#page-17-0)).

Compared with the WT, the HHT does not require pre-set basis functions, thereby avoiding the influence of subjective judgment. In recent years, the mode decomposition method has been continuously developed and improved, such as the ensemble empirical mode decomposition (EEMD) to overcome the problem of mode mixing in the EMD (Wu and Huang [2009](#page-17-0)) and the complete ensemble empirical mode decomposition with adaptive noise (CEEMDAN) to improve the reconstruction accuracy of the original signal. In the CEEMDAN method, Gaussian white noise is added at each stage of the decomposition, and a unique residue is computed to obtain each IMF. Compared with the EEMD method, the CEEMDAN method provides better spectral separation of the IMFs and a lower number of sifting iterations, reducing the computational cost (Torres et al. [2011](#page-17-0)).

Based on the applicability conditions of the above three methods (FFT, WT, and HHT) and the characteristics of blasting vibrations, the HHT based on CEEMDAN was adopted in this study. Vibration signals were decomposed into IMFs from high to low frequency so that the inherent nonstationary characteristics could be truly preserved. Furthermore, the time–frequency energy distributions of the vibration signals were further analyzed.

Design of scale model test

Similarity ratios and similar materials

To physically simulate the attenuation of blasting vibrations in prototype jointed rock masses, the geometric dimensions and physical and mechanical parameters are required to satisfy a certain proportionality relationship between the model and the

prototype to allow prototype results to be deduced from the model results.

In this model test, the density, volumetric weight, gravitational acceleration, angle, Poisson's ratio, strain, length, Brazilian tensile strength, saturated uniaxial compressive strength, elastic modulus, P-wave velocity, time, and frequency were selected as similarity variables. Based on the similarity principle and dimensional analysis, the similarity ratios of dimensionless similarity variables were set to 1, and similarity variables with the same dimensions have the same similarity ratios. The designed similarity ratios of this model test are listed in Table 2. The detailed similarity ratios design of this test is given in the [Appendix](#page-15-0).

The prototype rock mass was the bedrock of the balance of plant (BOP) in a nuclear power plant. The lithology was sandstone. Physico-mechanical parameters of the rock are listed in Table [3](#page-4-0). Each parameter in the table is the average of five samples. The in situ P-wave velocity (vp) obtained using the cross-hole method was 3140–3893 m/s. The cross-hole method involves generating a seismic wave in one borehole and measuring the vibration response using geophones in two or more adjacent boreholes at the same depth (Hall and Bodare [2000\)](#page-17-0). The on-site outcrop survey suggests that close to the ground (Fig. [3](#page-4-0)), some of the joints were completely closed or slightly open, and the inside of slightly open joints are partially filled with clay. The joint thicknesses were generally 1– 3 mm, and the maximum was 5 mm.

To achieve the designed similarity ratio of the P-wave velocity, a similar material is required to have a lower P-wave velocity. After multiple comparisons and tests, an autoclaved aerated concrete (AAC) block is used as the similar material. The length, width, and height of the AAC block were 60, 30, and 15 cm, respectively. The main raw materials of the AAC were siliceous materials (sand or fly ash) and calcareous materials (lime, cement, and gypsum), and they were mixed with a gas generating agent (aluminum powder). The composition of component of the AAC is quantitatively listed in Table [4](#page-4-0).

The main production processes were batching, mixing, pouring, pre-curing, cutting, autoclaving, and curing. The AAC contained a large number of uniform and small pores, so its lower P-wave velocity could satisfy the designed similarity ratio of the P-wave velocity. Mechanical tests of the similar material were carried out on an RMT150C servocontrolled testing machine, as shown in Fig. [4.](#page-5-0) The mechanical parameters of the similar material and the equivalent parameters of the prototype rock were calculated based on the designed similarity ratios and are listed in Table [5](#page-5-0).

The thickness of the prototype joint was on the order of millimeters. According to the similarity principle, the geometric and mechanical parameters of a similar material of the joint were very small and difficult to scale. Inspired by the method of engineering design which is based on the strength and deformation characteristics of rock masses rather than the specific rock matrix features, we indirectly simulated the prototype jointed rock mass by controlling the P-wave velocity similarity. At the specified position between the blocks, gypsum was intermittently filled. The bonds between the blocks were quantitatively controlled by the joint matching coefficient (JMC, the ratio of the contacted joint surface area to the total joint surface area). Taking P-wave velocity, vp , as the joint similarity criterion, when $JMC = 0.33$, the equivalent vp of this similar rock mass was 3800 m/s, which was basically consistent the actual situation of the prototype rock mass. The layout of the joint bonding layers is illustrated in Fig. [5.](#page-6-0) Two kinds of bonding layers (on cross-sectional profiles A-A and B-B) were used alternately as the number of blocks increased.

Layout of vibration measurement points

The model was 3.6 m in the X direction and 2.4 m in the Y direction (Fig. [6](#page-6-0)), simulating a prototype rock mass with a $46.8 \text{ m} \times 31.2 \text{ m}$ area. To conveniently analyze the influence of the different incident directions on the attenuation parameters of the blasting vibration, the angle between the joint strike and the direction of vibration monitoring was defined as A $(0^{\circ} \leq A \leq 90^{\circ})$. During this test, vibration measurement points were arranged in the directions of $A = 0^\circ$, 22.5°, 45°, 67.5°, and 90°, as illustrated in Fig. [6](#page-6-0).

The dynamic loading for this test was perpendicular to the thickness direction (Z direction), and the reflection mainly occurred in the XY plane. The wave reflection on the outer boundary of the model could cause interference with the test results. To reduce this boundary effect, a large model size was selected. In addition, no measurement points were placed near the outer boundary. For the closest measurement point to the outer boundary, a sufficient distance was reserved to complete the vibration signal acquisition before the reflected signal reached the boundary to avoid interference of the reflected waves. Furthermore, fine sand was laid between the ground and the model to reduce the wave reflection between the ground surface and the blocks.

Measurement system

The measurement system (Fig. [7](#page-7-0)) mainly included a computer, a data acquisition instrument (model number TST6260,

Fig. 3 Rock outcrop

manufactured by Chengdu Test Electronic Information Company Limited), a dynamic strain gauge (model number YD-28A, manufactured by Shanghai Automation Instrument Company Limited), a wave velocity tester (model number RSM-SY5(T), manufactured by Wuhan Sinorock Technology Company Limited), velocity sensors, and signal conditioners (model numbers AD100-1V and XK343, both manufactured by Qinhuangdao Xinchen Electronic Science and Technology Company Limited). The maximum sampling rate of the TST6260 was 20 million samples per second. The YD-28A had a range of \pm 100,000 με, a sensitivity of 0.5 V/ 100 με, and an accuracy of \pm 0.1% full scale. The RSM-SY5(T) is a non-metallic wave tester, with a sampling interval of 0.1–200 μs and a frequency band of 1–500 kHz. The AD100-1V is a piezoelectric velocity sensor with a frequency response range of 4–4500 Hz. The XK343's output signal was \pm 5 V, and the frequency response range was 0.08–30 kHz.

Dynamic loading device

The zones affected by the blast include the nearby crushed and fractured zones, as well as vibration zones at moderate and long distances, which are referred to as the middle and far zones, respectively. The range of the near zone was affected by the lithology, explosive performance, and blasting methods, and it was estimated to be approximately 33–100 times the charge radius (Zhang [2000](#page-17-0)). Herein, we assumed it was 100 times the charge radius. The focus of this study was the blasting vibration attenuation in the middle and far zones, rather than the near-zone failure analysis under high-strainrate impacts. Furthermore, the strength of the similar material was relatively low. Therefore, a self-made spring loading device was developed to simulate the dynamic load effect in the elastic vibration zone. The device was made of two springs with a stiffness of 10 N/mm, as shown in Fig. [8.](#page-7-0) By initiating a

Table 4 Component composition of AAC

Component	Sand $(\%)$	Cement $(\%)$	Lime $(\%)$	Gypsum $(\%)$
Content	70.5	15	12	2.5

The above solid ingredients are mixed, and water is added according to a mass ratio of 0.65 (water:solid ingredients = 0.65:1). After stirring to form a slurry, aluminum powder paste is added according to the requirement of 0.5 g/L

Fig. 4 Laboratory tests of the similar material. a Uniaxial compression specimens. b Indirect tensile specimens. c Specimen wave velocity test. d RMT-150C. e Uniaxial compression experiment. f Indirect tensile experiment

(a) Uniaxial compression specimens **(b)** Indirect tensile specimens

(c) Specimen wave velocity test **(d)** RMT-150C

(e) Uniaxial compression experiment **(f)** Indirect tensile experiment

switch, the incident bar was instantaneously impacted to generate a loading incident wave. The magnitude of the impact force was controlled by the compression amount of the springs.

Figure [9](#page-8-0) shows the measured velocity-time curves in the model tests. Figure $9(a)$ shows the curve measured under the action of the spring loading device, and Fig. [9\(b\) and \(c\)](#page-8-0) show the curves due to the detonator explosions. By comparison, on the one hand, the similar waveform characteristics can be explained as follows. The velocity–time curves are approximate pulse signals. The typical transient responses and impact characteristics were revealed after applying a dynamic load. The amplitude of each waveform segment was constantly changing. Typically, the first segment had a larger amplitude, while the amplitudes of subsequent segments decreased rapidly. This characteristic was also reflected in the field-measured blasting vibration signal of Fig. $2(a)$. On the other hand, there were also differences between the three waveforms. The duration of the maximum amplitude in Fig. $9(a)$ was about 1.4 ms, and the equivalent time was about 5 ms, which was

Table 5 Physico-mechanical parameters of AAC and equivalent prototype rock

Material	Density (kg/m^3)	Elastic modulus (GPa)	Compressive strength (MPa)	Brazilian tensile strength (MPa)	Poisson's ratio	P-wave velocity (m/s)
AAC	730 ± 9.32	1.06 ± 0.09	3.09 ± 0.12	0.16 ± 0.01	0.15 ± 0.04	1325 ± 31
Equivalent rock	2555 ± 33.55	48.23 ± 4.10	140.60 ± 5.46	7.28 ± 0.46	0.15 ± 0.04	4770 ± 111.60

Fig. 5 Layout of joint bonding layers. a Top view. b Profiles

calculated based on the time similarity ratio. This was close to 3.3 ms in Fig. $9(b)$ but less than 17 ms in Fig. $9(c)$, which was likely because the principal frequency of the blasting vibration decreased with the increase in the distance R.

Equivalent explosive quantity

The equivalent explosive quantity was estimated by monitoring the strain. The main process was as follows:

Using the relationship between the stress–time curve and the strain–time curve, the radial stress was calculated as follows:

$$
\sigma_r(t) = E\varepsilon_r(t),\tag{1}
$$

where t is time, $\sigma_r(t)$ is the radial stress (Pa), E is the elastic modulus of the model material (Pa), and $\varepsilon_r(t)$ is the radial

(b) $A = 0^\circ$

Fig. 6 Layout of vibration measurement points. $a A = 22.5^\circ, 45^\circ, 67.5^\circ$, and 90 $^{\circ}$. **b** $A = 0$ ^{\circ}

strain. In the test, the loading section and the strain gauge used for strain measurements were placed in the same AAC block.

Due to geometric attenuation and energy loss, as the propagation distance increased, the peak stress in the stress–time curve continued to decrease. The relationship between the radial peak stress σ_{max} and the distance R can be expressed as

$$
\sigma_{\text{max}} = P\left(\frac{r_b}{R}\right)^s,\tag{2}
$$

where P is the initial peak stress acting on the wall of the blast hole, generated by a detonation wave (Pa), r_b is the radius of a blast hole (m) , and s is the stress attenuation exponent. In the zone near the blast, s can be expressed in terms of the Poisson ratio as (Yan et al. [2016](#page-17-0); Wang [1984](#page-17-0))

$$
s = 2 + \frac{\mu}{1 - \mu}.\tag{3}
$$

For decoupled charge blasting, the commonly used semiempirical and semi-theoretical calculation equation of P is (Chen et al. [2019a\)](#page-17-0)

$$
P = \frac{1}{8} \rho_0 D^2 \left(\frac{d_c}{d_b}\right)^6 n,\tag{4}
$$

(a) Data acquisition instrument and dynamic strain gauge

(b) Wave velocity tester

(c) Velocity sensors and signal conditioners

Fig. 7 Measurement system. a Data acquisition instrument and dynamic strain gauge. b Wave velocity tester. c Velocity sensors and signal conditioners

where ρ_0 is the explosive density (kg/m³), D is the detonation velocity (m/s) , *n* is the coefficient of the pressure increase as a detonation wave impacted the blasthole wall ($n = 8-11$), d_c is the charge diameter, and d_b is the blasthole diameter. Herein, we set $n = 10$.

After extracting the maximum strain in the measured strain–time curve, the equivalent charge density was estimated

Fig. 8 Spring loading device

by combining Eqs. (1) – (4) . The equivalent charge per meter was then calculated as

$$
q = \rho_0 \pi r_c^2,\tag{5}
$$

where r_c is the charge radius (m) and q is the equivalent charge per meter (kg/m).

Analysis of test results

Basic principle and procedure of HHT based on **CEEMDAN**

For nonlinear, non-stationary signals, such as blasting vibrations, the HHT method based on the CEEMDAN can be used to analyze the time–frequency and energy distribution characteristics of the velocity signal $v(t)$.

The main purpose of this method is to decompose and generate several IMFs, so that a complex signal is simplified, and the instantaneous amplitude and instantaneous frequency can be calculated more accurately. The basic principles of the method are as follows (Jia et al. [2017;](#page-17-0) Torres et al. [2011](#page-17-0)).

(1) Mode decomposition

① A sample set of noisy signals is generated as follows:

$$
v_m(t) = v(t) + \xi_1 w_m(t),
$$
\n(6)

where $w_m(t)$ ($m = 1, 2, ..., M$) is the white noise added at the m -th time with a standard normal distribution, M is the ensemble size, i.e., the number of added white noise terms. ξ_i (j = $1,2,..., n$) is the noise standard deviation, and *n* is the number of IMFs generated by the CEEMDAN algorithm. This step is the first modal decomposition, so ξ_1 . $v_m(t)$ is the *m*-th signal sample with noise.

The operator $E_k(\cdot)$ is defined as the k-th modal component generated by the EMD algorithm. For example, $E_1(v_m(t))$ represents the first modal component of $v_m(t)$. The IMF_i is defined as the j-th modal component generated by the CEEMDAN algorithm.

② The mean of the first modal component of each signal sample $v_m(t)$ is taken as the first modal component of $v(t)$:

$$
IMF_1(t) = \frac{1}{M} \sum_{m=1}^{M} E_1^M(\nu_m(t)).
$$
\n(7)

The first residue is

$$
RS_1(t) = v(t) - IMF_1(t).
$$
\n(8)

③ Before the next decomposition, the first component of the $v_m(t)$ is introduced based on the EMD, and it is combined

Fig. 9 Comparison of the spring loading and detonator explosion. a Spring-induced horizontal radial velocity–time curve $(R =$ 20 cm, compression length of springs $= 2.0$ cm). **b** Horizontal radial velocity–time curve due to the detonator explosion $(R =$ 20 cm, $Q = 1.0$ g, Yuan and Xu [2015\)](#page-17-0). c Vertical velocity-time curve due to the detonator explosion ($R = 30$ cm, $Q = 1.0$ g, Pan [2014\)](#page-17-0)

(b) Horizontal radial velocity–time curve due to the detonator explosion (*R* = 20 cm,

g, Pan 2014)

with the first residue to eliminate the error caused by the added noise. The second modal component is

$$
IMF_2(t) = \frac{1}{M} \sum_{m=1}^{M} E_1(RS_1(t) + \xi_2 E_1(\nu_m(t))).
$$
 (9)

The second residue is

$$
RS_2(t) = r_1(t) - IMF_2(t).
$$
\n(10)

 $\textcircled{4}$ Similarly to step $\textcircled{3}$, the $(j + 1)$ -th modal component is

$$
IMF_{j+1}(t) = \frac{1}{M} \sum_{m=1}^{M} E_1 \big(RS_j(t) + \xi_{j+1} E_j(v_m(t)) \big), \tag{11}
$$

where

$$
RS_j(t) = RS_{j-1}(t) - IMF_j(t)
$$
\n(12)

⑤ Until the obtained residue is no longer able to be decomposed (the residue does not have at least two extrema). The final residue satisfies the following:

$$
RS_n(t) = v(t) - \sum_{j=1}^n IMF_j(t).
$$
 (13)

Therefore, the velocity signal can be expressed as

$$
v(t) = \sum_{j=1}^{n} IMF_j(t) + RS_n(t).
$$
 (14)

(2) Hilbert spectral analysis (Montesinos et al. [2003;](#page-17-0) Peng and Zhang [2012\)](#page-17-0)

Fig. 10 Mode decomposition results. a Mode decomposition based on CEEMDAN. b FFT amplitude-frequency spectrum of each mode

Fig. 11 Peak velocity of the intrinsic mode functions and correlation coefficients

The Hilbert transform is applied to each IMF:

$$
Y_j(t) = \frac{1}{\pi} P \int_{-\infty}^{\infty} \frac{IMF_j(t')}{t - t'} dt',
$$
\n(15)

where P indicates the Cauchy principal value. With this definition, $IMF_i(t)$ and $Y_i(t)$ form a complex conjugate pair, which defines an analytic signal $Z_i(t)$:

$$
Z_j(t) = IMF_j(t) + iY_j(t),\tag{16}
$$

where i is an imaginary number. Eq. (16) can be expressed as

$$
Z_j(t) = a_j(t)e^{i\theta_j(t)},
$$
\n(17)

with the amplitude $a_i(t)$ and phase $\theta_i(t)$ defined by the expressions

$$
a_j(t) = \left[IMF_j^2(t) + Y_j^2(t) \right]^{1/2},\tag{18}
$$

$$
\theta_j(t) = \arctan(Y_j(t)/IMF_J(t)), \qquad (19)
$$

The instantaneous frequency ω_i is express as

$$
\omega_j(t) = \frac{d\theta_j(t)}{dt}.
$$
\n(20)

After performing the Hilbert transform of each IMF, the velocity signal can be written as

$$
v(t) = Re\left[\sum_{j=1}^{n} a_j(t).e^{(i\omega_j(t)dt)}\right],
$$
\n(21)

where Re indicates the real component, and the residue $RS_n(t)$ is removed in the above equation. Equation (21) is written in terms of the amplitude and instantaneous frequency associated with each component as functions of time. The frequency-time distribution of the amplitude is designated as the Hilbert amplitude spectrum, $H(\omega, t)$, or simply the Hilbert spectrum. The

Fig. 12 Reconstructed velocity–time curves for five measurement points along $A = 90^\circ$. a Measurement point 1 ($R = 3.9$ m). **b** Measurement point 2 ($R = 1.9$) 7.8 m). c Measurement point 3 ($R = 11.7$ m). d Measurement point 4 ($R = 15.6$ m). c Measurement point 5 ($R = 19.5$ m)

square of $H(\omega, t)$ reveals energy distribution or energy density and is obtained by time integration as follows:

$$
E_H(\omega) = \int_0^T H^2(w, t) dt,\tag{22}
$$

Fig. 13 Radial stress–time curve

where T denotes the duration of the signal.

To measure the total amplitude contribution from each frequency value, the marginal spectrum, $h(\omega)$, is defined as

$$
h(\omega) = \int_0^T H(\omega, t)dt.
$$
\n(23)

Influence of incident direction on attenuation parameters

Based on the velocity similarity ratio and the time similarity ratio, the data measured in the model test were converted into the velocity–time curves of the prototype rock mass. Taking measurement point 1 for $A = 90^\circ$ as an example, the original velocity signal was decomposed by the CEEMDAN algorithm.

 $IMF1-MF9$, $RS₉$, and the FFT amplitude spectrum of the vibration velocity signal were obtained, as shown in Fig. [10.](#page-9-0) The CEEMDAN extracted all the IMFs from high to low frequency, and $RS₉$ was the variation trend of this signal.

Low-frequency interference, nonlinear distortion, interpolation errors, and temperature effects may cause interference components in the decomposition, and these components are independent of the original signal. Based on the correlation analysis between each IMF component and the original signal, Ayenu-Prah and Attoh-Okine (Ayenu-Prah and Attoh-Okine [2010\)](#page-17-0) established a threshold equation, which was used to eliminate interference components and retain the predominant components. The threshold equation is as follows:

Fig. 14 Blasting vibration attenuation law $(A = 90^{\circ})$

$$
\eta_{TH} = \frac{\max(\eta_i)}{10 \times \max(\eta_i) - 3},\tag{24}
$$

where η_i is the correlation coefficient of the *i*-th *IMF* with the original signal, and η_{TH} is the threshold. If $\eta_i \ge \eta_{TH}$, the *i*-th *IMF* is retained; otherwise, it is eliminated. The equation for η_i is

$$
\eta_i = \frac{Cov(IMF_i, v(t))}{\sqrt{SD(MF_i)}\sqrt{SD(v(t))}},
$$
\n(25)

where $CovIMF_i$ is the covariance of the IMF_i and the original signal, $v(t)$, $\sqrt{SD(MF_i)}$ is the standard deviation of IMF_i , and $\sqrt{SD(v(t))}$ is the standard deviation of $v(t)$.

Figure [11](#page-9-0) shows the peak velocity of the IMFs and the correlation coefficients calculated using Eq. (25). The correlation coefficients were introduced into Eq. (24), and η_{TH} was calculated to be 0.16. Thus, it was determined that the predominant components were IMF1–IMF6, which could reflect the main characteristics of the original vibration velocity signal. Figure [11](#page-9-0) also suggests that the correlation coefficient and the peak velocity of IMF1–IMF6 were much larger than those of IMF7–IMF9. The velocity–time curve reconstructed by *IMF*1 to *IMF6* is shown in Fig. $12(a)$. Similar to above, the data of points 2–5 were processed, and the results are shown in Fig. [12\(b\)](#page-10-0)–(e). Since the test environment of this model test was easily controlled, excessive background noise was avoided as much as possible. Therefore, after the interference components were eliminated, the reconstructed signal was not much different from the original signal. When blasting vibrations are monitored on site, there are many interference

Fig. 15 Relationship between attenuation parameters and A

Fig. 16 Schematic diagram of the influence of the joint on the

vibration attenuation

factors, such as the background signals caused by vehicles and machinery, and the interference effect will be more significant.

The stress–time curve calculated by the measured strain in the model test is shown in Fig. [13.](#page-10-0) Combined with the design similarity ratios and the blasting parameters commonly used in the blasting excavation of the prototype rock mass (Table [6](#page-11-0)), the equivalent charge was estimated to be $q =$ 5.19 kg/m according to Eqs. (1) – (5) . On this basis, K and a in the Ambraseys–Hendron equation were fitted by a power function. Figure [14](#page-11-0) shows the results for $A = 90^\circ$, which were $K = 222.21$ and $a = 1.6699$. Data in other directions were also processed in the same way. The monitoring direction parallel to the joints $(A = 0^{\circ})$ was taken as the case that was not affected by the joints. Using the attenuation parameters $(K_0 = 113.4,$ $a_0 = 1.1199$) in this case in the denominator, as well as those for $A = 22.5^{\circ}$, 45°, 67.5°, and 90° in sequence as the numerator. The positive correlation between the attenuation parameters and the angle A was calculated proportionally and is shown in Fig. [15](#page-11-0). As the angle A increased, K and a increased. However, the variation rate gradually decreased with increasing A. This was because, as the angle A increased, the number of joints increased within the same propagation distance. Nevertheless, the variation rate gradually decreased and the number tended to stabilize, as shown in Fig. 16.

Analysis of energy distribution in time–frequency domain

Joints not only affect the peak velocity of the blasting vibrations, they also affect the energy distribution of the vibration velocity signals in the frequency domain. The joint characteristics for $A = 90^\circ$ is the most evident, and the analysis was carried out under this condition.

The HHT method based on CEEMDAN was adopted to analyze the velocity vibration signals. Figure [17](#page-13-0) shows the Hilbert energy spectrum, $E(\omega)$, which accurately describes the time–frequency energy distributions of the velocity signals. Figure [18](#page-14-0) shows the marginal spectrum. The larger the amplitude at an instantaneous frequency point was, the greater the likelihood was that a velocity wave of that frequency would appear over the time axis. Figures [17](#page-13-0) and [18](#page-14-0) revealed the following. (1) In general, as the propagation distance increased, the Hilbert energy gradually decreased, but there was still the possibility that the energy at a certain instantaneous frequency would increase. The energy was mainly concentrated within 0.1 s of the wave arrival. (2) The energy of measurement point 1 was mainly distributed below 150 Hz in the frequency domain, and particularly in the range of 10–50 Hz, the energy was concentrated. In addition to the concentrated distribution in the low-frequency band, the energy of measurement point 2 was also concentrated in the high-frequency band. This was mainly due to the reflection and transmission of a wave encountering a joint surface, which caused the partial frequency band to be higher. As the wave arrived at measurement point 3, the energy in the high-frequency band attenuated rapidly and transferred to a low-frequency band, accompanied by the attenuation of the low-frequency energy. (3) As the wave propagation distance through the jointed rock mass increased, the number of transmissions and reflections interacting with the joint surfaces increased. The proportion of the energy in the high-frequency band increased (measurement point 4), and the energy distribution was also discrete (measurement point 5). (4) The different measurement points suggested that the frequency distribution characteristics varied, and the high-frequency components generated by the transmission and reflection were constantly changing. However, the low-frequency components were always present, which indicated that the low-frequency band attenuated slowly.

Discussion

The propagation of blasting vibrations is quite complex, and it is affected by many factors, such as the randomness of the geotechnical parameters and the distribution of the weak structural planes. It is difficult to achieve breakthrough results under the existing theoretical framework of dynamics. Since

Fig. 17 Hilbert energy spectrum for five measurement points along $A = 90^\circ$. a Measurement point 1. b Measurement point 2. c Measurement point 3. d Measurement point 4. e Measurement point 5

the blasting vibration effect has attracted attention, many of the previous research findings have been based on experimental results. In this paper, the influence of rock joints on the

PPV attenuation, frequency, and energy distribution of blasting vibrations was analyzed based on model test results.

(e) Measurement point 5

Fig. 18 Marginal spectrum for five measurement points along A = 90°. a Measurement point 1. b Measurement point 2. c Measurement point 3. d Measurement point 4. e Measurement point 5

The presence of rock joints made the attenuation of the blasting vibrations more complicated.

In the analysis of the blasting vibration effect, it is necessary to distinguish the high- and low-frequency components in vibration signals and focus on the natural frequency bands of bedrock, buildings, instruments, and equipment, which are considered to be protected. The purpose is to avoid the resonance damage phenomenon, i.e., a state in which the frequency of a blasting wave is close to the natural frequency of a

protected object. In this state, the wave amplitude will be amplified.

It should be noted that the joint direction in natural rock mass is not simple. Nonetheless, the dominant joints are usually summarized through geological surveys. The angle, thickness, and filling conditions of dominant joints are given statistically. For the case of a rock mass with joint sets that are randomly distributed, the anisotropic characteristics of the rock mass are not evident, and it is not necessary to analyze the influence of the joint direction on the blasting vibration attenuation.

Conclusions

A scale model test based on similarity theory was used to study the propagation of blasting vibrations in jointed rock masses, and the following conclusions were obtained:

- (1) AAC could be used as a similar material to satisfy the dynamic similarity of a scale model test, especially for a low P-wave velocity. Joints were approximately simulated by adjusting the contact area between the AAC blocks. The method adopted in this study was used to physically simulate the blasting vibration propagation in the far-field region for jointed rock masses.
- (2) The presence of joints hindered the propagation of the blasting vibrations. As the angle A increased, the number of joints increased within the same propagation distance. Nevertheless, the variation rate gradually decreased and tended to stabilize. This caused K and a in the Ambraseys–Hendron equation to increase as the angle A increased, but the variation rate gradually decreased with increasing A.
- (3) The analysis of the energy distribution in the time– frequency domain based on HHT showed that the energy amplitude decreased gradually as the blasting vibration propagated in the jointed rock mass, but it was still possible that the energy at a certain instantaneous frequency would increase. The characteristics of the energy distribution were continuously changing, gradually from the initial concentrated distribution in a certain frequency band to the discrete distribution.
- (4) The high-frequency components of the velocity signals were generated due to the transmission and reflection of the waves after encountering the joint faces. However, since the high-frequency components were prone to attenuation, the high-frequency components constantly changed between generation and attenuation. The lowfrequency components were always present in the velocity signals, which indicated that the low-frequency components attenuated slowly.

Our future work will focus on physical simulations of blasting vibrations for the full field in jointed rock masses. However, this paper provides a reference for a more in-depth and comprehensive study of blasting vibrations in jointed rock masses.

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Appendix

Similarity means that different systems undergo the same physical variation process with similar geometric shapes. For a certain physical quantity, the ratio between two systems is called the similarity ratio, which is expressed by C_x , where the subscript x represents the specific physical quantity. There are mutual constraint relationships between the similarity ratios of different physical quantities (Song [2016\)](#page-17-0). The knowledge of certain ratios in two similar systems enables the analyst or experimenter to infer the value of a certain physical quantity if the values of the other physical quantities are known.

Physical quantities are classified as basic or derived physical quantities. The basic physical quantities exist independently of the other physical quantities, and the derived physical quantities are derived from the basic physical quantities. Dimensions are used to distinguish the types of physical quantities. Dimensions are classified as basic or derived dimensions. Basic dimensions are the dimensions of the basic physical quantities, such as the dimensions of force $[F]$, time $[T]$, and length $[L]$. Derived dimensions are derived from the basic dimensions, and their distinguishing feature is that they can be expressed in the form of power functions of the basic dimensions. Moreover, some physical quantities have no dimensions, which are called zero dimensions, such as angles, strains, and Poisson's ratios. The similarity ratio of a physical quantity with a zero dimension is 1. In addition, physical quantities with the same dimensions have the same ratios.

In view of the research focus of this model test, the density, volumetric weight, gravitational acceleration, angle, Poisson's ratio, strain, length, Brazilian tensile strength, saturated uniaxial compressive strength, elastic modulus, P-wave velocity, time, and frequency were selected as similarity variables. For convenience of expression, a physical quantity symbol subscripted by p denotes a prototype physical quantity, and a symbol subscripted by m denotes a model physical quantity.

(1) The similarity ratios of physical quantities with zero dimension were as follows:

similarity ratio of strain,
$$
C_{\varepsilon} = \frac{\epsilon_{\rm p}}{\epsilon_{\rm m}} = 1,
$$
 (26)

similarity ratio of Poisson's ratio, $C_v = \frac{v_p}{v_m} = 1$, (27)

similarity ratio of angle,
$$
C_{\theta} = \frac{\theta_{\rm p}}{\theta_{\rm m}} = 1.
$$
 (28)

(2) The elastic modulus and strength (including the Brazilian tensile strength and saturated uniaxial compressive strength) have the same dimensions, so their corresponding similarity ratios were the same:

$$
C_{\sigma} = \frac{\sigma_{\rm p}}{\sigma_{\rm m}} = C_E = \frac{E_{\rm p}}{E_{\rm m}}.\tag{29}
$$

- (3) Since the prototype and model were in the same gravitational field, the similarity ratio of the gravitational acceleration was $C_g = 1$.
- (4) The similarity ratios of the density and geometric length were set as follows:

similarity ratio of density, $C_{\rho} = \frac{\rho_{\rm p}}{\rho_{\rm m}} = 3.5,$ (30)

similarity ratio of length,
$$
C_L = \frac{L_p}{L_m} = 13.
$$
 (31)

(5) Based on the relationship between the volumetric weight and density,

$$
C_{\gamma} = C_g C_{\rho} = 3.5. \tag{32}
$$

(6) Dimensional analysis was used to determine the similarity ratio of the strength.

 γ and L were taken as known physical quantities, and σ was an unknown physical quantity. The dimensions of these three physical quantities are expressed in terms of the basic dimensions, $[\gamma] = [FL^{-3}], [L] = [L], [\sigma] = [FL^{-2}].$

A power function for σ in terms of γ and L was defined as follows:

$$
\sigma = \gamma^{x_1} L^{x_2}.\tag{33}
$$

where x_1 and x_2 are unknown exponentials of the power function. Equation (33) is expressed in dimensional form as follows:

$$
FL^{-2} = (FL^{-3})^{x_1} \cdot (L)^{x_2}.
$$
 (34)

The solution is
$$
\begin{cases} x_1 = 1 \\ x_2 = 1 \end{cases}
$$
, and thus,

$$
\sigma = \gamma L. \tag{35}
$$

Correspondingly, the similarity ratio of the strength is

$$
C_{\sigma} = \frac{\sigma_{\rm p}}{\sigma_{\rm m}} = C_{\gamma} C_L = 45.5. \tag{36}
$$

(7) Dimensional analysis is used to determine the similarity ratio of the P-wave velocity, σ and ρ were taken as known physical quantities, and vp was an unknown physical quantity. The forms expressed by the basic dimensions are as follows: $[\sigma] = [FL^{-2}]$, $[\rho] = [FT^2L^{-4}]$, and $[vp]=[LT^{-1}].$

A power function for vp in terms of σ and ρ was defined as follows:

$$
vp = \sigma^{x_3} \rho^{x_4},\tag{37}
$$

where x_3 and x_4 are unknown exponentials. Equation (37) can be transformed into a dimensional expression as follows:

$$
LT^{-1} = (FL^{-2})^{x_3} \cdot (FT^2L^{-4})^{x_4}.
$$
\n(38)

The solution is
$$
\begin{cases} x_3 = \frac{1}{2} \\ x_4 = -\frac{1}{2} \end{cases}
$$
, and thus,

$$
vp = \sigma^{\frac{1}{2}} \rho^{-\frac{1}{2}}.
$$
 (39)

The similarity ratio of the P-wave velocity is

$$
C_{vp} = \frac{vp_p}{vp_m} = C_{\sigma}^{-\frac{1}{2}}C_{\rho}^{-\frac{1}{2}} = 3.6.
$$
 (40)

(8) The similarity ratios of the time and frequency are respectively:

$$
C_t = \frac{t_{\rm P}}{t_{\rm m}} = \frac{C_L}{C_{vp}} = 3.6,
$$
\n(41)

$$
C_f = \frac{f_{\rm P}}{f_{\rm m}} = \frac{1}{C_t} = \frac{1}{3.6} = 0.28.
$$
 (42)

The similarity ratios of the above physical quantities are summarized in Table [2](#page-3-0).

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