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Physical and mechanical characterization of phyllites and metagreywackes in central Portugal

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Abstract This work defines the physical and mechanical characteristics of phyllites and metagreywackes belonging to a schist–greywacke complex in central Portugal. The index properties, point load strength, uniaxial compressive strength, slake durability and Schmidt rebound hardness were determined. In general, the metagreywackes gave a higher strength than phyllites with the same weathering degree. Possible correlations and estimation models were established and compared with the equations obtained by different researchers.

Keywords Mechanical characterization · Index properties · Rock classification · Anisotropy · Phyllites · Metagreywackes

Résumé Cet article présente les caractéristiques physiques et mécaniques des phyllites et métagrauwackes appartenant au "Complexe Schiste-Grauwacke" situé dans le centre du Portugal. Les indices géotechniques, l'indice de résistance entre pointes, la résistance à la compression simple, la durabilité et la dureté de rebond Schmidt ont été déterminés. En général, les métagrauwackes présentent une plus grande résistance que les phyllites ayant le même degré d'altération. Des corrélations possibles et des modèles d'estimation ont été développés et comparés aux équations obtenues par des différents chercheurs.

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A. A. Saraiva e-mail: asaraiva@dct.uc.pt Mots clés Caractérisation mécanique · indices géotechniques \cdot classification des roches \cdot anisotropie · phyllites · métagrauwackes

Introduction and geology

In order to establish a geotechnical characterisation of phyllites and metagreywackes, a series of laboratory and in situ tests were carried out. The physical and mechanical characteristics of the rock material were identified and compared with the results of a geological survey of the area with regard to the different lithological types and their weathering degree.

The rock materials studied are part of the Beiras Group of the "Xisto-Grauváquico" complex (Fig. [1\)](#page-1-0) which occupies a substantial part of northern and central Portugal and extends into Spain. The formations have characteristics that are, in general, typical of flysch.

The Beiras Group, found mainly in central Portugal, essentially consists of alternating phyllites and metagreywackes and sometimes includes quartzitic phyllites, quartzites and quartz veins. The Beiras Group rocks are Vendian-Cambrian in age; the regional metamorphic grade rarely exceeds beyond the greenschist chlorite zone facies.

The phyllites and metagreywackes can vary significantly in their mineralogical and textural composition, sometimes within a matter of centimetres, such that it can be difficult to clearly delineate the two rock materials.

The phyllites, in lighter or darker shades of grey or sometimes slightly greenish in colour, are fine grained and their behaviour is influenced by their anisotropy. The most weathered phyllites were generally found near the surface and/or surrounding faults. The minerals most commonly found in the phyllites were white mica, quartz and chlorite;

Fig. 1 Location and geological map adapted from SGP ([1992\)](#page-7-0)

feldspars and biotite could also be observed. In addition, the accessory minerals tourmaline, zircon, pyrite and iron oxides were identified. In the most weathered phyllites there was a significant presence of iron oxides and hydroxides and clay minerals.

The metagreywackes studied were medium to fine grained and were associated with impure sandstone and "wackes" that have experienced low grade metamorphism. There was less evidence of foliation in the metagreywackes than in the phyllites. The main minerals identified were quartz and feldspar, together with some fragments of quartz and pelitic rock material. White mica and chlorite were found to be important minerals, often present in amounts exceeding 15%.

Methodology

The index properties defined included apparent density (y) , porosity (p) , quick absorption (qa) , water absorption (abs) and P-wave velocities (V_p) . In addition, slake durability tests, point load strength (I_s) , uniaxial compressive strength (UCS) and Schmidt hammer rebound hardness (R) were obtained.

The phyllites and metagreywackes rock samples were collected from different slopes roads located in the ''Xisto–

Grauváquico" complex. The rock block samples were generally obtained from excavated rock slopes and were extracted using hammers and picks. The block samples varied from $0.2 \times 0.3 \times 0.3$ to $0.6 \times 0.6 \times 0.9$ m in size. From the block samples, rock specimens were obtained in the form of core, cut blocks and irregular lumps. Core specimens with NX size were used for the Vp and UCS tests. Cut blocks of approximately $8 \times 10 \times 10$ cm were used for the γ , p , qa and abs tests. Irregular lumps were used for the slake durability and the I_s tests according to the ISRM ([1981\)](#page-7-0) and the ISRM ([1985\)](#page-7-0) suggested methods respectively.

The number of phyllites samples used was as follows:

- (a) 39 for the γ , p, qa, abs, I_s and slake durability tests.
- (b) 26 for the R tests executed in the field.
- (c) 12 for the V_p and UCS tests.

Regarding the number of metagreywackes samples, the following were used:

- (a) 32 for the γ , p, qa, abs and slake durability tests.
- (b) 27 for the I_s tests.
- (c) 20 for the R tests executed in the field.
- (d) 8 for the V_p and UCS tests.

Whenever possible, variations in mechanical properties were analysed in terms of anisotropy, in particular the relationship to the schistosity. The discontinuity roughness of the studied rock materials is given in Andrade and Saraiva ([2008\)](#page-7-0).

The rock materials were grouped for characterisation purposes as follows: W1-2 slightly weathered, W3 moderately weathered, W4 highly weathered.

Index properties tests

Laboratory tests to determine γ were performed using CEN [\(1997](#page-7-0)) standards based on specimen vacuum saturation and hydrostatic weighing.

The porosity tests followed ISRM [\(1981](#page-7-0)). Water absorption was obtained following ASTM [\(1992](#page-7-0)) and quick absorption following Hamrol ([1961\)](#page-7-0). Some difficulty was experienced in carrying out the tests on the most weathered rocks, due to disintegration caused by immersion in water.

The sound velocity tests were conducted in accordance with ISRM [\(1981](#page-7-0)) using cylindrical core specimens. V_p depends on the elastic properties of the rock material (Goodman [1989](#page-7-0)) as well as on its mineralogical composition and orientation (Guyader and Denis [1986](#page-7-0)). According to Kossev [\(1970](#page-7-0)) the specific weight, porosity, fissuring, strength and weathering degree of the rock material also influence the V_p results. Ultrasonic measurements of longitudinal waves were obtained normal to the schistosity (V_p^a) and parallel to the schistosity cleavage (V_p^b) .

The slake durability and UCS tests followed ISRM [\(1981](#page-7-0)). The second-cycle slake durability index $[I_d]$ (second cycle)] was defined. For the foliated rocks it was decided to carry out tests with the loading applied both normal (UCS^a) and parallel (UCS^b) to the schistosity. The point load strength, corrected to a specimen diameter of 50 mm (I_{s50}) , was based on ISRM ([1985\)](#page-7-0) using dried irregular lumps with the loading perpendicular (I_{s50}^{a}) and parallel (I_{s50}^{b}) to the schistosity. For the Schmidt hardness test, an L-type hammer was used (model RM-710) with 0.735 N m of impact energy, following ISRM ([1981\)](#page-7-0).

^a Carried out on cylindrical specimens cored across schistosity

^b Carried out on cylindrical specimens cored along schistosity

Table 2 Second-cycle slake durability index [I_d (second cycle)], point load strength corrected to a specimen diameter of 50 mm (I_{50}), uniaxial compressive strength (UCS) and Schmidt hammer rebound hardness (R) values of studied rocks

Rock type	I_{d} (second cycle) (%)	I_{s50} (MPa)	UCS (MPa)	R
Phyllites W1-2	$90.7 - 98.9$	$1.4 - 3.8^{\rm a}$	$45.2 - 52.2^{\mathrm{a}}$	$25.2 - 38.9^a$
		$1.2 - 2.3^{\rm b}$	$40.3 - 45.6^b$	$22.6 - 36.0^b$
Phyllites W3	59.6–98.2	$0.6 - 3.9$ ^a	$25.8 - 35.2^{\mathrm{a}}$	$18.2 - 35.0^{\mathrm{a}}$
		$0.4 - 1.4^b$	$24.0 - 33.1^b$	$17.1 - 32.0^{\mathrm{b}}$
Phyllites W4	$33.7 - 96.6$	$0.4 - 3.0^{\circ}$	$16.6 - 23.9^{\rm a}$	$14.9 - 30.0a$
		$0.3 - 1.0^b$	$17.0 - 21.0^b$	$14.2 - 28.0$ ^b
Metagreywackes W1-2	$92.1 - 99.1$	$2.8 - 7.1$	51.4–73.9	$27.8 - 40.0$
Metagreywackes W3	58.5–98.6	$1.0 - 3.2$	$32.4 - 50.8$	$18.0 - 34.4$
Metagreywackes W4	$44.8 - 97.6$	$0.9 - 2.8$		$16.9 - 30.2$

^a Direction of loading normal to the schistosity

^b Direction of loading parallel to the schistosity

Rock type	I_d (second cycle)	$I_{\rm s50}$	UCS	$I_{\rm a}$
Phyllites W1-2	Medium high to very high	Low to medium ^a	Low to medium ^a	$1.47 - 2.00$
		Low to medium b	Low ^b	
Phyllites W3	Low to very high	Very low to medium ^a	Low ^a	$1.07 - 1.76$
		Very low to low ^b	Very low to low ^b	
Phyllites W4	Low to high	Very low to medium ^a	Very low ^a	$1.00 - 1.58$
		Very low to low ^b	Very low ^b	
Metagreywackes W1-2	Medium high to very high	Medium to high	Medium	
Metagreywackes W3	Low to very high	Low to medium	Low to medium	
Metagreywackes W4	Low to high	Very low to medium		

Table 4 Two-cycle slake durability classification, strength classification of intact rock material and results of the anisotropy index (I_a)

^a Direction of loading normal to schistosity

^b Direction of loading parallel to schistosity

Table 5 Matrix of Spearman's rank correlation coefficient of phyllites results

Tests	γ	abs	qa	p	I_{d} (second cycle)	$I_{\rm s50}^{\rm a}$
γ	1					
abs	-0.930	1				
qa	-0.907	0.974	\blacksquare			
\boldsymbol{p}	-0.909	0.933	0.920	\blacksquare		
I_{d} (second cycle)				$0.848 - 0.879 - 0.852 - 0.873$ 1		
$I_{\rm s50}^{\rm a}$				$0.794 - 0.782 - 0.778 - 0.762$ 0.810		

Number of samples 39

Table 6 Matrix of Spearman's rank correlation coefficient of metagreywackes results

Tests	γ	abs	qa	\boldsymbol{p}	I_{d} (second cycle)
γ					
abs	-0.876	\blacksquare			
qa	-0.907	$0.980 - 1$			
\boldsymbol{p}	-0.948	0.926	0.957	\blacksquare	
I_{d} (second cycle)		$0.628 - 0.738 - 0.718 - 0.630$ 1			

Number of samples 32

Results

The results of the index property tests are shown in Table [1](#page-2-0) and the durability and strength results in Table [2.](#page-2-0)

Index properties results

The values for γ were classified in accordance with an adaptation of dry density classification (IAEG [1979](#page-7-0)); see Table [3](#page-2-0). In general the γ of the metagreywackes was higher

Table 8 Regression and correlation results for the metagreywackes

X	Υ	Number of samples	Equation form	Regression coefficient
γ	I_{s50}	27	$Y = 0.01e^{0.25x}$	0.748
I_{s50}	UCS	8	$Y = 42.86\ln(x) + 1.15$	0.958
$I_{\rm s50}$	UCS	8	$Y = 11.91x + 11.29$	0.948
γ	UCS	8	$Y = 15.34x + 321.33$	0.893
abs	UCS	8	$Y = 75.72e^{-0.13x}$	-0.848
qa	UCS	8	$Y = -19.67\ln(x)$ $+67.38$	-0.867
I_{d} (second cycle)	UCS	8	$Y = 0.03e^{0.08x}$	0.816

Fig. 2 Correlation of point load strength corrected to a specimen diameter of 50 mm with the loading perpendicular to schistosity (I_{s50}^{a}) and uniaxial compressive strength with the loading normal to schistosity (UCS^a) of phyllites (logarithmic relationship)

Fig. 3 Correlation of I_{s50}^a and UCS^a values of phyllites (linear relationship)

than for phyllites with the same weathering degree. The porosity values are generally low for the relatively unweathered metamorphic rocks, with the p for the metagreywackes generally lower than that for the phyllites with the same weathering degree. The classification used was based on IAEG ([1979\)](#page-7-0).

In general, the quick absorption results for the phyllites showed a higher rate of rapid absorption than for the metagreywackes with the same weathering degree. As with the variation found in the results for qa, a clear increase in abs values was also recorded for rocks with the highest weathering degrees (Table [1](#page-2-0)). The abs values were higher than those defined in the qa tests and lower than the p results. In the latter tests, the specimens were subjected to vacuum conditions to remove the air present in the pores.

The results for the V_p tests were very varied; the classification system used to analyse these results was based on IAEG [\(1979](#page-7-0)). Not surprisingly, a significant reduction in the velocity of the longitudinal waves was noted when the testing involved an orientation normal to the schistosity planes. This reduction was much more marked than that reported by Song et al. ([2004\)](#page-7-0).

The slake durability tests also gave very varied results (Table [2\)](#page-2-0) although the phyllites generally produced lower I_d (second cycle) values than metagreywackes with the same weathering degree. The classification system developed by Gamble ([1971\)](#page-7-0) was used to analyse the results.

As seen in Table [2,](#page-2-0) the metagreywackes (W1-2) showed the highest I_{s50} values (2.8–7.1 MPa) and the phyllites (W4) the lowest. The Bieniawski ([1974\)](#page-7-0) rock mass strength classification was used (Table [4\)](#page-3-0). In order to assess the results obtained for the different orientations used in the I_{s50} tests, an adapted anisotropy index (I_a) was used. In the case of the phyllites, the I_a tended to decrease as the weathering degree increased (Table [4](#page-3-0)) and the planar anisotropy seemed less important for their mechanical behaviour.

The UCS of the metagreywackes was generally higher than that of the phyllites with the same weathering degree. With the metagreywackes, diagonal failures and failures parallel to the axis plane of the load occurred whereas for the phyllites these failures occurred when the load was applied parallel to the schistosity. The UCS was classified in accordance with Bieniawski [\(1974](#page-7-0), [1989\)](#page-7-0) and Deere [\(1964](#page-7-0)).

In general, the metagreywackes gave higher Schmidt hammer R values than the phyllites (Table [2](#page-2-0)).

Fig. 4 Comparison of the relationships between the I_{s50}^{a} and uniaxial compressive strength (UCS) of phyllites (this study) and between the point load strength corrected to a specimen diameter of 50 mm (I_{s50}) and UCS obtained by others researchers

Fig. 5 Comparison of the relationships between the I_{s50} and uniaxial compressive strength of metagreywackes (this study) and those obtained by others researchers

Fig. 6 Correlation between apparent density and I_{s50}^a values of phyllites

Fig. 7 Correlation between quick absorption and point load strength corrected to a specimen diameter of 50 mm with the loading parallel to schistosity $(I_{s50}^{\overline{b}})$ values of phyllites

Fig. 8 Comparison of the relationships between the rebound hardness (R) and uniaxial compressive strength of phyllites (this study) and those obtained by others researchers

Analysis of test results

As the majority of the test results did not produce a normal distribution pattern, Spearman's rank correlation coefficient matrixes (Tables [5,](#page-3-0) [6\)](#page-3-0) were drawn up for the 39 phyllite and the 32 metagreywacke samples. It can be seen that the highest correlation coefficients for the phyllites, in absolute terms, were found between the abs and qa and p and the lowest, in absolute terms, between I_{s50}^{a} and qa and between I_{s50}^a and p. In general, the correlation coefficients (in absolute terms) were lower for the metagreywackes than for the phyllites (Tables [5](#page-3-0), [6](#page-3-0)).

In addition to defining Spearman's rank correlation coefficients, an attempt was made to establish empirical relationships between the different properties of the rock materials, using only those test results which fitted a normal distribution. Linear, logarithmic, exponential and potency models were tested. The results of the regression analyses are given in Tables [7](#page-3-0) and [8.](#page-3-0)

In many of the empirical relationships studied, the independent variable corresponded to strength or hardness parameters such as UCS, I_{s50} or R. An increase in strength was recorded following an increase in γ , durability and V_p .

Table 10 Equations correlating

Table 10 Equations correlating R with UCS	Proposed equations	References	Rock type
	$UCS = 3.17e^{0.07R}$	This study	Phyllites
	$UCS = 2.98e^{0.06R}$	Xu et al. (1990)	Micaschists
	$UCS = 1.45e^{0.07R}$	Aydin and Basu (2005)	Granites (Grade W1 to W4)
	$UCS = 2.48e^{0.07R}$	Buyuksagis and Goktan (2007)	Igneous, sedimentary and metamorphic
	$UCS = 3.1e^{0.09R}$	Sabatakakis et al. (2008)	Limestones, sandstones, marlstones

Fig. 9 Comparison of the relationships between the porosity and uniaxial compressive strength of phyllites (this study) and those obtained by others researchers

It is usual to convert the I_{s50} values to an equivalent UCS using a conversion factor (k) . Widely varying k values (7–68) are reported in literature, particularly for anisotropic rocks (Broch and Franklin [1972;](#page-7-0) Bieniawski [1975;](#page-7-0) Beavis et al. [1982](#page-7-0); Forster [1983;](#page-7-0) ISRM [1985](#page-7-0); Smith [1997](#page-7-0); Hawkins [1998;](#page-7-0) Kahraman [2001\)](#page-7-0), although values of 16 to 24 predominate. ISRM [\(1981](#page-7-0)) recommend I_{s50} should be multiplied by k values of 20–25 while Hawkins ([1998\)](#page-7-0) suggested that for dry core samples of sedimentary and igneous rocks, the value for k would be 15 for I_{s50} values below 2 MPa and 20 for I_{s50} values between 2 and 5 MPa.

Table 11 Equations correlating the V_p , p with the UCS and R

Weaker rocks such as clay phyllites, in general, require lower k values than would be applicable for stronger rocks.

With regard to the UCS^a and I_{s50}^{a} results for the phyllites studied, two empirical relationships were defined using regression analysis: a logarithmic equation (Fig. [2](#page-4-0)) and a linear equation (Fig. [3\)](#page-4-0). Table [9](#page-4-0) and Figs. [4](#page-5-0) and [5](#page-5-0) compare these equations with those found by various authors who have correlated UCS values with I_{s50} values. It can be seen that the values are generally of the same order as those obtained in this study.

Empirical relationships proposed in literature for the other parameters studied were also considered, e.g. between γ and I_{s50}^{a} (Fig. [6\)](#page-5-0), qa and I_{s50}^{b} (Fig. [7\)](#page-5-0), R and UCS (Fig. [8;](#page-5-0) Table 10), p and UCS (Fig. 9; Table 11), V_p and UCS and between p and R (Table 11). Frequently, the differences found could be related to the mineralogical composition and texture of the rock materials and/or the different techniques used for sampling and performing the tests. Those closest to the results from the present study were for R and UCS, p and UCS and R and p which are comparable to those defined by Buyuksagis and Goktan (2007) (2007) , Kilic and Teymen (2008) (2008) and Aydin and Basu [\(2005](#page-7-0)), respectively.

Conclusions

Geotechnical tests were undertaken to determine the index properties, strength and durability of phyllites and metagreywackes with different weathering degrees.

The metagreywackes revealed higher strength and durability values than phyllites with the same weathering degree. These differences may be explained by the fact that metagreywackes exhibit a stronger isotropic texture than the phyllites, which have a different mineralogical composition and are more susceptible to weathering.

The data obtained from the study allowed empirical equations to be defined from various parameters, which were compared with those in the existing literature. Some of the empirical relationships established for phyllites which presented high to extremely high correlation coefficients were between I_{s50}^{a} and γ , UCS^a and R, UCS^a and p, UCS^a and γ , UCS^a and I_{s50}^{a} .

Some of the most reliable empirical relationships for metagreywackes were between I_{s50} and γ , UCS and I_{s50} .

It must be pointed out that the proposed models should not be extended to other types of rock material, although it would be useful to compare them with correlations established for the mechanical and physical characterisation of similar rocks.

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