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Estimation of strength and deformation properties of Quaternary caliche deposits

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Abstract The aim of this study was to develop and evaluate statistical models for predicting the uniaxial compressive strength (UCS) and average Young's modulus (E_{av}) for caliches, using some index and physical properties. The caliche samples, from Adana, southern Turkey, were of low strength and difficult to sample. X-ray diffraction and microscopy were undertaken and the following physical parameters established: unit weight, apparent porosity, Schmidt rebound number, Shore hardness, P-wave velocity, slake durability, point load, uniaxial compressive strength and average Young's modulus. Simple and linear regression variable selection analyses were performed. The best relationships were obtained for UCS with P-wave velocity and unit weight and for average Young's modulus with P-wave velocity, porosity and slake durability. Empirical equations are proposed, although it is emphasised that these may only be applicable for caliche of a similar geological character.

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Keywords Hardpan · Caliche · Geomechanical properties · Index properties · Adana

Résumé Le but de cette étude est d'établir et d'évaluer des modèles statistiques permettant de prédire la résistance à la compression simple et le module d'Young de calcrètes à partir de quelques indices et propriétés physiques. Les e´chantillons de calcre`tes d'Adana, dans le sud-est de la Turquie, présentent de faibles résistances et leur échantillonnage est difficile. Des analyses par diffractométrie RX et des observations microscopiques ont été réalisées. Les paramètres physiques suivants ont été déterminés: poids spécifique, porosité, indice de rebond de Schmidt, dureté Shore, vitesse des ondes P, indice d'altérabilité, résistance à l'écrasement entre pointes, résistance à la compression simple et module d'Young. Des analyses de régression linéaire entre ces paramètres ont été réalisées. Les meilleures relations ont été obtenues pour la résistance à la compression simple fonction de la vitesse des ondes P et du poids spécifique et pour le module d'Young fonction de la vitesse des ondes P, de la porosité et de l'indice d'altérabilité. Des équations empiriques ont été proposées, tout en soulignant que ces équations ne sauraient être utilisées que pour des calcrètes aux caractéristiques géologiques semblables.

Mots clés Calcrète d'encroûtement · Propriétés géomécaniques · Indices géotechniques · Régressions linéaires

Introduction

On the afternoon of 27 June 1998, an earthquake ($M_s = 6.3$, USGS) occurred with an epicentre located approximately 35 km southeast of Adana city, southernTurkey. The felt intensity was generally higher within low-rise buildings founded on caliche deposits. For this reason, it was considered appropriate to undertake a geomechanical study of the caliche to assist with future building construction in the Adana area.

Wright and Tucker ([1991\)](#page-13-0) record that the term caliche was first used to describe gravel and similar units bound by calcium carbonate in southwest America. Various authors have used the word caliche and suggested different modes of formation; e.g. leakage, capillary rise and detrital (Goudie and Pye [1983\)](#page-12-0). This paper considers two major types of caliche: the upper harder material (''hardpan'') with rock properties and the underlying weaker material (''softpan'') with soil properties (Fig. 1a, b). Figure 1c (after Kapur et al. [1993\)](#page-13-0) indicates the types of caliche found in the Adana area. Above the Handere Formation (Tertiary clay deposit), five horizons can be identified. Çobanoğlu et al. ([2008\)](#page-12-0) defined several types of caliche are present: the massive caliche formed of calcium carbonate (BK group), the red calciche with plant/root remains (KK group) and the calcium carbonate cemented caliche (CK).

The presence of softpan beneath the overlying harder material causes many engineering geological problems in this part of Turkey. During the motorway construction in the region, it was considered that some 90% of active and potential slides (Fig. [2a](#page-2-0), b) were within the caliche and the colluvial deposits derived mainly from caliche (Yılmazer and Smith [1992](#page-13-0)). Many of the buildings in the area are founded on caliche and it was also used as a dimension stone in many of the historic buildings, due to its capability of being shaped easily (Fig. [2c](#page-2-0), d). In some parts of the world where the caliche is stronger, it forms excellent road paving material (Horta [1980\)](#page-12-0). As the caliche in the Adana area has only low strength (range 2.03–10.41 MPa), it is difficult to prepare for standard testing.

Many researchers reported that the uniaxial compressive strength and Young's modulus of rock are important parameters to explain the strength and deformation characteristics of rocks (Bieniawski [1974\)](#page-12-0). However, the literature indicates that there is no single relationship, which applies to all rock types, by which the strength and Young's modulus can be estimated from rock index tests (e.g. Miller [1965;](#page-13-0) Deere and Miller [1966;](#page-12-0) Aufmuth [1973](#page-12-0); Beverly et al. [1979](#page-12-0); Kindybinski [1980](#page-13-0); Singh et al. [1983](#page-13-0); Shorey et al. [1984;](#page-13-0) Haramy and De Marco [1985;](#page-12-0) Ghose and Chakrabarti [1986](#page-12-0); O'Rourke [1989](#page-13-0); Sachpazis [1990](#page-13-0); Xu et al. [1990](#page-13-0); Gökçeoğlu [1996;](#page-12-0) Aggistalis et al. [1996](#page-12-0); Kahraman [1996;](#page-13-0) Koncagül and Santi [1999;](#page-13-0) Katz et al. 2000 ; Yılmaz and Sendir 2002 ; Yaşar and Erdoğan $2004a$; Yaşar and Erdoğan [2004b;](#page-13-0) Karakuş et al. [2004;](#page-13-0) Kahraman [2001;](#page-13-0) Dincer et al. [2004](#page-12-0)).

This study was undertaken to develop and evaluate statistical models for predicting uniaxial compressive

Fig. 1 Various views of the caliche outcrops and samples: a hardpan level of caliche, b large hardpan blocks, c general cross and stratigraphic section of caliche in the study area

strength (UCS) and average Young's Modulus (E_{av}) of the caliche deposits which outcrop in the Adana area, southern Turkey, between Lat $36.80^{\circ} - 37.50^{\circ}$ N and Long $34.50^{\circ} -$ [3](#page-3-0)5.50°E (Fig. 3).

Mineralogy

Mineralogical analyses were carried out to determine the type and percentage of minerals in the caliche samples using X-ray diffraction (XRD). Diffractograms of the

Fig. 2 a Motorway slope failure in caliche deposits, b mass movement in caliche deposits, triggered by 1998 Adana–Ceyhan earthquake, (c, d) the use of caliche hardpan as a dimension stone

caliche samples were obtained at the laboratories of the Metallurgical and Materials Department of Anadolu University using a Rigaku diffractometer system with Cu Ka radiation. Samples were run from 5° to 70° 2 θ with a step increment of 0.02° and counting time of 2 s/step; the relevant data were stored in a digital form. Thin sections were prepared to examine the mineralogy of both grains and bonding material and the average size and shape of the grains and pores of the caliche samples.

Mechanical tests

Rock block samples (approximately $0.25 \times 0.25 \times$ 0.20 m) were collected from the hardpan in 19 different locations (Fig. [3](#page-3-0)) and NX and BX sized cores prepared (Fig. [4a](#page-3-0), b). Unit weight (UW), apparent porosity (n), Schmidt rebound number (R_N) , Shore hardness (SH), P-wave velocity (V_p) , slake durability index (I_{d2}) , point load index $(Is_{(50)})$, UCS and average Young's Modulus (E_{av}) values were obtained following ISRM [\(1981a](#page-12-0)).

Rock samples with a regular shape were used for the unit weight and apparent porosity tests. The samples were submerged in water for 48 h and weight/dimensions measured to an accuracy of ± 0.01 . After drying for 24 h at 105°C the samples were again weighed and apparent porosity and unit weight established.

The Schmidt hammer tests were carried out in the field on large caliche block samples using an N type hammer following (ISRM [1981b\)](#page-12-0). The Schmidt hammer tests were performed vertically on rock blocks with no visible discontinuities. Each test involved twenty readings, with the upper ten values averaged to give the final result.

Shore hardness values were determined using the C2 type Shore scleroscope. The test involved dropping a 2.44 g diamond-tipped hammer freely onto the caliche sample and carefully measuring the rebound height. Again 20 readings were taken from each sample; the highest and lowest 5 being discarded and the remaining 10 averaged following ISRM [\(1978](#page-12-0)a).

For the P-wave velocity test, three core samples were prepared (height/diameter 2–2.5) for each caliche. Both faces of drill cores were trimmed and smoothed so that the receiver and the transmitter could cover the faces tightly. The test was carried out according to ISRM [\(1978b](#page-12-0)).

The slake durability testing was undertaken following ISRM [\(1979](#page-12-0)) using a ELE RM-310-2 test machine. Ten rock lumps with equal dimensions were rotated in the steel mesh drum, partially immersed in water for ten minutes. Samples were subjected to three cycles and the durability index (I_d) in each cycle was calculated as a percentage ratio

Fig. 4 a Cored caliche blocks, b NX and BX size caliche core samples

of final to initial dry weight of rocks in the drum after the drying and wetting cycles. In this study, the second cycle index (I_{d2}) was used for the evaluation of the slake durability index of the caliche.

In this study diametrical and axial point load tests were undertaken according to ISRM [\(1985](#page-13-0)) on core samples with a length/diameter ratio of 1:1. Failure of rock samples was achieved within 10–60 s. The point load strength index $Is_{(50)}$ was calculated using the correction factor.

The uniaxial compressive strength tests were performed according to ISRM ([1981c](#page-12-0)) using an ELE ADR 2000 machine and a data acquisition system. NX and BX size core samples (length/diameter 2–2.5) were prepared. The loading rate was 0.1 kN/s and failure of the caliche samples was achieved within 5–10 min. At least five specimens were tested for each block sample.

Young's modulus (E_{av}) was established following the ISRM [\(1981c\)](#page-12-0) standard test procedure.

Principal component and regression analysis

The UCS and average Young's modulus of the caliche samples were correlated with their index properties using simple stepwise multiple regression techniques including F-tests. For the analysis of several variables, adapted multivariate statistical methods were employed. The principal component analysis (PCA) is among the best known of these methods. Its objective is to describe the dependence structure observed in the dataset through latent factors which are fewer in number than the original variables. These derived factors are essentially linear combinations of the original variables (Davis [1986\)](#page-12-0).

Fig. 5 Selected XRD patterns for hardpan (caliche) samples

A stepwise forward selection procedure was used to select the best suitable regression model.

Mineralogical and physico-chemical properties

Strength and slaking are among the engineering properties influenced by the physico-chemical characteristics of a rock (e.g. Fahy et al. [1979;](#page-12-0) Shakoor and Bonelli [1991](#page-13-0); Gunsallus and Kulhawy [1984](#page-12-0); Koncagül and Santi [1999\)](#page-13-0). In order to determine the physico-chemical properties of the caliche, both XRD and thin section analyses were undertaken.

As seen from Fig. 5, the diffractograms indicate in excess of 96.80% calcite with quartz accounting for some 1.30–3.00%.

Macroscopically, the colour of the massive caliche was determined as 10YR 8/2, 5YR 5/6, 5YR 8/6, 10YR 7/4, 10YR 8/6 and 10YR 5/4.

All of the thin sections contained elongated, irregularly dispersed, thin rootlets and calcite crystals. The groundmass is a dark micrite with rare microsparite in the voids and nodules (Fig. 6a, b). In some samples, the voids were infilled with microsparite and sparite with a serrated boundary. Very dense nodules are dark greyish white in colour and their ground mass microcrystalline and irregular in shape. Vughs with rough undulations were observed, which may result from the voids being enlarged during the

Fig. 6 Thin section views of hardpan (caliche) samples

preparation of the thin section. Silt sized quartz grains were abundant in the microcrystalline calcite (Fig. 6a). Angular and sub-angular and moderately well sorted limestone gravels were seen in all the thin sections and some samples also contained silica, marble, siltstone, sandstone and recrystallized limestones, generally in the caliche above the river terrace deposits (Fig. 6c).

Table 1 Laboratory test results for the 19 caliche samples

Physico-mechanical properties

The physico-mechanical properties of the caliche are given in Table 1. It can be seen that the unit weight varied from 14.96 to 22.94 kN/m³, similar to the value (17.46 kN/m^3) reported by Zorlu and Kasapoğlu ([2004\)](#page-13-0). Apparent porosity (n) ranged from 16.23 to 34.79%. According to IAEG [\(1979](#page-12-0)), all the caliche samples classify as of medium-high porosity.

The Schmidt rebound values (R_N) ranged between 14.50 and 43.44 while the Shore hardness (SH) values were between 4.20 and 24.56. The point load index $(Is₍₅₀₎)$ varied from 0.53 to 2.08 MPa, ie the caliche is a low-very low strength rock according to Bieniawski ([1974\)](#page-12-0). The UCS ranged between 2.03 and 10.41 MPa. The average Young's modulus (E_{av}) varied from 0.16 to 1.40 GPa.

The highest P-wave velocity (V_p) was 1,576 m/s and the lowest 375 m/s. The slake durability test results (I_{d2}) ranged from 65.36 to 98.34%.

Data analyses

The first phase of the analysis considered the relationship between the UCS and the index tests. The correlation circle on the factorial plane (Fig. [7](#page-6-0)a) determined by the first two principal components indicated that the UCS was positively correlated with the V_p , UW, Is₍₅₀₎, SH and R_N , but negatively correlated with the porosity (n) . In addition, a slight positive correlation could be discerned between the UCS and I_{d2} . The UCS and the Young's modulus (E_{av}) were also analysed; Fig. [7b](#page-6-0) shows the correlation circle determined by the first two principal components and the projection of the various vectors representing the variables studied. It can be seen the arrangement of the vectors is similar to that shown in Fig. [7](#page-6-0)a.

Prediction of the mechanical properties of caliche

In order to be able to describe the relationships between compressive strength (UCS) and average Young's modulus $(E_{\rm av})$ and the index and physical properties of the tested caliche samples, simple regression analysis was undertaken based on linear, logarithmic, power and exponential laws using the data set given in Table 1. Regression analysis was performed using SPSS for Windows statistical software. The results are shown in Fig. [8](#page-9-0) and the regression equations are presented in Table [2.](#page-7-0) Only P-wave velocity (V_p) has a statistically significant correlation with UCS and

Fig. 7 PCA correlation circles a influence of the geometrical parameters on the UCS, b influence of the geometrical parameters on the E_{av} . (*n* apparent porosity, V_p P wave velocity, UW unit weight, Is₍₅₀₎ point load index, SH shore hardness, R_N Schmidt rebound number, I_{d2} slake durability index, UCS uniaxial compressive strength, E_{av} average Young's modulus)

 E_{av} , with regression coefficients (R^2) of 0.91 and 0.87, respectively.

Multiple linear regression analyses were considered to derive equations that could be used to predict UCS and average Young's modulus (E_{av}) from the index and physical properties of the caliche.

For UCS, the best model found was:

$$
UCS = -6.319 + 4.418 \times 10^{-3} Vp + 0.427UW.
$$
 (1)

The *F* test indicated:

$$
F_{\text{Calculated}} = 157.926 \text{ and } F_{[2]}^{(k-1,n-k)} = F_{[0.050]}^{(3-1,19-3)}
$$

$$
= F_{[0.050]}^{(2,16)} = 3.63
$$

$$
F_{\text{Calculated}} > F_{[0.050]}^{(2,16)} = 157.926 > 3.63
$$

As seen from Table 3 , all the absolute t values were greater than the t table values and all the VIF values are less than 10. The adjusted R^2 value (94.6% with a standard deviation of 0.553) indicates that the above multiple regression model was appropriate for calculating the total variation in the 19 UCS tests. The stepwise forward technique for regression analysis revealed P-wave velocity (V_p) to be the most important variable, followed by unit weight.

Measured and predicted values of UCS were compared; the linear regression line was plotted, together with the equation for the regression line $(y = ax + b)$ and the relevant correlation coefficient. A diagonal line was drawn on each graph, representing the line along which the points would fall if the proportions of the estimated data were exactly equal to the measured data. As can be seen in Fig. [9](#page-10-0), a good prediction was achieved using the proposed model with the points plotting very close to the diagonal line. The regression equation has a slope very similar to the 1:1 line, indicating a high correlation coefficient ($R^2 =$ 0.95) and low intercept value.

A regression model was also developed to predict average Young's modulus (E_{av}) using apparent porosity (n) , Schmidt rebound values (R_N) , Shore hardness (SH), P-wave velocity (V_p) , slake durability (I_{d2}) , unit weight (UW) and point load index $(Is_{(50)})$. Figures [10](#page-10-0) and [11](#page-10-0) show the correlations obtained using Tables [4](#page-11-0) and [5](#page-11-0) and the following equations:

$$
E_{\text{av}} = 0.944 + 5.899 \times 10^{-4} V_{\text{p}} - 3.17 \times 10^{-2} n \tag{2}
$$

$$
E_{\text{av}} = 2.201 + 6.224 \times 10^{-4} V_{\text{p}} - 4.30 \times 10^{-2} n - 1.09
$$

$$
\times 10^{-2} I_{d2} \tag{3}
$$

The F tests indicated:

$$
F_{\text{Calculated}} = 104.565 \text{ and } F_{\text{[2]}}^{(k-1,n-k)} = F_{\text{[0.050]}}^{(3-1,19-3)}
$$
\n
$$
= F_{\text{[0.050]}}^{(2,16)} = 3.63
$$
\n
$$
F_{\text{Calculated}} > F_{\text{[0.050]}}^{(2,16)} = 104.565 > 3.63
$$

for Eq. (2) and,

$$
F_{\text{Calculated}} = 96.039 \text{ and } F_{\left[\alpha\right]}^{(k-1,n-k)} = F_{\left[0.050\right]}^{(4-1,19-4)}
$$
\n
$$
= F_{\left[0.050\right]}^{(3,15)} = 3.29
$$
\n
$$
F_{\text{Calculated}} > F_{\left[0.050\right]}^{(3,15)} = 96.039 > 3.63
$$

for Eq. (3) .

Fig. 8 The relationship between uniaxial compressive strength, average Young's modulus and index and physical properties of hardpan (caliche) samples

variables coefficients	Independent Unstandardised		t	Sig.	Variance inflation
	В	Std. error			factor (VIF)
Constant	-6.319		$1.950 -3.241 0.005$		
$V_{\rm p}$	4.418×10^{-3} 0.001 7.249 0.000 2.942				
UW	0.427	0.120		3.570 0.003 2.942	

Table 3 Relationship between UCS and V_p and UW

Fig. 9 Comparison of measured and the estimated UCS values for Eq. [\(1](#page-6-0))

Again, all the absolute t values are greater than the t table values and all the VIF values are smaller than 10. Figures 10 and 11 support a linear relationship between the average Young's modulus (E_{av}) P-wave velocity (V_p) , apparent porosity (n) and slake durability (I_{d2}) , with regression equation slopes equal to 1.0, a high correlation coefficient (R^2 above 0.93) and very low intercept values.

Discussion

Simple and multiple linear regression analyses were performed to estimate both the UCS and average Young's modulus (E_{av}) of caliche. More than fifty simple equations were performed using index and physical properties and evaluated by statistical regression analysis. There is a good statistical relationship between the UCS and E_{av} of the caliche studied. The models suggest that E_{av} is best estimated by P-wave velocity, apparent porosity (n) and slake

Fig. 10 Comparison of measured and the estimated E_{av} values for Eq. [\(2\)](#page-6-0)

Fig. 11 Comparison of measured and the estimated E_{av} values for Eq. [\(3\)](#page-6-0)

durability (I_{d2}) . The UCS is a function of P-wave velocity (V_p) and unit weight (UW).

These models have excluded the Schmidt rebound values (R_N) , Shore hardness (SH), and point load index $(Is₍₅₀₎)$. The application of the Schmidt hammer test on very soft and extremely hard rocks is not recommended (Xu et al. [1990](#page-13-0)) and it is difficult to prepare smooth test surfaces on which the measurements are taken. In the same way, using point load strength test on caliche is quite

variables coefficients	Independent Unstandardised		t	Sig.	Variance inflation factor (VIF)
	β	Std. error			
Constant	0.944	0.310	3.047 0.008		
$V_{\rm p}$	5.899×10^{-4} 0.000		5.079 0.000 3.073		
\boldsymbol{n}	-3.17×10^{-2} 0.009		-3.552 0.003 3.073		
Constant	2.201	0.559	3.939 0.001		
$V_{\rm p}$	6.224×10^{-4} 0.000			6.169 0.000 3.123	
\overline{N}	-4.30×10^{-2} 0.009		-4.848 0.000 4.089		
I_{d2}	-1.09×10^{-2} 0.004		-2.558 0.022 2.434		

Table 5 Correlation coefficient for E_{av}

^a Predictors: (Constant), V_p , *n*

Predictors: (Constant), V_p , n, I_{d2}

problematic because of the penetration of the points into the sample. For this reason, the point load index is not a good predictor for estimating the UCS and averages Young's modulus (E_{av}) of caliche. Shore hardness test are also known to be more reliable for strong rocks.

The study has shown that the uniaxial strength of the Adana caliche can be estimated from P-wave velocity (V_p) and unit weight (UW), using the proposed empirical relationship UCS = $-6.319 + 4.418 \times 10^{-3} V_p + 0.427$ UW. Two different empirical equations were proposed for the estimation of average Young's modulus (E_{av}) using P-wave

velocity (V_p) , apparent porosity (n) and slake durability (I_{d2}) : $E_{\text{av}} = 0.944 + 5.899 \times 10^{-4}$ $V_{\text{p}} - 3.17 \times 10^{-2} n$ and $E_{\text{av}} = 2.201 + 6.244 \times 10^{-4}$ V_p - 4.30 \times 10⁻² n - $1.09 \times 10^{-3} I_{d2}$. These models have very high correlation coefficients (R^2) (R^2) (R^2) of 0.946 (for Eq. [1\)](#page-6-0), 0.920 (for Eq. 2), and 0.941 (for Eq. [3](#page-6-0)).

The best relationships were obtained for P-wave velocity, which is a non-destructive test and easy to apply in both site and laboratory conditions. In rock engineering, sound velocity techniques have increasingly been used to determine the dynamic properties of rocks. The measured and predicted values for the 19 samples detailed in Table [1](#page-5-0) are shown in Fig. [1](#page-6-0)2 for UCS and V_p (Eq. 1) and Fig. [13](#page-12-0) for average Young's modulus and V_p and porosity (Eq. [2\)](#page-6-0) and V_p , porosity and slake durability (Eq. [3\)](#page-6-0). The empirical equations obtained using Schmidt rebound values (R_N) , Shore hardness (SH), and point load index $(Is₍₅₀₎)$ yielded results very different from the measured values.

Conclusions

The aim of this research was to establish empirical equations for estimating the uniaxial compressive strength and average Young's modulus of caliches from Adana using unit weight (UW), apparent porosity (n) , Schmidt rebound values (R_N) , Shore hardness (SH), slake durability (I_{d2}) , Pwave velocity (V_p) and point load index $(Is_{(50)})$. Shore hardness, Schmidt rebound numbers and point load index were not found to be good predictors; these parameters are known to have greater validity for the testing of strong rocks.

The model with P-wave velocity, using the proposed empirical equations of UCS = $-6.319 + 4.418 \times 10^{-3}$

(see Table [1\)](#page-5-0)

Fig. 13 Comparison of measured and estimated values of the average Young's modulus for the samples (see Table [1](#page-5-0))

 V_p + 0.427UW, E_{av} = 0.944 + 5.899 \times 10⁻⁴ V_p - 3.17 \times 10^{-2} n and $E_{\text{av}} = 2.201 + 6.244 \times 10^{-4}$ V_p $- 4.30 \times 10^{-2}$ $n - 1.09 \times 10^{-3} I_{d2}$ are more useful and practical for rock mechanics investigations. These equations are likely to be applicable for other caliche deposits with a similar mineralogical structure to those reported in this study. However, it is strongly recommended that they are not assumed to applicable to all caliche deposits.

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