# Power corrections to event shapes in deep inelastic scattering<sup>\*</sup>

M. Dasgupta, B.R. Webber

Cavendish Laboratory, University of Cambridge, Madingley Road, Cambridge CB3 0HE, U.K.

Received: 11 April 1997

**Abstract.** We investigate the power-suppressed corrections to the mean values of various quantities that characterise the shapes of final states in deep inelastic lepton scattering. Our method is based on an analysis of one-loop Feynman graphs containing a massive gluon, which is equivalent to the evaluation of leading infrared renormalon contributions. As in  $e^+e^-$  annihilation, we find that the leading corrections are proportional to 1/Q. We give quantitative estimates based on the hypothesis of a universal low-energy effective coupling.

# 1 Introduction

The study of final-state properties in deep inelastic lepton scattering (DIS) has received a great impetus from the increasing quantity and kinematic range of the HERA data. The determination of the strong coupling  $\alpha_s$  from finalstate properties in DIS is an attractive possibility because of the relative simplicity of the lepton-hadron interaction, combined with the wide range of dynamical scales available in a single experiment at a single beam energy. It is expected that the scale for  $\alpha_s$  will be set primarily by the lepton-hadron momentum transfer-squared  $Q^2$ , which can range from zero to  $10^5$  GeV<sup>2</sup> at HERA. Thus there should be a wide region in which the value and running of  $\alpha_s(Q^2)$ can be observed with good precision.

One possible method for  $\alpha_s$  determination is the measurement of jet fractions [1], defined according to one of the several available infrared-safe jet algorithms [2, 3]. By definition, jet rates defined by an infrared-safe algorithm can be computed in perturbation theory, and nextto-leading-order calculations are now available [4, 5]. The  $\alpha_s$  values obtained by comparing jet rates with HERA data are consistent with those found in other processes, and in particular they show the expected decrease with increasing  $Q^2$ .

In the present paper we consider a different set of DIS final-state observables which can be used to determine  $\alpha_s$ . These are the various *event shape variables* which can be defined in analogy with those used in the study of  $e^+e^-$  annihilation final states. In  $e^+e^-$  physics, event shapes have been found to be a useful tool for testing QCD and measuring  $\alpha_s$ . They can be defined so as to be sensitive to different aspects of QCD dynamics (e.g. the longitudi-

nal or transverse development of jets) are subject to different non-perturbative 'hadronization' corrections. Thus  $\alpha_s$  determinations from a variety of event shapes complement those from jet rates and give an indication of the systematic uncertainties due to non-perturbative effects. The same considerations make it important to calculate and measure event shapes in DIS.

Another reason to measure event shapes is that there are new theoretical ideas about non-perturbative corrections to them [6–10], which provide constraints on  $\alpha_s$  determinations from event shapes and are interesting to test in their own right. By looking at the behaviour of the QCD perturbation series in high orders, one can identify unsummable, factorially divergent sets of contributions (infrared renormalons [11]) which indicate that nonperturbative power-suppressed corrections must be included. The  $Q^2$ -dependence of the leading correction to a given quantity can be inferred, and by making further universality assumptions one may also estimate its magnitude. Tests of these ideas provide information on the transition from the perturbative to the non-perturbative regime in QCD. In particular, one can investigate the possibility that an approximately universal low-energy effective coupling may be a useful phenomenological concept [7, 8, 12].

Such an approach has been applied with some success to  $e^+e^-$  event shapes [7, 8, 13] and fragmentation functions [14], and to DIS structure functions [8, 15]. In the present paper we extend it to event shapes in DIS [16]. We find that, as in  $e^+e^-$  annihilation, the leading power corrections to these quantities are typically proportional to 1/Q. The hypothesis that they are related to a universal low-energy effective coupling implies that their magnitudes are given by a single non-perturbative parameter. We give quantitative estimates based on the value of this parameter derived from  $e^+e^-$  data.

In the following section we explain how the DIS event shape variables that we compute are defined. In Sect. 3 we

<sup>\*</sup> Research supported in part by the U.K. Particle Physics and Astronomy Research Council and by the EC Programme "Training and Mobility of Researchers", Network "Hadronic Physics with High Energy Electromagnetic Probes", contract ERB FMRX-CT96-0008

give the leading-order perturbative predictions for these quantities. To determine  $\alpha_s$ , one needs the predictions in next-to-leading order, which are not yet available. However, the leading-order calculation provides a useful guide to the relative importance of the power-suppressed corrections, which we estimate in Sect. 4 using the method of [8]. We explain how these estimates can be refined and combined with the next-to-leading predictions when they become available. Finally, our results are summarized briefly in Sect. 5.

## 2 Event shape variables in DIS

A complication in DIS, absent from  $e^+e^-$  annihilation, is the presence in the final state of the remnant of the initialstate hadron, i.e. the constituents that did not participate in the hard scattering of the lepton. It is expected that the fragmentation of the remnant will be dominated by soft, non-perturbative physics. While of interest for studying the hadronization process, the remnant fragmentation is not so useful for  $\alpha_s$  determinations, and therefore we concentrate here on aspects of event shapes that are not sensitive to it. This is conveniently done by looking at the final state in the *Breit frame of reference* [17, 18, 19].

We consider the deep inelastic scattering of a lepton of momentum l from a nucleon of momentum P, with momentum transfer q. The main kinematic variables are  $Q^2 = -q^2$ , the Bjorken variable  $x = Q^2/2P \cdot q$  and  $y = P \cdot q/P \cdot l \simeq Q^2/xs$ , s being the total c.m. energy squared. Then the Breit frame is the rest-frame of 2xP + q. In this frame the momentum transfer q is purely spacelike, and we choose to align it along the +z axis:

$$P = \frac{1}{2}Q(1/x, 0, 0, -1/x) , \quad q = \frac{1}{2}Q(0, 0, 0, 2) . \quad (2.1)$$

To a good approximation, the fragmentation products of the remnant will be moving in directions close to that of the incoming nucleon, i.e. they will remain in the 'remnant hemisphere'  $H_r$  ( $p_z < 0$ ). On the other hand the products of the hard lepton scattering will tend to be found in the 'current hemisphere'  $H_c$  ( $p_z > 0$ ). In fact in the parton model the scattered parton moves along the current (+z)axis with momentum  $xP + q = \frac{1}{2}Q(1, 0, 0, 1)$ . Thus in the parton model the current hemisphere looks like one hemisphere of the final state in  $e^+e^-$  annihilation at centre-ofmass energy Q. Fragmentation studies have shown that this similarity is indeed manifest in hadron spectra and multiplicities [20]. This makes it natural to define event shape variables in close analogy to those for  $e^+e^-$  annihilation, but limited to particles a appearing in the current hemisphere,  $a \in H_c$ .

We can now construct infrared-safe quantities that characterize the shape of the event defined in this way. Perhaps the simplest is the *current jet thrust* [17]

$$T_Q = 2 \sum_{a \in H_c} \boldsymbol{p}_a \cdot \boldsymbol{n} / Q \tag{2.2}$$

where n represents the unit 3-vector along the current direction (the +z axis, in our convention). The subscript Q indicates that T is normalized to  $\frac{1}{2}Q$ . Alternatively we may normalize to the total energy in the current hemisphere,

$$T_E = \sum_{a \in H_c} \boldsymbol{p}_a \cdot \boldsymbol{n} \Big/ \sum_{a \in H_c} E_a \;. \tag{2.3}$$

Both of these quantities are equal to unity in the Born approximation, and their deviation from this value measures the longitudinal development of the current jet. It will therefore be convenient to study instead the quantities  $\tau_Q = 1 - T_Q$  and  $\tau_E = 1 - T_E$ , which vanish in the Born approximation.

It is kinematically possible for the Breit frame current hemisphere to be empty. In that case, taken literally, (2.2) implies that  $T_Q = 0$ , hence  $\tau_Q = 1$ , while (2.3) leaves  $\tau_E$ undefined. For consistency with the other event shapes defined below, we instead define  $\tau_Q = \tau_E = 0$  when the current hemisphere is empty.

Similarly we can define the *current jet broadening* [21]

$$B_Q = \sum_{a \in H_c} |\boldsymbol{p}_a \times \boldsymbol{n}| / Q , \qquad (2.4)$$

or

$$B_E = \frac{1}{2} \sum_{a \in H_c} |\boldsymbol{p}_a \times \boldsymbol{n}| / \sum_{a \in H_c} E_a , \qquad (2.5)$$

which emphasizes the transverse development of the jet.

Both the thrust and the broadening are defined here with respect to the current direction n. Two quantities which measure the jet development independent of direction (apart from the restriction to particles in the current hemisphere) are the scaled *current jet mass* 

$$\rho_Q = \left(\sum_{a \in H_c} p_a\right)^2 / Q^2 \tag{2.6}$$

and the C-parameter [22]

$$C_Q = 3(\lambda_1\lambda_2 + \lambda_2\lambda_3 + \lambda_3\lambda_1) \tag{2.7}$$

where  $\lambda_{1,2,3}$  are the eigenvalues of the linearized momentum tensor

$$\Theta^{ij} = 2 \sum_{a \in H_c} \left( \boldsymbol{p}_a^i \boldsymbol{p}_a^j / |\boldsymbol{p}_a| \right) / Q . \qquad (2.8)$$

Again, we may alternatively define quantities  $\rho_E$  and  $C_E$ , in which Q is replaced by twice the total energy in the current hemisphere, so that

$$\rho_E / \rho_Q = C_E / C_Q = Q^2 / \left( 2 \sum_{a \in H_c} E_a \right)^2 .$$
(2.9)

## 3 Leading-order perturbation theory

At first order in  $\alpha_s$ , up to two final-state partons can be emitted in the hard lepton-parton subprocess, as illustrated in Fig. 1. The momentum of the struck parton



Fig. 1. Jet production in deep inelastic scattering

is  $p = xP/\xi$  ( $x < \xi < 1$ ) and we define  $z = P \cdot r/P \cdot q$  (0 < z < 1).

The differential cross section is

$$\frac{d^3\sigma}{dxdQ^2dz} = \frac{2\pi\alpha^2}{Q^4} \left\{ \left[ 1 + (1-y)^2 \right] F_T(x,z) + 2(1-y)F_L(x,z) \right\}.$$
 (3.1)

The generalized transverse and longitudinal structure functions  $F_T(x,z) = 2F_1(x,z)$  and  $F_L(x,z) = F_2(x,z)/x - 2F_1(x,z)$  are of the form (for z < 1)

$$F_{i}(x,z) = \frac{\alpha_{s}}{2\pi} \int_{x}^{1} \frac{d\xi}{\xi} \left[ C_{F}C_{i,q}(\xi,z)q(x/\xi) + T_{f}C_{i,g}(\xi,z)g(x/\xi) \right]$$
(3.2)

where

$$q(x) = \sum_{j=1}^{f} e_j^2 \left[ q_j(x) + \bar{q}_j(x) \right] , \qquad T_f = T_R \sum_{j=1}^{f} e_j^2 \quad (3.3)$$

for f active quark flavours,  $C_F = 4/3$ ,  $T_R = 1/2$  and [18]

$$C_{T,q}(\xi, z) = \frac{\xi^2 + z^2}{(1 - \xi)(1 - z)} + 2\xi z + 2$$

$$C_{L,q}(\xi, z) = 4\xi z$$

$$C_{T,g}(\xi, z) = \left[\xi^2 + (1 - \xi)^2\right] \frac{z^2 + (1 - z)^2}{z(1 - z)}$$

$$C_{L,g}(\xi, z) = 8\xi(1 - \xi) .$$
(3.4)

In the Breit frame P and q are given by (2.1) and we can write  $m = \frac{1}{2}O(1/\xi + 0, 0, -1/\xi)$ 

$$p = \frac{1}{2}Q(1/\xi, 0, 0, -1/\xi)$$
  

$$r = \frac{1}{2}Q(z_0, z_\perp, 0, z_3)$$
  

$$k = \frac{1}{2}Q(\bar{z}_0, -z_\perp, 0, \bar{z}_3)$$
  
(3.5)

where

$$z_{0} = 2z - 1 + (1 - z)/\xi$$
  

$$z_{3} = 1 - (1 - z)/\xi$$
  

$$\bar{z}_{0} = 1 - 2z + z/\xi$$
  

$$\bar{z}_{3} = 1 - z/\xi$$
  

$$z_{\perp} = 2\sqrt{z(1 - z)(1 - \xi)/\xi}$$
  
(3.6)



Fig. 2. Phase space region for jet production in deep inelastic scattering

**Table 1.** Event shape variables  $S(\xi, z)$  in leading order

S	А	В	$\mathbf{C}$
$ au_Q$	$(1-\xi)/\xi$	$1 - z_3$	$1 - \bar{z}_3$
$ au_E$	$2(1-\xi)$	$1 - z_3/z_0$	$1-\bar{z}_3/\bar{z}_0$
$B_Q$	$z_{\perp}$	$z_{\perp}/2$	$z_\perp/2$
$B_E$	$\xi z_{\perp}$	$z_{\perp}/2z_0$	$z_{\perp}/2\bar{z}_0$
$ ho_Q$	$(1-\xi)/\xi$	0	0
$ ho_E$	$\xi(1-\xi)$	0	0
$C_Q$	$3(2\xi-1)^2 z_{\perp}^2/\xi^2 z_0 \bar{z}_0$	0	0
$C_E$	$3(2\xi-1)^2 z_{\perp}^2/z_0 \bar{z}_0$	0	0

We can distinguish four subregions of phase space, as illustrated in Fig. 2:

A: both produced parton momenta k, r in the current hemisphere  $(z_3, \bar{z}_3 > 0);$ 

B: only parton momentum r in the current hemisphere  $(z_3 > 0, \bar{z}_3 < 0);$ 

C: only parton momentum k in the current hemisphere  $(z_3 < 0, \bar{z}_3 > 0);$ 

D: no produced parton momenta in the current hemisphere  $(z_3, \bar{z}_3 < 0)$ .

In leading order the event shape variables defined in Sect. 2 are given in these regions by Table 1. By definition they are all zero in region D. By construction, they all vanish in the soft and/or collinear limits  $\xi, z \to 1$ . Note that  $\rho$  and C also vanish throughout regions B and C.

At any particular values of x and  $Q^2$ , the mean value of a shape variable S is now given in leading order by

$$\langle S \rangle = \frac{2\pi\alpha^2}{Q^4} \int_0^1 dz \Big\{ \Big[ 1 + (1-y)^2 \Big] F_T^{(S)}(x) + 2(1-y) F_L^{(S)}(x) \Big\} \Big/ \frac{d^2\sigma_0}{dxdQ^2}$$
(3.7)

where

$$F_i^{(S)}(x) = \frac{\alpha_s}{2\pi} \int_x^1 \frac{d\xi}{\xi} \int_0^1 dz S(\xi, z) \\ \times \left[ C_F C_{i,q}(\xi, z) q(x/\xi) + T_f C_{i,g}(\xi, z) g(x/\xi) \right] (3.8)$$

and the denominator is the differential cross section evaluated in Born approximation,

$$\frac{d^2\sigma_0}{dxdQ^2} = \frac{2\pi\alpha^2}{Q^4} \left[1 + (1-y)^2\right] q(x) .$$
 (3.9)

We discuss the numerical values of the leading-order predictions together with the power corrections in the following section.

## 4 Power corrections

Our estimate of the leading power corrections to the perturbative results given above is based on the approach of [8]. Non-perturbative effects at long distances are assumed to give rise to a modification  $\delta \alpha_{\text{eff}}(\mu^2)$  in the QCD effective coupling at low values of the scale  $\mu^2$ . The effect on some observable F is then given by a *characteristic function*  $\mathcal{F}(x, \epsilon)$ , as follows:

$$\delta F(x,Q^2) = \int_0^\infty \frac{d\mu^2}{\mu^2} \,\delta\alpha_{\text{eff}}(\mu^2) \dot{\mathcal{F}}(x,\epsilon = \mu^2/Q^2) \quad (4.1)$$

where

$$\dot{\mathcal{F}}(x,\epsilon) \equiv -\epsilon \frac{\partial}{\partial \epsilon} \mathcal{F}(x,\epsilon) .$$
 (4.2)

The characteristic function is obtained by computing the relevant one-loop graphs with a non-zero gluon mass  $\mu = Q\sqrt{\epsilon}$  [6, 23].

Arbitrary finite modifications of the effective coupling at low scales would generally introduce power corrections of the form  $1/\mu^{2p}$  into the ultraviolet behaviour of the running coupling  $\alpha_s$  itself. Such a modification would destroy the basis of the operator product expansion [24]. One must therefore require that at least the first few integer moments of the coupling modification should vanish:

$$\int_0^\infty \frac{d\mu^2}{\mu^2} (\mu^2)^p \,\delta\alpha_{\rm eff}(\mu^2) = 0 \,; \quad p = 1, \dots, p_{\rm max} \,. \tag{4.3}$$

The upper bound  $p_{\text{max}}$  could be set by instanton-antiinstanton contributions ( $p_{\text{max}} \sim 9$ ). The constraint (4.3) means that only those terms in the small- $\epsilon$  behaviour of the characteristic function that are *non-analytic* at  $\epsilon =$ 0 will lead to power-behaved non-perturbative contributions. These are just the the terms that give rise to infrared renormalons in perturbation theory [23].



**Fig. 3.** Phase space region with gluon mass-squared  $\mu^2 = \epsilon Q^2$ 

For gluon mass-squared  $\mu^2 = \epsilon Q^2$  the quark coefficient functions in (3.4) become

$$C_{T,q}(\xi, z, \epsilon) = \frac{(1-z)(1-\xi) + 2\xi z(1-z)^2 - \xi\epsilon}{(1-z-\xi\epsilon)^2} + \frac{2\xi z(1-\epsilon)}{(1-z-\xi\epsilon)(1-\xi)} + \frac{(1-z)(1-\xi) - \xi\epsilon}{(1-\xi)^2} C_{L,q}(\xi, z, \epsilon) = \frac{4\xi z(1-z)^2}{(1-z-\xi\epsilon)^2}.$$
(4.4)

Processes involving an incoming gluon are not expected to give terms that are non-analytic at  $\epsilon = 0$ , and therefore we do not consider them as a source of power corrections. The kinematic variables that give the momenta according to (3.5) are now

$$z_{0} = 2z - 1 + (1 - z)/\xi - \epsilon$$
  

$$z_{3} = 1 - (1 - z)/\xi + \epsilon$$
  

$$\bar{z}_{0} = 1 - 2z + z/\xi + \epsilon$$
  

$$\bar{z}_{3} = 1 - z/\xi - \epsilon$$
  

$$z_{\perp} = 2\sqrt{z(1 - z)(1 - \xi)/\xi - \epsilon z} .$$
  
(4.5)

Thus the phase space region is now  $0 < z < 1 - \epsilon \xi/(1-\xi)$ , as illustrated in Fig. 3. The regions A, ... D defined above in terms of the signs of  $z_3$  and  $\bar{z}_3$  are as indicated.

The corresponding characteristic function for the mean value of some event shape variable S is given by (3.7) with  $F_i^{(S)}(x)$  replaced by  $(C_F/2\pi)\mathcal{F}_i^{(S)}(x,\epsilon)$  where

$$\mathcal{F}_i^{(S)}(x,\epsilon) = \int_x^1 \frac{d\xi}{\xi} \int_0^1 dz S(\xi,z,\epsilon) C_{i,q}(\xi,z,\epsilon) \\ \times \Theta(1-z-\xi+\xi z-\epsilon\xi) q(x/\xi) . \quad (4.6)$$

Note that for brevity we have extracted the overall factor of  $C_F/2\pi$ . The expressions for the shape variables  $S(\xi, z, \epsilon)$  are as given in Table 1, but with the kinematic variables now given by (4.5) instead of (3.6).

Finding the leading non-analytic term in the behaviour of the integral (4.6) as  $\epsilon \rightarrow 0$ , differentiating as instructed

in (4.2), and inserting the result in (4.1), we obtain the corresponding predicted power correction. Generally speaking, the small- $\epsilon$  behaviour of the characteristic function is dominated by the region around the boundary point P in Fig. 3, and therefore the leading power correction is independent of whether we normalize the shape variable to Q/2 or to the energy in the current hemisphere.

Following [8], we may express the magnitudes of power corrections in terms of the moment integrals

$$A_{2p} = \frac{C_F}{2\pi} \int_0^\infty \frac{d\mu^2}{\mu^2} \,\mu^{2p} \,\delta\alpha_{\rm eff}(\mu^2) \,, \qquad (4.7)$$

which vanish for integer p, and their p-derivatives

$$A'_{2p} = \frac{C_F}{2\pi} \int_0^\infty \frac{d\mu^2}{\mu^2} \,\mu^{2p} \,\log\mu^2 \,\delta\alpha_{\rm eff}(\mu^2) \,, \qquad (4.8)$$

which are in general non-vanishing for any p. The leading corrections to the event shapes we are considering correspond to  $p = \frac{1}{2}$ , and therefore, on the assumption that  $\delta \alpha_{\text{eff}}$  is universal, they can all be expressed in terms of the two non-perturbative parameters  $A_1$  and  $A'_1$ . Studies of event shapes in  $e^+e^-$  annihilation suggest that  $A_1 \simeq 0.25$ GeV [8], with  $A'_1$  as yet undetermined.

As an alternative representation of the magnitudes of power corrections, we may adopt the approach of [7] and express them directly in terms of moments of  $\alpha_{\text{eff}}$  over the infrared region. We substitute for  $\delta \alpha_{\text{eff}}$  in (4.7)

$$\delta \alpha_{\rm eff}(\mu^2) \simeq \alpha_{\rm eff}(\mu^2) - \alpha_s^{\rm PT}(\mu^2) , \qquad (4.9)$$

where  $\alpha_s^{\rm PT}$  represents the expression for  $\alpha_s$  corresponding to the part already included in the perturbative prediction. As discussed in [7], if the perturbative calculation is carried out to second order in the  $\overline{\rm MS}$  renormalization scheme, with renormalization scale  $\mu_{\rm R}^2$ , then we have

$$\alpha_s^{\rm PT}(\mu^2) = \alpha_s(\mu_{\rm R}^2) + [b\ln(\mu_{\rm R}^2/\mu^2) + k] \,\alpha_s^2(\mu_{\rm R}^2) \qquad (4.10)$$

where  $(C_A = 3)$ 

$$b = \frac{11C_A - 2f}{12\pi}$$
,  $k = \frac{(67 - 3\pi^2)C_A - 10f}{36\pi}$ . (4.11)

The constant k comes from a change of scheme from  $\overline{\text{MS}}$  to the more physical scheme [25] in which  $\alpha_{\text{eff}}$  is defined. Then above some infrared matching scale  $\mu_{\text{I}}$  we assume that  $\alpha_{\text{eff}}(\mu^2)$  and  $\alpha_s^{\text{PT}}(\mu^2)$  approximately coincide, so that

$$A_{1} \simeq \frac{C_{F}}{2\pi} \int_{0}^{\mu_{1}^{2}} \frac{d\mu^{2}}{\mu^{2}} \mu \left( \alpha_{\text{eff}}(\mu^{2}) - \alpha_{s}(\mu_{\text{R}}^{2}) - [b \ln(\mu_{\text{R}}^{2}/\mu^{2}) + k] \alpha_{s}^{2}(\mu_{\text{R}}^{2}) \right)$$
  
$$= \frac{C_{F}}{\pi} \mu_{\text{I}} \left( \bar{\alpha}_{0}(\mu_{\text{I}}) - \alpha_{s}(\mu_{\text{R}}^{2}) - [b \ln(\mu_{\text{R}}^{2}/\mu_{1}^{2}) + k + 2b] \alpha_{s}^{2}(\mu_{\text{R}}^{2}) \right), \quad (4.12)$$

where

$$\bar{\alpha}_0(\mu_{\rm I}) \equiv \frac{1}{\mu_{\rm I}} \int_0^{\mu_{\rm I}} \alpha_{\rm eff}(\mu^2) \, d\mu \;.$$
 (4.13)

Thus in this notation the value of  $A_1$  determines the average value of the effective coupling below the matching scale  $\mu_{\rm I}$ . The dependence of  $\bar{\alpha}_0$  on  $\mu_{\rm I}$  is partially compensated by the  $\mu_{\rm I}$ -dependence of the other terms on the right-hand side of (4.12). The dependence on the renormalization scale  $\mu_{\rm R}^2$  should help to compensate the scale dependence of the perturbative part. Notice that if we take  $\mu_{\rm R}^2 \propto Q^2$  then  $A_1$  has a logarithmic dependence on  $Q^2$ . In general we do expect 'power' corrections to have additional logarithmic  $Q^2$ -dependence (anomalous dimensions), but this cannot yet be calculated reliably for event shapes.

In [7] it was found that the formula (4.12) with  $\mu_{\rm R}^2 = Q^2$ ,  $\mu_{\rm I} = 2$  GeV and  $\bar{\alpha}_0(2$  GeV) = 0.52 gave good agreement with  $e^+e^-$  event shape data. Similar results were obtained in [13].

#### 4.1 Current jet thrust

In the case of the shape variables  $\tau_Q$  or  $\tau_E$ , the behaviour of the expression (4.6) for the transverse contribution  $\mathcal{F}_T^{(\tau)}(x,\epsilon)$  as  $\epsilon \to 0$  is found to be of the form

$$\mathcal{F}_T^{(\tau)}(x,\epsilon) \sim \mathcal{F}_T^{(\tau)}(x,0) - 8\sqrt{\epsilon} q(x) , \qquad (4.14)$$

while the longitudinal part  $\mathcal{F}_{L}^{(\tau)}$  is less singular at  $\epsilon = 0$ . Thus from (3.7), (4.1) and (4.7) we obtain the leading non-perturbative contribution

$$\delta \langle \tau \rangle \sim 4 \frac{A_1}{Q} .$$
 (4.15)

The behaviour (4.14) at small  $\epsilon$  follows from the fact that the derivative  $\dot{\mathcal{F}}_T^{(\tau)}$  is dominated by the phase space boundary  $z = 1 - \epsilon \xi / (1 - \xi)$ :

$$\dot{\mathcal{F}}_{T}^{(\tau)}(x,\epsilon) \sim \epsilon \int_{x}^{1} d\xi \int_{0}^{1} dz \tau(\xi) C_{i,q}(\xi,z,0) \\ \times \delta(1-z-\xi+\xi z-\epsilon\xi) q(x/\xi) . (4.16)$$

From Table 1 and (4.5), on this boundary we have

$$\tau(\xi, z, 0) = (1 - \xi)/\xi \text{ for } \xi > \xi_P , = (1 - z)/\xi \text{ for } \xi < \xi_P$$
(4.17)

where  $\xi_P = 1/(1 + \sqrt{\epsilon})$ . Thus

$$\dot{\mathcal{F}}_{T}^{(\tau)}(x,\epsilon) \sim \int_{x}^{\xi_{P}} \frac{d\xi}{\xi} \,\epsilon \,\frac{1+\xi^{2}}{(1-\xi)^{2}} \,q\left(\frac{x}{\xi}\right) \\ + \int_{\xi_{P}}^{1} \frac{d\xi}{\xi} \,\frac{1+\xi^{2}}{\xi} \,q\left(\frac{x}{\xi}\right) \sim \,4\sqrt{\epsilon} \,q(x) \,, \qquad (4.18)$$

in agreement with (4.14).

Numerical predictions for the mean value of the current jet thrust in ep scattering at  $\sqrt{s} = 296$  GeV are shown in Fig. 4 as a function of Q for various values of x. The MRS A' parton distributions [26] were used, with



Fig. 4. Predictions for the mean value of the current jet thrust in deep inelastic scattering. Left- and right-hand plots are for the two definitions (2.2) and (2.3), respectively. *Dashed:* leading-order perturbation theory. *Solid:* leading order plus leading power correction. In each case the four curves (top to bottom) are for x = 0.003, 0.01, 0.03, 0.10

the corresponding value  $\Lambda_{\overline{\rm MS}}^{(4)} = 231$  MeV in the two-loop expression for  $\alpha_s(Q^2)$ . The leading-order perturbative predictions given by (3.7) are shown by the dashed curves. For the power correction coefficient  $A_1$  we used (4.12) with  $\mu_{\rm R}^2 = Q^2$ ,  $\mu_{\rm I} = 2$  GeV and  $\bar{\alpha}_0(2$  GeV) = 0.52, as in the fits to  $e^+e^-$  data, but we omitted the term of order  $\alpha_s^2$ because we are combining with only a first-order perturbative calculation in this paper. When the higher-order prediction becomes available, the  $\mathcal{O}(\alpha_s^2)$  term in (4.12) should be included when estimating the power correction to it.

The resulting overall predictions are shown by the solid curves. We see that the estimated power correction is substantial, 20-30% at Q = 50 GeV and dominating below 15 GeV. There is significant x-dependence in the perturbative prediction, and large-x data (x > 0.01) are required to cover the region where the power correction is under control. With sufficient data in the range Q = 15 - 50 GeV, however, it should be possible to perform a two-parameter fit to determine  $\alpha_s$  and  $\bar{\alpha}_0$  from the average current jet thrust.

#### 4.2 Current jet broadening

For the jet broadening  $B_Q$  or  $B_E$  we find a slightly different behaviour at small  $\epsilon$ , namely

$$\mathcal{F}_T^{(B)}(x,\epsilon) \sim \mathcal{F}_T^{(B)}(x,0) + 8\sqrt{\epsilon} \left(\ln \epsilon + c\right) q(x) , \quad (4.19)$$

where c is a constant (probably *x*-independent) which we cannot determine reliably. This implies a non-perturbative correction of the form

$$\delta \langle B \rangle \sim 4 \frac{A_1}{Q} (\ln Q^2 - c - 2) - 4 \frac{A'_1}{Q} .$$
 (4.20)



Fig. 5. Predictions for the mean value of the current jet broadening in deep inelastic scattering. Left- and right-hand plots are for the two definitions (2.4) and (2.5), respectively. Curves as in Fig. 4

Since we do not know the value of c, we may as well absorb all the non-logarithmic terms into an unknown scale,  $Q_0$ :

$$\delta \langle B \rangle = 8 \frac{A_1}{Q} \ln(Q/Q_0) . \qquad (4.21)$$

We find that, in contrast to the situation for the thrust, the result (4.19) is only obtained when one includes the gluon mass explicitly in the definition of the jet broadening. This is because, unlike the thrust, the broadening vanishes on the phase-space boundary  $z_{\perp} = 0$ . If we neglect the gluon mass in the definition, this is not the case and an expression analogous to (4.16) is obtained, which gives

$$\dot{\mathcal{F}}_{T}^{(B)}(x,\epsilon) \sim \sqrt{\epsilon} \int_{x}^{\xi_{P}} \frac{d\xi}{1-\xi} \frac{\xi^{2}+1}{\xi} q\left(\frac{x}{\xi}\right) +2\sqrt{\epsilon} \int_{\xi_{P}}^{1/(1+\epsilon)} \frac{d\xi}{1-\xi} \frac{\xi^{2}+1}{\xi} q\left(\frac{x}{\xi}\right) .$$
(4.22)

We then find

$$\dot{\mathcal{F}}_T^{(B)}(\epsilon) \sim -3\sqrt{\epsilon} \left(\ln \epsilon + c\right), \qquad (4.23)$$

corresponding to a coefficient of 6 instead of 8 in (4.21). Thus the correct form is obtained, but the full mass-dependence must be retained to compute the coefficient.

The fact that the magnitude of the leading power correction to the jet broadening is sensitive to the gluon massdependence in its definition suggests to us that the prediction for this shape variable less reliable than that for the thrust. As pointed out in [9], shape variables are not fully inclusive with respect to the fragmentation of the gluon: their values for the 'decay products' of a timelike virtual gluon are not necessarily equal to those for a 'real' gluon of equivalent mass. In the case of the thrust, model studies suggest that the numerical effect of this on the leading power correction is small, but we expect it to be larger for variables that depend explicitly on the gluon mass.



Fig. 6. Predictions for the mean value of the current jet mass in deep inelastic scattering. Left- and right-hand plots are for the two definitions (2.6) and (2.9), respectively. Curves as in Fig. 4

Numerical predictions for the current jet broadening at HERA are shown in Fig. 5, using the same parameter values as before to compute  $A_1$  and, for definiteness,  $Q_0 = \mu_{\rm I} = 2$  GeV in (4.21). We see that the resulting power corrections are large. As we have stressed above, they are also more uncertain in this case, suggesting that jet broadening in DIS is not a good shape variable for  $\alpha_s$ determinations.

#### 4.3 Current jet mass

Next we consider the power corrections to the jet mass  $\rho_Q$  or  $\rho_E$ . We notice from Table 1 that there is no explicit gluon mass dependence in the definition of these variables, and there is no contribution outside the phase space region A.<sup>1</sup> Inside this region we have in fact  $\rho_Q = \tau_Q$ . Thus the jet mass receives a contribution from the second integral only in (4.18). This gives exactly one half of the correction to the thrust and so one finds that

$$\mathcal{F}_T^{(\rho)}(x,\epsilon) \sim \mathcal{F}_T^{(\rho)}(x,0) - 4\sqrt{\epsilon} q(x) \qquad (4.24)$$

at small  $\epsilon$ , which implies a non-perturbative correction

$$\delta \left\langle \rho \right\rangle \sim 2 \frac{A_1}{Q} \ .$$
 (4.25)

The numerical predictions for the current jet mass, shown in Fig. 6, suggest that this is a good variable for  $\alpha_s$ determinations. The power correction is somewhat larger than that for the thrust, relative to the perturbative prediction (cf. Fig. 4), but there is less x dependence in the latter.

### 4.4 C-parameter

Finally we compute the power correction to  $C_Q$  or  $C_E$ . Here again we see from Table 1 that that there is no con-



Fig. 7. Predictions for the mean value of the C-parameter in deep inelastic scattering. Left- and right-hand plots are for the two definitions (2.7) and (2.9), respectively. Curves as in Fig. 4

tribution outside the phase space region A. However in this case the variable, unlike the jet mass, does depend explicitly on the gluon mass. From a full evaluation retaining this mass dependence we find the small- $\epsilon$  behaviour

$$\mathcal{F}_T^{(C)}(x,\epsilon) \sim \mathcal{F}_T^{(C)}(x,0) - 24\pi\sqrt{\epsilon}\,q(x) , \qquad (4.26)$$

corresponding to a leading non-perturbative contribution

$$\delta \left\langle C \right\rangle \sim 12\pi \frac{A_1}{Q} \ .$$
 (4.27)

If one uses the massless gluon expression for C, one obtains

$$\dot{\mathcal{F}}_{T}^{(\tau)}(x,\epsilon) \sim 12\epsilon \int_{1/(1+\sqrt{\epsilon})}^{1/(1+\epsilon)} \frac{\xi^{2}+1}{\xi^{2}} \frac{(2\xi-1)^{2}(1-\xi)}{1-\xi+\epsilon(1-2\xi)} \times \frac{d\xi}{(1-\xi)^{2}+\epsilon\xi(2\xi-1)} \sim 6\pi\sqrt{\epsilon} , \qquad (4.28)$$

which corresponds to one-half of the full result. We would argue again that this sensitivity to the gluon mass-dependence of the definition suggests that the prediction for the C-parameter is less reliable than that for the thrust and jet mass.

The numerical results, Fig. 7, show that the estimated power correction is very large in this case, dominating over the perturbative prediction even at Q = 50 GeV. The size and uncertainty in the correction suggest that, like the jet broadening, the *C*-parameter is not a good variable for determining  $\alpha_s$ .

## 5 Summary

In this paper we have investigated several infrared-safe variables which characterize the shapes of DIS final states in the current hemisphere of the Breit frame, where one avoids as far as possible complications associated with the target remnant. We have presented numerical predictions to leading order in perturbation theory, together

<sup>&</sup>lt;sup>1</sup> There is a contribution  $\epsilon$  in region C, but since this is analytic it does not contribute to the power correction

with estimates of leading non-perturbative power corrections, which are predicted to be proportional to 1/Q, modulo logarithmic Q-dependence. The assumption of an approximately universal low-energy effective coupling allowed us to relate the magnitudes of the corrections to those in  $e^+e^-$  annihilation. We found that they are expected to be largest, and most uncertain, for the current jet broadening and C-parameter, and so these observables are probably not suitable for determination of the perturbative strong coupling  $\alpha_s$ . The current jet thrust and mass should have power corrections that are smaller and under better control. When higher-order predictions for these quantities are available, our predictions of the power corrections can also be refined, and it should be possible to measure both  $\alpha_s$  and the relevant non-perturbative parameter,  $\bar{\alpha}_0(\mu_1)$  in (4.12), from these quantities.

Acknowledgements. M.D. acknowledges the financial support of Trinity College, Cambridge. We thank S. Catani, Yu.L. Dokshitzer, G. Marchesini, H.-U. Martyn and E. Mirkes for helpful conversations.

## References

- H1 Collaboration, T. Ahmed et. al., Phys. Lett. **346B** (1995) 415; ZEUS Collaboration, M. Derrick et al., Phys. Lett. **363B** (1995) 201
- J.G. Körner, E. Mirkes and G. Schuler, Int. J. Mod. Phys. A4 (1989) 1781; T. Brodkorb, J.G. Körner, E. Mirkes, and G. Schuler, Zeit. Phys. C44 (1989) 415; T. Brodkorb and J.G. Körner, Zeit. Phys. C54 (1992) 519; T. Brodkorb and E. Mirkes, Zeit. Phys. C66 (1995) 141; D. Graudenz Phys. Lett. 256B (1992) 518, Phys. Rev. D49 (1994) 3291
- S. Catani, Y.L. Dokshitzer and B.R. Webber, Phys. Lett. 285B (1992) 291
- E. Mirkes and D. Zeppenfeld, Acta Phys. Polon. B27 (1996) 1393, Nucl. Phys. Proc. Suppl. 51C (1996) 273, TTP-96-30 [hep-ph/9608201], MADPH-96-961 [hepph/9609274]
- S. Catani and M.H. Seymour, Nucl. Phys. B485 (1997) 291, CERN-TH/96-239 [hep-ph/9609237], CERN-TH/96-240 [hep-ph/9609521]
- 6. B.R. Webber, Phys. Lett. **339B** (1994) 148
- 7. Yu.L. Dokshitzer and B.R. Webber, ibid.  ${\bf 352B}$  (1995) 451
- Yu.L. Dokshitzer, G. Marchesini and B.R. Webber, Nucl. Phys. B469 (1996) 93
- P. Nason and M.H. Seymour, Nucl. Phys. B454 (1995) 291

- G.P. Korchemsky and G. Sterman, in Proc. 30th Rencontres de Moriond, Meribel-les-Allues, France, 1995 [hep-ph/9505391]; R. Akhoury and V.I. Zakharov, Phys. Lett. **357B** (1995) 646, Nucl. Phys. **B465** (1996) 295; V.M. Braun, NORDITA-96-65P [hep-ph/ 9610212]; M. Beneke, SLAC-PUB-7277 [hep-ph/9609215]
- For reviews and classic references see V.I. Zakharov, Nucl. Phys. B385 (1992) 452 and A.H. Mueller, in QCD 20 Years Later, vol. 1 (World Scientific, Singapore, 1993)
- G. Grunberg, Phys. Lett. **372B** (1996) 121, CPTH-PC463-0896 [hep-ph/9608375]; D.V. Shirkov and I.L. Solovtsov, Dubna preprint, April 1996 [hep-ph/9604363]
- DELPHI Collaboration, P. Abreu et al., Zeit. Phys. C73 (1997) 229
- M. Dasgupta and B.R. Webber, Nucl. Phys. B484 (1997) 247; M. Beneke, V.M. Braun and L. Magnea, SLAC-PUB-7274 [hep-ph/9609266], CERN-TH/96-362 [hep-ph/9701309]
- E. Stein, M. Meyer-Hermann, L. Mankiewicz and A. Schäfer, Phys. Lett. **376B** (1996) 177; M. Meyer-Hermann, M. Maul, L. Mankiewicz, E. Stein and A. Schäfer, Phys. Lett. **383B** (1996) 463, ibid. **393B** (1997) 487 (E); M. Maul, E. Stein, A. Schäfer and L. Mankiewicz, TUM-T39-96-29 [hep-ph/9612300]; M. Dasgupta and B.R. Webber, Phys. Lett. **382B** (1996) 273
- B.R. Webber in Proc. Workshop on Deep Inelastic Scattering and QCD, Paris, 1995 [hep-ph/9510283]
- K.H. Streng, T.F. Walsh and P.M. Zerwas, Zeit. Phys. C2 (1979) 237
- 18. R.D. Peccei and R. Rückl, Nucl. Phys. B162 (1980) 125
- L.V. Gribov, Yu.L. Dokshitzer, S.I. Troyan and V.A. Khoze, Sov. Phys. JETP 68 (1988) 1303
- ZEUS Collaboration, M. Derrick et al., Zeit. Phys. C67 (1995) 93; H1 Collaboration, S. Aid et al., Nucl. Phys. B445 (1995) 3
- S. Catani, G. Turnock and B.R. Webber, Phys. Lett. 295B (1992) 269
- R.K. Ellis, D.A. Ross and A.E. Terrano, Nucl. Phys. B178 (1981) 421
- M. Beneke, V.M. Braun and V.I. Zakharov, Phys. Rev. Lett. **73** (1994) 3058; P. Ball, M. Beneke and V.M. Braun, Nucl. Phys. **B452** (1995) 563; M. Beneke and V.M. Braun, Nucl. Phys. **B454** (1995) 253
- M.A. Shifman, A.I. Vainstein and V.I. Zakharov, Nucl. Phys. B147 (1979) 385, 448, 519; Vacuum Structure and QCD Sum Rules: Reprints, ed. M.A. Shifman (North-Holland, 1992: Current Physics, Sources and Comments, v. 10)
- S. Catani, G. Marchesini and B.R. Webber, Nucl. Phys. **B349** (1991) 635
- 26. A.D. Martin, R.G. Roberts and W.J. Stirling, Phys. Rev. D50 (1994) 6734