## **ORIGINAL PAPER**



# **Efects of grain morphology on sufusion susceptibility of cohesionless soils**

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## **Abstract**

Transporting fner fractions inside the soil skeleton or the erosion of base soils within the flter are the two main challenges for earthen hydraulic structures, their foundations, and flter design. Soil particle morphology could infuence pore size distribution and transport of fine grains; however, there is not sufficient knowledge on the effect of grain shape on internal erosion. Some experiments designed and conducted in the present study to evaluate the sufusion potential of aggregates with various shapes and diferent gradations. Particles with six types of grain morphologies and fve gradations were collected, and 26 tests were performed. Furthermore, using 3D image processing and visual comparison, particle shape assessed in terms of three features, including sphericity, roundness, and roughness. Results indicated that particle shape infuences fow rate, washed-out fne grains in permeameter wall, vertical strain, and mass loss. An increase in the sphericity and roundness causes an increase in the loss of fne grains, pipe in cell sidewall, and vertical strain. Concerning the particle regularity as an indicator of grain morphology, it was demonstrated that the grains with lower regularity are more resistant to sufusion, and thus the resistance to sufusion would decrease with particle regularity. Spherical glass bead and rounded/ medium sphericity specimens were more prone to sufusion at an equivalent or even lower hydraulic gradient than the soil samples with angular/low sphericity grains.

Keywords Internal instability · Suffusion · Suffusion/volume change · Particle shape · Grain morphology · Sphericity · Roundness · Roughness · Regularity

#### **Notations**



- D Sieve size (mm)
- Curvature coefficient
- Uniformity coefficient
- Filter ratio of the components
- *D*<sup>15</sup><sub>15</sub><br>*d*<sub>85</sub><br>*d*<sub>85</sub> Grain size corresponding to 85% fner in the fner fraction
- $D^{'}_{15}$ Grain size corresponding to 15% finer in the coarser fraction
- F Percentage smaller than D, mass passing
- H Mass percentage between size D and 4D, mass increment
- *Gr* Gap ratio
- P Portion of particles finer than 0.063 mm

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 $d_0$  Mean pore diameter<br>  $d_1$  Minimum diameter Minimum diameter of pores a Shape coefficient, shape factor *dmin* Minimum grain diameter *n* Porosity *Dh* Efective diameter R Wadell's roundness S Inscribed-circumscribed sphere ratio *d*<sub>*i*−*s*</sub> Diameters of the inscribed sphere *d<sub>c−s</sub>* Diameters of the circumscribed sphere ρ Regularity

## **1 Introduction**

Internal erosion is identifed as a major cause of damage and failures of fuvial levees, landslide dams, and embankment dams [[1](#page-17-0)]. Transport of fne particles within an earthen structure or its alluvial foundation by the seepage is one of the mechanisms that initiate the erosion [[2](#page-17-1)]. Soil assemblies contain coarse and fne fractions, forming the soil skeleton; if fner particles can move freely through

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pores between larger particles, that fabric is an internally unstable grading  $[3-5]$  $[3-5]$  $[3-5]$ .

The silt–sand-gravel mixture, glacial tills, fuvioglacial, and alluvial sediments are prone to internal erosion [[6](#page-17-4)]. Internal erosion in research works is described using three terms: suffusion, suffosion, and suffossion  $[7, 8]$  $[7, 8]$  $[7, 8]$  $[7, 8]$ . Even if suffossion seems to be the relevant word from an etymo-logical point of view [\[7\]](#page-17-5), it is not used by the scientific community.

The detachment of fne particles of internally unstable soil and their transport in the coarse matrix could be nondestructive (sufusion) or result in soil structural collapse leading to the failure of earthen structures (sufosion or suffusion/volume change); in this case, the phenomenon is accompanied by a loss of mass, volumetric strain, and a change in permeability [[9–](#page-17-7)[12](#page-17-8)]. Nevertheless, some researchers have shown that the settlement observed with sufusion can improve the mechanical properties of the material [\[13](#page-17-9)]. There might be settlements at the earthen structure scale which are likely to be heterogeneous and can lead to locally developed open cracks. Conversely, sufusion can generate underlying mechanical instability which could lead to the hydraulic failure of soil structures in case of a change in the loading conditions [[14\]](#page-17-10).

In the evolution of internal erosion and sufusion, the physical characteristics of the soil structure and hydrodynamic conditions play signifcant roles. Factors controlling soil structure include particle morphology (particle size distribution, PSD, and particle shape), density, porosity, and constriction size distribution (CSD) [[15,](#page-17-11) [16](#page-17-12)]. Further, in internally unstable soils, a hydromechanical condition must also be prepared to initiate either the sufusion or sufusion/ volume change mechanism [[16\]](#page-17-12). Effective factors in hydrodynamic conditions include seepage velocity, hydraulic

gradient, discharge fow direction, efective surcharge or confnement stresses, and leakage duration [\[17](#page-17-13)[–21](#page-18-0)].

The internal stability geometrical criteria can be categorized into two general groups. The frst group is a function of the shape of the PSD curve and inspects the probability of fltering the fner portion by coarser one in the soil (self-fltering), and the second group compares the equivalent diameter of the soil pores and diameter of the smallest particle. Most of the proposed methods in the frst group are directly or indirectly dependent upon the shape or slope of the particle size distribution curve [[7](#page-17-5), [18](#page-17-14), [22](#page-18-1)]. Table [1](#page-1-0) depicts a set of the common geometrical criteria related to internal stability.

In the second group of geometrical criteria named capillary tube model, the possibility of movement of fne grains within the pores of coarser particles due to the seepage force is studied  $[23]$ . Where the mean diameter of pores between coarser fraction  $(d_0)$  is less than the minimum grain diameter  $(d_0 \le d_{min}$  *and*  $d_{min} = d_{85}^f$ , the grains would not move into an adjacent layer or within the layer [\[18](#page-17-14), [23](#page-18-2)[–26](#page-18-3)].

The Kovacs criterion [[23](#page-18-2)] considers the infuence of the morphological properties via the shape coefficient. The shape coefficient for granular soil is commonly calculated by theoretical formulas or approximated by comparing the grain shape with regular geometric shapes, such as sphere and polyhedron [[27\]](#page-18-4). This factor is suggested to be equal to 6, 7–9, 9–11, and 20, respectively, for spheroid, rounded, angular, and laminated particles [[23\]](#page-18-2). Thus, particle morphology probably has a signifcant contribution to sufusion [[8\]](#page-17-6).

The satisfaction of geometrical, stress, and hydraulic criteria are necessary for the occurrence of sufusion [\[2](#page-17-1)]. Geometrical criteria for the assessment of stability are only useful for sufusion susceptibility assessment, and for precise evaluation of sufusion occurrence, there is a need for

<span id="page-1-0"></span>**Table 1** Common proposed geometrical criteria for internal instability

References	Criteria		Definition	
<i>Istomina</i> [28]	$Cu \leq 10$ : internally stable	$10 \leq Cu \leq 20$ : transition zone $Cu \geq 20$ : internally unstable	$C_{U}$ : uniformity coefficient	
$Kezdi$ [17]		$(d^{c}_{15}/d^{f}_{85})_{max} \leq 4$ : internally stable	$dc_{15}$ : particle size corresponding to 15% smaller in the coarser fraction $d'_{85}$ : 85th percentile of particle size in the finer fraction	
Kenney and Lau [29]	$(H/F)_{min} \geq 1.0$ : internally stable		$F$ : percentage smaller than arbitrary $D$ $H$ : mass increment between size $D$ and $4D$	
Burenkova [30]		$0.76 \log(h'') + 1 < h' < 1.68 \log(h'') + 1$ : internally stable	$h'' = d_{90}/d_{15}$ , $h' = d_{90}/d_{60}$	
Wan and Fell [18]		$30\log(d_{\rm q}/d_{\rm 60}) < 80$ , or $30\log(d_{\rm q}/d_{\rm 60}) < 80$ and 15/ $log(d_{20}/d_5)$ > 22 internally stable		
Chang and Zhang [31]	P < 10	$G_{r}$ < 3	P: fines content	
	10 < P < 35	$G_r < 0.3P$	Gr: gap ratio	
	P > 35	<b>Stable</b>	$G_r = d_{max}/d_{min}$ which $d_{max}$ and $d_{min}$ : maximum and minimum grain diameter in the gap, respectively	
Kovacs $[23]$	$d_0 = \frac{4n}{1-n} \frac{D_h}{\alpha}$ and $d_1 = \frac{2}{3} d_0 d_0 \leq d_{min}$ and $d_{min} = d_{85}^f$		$d_1$ : minimum diameter of pore: shape factor, $D_h$ : effective diameter, n: porosity	

performing tests on the soil samples. Onset internal instability occurrence can be divided into two general groups; loss of smaller grains and subsequent phenomena, and the hydromechanical effects, either lonely or collectively. The former might lead to increase in hydraulic conductivity, and change in the local hydraulic gradient.

Seepage-induced mass loss and sample deformation are commonly used to quantify internal instability [[9](#page-17-7)]. There is no specifc quantitative technique to determine whether the soil is internally unstable just by considering mass loss or sample deformation [[31](#page-18-8)]. In this regard, there are some considerations presented in the following:

– Visual observations of particle loss, violent piping of fnes, or visible mass loss in plexiglas sidewall [[18](#page-17-14), [32,](#page-18-9) [33](#page-18-10)].

 The lost mass of fne particles or rate of loss of fner particles, when the criterion is the loss of fne grains exceeding 1 g.m<sup> $-2$ </sup> per second during fine grains exit [[32,](#page-18-9) [34](#page-18-11)]. The minimum variation of initial grading curve and grading after test, as fraction fushed out, of internally unstable soils is about 7% in the Kenney and Lau's tests [[3\]](#page-17-2). Skempton and Brogan [\[32\]](#page-18-9), in one of their experiments, mentioned that the soil with a 5.6% mass loss was unstable. If the mass loss is higher than 3% of the total sample dry mass and flter velocity is continuously increasing without changing the hydraulic gradient, the sample can be evaluated as internally unstable [[35\]](#page-18-12). In the tests conducted by Douglas et al., a sample with a mass loss of more than 5% or between 1% and 5% of the total sample dry mass, but  $\geq 10\%$  of the finer fraction dry mass, was classifed as major erosion [[6\]](#page-17-4). Further, one of their samples, with the amount of erosion exceeding 2.2% of the total sample mass and more than 8.0% of finer fraction, was categorized as suffusive  $[6]$  $[6]$ .

 Change in sample volume or length, and the limit of about 1.2% deformation in the sample, is used for identifying the unstable soils during internal erosion [[36](#page-18-13)]. Sample deformation equal to 2–5% corresponds to about 8–13% of mass loss in the experiments [\[37](#page-18-14)]. This value is 1% in other experiments corresponding to about 4% loss of fne particles, which can be considered the boundary value of washed-out grain to distinguish stable soils from unstable ones [[31](#page-18-8)]. This depends on whether the soil is simply sufusive (seepage-induced mass loss) or suffusive with volume change (deformation/settlement accompanied by mass loss) [[9\]](#page-17-7).

 Hydromechanical considerations are often measured by hydraulic gradient and fow rate. First, it is presumed that a change in local permeability occurs together with the loss of fne grains [[9](#page-17-7)]. Further, the flow rate may be used as an indicator of suffusion progress [[38](#page-18-15)]. When the soil permeability increases gradually or rapidly, sufusion happens in the presence of increased permeability, higher seepage velocities, which might accelerate the rate of suffusion  $[21]$  $[21]$  $[21]$ . Generally, the fndings can be mentioned as below:

- A rapid increment occurs in the seepage velocity at a constant gradient [\[32\]](#page-18-9).
- The change of local hydraulic gradient values with time [[37](#page-18-14)].
- A change occurs in the net discharge fow weight, at a constant gradient, or variation in discharge-gradient relationship [[18](#page-17-14)].
- Visual observations, qualitatively, with a change of spatial and temporal local hydraulic gradient along the length of the soil sample and from axial deformation during a test, quantitatively, [[39](#page-18-16)].

The physical properties of the soil structure and hydrodynamic conditions are the factors governing internal stability. Few studies have investigated the infuence of grain morphology on suffusion, and it appears that there is no clear conclusion regarding the efect of particle morphology either in internal instability criteria or in the results of experiments performed to ascertain suffusion potential  $[8, 8]$  $[8, 8]$  $[8, 8]$ [40\]](#page-18-17). In capillary tube models [[23,](#page-18-2) [41](#page-18-18)[–43\]](#page-18-19), the shape factor is used to estimate the equivalent diameter of pores by empirical equations. This is due to the fact that an increase in the angularity of particles increases the shape factor and decreases the mean diameter of pores [[8](#page-17-6)].

Marot et al. (2012) performed sufusion tests on three types of sand with diferent roundness. They investigated the efect of grain angularity on grains' erosion rate. Their results indicated that an increase in the grain angularity caused a reduction in hydraulic conductivity and an increase in the suffusion resistance [\[44\]](#page-18-20).

Further, some studies have been performed on glass bead and sandy soils [[33,](#page-18-10) [40,](#page-18-17) [45](#page-18-21)[–47](#page-18-22)]. A study of four paired-tests on glass bead and sub-angular sand was reported [[45\]](#page-18-21). Their test results demonstrated that the spherical glass bead specimens are more susceptible to suffusion/volume change than the companion of subangular soil specimens. Consequently, particle shape is a factor governing the prone to sufusion/volume change and should be pondered when studying this phenomenon [[45](#page-18-21)].

In the current research, the efect of grain morphology on the sufusion susceptibility of soil samples was studied. Samples with six diferent shapes and fve diferent particle size distribution curves were prepared and under a downward fow, and a stepwise hydraulic gradient were performed.

## **2 Material**

## **2.1 Sample gradation**

In order to study the particle morphology efect on suffusion, experiments were carried out on internally stable, transitional, and internally unstable soils. All samples were made from two materials: glass bead with a glassy and rough texture and four soil particles with diferent shapes, including crushed, natural alluvial grain; and residual soils. Further, fve diferent grain size distributions were considered to study the efect of PSD. Therefore, a total of 26 samples were prepared and tested. These soil gradations consisted of a widely distributed, two upward concave gradations, and two gap-graded gradations. Selected PSD curves included:

- K gradation, a widely distributed, upward concave, and internally stable grading [[3](#page-17-2)]. The K gradation has already been studied and is an internally stable, widely distributed grading. Experiments were performed on sandy gravels in an apparatus with 125 mm diameter, and results showed no change in the gradation after the test  $[3]$  $[3]$  $[3]$ .
- In order to investigate the efect of grain shape on internal instability of gap graded soils, the test was performed on two gradations with diferent gap ratios (Gr), G3-13 [[48,](#page-18-23) [49](#page-18-24)], and GP-1 grading. Gap ratios of G3-13 and GP-1 grading were equal to 10 and 6.7, respectively. The portion of particles fner than 0.063 mm, P, was less than 10%, and these soils were unstable for  $Gr > 3$  [\[31](#page-18-8)].
- B gradation, an upward concave grading with a stable transitional zone predicted as internally stable by some criteria and judged as internally unstable according to

other criteria [[32\]](#page-18-9). The B gradation is a widely distributed grading with about 15% finer fraction,  $(H/F)_{min} = 0.98$ , a filter ratio of components  $D'_{15}/d'_{85} = 3.7$ , and a uniformity coefficient of C<sub>u</sub>=9.7. B gradation seemed to lie on a boundary between internal stability and instability. Nevertheless, with 37% of porosity, the specimen had a low relative density, and the experimental results showed that it is defnitely unstable [[32\]](#page-18-9).

– M1, an upward concave, and potentially internal unstable grading (see Table [3](#page-4-0)). The coarse fraction in M1 gradation was similar to that of B gradation but with a fatter slope in fner fraction.

PSD curves of particles are shown in Fig. [1](#page-3-0), and the gradation characteristics of each group are given in Table [2.](#page-4-1) The summary of internal stability/instability classifcation with proposed criteria is presented in Table [3.](#page-4-0)

## **2.2 Particle shape**

The effect of grain shape on suffusion susceptibility was studied by selecting fve diferent shapes with diferent geological and morphological properties.

Three distinct features can describe particle shape from macro to micro scales, including sphericity, roundness, and roughness [\[50–](#page-18-25)[53\]](#page-18-26). Besides, fatness and elongation ratio can be used to describe the overall particle form [[54](#page-18-27)].

Herein, particle sphericity (S), was measured using the 3D digitized particles from X-ray micro-computed tomography images.



<span id="page-3-0"></span>**Fig. 1** The particle size distribution of test materials

$$
S = \frac{d_{i-s}}{d_{c-s}}\tag{1}
$$

In which  $d_{i-s}$  and  $d_{c-s}$  are the inscribed sphere diameter and circumscribed sphere diameter, respectively. Particle sphericity class is assigned to the spherical (equant) and ellipsoid (elongated) particles (see Table [4](#page-4-2)), as suggested by Maroof et al. [\[55](#page-18-28)].

For all soil samples, The mean values of Wadell's roundness [[56\]](#page-18-29), R, were measured and classifed by the verbal scale proposed by Powers [\[57](#page-18-30)]. Further, surface roughness is classifed visually under six textural groups: very rough, rough, relatively rough, corroded, smooth, and glassy [\[55](#page-18-28)]. For faky and elongated particles, fatness and elongation ratio were also measured. Table [4](#page-4-2) indicates the number of particles analyzed for each grain shape concerning the classifcation/ description of particle shape.

Fig. [2](#page-5-0) illustrates photographs of coarser fraction and fner fraction for six types of particles. Besides, Fig. [3](#page-6-0) depicts SEM images of finer fraction for glass bead, rounded, crushed, faky, and elongated grains.

## **3 Experiments**

#### **3.1 Apparatus setup**

Internal stability was assessed by the sufusion permeameter device, as diagrammatically illustrated in Fig. [4](#page-6-1). Similar devices have also been used by other researchers, [\[3](#page-17-2), [6,](#page-17-4) [12,](#page-17-8)



† Glass bead with glassy surface and rough texture



*S: stable, U: unstable, T: transient*

#### <span id="page-4-2"></span>**Table 4** Particle shape classifcation/description

<span id="page-4-1"></span>**Table 2** Characteristics of test

<span id="page-4-0"></span>**Table 3** Summary of internal stability/instability classificati with proposed criteria

gradations



† *Attained qualitatively based on the visual comparisons, ‡ Sufusion tests on the B grading were performed on glassy and rough glass beads*

<span id="page-5-0"></span>

**Fig. 2** Images of coarser fraction and fner fraction. GB: glass bead, GB-Rou: rough glass bead, RO: rounded particle, CR: crushed aggregates, EL: elongated particle, FL: faky particle

<span id="page-6-0"></span>

<span id="page-6-1"></span>**Fig. 4** Test apparatus

[58](#page-18-31)], and devices that are able to impose a pre-stress [[32,](#page-18-9) [47,](#page-18-22) [59](#page-19-0), [60](#page-19-1)].

The permeameter is typically a plexiglas cell with an internal diameter of 91 mm and a height of 340 mm. The sample was located on a wire mesh screen that was placed on 10 mm diameter glass beads. Mesh size was selected so as allow the erosion of fner fraction and act as a flter for coarser fractions to remain in place. In this way, the overall structure of the soil would be preserved. Exit wire mesh with opening sizes of either 2.0 mm (soil types K and G3-13) or 1.18 mm (soil types B, M1, and GP-1) was used. The drainage layer at the top of the seepage cell consists of a 10 mm mono-size glass bead, supported with a perforated top plate.

The apparatus was able to perform the test in two states of downward and upward seepage fow and applying vertical stress under oedometric conditions. The funnel-shaped bottom of the device facilitates eroded grains collection. Glass bead layer on top of the sample causes a uniform fow to the sample surface [\[61\]](#page-19-2). Wire mesh at the bottom of the specimen was supported by a 15 mm glass bead layer, and the water level could be measured by the piezometer installed at the bottom of the sample. The gradient could be measured at diferent levels using copper or plastic tubes along with the sample height.

#### **3.2 Sample preparation and test method**

Concerning the possibility of segregation of grains and the movement of fner fraction within the pores during sample preparation; the samples were prepared using the moist tamping technique. Water was added to the soil samples with moisture content up to 3–7%. The samples were prepared in three layers that had a thickness of about 45 mm each and were compacted using a tamping rod to reach the relative density of 52–58%. After installation of the samples with medium density, a surcharge load equal to 25 kPa was gradually applied to the sample to allow settlement and formation of likely changes in the soil grains arrangement. The presence of this surcharge preserves efective positive stress inside the primary fabric [[29\]](#page-18-6).

Then, de-aired water enters the sample under a small head, and the sample percolates slowly upwards to recover the falling grains in the funnel-shaped area and also, reducing movement of the fne grains during specimen saturation. Reaching the water to the piezometer tube level, frst, a plastic or copper pipe is inserted about 2 cm into the samples as suggested in [[61\]](#page-19-2). After the water fows through the pipe, it is connected to a nylon tube linked to a glass piezometer. Water head upstream, downstream, and along the sample is measured using installed water piezometer outlets.

It has been known that in internally unstable soils, initiation of sufusion is dependent on the hydraulic gradient [[62\]](#page-19-3). Under a multi-stage hydraulic gradient, the amount of erosion is afected by the applied hydraulic gradient and time of each step. Furthermore, two conditions are necessary to perform a suffusion test: stabilization of hydraulic conductivity and providing erosion process [\[48,](#page-18-23) [63\]](#page-19-4). Thus, samples are subjected to downward seepage flow with a constant head and multi-stage hydraulic gradients varying from 0.15 to 8.0. The test duration per gradient is variable from 30 min to 3 h. For each gradient, the test was stopped after a lack of observing erosion of the grains and also observing a constant discharge fow and no changes in the hydraulic gradient.

The vertical strain was calculated by measured vertical deformation of the sample. Eroded particles from the samples at the end of each applied gradient were collected on the 0.075 mm mesh at the downstream basin. After the test, each sample was divided into three layers with predefned height, and each layer portion was re-graded.

## **4 Results**

Sufusion and sufusion/volume change are described by seepage-induced loss of fne particles, reduction in volume, and variation of permeability. In the current study, mass loss, vertical strain, and change in fow rate were reported. Furthermore, visible migration of fne particles through the wall of the permeameter can be utilized to qualitatively describe the occurrence of internal instability.

#### **4.1 Visual observation**

Visual observations were used to describe the occurrence of fne migration through the wall of the plexiglas during the tests and after each applied hydraulic gradient.

The time that washes out of fne particles was observed since the start of fne erosion and the total test duration presented in Table [5](#page-8-0). Often, fner fraction erosion was observed temporarily after each increase in the gradient. In internal stable and transient samples (K and B) the erosion time, since the start of fne erosion increases in a higher hydraulic gradient. Nevertheless, in the internally unstable sample (M1, G3-13, and GP-1), the sufused time was greater for low hydraulic gradients and was reduced for  $i_{av}=3.0$  and  $i_{av}=8.0$ .

Moreover, the internal instability occurrence is demonstrated qualitatively. Visual descriptions of particle movement at each stage were recorded. Fine-grain washed-out indications at the plexiglas sidewall were: localized erosion, multiple localized erosion, and broken and/or straight pipe through the wall of the permeameter, which was assigned to the low, moderate, and high grades, respectively.

The pipes extended as broken lines have a variable direction in the direction of the fow. The near straight pipes along <span id="page-8-0"></span>**Table 5** Sufused time, since the start of fne erosion (min) and the total test duration (min)



GB: Glass bead, GB-Rou: Rough glass bead, R: Rounded particle, A: Angular (Crushed aggregate), F: Flaky (Slate), E: Elongated (Weathered pyramid basalt)

with the height of the permeameter cell might be a sign of the development of a 'pipe' inside the soil structure and formation of relatively free pathways for water and fner fraction as concentrated channels. Visual observation of fne erosion is given in Table [6](#page-9-0).

Some samples such as K, B, and M1 at low hydraulic gradient only show slight changes in the cell wall. Images of sample B at the end of the tests with  $i_{av}=8.0$  are presented in Fig. [5.](#page-10-0) In this images the erosion paths (erosion zones) are indicated with yellow lines. In glass bead and rounded grains samples, mass loss of fne grains on the sidewall of the plexiglas cell was found to be relatively high, like a broken pipe. In the sample with faky grain, mass loss of fner fraction at the cell wall was intermediate, and for crushed and elongated grains, localized erosion was observed through the cell wall.

#### **4.2 Hydrodynamic condition**

The suffusion phenomenon results in changes in discharge flow and hydraulic gradients. The erosion rate of the fine

grains, discharge fow rate, and hydraulic gradient was measured for each applied hydraulic gradient. The discharge fow was typically measured a few minutes after the increase of each head, during the tests, and before the next steps. The average specifc discharge fow (cm/s) at the start and fnish of each step, the maximum erosion rate from visual observation, and the test results with downward flows are given in Table [7.](#page-11-0)

It was observed that the fow rate increased for the case of the glass bead and rounded samples of GP1 and G3-13 throughout the constant  $i_{av}=0.15$ . The results showed different discharge fow in other applied hydraulic gradient at the start and fnish of each step. Therefore, the migration of fne grains within the specimen may cause either clogging the pores or enlarge preferential channels and may be fne grains transported in fow direction and deposited or trapped in the downstream layer. The decrease in discharge fow and fne erosion may be attributed to the fltration process of the fne grains causing clogged constrictions, as prior have been discussed [[7,](#page-17-5) [8,](#page-17-6) [64,](#page-19-5) [65\]](#page-19-6).

	Particle shape	Observation of fine erosion in the plexiglas sidewall				
<b>PSD</b>		$i_{av} = 0.15$	$i_{av} = 1.0$	$i_{av} = 3.0$	$i_{av} = 8.0$	
K	GB	No sign	No sign	No sign	Moderate	
	SR	No sign	No sign	Moderate	Relatively High <sup>‡</sup>	
	A	No sign	No sign	No sign	No sign	
	$\overline{F}$	No sign	No sign	Moderate	$\n  Modern$	
	E	No sign	No sign	No sign	Moderate	
B	GB	Moderate	Moderate	$High**^{\ddagger}$	$High**^{\ddagger}$	
	<b>GB-Rou</b>	Low	Low	Moderate	$Modern t^*$	
	<b>SR</b>	Moderate	Moderate	$High**^{\ddagger}$	$High**^{\ddagger}$	
	$\boldsymbol{A}$	Low	Moderate	Moderate	$Modern t e^{i \ddagger}$	
	F	Low	Moderate	Moderate	$High**^{\ddagger}$	
	E	Low	Low	Moderate	$\n  Modern$	
M1	GB	Moderate	$High*$	$High**^{\ddagger}$	$High^{**}$	
	<b>SR</b>	Low	Moderate	$High*$	$High*$	
	A	Low	Moderate	$High**^{\ddagger}$	$High**^{\ddagger}$	
	F	Moderate	Moderate	$High**^{\ddagger}$	$High**^{\ddagger}$	
	E	Low	Low	$\n  Modern$	$High**^{\ddagger}$	
$GP-1$	GB	$High*$	$High*$	$High^{*,\ddag,\ddag}$	$High**^{\dagger,\ddagger}$	
	$S-R$	Moderate	$High*$	$High^{*\dagger,\ddagger}$	$High^{\ast\,\dagger,\ddagger}$	
	A	Low	Moderate	$High*$	$High*$	
	F	Moderate	High*	$High*$	$High^{*\dagger}$	
	E	Low	Moderate	$High**^{\ddagger}$	$High**^{\ddagger}$	
G13	GB	Moderate	Moderate	$High**^{\ddagger}$	$High^{*,\dagger,\ddagger}$	
	<b>SR</b>	Moderate	Moderate	$High**^{\ddagger}$	$High^{\ast\,\dagger,\ddagger}$	
	$\overline{A}$	Moderate	Moderate	$High**^{\ddagger}$	$High^{**}$	
	F	Moderate	$High*$	High*	High*	
	E	Moderate	Moderate	$\n  Modern$	$High**^{\ddagger}$	

<span id="page-9-0"></span>**Table 6** Plexiglas side wall visual observation

*\* BL: Broken Line, †SL: Straight Line, ‡partially accumulate by a layer of fnes against the wire mesh*

The B gradation experiment showed that fraction loss of glass bead sample was measured at an average rate of 2.65 g.  $m^{-2}$ .s<sup>-1</sup> in about 15 min since the start of fine erosion at  $i_{av}=3$ . This value was measured for the sample with rounded grain 2.61 g.m<sup> $-2$ </sup>.s<sup> $-1$ </sup> in about 12 min since the start of fine erosion at  $i_{av}=8$ , which is in agreement with the results of Skempton and Brogans' test, 2.5 g.m<sup>-2</sup>.s<sup>-1</sup>, since the start of slight local piping.

#### **4.3 Mass loss**

Washed away particles of the specimens at the end of each applied hydraulic gradient were collected, and the percentage weight of loss mass to the initial dry weight was measured. These values present particles that moved through the specimen and migrated to the outlet.

#### **4.3.1 K Gradation**

In the current tests, K gradation samples were made with four types of grain and glass beads. For the gradient of 0.15, a small number of grains were eroded, while for the case of the gradient of 1.0, no fraction loss of fne grains has occurred. Fine-grain movement may have occurred partly during the sample preparation or sample saturation, as reported in previous researches [\[21,](#page-18-0) [47\]](#page-18-22).

By increasing the gradient to 3 and 8, the rate of eroded grains increased (Fig.  $6$ ). The highest amount of dry mass loss is related to the glass bead sample, followed by rounded particles.

#### **4.3.2 B Gradation**

The B gradation has already been studied [[32](#page-18-9)]. In the performed experiments by Skempton and Brogan, the shape of soil grains was subangular, with moderately high sphericity. The amount of eroded grains for sample B comprised 12% fner fraction, which was about 1.8% of the total sample mass. The amount of washed out fnes is compatible with the test performed on the sample with rounded to subrounded grains in the present study (Fig. [7](#page-12-1)).

Moreover, another test was performed on the roughtextured spherical glass bead to separately estimate the efect of the overall form and roughness, the results of which indicated that the surface texture also afects the susceptibility to particle migration, especially in the low hydraulic gradients (see Fig. [7\)](#page-12-1).

#### **4.3.3 M1 Gradation**

The fraction loss in M1 gradation was similar to that of B gradation in  $i_{av} = 0.15$ . Test results showed the minor movement of grains at relatively low gradients, but the main movements were observed at higher gradients of 1 and 3 (Fig. [8](#page-13-0)).

#### **4.3.4 G3‑13 Gradation**

The G3-13 gradation is a gap graded soils with gap ratios equal to 10. The exit of fner fraction grains of the glass bead sample at a gradient of 0.15 was about 6% of the total sample weight, and it is internally unstable at this gradient (Fig. [9](#page-13-1)). The maximum mass loss measured for this sample was 10.01% of the total sample mass, and the maximum deformation was recorded for this sample with a 2.9% vertical strain (see Table [8](#page-14-0)).

<span id="page-10-0"></span>**Fig. 5** Images of B grading with diferent grain shapes, GB: Glass bead, GB- Rou: rough glass bead, R: rounded, A: angular, F: faky, and E: elongated particles, at the end of the tests



#### **4.3.5 GP‑1 Gradation**

GP-1 gradation is also a gap graded soil where its coarser fraction is similar to that of G3-13 gradation, and its fner fraction is coarser. Its gap ratio is equal to 6.67. Mass loss in the glass bead and rounded sample at a gradient of 0.15 exceeds 3.0% of the initial sample weight, and these were internally unstable at this gradient.

## **5 Summary and discussion**

#### **5.1 Summary**

In the current study, suffusion, suffusion/volume change, and initiation of internal instability are judged based on one or a group of manifestations; visual observations, qualitatively, mass loss, erosion rate, and vertical strain, quantitatively.

Due to the shortcomings of quantitative technique for the soils' internal stability/instability determination, quantitative/qualitative terms have been chosen and adopted in this research based on previous suggestions [[6,](#page-17-4) [29,](#page-18-6) [31–](#page-18-8)[33](#page-18-10), [39](#page-18-16)].

The internal erosion process is described by the term"sufusion" if just mass loss takes place, and the term would be used "suffusion/volume change" in case the mass loss is accompanied by volumetric change or the observation of straight pipe in the plexiglas sidewall. The samples with mass loss of higher than 3% of the initial sample dry mass were categorized as sufusive, and samples with mass loss effect on plexiglas wall, like a broken line in cell sidewall, during the tests and/or mass erosion rate exceeds 2.0 g.  $m^{-2}$ .s<sup>-1</sup>, were classified as transient. Further, samples with a vertical strain of more than 1.0% were considered as suffusive/volume change. Samples with a straight pipe in the sidewall and a vertical strain less than 1.0% were classifed as transient.

The amounts of eroded mass regarding the total sample weight of glass bead, rounded, crushed, faky, and elongated particles are presented in Fig. [10.](#page-14-1) The experimental results indicate two visible effects, including the particle shape and the particle size distribution (particle morphology). The percentage of eroded particles relative to the total sample weight increased with sphericity and roundness. Moreover, test results indicated that increasing the coefficient uniformity  $(C_n)$  resulted in more significant mass loss. Besides, the efect of grain shape was more considerable for gap-graded soil. In the gap-graded soil, GP-1, and G3-13, the diference between mass loss percentages increased (Fig. [10](#page-14-1)-(b)). The peak in the diagram of Fig. [10-](#page-14-1)(b) corresponds to GP-1 gradation, which is a gap-graded soil. For the other used <span id="page-11-0"></span>**Table 7** Specifc discharge fow (cm/s) at the start and end of each step and maximum erosion rate at  $i_{av}$  (g.m<sup>-2</sup>.s<sup>-1</sup>)







<span id="page-12-0"></span>

**Table 7** (continued)



<span id="page-12-1"></span>



<span id="page-13-0"></span>



<span id="page-13-1"></span>**Fig. 9** Percentage of cumulative fnes loss after hydraulic gradient for "G3-13" grading

PSD (B and M1) the driving effect is probably coming from particle morphology.

For all the samples of K gradation, no sign of instability was found, and all the samples were internally stable.

According to the test results and visual observations of the sidewall, in the downward fow direction, the B gradation specimen for spherical glass bead, and medium sphericity/ rounded particles were suffusive and categorized as internally unstable. Angular and elongated grains were classifed as internally stable, and specimens containing faky grains and rough spherical glass beads were transient.

The M1 samples with glass bead, rounded, crushed, and flaky grains were categorized as a sample with internal instability and suffusion potential. Further, samples with elongated grains were transitional.

All the GP-1 samples except for the elongated grains sample were internally unstable, and the sample with elongated grains was internally stable.

In a reported experiment on G3-13 grading with sample diameter equal to 50 mm and applied hydraulic gradient varying from 0.1 to 6, whereas based on the sufusion classifcation, it was moderately erodible and classifed as unstable by the potential susceptibility classifcation. This classifcation takes into account the cumulative mass loss and the expended energy by the fuid fow [[48,](#page-18-23) [49](#page-18-24)]. In the current study, the total percentage of fraction loss for all the G3-13 samples of this gradation exceeded 3% and entirely were internally unstable (Fig. [9](#page-13-1)). Further, the specimens with spherical and rounded particles were categorized as suffusive/volume change (Table  $8$ ).

<span id="page-14-0"></span>



*GB: Glass bead, GB- Rou: Rough glass bead, R: Rounded particle, A: Angular (Crushed aggregate), F: Flaky (Slate), E: Elongated (Weathered pyramid basalt), Su/Vo: Sufusion/Volume change S: Stable, U: Unstable, T: Transient, Su: Sufusive, N-Su: Non-Sufusive, S/V: Sufusive/ Volume change, N-S/V: Non-Sufusive/ Volume change, ‡) initiation gradient corresponding to the limit mass loss*

<span id="page-14-1"></span>



Table [8](#page-14-0) illustrates the maximum erosion rate at  $i_{av}$  (g.s<sup>-1</sup>.  $m^{-2}$ ) and limit mass loss (%), corresponding to suffusion susceptibility at  $i_{av}$  (initiation hydraulic gradient). Evidently, in soil specimens with similar gradations and diferent particle shapes sufusion was triggered at an equal or even lower hydraulic gradient.

The suffusion process might affect the soil skeleton and result in the subsidence or development of pipe fow or cracks. It is shown that the development of vertical deformation is strongly dependent on the particle size distribution and particle morphology. For the same gradation, the sample with angular particles with rough texture (crushed and elongated particles), have the minimum vertical strain (see Table [8](#page-14-0)). Thus, angular particles with the rough surface have more resistance to the suffusion/volume change phenomena.

The comparison of the current experimental results (Table [8\)](#page-14-0) with geometric stability criteria (Table [4](#page-4-2)) indicates the Istomina (1957) and Kezdi (1979) criteria, prove more conservative for smooth spherical and rounded particles than other methods. Furthermore, Kenney & Lau (1985), Burenkova (1993), Wan & Fell (2008), Li & Fannin (2013), and Chang and Zhang (2013) criteria may be more conservative for low sphericity/rough surface particles.

#### **5.2 Discussion**

The current experiment results showed that specimens with various particle shapes have diferent levels of sufusion or suffusion/volume change. Spherical glass bead and rounded/ medium sphericity specimens were more prone to sufusion at an equivalent or even lower hydraulic gradient than the soil samples with angular/low sphericity grains. These results show an agreement with previous research [\[45](#page-18-21)]; nevertheless, additional variables should also be considered:

For entire soil samples, an attempt was taken place to keep the factors controlling the internal stability similar and repeatable. These factors include the shape of the PSD curve, relative density, applied gradient, and flow direction. It should be noted that grading is done with square-mesh sieves and is a function of particle projection in 2D. Therefore, the maximum width and thickness control the grading curve shape [[66](#page-19-7)]. Considering the diameter of a sphere circumscribing the grains, diameter of spheres with the same volume as particles, or diameter of spheres with the same surface area as the particles, the shape of the grading curve would be diferent, especially for faky and elongated grains [[17\]](#page-17-13).

Results indicated that the reduction in the sphericity or roundness of the grains caused the rate of fne grains erosion to be decreased (Fig. [11\)](#page-15-0). The reason could be attributed to the mean pore length reduction [\[67](#page-19-8)], increasing the tortuosity, and reduction of constriction sizes can be accompanied by increasing the probability of clogging of the fne grains.

In the samples with faky and elongated grains, particle arrangement had a higher efect on pore size distribution than samples with rounded or crushed grains. In these samples, the pores network is infuenced by the fatness and orientation of grains [[68](#page-19-9)], whether the grains are placed on their largest face, medium face, and smallest face or oriented. In the present work, specimens were prepared in such a way that the grains were placed on the largest face or with a small orientation.

In addition to sphericity and roundness, the particle's roughness can also influence the soil structure and the amount and size of the eroded grains. Constriction size and the coefficient of permeability increase with roughness, whereas, the eventuality of trapping of fne grains in the constriction increases.



<span id="page-15-0"></span>**Fig. 11 a** Total mass loss for samples with diferent particle shapes, **b** total mass loss for samples with diferent particle shapes and diferent uniformity coefficients



<span id="page-16-0"></span>**Fig. 12** Percentage of fnes loss after each hydraulic gradient for elongated particles

Based on Figs. [7](#page-12-1), [8,](#page-13-0) and [12](#page-16-0), there seems to be a quasilinear relationship between the eroded mass and the hydraulic gradient for the elongated particles. Generally, fne loss rate increases with applied hydraulic gradient, and it was observed that fne particles were eroded in higher hydraulic gradient, compared with smooth spherical glass bead, relatively smooth faky particles, rough crushed aggregate, and relatively smooth rounded particles, as depicted in Fig. [11](#page-15-0). Moreover, the same manner was observed for spherical glass beads with a rough texture in the B gradation (see Fig. [7](#page-12-1)).

This can be related to the particle sphericity and roughness efects for elongated particles, and roughness for rough spherical glass beads. So, the sphericity and roughness may be controlled the susceptibility to particle migration. As geological evidence, weathering and crushing afect both the surface texture and roundness by exposing fresh surfaces and appearing new corners [\[50,](#page-18-25) [69](#page-19-10)]. Therefore, the particle roughness usually increases with particle angularity [[50\]](#page-18-25) and three particle shape characteristics may concurrently afect particle migration.

Surface texture is scale-dependent, and its quantifcation is difcult. Also, detecting relative contributions of such parameters as roundness, sphericity, and roughness by such individual measurements is not possible [[70](#page-19-11)]. To simplify interpretation, regularity,  $\rho$ , is differentiated from an ideal sphere by defning the average of roundness and sphericity,  $\rho = \left(\frac{R+S}{2}\right)$ , [\[70\]](#page-19-11).

Roundness is measured in 2D, and sphericity is measured in 3D, and regularity could be a proper estimation of grain morphology. As illustrated in Fig. [13](#page-16-1), the percentage of mass loss increases concerning the particle regularity, which is confrmed by a similar amount of mass loss in crushed and faky samples, which have diferent shapes but the same regularity (Fig. [13\)](#page-16-1). These results show that



<span id="page-16-1"></span>Fig. 13 Effect of particle regularity on washed-out fine grains

the ideal smooth spheres and elongated grains with the rough surface may have the highest and lowest prone to suffusion, respectively.

The shape of fner fraction grains could infuence the suffusion phenomenon, as well as the morphology of coarser fraction particles. Decreasing the sphericity and roundness and increased roughness, in addition to the increase in the specific surface area  $[27]$  $[27]$ , might increase the friction between fne grains and coarser fraction. Therefore, the possibility of fner grain trapping within the pores and clogging increases. It must be noted that further study would be required to validate the efect of surface roughness and fner fraction morphology.

## **6 Conclusions**

Grain morphology is one of the most important parameters afecting the pore geometry and constriction size distribution of soils. In this study, the sufusion test was conducted using diferent samples with fve types of PSD curves and containing the particles with six diferent shapes to investigate the efect of grain shapes on the internal stability of soil samples.

Gradations were chosen to comprise diferent shapes of PSD curves and various internal stability conditions, and particle shape was assessed in terms of three features. Sphericity was calculated using 3D images extracted using X-ray micro-computed tomography ( $\mu$ CT) images. Wadell's roundness was determined using grain projection in 2D. Besides, the surface roughness was described by visual comparison.

Results of suffusion tests showed that grains' morphology effectively changes the seepage flow, mass loss rates, mass loss observed in plexiglas sidewall, and total mass loss. For nearly identical gradations and hydraulic conditions, the samples with diferent grain shapes could be internally stable or unstable.

In K gradation, the sample with glass bead and rounded particles had the highest mass loss, and all the samples were internally stable. According to B gradation test results, the glass bead and rounded shape samples were suffusive, and the samples with crushed and elongated grains were internally stable; however, faky grains were transitional. M1 gradation samples with the glass bead, rounded shape, crushed, and faky were internally unstable, and elongated grain was transitional. For GP-1 gradation except for the elongated grains sample, which was transitional, all other samples were internally unstable. All G3-13 samples were internally unstable. Further, the sample with glass bead and rounded particles of GP-1 and G3-13 were classifed as sufusive/volume change. Meanwhile, samples with the same gradation and diferent grain shapes were found to have diferent levels of internal stability/ instability.

Particle regularity could provide a reasonable estimation of grain morphology considering the measurement of grains' roundness in the 2D and sphericity of grains in the 3D. The percentage of fraction loss in all the samples increased with the particle regularity, indicating that ideal smooth spheres and elongated grains with the rough surface may have the highest and lowest prone to sufusion, respectively.

The pipe observed in the permeameter sidewall, the amount of eroded particles, and vertical strain increased with sphericity and roundness of grains (increase in regularity). Subsequently, suffusion and suffusion/volume change were triggered at an equal, or even lower hydraulic gradients in soil specimens with similar gradations and diferent particle shape.

These results confirm the predictions of relations in the capillary tube model. In these relations, grains' shape coefficient increased with the grains' angularity and mean diameter of pores, and consequently, the amount of eroded grain also reduced. This study mainly aimed to investigate the effect of particle morphology on suffusion susceptibility of granular soils. Arguably, many contributing factors are involved in the internal stability of soils, such as relative density, particle arrangement, applied stress, and fow direction that are beyond the scope of this research as well as proposing a model based on quantitative multivariate analysis. More experimental results in order to form a comprehensive database are required and providing a simple practical approach for practitioners can be a future perspective.

#### **Compliance with ethical standards**

**Conflict of interest** The authors declare that they have no confict of interest.

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