REVIEW

Electrochemical synthesis and properties of gold nanomaterials

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Abstract

Approximately two decades ago, gold catalyst opened up a new view of their properties when they are introduced in the form of nanomaterials, since at that time, many approaches to preparation and use of gold nanoparticles started to be used in many practical applications. Today, the research activity relating to gold nanomaterials is becoming systematic and goes further to make connections between their surface structure, chemical and physical properties, and possible applications. Since electrodeposition is one of the most controllable methods used to prepare nanoparticles, nanowires, and nanoclusters of gold, the present review gives preference on their electrochemical synthesis. The relationship between catalytic activity, size, morphology and stability of gold nanomaterials is discussed in detail. Based on the properties of the prepared gold nanocatalysts, their new applications in chemical, photochemical, and electrochemical reactions have been observed.

Keywords $Gold \cdot Electrodeposition \cdot Surface morphology \cdot Size effect \cdot Nanotechnology$

Introduction

Gold is a noble metal located in the 1B group of the periodic table and commonly considered as an inert and expensive material. In common aqueous solutions, it can exist in a number of oxidation states: (0), (+1), and (+3). Obviously, in vivo, gold might exist in an equilibrium between metallic gold and its oxidized states (+1) and (+3). On a macroscopic scale, zerovalent gold does not oxidize in air and has been found to be inert to strong alkalis and acids while gold in (+1) and (+3) oxidative states is relatively unstable, where value (+3) provides gold with a strong oxidizing ability to be reduced to value $(+1)$ by reductants, for example thiols. Since Au^{3+} cations preferentially react with S- rather than O- and N- donors, Au+ cations can be stabilized by thiolate ligands.

The electrical behavior of gold colloidal dispersions in solutions encompasses Au nanoparticles (AuNPs) with surface charges caused by adsorption of small ions, surfactants, or polyelectrolytes and with consequent electrokinetic properties

 \boxtimes I. Saldan saldanivan@gmail.com [\[1](#page-15-0), [2](#page-15-0)]. Very small AuNPs stabilized by organothiolate ligand monolayers were common examples of the most subsequent direct voltammetry of metal nanoparticles [\[3\]](#page-15-0). This kind of gold nanomaterials (AuNMs), called monolayer-protected clusters (MPCs), was prepared by "Brust synthesis" reported by the Schiffrin laboratory [\[2\]](#page-15-0). The size-dependent electronic and structural properties of AuNMs on oxide supports are among the most fascinating examples that show important features of heterogeneous catalysis [[4,](#page-15-0) [5](#page-15-0)]. When AuNMs size is around a few nanometers, they might be an effective oxida-tion catalyst [\[6](#page-15-0)–[8](#page-15-0)]. For example, a threshold in the size of \sim 2 nm has been found in [[9\]](#page-15-0), above which AuNMs are completely inactive as catalysts for the epoxidation of styrene by molecular oxygen. This fact suggests that the catalytic activity of AuNMs arises from the size-dependent alteration of their electronic structure. Energy binding shift of 1.1 eV in the $4f_{7/2}$ -electron of gold is observed mainly in case of larger AuNMs, while for AuNMs smaller than \sim 3 nm decreasing particle size was associated with an increase in the 3d–electron density of gold atoms and the onset of reactivity with oxygen in the air [\[10](#page-15-0)]. Indeed, the size-dependent alteration of the electronic structure gives rise to unusual catalytic activity that has been experimentally confirmed for AuNMs on repeated occasions. But even today, reactivity on the nanoscale remains an important challenge for gold and also for other elements.

The AuNMs became active for many chemical reactions when stabilized in the form of nanoparticles attached to metal

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oxide and activated carbon supports [[11](#page-15-0)–[14\]](#page-15-0). This was started since the discovery of high catalytic activity for lowtemperature CO oxidation in 1989 [\[15\]](#page-15-0). From that time on, researchers have been developing various methods to synthesize different types of AuNMs with controlled size and shape. As compared to chemical or physical methods, the electrochemical preparative and analytical procedures are cheap and diverse, and further investigations in this field are in continuous progress. This has led to fundamental research of their fascinating size-, shape-, and composition-dependent thermal, optical, and electrochemical properties.

Researches have also exploited small size, high surface area, and unique size-dependent properties for a range of applications, including sensing, separations, plasmonics, catalysis, nanoelectronics, therapeutics, biological imaging, and diagnostics. Additionally, for these applications, one more important issue is AuNMs' stability, that is not only their resistance against aggregation and size or shape transformations, but also inertness to oxidation and dissolution.

In the present review, a preference is made on electrochemical preparation of AuNMs. Surface functionalization by gold electrodeposition, morphology, and stability of AuNPs, gold nanowires (AuNWs), and gold nanoclusters (AuNCs) is discussed in detail. Size effect on gold reactivity and selectivity has been observed to be the main feature of AuNMs to be used in chemical reactions. New directions of gold application in nanotechnologies are predicted for the nearest future.

Surface functionalization by gold electrodeposition

As compared to palladium or platinum, gold has a lower melting point and a lower affinity for metal oxides. Therefore, AuNMs deposition as nanoparticles on metal oxide supports through impregnation methods looks difficult. Moreover, during calcination of dispersed HAuCl₄ crystallites, chloride ion markedly enhances the coagulation of AuNMs on the support surfaces. Therefore, it is very important to choose a suitable preparation method depending on the properties of support materials. Electrodeposition was found as a solution to prepare AuNMs on electrode surface of indium tin oxide (ITO), silicon, glassy carbon (GC), carbon nanotubes (CNs), and graphene (G).

Gold electrodeposition on ITO

As compared to bulk gold, AuNMs show better electrocatalytic activity for many electroactive species [\[16,](#page-15-0) [17](#page-15-0)]. ITO electrodes exhibit poor electrocatalytic activity, but favorably low and flat background current [\[18\]](#page-15-0); therefore, the modification of ITO electrodes with a low surface coverage of AuNMs could allow high electrocatalytic activity along with low background current. For the first time, the group of Prof. Compton [\[19](#page-15-0)] prepared gold nanoparticle that modified ITO-film-coated glass electrodes through direct electrochemical deposition from 0.5 M H₂SO₄ containing $0.1-1$ mM HAuCl₄. AFM results directly confirmed around 70–300-nm gold nanoparticles (AuNPs) formed under different deposition time and HAuCl4 concentration. In all cases, dispersed AuNPs were found on the electrode surface. Increase in the deposition time under applied electrodeposition resulted in a bigger average particle size of AuNPs, while higher concentration values led to higher number of seeds (nucleation) on the surface. Additionally, it was found that at pulse regime more uniform AuNPs might be obtained as compared to those at stationary power supply. The real surface area of the AuNPs electrodeposited on ITO film coated glass electrode $(0.02-1 \text{ cm}^2)$ was estimated on the basis of the amount of charge consumed during the reduction of Au₂O₃ monolayer and a reported value of 400 µC cm⁻² was used for the calculation [\[20,](#page-16-0) [21](#page-16-0)]. Then, R. J. Forster et al. [\[22](#page-16-0)] electrodeposited gold island (< 100 nm) on a fluorine-doped ITO coated glass. Double potential step approaches coupled with interfacial engineering via monolayer formation allowed AuNPs to be created with controlled particle size, density, and particle size distribution in a reproducible manner. Group of R. J. Forster reported on the formation of gold nanodeposits using Penner's slow growth technique [\[23,](#page-16-0) [24\]](#page-16-0) where the ability to control the nucleation mode, particle density, particle size, and particle size distribution by controlling the potentiostatic deposition conditions was described. Penner's group was a particular success using the application of a large overpotential nucleation pulse for a very short time (5–10 ms) followed by a longer lasting low overpotential growth pulse yielding particle size uniformity. Therefore, high overpotential nucleation pulse encourages instantaneous nucleation giving high particle density and also increasing particle size monodispersity. R. J. Forster et al. [[22](#page-16-0)] used the 10–250-ms nucleation pulse applied to the fluorine-doped ITO-coated glass working electrode within the potential range of $-$ (400–2000) mV, followed by a growth pulse at 300–700 mV until a set charge was passed. In addition to that, the effect of surface modification with a self-assembled monolayer 3-aminopropyldimethylmethoxysilane on the nucleation and growth kinetics was suggested since this approach can provide a more uniform surface electrodeposition process. Moreover, it was found that surface modification by amine groups altered the surface energy and kinetics of deposition on the surface making instantaneous nucleation possible at lower overpotentials, thereby reducing diffusion zone coupling. Similar surface modification was applied in [\[25](#page-16-0)], where 3 aminopropyltriethoxysilane was used to prepare aminefunctionalized self-assembled monolayers on ITO electrodes. Amine groups protonated at neutral pH were readily bounded to a negatively charged metal complex. Hence, chemical reduction of such bound ions allows the facile formation of AuNPs on the amine-functionalized ITO surface through electrostatic

adsorption of AuCl₄⁻ ions followed by chemical reduction. Without electrode surface modification, AuNMs looked like gold submicroparticles [\[26,](#page-16-0) [27](#page-16-0)], though their catalytic activity were successfully applied as a sensor to control refractive index for variety of organic solvents or quantity of CO. A new approach to gold nanoparticle electrodeposition with the help of ionic liquid at a three-phase junction was presented in [\[28\]](#page-16-0). The electrodeposition was carried out through inverted doublepulse potential chronoamperometry. Direct reduction of $AuCl₄⁻$ ions at the electrode was followed by a counterion transfer through the liquid-liquid interface. As compared to electrodepositon from a single-phase, this method provided higher local surface coverage of angular AuNPs with narrow size distribution $(110 \pm 30 \text{ nm})$ in diameter).

Silicon support for AuNPs

For the first time, large-area and high-density gold nanoparticle arrays with sub-10-nm gaps were synthesized on Si(100) substrate through electrochemical deposition with the application of an unusually high overpotential [\[29](#page-16-0)]. It was found that the extremely high overpotential contributes to the relatively small critical island size and high nucleation rate. A deep study of gold electrodeposition on a *n*-doped silicon substrate using different electrochemical techniques (cyclic voltammetry, square wave potential pulse, step potential, and step current) and conditions of variables (temperature, pH, reagent concentration, reaction kinetics, deposition time, etc.) was carried out in [[30](#page-16-0)]. According to Osaka et al. [\[31\]](#page-16-0), if the thiosulphate concentration is low in relation to the $[Au(S_2O_3)_2]^3$ ⁻ complex, the reaction mechanism consists of two steps:

$$
2 [Au(S_2O_3)_2]^{3-} (Au_2S_2O_3)_{ads} + 3 S_2O_3^{2-} (1)
$$

$$
\left(Au_2S_2O_3\right)_{ads} + 2\bar{e} \rightarrow 2\ Au + S_2O_3{}^{2-} \qquad \qquad (2)
$$

The former (1) implies adsorption of a neutral $(Au_2S_2O_3)_{ads}$ intermediate, while the latter (2), as the ratedetermining step, is its electrochemical reduction to deposit gold with colloidal sulfur impurities.

$$
[Au(S_2O_3)_2]^{3-} + \bar{e} \rightarrow Au + 2 S_2O_3^{2-}
$$
 (3)

However, if the thiosulphate concentration is high in relation to the $[Au(S_2O_3)_2]^3$ ⁻ complex, the reaction (1) shifts towards the formation of the $[Au(S_2O_3)_2]^3$ ⁻ complex, and gold deposition takes place directly from the species in solution (3) without any intermediates [[30](#page-16-0)]. It was concluded that the proposed mechanism for Au^+ reduction from the $[Au(S_2O_3)_2]^{3-}$ complex corresponded to a charge transfer of one electron without adsorbed intermediates, thus a sulfur-free gold deposit could be obtained. Additionally, it should be noted that, among all the electrochemical methods used, cyclic

voltammetry method yielded the largest grain size gold deposits since because that electrodeposition took place at a very slow rate. The authors of [\[30](#page-16-0)] concluded that for any electrochemical method at a constant pH and temperature value the grain size in the diffusion regime is less comparable to electrodeposition process controlled by electron transfer under cation reduction. Since ionic diffusion increases with temperature both in solution and on the electrode surface, the grain size of the deposits is expected to be larger.

Recently, authors of [\[32\]](#page-16-0) examined current transients for the reduction of aureate salts at the poorly doped p -type Si(100) photocathodes. They found that the hydrogen evolution reaction is significant even at very basic pH values, leading to a poor match between the modeled and actual outcomes in electrodeposition experiments. Finally, they concluded that the catalytic activity of AuNPs and semiconductor photo effects make it difficult to rely on current transients alone to refine the experimental conditions for the growth of AuNPs on Si(100) photocathodes. Therefore, it was proposed that hydrogen evolution might cause turbulence leading to the displacement of particles and significant aggregation on the surface. In order to avoid this problem, the gold electrodeposition with high overpotentials for hydrogen evolution was recommended. That allows control nucleation process and ultimately to use illumination patterns to spatially address AuNPs deposition on semiconducting surfaces.

Glassy carbon electrode for gold electrodeposition

A solution containing thiosulphate and sulphite was also developed for gold electrodeposition on a flat-plate GC electrode to study the mechanism of nucleation and crystal growth of gold nuclei [\[33\]](#page-16-0). It was found that at the early stages of the reduction process, the deposition process exhibited instantaneous nucleation of non-overlapping AuNPs. The electrodeposition on glassy carbon for 1 s resulted in uniform coverage of gold nuclei having a relatively uniform size of 80 ± 5 nm, while for 10 s, there could be observed some coalescence of gold crystals, and for 100 s, large aggregates with diameter within the range of 100–300 nm were obtained. At longer periods, the particles began to overlap and the deposition followed a classic progressive nucleation phenomenon. A simple one-step electrodeposition method was used to fabricate various gold nanostructures on GC electrodes in the 5 mM $HAuCl₄$ solution [\[34\]](#page-16-0). Hierarchical waxberry-like gold nanostructures with high active surface areas were obtained at pH 4, and they showed a higher catalytic performance for the reduction of oxygen than the other nanogold. These gold structures also displayed an extraordinary superhydrophobicity, and the contact angle increased with the increase of deposition temperature and time. It was concluded that the morphologies of AuNMs can be easily controlled by varying the pH and temperature value. The authors of $[34]$ $[34]$ $[34]$ adjusted the pH of the HAuCl₄ solution,

and with the increase of the solution pH through NaOH addition, the color of the solution turned from yellow to nearly colorless. That change could be reversed through lowering the pH again by adding HCl. It is commonly believed that, with the pH increase, the Cl[−] from a complex anion is displaced by water, and further hydrolysis and loss of proton from neutral hydrated ion occurs [\[35,](#page-16-0) [36](#page-16-0)]:

 $[AuCl_4]^- + H_2O AuCl_3(H_2O) + Cl^-$ (4)

 $AuCl_3(H_2O)$ $[AuCl_3(OH)]^- + H^+$ (5)

$$
[AuCl3(OH)]- + H2O AuCl2(H2O)(OH) + Cl-
$$
 (6)

$$
AuCl_{2}(\text{H}_{2}\text{O})(\text{OH})\left[AuCl_{2}(\text{OH})_{2}\right]^{-} + \text{H}^{+}
$$
\n(7)

$$
[AuCl_2(OH)_2]^- + H_2O [AuCl(OH)_3]^- + H^+ + Cl^-
$$
 (8)

$$
[AuCl(OH)_3]^- + H_2O [Au(OH)_4]^- + H^+ + Cl^-
$$
 (9)

Finally, it was concluded that the pH value of gold precursor baths directly influenced existent forms of gold complex that resulted in a different redox potential and reduction rate of gold. In [\[37](#page-16-0)], a novel procedure for the fabrication of AuNPs assembled on GC via three consecutive steps—grafting of amino groups, anchoring of $[AuCl_4]$ ⁻ ions by the $-NH_3$ ⁺ groups, and electrochemical reduction of the $[AuCl_4]$ ⁻ ions to the AuNPs—was applied in practice with a honeycomblike configuration enriched in the Au (110) facet orientation. The oxygen reduction reaction as a sensitive reaction for the crystallographic orientation of the gold surface [[38](#page-16-0)] was taken as a probing reaction to assign the facets of the prepared nano-Au/−NH₂/GC electrode in the O₂-saturated 0.5 M KOH. The observed quasi-reversible cyclic voltammetry response was characteristic of Au (111) and Au (110) single crystalline electrodes [[38](#page-16-0)], therefore, taking into account reductive desorption measurements for Au (110) or Au (100) facets and excluding the Au (111) facet for bulk gold, it was concluded that the AuNPs of the nano-Au/−NH2/GC electrode were enriched in the Au (110) crystallographic orientation. Recently, the group of prof. Compton scanned a AuNPs-modified GC macroelectrode in the 0.10 M HCl [[39\]](#page-16-0). When gold loadings on the GC surface varied, the anodic peak charge increased linearly and indicated that 1.9 ± 0.1 electrons are transferred per one gold atom. The electrochemistry of gold in aqueous solutions in the presence of complex-forming anions was thoroughly studied, and chloride ions were found to facilitate its electrodissolution via formation of $[AuCl_4]$ ⁻ and $[AuCl_2]$ ⁻ complex ions:

$$
Au + 4 CI^{-} [AuCl_4]^{-} + 3 \bar{e}
$$
 (10)

$$
[AuCl_4]^- + 2 Au + 2 Cl^- 3 [AuCl_2]^-
$$
 (11)

It was found that anodic particle coulometry method can help identify AuNPs by reliability of the clear threshold potential for the onset of impact transients and its coincidence

with the oxidation peak in the stripping voltammetry. The understanding of the anodic behavior of AuNPs in HCl enables us to explore the electrooxidation of single AuNPs in the same media via the anodic particle coulometry method through nanoparticle–electrode collisions. It was found that it agreed well with the size of 10.5 ± 1.0 mm for single particles, and it was clear that aggregation of AuNPs into gold clusters containing 2, 4, 8 single nanoparticles occurs on the experimental timescale. Development of a simple method for activating the GC surfaces with amino groups was proposed in [\[40](#page-16-0)], where AuNPs were further immobilized on the amineterminated GC surfaces through electrostatic interaction, and then on the GC electrode, they were proven to facilitate the electron exchange between the electrode and the redox molecules in an aqueous solution (Fig. [1\)](#page-4-0).

According to [[41](#page-16-0)], electrodeposition of gold in GC/ 1 mM[AuCl₄]⁻ with 0.1 M HClO₄ followed the Volmer-Weber growth mode. In the potential range of between 0.84 and 0.94 V, the electrodeposition kinetics related to progressive nucleation and diffusion-controlled growth of 3D AuNPs. It was found that at more negative electrode potentials than 0.64 V, the process of electrodeposition might occur under instantaneous nucleation. Therefore, AuNPs with a uniform size can be deposited applying the double-pulse technique involving an instantaneous nucleation pulse followed by a slow growth pulse. This fabrication strategy was proposed for other sp^2 -bonded carbon materials such as CNs and G, opening up potential applications in the area of electrocatalysis due to its convenient preparation and suitable properties.

Carbon nanotubes modified by AuNPs

On the CNs surface chemical reduction of gold cannot be possible since the redox potential of any Au ion is lower than that of CNTs. In order to overcome this limit of the deposition, a process called substrate-enhanced electroless deposition was developed [[42](#page-16-0)], where AuNMs might be spontaneously deposited onto the CNs, supported on a metal substrate with a lower redox potential than that of Au ions. Hence, the CNs are not the reducing agent in this method, and they only act as cathodes and templates for gold deposition. In common electrochemical deposition, CNs play a role of a molecular conducting wire and supports for AuNMs. The size of the electrodeposited nanoparticles and their distribution on the sidewalls of CNs can be controlled by the concentration of gold precursor and various electrochemical parameters, including nucleation potential and deposition time. The method of AuNMs electrochemical deposition on the CNs surface typically demonstrates a very fast nanoparticles formation, moreover with a high purity and adhesion to the CNs surface. Usually, electrochemically prepared AuNMs on CNs are characterized by a big particles size within the range of \sim 50–

Fig. 1 Illustration of photochemical modification of the GC surface and subsequent attachment of AuNPs onto the GC surface. Adapted with permission from [[40](#page-16-0)]. Copyright © 2010, Springer Science +Business Media, LLC

500 nm; therefore, it is a challenge to synthesize them of the size of a few nanometers with high dispersion on the CNs surface. A solution to this problem might be found due to a divergence between a larger driving force that is a more negative deposition potential, for critical nuclei formation and an inhibitive mechanism for deterring crystal growth under lower deposition charge or smaller current density [\[43](#page-16-0)]. In practical application, electrodeposition of AuNMs on CNs covered carbon paste electrode surface provided a significant enhancement of homocysteine oxidation signal [[44](#page-16-0)]. This approach may offer an ultra-sensitive platform for biosensing thiolcontaining molecules. Deposited AuNPs on the CNmodified electrode were able to produce the signal almost three orders of magnitude higher than a non-modified carbon paste electrode. A specific nanostructure of AuNMs might cause a high surface area and hence electrocatalytic properties for the modified electrode. Electrodeposited from $HAuCl₄$ AuNPs on the CN-modified electrode surface had a cauliflower-like morphology with \sim 200 nm in diameter, and that was the reason for high electrocatalysis for oxidation of ascorbic acid, dopamine, and uric acid [\[45\]](#page-16-0). Three electrochemical cyclic voltammogram scans of 0.25 mM of $HAuCl₄$ in the 0.1 M KNO₃ solution were analyzed in detail. For the first scan, a cathodic peak at 0.55 Vand an anodic peak at 1.12 V (vs. Ag/AgCl) resulted from the reduction of $Au³⁺$ ions and the stripping of the electrodeposited gold, respectively. During the subsequent scans nucleation occurred at smaller overpotential (0.75 V), again resulting from reduction of Au^{3+} ions on the deposited gold nucleus at the first scan. The values of pulse potentials were obtained as − 0.1 V for nucleation and 0.75 V for growth pulse with 25 ms and 120 s duration, respectively.

Gold decoration for graphene layers

In 2008 G, that is a two-dimensional single layer of graphite, started to be actively modified by different noble metal nanoparticles, including AuNMs [\[46](#page-16-0)–[49\]](#page-16-0). However, there are not too many publications concerning the loading of controlled or large amounts of AuNPs on graphene sheets [[50](#page-16-0)–[53](#page-16-0)]. Positively charged AuNPs with the size of \sim 2–6 nm were self-assembled on the surfaces of 1-pyrene butyric acid functionalized graphene sheets simply by mixing their aqueous dispersions under the driving force of electrostatic interaction.

The amount of the AuNPs assembled on the modified G sheets could be easily modulated by controlling the feeding weight ratio of both components. Additionally, it was found that the modified G sheets had a high loading capability of AuNPs, and the maximum value was determined to be \sim 300 times higher than own weight of 1-pyrene butyric acid functionalized graphene sheets. The surface of GC electrodes, covered by this AuNMs-G composite, showed high electrocatalytic activity and electrochemical stability. A uric acid electrochemical sensor based on the composite modified electrode was proposed to get a rapid response and high sensitivity. Recently, a simple method of depositing uniform AuNPs on a less than 10 stacked layers of G coated with poly[2,2′-(2,6 pyridine)-5,5′-bibenzimidazole] without using any capping agents has been proposed in [[54\]](#page-16-0). The AuNPs obtained, with the size of 1.6 ± 0.3 and 3.3 ± 0.5 nm, exhibited an excellent activity for oxygen reduction reaction, with the onset potential at -0.11 and -0.09 V (vs. Ag/AgCl), respectively.

AuNMs with different morphologies such as polygons, dendrites, irregular islands, and dense clusters have been obtained on the G surface in [\[55](#page-16-0)]. G samples were prepared on a silicon wafer with an insulating $SiO₂$ layer of 300 nm through micromechanical cleavage of natural graphite flakes. The 4 nm-thick layer of gold was thermally deposited on the G surface, where dense clusters of gold were prepared at room temperature [\[56](#page-16-0), [57\]](#page-16-0); polygons and irregular islands of gold were formed at 100 and 80 °C, respectively; and gold dendrites appeared when the substrate was cooled down from 80 °C to the room temperature. The authors of [\[58](#page-16-0)] demonstrated a considerable effect of substrate temperature on the nucleation and growth of gold films through vapor deposition on single crystal graphite. As the temperature of substrate increases to or above 200 °C, the greater kinetic-controlled island growth results in much more prominent coalescence and grain growth events, so that more three-dimensional and geometrical metal islands can be observed. Based on the same principle, the observed morphologies of gold films on the G surface [\[55\]](#page-16-0) agreed well with those shown on the graphite surface. Due to high temperature at the beginning of deposition, the nucleation of gold tends to be scattered and the saturated islands have a bigger size. But then temperature goes down and the atom mobility decreases. Therefore, the following AuNPs would choose a nearby growing island in the coalescence process and form a smaller island during recrystalization. This approach results in the typical gold dendrites where big nuclei appear in the center, surrounded by small branches [\[55\]](#page-16-0). Additionally, it should be noted that the polygon of gold which consists of geometrical gold islands was prepared on a single layer graphene at a relatively low substrate temperature as compared to that of graphite. Thickness-dependent morphologies of gold thermally deposited onto the n-layer G were observed in [[57](#page-16-0)], where the highest density of gold particles on monolayer graphene was attributed to its highest surface diffusion barrier through nlayer G. It was concluded that with the substrate staying at a higher deposition temperature, the shift in the surface free energy or the surface diffusion barrier within G is more prominent, therefore morphologies of gold films are expected to change more easily on G than on graphite.

Morphology and stability of AuNMs

Definitely, the size and shape of the deposited AuNMs should be controlled by the conditions created, where deposition time and concentration of a gold precursor were the first responsible factors [[59](#page-17-0)]. However, temperature value had a more multipurpose influence on the surface morphology. Since nucleation density and the deposition rate increase, when the applied temperature increases, the grain size of the deposit decreases. Obtained at 60 °C, AuNMs displayed dense and homogeneous microstructures with spherical particles, and the deposited layer was a bit stressed stripe [\[60\]](#page-17-0). This fact might be explained by the appearance of internal or residual stress during electrodeposition of metals or alloys. The stress can originate from intrinsic film stress and from interfacial stress between the deposit and the substrate [\[61\]](#page-17-0). Additionally, in the wet chemistry synthesis certain surface active substances or buffers might affect the nucleation stage and hence control the shape of gold nanoclasters, nanoislands, and nanoparticles. Zwitterionic buffer, 2-[4-(2-hydroxyethyl) piperazin-1-yl] ethanesulfonic acid used in [\[62](#page-17-0)] acted as a reducing and shape-directing agent yielding irregular AuNPs. This irregular particles morphology with corners and edges supported a higher surface density of incipient gold oxide that might act as a fast redox mediator for the oxidation of glucose, while regular spherical morphology of AuNPs was minimally active. In contrast to photonic applications that typically require uniform nanoparticles, the electrocatalysis was successfully completed with a number of gold aggregates of different shapes and sizes.

Nanoporous gold film

It is well-known that electrodes with multimodal pore size distribution on the nano- or micrometer scale are desirable for most applications. The presence of micro-pores promotes

the fast transportation of the reactants while nanopores are a reason for a high surface area and hence increase the rate of the chemical or electrochemical reaction. High surface area gold could be prepared through electrochemical dealloying [\[63\]](#page-17-0), or through electrodeposition within rigid templates [\[64](#page-17-0)]. Such electrodes usually have a uniform pore size distribution, this frequently resulting in insufficient electrocatalytic and sensing performance. Moreover, porous metals prepared by dealloying often contain some amount of a less noble metal. Direct electrodeposition of nanoporous gold with controlled multimodal pore size distribution with the help of a dynamic hydrogen template was reported in [\[65](#page-17-0)]. Porous gold was prepared by electrodeposition from an aqueous electrolyte consisting of 0.1 M $HAuCl₄$ and 0–3 M NH₄Cl. Gold was potentiostatically deposited within the range of $E = 0$ to -8 V (vs Ag/AgCl) on a Pt/Ti/Si electrode over 15–120 s. The gold foams were free impurities and had pore size in value of \sim $0.01-10 \mu m$ (Fig. [2\)](#page-6-0). Roughness factor values (the ratio of real surface area to the geometric surface area) were estimated as 400–500. It was concluded that the deposition of porous gold foams is only possible when a sufficient amount of $NH₄Cl$ is added to the electrolyte as a hydrogen source. The stabilized gold foams had a well-known structure on the nanoscale with ligaments, which are usually obtained after dealloying. Nanoporous gold prepared using this hydrogen templated electrodeposition method is very promising for electrocatalytic and sensing applications.

Reductive electron transfer dynamics in the multilayer film of alkanethiolate monolayer-protected AuNCs in contact with an aqueous electrolyte was studied in [\[66](#page-17-0)]. It was determined that electrons are transported within the film phenomenologically via the diffusion-like hopping between the localized protected AuNCs sites (Fig. [3](#page-6-0)) with an average first order rate constant of 10^4 s⁻¹. This value is comparable to those with a similar kind of films immersed in organic electrolytes but much smaller than those for the all-solid films. It was found that the solvent swelling produces a negligible effect on the electron hopping dynamics and that the electron transfer is most likely limited by the diffusive redistribution of the counterions in order to keep local electroneutrality.

Nanoporous gold film composed of three-dimensional bicontinuous nanostructures with a large surface area was prepared in [\[67\]](#page-17-0). Electrochemical cleaning process was applied to create a nanoporous gold electrode within the potential range from -0.2 to $+1.6$ V vs. a saturated calomel electrode in 1 M H2SO4 until stable cyclic voltammograms were obtained. Nano-channels inside the film provided ideal local environment for immobilization of enzyme molecules with expected stabilization of protein molecules. It was concluded that nanoporous gold electrodes offer microenvironments for redox molecules that are efficient in enzyme voltammetry as well as for mediated enzyme electrocatalysis. This behavior

Fig. 2 SEM images of a typical multimodal gold foam electrodeposited at $E = -4$ V vs Ag/AgCl, [NH₄]⁺ = 2 M, $t = 20$ s. Peprinted with permission from [[65\]](#page-17-0). Copyright © 2010, Elsevier. B.V. All rights reserved

was explained by the obtained pore/ligament surface structure of the film as well as by the presence of areas of low-index Au(111), Au(100), and Au(110) surface structures. Moreover, these well-defined regions of the surface structured gold were reflected in clearly distinct anodic voltammetric peaks. Nanoporous gold films with different pore size were fabricated by chemical dealloying, where Ag-Au alloy in the form of the foil was immersed in 67% HNO₃ at room temperature for 24 h [[68](#page-17-0)]. For some samples, anneal process at 200 °C for 2 h was applied afterwards. Excellent electrical conductivity as a notable advantage of the obtained films encouraged to use these AuNMs in the enzyme electrode construction. Therefore, nanoporous gold films were a success in catalysis and bio-sensoring.

Fig. 3 Illustration of charging coupled by cation and electron migration (solid arrows) and the electron hopping between neighboring monolayers of protected AuNCs coupled by diffusive counterion relocation (dotted arrows). Adapted with permission from [\[66](#page-17-0)]. Copyright © 2012, Elsevier. B.V. All rights reserved

Formation of AuNWs

Anisotropic AuNPs, including nanowires, were synthesized applying several wet chemical approaches. Uniform gold nanorods with a controlled aspect ratio were prepared through electrochemical reduction in the presence of rod-shaped concentrated cationic surfactants called soft templates [[69](#page-17-0)]. Rodor thread-like surfactant micelles can be used to prepare gold nanorods through chemical and photochemical reduction [[70\]](#page-17-0). Several groups have shown that the addition of $Ag⁺$ ions to the gold growth solution constitutes an important factor in controlling the aspect ratio of gold nanorods [[71\]](#page-17-0). Polycrystalline rod-shaped gold particles were prepared through electrochemical reduction in hard templates such as mesoporous Al_2O_3 or polycarbonate membranes [\[72\]](#page-17-0). More recently, seed-mediated crystal growth has been used in the synthesis of uniform gold nanorods, in which the seed concentration appears to be critical for shape-selective AuNWs formation [[73\]](#page-17-0). Simple photochemical synthesis to prepare long, freestanding, and continuous AuNWs was developed in [[74\]](#page-17-0) (Fig. [4](#page-7-0)).

In the procedure, the addition of spherical seeds or silver ions was not applied, and it might be performed at ambient conditions. The ratio of $(AuCl₄)$ ⁻ to cationic surfactant, hexadecyltrimethylammonium bromide, and the pH of the solution used for the growth played important roles in the AuNWs formation with aspect ratios more than 100. The solution with reactants was mixed for 10 s and then irradiated with 365 nm UV light for about 9 h at the distance of 10 cm from the light source, at room temperature. The color of the pure HAuCl₄ solution was light yellow, while the solution containing HAuCl₄ and hexadecyltrimethylammonium bromide became dark yellow. However, the color of the solution might be restored again to light yellow after the addition of certain amounts of NaOH. During UV irradiation, the solution irreversibly changed to a violet color with a blue tint, resulting

Fig. 4 TEM images of the gradual evolution of AuNWs during UV irradiation for 3 h (a), 6 h (b), and 9 h (c). Tadpole-shaped AuNPs are indicated with arrows in a. Peprinted with permission from [[74](#page-17-0)]. Copyright © 2006, Korean Chemical Society

in a new absorption band appearing at around 530 nm, which corresponds to the well-known surface plasmon absorption band of gold nanocrystals. Facile synthesis of single crystalline AuNWs through reduction of $HAuCl₄$ in oleic acid and oleylamine was proposed in [[75\]](#page-17-0). These micrometer-long wires were prepared with controlled diameters of either 3 or 9 nm. Moreover, the diameter could be further changed by varying the volume ratio between oleylamine and oleic acid. The linked 9-nm AuNWs showed high electron conductivity with the resistivity value of 260 Ω × nm, breakdown current of 250 μ A and failure current density of 3.5 \times 10¹² A/m². It was concluded that those chemically synthesized single crystalline AuNWs might be used for molecular-scale interconnection in nanoelectronics. In [\[76\]](#page-17-0), water-soluble AuNWs were prepared through the introduction of a trace amount of hydrophobic molecules (toluene or chloroform) to the gold precursor solution, using cylindrical hexadecyltrimethylammonium bromide micelles as templates. The mechanism of AuNWs synthesis was proposed on the basis of the soft template approach (Fig. [5](#page-8-0)).

Toluene or chloroform preferably incorporate with inner hydrophobic chains of the hexadecyltrimethylammonium bromide double layer, thereby leading to a decrease in the inner diameter of self-assembled cylindrical micelles, which in turn template the growth of the ultrathin AuNWs. It was concluded that such a simple and robust method can be extended onto the synthesis of a large variety of nanowires that get rich in hexadecyltrimethylammonium bromide as the surface capping ligands. Furthermore, the ability to create such ultrathin AuNWs may open up exciting opportunities for fundamental studies of their unique physical properties and hence promising applications in nanoscale photonic, electronic, and optoelectronic devices.

Size and structure of AuNCs

Many experiments and calculations have established that reactivity of metallic nanoclusters in gas-phase reactions varies with the number of atoms and with the charge on the atomic clusters. The chemistry of Me_n differs from that of Me_{n-1} or Me_{n+1} and obviously from that of Me_n^+ or Me_n^- . Therefore, it was assumed that nanoclusters supported on an oxide surface may have novel and interesting catalytic properties that are totally different from those of nanoparticles (diameter size in range of \sim 10–100 nm). In [\[77\]](#page-17-0), it was summarized that (1) a change of the atomic number in a nanocluster alters its catalytic properties; (2) small cluster size limits the number of reactions on the nanocluster, which increase selectivity; (3) as compared to nanoparticles, a nanocluster is more sensitive to the nature of the support and environment; (4) catalytic properties of a nanocluster change more drastically when it is alloyed; (5) promoter or poisons affect nanoclusters more dramatically; (6) nanocluster changes its properties when exposed to charge donors or acceptors; and (7) when the catalyst is an expensive metal, the use of its nanoclusters is a benefit from the economic point of view. At the same time, some drawbacks were also identified and summarized as follows:

Fig. 5 The proposed mechanism for the formation of AuNWs. CTAB means cetyltrimethylammonium bromide. Adapted with permission from [[76](#page-17-0)]. Copyright © 2015, The Royal Society of Chemistry

(1) from the thermodynamic point of view, the surface energy of a nanocluster decreases and hence an ensemble of nanoclusters coarsens to form nanoparticles with nonuniform size distribution; (2) the proposed controlled syntheses of nanoclusters is too expensive for practical use. In spite of that, AuNCs have come to be among the most convenient AuNMs to provide some correlations between structure and properties that can be extrapolated onto the respective nanoparticles used in practical catalysts.

Using electrochemical approaches, a unique advantage to form metal clusters at electrode surfaces might be found since the supersaturation is determined by the applied potential [\[78\]](#page-17-0). Thus, transient and relaxation methods can be used for studying the kinetics of cluster growth. However, in these experiments, the presence of a solid substrate can influence strongly the properties of the new deposited phase. Therefore, water/ organic solvent (so called "liquid/liquid") interfaces offer an ideal substrate for the investigation of metal cluster nucleation phenomena by reducing or completely eliminating the interaction between the substrate and deposits. In experimental work [\[79,](#page-17-0) [80\]](#page-17-0), it was shown that electron transfer between redox species present in immiscible water and organic phases can be observed by applying a suitable interfacial potential difference. To achieve this, the redox couples must be chosen such as to ensure that no ionic transfer reaction coupled to electron transfer occurs. The interest in these systems is that although no metallic phase is present as a substrate, electron transfer between the two phases, both containing ionic solutions, can be controlled by the applied Galvani potential difference. Electrochemical metal-cluster formation can be investigated when one of the components of the redox couple is an aqueous metal ion or a complex with a hydrophobic ligand L soluble in the organic phase, according to the reversible reactions [[78](#page-17-0)]:

$$
M^{z+}(w) + z \text{ Red}(o) \leftrightarrow M_z^{\sigma} + z \text{ Ox}(o)
$$
 (12)

or

$$
[M_x]^{z+}(o) + z \text{ Red}(w) \leftrightarrow M_z^{\sigma} + z \text{ Ox}(w) + xL(o) \qquad (13)
$$

where Red and Ox refer to the reduced and oxidized forms of the redox couples; $M^{\epsilon+}$ represents the metal ion and M_{z}^{σ} is the metal particle formed at the interface containing ζ metal atoms; (w) and (o) refer to water and organic solvent, respectively. It is well-known that during metal electrodeposition, the supersaturation Gibbs energy (ΔG_s) for new phase formation and growth is obtained as follows:

$$
\Delta G_s = -nF\eta \tag{14}
$$

where *n* is the number of the transferred electrons and η is the overvoltage. For a reaction at the immiscible electrolyte solution interface, η value might be calculated as follows [[78\]](#page-17-0):

$$
\eta = \Delta_o^w \phi - \Delta_o^w \phi_{\text{eq}} \tag{15}
$$

where $\Delta_o^w \phi$ and $\Delta_o^w \phi_{eq}$ is the applied and equilibrium Galvani potential difference for the two-phase reaction, respectively, which includes the standard potentials and activities of the two redox couples in their corresponding phases [\[79](#page-17-0), [80](#page-17-0)]. First time, the AuNCs and their further coalescence to metallic films was successfully prepared electrochemically at the interface between two immiscible electrolyte solutions under a controlled applied potential [[78\]](#page-17-0). The $AuCl₄⁻$ anions were electrochemically reduced to AuNCs at the "liquid/liquid" interface and the interfacial growth of AuNPs with time was analyzed by in situ spectrophotometry.

AuNCs are metal atomic clusters consisting of groups of gold atoms, which have established compositions and stable geometric structures and usually have a diameter size of a few nanometers. Atomic clusters might be considered as the most elemental Au^0 building blocks (after separate gold atoms) bringing new possibilities for novel nano- or microstructure construction. The properties of AuNCs are very different from bulk gold, this offering their application in novel nanotechnologies such as sensors, biological labeling, electronics, and catalysis. Although synthesis and characterization of AuNCs is more difficult than that of the common AuNPs, their unique physical and chemical properties encouraged to pay attention to them in many scientific domains. The discovery of the fact that AuNCs are less toxic than classical semiconductors (e.g., CdS, GaAs, etc.) and that AuNMs become semiconductors when their dimensions are below \sim 2–3 nm results in a novel strategy of highly fluorescent and nontoxic gold quantum dots. Catalysis using AuNCs is of extensive interest not only in fundamental studies but also in practical applications.

Tsunoyama et al. [\[81](#page-17-0)] have studied the effect of electronic structures of Au-poly(N-vinylpyrrolidone) clusters on aerobic oxidation catalysis, when catalytic activity becomes higher with the decrease in the core size. One of the first chemical syntheses of AuNCs was a two-step top-down approach consisting in thioletching of AuNPs [\[82](#page-17-0)]. The bottom-up strategic approach shown here is a simple one-step electrochemical process, with biocompatible poly(N-vinylpyrrolidone) being used as a cappingstabilizing agent. AuNCs were synthesized in acetonitrile through modification of the electrochemical technique used before for AuNPs production [\[83,](#page-17-0) [84\]](#page-17-0). A new electrochemical method of producing fluorescent and paramagnetic Au-poly(Nvinylpyrrolidone) clusters was presented in [\[85](#page-17-0)] and showed several advantages, such as reproducibility and effectiveness, with a good control of the cluster size at the same time. Serious changes in fluorescent and magnetic properties were observed with only one-atom difference between the AuNCs, which can be easily predicted by the simple spherical Jellium model. The model proposed that Au₃ clusters should have the following electronic structure $(1S^2 2P^1)$ and therefore exhibit a paramagnetic behavior that is contrary to Au_2 clusters $(1S^2)$ which should display a diamagnetic behavior. Results of electron paramagnetic resonance measurements agreed well with theoretical calculations $[86]$ showing that gold dimers $(Au₂)$ clusters) have zero magnetic anisotropy energy while trimer $(Au₃)$ is poorly magnetic, with the value of magnetic anisotropy energy of 8 meVonly. Different conditions of electrochemical synthesis such as temperature value, time, and potential of gold nucleation were crucial for obtaining clusters with 2 or 3 gold atoms [\[87\]](#page-17-0). All those prepared in [[85\]](#page-17-0) AuNCs were very stable against strong reducing and oxidizing agents since no changes in their optical properties were observed during 2 years. Thermal stability of AuNCs with the size range of 4–6 nm in highly oriented pyrolytic graphite nanopits of well-defined depth was studied in [\[88](#page-17-0)]. At intermediate coverage, these AuNCs arranged themselves to form beads with constant density and narrow size distribution. The spacing between these beads varied within the range of 3.9–5.6 nm depending on the gold loading. The height of the AuNCs was independent from the pit depth, i.e., the number of fixing dangling bonds. These AuNCs were stable against thermal annealing under ambient conditions up to 750 K. Moreover, at higher temperatures, the size distribution became sharper, indicating particular stability for a given size. It was noticeable that AuNCs catalyzed the etching of the graphene layers and formed channels which have identical depths as the initial confining pits. Theoretical calculation of energy structures of AuNCs started for neutral gold clusters with atomic number from 2 up to 20 [\[89](#page-17-0)–[92\]](#page-17-0) and predicted the $2D \rightarrow 3D$ transition point for neutral Au_{11} claster that drops in between that of cationic Au_8^+ and anionic Au_{12}^- AuNCs.

In practice, AuNCs must be stabilized by a stabilized agent (solution or substrate). But even at successful synthesis of a stabilized gold nanocluster, its structural study is still a big challenge

due to the difficulty in growing gold single crystals for X-ray crystallography experiments. In this context, X-ray spectroscopy techniques such as X-ray absorption spectroscopy (XAS) and Xray photoelectron spectroscopy (XPS) were found to be desirable alternative tools [\[93](#page-17-0), [94\]](#page-17-0) to analyze the structure and properties of, e.g., gold-thiolate nanoclusters [[95](#page-17-0)]. The last experimental work included X-ray spectroscopy studies of the gold-thiolate nanoclusters, such as Au_n ($n = 19;25;36;38$). It was demonstrated that synchrotron-based XAS and XPS techniques were unique and powerful tools for probing the structural and electronic properties of the gold-thiolate nanoclusters. Using electrochemical deposition of AuNCs on ultrathin overoxidized polypyrrole film covering the surface of the GC electrode, a composite nanoelectrode array sensor was fabricated [\[96\]](#page-17-0). The AuNCs were electrochemically deposited under cyclic voltammetry scanning from 0.2 to -1.0 V in the 0.5 mM HAuCl₄ solution at the scan rate of 50 mVs−¹ for 15 cycles. Due to the synergic effect of the overoxidized polypyrrole film and AuNCs, the modified electrode had good stability, sensitivity, and selectivity to determine epinephrine and uric acid in the presence of ascorbic acid in large amounts. The catalytic activity of the overoxidized polypyrrole film was significantly enhanced by the presence of AuNCs, due to increased electronic conductivity and effective surface area. AuNCs were electrodeposited on the phosphorus incorporated tetrahedral amorphous carbon electrode and characterized by XPS, chronoamperometry, and cyclic voltammetry [[97](#page-17-0)]. Two cycles of voltammogram of this electrode in the 0.5 mM HAuCl₄ solution at 0.02 Vs⁻¹ (Fig. 6) showed similar curve shape as it was in [\[98\]](#page-17-0). Peak "A" of gold reduction was observed at about 0.16 V in the first scan, while peak "B" at $- 0.30$ V was related to the reduction of hydrogen ions to hydrogen atoms adsorbed on the electrode surface [\[99\]](#page-17-0). Peak "C" at 1.14 V on the returning curve confirmed oxidation of the electrodeposited AuNCs. A crossing of forward and reverse currents was observed

Fig. 6 Two cycles of voltammogram of the phosphorus incorporated tetrahedral amorphous carbon electrode in the $0.1 \text{ M H}_3 \text{BO}_4 + \text{H}_2 \text{SO}_4$ solution including 0.5 mM HAuCl₄ (pH = 1.4) at 0.02 Vs^{-1} . Reprinted with permission from [\[97](#page-17-0)]. Copyright \odot 2008, Elsevier. B.V. All rights reserved

at 0.81 V, and that fact was related to the equilibrium potential of Au/Au^{3+} electrochemical reaction. Peak "A" in the second cycle shifted to a more positive potential of 0.61 V that might be explained by the fact that already existent gold nuclei made the further gold deposition easier. Peak "B" also moved towards more positive potential values indicating a decrease in the overpotential for hydrogen reduction. Furthermore, the reduction and oxidation peak of gold shifted cathodically and anodically, respectively, with increasing sweep rate and a linear relationship between the maximum current at the peaks and the square root of sweep rate, suggesting that reduction and oxidation processes of AuNCs were diffusion-controlled. The size of AuNCs was controlled through alteration of the deposition time, representing progressive nucleation and diffusion-controlled growth of separate 3D gold islands. Significant enhancement of electrochemical activity and reversibility towards ferricyanide oxidation reaction was observed after AuNCs deposition on the surface of the tetrahedral amorphous carbon electrode that was proposed as a potential material to be used in electroanalysis.

Redox properties of monolayer-protected AuNCs were studied through cyclic voltammetry in polar organic solvents, where significant effect of electrolyte ions was observed [[100\]](#page-17-0). A charging response bridging those demonstrated in aqueous and organic media was displayed. The overall charging process was kinetically controlled through electron hopping between the monolayer-protected AuNCs inside the film, which was thermodynamically coupled by the concurrent migration of supporting electrolyte counterions for electroneutrality. This valuable data is helpful for understanding why the counterion dependent redox charging was evident only in highly polar aqueous media but not in weakly polar or nonpolar organic solvents. A non-enzymatic electrochemical sensor using AuNCs to detect organophosphorus pesticides was proposed in [[101\]](#page-17-0). Voltammetry scanning studies along with scan rate effect revealed a mixed mass transport regime where the thin layer diffusion effects exhibited the enhanced activity of the AuNCs. This fact might be explained by the high surface-to-volume ratio, large binding sites, and electronic effect. The proposed sensor matrix was evaluated employing square wave voltammetry, showing a very low detection limit of 0.65 nM for reductive methyl parathion detection. The authors of [\[101\]](#page-17-0) experimentally confirmed that usage of AuNCs ion on the modified electrodes exhibited their suitability for enhanced and sensitive determination of methyl parathion as compared to bulk gold or even AuNPs.

Size effect of AuNMs on chemical reactivity and optical properties

Approximately one decade ago, scientists started giving the answer to the question as follows: "why are the actual size, shape and surface distribution of AuNMs interrelated and show a dependent influence on the physical and chemical properties of gold modified materials?^ And the first issue in order to understand this behavior was controlled synthesis of AuNMs with desired parameters.

Controlled synthesis of AuNMs

Today, the pulsed electrodeposition technique remains the universal method for preparation of AuNMs with certain properties. According to the theory of nucleation [[102](#page-18-0)], the size and number of nuclei depend on the overvoltage (η) :

$$
r = \frac{2\sigma V}{ze_0|\eta|} \tag{16}
$$

where r means a critical nucleation radius, σ specific surface energy, V atomic volume in the crystal, and ζ the number of elementary charges e_{α} . High-nucleation density on the electrode surface can be achieved at a high η value. This high overvoltage can only be maintained for a few ms since cation concentration in the vicinity of the cathode decreases, and therefore, the process becomes diffusion-controlled [\[103\]](#page-18-0). Over the intervals between pulses (t_{off}) , metal ions diffuse from the bulk electrolyte to the cathode and compensate metal ion depletion, and due to exchange current processes energetically more preferred larger crystallites grow at the expense of smaller crystallites. This process can be prevented by surface active substances that work as grain refiners when added directly to the electrolyte. In experimental work [[104\]](#page-18-0), it has been shown that current density, pulse duration, bath temperature, and composition were utilized for the variation of the crystallite size of AuNPs. The authors observed a decrease in the crystallite size down to 16 nm through proper choice of current density and temperature, and further down to 7 nm with the help of sulfur- or arseniccontaining additives. The grain growth kinetics of AuNPs was evaluated in terms of the growth model with size-dependent impediment. The value of activation energy of grain growth in nanocrystalline gold is considerably lower than that for grain boundary self-diffusion in coarse-grained gold. Using wet chemistry approach, the authors of [\[105\]](#page-18-0) found that under similar synthetic conditions, a refined change of the thiolate ligand structure could have a significant effect on the resulting size of AuNCs. During the first step of synthesis, a polydispersed crude product protected by para-, meta-, ortho- methylbenzenethiol was prepared (Fig. [7\)](#page-11-0). At this stage, 0.4 mmol of $HAuCl₄$ was phase-transferred from an aqueous solution to toluene using tetraoctylammoniun bromide (0.46 mmol) , and then Au(III) was reduced to Au(I) through addition of the corresponding thiol (2 mmol), and eventually reduced to neutral AuNCs by NaBH4 (4 mmol). During he second step, the polydispersed crude product was subjected to size-focusing through etching with excess thiols at 80–90 °C without oxygen exclusion. Thus, the final products were obtained, and the formulas were determined

Fig. 7 The scheme of a two-step size-focusing synthesis of the ligandstabilized gold nanocluster with size exploring para-, meta-, orthomethylbenzenethiol (p-MBT, m-MBT, o-MBT) isomeric ligands.

TOAB stands for tetraoctylammoniun bromide. Reprinted with permission from [[105](#page-18-0)]. Copyright © 2015, American Chemical Society

to be $Au_{130}(para-methylbenzenethiol)_{50}$, $Au_{104}(meta-methylbenzenethiol)_{41}$, and $Au_{40}(ortho$ methylbenzenethiol) $_{24}$. The decreasing size sequence was in line with the increasing number of methyl group obstacles to the interfacial Au−S bond. The authors of [\[105\]](#page-18-0) explained the shrinkage of the gold nanocluster sizes by the difference in the steric hindrance among the three isomeric methylbenzenethiols, where the closer the methyl group to the sulfur atom in the thiol, the more structural obstacles would occur in the gold-sulfur interface. Additionally, the authors concluded that the size and structure of AuNCs became sensitive to the refined structural change of protecting thiols only when the thiolate ligand backbone was rigid, otherwise a flexible ligand backbone would not show this influence on the nanoclusters size. This conclusion made clear why phenylethylthiol, aliphatic thiols, and glutathione showed similar nanoclusters sizes, despite their different ligand structures. Nevertheless, there is no answer to how the exact number of gold atoms in Au_n should be predicted through such wet chemical synthesis. It is suggested that depending on the thermodynamic stability of polydispersed crude product and the nature of the ligands, a certain size of AuNCs might be obtained. Therefore, stability of the final size of nanoclusters was a combination of the surface-protecting ligand and the core structure of AuNCs.

Another wet chemistry approach was used to synthesize AuNPs protected by pamoic acid [\[106\]](#page-18-0). The synthesized AuNPs capped by pamoic acid were used as seeds to prepare larger AuNMs [\[107\]](#page-18-0). The prepared seed solution was added to a mixture of $HAuCl₄$ and di-sodium salt of pamoic acid under stirring for 24 h to complete the growth of AuNPs. It was found that the size of the prepared AuNPs was changeable within the range of \sim 11–76 nm depending on the pH value, 8.1–3.7, respectively. Moreover, different sizes of AuNPs were also achieved through a seed-mediated growth approach. The fluorescence emission spectra of AuNPs protected by pamoic acid [\[107](#page-18-0)] showed significantly higher intensities and higher values of zeta potential, i.e., higher colloidal stabilities as compared to those of citrate-capped AuNPs [\[108](#page-18-0)].

Size effect on optical properties of AuNCs

The most striking example of fluorescence occurs when the radiation absorbed is within the ultraviolet region of the spectrum, and thus invisible for the human eye, while the emitted light is within the visible region, which gives the fluorescent substance a distinct color that can only be seen when exposed to UV light. In most cases, during fluorescence the emitted light has a longer wavelength, and therefore lower energy, than the radiation absorbed. As it has been mentioned before, depending on the values of zeta potential of gold colloids, the

intensity of fluorescence emission spectra might be different. However, the main peculiarity of gold from the optical point of view is the presence of electronic interband transitions within the visible range; hence, absorbed specific light energies might yield their distinct color. Plasmons that might be considered as collective oscillations of the free electron gas density have a great effect on the optical properties of AuNMs. The light of frequencies below the plasma frequency is reflected by AuNPs because the electrons in the material screen the electric field of the light. AuNPs are of great huge interest due to their distinctive optical property known as surface plasmon resonance (SPR) [\[109\]](#page-18-0). Additionally, based on the laser-induced SPR supported by AuNMs conventional surface-enhanced Raman scattering might appear. Since localized surface plasmons (LSPs) are charge density oscillations confined to metallic nanoparticles, the excitation of LSPs by incident light results in the appearance of intense surface plasmon (SP) absorption band, which is affected by the changes in the refractive index of nanoparticle proximity [[110](#page-18-0)].

Localized surface plasmon resonance (LSPR) of twinlinked AuNPs deposited onto transparent ITO was used as a label-free optical biosensor of an enzyme [\[111](#page-18-0)]. LSPR excitation was found to depend on the particle alignment, interparticle distance, and excitation wavelength [[112](#page-18-0)]. It was shown that periodic gold nanoparticle arrays exhibited a fast thirdorder nonlinear optical response [[113](#page-18-0)]. Aggregated AuNPs in hydrophobic poly(etherimide) membranes demonstrated three-photon type nonlinear absorption attributed to excited state absorption occurring in nanostructures [[114](#page-18-0)]. The authors of [[115\]](#page-18-0) decided to study third harmonic generation abilities of 20–50 nm AuNPs covalently attached to the ITO substrate, and particular influence of size dispersion on the output optical and nonlinear optical effects was studied. It was expected that the effects of the size of AuNPs could be explored without leading to irregularity of AuNPs. Using the hyperfine AFM methods including the surface topology, three types of samples which are different by size were identified. It was concluded that by size and shape AuNPs were highly monodispersed, with a defined interparticle distance. The prepared nanoparticles together with their separation from the conducting ITO substrate were found to be the minimum criterion for their use as materials for perfect interactions with external electromagnetic light and laser coherence in particular. The authors of [[115](#page-18-0)] found a substantial increase in at least two spectral peaks of the photo-induced absorption and showed that this process continued after interruption of the laser dealing. There were three bands observed within the photo-induced absorption spectra of AuNPs located on the ITO situated at about 350, 430, and 580 nm. The first band at about 350 nm was attributed to the interband recombination of the valence d-band electrons with the holes in the sp.-band conducting. At the same time, a drastic increase in the third harmonic generation during decreasing of nanoparticles' size

from 50 to 20 nm was found, which fact may be accounted for by localized nano-confined effects.

Size effect on selectivity and catalytic activity of AuNMs

Catalysis by AuNMs has become one of the fastest growing research topics in the field of catalysis [[116](#page-18-0), [117\]](#page-18-0). AuNPs in close vicinity to substrates showed high activity and selectivity in a number of chemical reactions, including lowtemperature CO oxidation and propylene epoxidation [[13\]](#page-15-0), selective hydrogenation of unsaturated hydrocarbons [\[118\]](#page-18-0), etc. AuNPs of smaller size generally showed higher alcohol conversion, due to their increased number of exposed gold atoms, although controversial results were reported with regard to the size effect based on per surface atom or per unit surface area of gold [[119](#page-18-0)]. This behavior might be due to strong interaction between AuNPs and the substrate. It might be concluded that carbon-supported AuNPs exhibited higher activity for glycerol or glycol oxidation than oxide-supported catalysts [[120\]](#page-18-0), suggesting dependence of the gold catalytic activity on the substrate composition [\[121\]](#page-18-0). Other studies revealed that AuNPs supported by metal oxides (e.g., $CeO₂$, $TiO₂$) could also show high catalytic activity for oxidation of aliphatic and aromatic alcohols [[122\]](#page-18-0). Moreover, in the aerobic oxidation of aliphatic alcohols, activity of AuNPs supported by the $CeO₂$ substrate was significantly enhanced through reduction of the particle size of $CeO₂$ [\[123\]](#page-18-0).

Ethanol oxidation over AuNPs immobilized on the $SiO₂$ substrate gave acetic acid and acetaldehyde as the main products [\[116](#page-18-0)]. Ethyl acetate was identified as the only by-product due to a minor esterification reaction between ethanol and acetic acid. It was found that selectivity to acetic acid was counter-related with that to acetaldehyde and the level of ethanol conversion appeared to be the sole factor in determining the product selectivity, independent of the AuNPs size. The selectivity to acetaldehyde was close to 100% when the ethanol conversion was less than 3%. When the level of ethanol conversion increased, selectivity to acetaldehyde gradually decreased with an attendant increase in selectivity to acetic acid, and hence the overall reaction gradually shifted from acetaldehyde to acetic acid selective catalytic process. The areal activity of the AuNPs of \sim 5 nm immobilized on the $SiO₂$ substrate was found to be higher than either smaller (\sim 3 nm) or larger $($ ~ 10–30 nm) Au particles. Further study of the dependence of product yields on ethanol conversion demonstrated that oxidation reaction proceeded by the same reaction mechanism over these differently sized AuNPs, in which acetaldehyde was formed as the intermediate reaction product to acetic acid.

The particle size effects of AuNPs on oxygen reduction in the acidic medium have been studied in [[124](#page-18-0)]. AuNPs were chemically prepared with controlled size distributions (1.7 ± 1.7)

0.5, 4.8 ± 2 , and 13.2 ± 2 nm) and deposited onto a carbon support. In the case of bulk gold, the number of electrons involved in oxygen reduction reaction (z) was nearly constant at the potential above -0.2 V, while the increase of z value was observed for AuNPs of less than 10–15 nm and the potential shifted to a more negative value, indicating that activity of the 2-step 4-electron reduction was high or even the direct 4-electron reduction of O_2 to H_2O occurred. The maximum increase of z value was observed when the size of the AuNPs was less than 3 nm. The $Au/SiO₂$ system was characterized by SEM, Auger electron spectroscopy and thermal desorption for gaseous CO [\[125](#page-18-0)]. Initial adsorption probability, which quantifies zero coverage reactivity of AuNPs towards adsorption of CO gas-phase species, versus gold exposure curves showed the maximum for AuNCs with the size of \sim 3.5 nm. In [[126\]](#page-18-0), Au/C catalysts containing AuNPs with the size \leq 4.7 nm had the highest mass activities during glycerol oxidation and were at least twice as active as those with the size \geq 43 nm. But AuNPs with the size of \sim 2 nm were not stable since they demonstrated growth during repetitive cycling in KOH. The catalysts containing small AuNPs also showed lower glycerol oxidation onset potentials than those with large AuNPs, corresponding to their activation at lower potentials. The lower onset potentials could be related to the predominance of Au(110) facets on small AuNPs while large AuNPs were also poisoned possibly due to a higher fraction of Au(111) or a lower gold surface area showing an early decline in glycerol oxidation currents, within the region where gold should still be active. While the mass activity trend favored small AuNPs due to the influence of the particle size on the specific surface area, larger AuNPs were found to manifest higher specific electrocatalytic activity for glycerol oxidation, i.e., to be free from surface area effects. It was noted that from the practical point of view factors like mass activity, lower overpotentials and higher stability were most relevant, and these conditions for fuel cell application could all be met by small AuNPs with predominantly Au(110) facets.

Direct measurements of peak potential for electrochemical oxidation of AuNPs attached to the ITO electrode as a function of the particle size was described in [\[127\]](#page-18-0). AuNPs arrays with particle diameters within the range of 8–250 nm through electrodeposition of Au from $HAuCl₄$ in $H₂SO₄$ at potentials of − 0.2 to 0.8 V vs Ag/AgCl using chronocoulometry to keep constant amount of deposited gold were synthesized. The average AuNPs size increased with the increase in the deposition potential. Another approach using chemical reduction of HAuCl₄ by NaBH₄ in trisodium citrate solution led to \sim 4 nm average diameter of AuNPs which were chemisorbed to the ITO electrode. Linear sweep voltammograms obtained on the gold-decorated ITO electrodes with the constant coverage of gold in terms of Au atoms per square centimeters and with the particle size from 4 to 250 nm from 0.5 to 1.1 V in 0.01 M KBr and 0.1 M HClO₄ showed a positive shift in the oxidation potential from 734 ± 1 to 913 ± 19 mV with the increase in the AuNPs size. The shift agrees qualitatively well with the one predicted by a shift in the redox potential based on the difference in free energy associated with the change in the surface energy as a particle size function. On the basis of the charge during gold deposition versus the charge during oxidation, oxidation process produces a mixture of AuBr₄⁻ (25%) and $\text{AuBr}_2^-(75\%)$ anions:

$$
Au^{0} + 2 Br^{-} \rightarrow AuBr_{2}^{-} + \bar{e} \qquad E^{0}(Au^{+1}/Au^{0}) = 0.963 \text{ V vs NHE}
$$
\n(17)

$$
Au^{0} + 4 Br^{-} \rightarrow AuBr_{4}^{-} + 3 \bar{e} E^{0} (Au^{+3}/Au^{0}) = 0.858 \text{ V vs NHE}
$$
\n(18)

In practice, the ITO electrode coated with a mixture of 4 and 250 nm AuNPs revealed two oxidation peaks consistent with the two AuNPs size populations presented on the surface. In [\[128\]](#page-18-0), a dramatic negative thermodynamic shift in the oxidation potential for < 4 nm AuNPs was reported. On the basis of the Plieth equation [[129\]](#page-18-0), the lowest oxidation potentials were calculated for AuNPs with the diameter within the range of 1–2 nm:

$$
E_{\rm NPs} = \left(-\frac{2\gamma V_{\rm m}}{zF}\right)\left(\frac{2}{d}\right) + E_{bulk} \tag{19}
$$

where E_{NPs} and E_{bulk} were the oxidation potential of AuNP and bulk gold, respectively; γ was the surface tension; V_{m} , molar volume; z and F, the number of electrons and Faraday's constant, respectively; and d , the AuNP diameter. Additionally, it was shown that together with reaction (13, 14) gold can be oxidized through chemical reaction (20) [[39](#page-16-0)]:

$$
Au^{0} + AuBr_{4}^{-} + 2 Br^{-} \rightarrow 3 AuBr_{2}^{-}
$$
 (20)

Finally, it was summarized that "3 \bar{e} " electrochemical oxidation through reaction [\(14\)](#page-8-0) was thermodynamically favorable, although previously an average " $1.5\bar{e}$ " [[128\]](#page-18-0) and "1.9ē" [\[39\]](#page-16-0) electrochemical oxidation was reported. Today, the reaction mechanisms of gold oxidation through reactions (17), (18), and (20) are not yet understood; however, the experimental data best agrees with those calculated by Plieth equation for a process involving " $1\bar{e}$ " or an average of "1.5ē" electrons. This fact suggests that because of a huge difference in the surface energy value between AuNCs with the size of 1–4 nm and common AuNPs with the size of 10– 100 nm, the value of the activation energy should be different, thus affecting the reaction mechanism. Obtained in [\[128\]](#page-18-0), experimental results suggested that oxidation of the smallest AuNCs in the presence of Br[−] or Cl[−] showed less dependence on the halide nature while for common AuNPs, it was highly prominent. It can be concluded that today only two main properties of AuNMs are definitely established in the

 $"AuNCs \rightarrow AuNPs"$ direction, and these are lower reactivity and higher colloidal stabilities.

Modern applications of AuNMs

The supported AuNMs showed remarkable structure sensitivity during their usage in catalysis because of low activation energy values. According to [[130](#page-18-0)], developments on gold catalyst are expected in three directions: (i) the first direction involves the discovery of new capabilities for AuNPs (with the size of 10–50 nm); (ii) the second direction is the study of the catalytic reaction mechanism of AuNMs; and (iii) the third direction involves the research works on AuNCs (with size of 1–3 nm). Today, the chemistry of gold starts being rich. Numerous ino- and organic compounds of gold were synthesized to become suitable precursors for AuNMs synthesis [\[131\]](#page-18-0). Single-crystal gold surfaces were used as model catalysts or electrodes for surface science or electrochemical studies and as substrates for the construction of self-assembled monolayers [\[132](#page-18-0)]. Preparation and characterization of ligand-protected AuNPs or AuNCs revealed a great attention paid to them due to their practical applications in catalysis/ electrocatalysis. Homogeneous catalysis by soluble gold complexes and heterogeneous catalysis by supported AuNMs have become new frontiers in modern catalysis [\[133\]](#page-18-0). Since the time when Haruta and coworkers reported that supported AuNPs exhibited astonishing activity in CO oxidation below room temperature [\[15](#page-15-0)], heterogeneous catalysis by AuNMs has been paid a considerable attention to from the theoretical and experimental points of view. The main reactions studied to date based on gold heterogeneous catalysis are well-known gas-phase reactions with a wide practical application:

$$
CO + \frac{1}{2}O_2 \rightarrow CO_2 \tag{21}
$$

$$
CO + H_2O \rightarrow CO_2 + H_2 \tag{22}
$$

 $CH_4 + 2 O_2 \rightarrow CO_2 + 2 H_2O$ (23)

$$
H_2 + O_2 \rightarrow H_2 O_2 \tag{24}
$$

More than 2000 relevant papers have been published in this area including development of novel AuNMs, elucidation of the nature of active sites and performance of kinetics studies on gold. This fact stimulated an increasing number of publications dealing with the non-conventional synthesis of AuNMs catalysts. In [[134](#page-18-0)], it was found that reaction mechanism of supported AuNPs for low-temperature CO oxidation (17) was highly dependent on the nature of the support. The catalytic active sites on the reducible metal oxide-supported gold mainly positioned at the interfaces of gold and the support where CO and O_2 molecules might be adsorbed, activated, and finally react'. Factors that could change the length of the perimeters between gold and the reducible metal oxide

substrate, including the size and structure of AuNPs and the morphology of the support material could greatly influence the catalytic performance of the nanocatalyst. Other words supporting gold further confirmed that the boundary between gold and the support was considered as the active site. Catalytic activity of gold supported by irreducible oxide supports was mainly attributed to low coordinated gold atoms. Thus, in wet chemistry or electrochemical synthesis of AuNMs, respectively, the stabilizing agent or supporting material plays an important role in the catalytic activity of gold. For example, the thiolated chitosan derivative successfully acted as a reduction and capping agent for AuNPs, and these materials showed fast catalyzed reduction of methylene blue [\[135\]](#page-18-0). Therefore, the extended concept for the stabilizing ligands or support materials would shed some light on the catalytic mechanism for catalysis through AuNMs [[136](#page-18-0)–[138\]](#page-18-0).

Many factors can influence electrocatalytic activities of AuNP-modified ITO electrodes [\[139](#page-18-0)]. Using surface plasmon absorption spectra of AuNP-modified electrodes, it was found that the decreased gold activity is not induced by AuNPs aggregation or their desorption from the electrode. Some reports have shown that hydrogen ad- or absorption into gold generates strain in metal lattices, which induces structural change and results in metastable and highly active surface states [\[140\]](#page-18-0). These states remain even after hydrogen removal, and AuNPs activation was proposed through NaBH4 or cathodic treatment [\[141](#page-18-0)]. However, in [[139](#page-18-0)], it was confirmed that highly active surface states might be generated during preparation of AuNPs, and they might be converted into less active surface states via slow structural reorganization. Taking into account valuable results from [\[139](#page-18-0)–[141\]](#page-18-0), AuNPs might be considered as catalyst under hydrogen absorption-desorption in metal-hydride electrodes based on Ti-Ni alloys [\[142](#page-18-0)–[144\]](#page-18-0). However, in order to fully exploit the potential application of AuNPs in electrochemistry, perfect AuNMs with well-defined properties and long-term stability in various environments have to be designed. Other attractive AuNMs in the form of disks, plates, sponges, stars, flakes, urchins, prisms, wires, and rods are the focus of intensive research [[145](#page-18-0)–[148](#page-19-0)]. When the properties of these AuNMs are combined with modern electrochemical technology, a more novel and sensitive interface will be constructed, which fact will result in a more profound nanoelectrochemistry development. Additionally, AuNMs are widely used in electroanalytical studies and have good capacity to prepare electrochemical sensing platforms with high sensitivity and selectivity to detect target molecules on the basis of different analytical strategies. In [[145](#page-18-0)], it was shown that AuNPs and inorganic nanomaterials could be used as enhanced electrode materials for electrochemical sensing applications. A reasonable combination of different inorganic nanomaterials with good conductivity may open up a new approach to gold-decorated hybrid nanomaterials as reinforced elements for the construction of a high performance

electrochemical sensor [\[149](#page-19-0)]. This is due to the fact that composite nanomaterials could provide larger electrochemically active surface areas for the adsorption of target molecules and effectively accelerate electron transfer between electrode and detection molecules [\[150](#page-19-0)–[153](#page-19-0)]. In order to improve sensitivity and selectivity of colorimetric sensors, engineering AuNPs with functional molecules having high recognition ability is required. Today, a significant research interest is directed towards AuNP-based colorimetric assays for DNA, enzyme activity, small molecules, metal ions, carbohydrates, and proteins, with their unique SPR being used as sensing elements [\[154](#page-19-0)–[160](#page-19-0)]. Especially, DNA-functionalized AuNPs as sensing elements have become of greater interest since DNA has many unique functions which could be easily adjusted by the target molecules binding. Research results on AuNMs reveal that their modern application in catalysis can open up many good opportunities in an extremely multidisciplinary environment, for them to be used as a catalyst in chemical-, photochemical-, and electrochemical reactions.

Conclusions

Today, the research of AuNMs is intensive and will help open up new methods to control their properties, hence stimulate their novel application in catalysis. One of the current challenges for AuNMs is either their very high activity or stability. Therefore, screening of a special design of these nanomaterials to provide both high catalytic activity and durability has developed in some directions. The first is to design monodisperse AuNCs with rich high-index facets. The second is to synthesize multimetallic NPs with diverse morphologies and compositions. And the third is to find connection between the surface properties of advanced supports and controllable size, shape and microstructure of AuNMs. Based on chemical and physical properties of AuNMs, their application is found far beyond as a catalyst in a typical chemical reaction. In electrocatalysis pure AuNMs and, specially, AuNMs combined with another component can provide specific surface reaction centers of predictive properties [\[161\]](#page-19-0). Additionally, because of their biocompatibility, medical applications become very attractive using the combination of AuNPs core with attached functional groups. Usage of AuNMs as drug delivery systems in photodynamic therapy for cancer treatment opens unusual advantages by the incorporation of photosensitiser ligands in plasmonic AuNPs [[162\]](#page-19-0). Since AuNCs can be catalytically active in chemical, photochemical and electrochemical reactions, they might be considered as universal NMs to catalyze many complex physical, chemical and biomedical processes.

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