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Electrical tree inhibition by SiO₂/XLPE nanocomposites: insights from first-principles calculations

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Abstract

It has been extensively observed in experiments that nanoparticle additives can efficiently inhibit the electrical tree growth of the cross-linked polyethylene (XLPE) matrix of power cables. Inspired by this, the first-principles calculations employing the density functional theory (DFT) method were performed in this study to investigate the significant role of SiO₂ nanosized fillers as a voltage stabilizer for power cable insulation. Several different types of α -SiO₂ fillers, including hydroxylated, reconstructed, doped or oxygen vacancy surface structures, were constructed to model the interfacial interaction for SiO₂/XLPE nanocomposites. It is found that the SiO₂ additives can restrict the movement of the polyethylene chain through van der Waals physical interaction. More importantly, based on the Bader charge analysis we reveal that SiO₂ could effectively capture hot electrons to suppress space charge accumulation in XLPE. However, some particular modified-surface SiO₂, such as incompletely hydroxylated, surfaces, as well as the reconstructed surface, are predicted to be favorable additives because of their quite strong physical interaction and very weak chemical activity with XLPE. The present study is useful to understand the mechanism of the nanosized voltage stabilizer and also provide important information for further experimental investigation.

Keywords Electrical tree inhibition \cdot SiO₂ \cdot Cross-linked polyethylene \cdot Density functional theory \cdot Interfacial interaction

Introduction

Cross-linked polyethylene (XLPE) has been widely used in power cable insulation due to its excellent corrosion resistance, electrical properties, and chemical stability [1-3]. However, after a long period of operation under the highvoltage direct current (DC) field, it will accelerate ageing and damage because of the space charge accumulation and the electrical tree growth that cause irreversible changes in

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Yang Liu yang.liu@hit.edu.cn material properties and reduce insulation performance [4-7]. Many factors can induce the electrical treeing and the degradation of power cable insulation, such as the attack of hot electrons, local magnetic field effect produced by high current, the influence from water or temperature, and so on [8-10]. So suppressing the space charge accumulation and preventing the electrical tree growth are the key strategies to improve the lifetime of the power cable insulation. The previous studies focused on revealing the aging mechanism and developing methods to improve the electrical tree resistance [11-15]. Ieda et al. found that the breakdown property of polymer solids was governed by many complicated factors, such as volume effect, time effect, and defects [16]. To clarify the mechanism of electrical tree growth, Vaughan et al. studied the structures and chemical reaction processes of two electrical trees using confocal Raman microprobe spectroscopy, optical microscopy, and scanning electron microscopy [17]. Chen et al. investigated the electrical tree growth in XLPE cable insulation through an embedded needle electrode and found some branches which have conducting walls due to a

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layer of graphitic carbon, providing a means of early detection of prebreakdown phenomena in cable insulation [18].

In recent years, experimental studies indicated that nanosized particles are very promising fillers in power cable insulation to suppress the growth of electrical tree and prevent the degradation of polymer matrix [19-21]. Tanaka et al. conducted a comprehensive experimental investigation for XLPE and fumed silica (SiO₂), and found that SiO₂ nanocomposites additives could be applied extensively in the fields of extruded HV and EHV cables [22]. Zhang et al. used titanate coupling agent (TC9) and 3-(Methacryloyloxy)propyltrimethoxysilane (KH570) to modify the SiO₂ surface, which greatly improved the DC conductivity, dielectric characteristics, and space charge properties in XLPE/SiO₂ nanocomposites [23]. Li et al. proved that the addition of nano-Al₂O₃ fillers is also a good approach to raise once-lowered breakdown strength and the partial discharge resistance to protect the power cable [24]. Similarly, Ding and Varlow investigated treeing phenomena in epoxy-ZnO nanocomposites and found that the addition of a small amount (0.5 to 1 wt%) of zinc oxide particles in the epoxy insulation could extend the treeing time to breakdown [25]. Wang et al. explored the interface characteristics between SiC and XLPE using the pulsed-electro-acoustic (PEA) equipment and a dielectric analyzer and found that the best activity of electrical tree inhibition is achieved when the concentration of nanocomposite is 1 wt% [26]. Recently, Xiao et al. revealed the significant influence of TiO₂ nanoparticles on the dielectric properties of TiO₂/XLPE nanocomposites to suppress the space charge by PEA measurements [27]. Besides experimental studies, there are also many theoretical researches concentrating on this topic. By performing the quantum mechanics/molecular dynamics (QM/MD) simulations, Han et al. observed double electric layers around the SiO₂ nanocluster and revealed the function of the SiO₂ nanocluster as a stabilizer in trapping electrons [28]. More recently, a series of potential graphene-based particles candidates (N-doped single-vacancy graphene, graphene oxide, and B, N, Si, or P-doped graphene oxide) were predicted by the density functional theory method to be effective additives in power cables [29].

Although many experimental studies have proposed the promising SiO₂ nanocomposites fillers, further theoretical study is desired to clarify the electronic interaction between the SiO₂ fillers and the polymer matrix, as well as to design more efficient SiO₂ particles with improved surface or bulk structures. The α -quartz (α -SiO₂) is one of the most abundant and economical minerals with a wide range of applications, including high-frequency devices [30, 31], cellular and satellite network [32, 33], and quartz crystal microbalance [34–36]. Lots of experimental investigations showed that the α -SiO₂ (001) surface is the most stable one [37–40], and the 1 × 1 pattern [41] and $\sqrt{84} \times \sqrt{84}$ reconstruction [42] are two kinds of popular crystallographic structures. Additionally,

many models with the modified surfaces were constructed for increasing reaction rate and improving the adsorption effect, such as the dense model with a six-member ring and a triangle-like structure [39, 43], the hydroxylated model yielding silanol groups [44], the one with special point defects [45, 46], and the N or B-doped models [47-50]. Consequently, such abundant surface properties of α -SiO₂ will greatly affect the interfacial interaction with XLPE, as well as the performance of XLPE/SiO₂ nanocomposites in power cable insulation. With this expectation, we employed the first-principles calculations to investigate the electrical tree inhibiting mechanism of the SiO₂ nanosized fillers with several different modified-surface structures by evaluating their ability of trapping hot electrons and restraining space charge. It is expected to provide valuable guidelines to develop potential candidates as additives in power cable insulation.

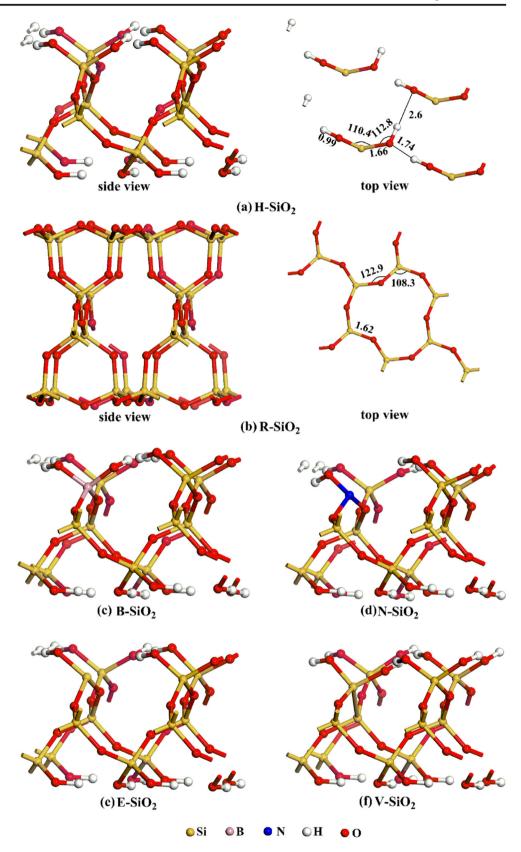
Computational methods and models

Computational methods

The density functional theory (DFT) calculations were performed using the Vienna Ab-Initio Simulation Package (VASP) [51-53] with Perdew-Burke-Ernzerhof (PBE) functional [54]. The DFT-D2 method was employed to evaluate the van der Waals interaction in the geometry optimizations. The projected-augmented wave (PAW) method [55] was used to treat the interaction between valence electrons and ion cores. A 2×2×1 Monkhorst-Pack k-point mesh and a cutoff energy of 850 eV were selected for all calculations until the energy and forces of atoms were smaller than 1.0×10^{-5} eV and 0.01 eV/Å, respectively. These parameters were tested to make sure that the differences of absolute energies were well converged (within a few meV). The charge distribution was obtained by using a real-space Bader-charge analysis [56-58] based on so-called zero flux surfaces to divide atoms on which the charge density is a minimum perpendicular to the surface. The energy profiles along the reaction pathways were computed with the climbing image nudged elastic band (CI-NEB) method, then the transition state and reaction energy barrier were also determined [59, 60].

Theoretical models

Based on the α -SiO₂ (001) surface, six types of models were constructed as listed in Fig. 1. The completely hydroxylated SiO₂ (denoted as H-SiO₂) has herringbone structure and a zigzag hydrogen bonded network with short and long hydrogen bonds. Each oxygen dangling bond at the bottom atomic layer was saturated with one hydrogen atom. The dense surface, i.e., reconstructed surface (denoted as R-SiO₂), was built according to Rignanese's prediction [39]. As we know, doping



B or N could improve the material's toughness, oxidation resistance, thermal stability, etc. by introducing active sites

[48, 61]. So B-doped and N-doped SiO₂ models were constructed and denoted as $B-SiO_2$ and N-doped SiO₂,

respectively. Two types of oxygen vacancy defect models were built by removing one oxygen atom on the top layer and the one connecting two SiO₂ tetrahedrons on the lower layer, which are denoted as E-SiO₂ and V-SiO₂, respectively. The 2×2 supercell with nine atomic layers was constructed to model the bulk region of the cell. For improving the computational efficiency, four atomic layers on the bottom were fixed during the optimization. A vacuum layer of 15 Å was set to minimize the periodic interaction. The 2-methylbutane C₅H₁₂ [i.e., CH₃CH(CH₃)CH₂CH₃], including one tertiary carbon to represent cross-linked point, was used to model XLPE and simulate its activity on the surface of the SiO₂ nanoparticle. With this theoretical model, the local interfacial interaction between XLPE and SiO₂ particles and the catalytic activity of SiO₂ for XLPE were considered properly. To avoid any weak van der Waals interaction between the adsorbed molecules, we ensured that the nearest distance of two C₅H₁₂ molecules in the neighborhood lattice was more than 5.0 Å.

Results and discussion

To reveal the mechanism of improving the anti-ageing properties and performance of XLPE by adding SiO_2 particles, the electrical properties of a series of $SiO_2/XLPE$ nanocomposites were analyzed concerning the surface structures, interfacial interaction, H migration reaction activity, and space charge behavior.

Surface structures

Figure 1 shows the optimized SiO₂ models with key bond lengths and bond angles. For H-SiO₂, one can see that all O-Si-O angles (~110°) are symmetry equivalent and all the silicon atoms are fully four-coordinated. The optimized lattice parameters (a = b = 5.05 Å and c = 5.50 Å) are well matched with experimental values (a = b = 4.92 Å and c = 5.41 Å) [62], and the calculated bond lengths of H-O and O-Si are also consistent with the values optimized with PW91 and B3LYP functional [37]. For R-SiO₂, there are only four-coordinated Si and two-coordinated O atoms without any dangling bond. The predicted Si-O bond lengths (1.62 Å) and the Si-O-Si angles (122.9°) match well with previous results by Rignanese et al. [39] and Oleksandr et al. [63] using respective local density approximation (LDA) and PBE method. In B-SiO₂ where one Si atom is substituted by B atom, the B atom still connects with four O atoms, keeping the BO₄ center. In comparison, when one Si atom is replaced by N atom to form N-SiO₂, only the NO₃ skeleton is stabilized due to the rupture of one N-O bond. After removing one oxygen atom at the top layer of SiO₂, there will be a three-coordinated Si atom to form E-SiO₂. The bond length of Si–O bond (1.65 Å) is quite similar

to that in H–SiO₂ (1.66 Å), indicating that oxygen vacancy defect in E-SiO₂ does not significantly change the geometrical structure. As the other point defect model, i.e., V-SiO₂, the oxygen atom connecting two SiO₂ tetrahedrons is absent and then an extra Si-Si bond is formed. The optimized Si-Si bond length with the value of 2.50 Å coincides with those obtained by employing the MP2 method [64] and B3LYP functional [65]. It is worth noting that for the models mentioned above, the obtained lattice parameters and key geometrical parameters are in good agreement with those in the literature with larger unit cell size, confirming that the present models are reliable. The electric dipole moments of different SiO₂ cells were calculated and listed in Table 1. It is found that these values are very small, indicating that the doping or defect does not affect the property of polarization too much. Based on the discussion above, it is obvious to conclude that the doping, reconstruction, or defect can cause the surface structural change of SiO₂ to different extents, and what is more, they will affect the electronic properties of surfaces by introducing many active sites and further influence the interfacial interaction with the XLPE chain.

Interfacial interaction

It is well known that the XLPE chain has a tendency of free movement, which probably accelerates the electrical tree generation with the attack of hot electrons. The SiO_2 additives are capable of generating physical interaction with XLPE to constrain the movement of polyethylene chains. In this regard, accurate adsorption energy calculations will be of crucial importance to evaluate the intensity of physical interaction.

For each system, the adsorption energy (E_{ads}) is calculated as follows:

 $E_{ads} = E(C_5H_{12} + SiO_2) - E(C_5H_{12}) - E(SiO_2)$

where $E(C_5H_{12} + SiO_2)$ is the total energy of the SiO₂ surface with the adsorbed C_5H_{12} , $E(C_5H_{12})$ and $E(SiO_2)$ are the energies of the C_5H_{12} molecule and SiO₂ surface, respectively.

Models		D/Debye	Distance/Å	E _{ads} /eV	Charge
H-SiO ₂	hydroxylated	-0.01	1.55	-0.29	0.02
R-SiO ₂	reconstructed	-0.03	2.16	-0.28	0.03
B-SiO ₂	B-doped	-0.03	1.53	-0.32	-0.14
$N-SiO_2$	N-doped	0.17	1.59	-0.41	-0.56
$E-SiO_2$	oxygen vacancy	0.03	2.98	-0.10	0.05
V-SiO ₂	oxygen vacancy	-0.06	1.90	-0.23	0.04

The adsorption distances and adsorption energies between C₅H₁₂ and SiO₂ surfaces in the optimized structures are collected in Table 1. As shown in Table 1, one can see that the C₅H₁₂ molecule usually locates 1.5~3.0 Å away from different SiO₂ surfaces, and the adsorption energies vary from -0.10 to -0.41 eV, showing the pattern of van der Waals interaction between them and the characteristic of general physical adsorption. Among all the models, the N-SiO₂ is the most active surface with the lowest E_{ads} of -0.41 eV, while $E-SiO_2$ is the most inactive one with the highest E_{ads} of -0.10 eV. By contrast, the other H-SiO₂, R-SiO₂, B-SiO₂, and V-SiO₂ surfaces have moderate and almost similar adsorption ability for C_5H_{12} with E_{ads} of around -0.30 eV. In general, a larger adsorption intensity indicates a stronger capacity to constrain the movement of the polyethylene chain. Thus, the N-doped SiO₂ is predicted to show the best performance among all the SiO₂ additives mentioned above, and it is expected to be a potential candidate as effective additives in power cable insulation. Remarkably, the N-SiO₂ plays a significant role in transferring charges from XLPE compared with others. By analyzing the Bader charge distribution of atoms belonging to SiO₂ and C₅H₁₂, we obtained the transferred charge values as collected in Table 1. It shows that the summarized Bader charge value at the N-SiO₂ surface is -0.56, which displays the strongest ability to attract the charge from the adsorbed C₅H₁₂ molecule among all SiO₂ surfaces and then effectively inhibits the space charge accumulation of the XLPE. More details of space charge behavior, will be discussed in the "Space charge behavior" section.

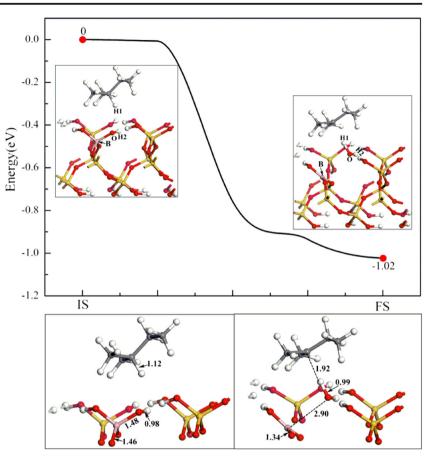
As a summary, we come to a short conclusion that the SiO_2 additives could restrict the movement of the polythene chain at different levels through van der Waals physical adsorption, and the N-doping is desired as a good strategy to modify the surface structure of SiO_2 in order to strengthen the interfacial interaction.

H migration reaction activity

All the models mentioned above have completely hydroxylated surface structure except R-SiO₂. However, in the real experimental operations, the SiO₂ nanoparticles with incompletely hydroxylated surfaces are very common. So we constructed an incompletely hydroxylated SiO₂ model by removing one H atom on the top layer of H-SiO₂ surface, and investigated its adsorption activity for C₅H₁₂. It was found that the H atom of C₅H₁₂ could migrate easily to the unsaturated O atom, leading to the breaking of the C–H bond and the formation of a new H–O bond. The calculated adsorption energy (–1.80 eV) is much lower than those of other models studied above. The typical chemical adsorption activity will destroy the structure of XLPE and finally induce the electrical tree growth. Therefore, the SiO₂ nanoparticles with incompletely hydroxylated surfaces are not beneficial for protecting XLPE. This provides important information for the experimental treatment of the SiO_2 additives. On the other hand, it inspires us to investigate the H migration reaction activity of other SiO_2 additives, even though they could stabilize the C_5H_{12} with a certain adsorption distance.

We then employed the CI-NEB method to determine the H migration reaction pathways from C5H12 to the surfaces mentioned above. For H-SiO₂, N-SiO₂, V-SiO₂, and R-SiO₂, we found that no stable H migration products could be obtained, suggesting that H migration reactions cannot happen between these surfaces and the C₅H₁₂ molecule. Despite that we intended to elongate the C-H distance of C5H12 and set the H atom approaching to the surface as the initial structure, the geometrical optimization for the system still converged to the previous stable C₅H₁₂ adsorbed structure, i.e., the H atom went back to the C5H12 part again. The possible reason is that there is no dangling bond or active sites on these surfaces which can accept an extra hydrogen atom. In the cases of B- SiO_2 and E-SiO₂ systems, however, the situations are quite different. The reaction pathways for H migration from C₅H₁₂ to the B-SiO₂ or E-SiO₂ have been successfully determined and illustrated in Figs. 2 and 3, respectively. As shown in Fig. 2, the H atom in C_5H_{12} migrates gradually to the hydroxyl connecting with B atom in B-SiO₂ to yield one H₂O molecule, and meanwhile the B-O bond is broken. It is a barrierless and exothermic reaction process with reaction energy of -1.02 eV, showing a very favorable process in energy. It is interesting to know why H migration can happen easily on this surface, but not on others. We believe that the B-doping effect may play a key role in it. The B-doping could keep the main skeleton of SiO₂ where the B atom is four-coordinated. However, the B-O bonds are elongated (~1.48 Å) in comparison with those in the three-coordinated B atom (~ 1.34 Å), as denoted in Fig. 2, which shows that the formers are weakened. By accepting the transferred H atom, this B-O bond is broken and a water molecule is formed, resulting in a more stable planar BO₃ center. With respect to the H migration reaction on oxygen vacancy E-SiO₂ surface, as shown in Fig. 3, when the C-H bond is elongated to be 1.50 Å, the transition state (TS) is reached, in which the distance between H and Si is shortened to be 1.66 Å. In the product, the distance between H and Si is 1.47 Å, indicating that a new H–Si bond is formed. This process needs to overcome an activation barrier of 0.46 eV with the reaction energy of 0.04 eV, indicating that it may happen at room temperature. Thus, it can be concluded that B-SiO₂ and E-SiO₂ are not appropriate additives in power cable insulation due to the potential damage to XLPE.

Chemical activity is also a key factor to evaluate the performance of additives in power cable insulation. If the H atom of XLPE could migrate easily to the SiO_2 surface to form a more stable structure, it will lead to the formation of a carbon center radical. This radical could either cross-link with other radicals to affect the stability of the XLPE chain, or react with **Fig. 2** The H migration reaction pathway on B-SiO₂ surface. The key bond distances in Å are denoted at the bottom by showing related atoms at top layers



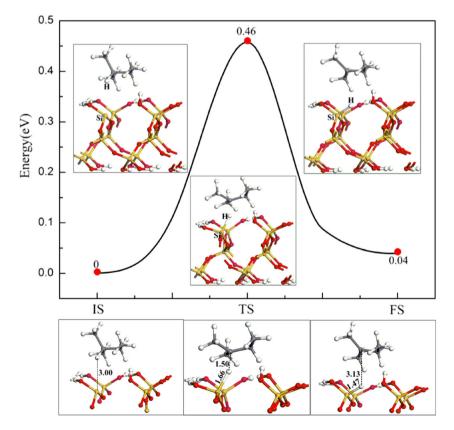


Fig. 3 The H migration reaction pathway on E-SiO₂ surface. The key bond distances in Å are denoted at the bottom by showing related atoms at top layers

other impurity substances to induce the growth of electrical treeing. According to the analysis of H migration reaction activity above, we screen out the $H-SiO_2$, $N-SiO_2$, and $V-SiO_2$ with completely hydroxylated surfaces, as well as the reconstructed R-SiO₂ as effective additives in power cable insulation.

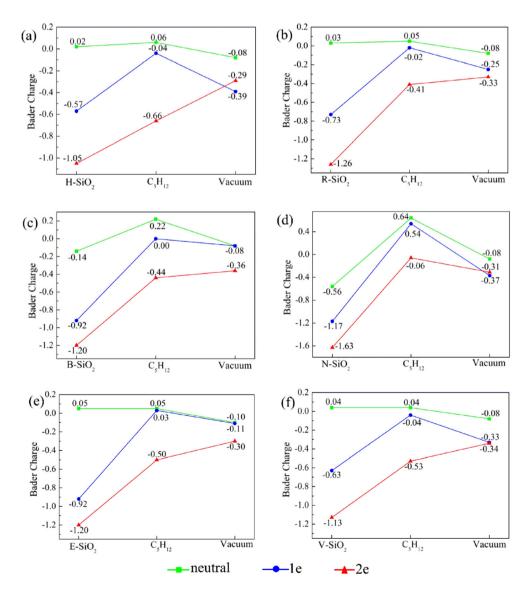
Space charge behavior

When the cable insulation ages because of corrosion, high temperature, and dampness, there will be space charges accumulation. The space charge with high energy is usually called "hot electron". It was generally observed that the increase of space charge accumulation in XLPE produces a deteriorative effect on the long-term operation and reliability of insulation materials. In this section, the ability of capturing hot electrons of SiO₂ was investigated based on the Bader charge analysis. One or two extra electrons are injected respectively into the

system to model the existence of hot electrons, denoted as ionic states. The charge distributions were obtained through summarizing the charge values of atoms belonging to C_5H_{12} or SiO₂ substrates, respectively.

Figure 4 depicted Bader charge results of neutral state and ionic states. For neutral state, only B-SiO₂ and N-SiO₂ surfaces have a relatively strong ability to attract electrons from C_5H_{12} , resulting in the distribution of negative charges on their surfaces (-0.14 for B-SiO₂, and -0.56 for N-SiO₂), as listed in Table 1. When one electron is injected to the system, one can see that the electron density mainly locates on the SiO₂ additive, but not at C_5H_{12} . In particular, the charge values of B-SiO₂, E-SiO₂, and N-SiO₂ are up to -0.92, -0.92, and - 1.17, respectively. When injecting two electrons, SiO₂ still carries negative charges in large quantity. Especially for N-SiO₂, the charge distribution on the surface reaches -1.63, indicating the strongest ability to capture hot electrons. Therefore, it can be inferred that the negative charges will

Fig. 4 $a \sim f$ The Bader charge distributions of neutral state (in green) and ionic states with one electron injection (in blue) and two electrons injection (in red) for different SiO₂ models



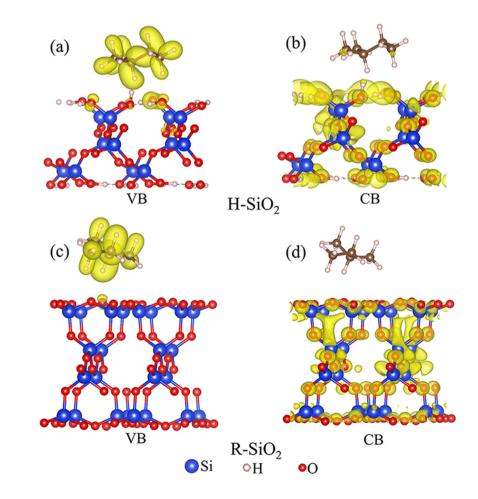
mainly accumulated on SiO₂ additives instead of XLPE.

In this way, the XLPE was protected to weaken or avoid the attack of hot electrons. Additionally, we can see from Fig. 4 that part of the negative charges gather in the vacuum layer from -0.11 to -0.39 when excess electrons exist in the system. It means the charge could also be trapped in the middle of the matrix rather than the XLPE chain. As suggested in the experimental measurement for space charge distribution of TiO₂/XLPE nanocomposites, the trapped negative charge in the interface region could shorten the effective distance of "solitary waves" migration, reduce the carrier mobility, weaken the impurity ionization, and finally make the heterocharge disappear [27].

In this way, the interfacial interaction helps the charge transferring out of the XLPE materials.

It is worth noting that all six SiO₂ patterns we studied have the strong capability to capture hot electrons. It means the effect of doping or defect SiO₂ nanoparticles on the space charge distribution is trivial. Similarly, we predict that other various oxygen vacancies of SiO₂, such as nonbridging oxygen hole center (NBOHC), oxygendeficiency related center (ODC), and silanone groups (SGs) [45], as well as another oxides, such as MgO, Al₂O₃, and TiO₂ etc., will likely have similar properties to trap hot electrons to suppress the growth of electrical tree. It essentially relates to the energy band structures of metal-oxide semi-conductors. Taking SiO₂ as an example, it was well studied in literature that the bottom of the conductive bands is mainly contributed from the 3s and 3p orbitals of the Si atom [49]. Similarly, we calculated the charge density of valence band (VB) and conduction band (CB) for the adsorption models of C₅H₁₂ with different SiO₂ surfaces. As shown in Fig. 5, one can see that the VB orbital is around the C₅H₁₂ molecule, while the CB orbital mainly locates at the H-SiO₂ or R-SiO₂ substrates. This means that the hot electrons will take precedence to enter into the CB orbital and be trapped by the SiO₂ additives. In the cases of B-SiO₂, N-SiO₂, E-SiO₂, and V-SiO₂, as shown in Suppl. Fig. S1, a similar conclusion could be reached since the dopant or vacancy produces more active sites in the additives to facilitate the attraction for extra electrons. Therefore, the orbital analysis could help us further understand why the additives could effectively trap hot electrons to suppress the electrical tree growth.

Fig. 5 a~d The charge density of valence band (VB) and conduction band (CB) for the adsorption models of C5H12 with H-SiO₂ and R-SiO₂



Conclusions

The SiO₂ additives can effectively suppress the space charge accumulation and inhibit electrical tree growth of power cable insulation by constraining the movement of cross-linked polyethylene and trapping hot electrons. We performed density functional theory calculations to screen a series of SiO₂ additives through studying the surface structures, interfacial interaction, H migration reaction activity, and space charge behavior. The SiO₂ with incompletely hydroxylated or boron-doped surfaces are not good candidates as potential additives because they could facilitate the H migration reaction and destroy the XLPE chain. The N-doped SiO₂ with a completely hydroxylated surface is predicted to be the most promising additive among the patterns we studied due to the results of its strongest abilities of adsorption to XLPE and transferring charge, as well as the weakest chemical activity. This information is useful for the experimental treatment of SiO₂ additives and the design of other potential surface-modified SiO₂ additives.

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Compliance with ethical standards

Conflicts of interest There are no conflicts of interest to declare.

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