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Chemisorptions effect of oxygen on the geometries, electronic and magnetic properties of small size Ni_n (n = 1-6) clusters

Debashis Bandyopadhyay

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Abstract The present study reports the effect of oxygen addition on small size Ni_n (n=1-6) clusters in different spin states within the framework of linear combination of atomic orbital (LCAO) density functional theory (DFT) under spin polarized generalized gradient approximation (GGA) functional. Relative stabilities of the optimized clusters are discussed on the basis of the calculated parameters, such as, binding energy (BE), embedding energy (EE) and fragmentation energy (FE). Other parameters, like ionization potential (IP), electron affinity (EA), etc. show that though the additions of oxygen can affect the chemical properties of Nin clusters with an additional stability to NinO. In most of the cases the magnetic moment of the stable isomers are geometry dependent for a particular size both in pure and oxidized clusters. Calculated magnetic moments of NinO (n=1-6) clusters reveal that the magnetic moment of ground state Ni₄O isomers in different geometries is same as in pure Ni₄ isomers. Present study also explains the cause of stable magnetic moment in Ni₄O cluster through the distribution of electrons in different orbitals.

Keywords Chemical properties \cdot Classification codes:20.040 \cdot 30.180 \cdot 40.080 \cdot Clusters \cdot Density functional theory \cdot Nanomaterials

D. Bandyopadhyay (🖂)

Physics Department, Birla Institute of Technology and Science, Pilani 333031 Rajasthan, India e-mail: Debashis.bandy@gmail.com

D. Bandyopadhyay e-mail: bandy@bits-pilani.ac.in

Introduction

Since the last few decades transition metal (TM) oxide clusters are becoming interesting field of theoretical as well as experimental research because of their novel electronic, magnetic and optical properties as well as the prominent role in the catalytic activities, environmental process in addition to several other applications in nanoscience and nanotechnology [1-12]. Although there are many experimental studies by using mass spectrometry and photoelectron spectroscopy of TM oxides, but determining the relative stabilities of these systems remains problematic. Several experimental works on TM oxide cluster in the gas phase have contributed fundamental information about their chemical bonding, reactivity, magnetic, and electronic properties. Herman et al. [13] studied the gas phase reaction of different transition metal ions (Ti⁺, V⁺, Fe⁺, Co⁺, Ni⁺, Cu^+ , Zn^+) with CO and CO_2 followed by *ab initio* calculations for further understanding of reaction mechanism, reaction kinetics and thermochemistry of the nanoclusters. Xu et al. [14] studied the oxygen affinity of pure Pt_n clusters (n=1-10) using density functional theory (DFT). By using laser vaporization and electro-spray experimental techniques followed by photoelectron spectroscopy Zhai et al. [15] studied electronic and geometric structures of doubly and singly charged species M₂O₇²⁻, $MM'O_7^{2-}$, and $M_2O_7^{--}$ (M, M' = Cr, Mo, W) followed by DFT calculations to understand the experimental evolution of geometric and electronic structures as a function of charge state. Liu et al. [16] studied the effect of addition of oxygen on the small size Co clusters. They found that the addition of oxygen could not produce much effect on the average magnetic moment of the Co clusters. Li et al. [17] calculated total atomization energies and normalized clustering energies of $(MO_2)_n$ (M = Ti, Zr, Hf) and $(MO_3)_n$

(M = Cr, Mo, W) transition metal oxide clusters up to n=4 at the coupled cluster [CCSD(T)] and density functional theory (DFT) levels. In another study Zhai et al. [18] reported a comparative study of reduced transition metal oxide clusters, $M_3O_8^-$ (M = Cr, W) in neutral and anionic state via anion photoelectron spectroscopy, density functional theory, molecular orbital theory (CCSD(T)) calculations and predicted the redox reaction in thermochemistry of the system.

Among several other transition metal oxides, small size Ni_nO clusters is one of the most important candidates because of its high magnetic moment and stability. Nickel oxide is a highly insoluble thermally stable nickel source suitable for glass, optics and ceramic applications. Oxide compounds are usually not electrical conductor; however certain nickel oxides are electronically conductive and therefore have useful applications in fuel cells and oxygen generation systems where they exhibit ionic conductivity. Moreover, nickel oxide is also an important element as a component in lightweight aerospace applications. Several other theoretical [19-24] and experimental [25-30] studies on nickel oxides in neutral and charged states are also reported. Most of the theoretical studies are focused on the geometrical, electronic and magnetic properties of pure and oxidized nickel clusters Ni_n ($n \le 60$) [19–23]. On the other hand, most of the experimental studies [25-30] on nickel oxide clusters are focused on their magnetic and chemical properties by using gas phase reaction, photoelectron spectroscopy and Mössbauer spectroscopy. Both theoretical and experimental research on Ni_nO clusters are challenging because of its complicated electronic and magnetic properties due to the 3d sub-shells and in addition, the energy difference between different spin states is very small. Therefore, determination of the ground state in a particular size is a difficult work. In this report a detailed theoretical investigation of small size Nin and NinO (n=1-6) clusters of different geometries and spin states (singlet to 11) is presented to understand their electronic as well as magnetic behavior and their variation with the geometry, size and spin states in a systematic manner. In the latter part, both Nin and Ni_nO (n=1-6) optimized ground state geometry in each size are then selected to study their binding energy, embedding energy, stability, chemical potential, ionization potential etc. Comparisons of the present calculations with the available experimental and theoretical results are also done.

Computational

In the present report geometry optimization of Ni_n and Ni_nO (n=1-6) clusters are performed by using density-functional theory (DFT) with the unrestricted B3PW91 exchange-correlation potential [31–34]. Self-consistent

field (SCF) electronic structure calculations were carried out on all clusters within the framework of Kohn-Sham DFT [35]. Molecular orbital (MO) are expressed as linear combination of atom-centered basis functions for which the standard Gaussian LanL2DZ basis set and associated effective core potential (ECP) is used on all the atoms. Spin-polarized calculations are carried out using the Becke three-parameter exchange and the Perdew-Wang generalized gradient approximation (GGA) functional [36-39]. The standard LanL2DZ basis sets are employed to provide an effective way to reduce difficulties in calculations of two-electron integrals caused by transition metal Ni atom [40]. In order to obtain the lowest-energy Ni_nO structures, we have chosen a considerable number of possible structures as initial geometries in each cluster size (n=1-6). Based upon the available theoretically and experimentally verified geometries of Nin [23] different evolution patterns for determining the NinO clusters, including Nisubstituted and O-capped patterns, are first taken into account and then the equilibrium structure in a particular size is obtained by varying the geometry starting from high to low symmetric initial guess structures. For each stationary point of a cluster, the stability is reassured by calculating the frequency of harmonic vibration. If any imaginary frequency is found, a relaxation along that vibrational mode is carried out until the true local minimum is obtained. In all clusters, geometries were optimized with no symmetry constraints in each initial guess structure. Both in case of pure and oxygenated nickel clusters optimizations are done with the spin multiplicity varied from singlet to 11 and are independent of the size of the clusters. Optimized ground state geometries in each size with their spin multiplicity are shown in Fig. 1. In case, when the total optimization energy decreases with increasing spin, increasingly higher spin states is considered until the energy minimum with respect to spin is reached. To check the reliability of the present level of calculation, calculated parameters of Ni2 and NiO dimers, as example, the bond length, ionization potential, electron affinity and the lowest vibrational frequency are compared with the reported experimental and theoretical data available as shown in Tables 1 and 2. It shows that the present calculated values are comparable to the reported values and hence it is expected that the present level of calculation can be applied to a bigger size clusters in the same system. All theoretical calculations are carried out with the Gaussian 03 program package [41].

Results and discussion

Optimized structures of different bare nickel and nickel oxide clusters calculated in the present study are shown in

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Ni2_A_3	Ni3_A_3	Ni3_B_3	Ni4_A_5	Ni4_B_5	Ni5_A_7
	***		••	•	• * *
Ni ₆ _A_7	Ni ₆ _B_7	Ni7_A_9	NiO_A_3	Ni ₂ O_A_3	Ni ₂ O_B_3
•	• • •		•		•
Ni ₂ O_C_3	$Ni_2O_D_5$	Ni ₃ O_A_3	Ni ₃ O_B_5	Ni ₃ O_C_3	Ni ₄ O_A_5
		"	**		
Ni ₄ O_B_5	Ni ₄ O_C_5	Ni ₅ O_A_7	Ni ₅ O_B_5	Ni ₅ O_C_7	Ni ₆ O_A_7



Fig. 1 (a) Optimized structures of Ni_n and Ni_nO clusters. In the figure, the last digit in name represents the spin multiplicity of the clusters, as: Triplet (3), Quintet (5), Septet (7) and Nonlet (9). All clusters shown in figure, correspond to the minimal energy among the different structural isomers, and the different spin multiplicities studied in a particular size. (b) Orbital and spin density distribution

of ground state $\rm Ni_n$ and $\rm Ni_nO$ clusters among different structural isomers and the different spin multiplicities (singlet to nonet) studied of different sizes. All clusters shown in figure, correspond to the minimal energy among the different structural isomers, and the different spin multiplicities studied in a particular size

Dimers	Bond length (Å)	Lowest frequency (cm ⁻¹)	IP (eV)	EA (eV)
Ni2 NiO Ni2- NiO-	2.06[23], 2.155[42], 2.13[21], 2.20[45] [‡] , 2.36 ^a 1.626[47], 1.627[48], 1.63[44] [‡] , 1.89[49], 1.70 ^a 2.257±0.017[42] 2.37 ^a 1.68 ^a	210±25[42], 236 ^a 800[43], 839[44], 836 ^a 280±20[42], 236 ^a 810[43], 784 ^a	7.14[46], 7.06 ^a	0.9262±0.01[42] 1.00 ^a 1.46[43], 1.50 ^a

Table 1 Different parameters of pure and charged Ni2 and NiO clusters

[‡] Experimental, ^a Present work: B3PW91/LanL2DZ

Fig. 1a-b along with their HOMO-LUMO orbital distributions and total spin densities. Different physical and chemical parameters of the pure and doped clusters such as bond length, frequency, ionization potential, point group symmetry, electronic states, relative energies etc. are shown in Tables 1 2 and 3. In the following section geometries, stabilities, electronic and magnetic properties of the nanoclusters will be discussed on the basis of the calculated parameters and their variation with the cluster size.

Geometries and stabilities

Ni2 and NiO clusters

As the first members in the series, different physical and chemical parameters of Ni₂ (D_{α h}) and NiO dimers are calculated and presented in Tables 1 and 2 with the reported theoretical and experimental data. Variation of the optimized energies of NiO dimer in different spin states are presented in Table 3 with respect to the optimized ground state dimer with C_{$\infty\nu$} point group symmetry and $^{3}\Sigma^{+}$ electronic state. In the present calculation the bond length of the pure nickel dimer varies with different spin multiplicities from singlet to 11 in the range of 2.32 Å to 2.45 Å with a value of 2.36 Å in triplet ground state, which is comparable to the experimental values presented in Table 1. Similarly, the bond length of NiO cluster is found as 1.70 Å. This is close to the experimental value 1.63 Å.

Table 2 IP (eV) from reported and present calculations

Reference	Ni ₂	Ni ₃	Ni ₄	Ni ₅	Ni ₆
[23]	8.3	6.8	5.9	6.6	6.8
[50]	5.4	3.9	4.4	4.7	4.9
[51]			6.08	5.83	6.65
[52]	5.7	4.2	5.1	4.8	4.4
[53]	6.5	6.2	6.2	5.9	5.6
[54]	6.8	6.5	6.3	6.3	6.3
Ref. [51, 52] in ref [23]	7.6	6.12	5.70	6.20	6.78
Present	7.06	5.55	5.46	6.31	6.77

Other calculated parameters, as like, IP and EA are in good agreement with the reported theoretical and experimental data shown in Tables 1 and 2. From the parameters presented in Tables 1 and 2, it is clear that present level of calculation is appropriate for both pure nickel and nickel oxide clusters. In both the clusters calculated natural vibrational frequencies are also in good agreement with the previously reported values. The ionization potential calculated for Ni₂ cluster is 7.06 eV and this is within the range of reported experimental and theoretical values that varies from 5.4 eV to 8.3 eV as shown in Table 2. Electron affinities of Ni2 and NiO clusters are also quite close to the reported values shown in Table 1. Calculated HOMO and LUMO orbital distributions of the optimized ground state of Ni_n (n=1-7) and Ni_nO (n=1-6) clusters along with the total spin magnetic moment distributions are shown in Fig. 1b. In Ni₂ dimer structure, the HOMO distribution is like a double quadruple charge distribution (π -bonding) whereas the LUMO orbital is like a perfect dumbbell structure (σ -bonding). In NiO dimer, most of the orbital charge density distributed around the nickel atom and this is even more in LUMO orbital. The total spin density distribution is symmetrical in Ni dimer but it is more around the nickel atom in NiO cluster as shown in Fig. 1b.

Ni₃ and Ni₂O cluster

In bare Ni₃ cluster two different geometries are obtained. The ground state geometry is a triangle with internal angle close to 56.7° in triplet spin state with C_s point group symmetry. This structure is lower in energy than the linear chain structure by an amount 0.06 eV. In linear chain geometry the ground state is a quintet with $D_{\infty h}$ point group symmetry. At this size the spin state in different ground state geometries is geometry dependent. The same behavior is also found in other systems and will be discussed in afterward section. By replacing the end and the middle Ni atoms by oxygen in the ground state Ni₃_A and in Ni₃_B (Fig. 1a), four different optimized structures at different spin states are obtained. The first triangular geometry (Ni₂O A) is the ground state structure in triplet spin state. Out of the other three remaining structures, two are linear chain like (Ni-O-Ni or Ni-Ni-O) and the third one is a bend

structure (C_S symmetry) where the Ni-Ni-O angle is 109^{0} as shown in Fig. 1a. The first linear chain structure Ni-Ni-O has a C_{∞v} point group symmetry, whereas the 2nd linear chain structure with an oxygen at the middle position of the chain (Ni-O-Ni) has D_{∞h} symmetry. Here the first and third structures of Ni₂O are in triplet state, whereas, the second and fourth structures are in quintet and singlet states respectively. It is to be noted that the ionized Ni₂O⁺ cluster is a linear chain structure with the oxygen atom at the middle (Ni-O-Ni). In Ni₂O⁺ the Ni atoms contribute charge in ionization. Calculated ionization potential of Ni₃ cluster is in good agreement with the reported value. The reported value of ionization potential varies from 3.9 eV to 6.8 eV (Table 2) whereas the present value is 5.54 eV.

Ni₄ and Ni₃O clusters

Between two different isomers of Ni₄, the triangular based pyramid geometry is the optimized ground state in quintet spin state with D_{2d} point group symmetry and ${}^{5}A_{2}$ molecular orbital electronic state represented as irreducible representation (IR) in Table 3. The other structure is a bend rhombus. The ionization potential of the ground state structure is 5.46 eV is close to reported values as given in Table 2. Replacing one-nickel atom in bend rhombus isomer of Ni₄ structure, Ni₃O optimized structure with C_{3v} point group symmetry is obtained as shown in Fig. 1a. In the present calculation the linear chain structures are not considered because of the presence of an imaginary frequency. Relative energies of the structures in different spin state with respect to the ground state structure are presented in Table 3.

Ni₅ and Ni₄O clusters

Two different optimized structures are obtained in Ni_5 size. The distorted Ni capped rhombus based structure is the ground state geometry with C_s point group symmetry in septet spin state. The other structure is a bi-capped triangular Ni_3 structure (not shown). A number of stable geometries are obtained in Ni_4O cluster as shown in Fig. 1a. The ground state geometry is obtained by replacing a capped nickel atom from the bi-capped Ni_3 triangular structure by oxygen. The ground state of Ni_4O is in a quintet spin state as like ground state Ni_4 isomers. The binding energy of this structure is higher than Ni_4 or Ni_5 structures.

Ni₇, Ni₆, Ni₅O and Ni₆O clusters

The most stable structure in Ni_6 is bi-capped quadrilateral with C_s point group symmetry. By replacing one nickel with oxygen, three different kinds of optimized structures are obtained. Among them, bi-capped Ni rhombus structure in septet spin state is the ground state geometry with C_s point group symmetry (Fig. 1b). The ground state geometry of Ni₅O structure is in septet. Three other ground state geometries are also obtained in Ni₅O series in triplet; quintet and septet spin states respectively. Energetically these three structures are very close to the overall ground state structure within the energy difference of 0.05 eV. Addition of a Ni or an oxygen atom on the surface of Ni₆O structures respectively. Optimized Ni₇ cluster is a nonet and Ni₆O is in septet spin state. Different orbital (HOMO and LUMO) and spin distributions of Ni_n and Ni_nO ground state structures are shown in Fig. 1b.

It is clear from the above observation that for the clusters from n=2 to 6, except for n=4, the spin state is geometry dependent in a particular size as shown in Table 3. It is commonly observed that in these geometries of different sizes, the structures where O atom is bonded with three-nickel atoms are the most stable. In these structures oxygen atom prefers to sit as surface capped atom on the Ni_n clusters.

The relative stabilities, electronic and magnetic properties

In order to get the idea about the relative stabilities, electronic and magnetic properties of Ni_nO (n=1-6) clusters, different parameters like, binding energy (BE), HOMO-LUMO gap, embedding energy (EE), fragmentation energy (FE or $\Delta(n,n-1)$), stability or second order change in energy ($\Delta_2(n)$), ionization potential (IP), etc. are calculated. Variation of these parameters with the size of Ni_nO and Ni_n are then plotted and compared. On the basis of these calculated parameters and their variations with the size, stabilities and other properties of the clusters are discussed.

In the present work the following relation defines binding energy per atom of a cluster:

$$BE = \frac{\left[E(Ni_nO) - E(O) - nE(Ni)\right]}{n+1} or$$
(1)
$$\frac{\left[E(Ni_n) - nE(Ni)\right]}{n}$$

for Ni_nO and Ni_n respectively. Here, $E(Ni_nO)$ and $E(Ni_n)$ is the energy of the Ni_nO and Ni_n clusters respectively, E(O)is the energy of the isolated oxygen atom; E(Ni) is the energy of a nickel atom. Variation of binding energy curve is shown in Fig. 2 for pure and oxidized nickel clusters. It is clear from Fig. 2 that with the increase of n during the growth process of the cluster, the binding energy increases

Table 3 Optimized parameters of Ni_nO (n=1-6) isomeric clusters in different spin states

Cluster	Isomer	Multiplicity	Relative energy (eV)	Symmetry	IR^*	DM** (debye)	HOMO-LUMO gap (eV)
NiO	А	1	1.61	$C_{\infty v}$	$^{1}\Sigma^{+}$	5.67	1.33
		3	0.00		$^{3}\Sigma^{+}$	5.24	3.52
		5	1.28		${}^{5}\Sigma^{+}$	0.24	4.59
		7	6.57		$^{7}\Sigma^{+}$	1.78	1.3
		9	9.68		${}^{9}\Sigma^{+}$	5.87	1.78
		11	11.95		$^{11}\Sigma^+$	15.03	4.08
Ni ₂ O	А	1	0.76	C_{2v}	$^{1}A_{1}$	3.22	2.24
		3	0.00		$^{3}A_{2}$	3.25	3.6
		5	0.43		⁵ A ₂	0.13	1.47
		7	2.27	$C_{\infty v}$	$^{7}\Sigma^{+}$	1.25	3.2
		9	6.76	Cs	⁹ A'	0.11	1.06
		11	12.1		¹¹ A'	2.38	1.36
Ni ₂ O	В	1	2.73	C _{cov}	$^{1}\Sigma^{+}$	6.45	1.84
2		3	0.18		$^{3}\Sigma^{+}$	4.86	4.13
		5	0		${}^{5}\Sigma^{+}$	5.47	4.93
		7	2.63		$^{7}\Sigma^{+}$	0.21	2.36
		9	6.47		$9\Sigma^{+}$	0.23	1.23
		11	11.28		$^{11}\Sigma^{+}$	2.36	1.72
NiaO	С	1	1.04	D,	1Σ	0.00	1 74
14120	C	3	0.00	D∞n	$^{3}\Sigma$	0.00	3.67
		5	0.00		5Σ	0.00	1 36
		7	1.75		7Σ	0.00	4.07
		9	8.00		$^{9}\Sigma$	0.00	0.99
		11	12.7	C	¹¹	0.00	0.7
NF O	D	1	0	C_{2v}	1	2.22	2.22
N1 ₂ O	D	1	0 22	C_{s}	³ A "	3.23 A 27	2.23
		5	0.23		5 A "	4.27	4.04
		5	0.09		7 A "	4.75	4.41 2.6
		/	2.51		9 A 1	2.47	2.0
		9	0.24		11 A 1	0.17	0.84
N: O	٨	11	11.34	C		2.38	1.38
NI ₃ O	А	1	1.87	C_s	3 A	2.37	1.34
		3	0.00	C_{3v}	A2	2.67	2.95
		5	0.35		- A ₁	2.02	2.1
		/	1.39		A2	1.21	1.54
		9	3.35		² A ₂	0.01	3.33
		11	7.18	~	¹¹ A ₂	0.62	1.15
N ₁₃ O	В	l	1.45	C_s	'A'	3.13	2.18
		3	0		⁵ A"	4.11	2.57
		5	0.1		⁵ A"	4.52	2.86
		7	0.6		'A"	2.48	2.03
		9	3.41		"A'	1.71	2.52
		11	6.86		''A"	0.42	1.61
Ni ₃ O	С	1	1.87	Cs	¹ A'	2.38	1.54
		3	0.00	C ₃	³ A	2.67	2.95
		5	0.38		⁵ A	2.22	2.12
		7	1.27	C_s	'A'	1.19	1.59
		9	2.71		⁹ A"	1.41	3.25
		11	7.19	C_{3v}	${}^{11}A_1$	0.71	1.19
Ni ₃ O	D	1	0.00	C_{2v}	$^{1}A'$	3.23	2.16

Cluster	Isomer	Multiplicity	Relative energy (eV)	Symmetry	IR^*	DM** (debye)	HOMO-LUMO gap (eV)
		3	1.09	Cs	³ A"	4.27	2.01
		5	0.96		⁵ A"	4.74	2.04
		7	1.62		⁷ A'	2.47	3.87
		9	1.75		⁹ A'	0.17	2.45
		11	7.45		¹¹ A"	2.58	0.6
Ni ₄ O	А	1	2.48	C ₃	^{1}A	2.56	1.22
		3	0.01	C_{3v}	${}^{3}A_{2}$	3.39	2.47
		5	0.00	Cs	⁵ A'	3.29	2.51
		7	0.87	C_1	⁷ A	1.98	1.54
		9	1.71		⁹ A	1.51	2.1
		11	4.31		^{11}A	0.19	2.88
Ni ₄ O	В	1	2.27	Cs	$^{1}A''$	2.17	1.69
		3	0.29	C _{2v}	$^{3}A_{2}$	1.40	2.45
		5	0.00	C _{2v}	${}^{5}A_{2}$	0.84	2.05
		7	0.43	C ₁	⁷ A	2.15	1.46
		9	1.48	C _s	⁹ A"	1.91	2.18
		11	3.06	C _{2v}	${}^{11}A_1$	0.38	2.22
Ni₄O	С	1	2.87	C ₂	^{1}A	3.66	1.57
7		3	0.73	C _{3v}	$^{3}A_{2}$	3.45	2.72
		5	0.00	C _{2v}	${}^{5}A_{2}$	4.71	2.44
		7	0.82	C1	⁷ A	1.86	1.57
		9	1.78	C _{3v}	${}^{9}A_{1}$	0.91	1.89
		11	4.16	C _s	¹¹ A"	1.45	2.77
Ni₄O	D	1	1.98	C _{3v}	${}^{1}A_{1}$	2.55	1.22
·		3	1.2	C_1	³ A	3.98	2.01
		5	0.00	C _s	⁵ A'	2.18	2.2
		7	0.16	C_1	^{7}A	3.59	2.48
		9	1.16	Cs	⁹ A'	2.54	2.69
		11	3.57		¹¹ A"	1.44	2.77
Ni ₅ O	А	1	3.05	Cs	$^{1}A'$	2.97	1.36
		3	0.15		³ A"	3.37	2.6
		5	0.16		⁵ A'	3.36	2.47
		7	0		⁷ A"	3.23	1.77
		9	0.68	C_1	⁹ A	2.30	1.91
		11	1.93	-	^{11}A	0.90	2.37
Ni5O	В	1	2.99	C_1	^{1}A	2.28	1.49
		3	0	Cs	³ A"	3.37	2.45
		5	0.02		⁵ A"	3.30	2.49
		7	0.14		⁷ A'	3.12	1.74
		9	0.76	C_1	⁹ A	2.28	1.93
		11	3.00	C _s	¹¹ A'	1.06	2.02
Ni ₅ O	С	1	3.38	C _s	$^{1}A''$	1.43	1.27
5		3	0.03	5	³ A'	1.50	2.67
		5	0.00		⁵ A'	1.43	2.6
		7	0.22		⁷ A"	2.40	1.78
		9	0.63		⁹ A"	2.30	1.91
			2.23		¹¹ A'	1.06	2.25
Ni ₅ O	D	1	2.99	Cs	¹ A"	2.94	1.36
2		3	0.32	C_4	³ B	1.77	2.47

 Table 3 (continued)

Cluster	Isomer	Multiplicity	Relative energy (eV)	Symmetry	IR^*	DM** (debye)	HOMO-LUMO gap (eV)
		5	0.26	C_{4v}	⁵ A ₂	1.66	2.4
		7	0.00	C_s	⁷ A"	2.89	1.93
		9	1.27	C_4	⁹ B	0.91	1.83
		11	1.89	C_1	¹¹ A	0.86	2.38
Ni ₆ O	А	1	4.19	C_s	$^{1}A'$	2.25	1.34
		3	0.44		³ A"	1.35	2.1
		5	0.63		⁵ A"	1.66	1.71
		7	0.00	C_{2v}	$^{7}B_{2}$	1.63	2.15
		9	0.61	C_s	⁹ A"	1.18	1.41
		11	1.41		¹¹ A'	0.55	2.34
Ni ₆ O	В	1	0.33	C_1	^{1}A	2.97	2.35
		3	0.00		³ A	3.34	1.85
		5	0.26	C_s	⁵ A"	1.32	2.51
		7	0.44	C_1	^{7}A	3.14	1.51
		9	0.22		⁹ A	2.43	2.24
		11	1.14		¹¹ A	1.23	1.94

^{*} TR⇒Irreducible representation; ^{**} DM⇒ Electrostatic dipole moment

initially and shows a relative maximum at n=4 in Ni_nO cluster. Beyond this point there is hardly any increase of BE per atom. However, the total optimized energy of the Ni_nO cluster can continue to gain energy during the growth processes. On the other hand, the binding energy in pure clusters tend to increase even if it is a cluster with n=7, which is basically indicating that clusters are gaining binding energy as well as the total optimized energy during their growth process. Comparing the binding energy curves it is clear that binding energy of the oxidized cluster is much higher than the nickel clusters of same size (n) within the range of the present calculation. This is an indication of improved stability due to the addition of oxygen in pure nickel structures.

The other parameters, which can explain the thermodynamic stability of the clusters, are embedding energy (EE), fragmentation energy (FE) and the second order difference in energy ($\Delta_2(n)$). The last parameter $\Delta_2(n)$ is also known as stability parameter. In the section calculated EE, FE and $\Delta_2(n)$ of Ni_nO and Ni_n clusters will be discussed. Variation of the embedding energy is shown in Fig. 4. Embedding energy is defined as,

$$EE = E(Ni_n) + E(O) - E(Ni_nO)$$
⁽²⁾

It is actually the gain in energy to incorporate a foreign atom in a cluster during its growth process with an aim to produce a particular targeted product. In the present work since the growth process of Ni_nO studied by adding oxygen with Ni_n cluster, therefore, here EE is the gain in energy by the product cluster due to addition of oxygen with Ni_n . Variation of embedding energy shown in Fig. 3 clearly shows that the cluster with n=4 in quintet state has an affinity to absorb oxygen atom to increase its stability compared to its surrounding clusters of same or different sizes. Or in other words, Ni₄ in quintet spin state is the most favorable size that can easily incorporate an oxygen atom in the cluster. A related but significantly related question is which is the most stable cluster as successive Ni atoms are added to increase the cluster size of Ni_nO starting from NiO. This is given by $\Delta_2(n)$ parameter or stability parameter of the clusters which is defined as,

$$\Delta_2(n) = \{E(Ni_{n+1}O) - E(Ni_nO)\} - \{E(Ni_nO) - E(Ni_{n-1}O)\}$$
$$= E(Ni_{n+1}O) + E(Ni_{n-1}O) - 2E(Ni_nO)$$
(3)

With this definition, large positive values of $\Delta_2(n)$ are indicative of enhanced stability as they correspond to a gain in energy during formation from the preceding size and lower gain in energy to the next size. In Fig. 4 calculated $\Delta_2(n)$ as a function of cluster size n is plotted. It is to be noted that $\Delta_2(n)$ exhibits a peak at n=4 which is the stability parameter of Ni₄O cluster in quintet state. Furthermore, the relative stability of Ni₄O (Fig. 4) cluster in terms of the calculated second order change in energy is the biggest among all the Ni_nO clusters in the present range of calculation showing that the cluster has stronger relative stability. Therefore, the structure with n=4 is the most stable geometry among all the members of Ni_nO (n=1-6) clusters.



Fig. 2 Variation of binding energy per atom of Ni_n and $\mathrm{Ni}_n\mathrm{O}~(n{=}1{-}6)$ clusters with n

Calculated fragmentation energies (Δ (n,n-1) or FE) of Ni_nO clusters can give the information of relative stabilities of the clusters. FE of the cluster is defined as,

$$\Delta(n, n - 1) = E(Ni_n O) - \{E(Ni_{n-1}O) + E(Ni)\}$$
(4)
= $E(Ni_n O) - E(Ni) - E(Ni_{n-1}O).$

Variation of FE with the size of the cluster (Fig. 5) shows that there is a sharp increase of FE from n=3 to n=4 and then a drop from n=4 to 5 indicate that during the growth process of Ni_nO by adding Ni atom one by one the cluster Ni₄O is found most stable with quintet spin state.

While the above parameters indicate thermodynamic stability of a cluster, kinematic stability of the clusters in the chemical reaction is indicated by HOMO-LUMO gaps, ionization potential (IP), electron affinity (EA), chemical potential and hardness. Usually the larger the HOMO-LUMO gaps, the less reactive the cluster is. HOMO-LUMO gaps of Ni_n and Ni_nO are plotted in Fig. 6. Overall, there is a decrease of gap with the increase of the cluster size in both Ni_n and Ni_nO clusters. However, there are some local oscillations over and above the decreasing trend. Although, there is no sharp global peak in HOMO-LUMO gap variation of Ni_nO cluster as in other quantities, but there is a visible local peak at n=4 in Ni_nO series. This again points out the enhanced stability at n=4 in Ni_nO series as like other quantities discussed before. This is precisely seen



Fig. 3 Variation of embedding energy of Ni_nO (n=1-6) clusters with n



Fig. 4 Variation of stability of Ni_nO (n=1-6) clusters with n

in the variation of ionization potential and electron affinity with the cluster size shown in Figs. 7 and 8 respectively. Variation of the IP of Ni_nO first decreases from n=1 and the show a local maxima at n=4. Therefore, the cluster at n=4 has relatively higher chemical stability compare to the surrounding sizes. The sharp dip of electron affinity (EA) in Fig. 8 indicates the reaction by capturing electron from surrounding environment is relatively less in Ni₄O cluster compared to the other oxidized and pure nickel clusters. This also supports the enhanced stability of Ni₄O cluster. The common feature in all of these graphs at n=4, is indicating that with the size-evolution of Ni_nO clusters, the cluster Ni₄O in quintet spin state has an enhanced local stability.

Further, to verify the chemical stability of the clusters, chemical potential (μ) and chemical hardness (η) of the ground state isomers are calculated. In practice chemical potential and chemical hardness can be expressed in terms of electron affinity and ionization potential. In terms of total energy consideration, if E(N) is the energy of the N electron system, then energy of the system containing N+ Δ N electrons where Δ N <<N can be expressed as:

$$E(N + \Delta N) = E(N) + \frac{dE}{dx} \Big|_{x=N} \Delta N$$
$$+ \frac{1}{2} \frac{d^2 E}{dx^2} \Big|_{x=N} (\Delta N)^2$$
$$+ \text{ neglected higher order terms}$$
(5)

1-1

+ neglected higher order terms. (5)



Fig. 5 Variation of fragmentation energy of $Ni_nO(n=1-6)$ clusters with n



Fig. 6 Variation of HOMO-LUMO gap of Ni_n and $\mathrm{Ni}_n\mathrm{O}$ $(n{=}1{-}6)$ clusters with n

Then, μ and η can be defined as:

$$\mu = \frac{dE}{dx}\Big|_{x=N} \text{ and } \eta = \frac{1}{2} \frac{d^2 E}{dx^2}\Big|_{x=N} = \frac{1}{2} \frac{d\mu}{dx}\Big|_{x=N}$$
(6)

Since,

$$IP = E(N-1) - E(N)$$
 and $EA = E(N) - E(N+1)$. (7)

By setting $\Delta N=1$, μ and η are related to IP and EA via the following relations:

$$\mu = -\frac{IP + EA}{2} \text{ and } \eta = \frac{IP - EA}{2} \tag{8}$$

Consider two systems with μ_i and η_i (i=1,2) contracting each other, where some amount of electronic charge (ΔM) transfer from one to other. The quantity ΔM and the resultant energy change (ΔE) due to the charge transfer can be determined in the following way:

If E (N+ Δ M) is the energy of the system after charge transfer then it can be expressed for the two different systems 1 and 2 in the following way:

$$E_1(N_1 + \Delta M) = E_1(N_1) + \mu_1(\Delta M) + \eta_1(\Delta M)^2$$
(9)

and

$$E_2(N_2 - \Delta M) = E_2(N_2) - \mu_2(\Delta M) + \eta_2(\Delta M)^2$$
 (10)



Fig. 7 Variation of ionization potential of Ni_nO (n=1-6) clusters with n



Fig. 8 Variation of electron affinity of Ni_nO (n=1-6) clusters with n

Corresponding chemical potential becomes,

$$\mu_{1}^{\prime} = \frac{dE_{1}(x + \Delta M)}{dx} \Big|_{x=N_{1}} = \mu_{1} + 2\eta_{1}\Delta M \text{ and}$$

$$\mu_{2}^{\prime} = \frac{dE_{2}(x - \Delta M)}{dx} \Big|_{x=N_{2}} = \mu_{2} - 2\eta_{2}\Delta M$$
(11)

to first order in ΔM after the charge transfer. In chemical equilibrium, $\mu'_1 = \mu'_2$ which gives the following expressions:

$$\Delta M = \frac{\mu_2 - \mu_1}{2(\eta_1 + \eta_2)} \text{ and } \Delta E = \frac{(\mu_2 - \mu_1)^2}{2(\eta_1 + \eta_2)}.$$
 (12)

In the expression, ΔE is the energy gain by the total system (1 and 2) due solely to the alignment of chemical potential of the two systems at the same value. It is evident from the above expressions of ΔM and ΔE that a guiding principle to predict the occurrence of an easier charge transfer is a large difference in μ together with low η_1 and η_2 .

Keeping these aspects in mind, chemical potential (μ) and chemical hardness (η) of the clusters are calculated. The size variations of chemical potential and chemical hardness are shown in Figs. 9 and 10 respectively. Variations of these parameters also support the higher stability of Ni₄O cluster in neutral state. Variation of chemical potential shows a relative minimum at n=4. It means that the reaction affinity of this cluster is relatively low compare to its surrounding sizes. Again the variation of chemical hardness, shows a stable minimum nature of all the sizes for n>2, which is another indication of non-reactive nature of the cluster. Therefore the nature of chemical potential and chemical hardness graphs support the highest stability of the neutral Ni₄O cluster.

To get an idea about the effect of the addition of oxygen in the pure nickel clusters HOMO-LUMO of the ground state structures at different sizes and geometries are shown by using contour mapping in Fig. 1b in addition to the resultant distribution of the total spin density. Comparing the maps of pure and doped clusters, it can be seen that due to addition of oxygen there is a drift of charge and spin densities toward the nickel atoms. Therefore, in the contour



Fig. 9 Variation of chemical potential of Ni_nO (n=1-6) clusters with n

maps the wavefunction representing the HOMO orbital or in other words, electron density in the neighboring space of oxygen atoms are comparatively less. The change of electron density from HOMO-1 to HOMO and LUMO to LUMO+ 1 are also studied (not shown in figure) in ground state stable structures. It shows that the oxygen atom is able to attract only a weak orbital charge density in its surrounding space. Specially, in the most stable ground state structure, Ni₄O, the electron clouds mainly localizes around Ni atoms while the distribution of electron density around O atom is relatively low. This is indicating that the orbital hybridization between Ni and O atoms is relatively less than orbital hybridization between the Ni and Ni atoms in the cluster. Therefore, the shapes of the HOMO and bonding properties between bare Nin clusters and NinO clusters are obviously different. Furthermore, the Ni-Ni bonding in the bare Ni_n clusters is the localized σ -type bonds or partly delocalized σ -type bonds while the bonding among Ni-Ni atoms in the Ni_nO clusters is completely delocalized σ -type bonds. Consequently, the hybridization between Ni and O atoms are responsible for the change of electronic properties of Nin clusters after adsorbing O atom and it helps in improving the stability of NinO clusters because of high ionization potential, relatively high HOMO-LUMO gap, less electron affinity and chemical potential.

Variations of calculated magnetic moments of pure and doped clusters of the most stable structures are shown in Fig. 11. It is important to mention here that the average magnetic moment of the clusters changes discontinuously with the size of clusters. This behavior of the magnetic moments of the low energy small sized NinO clusters is clearly indicating that the magnetic moments for the Ni_nO clusters are not stable in a particular size; it is very much geometry dependent and this finding is analogous to those of the reported Zr_n clusters [55] as well as in transition metal doped Na clusters [56]. Furthermore, the magnetic moment is stable or in other words it is independent of geometry of the stable isomers in Ni₄O. Therefore, the incoming oxygen does not have much effect on the magnetic moment of the ground state of Ni₄O clusters. But the question is how the ten (eight from four nickel and two from one oxygen atoms) electrons are accommodating in the Ni₄O ground state cluster so that the magnetic moment of Ni₄ cluster is not affected by addition of oxygen and at the same time increased the chemical stability? The answer can be given by Mulliken population charge and spin density analysis. Mulliken charge analysis clearly shows that out of ten electrons, six electrons take part in the bonding orbital's p_x , p_y and p_z . Out of four remaining electrons, two electrons go into the $p_{y_{\perp}}^{*}$ orbital. One electron goes into the majority spin level p_z^* orbital, whereas the down spin level orbital p_z^* is empty. Since the nickel is strongly ferromagnetic element, so the remaining one electron goes into the lowest unoccupied spin minority level. Again from the spin density analysis it is found that the oxygen atom has positive magnetic moment in the Ni₄O cluster in quintet state. This is because of the splitting of sub-bands originating from oxygen $2p_v$ and $2p_z$ levels due to ferromagnetism of nickel clusters.

Conclusions

In the present study a systematic investigation of the Ni_n and Ni_nO (n=1-6) clusters in various spin states are performed at the unrestricted B3PW91/LanL2DZ level. Total optimized energies, equilibrium geometries, and stabilities of Ni_nO (n= 1-6) clusters along with the binding energy, embedding energy, fragmentation energies, ionization potential, electron affinity etc. are presented and discussed. Conclusions drawn from the calculated results can be summarized as follows:



Fig. 10 Variation of chemical hardness of Ni_nO (n=1-6) clusters with n



Fig. 11 Variation of magnetic moment of Ni_nO (n=1-6) clusters with n

- (1) The ground state geometry in Ni_nO (n=1-6) clusters show that the O atom prefers the surface capped Ni_n pattern. The oxygen atom interacts with the three Ni atoms in the most stable Ni_4O cluster in the Ni_nO series in such a way that the stability of the cluster increases.
- (2) The relative stabilities of Ni_nO (n=1-6) clusters in terms of different calculated parameters show that Ni₄O cluster is the most stable structure among all small size (n<7) nickel and nickel oxide clusters.</p>
- (3) Calculated magnetic moments of small-sized Ni_nO clusters show that the magnetic moment is not stable and it depends upon the geometrical structure of the cluster in a particular size. Whereas, in the most stable cluster Ni₄O in C_s symmetry structure has the magnetic moment of 5.0μ B, which is the same as the most stable pure Ni₄ cluster. At this size the magnetic moment is independent of the geometry of the cluster and also negligible effect of addition of oxygen on it.
- (4) Calculated HOMO-LUMO gaps indicate that the most stable Ni₄O cluster in quintet spin state has stronger chemical stability as compared to the neighboring sizes. The distribution of electron density of the HOMO states for the most stable Ni₄O cluster mainly localizes around Ni atoms while the distribution around O atom is relatively low. The shapes of the HOMO and bonding properties between bare Ni_n clusters and Ni_nO clusters are obviously different. Our calculated results on electron affinity, chemical potential etc. indicate that the incoming oxygen does not have much effect on the magnetic property of Ni_n clusters, but at the same time it helps in improving the stability of the cluster after forming Ni_nO.

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