REGULAR PAPER



## **Know your customer: computing** *k***-most promising products for targeted marketing**

**Md. Saiful Islam<sup>1</sup> · Chengfei Liu1**

Received: 11 August 2015 / Accepted: 31 March 2016 / Published online: 13 April 2016 © Springer-Verlag Berlin Heidelberg 2016

**Abstract** The advancement of World Wide Web has revolutionized the way the manufacturers can do business. The manufacturers can collect customer preferences for products and product features from their sales and other productrelated Web sites to enter and sustain in the global market. For example, the manufactures can make intelligent use of these customer preference data to decide on which products should be selected for targeted marketing. However, the selected products must attract as many customers as possible to increase the possibility of selling more than their respective competitors. This paper addresses this kind of product selection problem. That is, given a database of existing products *P* from the competitors, a set of company's own products *Q*, a dataset *C* of customer preferences and a positive integer *k*, we want to find *k*-most promising products (*k*-*MPP*) from *Q* with maximum expected number of total customers for targeted marketing. We model *k*-*MPP* query and propose an algorithmic framework for processing such query and its variants. Our framework utilizes grid-based data partitioning scheme and parallel computing techniques to realize *k*-*MPP* query. The effectiveness and efficiency of the framework are demonstrated by conducting extensive experiments with real and synthetic datasets.

**Keywords** Product selection · Dynamic skylines · Reverse skylines · Algorithms · Complexity analysis

 $\boxtimes$  Md. Saiful Islam mdsaifulislam@swin.edu.au Chengfei Liu cliu@swin.edu.au

Swinburne University of Technology, Melbourne, Australia

## <span id="page-0-0"></span>**1 Introduction**

The competitive products are the alternative choices potential customers can decide to buy over any available product. The rampant use of World Wide Web for selling goods online allows the manufacturer to collect customer preferences for product features, e.g., search queries of online users and thereby, make intelligent use of these preference data to identify the competitive products as well as the potential buyers for them. The study of competitive products is crucially important for the manufacturers to sustain in the global market and has attracted considerable attention to the community ([\[1](#page-25-0),[6,](#page-25-1)[9](#page-25-2)[,13](#page-25-3)[–15](#page-25-4)[,20](#page-25-5)[,25](#page-25-6),[27,](#page-25-7)[28](#page-25-8)[,30](#page-25-9)] for survey), e.g., the sale department can exploit this kind of study to find customer groups who are most likely to buy their products and also, to design specialized promotions, advertisement campaigns, coupons or similar promotions to expedite the sales of their products. In general, the promotion events are meant to increase the sales of the products and thereby, increase the overall revenue. However, several products might be interesting for the same customer and not all products contribute equally to attract customers in the market. Therefore, the manufacturers wish to identify only a subset of products that can possibly attract the highest number of customers in the market so that the advertising and other costs spread over a larger number of customers.

Consider another example from the job market area where a software firm wishes to hire some employees to fill up a few vacant positions. The firm can advertise these positions along with the required skills and employment benefits. However, the advertised positions need to compete with other job openings available in the market. Therefore, the firm wishes to attract as many candidates as possible so that the probabilities of getting more interviewees are increased and the firm can select some best employees by interviewing them. Here,

we can treat the firm as the manufacturer, job openings as products and the candidates as the customers.

The above product selection problem is defined as follows: given a set of products *P* from existing market, a set of own products *Q* called query products, a dataset of customer preferences *C* where  $C \gg P \gg Q$ , find *k* products from *Q* with maximum expected number of total customers. We term this as finding *k*-*most promising products* (*k*-*MPP*). The solution to this *k*-*MPP* product selection problem requires a *product adoption* model for the customers and a *product selection* strategy for the manufacturer. The *product adoption model* tells which products are competing with each other to attract a particular customer and how much a product contributes to attract a customer. On the contrary, the *product selection strategy* tells how to select products with maximum expected number of customers.

In this paper, we present a novel *product adoption model* and a *product selection strategy* based on dynamic skyline [\[2](#page-25-10),[18\]](#page-25-11) and reverse skyline [\[4](#page-25-12)[,12](#page-25-13)] queries. The *dynamic skyline* query is used to retrieve data (products) from customer's perspective, and *reverse skyline* query is used to retrieve data (customers) from manufacturer's perspective. Given a database of products *P* and a customer preference *c* as query, the *dynamic skyline* query for *c* retrieves all products  $p_1 \in P$ that are preferable to *c* than other products  $p_2 \in P$ . That is, products  $p_1$  match the preference of  $c$  better than other products  $p_2$  in  $P$ . On the other hand, given a database of customer preferences *C*, products *P* and a query product *p*1, the *reverse skyline* query retrieves all customers  $c \in C$  that prefer  $p_1$  than any other product  $p_2 \in P$ . In other words, the reverse skyline of  $p_1$  consists of all customers  $c$  that include  $p_1$  in their dynamic skylines. Both the dynamic skyline and reverse skyline queries follow the around-by semantics, i.e., absolute differences between the product attributes' values and the customer preferences in those attributes. These types of queries are studied in [\[29\]](#page-25-14) and [\[1\]](#page-25-0) for supporting market research queries. These works assume that a customer appearing in the product's reverse skyline contributes 100% for the sustainment of the product in the market, i.e., the influence of a product is defined by its reverse skyline size. However, the dynamic skyline of a customer may consist of products from the competitors in the market, not only the company's own products. That is, a customer may have other products in her preference list and none of them is dominated by the manufacturer's own product (and vice versa). Therefore, the manufacturers cannot be firm about the adoption of the product by a customer; rather, they may associate a probability with it. Existing market research queries [\[1,](#page-25-0)[29\]](#page-25-14) disregard the above.

In our model, we associate a probability with the product adoption among customers based on dynamic dominance (i.e., around-by semantics) and skylines (i.e., preferencebased queries). The models in [\[13\]](#page-25-3) and [\[30](#page-25-9)] also associate a probability with the product adoption among customers. However, these models [\[13](#page-25-3),[30\]](#page-25-9) assume that a product satisfies the requirements of a customer if the product attributes' values are less than or greater than the customer-specified preferences in those attributes. Therefore, these models fail to model the scenarios where a customer might not like to minimize or maximize certain quality metric of a product. For example, a customer may not like a too small or a too big screen for a laptop; rather, he/she may like a certain range for it. This kind of preferences can only be modeled appropriately in dynamic skyline and reverse skyline queries with around-by semantics, i.e., the absolute differences between product attributes' values and the customer preferences in those attributes.

We also present a novel *product selection strategy* for finding *k*-*most promising products* (*k*-*MPP*) with maximum expected number of customers for the manufacturers based on our product adoption model. The basic computational units of a *k*-*MPP* query are dynamic and reverse skylines. Though there are a number of established studies on dynamic [\[18](#page-25-11)] and reverse  $[1,4,5,19,29]$  $[1,4,5,19,29]$  $[1,4,5,19,29]$  $[1,4,5,19,29]$  $[1,4,5,19,29]$  $[1,4,5,19,29]$  skylines, none of them are efficient for processing *k*-*MPP* queries. Most of these works either rely on index structures that are query dependent or are not optimized for multiple units. In this paper, a query-independent grid-based data partitioning scheme is developed to process *k*-*MPP* queries by designing parallel algorithms for them. Our index scheme selectively stores one or more data objects as pivots from each partition to filter data objects belonging to a partition as early as possible while processing the basic computational units of a *k*-*MPP* query. Further, an approach is developed for grouping multiple queries and processing them together with expedite the efficiency.

The main contributions of this paper are as follows:

- We develop a novel probabilistic product adoption model among customers based on dynamic and reverse skylines.
- We develop a novel product selection strategy for finding the *k*-most promising products (*k*-*MPP* for short) with maximum expected number of customers.
- We present a parallel computing approach for processing *k*-*MPP* product selection query and its variants by designing a simple yet efficient query-independent gridbased data partitioning scheme.
- We also demonstrate the effectiveness and efficiency of our approach by conducting extensive experiments with both real and synthetic datasets.

The paper is organized as follows: Sect. [2](#page-2-0) provides the necessary background and preliminaries; Sect. [3.1](#page-3-0) presents our product adoption model; Sect. [3.2](#page-5-0) describes the proposed product selection strategy, the *k*-*MPP* query and its vari-

ants; Sect. [4](#page-7-0) analyzes the complexity of computing *k*-*MPP* queries; Sect. [5](#page-9-0) describes our approach; Sect. [6](#page-16-0) presents the experimental results; Sect. [7](#page-22-0) describes the related work; and finally, Sect. [8](#page-25-17) concludes the paper.

## <span id="page-2-0"></span>**2 Background**

*Data model* We consider each product  $p \in P$ , query product  $q \in Q$  and customer (customer preference)  $c \in C$  as a *d*-dimensional data object. Without any loss of generality, we assume that each data object stores only numeric values in its dimensions. The *i*th-dimensional values of a product, query product and customer are denoted by  $p^i$ ,  $q^i$  and  $c^i$ , respectively. In general, we use *ob* to denote any data object from these three datasets, unless otherwise it is explicitly specified.

<span id="page-2-2"></span>**Definition 1** (*Dynamic dominance*) A data object *ob*<sup>1</sup> dynamically dominates another data object *ob*<sup>2</sup> w.r.t. a third data object  $\partial b_3$ , denoted by  $\partial b_1 \prec_{\partial b_3} \partial b_2$ , if  $\partial b_1$  is closer to  $\partial b_3$ 's values in all dimensions and is strictly closer to  $\partial b_3$ 's value in at least one dimension than  $\partial b_2$ . Mathematically, the relation  $ob_1 \prec_{ob3} ob_2$  holds iff (a)  $|ob_3^i - ob_1^i| \le |ob_3^i - b_2^i|$  $\frac{\partial b_2^i}{\partial t}$ , ∀*i* ∈ [1, ..., *d*] and (b)  $|\frac{\partial b_3^i}{\partial t} - \frac{\partial b_1^i}{\partial t}|$  <  $|\frac{\partial b_3^i}{\partial t} - \frac{\partial b_2^i}{\partial t}|$ , ∃*i* ∈ [1, ..., *d*].

<span id="page-2-3"></span>*Example 1* Consider the dataset of products and customers given in Fig. [1.](#page-2-1) According to Definition [1,](#page-2-2) *p*<sup>1</sup> dynamically dominates *p*<sub>3</sub> w.r.t. *c*<sub>1</sub>, i.e., *p*<sub>1</sub>  $\prec$ <sub>*c*1</sub> *p*<sub>3</sub>, as  $|c_1^1 - p_1^1| = |2 6| = 4 \leq |c_1^1 - p_3^1| = |2 - 6| = 4$  and  $|c_1^2 - p_1^2| = |8 - 6| =$  $2 \le |c_1^2 - p_3^2| = |8 - 20| = 12.$ 

From Definition [1,](#page-2-2) it is easy to verify that *dynamic dominance* relies on around-by semantics, which is also exemplified in Example [1.](#page-2-3) Dynamic dominance plays a very important role in modeling the customer preferences for a product in dynamic skyline [\[18](#page-25-11)] and reverse skyline [\[4\]](#page-25-12) queries and studied extensively to establish the customer– product relationship [\[1](#page-25-0)[,29](#page-25-14)].



ID	Dim1	Dim2
$c_1$	$\overline{2}$	8
c <sub>2</sub>	4	10
$c_3$	6	16
c <sub>4</sub>	8	18
c <sub>5</sub>	10	10
c <sub>6</sub>	16	14
$c_7$	12	$\overline{2}$
$c_{8}$	18	6
c <sub>9</sub>	18	18
$c_{10}$	20	13
(b)		

<span id="page-2-1"></span>**Fig. 1** A dataset of products (*P*) and customers (*C*), **a** products in the market, **b** customer preferences

#### <span id="page-2-4"></span>**2.1 Dynamic skyline**

**Definition 2** (*Dynamic skyline* [\[18](#page-25-11)]) The dynamic skyline of a customer  $c \in C$ , denoted by  $DSL(c)$ , consists of all products  $p_1 \in P$  that are not dynamically dominated by other products  $p_2 \in P$  w.r.t. the customer *c*, i.e.,  $p_2 \nless c_p p_1$ .

<span id="page-2-5"></span>*Example 2* Consider the dataset given in Fig. [1.](#page-2-1) According to Definition [2,](#page-2-4) the dynamic skyline of customer  $c_5$  consists of products *p*4, *p*<sup>7</sup> and *p*<sup>9</sup> as these products are not dynamically dominated by other products in *P* w.r.t. *c*5. Similarly, the dynamic skylines of  $c_3$  and  $c_4$  are  $\{p_2, p_3, p_4\}$  and {*p*2, *p*3, *p*4, *p*5}, respectively.

The dynamic skyline *DSL*(*c*) consists of all products  $p_1 \in P$  that dynamically match the customer preference *c* better than any other product  $p_2 \in P$ . Therefore, the dynamic skyline query is used to retrieve products from customer's perspective or point of view. We say every product  $p \in DSL(c)$  *competes* with each other for the customer *c* to enter into the market, e.g., products  $p_4$ ,  $p_7$  and  $p_9$  compete with each other for *c*<sub>5</sub>.

## *2.1.1 Dynamic skyline computation*

A large number of dynamic skyline of a customer indicate more choices for the customer. The dynamic skyline of *c* can be computed by any traditional skyline computing algo-rithm [\[2](#page-25-10)] having all products  $p \in P$  transformed to a new data space where point *c* is considered as the origin and the absolute distances to *c* are used as the mapping functions [\[18](#page-25-11)] as shown in Fig. [2.](#page-3-1) The *mapping function*,  $f^i$ , is defined as  $f^{i}(p^{i}) = |c^{i} - p^{i}|$ .

## **2.2 Reverse skyline**

<span id="page-2-6"></span>**Definition 3** (*Reverse skyline* [\[4](#page-25-12)]) The reverse skyline of a product  $p_1$ , denoted by  $RSL(p_1)$ , consists of all customers  $c \in C$  such that  $p_1$  is not dynamically dominated by other products  $p_2 \in P$  w.r.t. the customer *c*, i.e.,  $p_2 \nless c_p p_1$ . In other words, the reverse skyline of a product  $p_1$  retrieves all customers  $c \in C$  such that  $p_1$  appears in the dynamic skylines of *c*.

*Example 3* Consider the dataset of products and customers given in Fig. [1.](#page-2-1) The reverse skyline of product *p*<sup>4</sup> consists of customer  $c_3$ ,  $c_4$  and  $c_5$  as each of them includes the product  $p_4$  in their dynamic skylines. Similarly, the reverse skyline of  $p_3$  and  $p_5$  is  $RSL(p_3) = \{c_3, c_4\}$  and  $RSL(p_5) = \{c_4, c_9\}$ , *respectively*.

We say that all customers appearing in *RSL*(*p*) prefer the product *p* to others. Therefore, these customers are considered to be the *potential buyers* for the product  $p_1$  in the



<span id="page-3-1"></span>**Fig. 2** Computing the dynamic skyline of the customer  $c_5$ 

market  $[1]$  $[1]$ , e.g.,  $c_3$ ,  $c_4$  and  $c_5$  are the potential buyers for the product *p*5. A large number of reverse skyline indicate more customers for a product.

**Definition 4** (*Influence set of a product* [\[1](#page-25-0),[29\]](#page-25-14)) The influence set of a product  $p \in P$ , denoted by  $IS(p)$ , consists of the customers  $c \in C$  that appear in the reverse skyline of p, i.e., *RSL*(*p*). The *influence score* of *p* is measured by the size of the set, i.e.,  $|IS(p)|$ .

<span id="page-3-4"></span>*Example 4* Consider the dataset of products and customers given in Fig. [1.](#page-2-1) The influence set of product *p*<sup>4</sup> consists of customer *c*<sub>3</sub>, *c*<sub>4</sub> and *c*<sub>5</sub>, i.e.,  $IS(p_4) = \{c_3, c_4, c_5\}$  and the *influence score* of  $p_4$  is  $|IS(p_4)| = 3$ . Similarly, the influence score of  $p_3$  and  $p_5$  is  $|IS(p_3)| = |\{c_3, c_4\}| = 2$  and  $|IS(p_5)| = |\{c_4, c_9\}| = 2$ , respectively.

#### *2.2.1 Reverse skyline (influence set) computation*

To compute the reverse skyline of a product  $p_1$ , firstly we need to compute the midpoint skyline (also known as *midskyline* [\[29\]](#page-25-14)) for each orthant *O* of  $p_1$ . A product  $p_1$  has 2*<sup>d</sup>* orthants in a *d*-dimensional dataspace. The orthant of an object  $ob_2$  with respect to an object  $ob_1$  is calculated as follows:  $O^i = 0$  if  $ob_2^i \leq ob_1^i$ , 1 otherwise. The midpoint for each product  $p_2 \in P$  w.r.t.  $p_1$  is calculated as  $m_2^i = (p_1^i + p_2^i)/2$ . Then, we need to compute the skyline of these midpoints for each orthant (as shown for  $p_4$  in Fig. [3\)](#page-3-2). Secondly, we need to check whether a customer *c* is dominated by these midpoint skylines w.r.t.  $p_1$  or not. A customer *c* is dominated by a midskyline point *m* w.r.t. the product  $p_1$  iff (a)  $|p_1^i - m^i| \leq |p_1^i - c^i|, \forall i \in [1, ..., d]$  and (b)



<span id="page-3-2"></span>**Fig. 3** Computing the reverse skyline of the product *p*<sup>4</sup>

 $|p_1^i - m^i| < |p_1^i - c^i|, \exists i \in [1, ..., d].$  If *c* is not dominated by any of the corresponding midpoint skylines w.r.t.  $p_1$ , then *c* appears in the reverse skyline of *p*1. To know more about midpoint skyline and its role in reverse skyline computation, interested readers are referred to [\[29\]](#page-25-14).

Table [1](#page-4-0) shows the list of symbols used in the paper.

## **3 The proposed product adoption model and selection strategy**

This section presents our product adoption model and the product selection strategy.

## <span id="page-3-0"></span>**3.1 The proposed product adoption model**

We propose a *uniform product adoption* (*UPA*) model based on dynamic and reverse skylines. In our model, we assume that every product  $p \in P$  appearing in the dynamic skyline of the customer  $c \in C$ , i.e.,  $DSL(c)$ , competes with each other to attract the customer *c*. Also, the customers  $c \in C$ that appear in the reverse skyline of  $p \in P$ , i.e.,  $RSL(p)$ , are the potential buyers for the product *p*. The *UPA* model is described below.

**Definition 5** Given a set of existing products *P* in the market, the probability by which the customer will buy the product *p*, denoted by  $Pr(c, p|P)$ , is given as:

<span id="page-3-3"></span>
$$
Pr(c, p|P) = \begin{cases} \frac{1}{|DSL(c)|} & \text{if } p \in DSL(c) \\ 0 & \text{otherwise} \end{cases}
$$
 (1)

It is easy to verify that Eq.  $(1)$  does not show any biasness toward any particular product that appears in *DSL*(*c*). That

**Table 1** The list of symbols

<span id="page-4-0"></span>

Symbol	Meaning	
$\boldsymbol{P}$	A set of products in the market	
C	A set of customers	
$\overline{O}$	A set of company's own product	
$\overline{D}$	$P\cup C$	
d	The number of dimensions in $D$	
$\boldsymbol{p}$	A product in $P$	
$\mathcal{C}_{0}^{(n)}$	A customer in $C$	
$\boldsymbol{q}$	A product in $Q$	
ob	An object in $D$	
DSL(c)	Dynamic skyline of $c$	
RSL(p)	Reverse skyline of $p$	
Pr(c, p P)	Probability by which $c$ buy $p$	
E(C, p P)	Market contribution of $p$ given $P$	
E(C, P' P)	Market contribution of the set $P'$ given $P$	
IS(p)	Influence score of $p$	
IS(P')	Influence score of $P'$	
$k-MPP$	The $k$ -most promising products	
$k-MPP_{ind}$	Independent $k-MPP$	
$k-MPP_{dep}$	Dependent $k$ - $MPP$	
$\boldsymbol{m}$	Number of threads ( <i>i.e.</i> , worker nodes)	
n	Grid size	
$D_l$	The <i>l</i> th partition of <i>D</i>	
$pos_l$	The positional vector of $D_l$	
$\delta_i$	Side length of a partition in <i>i</i> th dimension	
loc(obj)	Partition of D that contains ob	
$\mathcal{N}_c(D)$	Search space of $DSL(c)$	
$\mathcal{N}_q(D)$	Search space of $RSL(q)$	
$\mathcal{N}^+_{q}(D)$	Extended search space of $RSL(q)$	
М	The midpoint skyline	

is, every product in  $DSL(c)$  has the equal chance of being selected by *c* and the products not appearing in *DSL*(*c*) do not have any chance of being selected by *c*. Therefore, we get the following:

<span id="page-4-3"></span>
$$
\sum_{\forall p \in P} Pr(c, p | P) = 1 \tag{2}
$$

Under *UPA* model, a customer *c* is considered to be a *valued customer* for a product  $p$  if the customer  $c$  contains the product *p* in its dynamic skyline exclusively. It is assumed that the manufacturers like to increase the number of *valued customers* for their products.

*Example 5* Consider the dataset given in Fig. [1.](#page-2-1) The probability of  $p_4$  being chosen by the customer  $c_5$  is  $Pr(c_5, p_4 | P)$  $= \frac{1}{|DSL(c_5)|} = \frac{1}{3}$  as  $|DSL(c_5)| = 3$  (from Example [2\)](#page-2-5). Similarly, the probabilities of  $p_4$  being chosen by customers  $c_3$ and  $c_4$  are  $\frac{1}{3}$  and  $\frac{1}{4}$ , respectively.

#### *3.1.1 Market contribution*

The *market contribution* of a product  $p \in P$  is measured by the expected number of total customers in *C* that might choose to buy the product *p* over other products in *P*. We assume that a customer would be equally interested in each product that appears in her dynamic skyline. Thus, the customer assigns an equal weight to the product that is inversely proportional to the size of the dynamic skyline as described in Sect. [3.1.](#page-3-0) Finally, the contribution of a product becomes the sum of the weights it receives from all the customers in the market.

**Definition 6** Given a set of products *P* and a set of customers *C*, the market contribution of a product *p*, denoted by  $E(C, p|P)$ , is obtained by adding the probabilities  $Pr(c, p|P)$  of each customer *c* in *C* as follows:

<span id="page-4-1"></span>
$$
E(C, p|P) = \sum_{\forall c \in C} Pr(c, p|P)
$$
 (3)

As the customers *c* appearing in the reverse skyline of *p* are the only potential buyers for *p* (as per Definition [3\)](#page-2-6) and the probabilities of *p* being chosen by customers that do not contain *p* in their dynamic skylines are zero (as per Eq. [1\)](#page-3-3), Eq. [3](#page-4-1) reduces to the following:

<span id="page-4-4"></span>
$$
E(C, p|P) = \sum_{\forall c \in RSL(p)} Pr(c, p|P)
$$
 (4)

*Example 6* Consider the dataset given in Fig. [1.](#page-2-1) The market contribution of  $p_4$  is  $E(C, p_4|P) = Pr(c_3, p_4|P) +$  $Pr(c_4, p_4 | P) + Pr(c_5, p_4 | P) = \frac{1}{3} + \frac{1}{4} + \frac{1}{3} = \frac{11}{12}$ . Similarly, the market contribution of  $p_3$  and  $p_5$  is  $E(C, p_3 | P) =$  $Pr(c_3, p_3|P) + Pr(c_4, p_3|P) = \frac{1}{3} + \frac{1}{4} = \frac{7}{12}$  and  $E(C, p_5|P) = Pr(c_4, p_5|P) + Pr(c_9, p_5|P) = \frac{1}{4} + \frac{1}{2} = \frac{3}{4},$ respectively.

**Definition 7** Given a set of products *P* and a set of customers  $C$ , the market contribution of a product set  $P'$ , denoted by  $E(C, P'|P)$ , is obtained by adding the market contribution of each product  $p \in P'$  as follows:

<span id="page-4-2"></span>
$$
E(C, P'|P) = \sum_{\forall p \in P'} E(C, p|P)
$$
 (5)

*Example 7* Consider the dataset of products and customers given in Fig. [1.](#page-2-1) Assume that  $P'$  is a product set consisting of *p*3, *p*<sup>4</sup> and *p*5. The market contribution of the product set *P*<sup>'</sup> is  $E(C, P'|P) = E(C, p_3|P) + E(C, p_4|P) +$  $E(C, p_5|P) = \frac{7}{12} + \frac{11}{12} + \frac{3}{4} = 2.25.$ 

**Theorem 1** *The market contribution of a product set*  $P' \subseteq P$ *is bounded as follows:*  $0 \leq E(C, P'|P) \leq |C|$ .

*Proof* By putting Eq. [3](#page-4-1) into the formula of  $E(C, P'|P)$  given in Eq. [5,](#page-4-2) we get the following:

$$
E(C, P'|P) = \sum_{\forall c \in C} \sum_{\forall p \in P'} Pr(c, p|P)
$$
 (6)

Now, assume that  $P' = P$ ; then, all customers  $c \in C$  are the potential buyers for the products  $p$  in  $P'$ . From Eq. [2,](#page-4-3) we get  $\sum_{\forall p \in P'} Pr(c, p|P) = 1$  as  $P' = P$ . Therefore, Eq. [6](#page-5-1) becomes as given as follows:

$$
E(C, P'|P) = \sum_{c \in C} 1 = |C|.
$$

Again, assume that  $P' \subset P$  and  $\exists c_1 \in C$  that includes at least a product  $p_1 \in P \setminus P'$  in its dynamic skyline, i.e.,  $p_1 \in DSL(c_1)$ . Then, Eq. [2](#page-4-3) becomes:

$$
\sum_{\forall p \in P'} Pr(c_1, p | P) + \sum_{\forall p_1 \in P \backslash P'} Pr(c_1, p_1 | P) = 1.
$$

We know that  $\Sigma$ ∀*p*1∈*P*\*P Pr*(*c*<sub>1</sub>, *p*<sub>1</sub>|*P*) > 0 as *p*<sub>1</sub> ∈  $DSL(c<sub>1</sub>)$ , which gives us the following:

$$
\sum_{\forall p \in P'} Pr(c_1, p | P) < 1.
$$

By putting the above into Eq. [6,](#page-5-1) we get:

$$
E(C, P'|P) < \sum_{c \in C} 1 = |C|.
$$

Finally, assume that  $P' \subset P$  and every product  $p \in P'$  is dynamically dominated by a product  $p_1 \in P \setminus P'$  w.r.t. a  $c \in$ *C*. Then, Eq. [2](#page-4-3) becomes  $\Sigma$ ∀*p*∈*P*  $Pr(c, p|P) = 0$ . Therefore, Eq. [6](#page-5-1) becomes as given as follows:

 $E(C, P'|P) = \sum$ *c*∈*C*  $0 = 0.$ 

Hence, the theorem.

## <span id="page-5-2"></span>*3.1.2 Market contribution versus influence score*

**Definition 8** (*Influence score of a product set* [\[1\]](#page-25-0)) The influence set of a product set  $P'$ , denoted by  $IS(P')$ , consists of all customers  $c \in C$  that appear in the reverse skyline of  $p \in P'$ , i.e.,  $IS(P') = \bigcup_{\forall p \in P'}$ *I S*(*p*). The influence score of  $P'$  is measured by the size of the set  $IS(P')$  and is denoted by  $|IS(P')|$ .

*Example 8* Consider the dataset given in Fig. [1.](#page-2-1) The influence set of the product set  $P' = \{p_3, p_4, p_5\}$  consists of customers  $c_3$ ,  $c_4$ ,  $c_5$  and  $c_9$ , i.e.,  $IS(P') = \{c_3, c_4, c_5, c_9\}.$ Therefore, the influence score of  $P'$  is  $|IS(P')| = 4$ .

<span id="page-5-1"></span>There is a clear distinction between the *market contribution* proposed in this paper and the *influence score* [\[1](#page-25-0)[,29](#page-25-14)]. We argue that the *market contribution* metric is more realistic than the *influence score* for measuring the product sustainment in the market. For example, if we consider the *influence score* metric to judge the product sustainment in the market, then both product  $p_3$  and  $p_5$  are the same (see Example [4\)](#page-3-4). However, if we consider the *market contribution*, then  $p_5$ is preferable to the manufacturer than  $p_3$  as the expected number of customers of  $p_5$  is greater than that of  $p_3$ . The *influence score* metric [\[1](#page-25-0)] assumes that the number of actual customers of a product set is equivalent to its influence set size, which is an overestimate of the expected number of customers in the market. The *market contribution* metric measures the expected number of customers probabilistically by taking into account all plausible choices of a customer, i.e., the *market contribution* combines both the customers' perspective and the manufacturers' perspective into the metric. The existing *influence score*[\[1\]](#page-25-0) considers the manufacturers' perspective only.

## <span id="page-5-0"></span>**3.2 The proposed product selection strategy**

We propose a novel *product selection strategy* for the manufacturers based on the *UPA* model developed in Sect. [3.1.](#page-3-0) The new query is called *k*-most promising products (*k*-*MPP*) selection query as given below.

**Definition 9** (*Generalized k*-*MPP query*) Given a set of existing products *P*, a set of own products *Q*, a set of customers *C* and a positive integer *k* less than  $|Q|$ , the *k*-*MPP* query, denoted by *k*-*MPP*(*Q*, *P*,*C*), selects a subset of  $k$  products  $Q'$  from  $Q$  which has the market contribution greater than any other subset of  $k$  products  $Q''$  of the product set *Q*.

The above presents a generalized product selection query, which can be specialized. We present two variants of it based on the competition among the own products: (a) independent and (b) dependent *k*-*MPP*.

#### *3.2.1 Independent k-MPP*

We define a *k*-*MPP* query as independent *k*-*MPP*, denoted by  $k$ -*MPP*<sub>ind</sub>, where the query products in  $Q$  do not compete with each other. That is, products in *Q* are considered independently while computing their contribution in the market. For example, the competitors of  $q_1$  w.r.t. customer  $c_2$  is shown in Fig. [4a](#page-6-0), where  $q_1$  does not compete with any other



<span id="page-6-0"></span>**Fig. 4** Competitors of the product  $q_1$  in a independent and **b** dependent *k*-**MPP** query settings w.r.t. customer  $c_2$ 

products from *Q*, but products from their competitors only, i.e., *P*. This kind of *k*-*MPP* query is suitable in scenarios where a manufacturer considers to offer variants of the same type of product among the customers and likes to expedite the sales via market segmentation; or a job applicant is wished to be interviewed by each sub-team in a company if she satisfies the requirements of each of them.

**Definition 10** ( $k$ -*MPP*<sub>ind</sub> *query*) Given *Q*, *P* and *C*, a  $k$  − *MPPind* query is realized as:

$$
k-MPP_{ind}(Q, P, C) = \underset{Q' \subseteq Q, |Q'|=k}{\operatorname{argmax}} E(C, Q'|P) \tag{7}
$$

*Example 9* Consider the dataset of existing products and customers given in Fig. [1.](#page-2-1) Assume that we are given four query products *Q* : {*q*1(12, 12), *q*2(7, 15), *q*3(14, 11), *q*4(19, 11)} and the manufacturer wishes to retrieve top 3-products from *Q* with the maximum number of total customers. The market contribution of  $q_1$  is  $E(C, q_1|P) = Pr(c_2, q_1|P) +$  $Pr(c_5, q_1 | P) = \frac{1}{2} + \frac{1}{3} = \frac{5}{6}$ . Similarly, we get  $E(C, q_2 | P) =$  $Pr(c_3, q_2|P) + Pr(c_4, q_2|P) = \frac{1}{2} + \frac{1}{5} = \frac{7}{10}, E(C, q_3|P) =$  $Pr(c_2, q_3|P) + Pr(c_5, q_3|P) = \frac{1}{3} + \frac{1}{3} = \frac{2}{3}$  and  $E(C, q_4|P)$  $= Pr(c_2, q_4|P) + Pr(c_5, q_4|P) + Pr(c_8, q_4|P)$  $+ Pr(c_9, q_4 | P) + Pr(c_{10}, q_4 | P) = \frac{1}{3} + \frac{1}{3} + \frac{1}{2} + \frac{1}{3} + \frac{1}{3}$  $\frac{1}{3}$  =  $\frac{11}{6}$ . In *k* – *MPP*<sub>ind</sub>, we chose *q*<sub>1</sub>, *q*<sub>2</sub> and *q*<sub>4</sub> as  $E(C, \{q_1, q_2, q_4\} | P) = \frac{5}{6} + \frac{7}{10} + \frac{11}{6} = 3.37$  is the highest than any other 3-query products in the set *Q*.

#### *3.2.2 Dependent k-MPP*

We define a *k*-*MPP* query as dependent *k*-*MPP*, denoted by *k*- $MPP_{dep}$ , where we allow the query products in  $Q$  to compete with each other. That is, we compute the market contribution of *q* considering the products not only from *P*, but also from *Q* as *q*'s competitors. For example, the competitors of *q*<sup>1</sup> w.r.t. customer  $c_2$  is shown in Fig. [4b](#page-6-0) where  $q_1$  competes with the products from *P* as well products from the query product set *Q*. This kind of *k*-*MPP* query is useful for scenarios where a manufacturer wishes to judge the sustainment of a new product which is a variant of an existing product; or a job applicant is wished to be interviewed by only one sub-team in a company.

**Definition 11** ( $k$ -*MPP*<sub>dep</sub> *query*) Given *Q*, *P* and *C*, a  $k$ - $MPP_{dep}$  query is realized as follows:

$$
k-MPP_{dep}(Q, P, C) = \underset{Q' \subseteq Q, |Q'| = k}{\text{argmax}} E(C, Q'|P \cup Q)
$$
 (8)

*Example 10* Consider the dataset of existing products and customers given in Fig. [1.](#page-2-1) Assume that we are given four query products  $Q: \{q_1(12, 12), q_2(7, 15), q_3(14, 11),\}$  $q_4(19, 11)$  and the manufacturer wishes to retrieve top 3-products from *Q* with the maximum number of total customers. The market contribution of  $q_1$  is  $E(C, q_1 | P \cup Q) =$  $Pr(c_2, q_1 | P \cup Q) + Pr(c_5, q_1 | P \cup Q) = \frac{1}{4} + \frac{1}{3} = \frac{7}{12}.$ Similarly, we get  $E(C, q_2 | P \cup Q) = Pr(c_3, q_2 | P \cup Q) +$  $Pr(c_4, q_2 | P \cup Q) = \frac{1}{2} + \frac{1}{5} = \frac{7}{10}, E(C, q_3 | P \cup Q) =$  $Pr(c_2, q_3 | P \cup Q) + Pr(c_5, q_3 | P \cup Q) = \frac{1}{4} + \frac{1}{3} = \frac{7}{12}$ and  $E(C, q_4 | P ∪ Q) = Pr(c_8, q_4 | P ∪ Q) + Pr(c_9, q_4 | P ∪$  $Q$ ) +  $Pr(c_{10}, q_4 | P \cup Q) = \frac{1}{2} + \frac{1}{3} + \frac{1}{3} = \frac{7}{6}$ . In  $k - MPP_{dep}$ , we chose  $q_2, q_3$  and  $q_4$  as  $E(C, \{q_2, q_3, q_4\} | P \cup Q) =$  $\frac{7}{10} + \frac{7}{12} + \frac{7}{6} = 2.45$  is the highest than any other 3-query products in the set *Q*.

#### **3.3 Related product selection models**

There are a number of related product selection models exist in the literature  $[1,13,29]$  $[1,13,29]$  $[1,13,29]$  $[1,13,29]$  and  $[30]$  $[30]$ . Given a dataset of products and customers, Wu et al. [\[29](#page-25-14)] propose a new algorithm called *BRS* to compute the *influence set* (IS) of a given query product *q*, which outperform the branch and bound algorithm proposed by Dellis et al.[\[4](#page-25-12)]. Later, Arvanitis et al. [\[1](#page-25-0)] extend this to select a subset of query products  $Q'$  from a given product set *Q* that jointly maximize the size of the influence set, i.e.,  $|IS(Q')|$ . The products in this set are termed as *most attractive candidates* (MAC) and if the size of  $Q'$  is  $k$ , then this is called the *k*-*MAC* query.

<span id="page-7-3"></span>**Theorem 2** *Assume that Q is the set of k products selected by the k-MAC query and Q is the set of k products selected by the k-MPP query optimally from Q. We get the following:*  $|IS(Q')|$  ≥  $|IS(Q'')|$  *but*  $E(C, Q'|P \cup Q)$  ≤  $E(C, Q''|P \cup Q)$  $Q$ ) (also  $E(C, Q'|P) \leq E(C, Q''|P)$ ).

*Proof* Assume that there are no existing products in the market, i.e.,  $P = \emptyset$ . Then, every customer  $c \in C$  prefers one or more products only from the product set *Q*. Consider a special case where  $|Q| = 1$  and also the value of *k* is 1. We get  $Q' = Q''$ ,  $|IS(Q')| = |IS(Q'')| = |C|$ and  $E(C, Q'|P \cup Q) = E(C, Q''|P \cup Q) = |C|$  (also  $E(C, Q'|P) = E(C, Q''|P) = |C|$ ). Consider another case where there are three query products  $q_1$ ,  $q_2$  and  $q_3$  in  $Q$  with  $RSL(q_1) = C_1 \cup C_2$ ,  $RSL(q_2) = C_1$  and  $RSL(q_3) = C_3$ . We assume that the following relationships hold: (a)  $C =$  $C_1 \cup C_2 \cup C_3$  and (b)  $C_1$ ,  $C_2$  and  $C_3$  are mutually disjoint. We get the followings:

(i) 
$$
E(C, q_1 | P \cup Q) = \frac{|C_1|}{2} + |C_2|
$$
;  
\n(ii)  $E(C, q_2 | P \cup Q) = \frac{|C_1|}{2}$ ;  
\n(iii)  $E(C, q_3 | P \cup Q) = |C_3|$ ;  
\n(iv)  $IS({q_1, q_3}) = C_1 \cup C_2 \cup C_3$  and  
\n(v)  $IS({q_1, q_2}) = C_1 \cup C_2$ .

Now, if the value of *k* is 2, then the optimal *k*-*MAC* query selects  $q_1$  and  $q_3$  as  $Q'$  from  $Q$  as  $|IS({q_1, q_3})| = |C_1| +$  $|C_2|+|C_3| > |IS({q_1, q_2})| = |C_1|+|C_2|$ . However, the optimal *k*-*MPP* selects  $q_1$  and  $q_2$  as  $Q''$  as  $E(C, Q''|P \cup Q) =$  $|C_1| + |C_2| > E(C, Q'|P \cup Q) = \frac{|C_1|}{2} + |C_2| + |C_3|$  for  $|C_1| > 2 \times |C_3|$ . Similarly, we can prove  $E(C, Q''|P) > E(C, Q''|P)$  Hence the theorem  $E(C, Q''|P)$ . Hence, the theorem.

Given a set of products and customers, Lin et al. [\[13](#page-25-3)] propose a product selection model called *discovering k-most demanding products*, denoted by *k*-*MDP*, where a product *p* is assumed to satisfy a customer *c* iff  $p^i \leq c^i$ . This work also maximizes the number of expected customers for a subset of products of a given set of candidate products *Q* as we do in our model. Xu et al. [\[30](#page-25-9)] propose two types of product selections models, called *k*-*best selling products* (*k*-*BSP*) and (b) *k*-*best-benefit products* (*k*-*BBP*), that increase the expected sales in the market. This work also develops a probabilistic product adoption model by assuming that a product satisfies a customer iff  $p^i \geq c^i$ . However, the product adoption models of these works [\[13,](#page-25-3)[30\]](#page-25-9) are not realistic in the sense that customers do not always wish to minimize/maximize the attributes of a product, rather they may prefer certain ranges in them. These attributes can also be modeled in our approach by setting the customer preferences to their MIN/MAXes. Therefore, we argue that our product adoption model is more robust compared to [\[13](#page-25-3)] and [\[30\]](#page-25-9) as we model product adoption among the customers through around-by semantics (i.e., dynamic dominance and skyline) and more sustainable compared to [\[1](#page-25-0)[,29](#page-25-14)] as we model product selection by considering both the customer and the manufacturer perspectives (recall from Sect. [3.1.2\)](#page-5-2).

#### <span id="page-7-2"></span>**3.4 Design decision models versus** *k***-***MPP* **queries**

The proposed product selection strategy is orthogonal to the design decision models studied in other domain [\[7](#page-25-18)[,16](#page-25-19)]. Like [\[7](#page-25-18),[16\]](#page-25-19), the *k*-*MPP* queries consider the product (i.e., the product attributes), the consumer (i.e., the customer), the firm (i.e., the manufacturer) and its competitors (i.e., competitors' products in the market). The *k*-*MPP* queries tend to maximize the *profit* (i.e., modeled via the expected number of total customers) by selecting a subset of products from *Q* that can beat the competitors' product by fulfilling the *demand* of the *consumer*. Unlike top-*k* queries [\[3\]](#page-25-20) where weights are used to rank the objects, the *demand* of a product is measured by the customers  $c$  that appears in  $RSL(c)$  and the alternative choices of a customer *c* are determined by the products *p* that appears in *DSL*(*c*).

## <span id="page-7-0"></span>**4 Complexity analysis**

This section analyzes the complexity of processing *k*-MPP query by providing a serial execution approach and then, a hypothetical parallel approach for improving the efficiency. The *k*-*MPP* is a special type of query which requires a different kind of data indexing scheme to expedite its execution time. We conclude that existing data indexing policies and parallel skyline computing techniques are inefficient for *k*-*MPP* queries.

#### <span id="page-7-1"></span>**4.1 Serial execution of** *k***-***MPP* **query**

To process *k*-*MPP* query, one can start computing the dynamic skyline of each customer  $c \in C$  and then, check whether  $DSL(c)$  contains the product  $q \in Q$ . Once we

know  $DSL(c)$  of each customer  $c \in C$ , we can compute  $Pr(c, q | P)$  (or  $Pr(c, q | P \cup Q)$ ) and  $E(C, q | P)$  (or  $E(C, q | P \cup Q)$  for each product  $q \in Q$ . However, this naive solution is not efficient as we need to compute  $|C|$  dynamic skylines (recall  $C \gg P \gg Q$ ). The dynamic skyline is itself computationally very expensive [\[18](#page-25-11)]. Not all products in *Q* may also be readily available for computing *DSL*(*c*) offline to expedite the computation, e.g., some of the products may not be manufactured yet, rather they are prototyped on the fly based on the demand received from the customers (e.g., product survey), which requires online computation of *DSL*(*c*). An alternative/better solution to the above is to compute the reverse skyline of each query product  $q \in Q$ , then compute the dynamic skyline only for those customers that appear in the reverse skyline of *q* (avoiding unnecessary dynamic skyline computations) as suggested in Eq. [4.](#page-4-4) We term this as the *baseline approach*. The efficiency of this solution depends on the number of query products in *Q*, the time required to compute the reverse skylines and the dynamic skylines of the customers *c* that appear in  $RSL(q)$  of  $q \in Q$ .

Assume that the average run time of computing *RSL*(*q*) of  $q \in Q$  and  $DSL(c)$  of a customer *c* in  $RSL(q)$  are *TRSL* and *TDSL* , respectively. Also, assume that both *TRSL* and  $T_{DSL}$  include the time required to build the data index. Then, the average runtime complexity,  $T_{k-MPP}^s$ , of the baseline approach is given as follows:

<span id="page-8-0"></span>
$$
T_{k-MPP}^s = |Q| \times (T_{RSL} + |RSL(q)| \times T_{DSL}) \tag{9}
$$

Equation [9](#page-8-0) represents the runtime complexity of executing a *k*-*MPP* query in serial where |*RSL*(*q*)| is the average reverse skylines of the query products *q* in *Q*.

#### <span id="page-8-2"></span>**4.2 Parallel processing of** *k***-***MPP* **query**

Assume that there are  $m + 1$  computing resources (e.g., threads, processors and machines)  $T_0$ ,  $T_1$ , ...,  $T_m$  available. We term these computing resources as nodes, where  $T_0$  is regarded as the master node and others as the worker nodes. The efficiency of the baseline approach can be improved if we compute the reverse skylines and the corresponding dynamic skylines in parallel. Figure [5](#page-8-1) shows a hypothetical parallel processing strategy of *k*-*MPP* query for  $|Q| = 3$  in a 4-



<span id="page-8-1"></span>**Fig. 5** A hypothetical parallel processing strategy of *k*-*MPP* query

nodes system. Here, we compute either a reverse skyline or a dynamic skyline query in each node at a time. Assume that there are three customers for each  $q \in Q$  on average. In serial execution, we would have complexity:  $3 \times T_{RSL} + 9 \times T_{DSL}$ . As we can execute three skyline queries in parallel in our hypothetical parallel processing strategy of *k*-*MPP*, we will have complexity:  $T_{RSL} + 3 \times T_{DSL}$ . That is, the hypothetical parallel processing strategy can achieve speed up ratio 3 as illustrated in Fig. [5.](#page-8-1) Here, we ignore the implementation overhead of synchronization, data communication, result processing, etc. as indicated by  $T_Q$  in Fig. [5.](#page-8-1) Therefore, the runtime complexity of processing *k*-*MPP* query in parallel,  $T_{k-MPP}^p$ , in an  $(m + 1)$ -nodes ideal system is:

<span id="page-8-3"></span>
$$
T_{k-MPP}^p = \frac{|Q|}{m} \times (T_{RSL} + |RSL(q)| \times T_{DSL})
$$
 (10)

The efficiency of the hypothetical parallel processing strategy of *k*-*MPP* can be further expedited as follows: (1) building query-independent reusable data index; (2) grouping queries based on their similarities (e.g., significant overlap of the search spaces of two or more skyline queries) and processing them together by sharing their computations; and (3) computing the  $DSL(c)$  offline of each customer  $c \in C$  considering the product objects  $p$  in  $P$  and later, updating it for query products  $q \in Q$ .

#### <span id="page-8-4"></span>**4.3 Limitations of existing approaches**

As outlined in Sect. [4.1,](#page-7-1) the basic units of computation for processing *k*-*MPP* queries are dynamic and reverse skylines and the efficiency of realizing a *k*-*MPP* query in a hypothetical ideal system is much dependent on parallelizing these units as conceptualized in Sect. [4.2.](#page-8-2) Though there are a number of established works on parallelizing traditional skyline query exist in the literature  $([10, 17, 21, 24, 31, 32]$  $([10, 17, 21, 24, 31, 32]$  $([10, 17, 21, 24, 31, 32]$  $([10, 17, 21, 24, 31, 32]$  $([10, 17, 21, 24, 31, 32]$  $([10, 17, 21, 24, 31, 32]$  $([10, 17, 21, 24, 31, 32]$  for survey), none of them are suitable for processing *k*-*MPP* queries in parallel (recall that *k*-*MPP* relies on dynamic and reverse skylines, not the traditional skyline query). To apply these technique for our problem, we need to transform every objects into a new space considering each query ( $q \in Q$  for reverse skyline) as well as the customer ( $c \in C$  for dynamic skyline) as the origin, which is certainly not efficient for solving *k*-*MPP*.

The only work on computing dynamic and reverse skylines in parallel is proposed in [\[19](#page-25-16)] using quad-tree. However, the quad-tree index is query dependent and is designed to facilitate the computation of a single dynamic and reverse skyline query in parallel, not multiple skyline queries. There is also no technique for computing bichromatic reverse skyline involving both competitor's products and customer preferences using quad-tree index. The index needs to be rebuilt every time if we want to process a new dynamic or reverse skyline query. Therefore, the approach proposed in [\[19](#page-25-16)] cannot omit the data index-building time from *TRSL* and *TDSL* in Eq. [10](#page-8-3) for processing *k*-*MPP* query in parallel. To the best of our knowledge, there is also no work on grouping multiple skyline queries and processing them together by sharing their data space and computation.

## <span id="page-9-0"></span>**5 Our approach**

This section presents our approach for processing the *k*-*MPP* product selection queries in parallel. Firstly, we design a simple yet very efficient grid-based index structure, which is query independent, and therefore, the resultant index is reusable. Then, we show how to efficiently compute the basic units of a *k*-*MPP* query by reducing their search spaces. We also present an approach for grouping multiple query products  $q \in Q$  together based on their (extended) search spaces and thereby, expedite the processing of the *k*-*MPP* further.

## **5.1 Data indexing**

We partition the whole data space into regular grids. Then, we index our dataset *P* and *C* by scanning them once. We also carefully select some of the products  $p \in P$  as *pivots* to establish the partitionwise dominances.

#### <span id="page-9-3"></span>*5.1.1 Index structure*

We apply an  $n \times n$  grid to partition the whole data space  $D = \{P, C\}$ . That is, each dimension is divided into *n* parts and there are  $n<sup>d</sup>$  partitions in total for a  $d$ -dimensional data space *D*. These partitions are termed as  $D_0, D_1, \ldots, D_{n^d-1}$ and are read in column-major order. Each partition  $D_l$ ,  $l \in$  $\{0, 1, \ldots, n^d - 1\}$ , is qualified by a *d*-dimensional *positional vector posl*, which locates the corresponding partition in the grid structure. The range of values covered in the *i*th dimension of a partition are given as follows:  $[pos_l^i \times \delta^i, (pos_l^i +$ 1) ×  $\delta^i$ , where  $\delta^i = \frac{max(obj^i)}{n}$ ,  $\forall i \in \{1, 2, ..., d\}$  and  $pos^i \in$  $\{0, 1, \ldots, n-1\}$ . The positional vector *pos<sub>l</sub>* of the partition in which the data objects belong to are computed as follows:  $pos_l^i = \frac{obj}{n}$ ,  $\forall i \in \{1, 2, ..., d\}$ . Therefore, the index structure can identify the *location* of a data object *ob* in the grid, denoted by *loc*(*ob*), in *O*(1) time.

*Example 11* Consider the data objects given in Fig. [1.](#page-2-1) A  $3 \times 3$ grid index structure of this dataset is shown in Fig. [6.](#page-9-1) In this index structure, we have 9 partitions:  $D_0$ ,  $D_1$ ...,  $D_8$  and the corresponding positional vectors of these partitions are:  $< 0, 0 > 0, 1 > ... < 2, 2 >$ , where  $\delta^1 = \frac{24}{3} = 8$ and  $\delta^2 = \frac{24}{3} = 8$ . Here, we assume  $max(obj^i) = 24, \forall i \in \mathbb{Z}$  $\{1, 2, \ldots, d\}$ . The range of values covered in the 1<sup>st</sup> and 2<sup>nd</sup>



**Fig. 6** A 3  $\times$  3 grid index structure of the two-dimensional dataset given in Fig. [1](#page-2-1)

<span id="page-9-1"></span>

<span id="page-9-2"></span>**Fig. 7** The **a** data object and **b** grid index file format

dimensions of partition  $D_1$  are  $[0, 8)$  and  $[8, 16)$ , respectively. Similarly, the range of values covered in the 1*st* and  $2^{nd}$  dimensions of *D*<sub>8</sub> are [16, 24) and [16, 24), respectively. The location of  $p_2$  is  $\lt \frac{6}{8}$ ,  $\frac{18}{8} \gt = \lt 0, 2 >$ , i.e., partition *D*2.

## *5.1.2 Index creation*

To create the index, we scan the data objects in *P* and *C* sequentially and then, rewrite them in text file(s) as data objects separated by newlines as shown in Fig. [7a](#page-9-2). Each data object is modeled as containing the following information: (a) the vector  $pos_l$  of the partition  $D_l$  containing the data object *ob*; (b) the *id* of *ob* and (c) values of the data object *ob*. We maintain two different text files, one for products *P*, denoted by "gridproducts.txt" and another one for customers *C*, denoted by "gridcustomers.txt." The rationale is that we can scan the indexed products once and pass it to each skyline query if *P* fits entirely in memory ( $C \gg P$ ). We can also scan objects from *C* page by page and process them one after another for computing the reverse skylines.

While scanning and rewriting indexed objects, we save information about the partitions of the indexed data objects (e.g., positional vector of the partition, #product objects and #customer objects in it). When we finish scanning objects from *P* and *C*, we write the information about the partitions

and the pivot objects of the partition in a text file, denoted by "gridinfo.txt," consisting of the followings: (a) a grid header and (b) partition infos as shown in Fig. [7b](#page-9-2). The grid header consists of the following information: (i) #dimensions; (ii) grid size  $(n)$  and (iii) the  $\delta$ . The partition info(s) contain information about the non-empty partitions consisting of the followings (i)  $pos_l$  of the partition  $D_l$ ; (ii) #product objects; (iii) #customer objects; (iv) #pivot objects and (v) the values of the pivot objects. We do not save information about an empty partition, i.e., partitions that do not contain any type of data object. The index is built once and shared by all queries.

#### *5.1.3 Pivot object and partitionwise dominance*

<span id="page-10-0"></span>**Definition 12** A partition  $D_1$  dominates another partition  $D_2$ w.r.t. a data object  $\omega b_3$ , denoted by  $D_1 \prec_{\omega b_3} D_2$ , if every data object  $ob_2$  ∈  $D_2$  is dominated by a data object  $ob_1$  ∈  $D_1$ w.r.t.  $obj_3$ , i.e.,  $obj_1 \prec_{obj_3} obj_2$ . If  $obj_1$  is a product object from *P*, we say  $D_1$  productwise dominates  $D_2$  and is denoted by  $D_1 \prec_{ob_3}^P D_2$ . We term the product object  $ob_1$  as the pivot object of  $D_1$ .

*Example [1](#page-2-1)2* Consider the datasets given in Fig. 1 and the query product  $q_1(12, 12)$  as shown in Fig. [6.](#page-9-1) According to Definition [1,](#page-2-2) every object in  $D_2$  is dominated by  $p_4 \in D_4$ w.r.t.  $q_1$ . Therefore,  $D_2$  is dominated by  $D_4$  w.r.t  $q_1$ , i.e.,  $D_4 \prec_{q_1} D_2$ . Here,  $p_4$  is the pivot object for  $D_4$  which is used to establish  $D_4 \prec_{q_1} D_2$  and  $D_4 \prec_{q_1}^P D_2$ . Similarly, *p*<sup>4</sup> can also be used to establish the following relationships:  $D_4 \prec_{c_5} D_2$  and  $D_4 \prec_{c_5}^P D_2$ .

To establish the partitionwise dominance between partitions  $D_1$  and  $D_2$  w.r.t.  $\partial b_3$ , we do not need to check the pairwise dominance between the data object  $ob_1 \in D_1$  and every data object  $ob_2 \in D_2$ . We know that the data objects of a partition  $D_2$  are bounded by its hypothetical corner objects (as marked by the red circles in Fig. [6\)](#page-9-1). In a *d*dimensional data space, a partition has 2*<sup>d</sup>* corner objects. The values of these corner objects of  $D_2$  in the *i*th dimension are:  $\langle pos_2^i \times \delta^i + b \times \delta^i \rangle$ ,  $\forall b \in \{0, 1\}$ . We only need to check the pairwise dominance of *ob*<sup>1</sup> with these hypothetical corner objects of  $D_2$  w.r.t.  $\partial b_3$ . If  $\partial b_1 \in D_1$  dominates all of these corner objects of  $D_2$  w.r.t.  $\partial b_3$ , then we can ensure that all data objects of  $ob_2 \in D_2$  will be dominated by  $ob_1 \in D_1$ w.r.t.  $\omega b_3$ . Therefore, we save  $\omega b_1$  as pivot objects for  $D_1$  to establish  $D_1 \prec_{ob_3} D_2$  in "gridinfo.txt."

*Example 13* Consider the datasets given in Fig. [1,](#page-2-1) the index structure and the query product  $q_1(12, 12)$  as shown in Fig. [6.](#page-9-1) The hypothetical corner objects of  $D_2$  are marked as red circles in Fig. [6.](#page-9-1) It is easy to verify that all of these corner objects of  $D_2$  are dominated by  $p_4 \in D_4$  w.r.t.  $q_1$ . We get *D*<sub>4</sub> ≺*q*<sub>1</sub> *D*<sub>2</sub> and also, *D*<sub>4</sub> ≺<sup>*P*</sup><sub>*q*1</sub> *D*<sub>2</sub>. Therefore, *p*<sub>4</sub> is stored as pivot object for *D*<sup>4</sup> in "gridinfo.txt" to establish the partitionwise dominance with  $D_2$  in *D* w.r.t.  $q_1$ .

## **Algorithm 1:** Search Space of Dynamic Skyline **Input** : customer *c* **Output:**  $\mathcal{N}_c(D)$ **<sup>1</sup> begin**  $2 \mid \mathcal{L} \leftarrow loc(c);$  // initialize the FIFO list  $\mathcal{L}$ with *loc*(*c*) **3 while**  $\mathcal{L} \neq \emptyset$  **do**<br>**4 d**  $D_1 \leftarrow \text{pop}$  and **4**  $D_1 \leftarrow \text{pop an element from } \mathcal{L};$ <br> **5** add  $D_1$  to  $\mathcal{N}_c(D)$ : //  $D_1$  is add  $D_1$  to  $\mathcal{N}_c(D)$ ;//  $D_1$  is a non-dominating partition **6**  $\begin{bmatrix} \mathcal{N}_1(D_1) \leftarrow \text{immediate neighboring partitions of } D_1; \\ \text{for each } D_2 \in \mathcal{N}_1(D_1) \text{ do} \end{bmatrix}$ **7 for** *each*  $D_2 \in \mathcal{N}_1(D_1)$  **do**<br>**8 f if**  $\sharp D_1 \in \mathcal{N}_c(D)$  :  $D_1$ **8 i**  $\mathbf{if} \not\exists D_1 \in \mathcal{N}_c(D) : D_1 \prec_c^P D_2$  then **<sup>9</sup>** add *D*<sup>2</sup> to *Nc*(*D*);// *D*<sup>2</sup> is a

<span id="page-10-1"></span> $10$  | | | insert  $D_2$  into  $\mathcal{L}$ ;

<span id="page-10-3"></span>**Lemma 1** *If a partition*  $D_1$  *dominates another partition*  $D_2$ *w.r.t. a data object ob and D*<sup>2</sup> *dominates D*<sup>3</sup> *w.r.t. ob too, then*  $D_1$  *also dominates*  $D_3$  *w.r.t. ob, i.e.,*  $D_1 \prec_{ob} D_2$  *and*  $D_2 \prec_{ob} D_3 \implies D_1 \prec_{ob} D_3.$ 

non-dominating partition

*Proof* Let us assume that there are three data objects  $ob_1 \in D_1, ob_2 \in D_2$  and  $ob_3 \in D_3$ . The proof of this lemma then immediately follows the transitivity:  $ob_1 \prec_{ob}$ *ob*<sub>2</sub> and  $ob_2 \prec_{ob} ob_3 \implies ob_1 \prec_{ob} ob_3$ , and the partition-wise dominance given in Definition [12.](#page-10-0)

#### **5.2 Processing the basic computational units**

This section describes how we can compute the basic units (i.e., dynamic and reverse skylines) of the *k*-*MPP* query by reducing their search spaces and thereby, avoid checking the pairwise dominances as much as possible. We establish partitionwise dominance relationships so that that we can filter all objects belonging to a partition without checking the pairwise dominances.

#### *5.2.1 Computing dynamic skyline of a customer*

We already know that a dynamic skyline of a customer *c* retrieves all products  $p_1 \in P$  that are not dynamically dominated by other products  $p_2 \in P$  w.r.t. the customer *c* as explained in Sect. [2.](#page-2-0)

<span id="page-10-2"></span>Lemma 2 *We can safely remove every product p<sub>2</sub> contained in a partition D<sub>2</sub> for processing DSL*(*c*) *iff*  $\exists D_1 \in D$  :  $D_1 \prec_c^P D_2$ .

*Proof* From definition [12,](#page-10-0) we know that  $\exists p_1 \in D_1$  such that the products  $p_2 \in D_2$  are dominated by  $p_1$  w.r.t. the customer *c* as  $D_1 \prec_c^P D_2$ . Therefore,  $p_2 \in D_2$  can not appear in the dynamic skyline of the customer *c* and can be removed safely while computing  $DSL(c)$ .



<span id="page-11-0"></span>The search space  $\mathcal{N}_c(D)$  of a dynamic skyline query *c* consists of all non-dominating partitions in *D* (according to Lemma [2](#page-10-2) and Lemma [1\)](#page-10-3), which can be determined by accessing the information stored in "gridinfo.txt." As we are going to retrieve products  $p_1 \in P$  that are preferable to *c* than other products  $p_2 \in P$ , we need to access the product data stored in "gridproducts.txt" only. The steps of computing *DSL*(*c*) are described below:

**(1) Computing**  $\mathcal{N}_c(D)$  for  $DSL(c)$ : We initialize a FIFO list  $\mathcal L$  by the location of the customer *c*, i.e.,  $loc(c)$ . Then, we do the following until  $\mathcal L$  is empty: (a) pop an element *D*<sub>1</sub> from *L* and add it to  $\mathcal{N}_c(D)$ ; (b) compute the immediate neighbors  $\mathcal{N}_1(D_1)$  of  $D_1$  as follows: <  $pos_1^i + b >$ ,  $\forall b \in$ {-1, 0, +1} and *i* ∈ {1, 2, ..., *d*}; and (c) ∀*D*<sub>2</sub> ∈  $\mathcal{N}_1(D_1)$ , if  $D_2$  is not dominated by any partition in  $\mathcal{N}_c(D)$  (Lemma [2\)](#page-10-2) w.r.t. c, then add  $D_2$  to  $\mathcal{N}_c(D)$  and also, insert  $D_2$  into *L*. We add  $D_2$  to *L* because we can not guarantee that the immediate neighbors of  $D_2$  will be dominated either by  $D_1$ or  $D_2$ , e.g., neighboring partitions of  $D_2$  that are positioned into the vertical and horizontal directions. The above steps are pseudo-coded in Algorithm [1.](#page-10-1)

**(2) Retrieving Products from** *P*: We access the products *p* stored in "*gridproducts*.*txt*" sequentially and filter them as follows: if  $loc(p) \in \mathcal{N}_c(D)$ , then we insert p into a min heap  $H_c$ , otherwise drop it. To compare two objects for the min heap  $H_c$ , we use the Euclidean distances of products  $p_1$  and  $p_2$  to *c*. We assume that the min heap  $H_c$  can be stored in the main memory (recall  $P \ll C$ ). These steps are pseudo-coded in lines 4–6 of Algorithm [2.](#page-11-0)

**(3) Computing Dynamic Skyline** *DSL*(*c*): Firstly, we initialize  $DSL(c)$  to Ø. Then, we do the following until  $H_c$  is empty: (a) retrieve the root product  $p_1$  from  $\mathcal{H}_c$ ; and (b) add *p*<sub>1</sub> to  $DSL(c)$  if  $\neq p_2 \in DSL(c): p_2 \prec_c p_1$ . These steps are pseudo-coded in lines 7–11 of Algorithm [2.](#page-11-0)

**Correctness of Algorithm** [2.](#page-11-0) The lines 2–10 of Algorithm [1](#page-10-1) computes the search space, i.e., the set of non-dominating partitions  $\mathcal{N}_c(D)$  for  $DSL(c)$  starting from the  $loc(c)$ . Then, it grows  $\mathcal{N}_c(D)$  by repeatedly adding the non-dominating neighboring partitions into it. It stops only if the neighboring partitions are dominated by some of the partitions already added to  $\mathcal{N}_c(D)$ . Therefore, we can say that  $\mathcal{N}_c(D)$  consists of the legitimate partitions which may contain the dynamic skyline objects for  $DSL(c)$ . The lines 4–6 of Algorithm [2](#page-11-0) scan the product objects from "gridproduct.txt" and insert them in a min heap  $H_c$  in order of their distances to  $c$ . The lines 7–11 of Algorithm [2](#page-11-0) compute *DSL*(*c*) by repeatedly retrieving the root product from  $H_c$ . The mean heap  $H_c$ ensures that the root product is either dominated by some products already added in *DSL*(*c*) or part of it [\[18](#page-25-11)]. Therefore, we correctly compute the dynamic skyline of a customer  $c \in C$ , i.e.,  $DSL(c)$ .

#### *5.2.2 Computing the reverse skyline of a product*

A reverse skyline query of a query product *q*, *RSL*(*q*), consists of all customers  $c \in C$  that prefer to buy q over other products  $p \in P$ . The  $RSL(q)$  is computed by retrieving all customers  $c \in C$  that are not dynamically dominated by the midskylines of products  $p \in P$  w.r.t. *q* in each of its orthant, i.e.,  $m \nless q$  *c*, where *m* is the midskyline for *P* as explained in Sect. [2.](#page-2-0)

**Observation-1:** If a product *p* dominates a customer *c* w.r.t. a query product  $q$  in an orthant  $Q$ , then the midpoint *m* of *p* also dominates *c* w.r.t. *q*. Therefore, the customer *c* cannot be in the reverse skyline of *q* if  $p \prec_q c$  in an orthant *O*.

**Observation-2:** If a product  $p_1$  dominates another product  $p_2$  w.r.t. a query product  $q$  in an orthant  $O$ , then the mid point  $m_1$  of  $p_1$  also dominates the midpoint  $m_2$  of  $p_2$  w.r.t.  $q$ . Therefore, if  $p_2$  dominates  $c$  w.r.t.  $q$  in an orthant  $O$ , then  $m_1$ also dominates *c* w.r.t. *q*. As a result, *c* cannot be in the reverse skyline of the query product *q* if  $p_1 \prec_q p_2$  and  $p_2 \prec_q c$ .

*Example 14* Consider the datasets given in Fig. [1,](#page-2-1) the index structure, the query product  $q_1(12, 12)$ , customer  $c_3 \in C$  and the midpoint  $m_4$  of product  $p_4 \in P$  w.r.t.  $q_1$  as shown in Fig. [8.](#page-12-0) It is easy to verify that  $c_3 \in C$  is dominated by  $m_4$  w.r.t.  $q_1$ in orthant  $O_4$  as  $p_4 \prec_{q_1} c_3$ . Therefore, the customer  $c_3$  cannot be in  $RSL(q_1)$ . Similarly, any customer in  $D_2$  dominated by  $p_2$  or  $p_3$  w.r.t.  $q_1$  would also be dominated by  $m_4$  w.r.t.  $q_1$ and therefore, could not be in *RSL*(*q*1).

<span id="page-11-1"></span>Lemma 3 We can safely remove each product  $p_2$  and cus*tomer c<sub>2</sub> contained in*  $D_2$  *for processing RSL(q) iff (1)*  $\exists$  *pivot*  $p \in D_1$  *such that the pivot p and the partition*  $D_2$ *are in the same orthant of q and (2)*  $D_1 \prec_q^P D_2$ .

*Proof* The proof of this lemma immediately follows the Observation 1 and 2, also the definition of partitionwise dom-inance given in the Definition [12.](#page-10-0)



<span id="page-12-0"></span>**Fig. 8** Midpoint of  $p_4$  dominates  $c_3$  w.r.t.  $q_1$  $q_1$  for dataset given in Fig. 1



<span id="page-12-1"></span>The search space  $\mathcal{N}_q(D)$  of a reverse skyline query *q* consists of all non-dominating partitions (according to Lemma [3](#page-11-1) and Lemma [1\)](#page-10-3), which can be determined by accessing the information stored in "gridinfo.txt" only. However, as we are going to retrieve customers that find *q* preferable to other products in *P*, we need to access both product data and customer data stored in "gridproducts.txt" and "gridcustomers.txt," respectively.

The steps of computing *RSL*(*q*) are follows:

**(1) Computing**  $\mathcal{N}_q(D)$  for  $RSL(q)$ : We initialize a FIFO list  $\mathcal L$  by the location of the query  $q$ , i.e.,  $loc(q)$ . Then, we do the following until  $\mathcal L$  is empty: (a) pop an element  $D_1$ from *L* and add it to  $\mathcal{N}_q(D)$ ; (b) compute the immediate neighbors  $N_1(D_1)$  of  $D_1$  as follows: <  $pos_1^i + b >$ ,  $\forall b \in$ {-1, 0, +1} and *i* ∈ {1, 2, ..., *d*}; and (c) ∀*D*<sub>2</sub> ∈ *N*<sub>1</sub>(*D*<sub>1</sub>), if

**Algorithm 4:** Midpoint Skyline of a Product **Input** : query product *q*,  $\mathcal{N}_q(D)$ , products *P* **Output**: midpoint skyline *M* **<sup>1</sup> begin 2 for** *each*  $p \in P$  **do**<br>**3 if**  $loc(p) \in \mathcal{N}_q$ **3 if**  $loc(p) \in \mathcal{N}_q(D)$  **then**<br>**4 i** insert *p* to the min he insert *p* to the min heap  $\mathcal{H}_q$ ; 5  $M \leftarrow \emptyset$ ; // initialization<br>6 while  $\mathcal{H}_a \neq \emptyset$  do **6 while**  $\mathcal{H}_q \neq \emptyset$  **do**<br>**7 d**  $n_1 \leftarrow$  retrieve **7 p**<sub>1</sub> ← retrieve the root element from  $\mathcal{H}_q$ ;<br>**8 p**<sub>1</sub> ← compute midpoint of  $p_1$  w.r.t.  $q$ ; **8 if**  $m_1 \leftarrow$  compute midpoint of  $p_1$  w.r.t.  $q$ ;<br>**if**  $\exists m_2 \in \mathcal{M}: m_2 \prec_{\alpha} m_1 \& O_{\alpha}(m_1) = 0$ **9 if**  $\neq m_2 \in \mathcal{M}: m_2 \prec_q m_1 \& O_q(m_1) = O_q(m_2)$  then  $10$  | | add  $m_1$  to  $\mathcal{M}$ ; //  $m_1$  is a midpoint skyline

<span id="page-12-2"></span> $D_2$  is not dominated by any partition in  $\mathcal{N}_q(D)$  (Lemma [3\)](#page-11-1), then add  $D_2$  to  $\mathcal{N}_q(D)$  and also, insert  $D_2$  into  $\mathcal{L}$ . These steps are pseudo-coded in lines 2–10 of Algorithm [3.](#page-12-1)

**(2) Retrieving Products from** *P*: We access the product objects *p* stored in "*gridproducts*.*txt*" sequentially and filter them as follows: if  $loc(p) \in \mathcal{N}_q(D)$ , then we insert p into a min heap  $\mathcal{H}_q$ , otherwise drop it. To compare two objects for the min heap  $\mathcal{H}_a$ , we use the Euclidean distances of products  $p_1$  and  $p_2$  to  $q$ . We assume that the min heap  $\mathcal{H}_q$  can be stored in the main memory (recall  $P \ll C$ ). These steps are pseudo-coded in lines 2–4 of Algorithm [4.](#page-12-2)

**(3) Computing Midpoint Skyline of** *P*: Firstly, we initialize the midpoint skyline set *M* to ∅. Then, we do the following until  $\mathcal{H}_q$  is empty: (a) retrieve the root product  $p_1$  from  $\mathcal{H}_q$ ; and (b) add the midpoint  $m_1$  of  $p_1$  to M if  $\neq m_2$  ∈ *M* :  $m_2 \prec_q m_1$  and  $m_1$  and  $m_2$  are in the same orthant *O* of the query product *q* (**Observation-2**). These steps are pseudo-coded in lines 5–10 of Algorithm [4.](#page-12-2)

**(4) Computing Reverse Skyline from** *C*: We access the customers *c* stored in "*gridcustomer s*.*txt*" sequentially and filter them as follows: (a) if  $loc(c) \in \mathcal{N}_q(D)$ , then go to step (b), otherwise drop it; and (b) we add *c* to the *RSL*(*q*) if *∄m* ∈ *M* : *m*  $\prec_q$  *c* and both *c* and *m* are in the same orthant *O* of *q*. These steps are pseudo-coded in lines 6–10 of Algorithm [5.](#page-13-0)

**Correctness of Algorithm** [5.](#page-13-0) The lines 2–10 of Algorithm [3](#page-12-1) computes the set of non-dominating partitions  $\mathcal{N}_q(D)$  for *RSL*(*q*) starting from the *loc*(*q*). Then, it grows  $\mathcal{N}_q(D)$  by repeatedly adding the non-dominating neighboring partitions into it. It stops only if the neighboring partitions are dominated by some of the partitions already added into  $\mathcal{N}_q(D)$ in the same orthant of *q*. Therefore, we can say that  $\mathcal{N}_q(D)$ consists of the legitimate partitions which may contain the midpoint skyline and reverse skyline objects for *RSL*(*q*). The lines 2–4 of Algorithm [4](#page-12-2) scan the products from "gridproduct.txt" and insert them into a min heap  $\mathcal{H}_q$  in order of their distances to  $q$ . The lines 5–10 of Algorithm [4](#page-12-2) compute



<span id="page-13-0"></span>midpoint skyline *M* by repeatedly retrieving the root product from  $\mathcal{H}_q$ . The mean heap  $\mathcal{H}_q$  ensures that the current root product is either dominated by some products already added in  $M$  in an orthant of  $q$  or a part of  $M$ . Finally, lines 6–10 of Algorithm [5](#page-13-0) scan customers *c* from "gridcustomers.txt" and check whether they are dominated by an  $m \in \mathcal{M}$ . The customer  $c$  is added to  $RSL(q)$ , only if it is not dominated by any  $m \in \mathcal{M}$  in an orthant of *q*. Therefore, Algorithm [5](#page-13-0) correctly computes the reverse skyline of *q*.

## *5.2.3 Optimizing partitionwise dominance for RSL*

Consider a hypothetical query point *q* and the grid index structure in a hypothetical two-dimensional data space as shown in Fig. [9.](#page-13-1) We see that we could establish partitionwise dominances for  $D_4$  with  $D_0$ ,  $D_2$ ,  $D_6$  and  $D_8$  if we had a pivot product for each of the four orthants of *q*. Therefore, we argue to have  $2<sup>d</sup>$  pivots per partition in the index structure for  $RSL(q)$  and the grid size *n* should be selected in a way so that each partition of *D* contains at least  $2^d$  objects. Enforcing this may incur additional steps while indexing the data. The selected pivot objects should be closer to the corners of a partition to increase the probability of having a pivot object for each orthant of a random query product. However, the above is different for dynamic skylines. As we do not consider orthants in dynamic skyline, one pivot object per partition is sufficient for *DSL*(*c*).

#### **5.3 Processing** *k***-***MPP* **query**

To process a *k*-*MPP* query, we need to do the following: (a) computing the reverse skyline for each query product  $q \in Q$ ; (b) computing the dynamic skyline of each customer  $c \in RSL(q)$ ; and (c) selecting the *k* query products from *Q* based on their contribution in the market. However, the processing of dynamic skyline of a customer  $c \in RSL(q)$ 



<span id="page-13-1"></span>**Fig. 9** Selection of pivot objects for a hypothetical query product *q* in partition  $D_2$  for  $RSL(q)$ 

varies for *k*−*MPPind* and *k*−*MPPdep*. To compute *DSL*(*c*) and  $RSL(q)$  for  $k - MPP_{dep}$  query, we use  $P \cup Q \setminus q$  as  $P$ .

#### *5.3.1 An straightforward strategy*

This strategy computes *k*-*MPP* in light of the hypothetical parallel strategy of processing *k*-*MPP* as explained in Sect. [4.2.](#page-8-2) That is, we first compute the  $RSL(q)$  for each query product  $q \in Q$  and then, we compute the  $DSL(c)$  for each  $c \in RSL(q)$  in parallel. We consider each (reverse/dynamic) skyline query as an independent job which needs to be executed by the worker nodes supervised by the master node. However, the number of skyline queries can be larger than the number of available worker nodes. Therefore, we insert all jobs into a *waiting queue* and start executing them in *firstcome-first-serve* order. Once a job is finished by a worker node, the result is returned to the master node. These steps are pseudo-coded in Algorithm [6.](#page-14-0)

## *5.3.2 An optimized strategy*

This section describes an optimized strategy for computing *k*-*MPP* product selection query in parallel based on: (1) grouping similar query products in *Q* and processing their reverse skylines together; and (2) computing *DSL*(*c*) offline and updating it for the query products in  $q \in Q$ . Our approach is described below.

**A) Grouping similar query products** We propose to group queries in *Q* based on their similarities to achieve the followings: (1) the reverse skylines of the similar query products can be processed together by a single working node and (2) the disk accesses can be reduced by sharing the data objects



<span id="page-14-0"></span>among the basic computational units while processing the *k*-*MPP* query.

**Definition 13** (*Strong similarity*) Two query products  $q_1$  and *q*<sub>2</sub> in *Q* are said to be strongly similar iff  $\mathcal{N}_{q_1}(D) = \mathcal{N}_{q_2}(D)$ .

However, the checking of the above strong similarity requires computing the actual search spaces  $N_{q_1}(D)$  and  $N_{q_2}(D)$  of  $q_1$  and  $q_2$  and then, inspecting whether these search spaces are the same, which is time-consuming for the master node. Therefore, grouping queries based on strong similarity is not durable for efficiently parallelizing *k*-*MPP* query. As an alternative, we propose to rely on the *extended search space*  $\mathcal{N}_q^+(D)$  of the reverse skylines of the query products  $q \in Q$  to measure their similarity. The extended search space of the reverse skyline of a query product  $q \in Q$ is computed as follows: Firstly, we add the location of the query product *q*, i.e.,  $loc(q)$  to  $\mathcal{N}_q^+(D)$ . Then, we initialize a FIFO list  $\mathcal L$  by the immediate neighbors of  $loc(q)$  and do the following until  $\mathcal L$  is empty: (a) pop an element  $D_1$  from  $L$ ; and (b) if  $\overline{\nexists}$  pivot  $p \in loc(q) : p \prec_q D_1 \& O_q(p) = O_q(D_1)$ , then add  $D_1$  to  $\mathcal{N}_q^+(D)$  and insert the immediate neighbors  $N_1(D_1)$  of  $D_1$  into  $\mathcal L$ . The above is pseudo-coded in Algo-rithm [7.](#page-14-1) It is easy to verify that  $\mathcal{N}_q(D) \subseteq \mathcal{N}_q^+(D)$ .

<span id="page-14-2"></span>**Lemma 4** *Two query products q*<sup>1</sup> *and q*<sup>2</sup> *share the same extended search space, i.e.,*  $\mathcal{N}_{q_1}^+(D) = \mathcal{N}_{q_2}^+(D)$ *, if (1)*  $loc(q_1) = loc(q_2)$  (rename them as  $loc(q_{12})$ ); and (2) ∀*p* ∈ *loc*(*q*12), *Oq*<sup>1</sup> (*p*) = *Oq*<sup>2</sup> (*p*) *in loc*(*q*12)*, where p* ∈ *P is a pivot for*  $loc(q_{12})$ *.* 

*Proof* Assume that the extended search spaces  $\mathcal{N}_{q_1}^+(D)$  and  $\mathcal{N}_{q_2}^+(D)$  are not the same but the conditions (1) and (2) in Lemma [4](#page-14-2) hold for  $q_1$  and  $q_2$ . Also, assume that a partition  $D_1$ 



<span id="page-14-1"></span>does not appear in  $\mathcal{N}_{q_1}^+(D)$  but does in  $\mathcal{N}_{q_2}^+(D)$ . Since  $D_1 \notin$  $\mathcal{N}_{q_1}^+(D)$ , there must be a pivot product  $p_1$  in  $loc(q_1)$  such that (1)  $O_q(p_1) = O_q(D_1)$  and (2)  $p_1 \prec_{q_1} D_1$ . However, the above cannot happen. Since,  $loc(q_1) = loc(q_2)$  and  $p_1$ appears in the same orthant for both  $q_1$  and  $q_2$ , then  $p_1$  must also dominate  $D_1$  w.r.t.  $q_2$ , i.e.,  $p_1 \prec_{q_2} D_1$ .

<span id="page-14-3"></span>**Definition 14** (*Weak similarity*) Two queries *q*<sup>1</sup> and *q*<sup>2</sup> in the query product set  $Q$  are said to be weakly similar if  $(1)$  $loc(q_1) = loc(q_2)$ ; and (2)  $\mathcal{N}_{q_1}^+(D) = \mathcal{N}_{q_2}^+(D)$ .

The first condition in Definition [14](#page-14-3) can be decided in *O*(1) time as explained in Sect. [5.1.1](#page-9-3) and the second can also be decided in constant time as per Lemma [4.](#page-14-2) Therefore, it is obvious that checking query similarity based on *extended search spaces*  $\mathcal{N}_q^+(D)$  is much more cheaper than checking query similarity by computing their *actual search spaces*,  $\mathcal{N}_q(D)$ . Once, we know the similarity of two or more queries in *Q*, we can group them, share the (extended) search space (which is computed for one query product per group) among them and finally, compute their reverse skylines together. However, if there is only one query in a group, we compute the actual search space of the reverse skyline for it.

*Example 15* Consider the datasets given in Fig. [1,](#page-2-1) the index structure and the queries as shown in Fig [10.](#page-15-0) The location of  $q_1$  and  $q_3$  is  $D_4$ . Assume that  $p_4$  is a pivot object for  $D_4$ . It is easy to verify that  $p_4$  is in the same orthant for both *q*<sub>1</sub> and *q*<sub>3</sub>, i.e., (1) if  $p_4^i \le q_1^i$ , then  $p_4^i \le q_3^i$  and (2) if  $p_4^i > q_1^i$ , then  $p_4^i > q_3^i$ . Therefore, the (extended) search spaces of the reverse skylines for  $q_1$  and  $q_3$  are the same, i.e., {*D*0, *D*1, *D*3, *D*4, *D*5, *D*6, *D*7, *D*8}. We say *q*<sup>1</sup> and *q*<sup>3</sup> are similar.

**B) Computing** *DSL*(*c*) **offline and updating for** *Q* To compute *k*-*MPP*, we need to compute the dynamic skyline for each customer  $c \in RSL(q)$ . The dynamic skyline is computationally known to be very expensive [\[18\]](#page-25-11). To expedite this operation, we precompute the dynamic skyline of each customer  $c \in C$  considering only the existing products P. Then,

<span id="page-15-0"></span>





## **Algorithm 8:** An Optimized Strategy

**Input** : query products *Q*, products *P*, customers *C* **Output**: subset of query products *Q* **<sup>1</sup> begin 2**  $\begin{array}{ccc} 7 & 70 \text{ : } \textbf{for} \text{ each } q \in \textbf{Q} \textbf{ do} \\ 3 & \textcolor{red}{\vdots} & \textcolor{red}{E(C, q|P)} \leftarrow 0 \text{ :} \end{array}$  $E(C, q|P) \leftarrow 0;$  $\tau_0 : \mathcal{Q} \leftarrow \text{groupQueriesBasedOnSimilarity(Q);}$ // Definition [14](#page-14-3) **5**  $\begin{array}{c} \mathcal{T}_0 : \textbf{parallel for each } Q_1 \in \mathcal{Q} \textbf{do} \\ \downarrow \mathcal{T}_i \leftarrow \text{retrieveAFreeNode } (\mathcal{T}_1) \end{array}$ 6  $\boxed{\qquad \qquad }$   $\begin{aligned}\n\text{ } &\text{ }T_j \leftarrow \text{retrieveAFreeNode } (\mathcal{T}_1, \mathcal{T}_2, \dots, \mathcal{T}_m); \\
\text{ } &\text{ }T_i: \text{if } O_1, \text{size}(i) = 1 \text{ then}\n\end{aligned}$ **7 f**  $\begin{array}{c} T_j : \textbf{if } Q_1 \text{.} size() = 1 \textbf{ then} \\ 8 \mid q \leftarrow \text{retrieveTheOver} \end{array}$  $q \leftarrow$  retrieveTheQuery( $Q_1$ );  $RSL(q) \leftarrow \text{reverseSkyline}(q, null, null, P, C);$ **<sup>10</sup> else 11 q** ← retrieveAnyQuery( $Q_1$ );<br>  $N_a^+(D)$  ← extendedSearchS **12**  $\vert \cdot \vert \cdot \mathcal{N}_q^+(D) \leftarrow$  extendedSearchSpace(*q*); // Algo. [7](#page-14-1) 13  $\vert P' \leftarrow \text{filteredProducts}(\mathcal{N}_q^+(D), P);$ **14 for** *each*  $q \in Q_1$  **do 15**  $\left| \bigcup_{q \in D} \mathcal{N}_q(D) \leftarrow \text{refineSearchSpace}(q, \mathcal{N}_q^+(D));$ 16  $\vert$   $\vert$   $\vert$   $\vert$   $\mathcal{M}(q) \leftarrow \text{midpointSkyline}(q, \mathcal{N}_q(D), P')$ ; // Algo. [4](#page-12-2) 17  $\vert \vert \vert$   $\vert$  *RSL(q)*  $\leftarrow$ reverseSkyline( $q$ ,  $\mathcal{M}(q)$ ,  $\mathcal{N}_q(D)$ ,  $null$ ,  $C$ ); // Algo. [5](#page-13-0) **18**  $\begin{bmatrix} T_0: \textbf{for} each q \in Q \textbf{do} \\ 19 \end{bmatrix}$  **parallel for** each c **19 parallel for** *each c* ∈ *RSL*(*q*) **do**<br> **1**  $\mathcal{T}_i$  ← retrieveAFreeNode ( $\mathcal{T}_1$ ) 20  $T_j \leftarrow \text{retrieveAFreeNode } (T_1, T_2, ..., T_m);$ <br>
21  $T_i : DSL(c) \leftarrow \text{precomputedDSL(c)};$ 21  $T_j : DSL(c) \leftarrow \text{precomputed}(\text{DSL}(c));$ <br>  $DSL(c) \leftarrow T_i : \text{update}(\text{DSL}(c));$  $\overline{DSL}(c) \leftarrow \mathcal{T}_j$ : updateDSL( $\overline{DSL}(c), Q$ );  $23$   $\left| \int E(C, q | P) \leftarrow E(C, q | P) + \frac{1}{DSL(c)};$ 24  $\boxed{\tau_0: Q' \leftarrow \text{selectKProducts}(Q); \text{ // based on } E(C, q | P)}$ 

<span id="page-15-1"></span>we update this precomputed dynamic skyline for the query product set *Q*. The precomputed dynamic skylines are stored as fixed-length records in a text file "dynamicskylines.txt." Each record in the file consist of the identifiers (comma separated) of the products  $p \in P$  that appear in the dynamic

skyline of the customer  $c \in C$  (spaces are padded at the end if needed). The first record represents the dynamic skyline of the first customer  $c_1$  in C and so on.

To retrieve the precomputed dynamic skyline for a customer *c*, we position the file pointer as follows:  $(c_{id} - 1) \times r_c$ , where  $c_{id}$  and  $r_c$  denote the *id* and the *size* of the fixedlength record of the dynamic skyline of *c*, respectively. The indexed products are also stored as fixed-length records in"gridproduct.txt" file. To update the dynamic skyline of a customer  $c \in RSL(q)$ , firstly we retrieve the product identifiers from the precomputed dynamic skylines and then, we retrieve the product values from "gridproduct.txt." The product objects are retrieved by positioning the file pointer as follows:  $(p_{id} - 1) \times r_p$ , where  $p_{id}$  and  $r_p$  denote the *id* and the *size* of the fixed-length record of the product object *p*, respectively. Finally, the dynamic skyline *DSL*(*c*) of a customer *c* is updated for *Q* as follows: (1) find a subset  $Q'$  of  $Q$  such that no two queries in  $Q'$  dominate each other w.r.t. the customer *c*; and (2) for each query product  $q \in Q'$  we do the followings: (a) if  $\overline{\nexists} p \in \text{DSL}(c) : p \prec_c q$ , then *q* is added to  $DSL(c)$  and if  $\exists p \in DSL(c) : q \prec_c p$ , then *p* is removed from  $DSL(c)$ ; (b) otherwise, *q* is ignored.

The pseudo-code of efficiently computing *k*-*MPP* query in parallel considering the above is given in Algorithm [8.](#page-15-1) Firstly, the algorithm group queries based on their predicted  $\mathcal{N}_q^+(D)$  (line 4) computed by the master node. Then, for each group of queries *Q*1, a worker node is assigned by the master node to do the following: if  $|Q_1| = 1$ , process it by calling Algorithm [5,](#page-13-0) otherwise: (a) compute the extended search space  $\mathcal{N}_q^+(D)$  and the filtered products  $P' \subseteq P$  and (b) compute  $RSL(q)$  for each  $q \in Q_1$  as follows (i) compute the actual search space  $\mathcal{N}_q(D)$  by refining  $\mathcal{N}_q^+(D)$  for *q*, (ii) compute midpoint skyline  $\mathcal{M}(q)$  based on  $\mathcal{N}_q(D)$  and P' and (iii) finally, compute  $RSL(q)$  based on  $\mathcal{N}_q(D)$ ,  $\mathcal{M}(q)$  and C (lines 11–17). Lines 18–23 compute the dynamic skyline for each  $c \in RSL(q)$  by retrieving the precomputed  $DSL(c)$ 



<span id="page-16-2"></span>**Fig. 11** Examples of tested **a** real CarDB; synthetic, **b** uniform; **c** correlated and **d** anticorrelated datasets

and then updating it for *Q*. Finally, line 24 selects *k* best query products in *Q* based on  $E(C, q|P)$ .

#### <span id="page-16-4"></span>**Table 2** Values of different parameters used in experiments



## <span id="page-16-0"></span>**6 Experiments**

This section presents the experimental studies of our approach. More specifically, we show the effectiveness of our product selection model as well as evaluate the performance of processing *k*-*MPP* query in parallel by comparing our approach with the existing counterparts.

## **6.1 Settings**

*Datasets* We empirically evaluate the performance of our proposed technique for processing *k*-*MPP* query in parallel using real data, namely CarDB<sup>1</sup>, consisting of  $2 \times 10^5$  objects. This is a six-dimensional dataset with attributes: *make*, *model*, *year*, *price*, *mileage* and *location*. The three numerical attributes *year*, *price* and *mileage* are considered in our experiments. The dataset is normalized into the range [0, 1]. We randomly select half of these normalized car objects as products and the rest as the customers. The use of the CarDB dataset makes excellent sense in our experiment. The *k*-*MPP* can be exploited to estimate the market contribution of the advertised cars for a particular seller and thereby, select the *k*-most promising cars for designing specialized promotions. We also present experimental results based on synthetic data: uniform (UN), correlated (CO) and anti-correlated (AC), consisting of varying number of products, customers and dimensions. The cardinalities of these datasets in products and customers are  $1 \times 10^5$ ,  $2 \times 10^5$ ,  $3 \times 10^5$ ,  $4 \times 10^5$  and  $5 \times 10^5$ . The dimensionality is in the range of 2–4. Examples of these datasets consisting of  $2 \times 10^5$  objects in two dimensions are shown in Fig. [11a](#page-16-2)–d.

*Data indexing* Each dataset is normalized in the range [0,1] and is indexed by our proposed grid-based index structure. We vary the grid size from 4 to 80.

*Queries* For each experiment we run a number of queries generated (synthetic) and selected (CarDB) randomly by following the distribution of the tested datasets.

*Environment* We perform our experiments in Swinburne High Performance Computing (HPC) system<sup>[2](#page-16-3)</sup> with nodes 1–5, 10 processors per node (ppn) and 10GB main memory. All of our algorithms are implemented in Java utilizing its multi-threading packages. The nodes are selected by following the formula given below:

$$
\underset{nodes}{\text{argmin}} \ (nodes - 1) \times ppn \le (m + 1) \le nodes \times ppn \ (11)
$$

where *m* is the number of workers (threads in Java). The datasets are indexed by the proposed grid-based data indexing scheme in a Windows PC with Intel(R) Core (TM) Duo 2.99 GHz CPU and 3.49 GB RAM. Table [2](#page-16-4) summarizes the values of different parameters.

## **6.2 Effectiveness study**

We know that the *market contribution* measure effectively combines both the customer and the manufacturer perspective into the same metric, which is (theoretically) more realistic than the *influence score* measure as demonstrated in Sects. [3.1](#page-3-0) and [3.4.](#page-7-2) This section evaluates how effectively our approach trades-off between the *valued customers* and the

<span id="page-16-1"></span><sup>1</sup> [https://autos.yahoo.com/.](https://autos.yahoo.com/)

<span id="page-16-3"></span><sup>2</sup> [http://www.astronomy.swin.edu.au/supercomputing/.](http://www.astronomy.swin.edu.au/supercomputing/)



<span id="page-17-0"></span>Fig. 12 CarDB products used in the effectiveness study

*influence score*. Recall that the valued customers are those customers in the market that are prone to prefer the manufacturer's own products as explained in Sect. [3.1.](#page-3-0)

To demonstrate the effectiveness of the proposed product selection model, we randomly select 500 products (i.e., cars) from the real CarDB dataset by following the distribution of the dataset as shown in Fig.  $12<sup>3</sup>$  $12<sup>3</sup>$ . Then, we issue various product selection queries, e.g., *k*-*MAC*, *k*-*MPP* and the greedy variations of them. The variation of *k*-*MAC* is the  $(1 - \frac{1}{e})$ greedy approximation of the optimal *k*-*MAC* as explained by Arvanitis et al. in [\[1\]](#page-25-0). We consider the  $k-MPP_{dep}$  (where the manufacturer's own products compete with each other to attract customers) and a greedy approximation of it, which is constructed as follows: (a) select a product *q* from *Q* with the maximum influence score (simply, the number of customers in its reverse skyline) and (b) keep adding product(s) into  $Q'$  from  $Q$  which has the maximum number of customers in common with the influence set of products already selected, if there is no such product left, then we choose the one with maximum influence score. The rationale of the above greedy approximation is that the selected products should increase the market contribution of the products already in the set (recall the proof of Theorem [2\)](#page-7-3). This greedy version of *k*-*MPP* differs from the *k*-*MAC* one only in the sense that we select the next product in the set by maximizing the overlap of their influence set. Finally, we show the percentage of valued customers retained in our *k*-*MPP* and the existing *k*-*MAC* product selection models by setting value for *k* from 10 to 50.

From Fig. [13a](#page-18-0), it is evident that our product selection model *k*-*MPP* outperforms other two models *k*-*MAC* and greedy *k*-*MPP* in terms of market contribution metric, which is expected as we model this in our approach. We also see that

the greedy *k*-*MPP* is very close to the optimal *k*-*MPP* in terms of market contribution. However, our model is very close to the optimal *k*-*MAC* model in terms of influence score as we see from Fig. [13b](#page-18-0). We already know that product selections are meant to increase the sales by provoking the customers in buying the manufacturer's own products, which is achieved by designing special product promotional events for the customers (recall from Sect. [1\)](#page-0-0). This urges that we should make a good balance between the percentage of valued customers and the influence score while maximizing the market contribution so that the promotional costs are distributed among the actual customers. Figure [13c](#page-18-0) shows the % valued customers of different product selection models (% valued customers  $=$   $\frac{\text{market contribution}}{\text{influence score}}$ ), which demonstrates that our model can  $=$   $\frac{1}{\text{influence score}}$ , which demonstrates that our model can make a good trade-off between them. However, to achieve this we need to compute the market contribution of each query product  $q \in Q$ , which adds another level of complexity in our product selection model. In this paper, we design a specialized grid-based query-independent reusable data indexing scheme to expedite the performance of *k*-*MPP* by parallelizing the basic computational units for it. The efficiency of our approach is studied in Sects. [6.3](#page-17-2) and [6.4.](#page-17-3)

#### <span id="page-17-2"></span>**6.3 Data indexing evaluation**

We evaluate the proposed grid-based index structure in terms of *index-building time* and *index size* (i.e., size of the "gridinfo.txt" file in disk). More specifically, we study the effect of data cardinality, dimensionality and the grid size on the above two metrics. Figure [14](#page-19-0) shows the effect of different parameters on index-building time and size in disk in synthetic datasets UN, CO and AC. The index size in disk remains constant for the certain grid size and dimensions if the cardinality in customer and product datasets varies as shown in Fig. [14a](#page-19-0)–f. However, this is different for index-building time. The index-building time increases if the cardinality increases in either product or customer datasets or both. In general, the index-building time and the index size in disk increase if the dimensionality in the datasets and the grid size in the index structure increase as we see in Fig. [14g](#page-19-0)–l.

#### <span id="page-17-3"></span>**6.4 Performance study**

This section studies the execution efficiency of processing *k*-*MPP* in parallel based on our index structure. More specifically, we study the effect of different parameter settings on the efficiency of the proposed approaches *SROND* (the Baseline), *SROFD* (a variation of the Baseline), *PROND* (the straightforward strategy) and *PROFD* (a variation of the straightforward strategy) as summarized in Table [3.](#page-20-0) We also compare the efficiency of our approach with the existing approach of computing dynamic and reverse skylines in parallel using quad-tree (QTree) indexing scheme [\[19](#page-25-16)].

<span id="page-17-1"></span><sup>3</sup> We find only 67 query products are non-dominating w.r.t. attracting customers in the market, i.e.,  $RSL(q) \neq \emptyset$ .



<span id="page-18-0"></span>**Fig. 13** The quality of results in different influence-based product selections models, **a** market contribution, **b** Influence Score, **c** %valued customers

As our *k*-*MPP* query involves both products and customer preferences, we extend the mono-chromatic reverse skyline computing technique given in [\[19](#page-25-16)] for bichromatic reverse skyline using the QTree index as follows: (a) a QTree node *n*<sub>1</sub> is marked as pruned for a  $q \in Q$  if there exists a QTree node  $n_2$  such that  $n_1$  and  $n_2$  are in the same orthant of *q* and  $p_2 \in n_2$  dominates all corner points of  $n_1$  w.r.t  $q$ ; (b) all products  $p \in P$  are scanned and used to compute midpoint skyline for *q* if not located in the pruned node and (c) finally, all  $c \in C$  are scanned and marked as plausible customer for *q* (i.e., reverse skyline point) if not located in the pruned node of the QTree and not dominated by any midskyline point. We utilize 1000 samples (selected by applying the reservoir sampling method) and split threshold 40 to build the QTree for best performance as suggested in [\[19](#page-25-16)]. We compare two variants of this approach, i.e., *QPROND* and *QPROFD* as summarized in Table [3.](#page-20-0)

## *6.4.1 Effect of dimensionality*

We study the effect of data dimensions on our proposed algorithms by setting the number of worker nodes (number of threads in the thread pool of Java) to *10*. We run experiments on 2–3 dimensional real CarDB datasets and 2–4-dimensional synthetic datasets. The cardinality is set to  $1 \times 10^5$  for both products and customers. To index each tested dataset, the grid size (*n*) is established empirically. The value of *k* is set to 20 for  $k$ -*MPP*<sub>dep</sub>. We run 100 queries following the distribution of the tested dataset. The results are shown in Fig. [15.](#page-20-1) We see that the execution time of every approach increases if the number of dimension increases in general. However, the proposed parallel algorithms *PROFD* and *PROND* take far less time compared to the baseline approaches, i.e., *SROFD* and *SROND* and significantly outperform the existing counterparts, i.e., *QROFD* and *QROND* for the increased number of dimensions in the datasets. The existing *QROFD* and *QROND* performs worst compared to our approach because of its query dependent data indices as per our analysis given in Sect. [4.3.](#page-8-4) The existing counterpart *QROND* is not scalable in higher dimensions as shown in Fig. [15b](#page-20-1). These results demonstrate that the baseline approaches as well as the existing counterparts are not suitable for processing *k*-*MPP* queries. We conclude that the proposed reusable query-independent grid-based data indexing and our approach of processing *k*-*MPP* queries are efficient for processing *k*-*MPP* queries in parallel.

#### *6.4.2 Effect of query products*

This section studies the effect of cardinality in the query products on the execution time of our approaches. We run experiments on CarDB and CO datasets in two dimensions by varying the number of query products, |*Q*|, from 20– 500, setting  $|P| = 1 \times 10^5$ ,  $|C| = 1 \times 10^5$ , *k* to 25 for *k*-*MPPdep* and the number of worker nodes (threads in Java) to 20. The results are shown in Fig. [16.](#page-20-2) The grid size (*n*) is established empirically. From Fig. [16,](#page-20-2) we see that the execution time of every technique increases if the number of query products in *Q* increases. However, our approaches *PROFD* and *PROND* are much faster compared to the existing counterparts *QROFD* and *QROND*. The existing counterpart *QROND* is not scalable in terms of |*Q*| due to its query dependent quad-tree index. On the other hand, our approaches are highly scalable in terms of |*Q*|.

#### *6.4.3 Effect of cardinality in datasets*

This section studies the effect of cardinality of product and customer datasets on the execution time of our approaches of processing *k*-*MPP* queries in parallel. We run experiments on UN dataset in three dimensions by varying the cardinality in both products *P* and customers *C*. We set the grid size  $n = 10$ ,  $|C| = 1 \times 10^5 \sim 5 \times 10^5$ ],  $|P| = 1 \times 10^5 \sim 4 \times 10^5$ ],  $|Q| = 100$ , *k* to 25 for *k*-*MPP*<sub>dep</sub> and the workers (threads in



<span id="page-19-0"></span>Fig. 14 Effect of a-c cardinality  $[n = 10, d = 3, |P| = 1 \times 10^5]$ , d-f cardinality  $[n = 10, d = 3, |C| = 1 \times 10^5]$  g-i dimensions  $[n = 10, |D| = 1 \times 10^5]$  $2 \times 10^5$ ] and **j**–**l** grid  $[d = 3, |D| = 2 \times 10^5$ ] on index-building time and size in synthetic datasets

<span id="page-20-0"></span>

Acronym	Description	
<b>SROND</b>	Serial $RSL + ON$ line DSL	
<b>SROFD</b>	Serial $RSL + OF$ fline DSL	
<b>PROND</b>	Parallel $RSL + ON$ line DSL	
<b>PROFD</b>	Parallel $RSL + OF$ fline DSL	
<b>OPROND</b>	Parallel $RSL + ON$ line DSL using OTree [19]	
<b>QPROFD</b>	Parallel $RSL + OF$ fline DSL using OTree [19]	
<b>PMROND</b>	Parallel Multiple $RSL + ON$ line $DSL$	
<b>PMROFD</b>	Parallel Multiple $RSL + OF$ fline $DSL$	

**Table 3** Different approaches of processing *k*-*MPP*

Java) to 20. The results are shown in Fig. [17.](#page-21-0) We see that the execution times increase if the cardinalities in either products or customers increase. However, the execution times of our approaches are far less than the existing counterparts i.e., *QROFD* and *QROND*. These results demonstrate that our approaches are scalable in terms of cardinality increases in the datasets.

## *6.4.4 Effect of threads*

This part of performance study investigates the effect of the number of threads on the execution time of processing *k*-*MPP* queries. We run experiments on two-dimensional real and synthetic datasets. The cardinality is set to  $1 \times 10^5$  for both products and customers. To index each tested dataset, the grid size (*n*) is established empirically. We run 100 queries by following the distribution of the tested dataset and setting  $k$  to 25 for  $k$ - $MPP_{dep}$ . The number of threads is varied from 5 to 50. The results are shown in Fig. [18.](#page-21-1) The execution times of both our approaches and the existing counterparts tend to decrease up to a certain number of threads, after that the execution times tend to increase again. This indicates that the overhead (delay due to the communication between the workers and the master and the synchronization between them) of processing *k*-*MPP* in parallel becomes more costly after a certain number of threads for a particular data and query settings.

## *6.4.5 Effect of data indexing*

This section studies the effect of data indexing, i.e., grid size (*n*), on the execution times of our approaches. We run experiments on CardDB and UN datasets in two dimensions for varying grid size  $n = 10 - 80$  by setting  $|Q| = 100$ ,  $|P| =$  $1 \times 10^5$ ,  $|C| = 1 \times 10^5$ , the workers (threads in thread pool of Java) to 20 and  $k$  to 25 for  $k$ - $MPP_{dep}$ . The result is shown in Fig. [19.](#page-21-2) We observe that the execution time of each approach decreases for the increased grid size and it stabilizes after



<span id="page-20-1"></span>**Fig. 15** Effect of dimensions on execution time in **a** CarDB, **b** UN, **c** CO and **d** AC datasets

<span id="page-20-2"></span>**Fig. 16** Effect of queries  $(|Q|)$ on execution time in **a** CarDB and **b** CO datasets



<span id="page-21-0"></span>

**Fig. 18** Effect of threads on execution time in **a** CarDB, **b** UN, **c** CO and **d** AC datasets

<span id="page-21-1"></span>

certain limit. However, the index-building time and its size on disk also increase as explained in Sect. [6.3.](#page-17-2)

# **Table 4** The efficiency (millisecs) of *k*-*MPP* variants

## <span id="page-21-3"></span>Dataset PROFD PROND *k*-*MPPind k*-*MPPdep k*-*MPPind k*-*MPPdep* CarDB 6689 6192 12,017 12,093 UN 2772 2847 11,823 11,661 CO 4775 4734 13,360 13,302 AC 3860 3720 10,484 10,471

*6.4.6 The k-*MPP*ind versus k-*MPP*dep query*

<span id="page-21-2"></span>on execution time in **a** CarDB

and **b** UN datasets

This section evaluates the performance of our approaches of processing *k*-*MPP*<sub>ind</sub> and *k*-*MPP*<sub>dep</sub> queries by conducting two experiments. In the first experiment, we run 100 queries for each dataset in two dimensions by setting the grid size (*n*) empirically,  $|P| = 1 \times 10^5$ ,  $|C| = 1 \times 10^5$ , the number of

<span id="page-22-1"></span>



threads to 20 and the *k* to 25 for *k*-*MPP*. The results are shown in Table [4.](#page-21-3)We conduct another experiment on CarDB and UN datasets in two dimensions by varying |*Q*| from 100 to 500 and 100 to 200, respectively, with similar parameter settings and the result are shown in Fig. [20.](#page-22-1) In these experiments, we do not observe any significant difference in *k*-*MPPind* and  $k-MPP_{dep}$ 's execution times. This is because, we firstly compute the midpoint skyline of *q* based on *P* only and then, we update it by performing the dominance tests with *Q* to finalize it for  $k$ -*MPP*<sub>dep</sub>. We also follow similar approach for computing  $DSL(c)$  of  $c \in RSL(q)$  for  $k\text{-}MPP_{dep}$ . The above significantly reduces the pairwise dominance tests performed for  $k$ -*MPP*<sub>dep</sub>. We may also have different  $RSL(q)$ for  $k$ - $MPP_{dep}$  than  $k$ - $MPP_{ind}$  and therefore, different number of *DSL* computations. However, the difference in their efficiencies may become significant for large |*Q*|.

## *6.4.7 Evaluation of optimized strategy*

This section evaluates the efficiency of the optimized strategy for processing *k*-*MPP* queries in parallel. More specifically, we compare the performances of the following approaches: *PMROND* (a variation of the optimized strategy) and *PMROFD* (the optimized strategy) as summarized in Table [3.](#page-20-0) We create a number of clustered queries (to increase the similarities of their search spaces) by setting  $|Q| = 100$ for UN and  $|Q| = 200$  for real CarDB datasets in two dimensions and varying the grid size from 4 to 40. However, the distributions of  $q \in Q$  in the resultant clusters (i.e., group of similar queries) are not uniform as shown in Fig. [21.](#page-23-0) We conduct two experiments to evaluate the performance of the optimized strategy as given below.

*Effect of data indexing* We study the effect of grid size (*n*) on the efficiency of the optimized strategy by setting *k* to 25 for *k*-*MPP*<sub>dep</sub>,  $|P| = 1 \times 10^5$ ,  $|C| = 1 \times 10^5$  and the number of threads to 20. The results are shown in Fig. [22.](#page-24-0) We see that the optimized strategies PMROND and PMROFD take less time compared to the straightforward strategies PROND and PROFD for lower grid sizes (*n*), except when the distributions of  $q \in Q$  in the clusters are too skewed, e.g., the first few clusters contain most of the query products  $q \in Q$  in CarDB for  $n = 8$  as Fig. [21b](#page-23-0) and the optimized strategies perform worst (i.e., the responsible thread is heavily loaded). However, the optimized strategy tends to perform similarly to the straightforward strategy for the increased grid sizes (*n*) as we cannot form clusters of similar queries to share their search spaces and thereby, computations to expedite the efficiency.

*Effect of threads* We study the effect of threads in Java on the efficiency of the optimized strategy again in UN and CarDB datasets by setting the grid size (*n*) for them to 8 and 25, respectively. We set the other parameters similar to the first experiment. The results are shown in Fig. [23.](#page-24-1) We see that the optimized as well as the straightforward strategies achieve the best efficiency when the number of threads set in the range 10 to 20 for the CarDB dataset. However, we see an exception in the UN dataset where the optimized strategy PMROND stabilizes when the number of threads set in the range 10–20, then again offers a further improvement on the efficiency when the number of threads set to 40 as shown in Fig. [23a](#page-24-1). We leave the optimization of these parameters as future research direction.

## <span id="page-22-0"></span>**7 Related work**

The first work in dominance-based data retrieval was the skyline query proposed by Börzsönyi et al. [\[2\]](#page-25-10). Since then, this work has received lots of attention among the community and is studied extensively [\[4,](#page-25-12)[18](#page-25-11)[,22](#page-25-27)[,23](#page-25-28)[,29](#page-25-14)] due to its potential in multicriteria decision making applications. Our product adoption model and the product selection strategy is dominance-based and the basic computational units comprise of the proposed *k*-*MPP* query are dynamic and reverse skylines. This section briefly describes the works that either



<span id="page-23-0"></span>**Fig. 21** Effect of grid size (*n*) on similar query set (cluster) sizes in **a** UN and **b** CarDB datasets

study the dominance-based customer–product relationships  $[1,8,9,11,13,29]$  $[1,8,9,11,13,29]$  $[1,8,9,11,13,29]$  $[1,8,9,11,13,29]$  $[1,8,9,11,13,29]$  $[1,8,9,11,13,29]$  $[1,8,9,11,13,29]$  $[1,8,9,11,13,29]$  or offer techniques for parallelizing the skylines [\[10](#page-25-21),[17,](#page-25-22)[19](#page-25-16)[,21](#page-25-23)[,24](#page-25-24),[31](#page-25-25),[32\]](#page-25-26).

#### **7.1 Customer–product relationship**

There are a number of works that study the computational aspects of customer–product relationships. Li et al. [\[11\]](#page-25-30) propose a data cube framework called DADA to analyze dominance relationships from a microeconomic perspective. The framework is aimed to provide insights about the dominant relationships between the products and their potential buyers and supports three types of dominant relationship queries, e.g., (a) Linear Optimization Queries, (b) Subspace Analysis Queries, and (c) Comparative Dominant Queries. Given a set of products *P* and a set of customers *C*, Wu et al. [\[29\]](#page-25-14) propose an improved algorithm for computing the influence set of a query product *q*. The influence of the query product  $q$  is measured as the cardinality of the reverse skyline of *q* termed as influence set for *q*, i.e.,  $IS(q) = |RSL(q)|$ . In [\[1](#page-25-0)], Arvanitis et al. propose an approach for computing k-Most Attractive Candidates (*k*-*MAC*). Given a set of candidate query products Q and an integer  $k > 1$  (as well as P and *C* as in [\[29](#page-25-14)]), *k*-MAC query discovers the *k*-most attractive candidate set  $Q' \subseteq Q$  such that  $|Q'| = k$  and the joint influence score of *Q*<sup>'</sup>, defined as  $IS(Q') = |\bigcup_{q \in Q'} RSL(q)|$ , is maximized. In these two works, every customer *c* appearing in the  $RSL(p)$  is assumed to contribute 100% for the sustainment of the product *p* in the market.

In [\[13\]](#page-25-3), Lin et al. propose an approach for selecting *k* products from a set of candidate products such that the expected number of the total customers is maximized known as *k*-most demanding products (*k*-*MDP*) discovering. The authors propose an exact algorithm for *k*-MDP by estimating the upper bound of the expected number of the total customers. They also offer a greedy algorithm which is scalable w.r.t. *k*. In [\[9](#page-25-2)], Koh et al. presents an approach of computing *k*-most favorite products based on reverse top-*t* queries, which is NPhard. They design an incremental greedy approach to find an approximate solution with guaranteed quality exploiting the properties of the top-*t* queries and skyline queries. In [\[30](#page-25-9)], Xu et al., propose a product adoption model and a greedybased approximation algorithm for selecting *k* products that can maximize the sales for the manufacturer. In [\[28\]](#page-25-8) Wu et al. propose approaches for discovering the promotive subspaces in which the product objects becomes prominent.

In [\[27](#page-25-7)] Wu et al. propose efficient approaches for processing region-based promotion queries that can discover the top-*k*-most interesting regions for effective promotion of a product object in which it is top-ranked. In [\[14](#page-25-31)[,15](#page-25-4)], Miah et al. propose approaches for finding the best set of attributes of a new product so that it can stand out in the crowd of existing competitive products. Given a set of existing products *P* and a set of given products *Q*, Wan et al. [\[25](#page-25-6)[,26](#page-25-32)] and Peng et al. [\[20](#page-25-5)] propose approaches for finding a set of *k* best possible

<span id="page-24-0"></span>

<span id="page-24-1"></span>products from *Q* such that this subset of products of *Q* are not dominated by the products in *P*. They describe several variants of this problem and provide solutions for them. In [\[8\]](#page-25-29) Islam et al. propose an approach of establishing an automatic negotiation between a customer and a product by modifying some of the product's attributes (e.g., price) and customer preferences for those attributes with minimum penalty.

In our work, we show that a customer does not contribute 100% for the sustainment of a product in the market, rather only a fraction of it. Considering this, we propose a novel probability-based product adoption model for the customer and a product selection strategy for the manufacturer with maximum expected number of attracted customers based on dynamic and reverse skylines. We also propose a new type of query called finding *k*-*MPP* and a solution approach for it.

#### **7.2 Computing skylines in parallel**

There are a number of works on parallelizing the processing of skyline queries. However, none of them are suitable for parallelizing the execution of *k*-*MPP* query. In [\[24\]](#page-25-24), Vlachou et al. propose an angle-based space partitioning for skyline query processing in a parallel using the hyperspherical coordinates of the data points. In [\[32\]](#page-25-26), Zhang et al. present an object-based space partitioning approach for processing skyline queries in parallel. In [\[10\]](#page-25-21), Kohler et al. propose a hyperplane data projection technique for computing skyline in parallel which is independent of data distribution. In [\[31](#page-25-25)], Zhang et al. propose MR-BNL algorithm by partitioning the data space into  $2<sup>d</sup>$  subspaces according to the median of each dimension and then, computing the local skyline of every subspace in parallel. Finally, the global skyline is computed in a single machine from all the local skylines. In [\[17](#page-25-22)], Mullesgaard et al. propose grid-based data partitioning scheme for computing skyline in MapReduce. In [\[21](#page-25-23)], Pertesis and Doulkeridis propose an approach of processing skyline query in SpatialHadoop. However, these approaches are not suitable for dynamic/reverse skyline query processing and thereby, cannot solve our problem.

The work in [\[19](#page-25-16)] parallelizes a single dynamic/reverse skyline query using quad-tree index, not multiple reverse skylines. The quad-tree index is also query dependent and does not allow multiple queries to be grouped together. Therefore, this approach is not suitable for processing *k*-*MPP* query in parallel. In our work, we propose an approach for computing *k*-*MPP* query in parallel by designing a simple yet very efficient query-independent grid-based index structure. We also provide an approach for grouping queries based on their similarities and processing them together with parallelize the processing of *k*-*MPP*.

## <span id="page-25-17"></span>**8 Conclusion**

This paper presents an efficient approach for processing *k*-*MPP* product selection query and its variants. We design a simple yet very efficient query-independent grid-based index structure to partition the data space. We also establish the partitionwise dominances and several theoretical properties to reduce the search spaces of the basic computational units and filter the non-resultant data objects for computing *k*-*MPP* query in parallel. We also show how to improve the efficiency further by grouping queries based on their extended search spaces and processing them together. The effectiveness and efficiency of the proposed product selection model are demonstrated by conducting extensive experiments. Our model adds another level of assurance to identify the valued customers in the influence-based market research queries, which can be studied further.

**Acknowledgments** The work is supported by the ARC Discovery Grant DP140103499. We would like to thank Dr. Robin Humble for his help on optimizing the performance of our programs in Swinburne HPC system and the anonymous reviewers for their insightful feedback.

## **References**

- <span id="page-25-0"></span>1. Arvanitis, A., Deligiannakis, A., Vassiliou, Y.: Efficient influencebased processing of market research queries. In: CIKM, pp. 1193– 1202 (2012)
- <span id="page-25-10"></span>2. Börzsönyi, S., Kossmann, D., Stocker, K.: The skyline operator. In: ICDE, pp. 421–430 (2001)
- <span id="page-25-20"></span>3. Chang, K.C., Hwang, S.: Minimal probing: supporting expensive predicates for top-k queries. In: Proceedings of the 2002 ACM SIG-MOD International Conference on Management of Data, Madison, Wisconsin, June 3–6, 2002, pp. 346–357 (2002)
- <span id="page-25-12"></span>4. Dellis, E., Seeger, B.: Efficient computation of reverse skyline queries. In: VLDB, pp. 291–302 (2007)
- <span id="page-25-15"></span>5. Deshpande, P.M., Padmanabhan, D.: Efficient reverse skyline retrieval with arbitrary non-metric similarity measures. In: EDBT, pp. 319–330 (2011)
- <span id="page-25-1"></span>6. Ester, M., Ge, R., Jin, W., Hu, Z.: A microeconomic data mining problem: customer-oriented catalog segmentation. In: KDD, pp. 557–562 (2004)
- <span id="page-25-18"></span>7. Frischknecht, B., Gonzalez, R., Papalambros, P., Reid, T.: A design science approach to analytical product design. In: International Conference on Engineering Design, 24–27 (2009)
- <span id="page-25-29"></span>8. Islam, M.S., Zhou, R., Liu, C.: On answering why-not questions in reverse skyline queries. In: ICDE, pp. 973–984 (2013)
- <span id="page-25-2"></span>9. Koh, J.-L., Lin, C.-Y., Chen, A.L.P.: Finding k most favorite products based on reverse top-t queries. VLDB J. **23**(4), 541–564 (2014)
- <span id="page-25-21"></span>10. Köhler, H., Yang, J., Zhou, X.: Efficient parallel skyline processing using hyperplane projections. In: SIGMOD, pp. 85–96 (2011)
- <span id="page-25-30"></span>11. Li, C., Ooi, B.C., Tung, A.K.H., Wang, S.: DADA: a data cube for dominant relationship analysis. In: SIGMOD, pp. 659–670 (2006)
- <span id="page-25-13"></span>12. Lian, X., Chen, L.: Monochromatic and bichromatic reverse skyline search over uncertain databases. In: SIGMOD, pp. 213–226 (2008)
- <span id="page-25-3"></span>13. Lin, C.-Y., Koh, J.-L., Chen, A.L.P.: Determining (*k*)-most demanding products with maximum expected number of total customers. IEEE Trans. Knowl. Data Eng. **25**(8), 1732–1747 (2013)
- <span id="page-25-31"></span>14. Miah, M., Das, G., Hristidis, V., Mannila, H.: Standing out in a crowd: selecting attributes for maximum visibility. In: ICDE, pp. 356–365(2008)
- <span id="page-25-4"></span>15. Miah, M., Das, G., Hristidis, V., Mannila, H.: Determining attributes to maximize visibility of objects. IEEE Trans. Knowl. Data Eng. **21**(7), 959–973 (2009)
- <span id="page-25-19"></span>16. Michalek, J.J., Feinberg, R.M., Papalambros, P.Y.: An optimal marketing and engineering design model for product development using analytical target cascading. In: Proceedings of the TMCE, April 12–16 (2004)
- <span id="page-25-22"></span>17. Mullesgaard, K., Pederseny, J.L., Lu, H., Zhou, Y.: Efficient skyline computation in MapReduce. In: EDBT, pp. 37–48 (2014)
- <span id="page-25-11"></span>18. Papadias, D., Tao, Y., Fu, G., Seeger, B.: An optimal and progressive algorithm for skyline queries. In: SIGMOD, pp. 467–478 (2003)
- <span id="page-25-16"></span>19. Park, Y., Min, J.-K., Shim, K.: Parallel computation of skyline and reverse skyline queries using MapReduce. PVLDB **6**(14), 2002– 2013 (2013)
- <span id="page-25-5"></span>20. Peng, Y., Wong, R.C., Wan, Q.: Finding top-k preferable products. IEEE Trans. Knowl. Data Eng. **24**(10), 1774–1788 (2012)
- <span id="page-25-23"></span>21. Pertesis, D., Doulkeridis, C.: Efficient skyline query processing in SpatialHadoop. Inf. Syst. **54**, 325–335 (2015)
- <span id="page-25-27"></span>22. Sharifzadeh, M., Shahabi, C.: The spatial skyline queries. In: VLDB, VLDB Endowment, pp. 751–762 (2006)
- <span id="page-25-28"></span>23. Tao, Y., Ding, L., Lin, X., Pei, J.: Distance-based representative skyline. In: ICDE, pp. 892–903 (2009)
- <span id="page-25-24"></span>24. Vlachou, A., Doulkeridis, C., Kotidis, Y.: Angle-based space partitioning for efficient parallel skyline computation. In: SIGMOD, pp. 227–238 (2008)
- <span id="page-25-6"></span>25. Wan, Q., Wong, R.C., Ilyas, I.F., Özsu, M.T., Peng, Y.: Creating competitive products. PVLDB **2**(1), 898–909 (2009)
- <span id="page-25-32"></span>26. Wan, Q., Wong, R.C., Peng, Y.: Finding top-k profitable products. In: ICDE, pp. 1055–1066 (2011)
- <span id="page-25-7"></span>27. Wu, T., Sun, Y., Li, C., Han, J.: Region-based online promotion analysis. In: EDBT, pp. 63–74 (2010)
- <span id="page-25-8"></span>28. Wu, T., Xin, D., Mei, Q., Han, J.: Promotion analysis in multidimensional space. PVLDB **2**(1), 109–120 (2009)
- <span id="page-25-14"></span>29. Wu, X., Tao, Y., Wong, R.C.-W., Ding, L., Yu, J.X.: Finding the influence set through skylines. In: EDBT, pp. 1030–1041 (2009)
- <span id="page-25-9"></span>30. Xu, S., Lin, Y., Xie, H., Lui, J.C.S.: A provable algorithmic approach to product selection problems for market entry and sustainability. In: SSDBM, p. 19 (2014)
- <span id="page-25-25"></span>31. Zhang, B., Zhou, S., Guan, J.: Adapting skyline computation to the MapReduce framework: Algorithms and experiments. In: DAS-FAA, pp. 403–414, Springer, Berlin (2011)
- <span id="page-25-26"></span>32. Zhang, S., Mamoulis, N., Cheung, D.W.: Scalable skyline computation using object-based space partitioning. In: SIGMOD, pp. 483–494 (2009)