Original article

Risk of mortality following hip fracture in Japan

YUKIHARU HASEGAWA¹, SADAO SUZUKI², and HANS WINGSTRAND³

¹Department of Orthopedic Surgery, Nagoya University, School of Medicine, 65 Tsurumai-cho, Showa-ku, Nagoya 446-8550, Japan

²Department of Epidemiology, Nagoya City University, Nagoya, Japan

³Department of Orthopedics, Lund University, Lund, Sweden

Abstract

Background. Excessive mortality and morbidity are serious problems after hip fracture in the elderly.

Methods. Hip fractures in persons aged 50 years or older were prospectively registered in Japan in 2000. Questionnaires regarding both the first onset and the second 120-day period after hip fracture were obtained from 759 patients, 546 of whom were female and 213 male.

Results. Their average age at the time of fracture was 80 years. Altogether, 68 people (9%) died within 120 days after fracture; and 25 patients died within 30 days. Those dying within 120 days and those alive after hip fracture were compared. By univariate analysis, risk factors were poor walking ability, need for a walking aid, low body mass index, history of falls, and lack of active exercise; however, none of these factors was identified as a risk factor by multivariate analysis. By multivariate analysis, the five risk factors associated with mortality were male sex, older age, high American Academy of Anesthesiology (ASA) grade, dementia, and residence in an institution.

Conclusions. During the treatment and rehabilitation period special attention should be paid to patients with chronic diseases and reduced mental status.

Introduction

Hip fracture is a common and important cause of mortality and morbidity among older people.¹⁻⁴ Poor prefracture health status, acute effects of the fracture, or a combination of these factors could increase mortality after hip fracture.⁵ We reported the mortality rates at 120 days and 1 year after hip fracture to be 6% and 9%, respectively, in 1992.⁶ Mortality in this context was not considered a serious problem at that time. However,

Received: August 21, 2006 / Accepted: October 31, 2006

many authors have reported the mortality rate after hip fracture to be as high as 20%–30%.^{3,7–10} Many patients have died because of their poor general condition despite the fact that primary treatment after hip fracture had been considered successful.

Risk factors associated with mortality after hip fracture have been reported to include the following: race, old age, presence of dementia, male sex, serious concomitant illness, chronic renal failure, congestive heart disease, chronic obstructive pulmonary disease, low body mass index (BMI), low handgrip strength, not walking outdoors before fracture, poor walking ability 2 weeks after fracture, past history of hip fracture, and marked delirium at the time of hospital admission.^{1,5,6,11-13}

The present study was undertaken to identify the risk factors associated with mortality following hip fracture within 120 days in Japan using the Standard Audit of the hip Fracture in Europe (SAFE).

Material and methods

Patients aged 50 years or older who sustained hip fractures were registered prospectively at the beginning and end of 2000 in Aichi Prefecture. This study was approved by the Ethics Committee of Nagoya University, and all patients had given their informed written consent. Four university hospitals and affiliated hospitals located in Aichi Prefecture (about 7 million population) collaborated in this study. All patients were informed as to the purpose of the study and consented to participate. The SAFE questionnaires were distributed first at the time of the hip fracture, the second 120 days after fracture, and the third at reoperation. Pathological fracture was excluded in this study. A total of 845 questionnaires were completed at the first registration and 759 at the second registration; 86 patients did not want to complete the second questionnaire despite their initial

Offprint requests to: Y. Hasegawa

consent. All patients were alive 120 days after hip fracture according to the medical records at the acute hospital or other institutions. A total of 759 patients were thus included in this study.

The first questionnaire focused on the following items: place of residence at the time of hip fracture, name, age, sex, date of fracture, type of fracture, method of treatment, length of hospital stay, walking ability, activities of daily living, history of fall, cognitive function by Mini-Mental test,¹⁴ and physical status according to the American Academy of Anesthesiology (ASA).¹⁵ The second questionnaire was answered by the patients themselves or by their relatives. Patients were transferred to rehabilitation units or directly back to their own homes within 120 days from presentation to the emergency hospital. Walking ability or a need for walking aids was addressed 120 days after fracture. Mortality was identified from the responses from all the patients or their relatives.

Statistical analysis was performed using both univariate and multivariate analyses. Relative risk of mortality was calculated using SAS version 6 LOGIST (SAS Institute, Cary, NC, USA). P < 0.05 was considered statistically significant.

Results

The average age was 80 years (women 81 years, men 74 years) (Table 1). Female patients numbered 595 and male patients 164. There were 370 cervical fractures and 389 trochanteric fractures.

Regarding the place of residence before fracture, 78% of patients had been living in their own homes, 11% in a geriatric institution or nursing home, and 7% in a geriatric or rehabilitation hospital. Concerning their walking ability before fracture, 58% of patients had been able to walk independently outside the house, 12% outside the house with aids, 9% independently inside the house, and 13% inside the house with aids; 7% of the patients could not walk at all.

A total of 68 persons (9%) died within 120 days after fracture. The causes of death were as follows: pneumonia in 25 patients, cardiac failure in 13, renal failure in 7, acute myocardial infarction in 2, and cancer in 2. In 19 patients the cause of death was unreported. Altogether, 25 patients (37% of those dying) died within 30 days. The average interval from injury to surgical treatment was 7.3 days. A total of 30 patients (4.0%) were treated conservatively. There was no significant mortality difference between the patients operated on within 24 h and those operated on later. By univariate analysis, risk factors were poor walking ability, use of a walking aid, lower BMI, history of falls, and lack of active exercise, which were not found to be risk factors by multivariate analyses (Table 2). Age, ASA status, and cognition showed linear correlations. By multivariate analyses, five statistically significant risk factors were identified: male sex, older age, high ASA grade, dementia, residence in an institution. These five risk factors were also significant by univariate analysis (Table 3).

Discussion

Fracture is often not recorded on death certificates even when death occurs soon after fracture. Studies of mortality after hip fracture are misleading unless they include deaths after discharge from the initial admission and consider all causes of death.8 Mortality is much higher among people after hip fracture and remains elevated for many months thereafter. In the present study, the mortality rate within 120 days of hip fracture was 9% in 2000, with one-third of the patients dying within 30 days of the fracture. This mortality rate in a Japanese population was lower than those reported in North America and Europe.^{3,7–9,16} Todd et al. reported the mortality rate at 90 days to be 18% of 580 consecutive patients, differing significantly among hospitals (5%-24%).¹⁶ Lower mortality may be associated with the cumulative effects of several aspects of organization of treatment and management of hip fracture, including thromboembolic prophylaxis, antibiotic prophylaxis, and early mobilization. However, we could not find any reason for the lower mortality following hip fracture in Japan in the present study.

There was no significant difference in mortality between the patients operated on within 24 h and those operated on later in the present study. The length of the waiting period did not significantly affect the mortality rate in one study¹⁷ or in the current study. Delayed operation is likely to result in longer hospitalization, decubitus ulcer formation, and possible infection such as pneumonia or urinary tract infection. It probably increases the total hospital stay as well as the cost of treating these complications.

Many authors reported that operative methods were not associated with the mortality risk as in the present study.^{18,19} Röder et al. reported that a strengthened rehabilitation program for hip fracture patients did not significantly affect either mortality or activities of daily living.²⁰

Nutritional supplementation for elderly hip fracture patients may be useful for decreasing some complications. However, this reduction does not result in improved functional recovery, nor does it decrease mortality.²¹

Many authors have reported the risk factors for mortality to be the place of residence before fracture, a displaced fracture, use of long-term care services,

Table	1.	Patient	popu	lation
-------	----	---------	------	--------

Factor	%	Factor	%
Age at fracture (years)		3: Symptomatic illness present, but minimum	31.
50-59	3.4	restriction on life	
60–69	12.3	4: Symptomatic illness causing severe restriction	12.9
70–79	24.8	5: Moribund	0.1
80-89	44	Type of fracture	
90+	15.5	Undisplaced intracapsular. Garden grade 1 or 2	12.5
Sex		Displaced intracapsular. Garden grade 3 or 4	31.0
Female	78.4	Basocervical	7.9
Male	21.6	Trochanteric two fragments	31.2
Fracture side		Trochanteric multi-fragments	11.
Right	43.6	Subtrochanteric	5.2
Left	56.4	Primary operation	
BMI		Single screw, pin, or nail	0.3
<21	33.5	Two screws, pins, or nails	2.7
21–24	32.6	Three or more screws, pins, or nails	10
>24	33.8	Single screw, pin, or nail with side plate	40.3
Admitted from	2210	Intramedullary nail	10.3
Own home	77.7	Hemiarthroplasty	26.
Sheltered housing	3.2	Total hip replacement	4.3
Institutional care	3.5	Conservative	4
Nursing home	3.3 4.7	Other	1.3
Permanent hospital inpatient	3.7	Mental test (0–10 points) mimimum 0, maximum 10	1
Rehabilitation unit	1.2	0	10.9
Acute hospital	1.2 1.5	1	4.8
Other	1.3 3.2	$\frac{1}{2}$	4.0
	5.2	2 3	
Living with	0.2		6.9
Alone	9.2	4	5.5
Spouse and relatives	68.3	5	4.0
Institutional care	22.5	6	6.7
Walking	50.0	7	5.9
Could walk alone outdoors	58.2	8	9.0
Could walk outdoors only if accompanied	12.4	9	10.9
Could walk alone indoors but not out of doors	8.7	10	28.9
Could walk indoors only if accompanied	13.8	History of fall within 2 years	
Unable to walk	6.9	None	51.7
Walking aids		1–3	28.8
Can walk without aids	52.6	4 or more	19.0
One aid (stick, crutch, tripod, or hemiwalker)	21.7	Fear for fall	
Two aids (stick, crutch, tripod, or hemiwalker)	1.4	Yes	62.2
Frame	13.6	No	37.8
Wheel chair/bedbound	10.7	Walking exercise within 1 year	
ASA grade		Walking hard	26.7
1: Completely fit and healthy	24.4	Walking normal	33.2
2: Some illness but this has no effect on normal daily activity	30.8	Walking little	40.2

presence of dementia, two or more selected chronic diseases, not walking outdoors before the fracture, and low hand grip strength.^{1,5,11–13,15,22,23} Here, by multivariate analyses, five statistically significant risk factors were identified: male sex, older age, high ASA grade, dementia, residence in an institution. Poor walking ability, need for a walking aid, low BMI, history of falls, and no active exercise were risk factors by univariate analysis but not by multivariate analyses. These results were almost identical to those of our previous study.⁶

We did not investigate the predictive value of the details of the present/past history such as the presence

of heart disease or laboratory data such as albumin and hemoglobin obtained from hospital charts. Further investigation of this issue is needed to prevent excess mortality.

A drawback of the present study is that answers were obtained from 90% of those at the initial registration, despite which some of the patients did not want to answer the second questionnaire regardless of their initial consent. We examined all patients who were alive 120 days after hip fracture by the medical records at the acute hospital or other institutions. Therefore, these patients were included in the present study. A cognitive

Table 2. Univariate analysis for mortality within 120 days after hip fracture

Variable	Odds ratio	95% Confidence interval
Age (years) (control age 50–69)		
70–79	3.99	0.88 - 18.15
80–89	6.63	1.57-28.04*
90+	11.9	2.7-52.3*
Sex (control = female)		
Male	2.15	1.26-3.65*
Fracture side (control = $left$)		
Right	1.12	0.67-1.85
Admitted from (control = own home with someone)		
Living alone	0.25	0.03-1.83
Institution	3.64	2.11-6.29*
Walking (control = could walk outdoors)		
Could not walk outdoors	3.32	1.92-5.73*
Walking aids (control = no walking aids)		
One aid or two aids	1.36	0.61-3.05
Frame	2.16	0.93-5.03
Wheel chair	3.98	1.81-8.77*
ASA (control = ASA 1)		
ASÀ 2	1.61	0.48-5.44
ASA 3	3.1	1.01-9.53*
ASA 4	13.7	4.5-41.4*
ASA 5	57	7.4-441.1*
Primary operation (control = single screw, pin, or nail with slide plate)		
Single (or two) screw, pin or nail	0.47	0.06-3.64
Three or more screws, pins or nails	10.5	0.44-2.51
Intramedullary nail	1.18	0.51-2.72
Hemiarthroplasty	0.54	0.25-1.13
Total hip replacement	0.33	0.04-2.51
Conservative	2.39	0.96-5.96
Other	4.94	0.43-56.3
Mental test (control = cognitive test 7 or more)		
0 point	21.8	8.1-58.2*
1–4 points	8.52	3.31-22.02*
5–6 points	3.42	0.90-12.56

* Statistically signficant

Variable	Odds ratio (95% CI)
Male	3.53 (1.84-6.76)*
Age (years)	
70–79	3.23 (0.65-16.06)
80-89	5.07 (1.08–23.78)*
90+	7.62 (1.52–38.19)*
ASA	
2	1.28 (0.35-4.62)
3	2.2 (0.67–7.25)
4	7.98 (2.41–26.47)*
5	19.4 (2.2–168.6)*
Mental test	
0 point	8.9 (2.90-27.34)*
1–4 points	4 (1.39–11.50)*
5–6 points	2.62 (0.66–10.48)
Admitted from institution	2.43 (1.29–4.59)*
+ 0	

Table 3. Multivariate analysis for mortality within 120 daysafter hip fracture

* Statistically significant

test was performed after the hip fracture. Therefore, it was not cognitive ability before the fracture but after the fracture that was significant. There was a possibility of delirium or confusion after the fracture, which could lead to transient impairment of brain function. Dementia did not significantly affect complications or functional gain in elderly patients operated on for intracapsular hip fracture.²⁴ We need further investigations into cognitive function before fracture.

To reduce the mortality rate associated with hip fracture, patients living in their own homes before fracture should be returned there, and those living in institutions should have their cognitive function improved. We suggest that active intervention to maintain/improve cognitive function and promotion of care in the patients' own homes would decrease mortality. Further interventional study is needed to demonstrate this suggestion. Univariate analysis suggested that improved walking ability, reduced use of walking aids, increased BMI with nutritional supplementation, prevention of falls, and establishing a habit of exercise can probably contribute to decreasing the mortality rate after hip fracture.

Acknowledgment. We thank the following for their contribution to this study: all the orthopedic surgeons in affiliated hospitals of the Department of Orthopedic Surgery, Aichi Medical University; Department of Orthopedic Surgery, Fujita Health and Welfare University; Department of Orthopedic Surgery, Nagoya City University; and Department of Orthopedic Surgery, Nagoya University School of Medicine. We also thank for their contribution to this study Dr. Hiromi Ohtsuka, Dr. Ken-ichi Ando, Dr. Youichi Taneda, and Dr. Hiromoto Yamada.

References

- Bhattacharyya T, Iorio R, Hearly WL. Rate and risk factors for acute inpatient mortality after orthopaedic surgery. J Bone Joint Surg Am 2002;84:562–72.
- Browner WS, Pressman AR, Nevitt MC, Cummings SR. Mortality following fractures in older women: the study of osteoporotic fractures. Arch Intern Med 1996;156:1521–5.
- Formiga F, Lopez-Soto A, Sacanella E, Coscojuela A, Suso S, Pujol R. Mortality and morbidity in nonagenarian patients following hip fracture surgery. Gerontology 2003;49:41–5.
- Kanis JA, Oden A, Johnell O, De Laet C, Jonsson B, Oglesby AK. The components of excess mortality after hip fracture. Bone 2003;32:468–73.
- Meyer HE, Tverdal A, Falch JA, Pedersen JI. Factors associated with mortality after hip fracture. Osteoporos Int 2000;11:228–32.
- Kitamura S, Hasegawa Y, Suzuki S, Sasaki R, Iwata H, Wingstrand H, et al. Functional outcome after hip fracture in Japan. Clin Orthop 1998;348:29–36.
- Cauley JA, Thompson DE, Ensrud KC, Scott JC, Black D. Risk of mortality following clinical fractures. Osteoporos Int 2000;11:556–61.
- Goldacre MJ, Roberts SE, Yeates D. Mortality after admission to hospital with fractured neck of femur: database study. BMJ 2002;325:868–9.
- Melton LJ III, Therneau TM, Larson DR. Long-term trends in hip fracture prevalence: the influence of hip fracture incidence and survival. Osteoporos Int 1998;8:68–74.
- Van Balen R, Steyerberg EW, Polder JJ, Ribbers TLM, Habbema JDF, Cools HJM. Hip fracture in elderly patients: outcomes for

function, quality of life, and type of residence. Clin Orthop 2001;390:232-43.

- Hannan EL, Magaziner J, Wang JJ, Eastwood EA, Silberzweig SB, Gilbert M, et al. Mortality and locomotion 6 months after hospitalization for hip fracture: risk factors and risk-adjusted hospital outcomes. JAMA 2001;285:2736–42.
- Magaziner J, Simonsick EM, Kashner TM, Hebel JR, Kenzora JE. Survival experience of aged hip fracture patients. Am J Public Health 1989;79:274–8.
- Poor G, Atkinson EJ, O'Fallon WM, Melton LJ III. Determinants of reduced survival following hip fractures in men. Clin Orthop 1995;319:260–5.
- Owens WD, Felts JA, Spitznagel EL. ASA physical status classifications: a study of consistency of ratings. Anesthesiology 1978;49: 239–43.
- Crum RN, Anthony JC, Bassett SS, Folstein MF. Populationbased norms for the mini-mental state examination by age and educational level. JAMA 1993;269:2386–91.
- Todd CJ, Freeman CJ, Camilleri-Ferrante C, Palmer CR, Hyder A, Laxton CE, et al. Difference in mortality after fracture of hip: the East Anglian audit. BMJ 1995;310:904–8.
- Grimes JP, Gregory PM, Noveck H, Butler MS, Carrson JL. The effects of time-to-surgery on mortality and morbidity in patients following hip fracture. Am J Med 2002;112:702–9.
- Parker MJ, Khan RJK, Crawford J, Pryor GA. Hemiarthroplasty versus internal fixation for displaced intracapsular hip fractures in the elderly: a randomised trial of 455 patients. J Bone Joint Surg Br 2002;84:1150–5.
- Rogmark C, Carlsson A, Johnell O, Sernbo I. Primary hemiarthroplasty in old patients with displaced femoral neck fracture: a 1-year follow-up of 103 patients aged 80 years or more. Acta Orthop Scand 2002;73:605–10.
- Röder F, Schwab M, Aleker T, Mörike K, Thon K-P, Klotz U. Proximal femur fracture in older patients: rehabilitation and clinical outcome. Age Ageing 2003;32:74–80.
- Espaulella J, Guyer H, Diaz-Escriu F, Mellado-Navas JA, Castells M, Pladevall M. Nutritional supplementation of elderly hip fracture patients: a randomized, double-blind, placebo-controlled trial. Age Ageing 2000;29:425–31.
- Fórsen L, Søgaard AJ, Meyer HE, Edna TH, Kopjar B. Survival after hip fracture: short- and long-term excess mortality according to age and gender. Osteoporos Int 1999;10:73–8.
- Farahmand BY, Michaëlsson K, Ahlbom A, Ljunghall S, Baron JA. Survival after hip fracture. Osteoporos Int 2005;16:1583– 90.
- 24. Beloosesky Y, Grinblat J, Epelboym B, Hendel D. Dementia does not significantly affect complications and functional gain in elderly patients operated on for intracapsular hip fracture. Arch Orthop Trauma Surg 2001;121:257–60.