## **ORIGINAL PAPER**



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# **Effect of cooperative grain boundary sliding and migration on dislocation emission from interface collinear crack tip in nanocrystalline bi-materials**

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**Abstract** The theoretical model of an edge dislocation near interface collinear crack tips in nanocrystalline bi-materials with cooperative grain boundary sliding and migration is formulated. As a typical example, we focus on analyzing the effect of two disclination dipoles produced by cooperative grain boundary sliding and migration on an edge dislocation emitting from a finite interfacial crack tip in nanocrystalline bi-materials. The dislocation force and the critical stress intensity factors for an edge dislocation emitting from an interface collinear crack tip under remote plane loadings are derived by using the complex potential method. And the influences of grain size, disclination strength, migration distance, sliding distance and interface crack length on the critical stress intensity factors are discussed in detail. It can be found that the effect of cooperative grain boundary sliding and migration deformation on the dislocation emission from interface collinear crack tip lies in the crack length, the dislocation emission angle, and the strength of the cooperative deformation itself.

### **1 Introduction**

Nanocrystalline (NC) materials are the most spectacular materials in the field of materials science, and regarded as the most promising materials in the twenty-first century due to their excellent mechanical properties, such as superior strength, hardness, superplasticity, and wear resistance. Now, NC materials play an irreplaceable role in severe environments such as under ultra-high temperature and strong corrosion  $[1-12]$  $[1-12]$ . But at the same time, low tensile ductility and fracture toughness considerably limit their practical application in many cases [\[13](#page-11-2)[–15\]](#page-11-3). Therefore, many scholars devote themselves to solving the difficult problem of how to combine good ductility with the superior strength of NC materials. A series of studies and reports show that some NC materials can have high strength and high fracture toughness at the same time due to their special deformation mechanism, such as rotational deformation, grain boundary sliding, migration of grain boundaries, triple junction diffusional creep, Coble creep, and nanoscale deformation twinning [\[10](#page-11-4)[–26\]](#page-12-0).

In recent years, researchers have paid close attention to the cooperative grain boundary sliding and migration, a deformed operation mode in NC solids [\[24](#page-12-1)[–30\]](#page-12-2). The process includes the cooperative grain boundary sliding and stress-driven grain boundary migration near the tips of growing cracks. As is well known, grain

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boundary sliding is an important deformation mechanism as well as one of the specific deformation modes showing superplasticity in NC materials. But non-accommodated grain boundary sliding will produce dislocations and disclination dipoles at triple junctions of grain boundaries and form cracks, which lead to the embrittlement of NC materials. Conversely, if grain boundary sliding is effectively accommodated through diffusion, rotational deformation or lattice dislocations emitting from triple junctions, the NC material will show enhanced ductility or superplasticity. Grain boundary migration, another toughening micromechanism and specific deformation mode in NC materials, is suggested to be an effective way to accommodate grain boundary sliding. Therefore, the cooperative grain boundary sliding and migration is more able to explain the toughening mechanisms compared to pure grain boundary sliding and pure grain boundary migration in NC materials. In the suggested cooperative process, defects created by grain boundary sliding are partly accommodated by defects created by grain boundary migration. Ovid'ko and Sheinerman [\[27](#page-12-3)] studied the effect of cooperative grain boundary sliding and migration on crack growth in nanocrystalline solids, and found that the cooperative deformation increases the critical stress intensity factor for crack growth in nanocrystalline materials by a factor of three or more and thus considerably enhances the fracture toughness of such materials. Babicheva and Zhou [\[28](#page-12-4)] considered the effect of grain boundary segregation on the deformation mechanisms and mechanical properties of nanocrystalline binary aluminum alloys. The previous experimental and theoretical results show that the mechanism considerably enhances the fracture toughness of NC materials [\[24](#page-12-1)[–34](#page-12-5)].

Most of the works, including lots of experimental and theoretical analyses, are focussed on the contribution of these special deformations to the toughening of NC materials, and little attention is paid to the influence of these special deformation mechanisms on the dislocation emission at the crack tips [\[24](#page-12-1)[–34\]](#page-12-5). However, various defects are inevitable in NC solids, such as nano-holes, cracks, inclusions, and so on. In particular, as long as the stress intensity factor of crack tips is large enough, lattice dislocations will emit from crack tips, leading to plastic shear and hindering crack growth, thus improving the toughness of NC solids. For this reason, the influences of these special deformation mechanisms on lattice dislocations emitting from various crack tips are worth studying. So it is of great significance to study the effects of the cooperative grain boundary sliding and migration on the emission of lattice dislocations from various crack tips.

The key technology of designing a multiphase material with special performance is to solve the interface problem in heterogeneous materials. Due to differences in the nature of the two materials, the interface will inevitably have some defects, and these defects will become the source of cracks and thus lead to the destruction and fracture of NC bi-materials. In order to prevent the occurrence of fracture failure of nano-composite materials and prolong the service life, it is particularly important to study interface cracks propagation problems [\[28](#page-12-4)[–35](#page-12-6)]. In this work, the effect of cooperative grain boundary sliding and migration on the lattice dislocation emission from interface collinear crack tips in NC bi-materials is quantitatively investigated. The dislocation force and the critical stress intensity factors corresponding to dislocation emitting from interface crack tips under remote plane loadings are deduced by using theory of Elasticity. And influences of grain size, interface crack length and characteristics of the cooperative grain boundary sliding and migration on the critical stress intensity factors are discussed in detail.

#### **2 Problem description and model building**

Consider a deformed infinite NC bi-material solid containing a large number of nanoscale grains separated by grain boundaries under remote model I and mode II loadings. The NC solid is divided into two planes by a planar interface with a series of interfacial collinear cracks along the plane  $y = 0$ . The cracks are deemed to be flat and plane, and the same width along the coordinate axis *z* perpendicular to the *xy* plane. The solid in the upper half  $(s^+, y > 0)$  is denoted by the subscript 1 and that in the lower half  $(s^-, y < 0)$  by the subscript 2. Both *s*<sup>+</sup> and *s*<sup>−</sup> are isotropic, so the problem is treated as two-dimensional in the present work. Let  $L_j$  ( $j = 0, 1, 2, ...$ ) denote the interface collinear cracks with the tips at points  $a_j, b_j$  lying along the interface ( $y = 0$ ), and *L* the union of the crack segments  $L_j$  while  $L'$  represent the rest of the interface that fully bonded. In this case, the boundary condition along the interface can be written as [\[35](#page-12-6),[36\]](#page-12-7)

$$
u_1^+(t) + iv_1^+(t) = u_2^-(t) + iv_2^-(t) \qquad t \in L,\tag{1}
$$

$$
\sigma_{yy1}^+(t) - i\sigma_{xy1}^+(t) = \sigma_{yy2}^-(t) - i\sigma_{xy2}^-(t) \qquad t \in L',
$$
\n(2)

$$
\sigma_{yy1}^+(t) - i\sigma_{xy1}^+(t) = 0 \t t \in L,
$$
\t(3)

$$
\sigma_{yy2}^-(t) - i \sigma_{xy2}^-(t) = 0 \t t \in L, \t(4)
$$

where *u*, *v* are the displacement in the *x*-, *y*-direction and  $\sigma_{xxi}$ ,  $\sigma_{yyi}$  the stress component, respectively, where  $i = 1, 2$  under the condition of plane strain.

For plane strain problems, the stress field  $\sigma_{xx}$ ,  $\sigma_{yy}$ ,  $\sigma_{xy}$  and displacement field *u*, *v* can be generally expressed by two complex analytic potentials  $\Phi(z)$  and  $\Psi(z)$  as follows [\[37](#page-12-8)]:

$$
\sigma_{yy} + i\sigma_{xy} = 2\left[\Phi\left(z\right) + \overline{\Phi\left(z\right)}\right],\tag{5}
$$

$$
\sigma_{yy} - i\sigma_{xy} = \Phi(z) + \overline{\Phi(z)} + z\overline{\Phi'(z)} + \overline{\Psi(z)},
$$
\n(6)

$$
2\mu\left(u'_{+}v'\right) = (3-4v)\Phi\left(z\right) - \overline{\Phi\left(z\right)} - z\overline{\Phi'\left(z\right)} - \Psi\left(z\right),\tag{7}
$$

where  $u' = \partial u / \partial x$ ,  $v' = \partial v / \partial x$ ,  $\Phi'(z) = d\Phi(z) / dz$ ,  $i = \sqrt{-1}$ ,  $z = x + iy$ , and the overbar denotes the complex conjugate.

Both grain boundary sliding and migration can be induced near the crack tip under external load and internal high stress concentration. The cooperative deformation leads to two disclination dipoles *CD* and *BE*. At the same time, high stress concentration at the crack tip leads to the launch of a lattice dislocation, simplistically, assuming a lattice dislocation with only edge features.

Figure [1](#page-6-0) schematically depicts the geometry of the cooperative grain boundary sliding and migration deformation. The vertical and horizontal distance between the triple junction *A* and a crack tip is  $p$  and  $p'$ , respectively. The initial length of all grain boundaries is assumed as *d*. The vertical grain boundary  $A_2B_2$ is perpendicular to the direction of the crack growth and at an angle  $\varphi$  with the grain boundaries  $A_2B_2$  and  $B_2B_3$ . Both the strength of the two disclination dipoles *CD* and  $B_2E$  are set to  $\omega$ , and the dipole arm length are  $|x_1 - y_1|$  and  $y_1$ , respectively, where  $x_1$  indicates the distance of the grain boundary sliding and  $y_1$  the distance of the migration.

Two coordinate systems are introduced, one is a Cartesian coordinate system (*x*, *y*) with the origin point at *o*, the other is a Polar coordinate system  $(r, \theta)$  with the origin point at  $o_1$ . Then, the four disclination positions *D*, *C*, *B*<sub>2</sub>, *E* in the Cartesian coordinate system can be written as  $z_1 (= b_0 + p' + r_1 e^{i\theta_1})$ ,  $z_2 (= b_0 + p' + r_1 e^{i\theta_2})$ 

$$
+r_2e^{i\theta_2}, \quad z_3 = b_0 + p' + r_3e^{i\theta_3}, \quad z_4 = b_0 + p' + r_4e^{i\theta_4}, \quad \text{where} \quad r_1 = \sqrt{y_1^2 + p^2 - 2y_1p\cos\varphi},
$$
  

$$
r_2 = \sqrt{x_1^2 + p^2 - 2x_1p\cos\varphi}, \quad r_3 = p + d, \quad r_4 = \sqrt{y_1^2 + (p + d)^2 - 2y_1(p + d)\cos\varphi}, \quad \theta_1 = -\arccos(y_1\sin\varphi/r_1), \quad \theta_2 = -\arccos(x_1\sin\varphi/r_2), \quad \theta_3 = -\pi/2, \quad \theta_4 = -\arccos(y_1\sin\varphi/r_4)
$$
 [34–36].

#### **3 Emission of an edge dislocation at collinear interface crack tip**

Here, we only consider dislocations of edge character with their Burgers vectors lying along a slip plane making an angle  $\theta_0$  with the axis  $y = 0$ . Supposing the first edge dislocation emitting at a collinear interface crack tip locate in the lower half plane of  $z_0 = b_0 + r_0 e^{i\theta_0}$ , and referring to the work of Zhang [\[37](#page-12-8)], the complex potentials  $\Phi_2^e(z)$ ,  $\Psi_2^e(z)$  can be written as

<span id="page-2-0"></span>
$$
\Phi_2^e(z) = \Phi_{20}^e(z) + \Phi_{2*}^e(z) \qquad z \in s^-, \tag{8}
$$

$$
\Psi_2^e(z) = \Psi_{20}^e(z) + \Psi_{2*}^e(z) \qquad z \in s^-, \tag{9}
$$

where  $\Phi_{20}^e(z) = \frac{\gamma_2}{z-z_0}, \Psi_{10}^e(z) = \frac{\bar{\gamma}_2}{z-z_0} + \frac{\gamma_2 \bar{z}_0}{(z-z_0)^2}, \gamma_2 = \frac{\mu_2}{4\pi (1-\nu_2)} (b_y - ib_x).$ 

Referring to  $[34]$  $[34]$ , when only one finite collinear crack lies in the interface, with the tips at points  $a_0$  and *b*<sub>0</sub>, then the complex potential function  $\Phi_2^e(z)$  and  $\Psi_2^e(z)$  can be denoted as

$$
\Phi_{2}^{e}(z) = h_{2} \left[ \frac{\gamma_{2}}{z - z_{0}} - \frac{\gamma_{2}}{z - \bar{z}_{0}} - \frac{\bar{\gamma}_{2}(z_{0} - \bar{z}_{0})}{(z - \bar{z}_{0})^{2}} \right] + h_{1} \frac{X_{0}(z)}{X_{0}(z_{0})} \frac{\gamma_{2}}{z - z_{0}} + h_{1}(1 - g) \gamma_{2} X_{0}(z) \n+ h_{1} \frac{X_{0}(z)}{X_{0}(\bar{z}_{0})} \left[ \frac{\gamma_{2}}{z - \bar{z}_{0}} - \frac{\bar{\gamma}_{2}(\bar{z}_{0} - z_{0})}{(z - \bar{z}_{0})^{2}} - \frac{\bar{z}_{0} - \frac{1}{2}(a_{0} + b_{0}) + i\beta(a_{0} - b_{0})}{(\bar{z}_{0} - a)(\bar{z}_{0} - b)} \frac{\bar{\gamma}_{2}(\bar{z}_{0} - z_{0})}{(z - \bar{z}_{0})} \right], \quad (10)
$$
\n
$$
\Phi_{2}^{e'}(z) = h_{2} \left[ -\frac{\gamma_{2}}{(z - z_{0})^{2}} + \frac{\gamma_{2}}{(z - \bar{z}_{0})^{2}} + \frac{2\bar{\gamma}_{2}(z_{0} - \bar{z}_{0})}{(z - \bar{z}_{0})^{3}} \right] + h_{1} \frac{X_{0}'(z)}{X_{0}(z_{0})} \frac{\gamma_{2}}{z - z_{0}} - h_{1} \frac{X_{0}(z)}{X_{0}(z_{0})} \frac{\gamma_{2}}{(z - z_{0})^{2}}
$$

$$
+ h_1 \frac{X'_0(z)}{X_0(\bar{z}_0)} \left[ \frac{\gamma_2}{z - \bar{z}_0} - \frac{\bar{\gamma}_2(\bar{z}_0 - z_0)}{(z - \bar{z}_0)^2} - \frac{\bar{z}_0 - \frac{1}{2}(a_0 + b_0) + i\beta(a_0 - b_0)}{(\bar{z}_0 - a)(\bar{z}_0 - b)} \frac{\bar{\gamma}_2(\bar{z}_0 - z_0)}{(z - \bar{z}_0)} \right] + h_1 (1 - g) \gamma_2 X'_0(z) + h_1 \frac{X_0(z)}{X_0(\bar{z}_0)} \left[ -\frac{\gamma_2}{(z - z_0)^2} + \frac{2\bar{\gamma}_2(\bar{z}_0 - z_0)}{(z - \bar{z}_0)^3} + \frac{\bar{z}_0 - \frac{1}{2}(a_0 + b_0) + i\beta(a_0 - b_0)}{(\bar{z}_0 - a)(\bar{z}_0 - b)} \frac{\bar{\gamma}_2(\bar{z}_0 - z_0)}{(z - \bar{z}_0)^2} \right],
$$
\n(11)

$$
\Psi_{2}^{e}(z) = -\Phi_{2}^{e}(z) - z\bar{\Phi}_{2}^{e'}(z) - \bar{\Phi}_{2}^{e}(z)
$$
\n
$$
= -\Phi_{2}^{e}(z) - z\bar{\Phi}_{2}^{e'}(z) + h_{2}\left[\frac{\bar{\gamma}_{2}}{z - \bar{z}_{0}} - \frac{\bar{\gamma}_{2}}{z - z_{0}} - \frac{\gamma_{2}(\bar{z}_{0} - z_{0})}{(z - z_{0})^{2}}\right]
$$
\n
$$
+ h_{1}\frac{\overline{X}_{0}(z)}{\overline{X}_{0}(z_{0})}\frac{\bar{\gamma}_{2}}{z - \bar{z}_{0}} + h_{1}(1 - g)\gamma_{2}\overline{X}_{0}(z)
$$
\n
$$
+ h_{1}\frac{\overline{X}_{0}(z)}{\overline{X}_{0}(\bar{z}_{0})}\left[\frac{\bar{\gamma}_{2}}{z - z_{0}} - \frac{\gamma_{2}(z_{0} - \bar{z}_{0})}{(z - z_{0})^{2}} - \frac{z_{0} - \frac{1}{2}(a_{0} + b_{0}) - i\beta(a_{0} - b_{0})}{(z_{0} - a)(z_{0} - b)}\frac{\gamma_{2}(z_{0} - \bar{z}_{0})}{(z - z_{0})}\right], \quad (12)
$$

where  $h_2 = \frac{4\mu_2(1-\nu_1)}{\mu_2(1-\nu_1)+\mu_1(1-\nu_2)}, h_1 = \frac{4\mu_1(1-\nu_2)}{\mu_1(1-\nu_2)+\mu_2(1-\nu_1)}.$ 

Using the Peach-Koehler formula [\[39](#page-12-9)[,40](#page-12-10)], the image force can be expressed as

<span id="page-3-0"></span>
$$
f_{\text{image}} = f_x^e - if_y^e = \left[ \hat{\sigma}_{xy} b_x + \hat{\sigma}_{yy} b_y \right] + i \left[ \hat{\sigma}_{xx} b_x + \hat{\sigma}_{xy} b_y \right]
$$
  
= 
$$
\frac{\mu b^2}{4\pi (1 - \nu_2)} \left( \frac{\Phi_e^*(z_0) + \overline{\Phi_e^*(z_0)}}{\gamma_2} + \frac{\overline{z}_0 \Phi_e^{*'}(z_0) + \Psi_e^{*}(z_0)}{\overline{\gamma_2}} \right),
$$
 (13)

where  $\hat{\sigma}_{xx}$ ,  $\hat{\sigma}_{yy}$  and  $\hat{\sigma}_{xy}$  are the perturbation stress components, and  $\Phi_e^*(z_0) = \lim_{z \to z_0}$  $\left[ \Phi_{2}^{e}(z) - \Phi_{20}^{e}(z) \right],$  $\Phi_e^{*'}(z_0) = \lim_{z \to z_0}$  $\frac{d[\Phi_2^e(z) - \Phi_{20}^e(z)]}{dz}, \Psi_e^*(z_0) = \lim_{z \to z_0}$  $[\Psi_2^e(z) - \Psi_{e0}(z)]$ . If the edge dislocation is in the upper half, the superscript 1 and 2 in Eqs.  $(8)$ – $(13)$  are exchanged.

#### **4 The effect of cooperative grain boundary sliding and migration on edge dislocation emission**

In the derivation process, two disclination dipoles produced by cooperative grain boundary sliding and migration are assumed to be located in the lower half plane, so the complex potentials  $\Phi_2^{\omega}(z)$  and  $\Psi_2^{\omega}(z)$  are divided into two parts as

<span id="page-3-1"></span>
$$
\Phi_2^{\omega}(z) = \Phi_{20}^{\omega}(z) + \Phi_{2*}^{\omega}(z) \qquad z \in s^-, \tag{14}
$$

$$
\Psi_2^{\omega}(z) = \Psi_{20}^{\omega}(z) + \Psi_{2*}^{\omega}(z) \qquad z \in s^-, \tag{15}
$$

where  $\Phi_{20}^{\omega}(z)$ ,  $\Psi_{20}^{\omega}(z)$  indicate the presence of two disclination dipoles produced by cooperative sliding and migration in the lower half plane without cracks, and the holomorphic complex potentials  $\Phi_{2^*}^{\omega}(z)$ ,  $\Psi_{2^*}^{\omega}(z)$ denote the interaction of the two disclination dipoles with collinear interfacial cracks. Referring to references  $[35-40]$  $[35-40]$ , we have

$$
\Phi_{20}^{\omega}(z) = \frac{D_2 \omega}{2} \sum_{k=1}^{4} s_k \ln(z - z_k) \quad z \in s^-, \tag{16}
$$

$$
\Psi_{20}^{\omega}(z) = -\frac{D_2 \omega}{2} \sum_{k=1}^{4} s_k \frac{\bar{z}_k}{z - z_k} \quad z \in s^-, \tag{17}
$$

where  $D_2 = \frac{\mu_2}{2\pi(1-\nu_2)}$ ,  $s_k = 1(k = 1, 4)$ ,  $s_k = -1(k = 2, 3)$ ,  $z_k$  ( $k = 1, 2, 3, 4$ ) represent the locations of four wedge disclination, and  $\mu$  and  $\nu$  are the shear modulus and Poisson's ratio, respectively.

Consider a special case where the interface contains only a finite length crack and where, without loss of generality, the crack tips are set as  $a_0$  and  $b_0$ ; then the complex potential functions  $\Phi_2^{\omega}(z)$  and  $\Psi_2^{\omega}(z)$  are eventually obtained as [\[35\]](#page-12-6)

$$
\Phi_{2}^{\omega}(z) = \frac{D_{2}\omega}{2} \cdot \frac{1 - g + h}{1 - g} \sum_{k=1}^{4} s_{k} \left[ \ln \frac{z - z_{k}}{z - \bar{z}_{k}} - \frac{z - z_{k}}{z - \bar{z}_{k}} \right]
$$

$$
- \frac{D_{2}\omega}{2} \cdot \frac{hX_{0}(z)}{1 - g} \cdot \sum_{k=1}^{4} s_{k} \left\{ \frac{\ln (z - z_{k})}{X_{0}(z_{k})} + g(z_{k} - \bar{z}_{k}) - \frac{1}{X_{0}(z_{k})} \left[ \frac{z - z_{k}}{z - \bar{z}_{k}} + \ln (z - \bar{z}_{k}) \right] \right\},
$$
(18)

$$
\Phi_{2}^{\omega'}(z) = \frac{D_{2}\omega}{2} \cdot \frac{1 - g + h}{1 - g} \sum_{k=1}^{4} s_{k} \left[ \frac{1}{z - z_{k}} - \frac{2}{z - \bar{z}_{k}} + \frac{z - z_{k}}{(z - \bar{z}_{k})^{2}} \right]
$$

$$
- \frac{D_{2}\omega}{2} \cdot \frac{hX_{0}'(z)}{1 - g} \cdot \sum_{k=1}^{4} s_{k} \left\{ \frac{\ln(z - z_{k})}{X_{0}(z_{k})} + g(z_{k} - \bar{z}_{k}) - \frac{1}{X_{0}(\bar{z}_{k})} \left[ \frac{z - z_{k}}{z - \bar{z}_{k}} + \ln(z - \bar{z}_{k}) \right] \right\}
$$

$$
- \frac{D_{2}\omega}{2} \cdot \frac{hX_{0}(z)}{1 - g} \sum_{k=1}^{4} s_{k} \left\{ \frac{1}{X_{0}(z_{k})(z - z_{k})} - \frac{1}{X_{0}(\bar{z}_{k})} \left[ \frac{2}{z - \bar{z}_{k}} - \frac{z - z_{k}}{(z - \bar{z}_{k})^{2}} \right] \right\}, \qquad (19)
$$

$$
\Psi_{2}^{\omega}(z) = -\Phi_{2}^{\omega}(z) - z\bar{\Phi}_{2}^{\omega'}(z) - \bar{\Phi}_{2}^{\omega}(z)
$$

$$
\Psi_{2}^{\omega}(z) = -\Phi_{2}^{\omega}(z) - z\bar{\Phi}_{2}^{\omega'}(z) - \bar{\Phi}_{2}^{\omega}(z)
$$
  
=  $-\Phi_{2}^{\omega}(z) - z\bar{\Phi}_{2}^{\omega'}(z) - \frac{D_{2}\omega}{2} \cdot \frac{1 - g + h}{1 - g} \sum_{k=1}^{4} s_{k} \left[ \ln \frac{z - \bar{z}_{k}}{z - z_{k}} - \frac{z - \bar{z}_{k}}{z - z_{k}} \right]$   
+  $\frac{D_{2}\omega}{2} \cdot \frac{h\overline{X_{0}}(z)}{1 - g} \sum_{k=1}^{4} s_{k} \left\{ \frac{\ln (z - \bar{z}_{k})}{\overline{X_{0}}(z_{k})} + g(\bar{z}_{k} - z_{k}) - \frac{1}{\overline{X_{0}}(\bar{z}_{k})} \left[ \frac{z - \bar{z}_{k}}{z - z_{k}} + \ln (z - \bar{z}_{k}) \right] \right\},$ (20)

where  $g = -\frac{\mu_1 + \mu_2(3-4\nu_1)}{\mu_2 + \mu_1(3-4\nu_2)}$ ,  $h = -\frac{4\mu_1(1-\nu_2)}{\mu_2 + \mu_1(3-4\nu_2)}$ ,  $X_0(z) = (z-a_0)^{-0.5-i\beta} (z-b_0)^{-0.5+i\beta}$ ,  $\beta = \frac{\ln|g|}{2\pi}$ ,  $X'_{0}(z) = \frac{dX_{0}(z)}{dz}.$ 

So the force of the cooperative grain boundary sliding and migration on the edge dislocation can be written as [\[39\]](#page-12-9)

<span id="page-4-0"></span>
$$
f_{\text{wedge}} = f_x^{\omega} - i f_y^{\omega} = \left[ \sigma_{xy} (z_0) b_x + \sigma_{yy} (z_0) b_y \right] + i \left[ \sigma_{xx} (z_0) b_x + \sigma_{xy} (z_0) b_y \right]
$$
  
= 
$$
\frac{\mu_2 b^2}{4\pi (1 - \nu_2)} \left( \frac{\Phi_2^{\omega} (z_0) + \overline{\Phi_2^{\omega} (z_0)}}{\nu_2} + \frac{\overline{z}_0 \Phi_2^{\omega'} (z_0) + \Psi_2^{\omega} (z_0)}{\overline{\nu_2}} \right),
$$
 (21)

where  $\sigma_{xx}$ ,  $\sigma_{yy}$  and  $\sigma_{xy}$  are the stress-filed components produced by the cooperative grain boundary sliding and migration .

When the cooperative grain boundary sliding and migration emerges in the upper half, the two complex potentials are obtained by exchanging the subscripts 1 and 2 in Eqs. [\(14\)](#page-3-1)–[\(21\)](#page-4-0).

#### **5 The external force acting on edge dislocation emission**

In this part, we discuss the force of remote model I and mode II loadings on the edge dislocation:

<span id="page-4-1"></span>
$$
f_{\Gamma} = b\sigma_{r\theta},\tag{22}
$$

where  $\sigma_{r\theta} = (\sigma_y - \sigma_x) \sin \theta \cos \theta + \sigma_{xy} (\cos^2 \theta - \sin^2 \theta)$  is the in-plane shear stress under external load.

For linear elastic plane problems, Irwin (1957) has obtained the well-known stress field formula of a sharp crack tip only considering the expansion of the first item [\[35](#page-12-6)[–42\]](#page-12-11).

$$
\begin{Bmatrix}\n\sigma_x \\
\sigma_y \\
\sigma_{xy}\n\end{Bmatrix} = \frac{K_{\text{Iapp}}}{\sqrt{2\pi r}} \cos \frac{\theta}{2} \begin{Bmatrix}\n1 - \sin \frac{\theta}{2} \sin \frac{3\theta}{2} \\
1 + \sin \frac{\theta}{2} \sin \frac{3\theta}{2} \\
\sin \frac{\theta}{2} \cos \frac{3\theta}{2}\n\end{Bmatrix} + \frac{K_{\text{Ilapp}}}{\sqrt{2\pi r}} \begin{Bmatrix}\n-\sin \frac{\theta}{2} (2 + \cos \frac{\theta}{2} \cos \frac{3\theta}{2}) \\
\sin \frac{\theta}{2} \cos \frac{\theta}{2} \cos \frac{3\theta}{2} \\
\cos \frac{\theta}{2} (1 - \sin \frac{\theta}{2} \sin \frac{3\theta}{2})\n\end{Bmatrix},
$$
\n(23)

where  $K_{\text{Iapp}}$  and  $K_{\text{IIapp}}$  are the generalized mode I and mode II stress intensity factors produced by the remote loadings.

So Eq. [\(22\)](#page-4-1) can be written as

<span id="page-5-0"></span>
$$
f_{\Gamma} = b\sigma_{r\theta} = \frac{b}{\sqrt{2\pi r_0}} \left( l_1 K_{\text{Iapp}} + l_2 K_{\text{Ilapp}} \right),\tag{24}
$$

where  $l_1 = \frac{1}{2} \sin \theta \cos \frac{\theta}{2}$ ,  $l_2 = \cos \frac{3\theta}{2} + \sin^2 \frac{\theta}{2} \cos \frac{\theta}{2}$ .

#### **6 The critical stress intensity factors for the edge dislocation emission**

In this analysis, the force acting on the edge dislocation contains three parts: image force, the cooperative grain boundary sliding and migration and the external force, so it can be expressed as

<span id="page-5-1"></span>
$$
f_{\text{emit}} = f_x \cos \theta + f_y \sin \theta + f_{\Gamma} = \text{Re}[f_{\text{image}} + f_{\text{wedge}}] \cos \theta - \text{Im}[f_{\text{image}} + f_{\text{wedge}}] \sin \theta + f_{\Gamma}. \tag{25}
$$

Substituting Eqs.  $(13)$ ,  $(21)$  and  $(24)$  into Eq.  $(25)$ , the expression of the dislocation emission force can be obtained.

That the dislocation will emit from crack tips when the force acts on dislocation equal to zero and the dislocation distance to the crack surface must be equal to, or larger than, the dislocation core radius, is a well-known accepted emission criterion [\[41,](#page-12-12)[42](#page-12-11)]. Let  $f_{\text{emit}} = 0$ , and then the critical stress intensity factor  $K_{\text{IC}}^{\text{app}}$  and  $K_{\text{IIC}}^{\text{app}}$  for the dislocation emission can be derived as follows:

$$
K_{\rm II}^{\rm app} = 0, \quad K_{\rm IC}^{\rm app} = \frac{\sqrt{2\pi r_0}}{bl_1} \left( \text{Im} \left[ f_{\rm image} + f_{\rm wedge} \right] \sin \theta - \text{Re} \left[ f_{\rm image} + f_{\rm wedge} \right] \cos \theta \right), \tag{26}
$$

$$
K_{\rm I}^{\rm app} = 0, \quad K_{\rm IIC}^{\rm app} = \frac{\sqrt{2\pi r_0}}{bl_2} \left( \text{Im} \left[ f_{\rm image} + f_{\rm wedge} \right] \sin \theta - \text{Re} \left[ f_{\rm image} + f_{\rm wedge} \right] \cos \theta \right), \tag{27}
$$

where  $f_{\text{image}} + f_{\text{wedge}} = \frac{\mu_2 b^2}{4\pi (1 - \nu_2)}$  $\left\{\frac{2\text{Re}[\Phi_e^*(z_0)+\Phi_w(z_0)]}{\gamma_2}+\frac{\bar{z}_0[\Phi_e^{*'}(z_0)+\Phi_w'(z_0)]+(\Psi_e^{*}(z_0)+\Psi_w(z_0)]}{\bar{\gamma}_2}\right\}$  $\bar{\gamma}_2$  $\mathbf{I}$ .

From previous studies  $[28-36]$  $[28-36]$ , we found that the dislocations will easily emit from crack tips in softer materials, so in this paper we mainly discuss the effect of cooperative grain boundary sliding and migration near the crack tip on the emission of lattice dislocations from the collinear interface crack tip in the same half plane. In the following analysis, the critical normalized stress intensity factors (SIFs) are normalized as  $K_{\text{IC}}^0 = K_{\text{IC}}^{\text{app}} / \mu_2 \sqrt{b}$  and  $K_{\text{IIC}}^0 = K_{\text{IIC}}^{\text{app}} / \mu_2 \sqrt{b}$ , and the emitting dislocation is located at  $z_0 = r_0 e^{i\theta_0}$ . Let the Burgers vector  $b = 0.25$  nm,  $r_0 = b/2$ ,  $p' = 0$ , and the crack length is *l*.

Supposing the upper half is softer than the lower half, the cooperative grain boundary sliding and migration occurs in the upper half and the edge dislocation is emitted from a collinear interface crack in the upper half. The materials in the upper half and the lower half are set as nanocrystalline material Ni and 3C-SiC, respectively, so  $\mu_1 = 73$  GPa,  $\nu_1 = 0.31$ ,  $\mu_2 = 217$  GPa,  $\nu_2 = 0.23$ .

With different disclination strengths, the dependences of the normalized critical SIFs with the dislocation emission angle are presented in Fig. [2.](#page-7-0) As illustrated in Fig. [2,](#page-7-0) when the cooperative grain boundary sliding and migration does not exist ( $\omega \neq 0^{\circ}$ ),  $K_{1C}^{0}$  decreases first and then increases with increasing dislocation emission angle. In this case, the most probable angle for dislocation emission is 72.5° corresponding to the minimum value of the normalized critical SIF, which is in good agreement with the result in Ref. [\[35\]](#page-12-6).  $K_{\text{HC}}^0$  increases from a finite positive value to infinity and switches to negative with increasing emitting angle, so the most probable angle for positive dislocation emission is 0◦, while it is 125.5◦ for negative dislocation emission, which is in good agreement with the result in Ref. [\[35\]](#page-12-6).



**(a)**



**(b)**

<span id="page-6-0"></span>**Fig. 1** The cooperative grain boundary sliding and migration deformation and the edge dislocation emitting from interfacial collinear crack tips in an NC solid. **a** Two-dimensional NC solid and the cooperative grain boundary sliding and migration deformation. **b** Computation model

When the cooperative grain boundary sliding and migration forms near the crack tip,  $K_{\text{IC}}^0$  increases with increasing dislocation emission angle and transfer from negative dislocation emission into positive dislocation emission, so the most probable angles for dislocation emission are  $26.5^\circ$ ,  $34^\circ$ ,  $38^\circ$  when  $\omega=30^\circ$ ,  $45^\circ$ ,  $60^\circ$ , respectively, corresponding to  $K^0_{\text{IC}}$  equal to zero.  $K^0_{\text{IC}}$  increases from a negative value to positive infinity and then turns to negative, the most probable angles for dislocation emission are the same as that of  $K_{\text{IC}}^0$ .

Figure [3](#page-7-1) shows the dependences of the normalized critical  $K_{IC}^0$  on the dislocation emission angle with different crack lengths. As is shown in Fig. [3,](#page-7-1)  $K_{IC}^0$  first decreases and then increases with increasing the emission angle of dislocation when the collinear interface crack is relatively small, and increases from negative to positive when the crack is relatively large; obviously, there are the most probable angles for dislocation emission corresponding to the minimum value of  $K_{IC}^0$  or  $K_{IC}^0 = 0$ . When the emission angle is relatively small,  $K_{IC}^0$  changes from positive to negative with the increase in the crack length, so there is a critical crack length  $l_0$  making  $K_{\text{IC}}^0 = 0$ . However,  $K_{\text{IC}}^0$  decreases with increasing crack length.

With different disclination strengths, the variation of the normalized critical  $K_{IC}^0$  with respect to the crack length is depicted in Fig. [4.](#page-8-0) It can be found that the influence of the collinear crack length on  $K_{1C}^0$  is very small when the cooperative grain boundary sliding and migration does not exist or disappears ( $\omega = 0^{\circ}$ ), but when the cooperative grain boundary sliding and migration forms near the crack tip ( $\omega \neq 0^{\circ}$ ),  $K_{IC}^0$  decreases with increasing crack length. It can be seen that dislocations are easier to emit from shorter cracks and the



<span id="page-7-0"></span>**Fig. 2** The variation of the normalized critical SIFs as the dislocation emission angle with different disclination strengths (*l* = 1000 nm,  $x = 0.3d$  nm,  $y = 0.1d$  nm,  $d = 12$  nm,  $p = d$ ,  $\varphi = 120°$ 



<span id="page-7-1"></span>**Fig. 3** The variation of the normalized critical  $K_{IC}^0$  as the dislocation emission angle with different crack lengths ( $x = 0.3d$  nm, *y* = 0.1*d* nm, *d* = 12 nm, *p* = *d*,  $\varphi$  = 120°,  $\omega$  = 30°)



<span id="page-8-0"></span>**Fig. 4** The variation of the normalized critical  $K_{IC}^0$  as the crack length with different disclination strengths ( $x = 0.3d$  nm, *y* = 0.1*d* nm, *d* = 12 nm, *p* = *d*,  $\varphi$  = 120°,  $\theta_0$  = 15°)



<span id="page-8-1"></span>**Fig. 5** The variation of the normalized critical SIFs as disclination strength ( $x = 0.3d$  nm,  $y = 0.1d$  nm,  $d = 12$  nm,  $p = d$ ,  $\varphi = 120^\circ, \theta_0 = 15^\circ$ 

longer cracks expand more easily. And when the interface collinear crack length is constant,  $K_{\text{IC}}^0$  increases with increasing disclination strength. It is obvious that the cooperative grain boundary sliding and migration promote crack propagation in this case. And as the emission angle becomes small,  $K_{IC}^0$  changes from positive to negative, so there exists a critical crack length  $l_0$  making  $K_{\text{IC}}^0 = 0$ .

Figure [5](#page-8-1) depicts the dependence of normalized critical SIFs on the disclination strength. It can be found that the normalized critical SIFs all increase with increasing disclination strength; here, the cooperative grain boundary sliding and migration hinders the dislocation emitting from a crack tip. On the contrary, when the interface collinear crack length is relatively long, the normalized critical SIFs decrease with increasing disclination strength, and the cooperative grain boundary sliding and migration promotes dislocation emission in this case. There exists critical disclination strength  $\omega_0$  making  $K_C^0 = 0$ , so dislocations will emit from crack tips without external loading as long as  $\omega > \omega_0$ .  $K_{1C}^0$  is greater than  $K_{1IC}^0$  under the same conditions, meaning that dislocations are easily to emit under model II loadings than under model I loadings. It is obvious that the effect of cooperative grain boundary sliding and migration deformation on the dislocation emission at the crack tip is either dependent on the crack length, the dislocation emission angle, or the strength of the cooperative deformation itself.

The dependence of the normalized critical  $K_{IC}^0$  on the dislocation emission angle with different relative sliding distances are shown in Fig. [6.](#page-9-0) We can find that  $K_{IC}^0$  changes from negative to positive with increasing emission angle, so there is a most probable angle for dislocation emission corresponding to  $K_{\text{IC}}^0$  equal to



<span id="page-9-0"></span>**Fig. 6** The variation of the normalized critical  $K_{IC}^0$  as the emission angle with different relative sliding distances (*l* = 1000 nm,  $y/d = 0.1, d = 12$  nm,  $p = d, \omega = 5^\circ, \varphi = 120^\circ$ 



<span id="page-9-1"></span>**Fig. 7** The variation of the normalized critical  $K_{IC}^0$  as the emission angle with different relative migration distances (*l* = 1000 nm,  $x/d = 0.3, d = 12$  nm,  $p = d, \omega = 5^\circ, \varphi = 120^\circ$ 

zero, and the values of the most probable angles decrease as the sliding distance increases. Under the same conditions, increasing sliding distance can increase the value of  $K_{\text{IC}}^0$ , indicating that increasing sliding distance will hinder dislocation emission.

Figure [7](#page-9-1) depicts the dependence of the normalized critical  $K_{\text{IC}}^0$  on the emission angle with different relative migration distances. It can be found that  $K_{1C}^0$  has the same variation law with increasing emission angle as it has in Fig. [6,](#page-9-0) and the most probable angle increases with increasing migration distance.

The dependence of the normalized critical  $K_{IC}^0$  on the relative migration distance when the relative sliding distances is different is depicted in Fig. [8.](#page-10-0) It can be seen that  $K_{IC}^0$  increases with increasing relative migration distance, and it increases with increasing the relative sliding distance if the migration distance is certain. So it is clear that the crystal boundary sliding hinders the dislocation emission, while crystal boundary migration promotes dislocation emission in this case.

Figure [9](#page-10-1) depicts the variations of the normalized critical  $K_{IC}^0$  with the grain size when grain boundary angles are different. It can be found that the normalized critical  $K_{1C}^0$  decreases with increasing grain size. There is a critical grain size  $d_c$  making  $K_{1C}^0$  equal to zero. As long as the grain size is larger than the critical grain size, the dislocation will emit from the crack tip without external loadings. And the normalized critical  $K_{IC}^0$  decreases with increasing grain boundary angle  $\varphi$ .



<span id="page-10-0"></span>**Fig. 8** The variation of the normalized critical  $K_{1C}^0$  as the relative migration distance with different relative sliding distances  $(l = 2000 \text{ nm}, \theta_0 = 60^\circ, d = 12 \text{ nm}, p = d, \omega = 5^\circ, \varphi = 120^\circ$ 



<span id="page-10-1"></span>**Fig. 9** The variation of the normalized critical  $K_{IC}^0$  as the grain size with different grain boundary angles ( $l = 1000$  nm,  $\theta_0 = 60^\circ$ ,  $x = 0.3d$  nm,  $y = 0.1d$  nm,  $d = 12$  nm,  $\omega = 30°$ 

#### **7 Conclusions**

The interference law of cooperative grain boundary sliding and migration with interface collinear crack has been investigated in this paper, and its effect on the edge dislocation emitting from an interface crack tip is also studied in deformed nanocrystalline bi-materials. The analytic solutions of the model are deduced by the complex potential method of elasticity, and through numerical analysis, the influences of disclination strength, grain size, migration distance, sliding distance and interface crack length on the critical stress intensity factors corresponding to dislocation emission are discussed in detail.

- 1. There is the most probable angle for dislocation emission corresponding to the minimum value of critical stress intensity factor, and the most probable angle increases with increasing disclination strength when cooperative grain boundary sliding and migration deformation occurs near the collinear crack tip. When the emission angle is relatively small, there is a critical crack length that a dislocation can emit from the crack tip without external loadings.
- 2.  $K_{\text{IC}}^0$  decreases with increasing crack length when the emission angle is relatively large. And when the cooperative grain boundary sliding and migration forms near the crack tip,  $K_{1C}^0$  decreases with increasing crack length. It can be seen that if the interface collinear crack is short, cracks are more likely to emit dislocations, and if the interfaces collinear crack is longer, the cracks are easier to expand.
- 3. There exists a critical disclination strength making the generalized mode I stress intensity factor equal to zero, so dislocations will emit from crack tips without external loading as long as  $\omega > \omega_0$ . And dislocations are easier to emit under model II loadings than under model I loadings.
- 4. There is a critical grain size making the generalized mode I stress intensity factor equal to zero. As long as the grain size is larger than the critical grain size, the dislocation will emit from the crack tip without external loadings. And the normalized critical decreases with increasing grain boundary angle  $\varphi$ .
- 5. The grain boundary sliding of cooperative grain boundary sliding and migration prevents dislocation emission, while grain boundary migration promotes dislocation emission.

It can be seen that whether cooperative grain boundary sliding and migration deformation promotes or hinders dislocation emission at the interface collinear crack tip depends on the crack length, dislocation emission angle, and the strength of the synergistic deformation itself.

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