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Sustainable synthetic approaches using $[C_{16}Im][Oxa]$ as a flexible organocatalyst and DFT studies toward 3,4-dihydropyrimidinones and benzoxazines

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Abstract Easily accessible 1-hexadecyl-1*H*-imidazol-3-ium oxalate is highly efficient Brønsted type acidic catalyst for the selected multicomponent one-pot reactions. The short reaction times, easy workup procedures, and green metal-free conditions for the reactions make the protocols more advantageous. Further reactions proceeded smoothly in good to excellent yields with high purity. To investigate mechanism of the multicomponent reactions, DFT calculations were performed. *Graphical abstract*



Keywords Mannich reaction · Biginelli reaction · Organocatalysis · Green chemistry · Quantum chemical calculations

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Introduction

Applying organocatalysis in synthetic reactions has become a popular research area in both chemistry and industry [1]. The benefits of these catalysts are lack of sensitivity to moistened and oxygenated reaction medium, easily available, generally low cost and toxicity and more importantly the final product is not contaminated by metal impurities. However, these catalysts have certain disadvantages. Relatively large amounts of catalyst usually slow progress of the reaction and choice of appropriate solvent is troublesome [2]. On the other hand, use of excess catalyst can complicate product isolation. Recently some studies dealing with the application of flexible catalysts in organic synthesis have been published [3–9]. Asano and Matsubara reported that N-alkylimidazole derivatives are amphiphilic organocatalyst and efficient ligand for the Schotten-Baumann type tosylation reaction and copper(I)-catalyzed azide-alkyne cycloaddition (CuAAC) reaction, respectively [4, 5]. Imidazolium salts are widely used as catalyst for some multicomponent reactions [10–14].

As well known the Biginelli reaction is used in the synthesis of 3,4-dihydropyrimidinones [15–18]. High temperatures, large amounts of catalyst and long reaction times are traditional conditions for this popular reaction. In order to improve its efficiency many studies have been performed however, some drawbacks still remain [19–22]. Long reaction times, expensive and readily unavailable catalysts, organic solvents, tedious purification steps of products, and microwave irradiation requirement are only some of them.

The Mannich reaction is used for the preparation of 1,3benzoxazines which are common monomers of popular benzoxazine resins [23–25]. Several methods have been reported for the synthesis of benzoxazines [26–32].

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Fig. 1 Imidazolium salt as flexible organocatalyst

However, some disadvantages existed in previous published methods. For the completion of cyclization, previous methods require heating for long times, MW (microwave) irradiation, toxic solvents, and column chromatography for purification of the desired product. Mathew and Nath [33] reported one-pot three-component synthesis of dihydrobenzo- and naphtho[e] [1, 3] oxazines in water. However, this method requires extraction with organic solvent for isolation of product and column chromatography for purification. These multicomponent reactions today still retain its popularity. Therefore, it is still important to develop environmentally friendly and effective procedure for these reactions.

In the present study, 1-hexadecyl-1*H*-imidazol-3-ium oxalate ([C_{16} Im][Oxa]) was prepared as flexible metal-free acidic organocatalyst which also considered as ionic liquid (melting point <100 °C) (Fig. 1).

To investigate its catalytic activity, the Biginelli and Mannich type condensation reactions were selected as model reactions. In addition its catalytic performance was compared with different metal-based Lewis acids and some

Fig. 2 ¹H NMR spectrum of the imidazolium salt

Brønsted acids. Hydrophobic chain length and conformational calculations were performed for the catalyst. The interaction energy (E_{int}) for the [C₁₆Im][Oxa] with H₂O system was also calculated to support the proposed mechanism of Mannich reaction.

Results and discussion

For the preparation of the catalyst, 2 equivalents of 1-hexadecyl-1*H*-imidazole and 1 equivalent of oxalic acid dihydrate were reacted at room temperature to afford the desired compound as a white solid in good yield (86%). The catalyst prepared in the present study is containing longer alkyl chains and it was dried at room temperature in the open air without an adverse event and can smoothly be used as a catalyst for the above-mentioned multicomponent reactions. The catalyst precursor 1-hexadecyl-1*H*-imidazole was prepared by a procedure similar to that in the literature [34, 35]. The structure of the catalyst was well authenticated by ¹H NMR, ¹³C NMR, IR (ATR), elemental analysis, and physical properties. The ¹H NMR spectrum of the catalyst was shown in Fig. 2.

The Biginelli reaction was chosen as a model reaction to identify the optimal reaction conditions (Table 1). Dihydropyrimidinones were prepared from the reaction of acetylacetone, aromatic or aliphatic aldehydes, and urea or thiourea under solvent free conditions at 90 °C (Scheme 1). For the optimization of this reaction, acetylacetone, urea, benzaldehyde or dodecanal were used as



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Table 1 Optimization of the Biginelli reaction conditions at 90 °C

Entry	Solvent	Catalyst/mmol%	Time/min	Yield/% ^a
1	Solventless	_	45	No reaction
2	Solventless	1.5	5	35
3	Solventless	2.5	5	55
4	Solventless	1.5	10	43
5	Solventless	2.5	10	85
6	Solventless	2.5	15	85
7 ^b	Solventless	2.5	15	Trace
8 ^b	Solventless	2.5	30	Trace

^a Isolated yields

^b Dodecanal was used

aromatic and aliphatic aldehyde, respectively. The reaction time is too short and the desired phenyl substituted heterocycles were obtained without any additional purification steps in very good yields (85–97%) and high purity (Table 2). The long chain aliphatic aldehyde was also used, however, after prolonged heating at 90 °C the reaction failed to produce even trace amounts of the desired product (Table 1, entries 7, 8).

On the other hand, the catalytic effects of different metal-based catalysts and metal-free acidic catalysts on the Biginelli reaction were investigated under solvent-free conditions and the obtained results are shown in Fig. 3.

The results showed that the catalytic performances of the selected acids are very low under the similar conditions optimized for the imidazolium oxalate.

Ramos et al. [36] investigated a number of Lewis acids in ionic liquids or organic solvents for their catalytic activities on the Biginelli reaction. They found that 10 mol% of catalyst (CuCl₂) loading in [BMI][PF₆] as an ionic liquid and 60-360 min reaction times are necessary for the maximum yields. On the other hand, Heidarizadeh et al. [37] report the [Cbmim][Cl] as a novel ionic liquid for the catalysis of the Biginelli reaction and they state that at least 30% of catalyst loading is crucial for the optimum product yield. He et al. [38] report the synthesis and catalytic performance of geminal Brønsted acid ionic liquid to catalyze the Mannich reaction. However, longer reaction times (8 h) and 5% loading of catalyst are necessary to obtain good yields. The comparisons of catalytic activities of various ionic liquids reported in the literature and in the present study are shown in Table 3.

Dihydrobenzoxazines were prepared via the pseudo three-component and one-pot reaction of two equivalent of paraformaldehyde and 1 equivalent of o-arylaminomethylphenol at 90 °C (Scheme 2). The Biginelli reaction conditions were also used for the Mannich type condensation except that water was used as green a solvent. When the reaction was carried out in the absence of a



Table 2 Biginelli and Mannich reactions yields

Entry	Product ^a	Yield/% ^c	Product ^b	Yield/% ^c
1	1 a	85	2a	85
2	1b	97	2b	91
3	1c	90	2c	87
4	1d	95	2d	98
5	-	-	2e	98
6	-	-	2f	96
7	-	-	2g	95
8	-	-	2h	96
9	-	-	2i	95
10	-	-	2j	97

^a Biginelli products

^b Mannich products

^c Isolated yields

solvent side products (unidentified) were obtained probably via polymerization reactions and fairly poor yields of the desired products were obtained. Therefore, water was chosen as a solvent and used successively as a green reaction medium. As can be seen from Table 2, the desired dihydrobenzoxazines were obtained in good to excellent yields.

DFT calculations

Two conformations were suggested namely, cis and trans forms which are determined by the orientations of the long alkyl chains as seen in Fig. 4 for the system were also calculated by DFT. Firstly, the B3LYP method was used for DFT calculations, and then as the DFT functionals poorly describe dispersion effects, dispersion correction for the free energy barrier was estimated using the wB97X-D method. Gibbs energy barriers of cis and trans form were calculated as -43.43 and -60.95 kJ/mol, respectively, with B3LYP method, while these energy barriers were determined as -58.51 and -65.18 kJ/mol with the wB97X-D method. These results show that the trans conformation was calculated high negative interaction energy for both methods, and the trans conformation indicates higher stability for the [C₁₆Im][Oxa]; therefore, this structure was adopted as a trans conformation.

The possible arrangement of ions and counter ions belonging to catalyst was depicted in Fig. 5. The conformational structure of the catalyst plays a central role in the reaction. DFT calculations related to $[C_{16}Im][Oxa]$ prove a trans conformation as a lower energetically geometrical state. In this arrangement, holes between the hydrophobic alkyl chains act as a suitable reaction medium for the components of the reaction and *N*-protonated imidazolium ring serves as a Bronsted acid donor.

To better understand the electrostatic interactions between the imidazolium cation and the oxalate counter ion ($[C_{16}Im][Oxa]$), B3LYP and wB97X-D methods [45] using 6–311G(d,p) basis set was adopted and all calculations were performed using the GAUSSIAN 09 program package [46].

The optimized structure of the suggested model of the $[C_{16}Im][Oxa]$ shown in Fig. 6a. Through DFT calculation, the length of hydrophobic chain was calculated to be 21.10 Å, which is approximately equal to the theoretical value (21.74 Å) according to the Tanford equation [47] below:

$$L = 1.5 + 1.265n,\tag{1}$$

where *n* is the number of carbon atoms in the hydrocarbon chain. The radius of a cylindrical aggregate ($d_{\rm H}$) is about 22 Å at 25 °C, which implies that the hydrophobic alkyl chain of surface active ionic liquids (SAILs) is freely stretching in the hexagonal phase [48].





Entry	Catalyst	Catalyst/mol%	Time/min	Yield/%	References
1	CuCl ₂ -[BMI][PF ₆]	10	120	97	[36]
2	[Cbmim][Cl]	30	30	90	[37]
3	GBAIL-C18 ^a	5	240	85	[38]
4	(MSI) ₃ PW-[BMI][NTf ₂]	5	240	99	[39]
5	[C ₃ SO ₃ HDoim][HSO ₄]	5	60	80	[40]
6	[BMIm][Sac]	0.5	120	90	[41]
7	[Hnhp][HSO ₄]	30	10	93	[42]
8	CIL[DMEA][HSO ₄]	13	180	93	[43]
9	$BMImBF_4$	0.4	30	99	[44]
10	[C ₁₆ Im][Oxa]	2.5	10	97	This work

 Table 3
 Multicomponent Biginelli reactions

^a Mannich reaction

Scheme 2



The interaction energy (E_{int}) for the [C₁₆Im][Oxa] with H₂O system was calculated by the DFT method and 6–311G(d,p) basis set to be -155.56 kJ/mol, while this energy was determined as -163.42 kJ/mol with the wB97X-D method. On the other hand, the dispersion

interactions between the C16 alkyl side chains were calculated by wB97X-D method as seen in Fig. 5. The dispersion effects of the C16 alkyl side chain are shown in Fig. 6b. This calculation result shows that the interaction energy between these side chains was gained about



Fig. 4 Optimized structures of $[C_{16}Im][Oxa]$ molecules, **a** *cis*, **b** *trans* conformations

40 kJ/mol in the $[C_{16}Im][Oxa]$. This high negative interaction energy resulting electrostatic and dispersion interactions implies a high stability for the $[C_{16}Im][Oxa]/$ H_2O complex. This phenomenon means that it is rather difficult to destroy the [C₁₆Im][Oxa]/H₂O complex, which is favorable for forming micelles.

On the other hand, for the electrostatic interactions, the hydrophilic head groups of the $[C_{16}Im][Oxa]/H_2O$ system are identical. The electrostatic potential at the 0.001 e/bohr³ isodensity surfaces of $[Oxa]^{2-}$ was calculated at the same level, and was showed in Fig. 7. It is apparent that it is electronegative. This electronegativity of $[Oxa]^{2-}$ indicates that the electrostatic attraction between it and $[C_{16}Im]^+$ is quite high for interacting each $[Oxa]^{2-}$ ion with two $[C_{16}Im]^+$ cations. The electrostatic repulsion between the cation of SAILs is screened effectively, which is favorable to the formation of micelles. It is also confirmed by the interaction energy of $[C_{16}Im][Oxa]$ with water molecules.

Mechanism of Mannich reaction

Cockroft et al. [49–51] point out that in solution depending both on van der Waals dispersion forces and interactions between water molecules and nonpolar alkyl groups known as solvophobic effects, long alkyl hydrocarbon chains can arrange in the folded conformation. They also stated that weak interactions between long alkyl chains are slightly unfavorable in non-polar organic solvents but slightly favorable in polar solvents. In the present work water was used as a highly polar solvent. On the other hand, micelle formation is also determined by these solvophobic interactions [52]. In this context, Mannich reaction maybe proceeds under micelle catalysis as shown in Fig. 8.



Fig. 5 The possible arrangement of the catalyst in solventless Biginelli reaction



*E*_{int} = -203.47 kJ/mol (wB97X-D)

Fig. 6 a Optimized structure of the $[C_{16}Im][Oxa]$, b dispersion/n effect of the C16 alkyl side chain



Fig. 7 Electrostatic potential surface of the $[Oxa]^{2-}$ ion

Conclusion

In conclusion, highly efficient and practical methods for the synthesis of 3,4-dihydropyrimidinones and 3,4-dihydrobenzoxazines were developed. The synthesis reactions were catalyzed by a little amount of the new and effortlessly synthesized metal free [C₁₆Im][Oxa] as flexible organocatalyst and thus the products were not contaminated with any metallic impurities. In addition, catalytic performance of the catalyst was found to be more than those selected metal-based salts. On the other hand, the reactions were carried out under green-chemistry conditions to afford the products in short times and in good to excellent yields. DFT calculations reveal that trans conformation is more favorable structure of the catalyst and the high (E_{int}) negative interaction energy resulting electrostatic and dispersion interactions implies a high stability for the [C₁₆Im][Oxa]/H₂O complex which is favorable for forming micelles.

Experimental

All reagents and solvents were purchased from either Merck or Sigma-Aldrich and used without further purification. Thin-layer chromatography was performed using silica gel (60 F254, Merck, Darmstadt, Germany) plates. Melting points were recorded by BÜCHI melting point B-540 apparatus (BUCHI Labortechnik AG in Flawil, Switzerland). The NMR spectra were measured using Varian mercury plus spectrometer (400 MHz) (Varian Inc., California, USA) in CDCl₃ or DMSO- d_6 using TMS as an internal standard. Chemical shifts (δ) are reported in ppm and J values in Hertz.

1-Hexadecyl-1H-imidazol-3-ium oxalate (C₄₀H₇₄N₄O₄)

In a 100 cm³ two-necked flask 1.92 g 1-hexadecyl-1*H*imidazole [34, 35] (6.6 mmol) was dissolved in 50 cm³ of ether. The flask was cooled to 0-10 °C in an ice-water bath and 0.41 g oxalic acid dihydrate (3.3 mmol) dissolved in 5 cm³ of EtOH was added dropwise. After 25 min the precipitated white solid was filtered under vacuum, washed with ether and dried in open air. Yield: 1.86 g. 84%; white solid; m.p.: 84–86 °C; IR (ATR): $\bar{v} = 3147, 3122, 3094,$ 2916, 2850, 1719, 1699, 1635, 1574, 1552, 1471, 1409, 1378, 1327, 1296, 1171, 1121, 1096, 947, 896, 837, 759, 705, 630 cm⁻¹; ¹H NMR (400 MHz, CDCl₃): $\delta = 9.02$ (s, 2H, 2x-NCHN-), 7.53 (s, 2H, 2x-N³CHC-), 7.15 (s, 2H, 2x-CHCHN¹-), 4.20 (s, 4H, 2x-N³CH₂CH₂CH₂-), 1.86 (s, 4H, 2x-N³CH₂CH₂CH₂-), 1.30-1.24 (m, 52H, 26x -CH₂-), 0.88 (t, J = 6 Hz, 6H, 2x–CH₃) ppm; ¹³C NMR $(100 \text{ MHz}, \text{ CDCl}_3): \delta = 198.0, 163.1, 121.4, 60.3, 49.6,$ $31.9, 2 \times 30.3, 2 \times 29.7, 29.6, 29.5, 29.4, 29.0, 26.3, 22.7,$ 14.1 ppm.

Typical experimental procedure for the preparation of 3,4dihydropyrimidinones **1***a*–**1***j*

To a 50 cm³ round-bottomed flask 0.44 g of benzaldehyde (4.1 mmol), 0.41 g of acetylacetone (4.1 mmol), 0.32 g of thiourea (4.2 mmol), and 2.5 mmol % of catalyst were added. The flask was attached to a reflux condenser and heated under atmospheric conditions in an oil bath at 90 °C for 10 min. Thereafter the flask was cooled and little amount of MeOH and then ether were added to the obtained crude product and stirred for some time. Then the obtained solid was filtered and washed with ether to afford pure **1b**.

5-Acetyl-6-methyl-4-phenyl-3,4-dihydropyrimidin-2(1H)one (**1a**)

Yield: 85%; yellowish solid; m.p.: 241 °C (Ref. [53] 238.5–240.9 °C).

1-(6-Methyl-4-phenyl-2-thioxo-1,2,3,4-tetrahydropyrimidin-5-yl)ethan-1-one (**1b**)



Fig. 8 Plausible mechanism of Mannich reaction in water

Yield: 97%; yellowish solid; m.p.: 232–233 °C (Ref. [53] 228.1–230.3 °C).

5-Acetyl-4-(2-hydroxyphenyl)-6-methyl-3,4-dihydropyrimidin-2(1H)-one (**1c**)

Yield: 90%; yellowish solid; m.p.: 220–222 °C (Ref. [55] 212 °C).

5-Acetyl-4-(4-hydroxyphenyl)-6-methyl-3,4-dihydropyrimidin-2(1H)-one (1d)

Yield: 95%; yellowish solid; m.p.: 259–260 °C (Ref. [56] 251–252.5 °C).

Typical experimental procedure for the preparation of 1,3benzoxazines **2a–2j**

To a 100 cm³ round-bottomed flask containing 15 cm³ of water were added 0.30 g of corresponding bis-secondary amine (1.1 mmol), 0.13 g of paraformaldehyde (4.4 mmol, 4 equivalent), and 2.5 mmol% of catalyst. The flask was attached to a reflux condenser and heated under atmospheric conditions in an oil bath at 90 °C for 10 min. Thereafter the flask was cooled in an ice bath and 15 cm³ of cold water was added. The resulting solid product was

collected by filtration and dried at room temperature. Recrystallization from an appropriate solvent gave pure **2e**.

3-Phenyl-3,4-dihydro-2H-benzo[e][1,3]oxazine (**2a**) Yield: 85%; white solid; m.p.: 55–56 °C (Ref. [57] 56–57 °C).

3-(*p*-Tolyl)-3,4-dihydro-2H-benzo[*e*][1,3]oxazine (**2b**) Yield: 91%; white solid; m.p.: 84–85 °C (Ref. [58] 84.1 °C).

3-(4-Methoxyphenyl)-3,4-dihydro-2H-benzo[e][1,3]oxazine (**2c**)

Yield: 87%; glassy transparent crystals; m.p.: 77–78 °C (Ref. [59] 75.7–76.8 °C).

3-Benzyl-3,4-dihydro-2H-benzo[e][1,3]oxazine (**2d**) Yield: 98%; white solid; m.p.: 70–71 °C (Ref. [60] 71–72 °C).

1,2-Bis(2*H*-benzo[*e*][*1,3*]*oxazin-3*(4*H*)-*yl*)*ethane* (**2e**) Yield: 98%; white needle crystals; m.p.: 93–94 °C (Ref. [54] 107–109 °C). *1,4-Bis*(2*H-benzo*[*e*][*1,3*]*oxazin-3*(4*H*)-*y*])*benzene* (**2f**) Yield: 96%; yellow crystalline solid; m.p.: 178–179 °C (Ref. [54] 180 °C).

4,4'-Bis(2H-benzo[e][1,3]oxazin-3(4H)-yl)-1,1'-biphenyl (**2g**, C₂₈H₂₄N₂O₂)

Yield: 95%; bright yellowish crystals; m.p.: 227–228 °C (MeOH); IR (ATR): $\bar{\nu} = 3045$, 2921, 2851, 1608, 1590, 1505, 1493, 1456, 1408, 1370, 1333, 1274, 1254, 1214, 1164, 1150, 1120, 1080, 1046, 1026, 930, 871, 804, 745, 712, 669, 588 cm⁻¹; ¹H NMR (400 MHz, CDCl₃): $\delta = 6.57-6.52$ (m, 4H, Ar), 6.29–6.22 (m, 6H, Ar), 6.17–6.12 (m, 2H, Ar), 6.05–5.99 (m, 2H, Ar), 5.96–5.91 (m, 2H, Ar), 4.50 (s, 4H, 2x–NCH₂O–), 3.77 (s, 4H, 2x ArCH₂N–) ppm; ¹³C NMR (100 MHz, CDCl₃): $\delta = 154.3$, 147.2, 133.9, 127.9, 127.4, 126.8, 120.8, 118.5, 116.9, 79.4, 50.4 ppm.

3-Dodecyl-3,4-dihydro-2H-benzo[e][1,3]oxazine (**2h**) Yield: 96%; bright white crystals; m.p.: 35–36 °C (Ref. [61] 60 °C).

3-Tetradecyl-3,4-dihydro-2H-benzo[e][1,3]oxazine (**2i**, C₂₂H₃₇NO)

Yield: 95%; bright white crystals; m.p.: 44–45 °C (MeOH); IR (ATR): $\bar{v} = 3076$, 3043, 2914, 2849, 1607, 1583, 1488, 1457, 1378, 1346, 1334, 1291, 1269, 1223, 1172, 1145, 1109, 1083, 1033, 978, 923, 866, 839, 808, 751, 718, 705, 588 cm⁻¹; ¹H NMR (400 MHz, CDCl₃): $\delta = 7.11$ (t, J = 7.2 Hz, 1H, Ar), 6.96 (d, J = 7.2 Hz, 1H, Ar), 6.86 (t, J = 7.2 Hz, 1H, Ar), 6.96 (d, J = 8 Hz, 1H, Ar), 4.87 (s, 2H, -NCH₂O–), 3.99 (s, 2H, ArCH₂N–), 2.73 (t, J = 7.2 Hz, 2H, ring-NCH₂CH₂–), 1.55 (quin, J = 7.2 Hz, 2H, ring-NCH₂CH₂–), 1.31–1.25 (m, 22H, 11x–CH₂–), 0.88 (t, J = 7.2 Hz, 127.6, 127.5, 120.4, 120.3, 116.3, 82.4, 5.36, 50.2, 31.9, 3 × 29.7, 29.6, 29.5, 29.4, 28.1, 27.2, 22.7, 14.2 ppm.

3-Hexadecyl-3,4-dihydro-2H-benzo[e][1,3]oxazine (**2j**, C₂₄H₄₁NO)

Yield: 97%; bright white crystals; m.p.: 51–52 °C (MeOH); IR (ATR): $\bar{\nu} = 3079$, 3046, 2914, 2848, 1608, 1584, 1488, 1470, 1458, 1380, 1346, 1336, 1299, 1266, 1228, 1173, 1146, 1111, 1096, 1033, 978, 924, 866, 841, 795, 752, 718, 705, 590 cm⁻¹; ¹H NMR (400 MHz, CDCl₃): $\delta = 7.11$ (t, J = 7.2 Hz, 1H, Ar), 6.96 (d, J = 7.2 Hz, 1H, Ar), 6.86 (t, J = 7.2 Hz, 1H, Ar), 6.97 (d, J = 8 Hz, 1H, Ar), 4.87 (s, 2H, $-NCH_2O-$), 3.99 (s, 2H, ArCH₂N–), 2.73 (t, J = 7.2 Hz, 2H, ring-NCH₂CH₂–), 1.30–1.25 (m, 26H, 13x–CH₂–), 0.88 (t, J = 7.2 Hz, 3H, $-CH_3$) ppm; ¹³C NMR (100 MHz, CDCl₃): $\delta = 154.2$, 127.6, 127.5, 120.4, 120.3, 116.3, 82.4, 51.4, 50.2, 31.9, 3×29.7 , 29.6, 29.5, 29.4, 28.1, 27.3, 22.7, 14.2 ppm.

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