



# Mechanisms of host type I interferon response modulation by the nucleocapsid proteins of alpha- and betacoronaviruses

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## Abstract

Coronaviruses can have a devastating impact on the health of humans and animals. Porcine epidemic diarrhea virus (PEDV) causes extremely high fatality rates in neonatal piglets, whereas severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is responsible for the current COVID-19 pandemic in humans. As a critical component of the host antiviral innate immune response, type I interferon production and signaling play a very important role, especially in the initial phase of the antiviral responses. Coronaviruses have evolved multiple ways to counteract type I interferon responses. Although the primary functions of the nucleocapsid protein are to facilitate viral RNA replication and package viral genomic RNA into virions, recent studies have shown that the nucleocapsid protein is also involved in virus-host interactions. The aim of this review is to summarize our current understanding of how the nucleocapsid proteins of PEDV and SARS-CoV-2 modulate type I interferon responses. This knowledge will be useful for developing strategies to combat coronavirus infections.

## Introduction

Coronaviruses are important RNA viruses, and members of the subfamily *Orthocoronavirinae* are classified into four genera: *Alphacoronavirus*, *Betacoronavirus*, *Gammacoronavirus*, and *Deltacoronavirus* [1]. Coronaviruses have a very significant impact on both humans and animals such as pigs. For example, porcine epidemic diarrhea virus (PEDV), an enteric alphacoronavirus, was first reported in 1978 in Belgium [2]. Since then, PEDV has spread across Europe and Asia, causing sporadic outbreaks. Notably, highly pathogenic PEDV strains were identified in 2010 when severe porcine epidemic diarrhea (PED) outbreaks were reported

in China and in other countries. PEDV first entered North America in 2013, spreading rapidly [3, 4]. PED is characterized by vomiting, diarrhea, dehydration, and anorexia, causing up to 100% mortality in suckling piglets and impaired growth in finishing pigs [4].

The recently identified severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is a respiratory betacoronavirus that is causing the ongoing global COVID-19 pandemic [5]. Major symptoms of COVID-19 include shortness of breath, fever, and muscular discomfort [6]. There are major economic implications of both PEDV and SARS-CoV-2. Specifically, PEDV causes devastating economic losses in the swine industry [7], whereas SARS-CoV-2 has led to negative growth rates in the economy, with closing of many businesses and schools and travel restrictions as a result of public health concerns [6]. While the origins of PEDV and SARS-CoV-2 have not been clearly established, the identification of closely related bat coronaviruses suggests a zoonotic origin [8–11].

Coronaviruses are enveloped, single-stranded, positive-sense RNA viruses [1]. Their genome is a single linear RNA molecule with a 5' cap structure and a polyadenylated tail at the 3' end. The PEDV genome is approximately 28 kb in length, whereas the genome of SARS-CoV-2 is about 30 kb long [12, 13]. The open reading frames are flanked by untranslated regions at both the 5' and 3' ends. The N-terminal two-thirds of the RNA genome contains the large

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open reading frames ORF1a and ORF1ab, which, due to a -1 frameshift, are translated into two polyproteins, pp1a and pp1ab. The polyproteins of both PEDV and SARS-CoV-2 undergo proteolytic cleavage to generate up to 16 mature nonstructural proteins [13, 14]. The remaining portion of the genome codes for four structural proteins – spike (S), envelope (E), membrane (M), and nucleocapsid (N) – as well as a number of accessory proteins. PEDV encodes only one accessory protein (the ORF3 protein), whereas SARS-CoV-2 encodes up to nine accessory proteins [13, 14]. While the wide-ranging effects of the accessory proteins on the virus life cycle and virus-host interactions are still under investigation, the roles of structural proteins are better understood [13, 14]. One of these, the N protein, has been shown to play a very important role in coronavirus biology, virus-host interaction, and pathogenesis, and modulating host innate antiviral responses such as the type I interferon (IFN) response is one of its critical functions. Therefore, in this article, we discuss the mechanisms by which N proteins of alpha- and betacoronaviruses regulate type I IFN responses. We chose PEDV and SARS-CoV-2 because they possess distinct pathogenic features (enteric vs. respiratory) and target different species (swine vs. human). We begin with an overview of type I IFN responses to coronavirus infections, continue with a discussion on our current understanding of how the N proteins of PEDV and SARS-CoV-2 regulate this response, and end with our thoughts on future directions.

## Host type I interferon responses to coronavirus infections

Innate immunity is responsible for the immediate response of the host to coronavirus infections. This response begins with the recognition of danger signals by pattern recognition receptors (PRRs), such as Toll-like receptors (TLRs), NOD-like receptors (NLRs), C-type lectin receptors (CLRs), absent in melanoma 2 (AIM2)-like receptors, and RIG-I-like receptors (RLRs) [15–17]. Nod-, LRR- and pyrin-domain-containing protein 3 (NLRP3) is one of the well-characterized NLRs [18]. NLRP3 responds to cell stress and virus infections by assembling an NLRP3 inflammasome. The inflammasome is a multiprotein complex that induces the expression of pro-inflammatory mature IL-1 $\beta$  and IL-18, as well as pyroptotic cell death [18]. While inflammation is protective against virus infections, uncontrolled inflammation has been documented to contribute to viral pathogenesis, such as severe COVID-19 disease after SARS-CoV-2 infection [19]. In this regard, SARS-CoV-2 N protein has been shown to induce NLRP3 inflammasome assembly through direct binding to NLRP3, resulting in hyperinflammation [20]. The SARS-CoV-2 N protein has also been shown to

promote hyperactivation of NF- $\kappa$ B and, consequently, excessive inflammation [21].

Cyclic guanosine monophosphate-adenosine monophosphate synthase (cGAS) is also an important molecule in the host innate immune system [22]. cGAS functions through stimulator of interferon genes (STING), which triggers the production of interferons and inflammatory cytokines [22]. It has been shown that PEDV antagonizes STING activation [23], whereas STING contributes to immunopathology after SARS-CoV-2 infection [24].

As a vital component of the host innate immune response, type I IFNs are a group of signaling cytokines produced by the host for antiviral defense [25]. Upon coronavirus infection, the activation of the type I IFN response occurs in three stages: PRRs recognize pathogen-associated molecular patterns (PAMPs), type I IFNs are released through paracrine and autocrine pathways, and many antiviral IFN-stimulated genes (ISGs) are expressed, causing the host to enter an antiviral state [17]. RIG-I, MDA5, and Laboratory of Genetics and Physiology 2 (LGP2) are the major RLRs that can sense viral RNA [26]. RIG-I and MDA5 contain two N-terminal caspase activation and recruitment domains (CARDs), a helicase domain, and a C-terminal domain. Upon binding to viral RNA by the helicase and C-terminal domains, the CARD undergoes a conformational change and is activated by polyubiquitination by several ubiquitin ligases, such as TRIM25. The activated RIG-I/MDA5 binds to MAVS/IPS-1, which is a mitochondrial adaptor protein, to form a complex called the MAVS signalosome [15]. This complex activates TNF receptor-associated factor 3 (TRAF3), TANK-binding kinase 1 (TBK1), and I $\kappa$ B1 kinase (IKK), leading to the phosphorylation of interferon regulatory factor 3 (IRF3) [27]. Phosphorylated IRF3 translocates into the nucleus, where it promotes type I IFN gene transcription [27]. LGP2 lacks a CARD domain and therefore does not mediate signaling. However, LGP2 can modulate the functions of RIG-I and MDA5 both positively and negatively [26]. Secreted extracellular type I IFNs bind to the IFN $\alpha$  receptor (IFNAR), a heterodimeric complex consisting of two subunits, IFNAR-1 and IFNAR-2, on the cell surface. This in turn activates tyrosine kinase 2 (TYK2)/Janus kinase 1 (JAK1), leading to the formation of IFN-stimulated gene factor 3 (ISGF3), composed of STAT1-STAT2 and IRF9 [25, 28]. The cytoplasmic ISGF3 then moves into the nucleus to turn on the expression of IFN-stimulated genes.

Coronaviruses, including PEDV and SARS-CoV-2, have evolved multiple means to modulate host type I IFN responses [17, 28–32]. In this review, we focus on the nucleocapsid proteins of PEDV and SARS-CoV-2.

## Domain structure and biological functions of the nucleocapsid protein

The N proteins of coronaviruses, including PEDV and SARS-CoV-2, share a similar domain structure, consisting of an N-arm, an N-terminal domain (NTD), a Ser/Arg-rich linker (LKR), a C-terminal domain (CTD), and a C-tail [33–36]. NTD and CTD are structured domains, whereas the N-arm, LKR, and C-tail are intrinsically disordered regions (IDRs). The primary and conserved function of the nucleocapsid is to package viral genomic RNA into the virion. As such, it is not surprising that both NTD and CTD are involved in RNA binding, with the three IDRs playing a modulating role [35]. CTD also mediates homodimerization of the N protein [36]. In addition to RNA encapsidation, other functions have been attributed to the N protein. It plays multiple roles in the virus life cycle and virus-host interactions, including enhancing viral RNA transcription and replication [37, 38], and modulating host antiviral responses (see below).

N proteins can be post-translationally modified by phosphorylation, sumoylation, and ADP-ribosylation [39]. These modifications play important roles in regulating the functions of the N protein. It has been shown that phosphorylation of the LKR domain regulates N protein phase separation, resulting in different forms of condensates with diverse functions in terms of RNA-protein and protein-protein interactions [40, 41]. A very recent study identified Ser79 as a new phosphorylation site outside the LKR domain of the SARS-CoV-2 N protein [42]. This phosphorylation event enhances the binding of the N protein to the host prolyl-isomerase Pin1. Knocking down Pin1 expression reduces viral RNA levels, suggesting a functional role of the N-Pin1 interaction [42].

Sumoylation has been documented for the N protein when it is ectopically expressed [43]. Furthermore, it has been shown that N protein sumoylation enhances its homo-oligomerization and nucleolar localization [43]. N proteins of a few coronaviruses, including PEDV and SARS-CoV, are also modified by ADP-ribosylation [44]. Interestingly, N protein ADP-ribosylation only occurs in the context of viral infections, and ADP-ribosylated N proteins are detected in virions [44]. Whether N protein ADP-ribosylation increases its incorporation into the virions and whether any other functions are associated with this modification remain to be investigated.

## PEDV N protein

There are a few studies documenting the effects of PEDV N protein on type I IFN responses as well as how the PEDV N protein is targeted by several ISG proteins. It has been shown that PEDV N antagonizes IFN- $\beta$  production after Sendai virus infection [45, 46]. Furthermore, the PEDV N

protein can inhibit IFN- $\beta$  promoter activation by TBK1 and its upstream molecules RIG-I, MDA5, MAVS, and TRAF3 [45, 46]. Interestingly, however, the PEDV N protein does not directly impede IFN- $\beta$  promoter activation by IRF3 [45, 46]. Instead, it interferes with the interactions between TBK1 and IRF3, resulting in a lack of IRF3 activation and subsequent inhibition of IFN- $\beta$  expression.

Given the antagonizing role of PEDV N protein in the type I IFN response, it is not unexpected that this protein becomes a target of the host innate immune system. One study showed that viperin, an ISG induced by IRF1 and IRF3, interacts with the PEDV N protein and inhibits PEDV proliferation [47]. IRAV (IFN-regulated antiviral), BST-2 (bone marrow stromal cell antigen-2), and TRIM21 are three additional ISG proteins that have been shown to interact with the PEDV N protein [48–50]. These protein-protein interactions lead to N protein degradation and inhibition of viral replication [48–50].

## SARS-CoV-2 N protein

In comparison to PEDV, we have a better understanding of the complex interactions between the SARS-CoV-2 N protein and the host type I IFN system. It has been shown that the SARS-CoV-2 N protein inhibits IFN- $\beta$  activation by RIG-I or Sendai virus infection [51–55]. Mechanistically, the SARS-CoV-2 N protein interacts directly with RIG-I [51, 52]. Furthermore, it has been shown that the interaction of SARS-CoV-2 N with RIG-I interferes with the binding of TRIM25 to RIG-I as well as the interaction between TBK1 and IRF3 [52, 54]. These interactions abrogate the activation of downstream effectors of RIG-I signaling such as IRF3 phosphorylation and nuclear translocation, which in turn dampens IFN- $\beta$  expression [51, 52]. Along the same line, it has been shown that SARS-CoV-2 N, via the dimerization domain, is enriched in the MAVS signalosome and interferes with its signaling capacity [55]. Interestingly however, two studies have shown that the SARS-CoV-2 N protein had no effect on IFN- $\beta$  promoter activity when RIG-I was overexpressed [56, 57]. The reason for the discrepancy is not clear, but it is most likely due to differences in the N protein sequences and cell lines used in different laboratories.

Formation of stress granules (SGs) is another mechanism by which the host mounts an antiviral response [58], and SARS-CoV-2 N has been shown to interfere with SG formation [57, 59]. Mechanistically, it was found that SARS-CoV-2 N interacts with protein kinase R (PKR) to inhibit PKR autophosphorylation and activation, which is required for SG formation [59]. Furthermore, Ras-GTPase-activating protein-binding protein 1 (G3BP1), a key nucleating component of SGs, is also targeted by the SARS-CoV-2 N through direct protein-protein interaction [59]. A recent

crystal structure analysis demonstrated that the N-terminal 25 amino acid residues of N contribute to binding to the nuclear transport factor 2 (NTF2)-like domain of G3BP1 [60]. One of the functions of SGs is type I interferon production [58]. Zheng et al. showed that the SARS-CoV-2 N protein antagonizes IFN- $\beta$  activation by coexpressing RIG-I and G3BP1, as well as RIG-I and the PKR-activating protein PACT [57]. Consistent with the antiviral function of SG, it has been shown that knocking down PKR or G3BP1 expression increases SARS-CoV-2 replication [59].

SARS-CoV-2 N has also been shown to inhibit interferon signaling by reducing STAT1 and STAT2 phosphorylation and nuclear translocation upon interacting with these two proteins [54, 61]. More importantly, it has been demonstrated that overexpression of the N protein enhances the replication of SARS-CoV-2 by antagonizing type I IFN signaling in infected human HepG2 cells [61].

All of these studies except for one [61] were performed after ectopic expression of the N protein, and the relevance of these findings in the context of SARS-CoV-2 infection should be investigated. This is critically important, as one study showed various effects of SARS-CoV-2 N protein on IFN- $\beta$  expression as well as IFN- $\beta$  signaling, depending on the levels of the N protein [62]. Specifically, at low levels, the SARS-CoV-2 N protein inhibits IFN- $\beta$  production by disturbing the interaction of TRIM25 and RIG-I and inhibiting the phosphorylation and nuclear translocation of IRF3, STAT1, and STAT2, whereas at high levels, the N protein promotes IFN- $\beta$  expression by enhancing the phosphorylation and nuclear translocation of STAT1 and STAT2 [62].

## Summary and future directions

The type I IFN response is a critical component of the host innate immune response and plays a very important role in counteracting coronavirus infections. Coronaviruses, on the other hand, have evolved multiple mechanisms to suppress the type I IFN response mounted by the host. Among them, the role of the N protein in modulating the IFN response has been largely underappreciated. In our efforts to promote more studies on this important area, we have summarized our current knowledge on how the N proteins of PEDV and SARS-CoV-2 interact with the type I IFN regulatory system. Although the N proteins of both PEDV and SARS-CoV-2 have been demonstrated to suppress IFN- $\beta$  activation by RIG-I, detailed mechanistic studies are lacking, especially for the PEDV N protein. Meanwhile, we have a better understanding of how the PEDV N protein is targeted by ISGs, whereas this has not been studied for the SARS-CoV-2 N protein. In addition, it is noteworthy that almost all published investigations have relied on ectopic overexpression of the N protein and the components of the type I IFN system of interest. While data obtained in

this manner are valuable, studies in the context of viral infection and the endogenous type I IFN system should also be performed.

It is worth mentioning that type I IFN has been evaluated in clinical trials to treat COVID-19 patients [63]. The results are mixed in terms of disease outcome and timing of IFN therapy. This calls for a better understanding of the interactions between the type I IFN regulatory system and coronaviral components, including the N protein. On the other hand, the N protein has been increasingly explored as a drug target to control viral replication and as a vaccine antigen to induce an effective immune response [36, 48, 49, 64–67]. Zoonotic transmission of coronaviruses is another dimension that needs attention when it comes to developing countermeasures against coronavirus infections. Since the N proteins of members of the family *Coronaviridae* share relatively high sequence similarity [46, 66], targeting the N protein might be a viable option for zoonosis management. In summary, we believe that a thorough understanding of how the N proteins modulate type I IFN responses will provide additional insights to guide our efforts toward eliminating the detrimental impact of coronaviruses on human and animal health.

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## Declarations

**Conflict of interest** The authors declare no conflict of interest.

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