



Disease-modifying treatment of Parkinson's disease by phytochemicals: targeting multiple pathogenic factors

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Abstract

Parkinson's disease is characterized by typical motor symptoms, loss of dopamine neurons in the substantia nigra, and accumulation of Lewy body composed of mutated α -synuclein. However, now it is considered as a generalized disease with multiple pathological features. Present available treatments can ameliorate symptoms at least for a while, but only a few therapies could delay progressive neurodegeneration of dopamine neurons. Lewy body accumulates in peripheral tissues many years before motor dysfunction becomes manifest, suggesting that disease-modifying therapy should start earlier during the premotor stage. Long-termed regulation of lifestyle, diet and supplement of nutraceuticals may be possible ways for the disease-modification. Diet can reduce the incidence of Parkinson's disease and phytochemicals, major bioactive ingredients of herbs and plant food, modulate multiple pathogenic factors and exert neuroprotective effects in preclinical studies. This review presents mechanisms underlying neuroprotection of phytochemicals against neuronal cell death and α -synuclein toxicity in Parkinson's disease. Phytochemicals are antioxidants, maintain mitochondrial function and homeostasis, prevent intrinsic apoptosis and neuroinflammation, activate cellular signal pathways to induce anti-apoptotic and pro-survival genes, such as Bcl-2 protein family and neurotrophic factors, and promote cleavage of damaged mitochondria and α -synuclein aggregates. Phytochemicals prevent α -synuclein oligomerization and aggregation, and dissolve preformed α -synuclein aggregates. Novel neuroprotective agents are expected to develop based on the scaffold of phytochemicals permeable across the blood–brain–barrier, to increase the bioavailability, ameliorate brain dysfunction and prevent neurodegeneration.

Keywords Parkinson's disease · Phytochemicals · Neuroprotection · Mitochondria · α -Synuclein

Abbreviations

ARE	Antioxidant responsive element
ALP	Autophagy-lysosome pathway
α Syn	α -Synuclein
GCase	Glucocerebrosidase
HMGB1	High-mobility group box 1
MMP	Mitochondrial membrane permeabilization
mPTP	Mitochondrial permeability transition pore
RPCT	Randomized, placebo-controlled trial

TSPO	The outer membrane translocator protein 18 kDa
UPDRS	Unified Parkinson's Disease Rating Scale
UPS	Ubiquitin–proteasome system

Introduction

In our aging society, the increase of patients with age-associated neurodegenerative diseases, such as Alzheimer's (AD) and Parkinson's disease (PD), is the most oppressive issue for medical care, society and economy. PD is characterized by typical motor symptoms and cognition decline. The etiology of PD remains to be clarified, and aging, genetic susceptibility and environmental factors have been proposed as the risk factors. There are typical pathological features; progressive and irreversible loss of dopamine (DA) neurons in the substantia nigra (SN) pars compacta and accumulation of Lewy bodies and neurites composed of α -synuclein (α Syn). The pathogenic factors include oxidative stress,

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mitochondrial dysfunction, deficits of neurotrophic factors (NTFs), inflammation, dysfunction of the ubiquitin–proteasome system (UPS) and autophagy-lysosome pathway (ALP) and activation of apoptosis. PD was once considered as a disease manifesting the motor triad of bradykinesia, rigidity and tremor caused by DA deficiency, but is now considered as a generalized disease involved in central, peripheral and enteric nervous systems. At the advanced stage, neuronal death is detected also in noradrenergic, serotonergic, cholinergic and GABAergic neurons, and patients with PD present depression, dementia, insomnia and dysphagia, along with impaired bladder and gastrointestinal tract, algasia and visual hallucination. The therapy for PD has been mainly aimed to replace DA deficiency with L-DOPA, DA agonists and inhibitors of monoamine oxidase (MAO). However, the present available therapies neither prevent the disease progression, nor control non-dopaminergic features of PD, such as falling, freezing and cognition decline. Now, “disease-modifying therapy” is proposed to protect dopaminergic and other neurons to slow the disease progression (Olanow et al. 2017).

Braak et al. proposed advancing stages of PD based on progress of Lewy pathology (Braak et al. 2003). In the early stage, gastrointestinal problems, such as dysphagia, nausea, constipation and olfactory problems, and the presence of Lewy bodies in the neurons of olfactory tract and enteric nerve system are detected. Neurodegeneration of PD begins in the dorsal motor nucleus of the vagus nerve and advances upwards through the medulla oblongata, pontine tegmentum, midbrain, basal forebrain and the cerebral cortex. The lesions progress to the SN, and typical motor symptom becomes manifest at a later stage of the disease. Preclinical phase with subclinical motor and non-motor symptoms may span 20 or more years. These results indicate that disease-modifying therapy should start in the prodromal stage presenting non-motor syndromes, such as olfactory loss, constipation, anxiety disorders, rapid eye movement sleep behavior disorder (Fereshtehnejad et al. 2019).

Quite a wide range of compounds have been proposed as neuroprotective agents in PD: inhibitors of type B MAO (MAO-B) (selegiline, rasagiline), antioxidants (vitamin E, C, ω -3 fatty acids, melatonin, metal chelators), bioenergetic compounds (coenzyme Q10, creatine), NTFs and anti-inflammatory compounds (lipoic acid, minocycline) (Espay et al. 2017; Naoi et al. 2020). Delayed-start trial of rasagiline presented modulation of disease progression, but most clinical trials did not (Olanow et al. 2009). Epidemiological and clinical intervention studies present that PD incidence is reduced by dietary habit, such as Mediterranean diet and ketogenic diet composed of low carbohydrate and fat-rich diet (Barichella et al. 2017). Foods reported to suppress PD progression are fresh vegetables, fruit, herbs, nuts and seeds, no-fried fish, olive oil, wine and spices. Diet habits modify

mitochondrial function, maintain nutritional state, optimize L-DOPA therapy and minimize the motor complication. In randomized, placebo-controlled trials (RPCTs), vitamin E, carotenoids, ω -3 fatty acids and vitamin E improved clinical status of PD patients (Yang et al. 2017a). To date, however, clinical studies could not fully prove the prevention of disease progression by nutraceuticals.

Phytochemicals, plant secondary metabolites, are major bioactive ingredients of neuroprotective foods and herbs proposed as “herbal medicine” (Zanforlin et al. 2017). Bioactive phytochemicals have pleiotropic functions, modulate multiple pathogenic pathways and exert the neuroprotective effects in animal and cellular models of PD. The main ingredients, flavonoids, stilbenes, phenylpropanoids and terpenes, are present in high quantities in vegetables, fruits, tea, red wine and chocolate, and have potent antioxidant function by direct scavenge of reactive oxygen and nitrogen species (ROS, RNS) and activation of antioxidant enzymes.

This review presents effects of phytochemicals on the pathogenic factors of PD and the targets include oxidative stress, mitochondrial dysfunction and apoptosis, and α Syn aggregation and toxicity for modulation of disease progression (Naoi et al. 2017, 2019). Preclinical studies of phytochemicals present beneficial neuroprotective effects, but clinical trials have only scarcely presented convincing results on disease modification. Improvement of the bioactivity, stability and effective permeability through the blood–brain-barrier (BBB) of phytochemicals is discussed to develop novel compounds for disease-modifying therapy of PD.

Phytochemicals: structure and neuroprotective functions

Phytochemicals have diverse bioactivities, such as antioxidant, anti-apoptotic, anti-aging, anti-carcinogenic, anti-microbial and anti-inflammatory functions. Polyphenols are the major bioactive species of phytochemicals and contain one or more aromatic rings with hydroxyl groups as substituents. They include flavonoids, alkaloids, terpenes, terpenoids, saponins, saponinins, β -carboline and isoquinoline alkaloids. The chemical structures of major phytochemicals are shown in Figs. 1 and 2.

More than 9000 flavonoids are found in fruits, vegetables, cereals and tea, and several hundred in human diet. They are proposed as potential therapeutic agents against oxidative stress-associated diseases. Flavonoids are further divided into subgroups: flavonols [found in broccoli, onion, kale, fruits (citrus, apples, cherries, berries), ginkgo, hibiscus, St John’s wort], flavanols (green tea, red wine, chocolate, *Uncaria rhychophylai*), flavanones (citrus fruits, tomatoes), flavones (apple skin, parsley, celery,

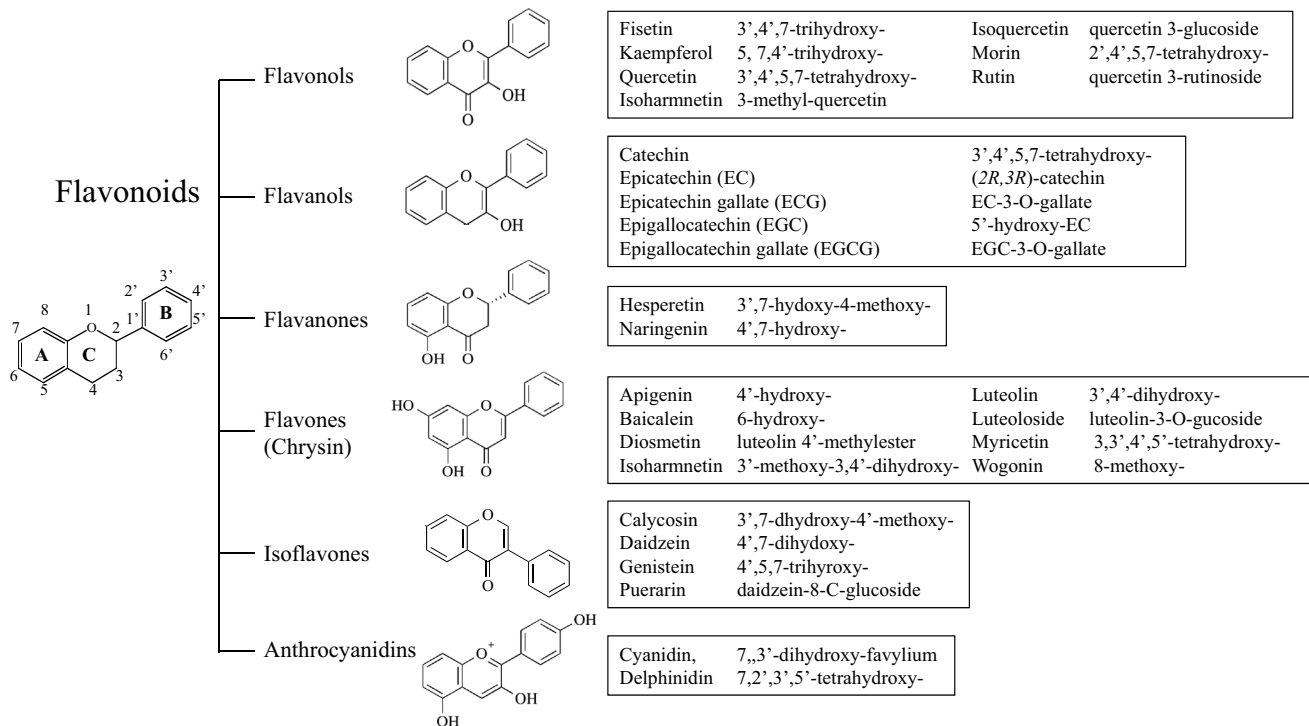


Fig. 1 Chemical structures of main flavonoids cited in the text

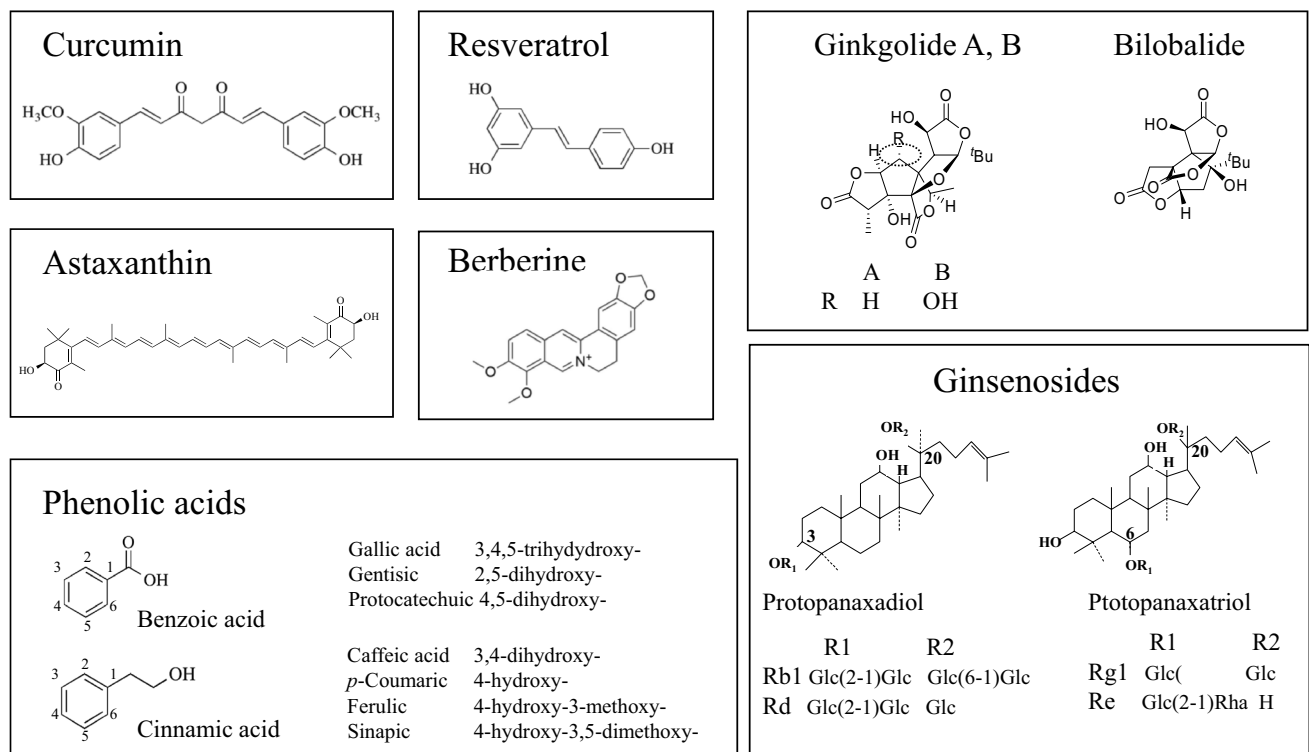


Fig. 2 Chemical structures of major non-flavonoid polyphenols discussed in the text

chamomile, passionflower, ginkgo), isoflavones (soybeans, legumes, pomegranate) and anthocyanidins (red wine, cherries, grapes, berry fruits). They share the common chemical structure: two benzene rings joined by three-carbon chain and a carbon skeleton of diphenyl propanes. The most common flavones and flavonols contain dihydroxyl residues in the 3' and 4' positions of the B ring and mono-hydroxyl at 4'. The 3 position is most common glycosylation site. The B-ring of bioflavonoids is substituted at the 3 position, whereas, that of other flavonoids at the 2 position. Flavonoids have antioxidant, metal chelating, neuroprotective, anti-carcinogenic, anti-inflammatory, immune-stimulating and estrogenic effects.

Epigallocatechin-3-gallate (EGCG) is the major flavanol of green tea and the most biologically active compound in vitro and in vivo. EGCG can pass the BBB and exhibits multiple neuroprotective effects, such as anti-amyloidogenic potencies and activation of signaling pathways to induce glial cell line-derived and brain-derived neurotrophic factor (GDNF, BDNF). In RPCTs, EGCG improved cognitive function in the aged, control and patients with mild cognitive impairment (MCI), and young adults with Down's syndrome (Wightman et al. 2012). Isoflavones exert estrogenic or anti-estrogenic effects and are used as an alternative therapy for breast and prostate cancer, cardiovascular disease and menopausal symptoms. In a large prospective study, greater consumption of anthocyanidin-rich foods, such as berries, was associated with lower PD risk during 20–22 years of follow-up (Gao et al. 2012). Flavonoid intake prevented reduction of white matter hyperintensities volume, MRI marker of AD related dementia (Shishtar et al. 2020).

Non-flavonoid phytochemicals are neuroprotective and chemical structures of major polyphenols are shown in Fig. 2. Curcumin [1,7-bis(4-hydroxy-3-methoxy-phenyl)-1,6-heptadiene-3,5-dione] is isolated from *Curcuma longa* (turmeric) as the yellow pigment. It is composed of an aliphatic unsaturated heptene linker with two benzene rings attached at both ends of two ferulic acids, each of which has a hydroxy and a methoxy group attached symmetrically. Curcumin crosses the BBB, activates the nuclear factor erythroid 2-related factor 2 (Nrf2) signaling to improve antioxidant status, suppresses glial reaction and increases anti-inflammatory activity. Curcumin has anti- and pro-oxidant properties and exerts anti-cancer, anti-diabetic, anti-microbial and anticoagulant activities. In clinical trials, curcumin showed beneficial effects in cognitive decline and depression (Lopresti et al. 2014; Rainey-Smith et al. 2016), but the positive results have not been presented in PD.

Phenolic acids are isolated from grain bran, whole grain, orange, tomato, carrot, borage and some medical herbs like *Ligusticum chuangxion* and the bioavailability depends on the hydrolysis by the degradation enzymes in intestinal tissues and gut microbiota. Phenolic acids are classified into

benzoic acid derivatives with C6–C1 structure and cinnamic acid derivatives with C6–C3 structure. Cinnamic acids are intermediates in the biosynthesis of polyphenols from phenylalanine. Ferulic, *p*-coumaric, gallic, rosmarinic (caffeic-3,4-dihydroxyphenyl lactic ester) and protocatechuic acid permeate the BBB, have antioxidant, anti-inflammatory, neuroprotective, antidepressant-like and anticancer activities, and protect DA neurons in cellular and animal models of PD. Gallic acid is found in blueberry, strawberry, mango, plums, walnut and hazelnuts. Gallic acid and the ester metabolites (*n*-propyl, *n*-methyl-, *n*-octyl gallate) have been shown as the most promising stable neuroprotective ingredients (Kurachi et al. 2012).

Resveratrol (*trans*-3,5,4'-trihydroxystilbene) is found in red grapes (*Vitis vinifera* L.), mulberries, red cherries, grape and peanuts and has been proposed as natural medicine and dietary supplement. The therapeutic potency is evident from "the French paradox", low incidence of cardiovascular disease among red wine drinkers. Resveratrol is composed of two phenol rings connected through a styrene double bond. Resveratrol regulates protein kinase C (PKC) signal pathways, enhances antioxidant system and modulates inflammatory responses, decreases amyloid β ($A\beta$) level by enhancing the UPS activity, and mitigates aging-related neurodegeneration, carcinogenesis and atherosclerosis. Resveratrol crosses the BBB, exerts neuroprotection in similar way as "the caloric restriction" by activation of AMP-activated protein kinase (AMPK)/Sirt 1 (silent information regulator of transcription, a NAD-dependent deacetylase) pathway (Bastianetto et al. 2015; Sarubbo et al. 2018). In clinical studies, resveratrol improved cognitive function in the aged and post-menopausal women (Witte et al. 2014), but the trial for Parkinsonian patients has not been reported.

Astaxanthin (3,3'-dihydroxy- β,β' -carotene-4,4'-dione), a lipophilic xanthophyll carotenoid, occurs in microalgae *Haematococcus pluvialis*, krill, trout, crayfish and salmon, and has potent antioxidant, anti-inflammatory and anti-apoptotic actions. Its linear, polar-nonpolar-polar structure allows to be inserted into membrane, cross the BBB, and scavenge ROS within the hydrophobic interior and hydrophilic boundaries. Astaxanthin suppressed oxidative stress and protected MPP⁺-treated PC12 cells. It is associated with prevention of cardiovascular disease and cataract. Astaxanthin alone or with tocotrienol or sesamin intake improved cognitive function in the healthy aged and patients with mild cognition insufficient (MCI) in a RPCT (Sekikawa et al. 2020).

Extract of *Ginkgo biloba* contains terpene trilactones (ginkgolides A, B, C and bilobalide) and flavonoid (quercetin, kaempferol, isoharmnetin) glycosides, which can permeate the BBB and function as neuroprotective compounds in the brain. *Ginkgo biloba* extract, EGb 761, is the most commonly used herb preparation with cognition-enhancing properties, stabilizes mitochondrial membrane and prevents

apoptosis in ischemia and aging (Maclennan et al. 2002). Ginkgolides A and B are antioxidants, enhance Bcl-2 expression, activate pro-survival PKC, extracellular signal-regulated kinase 1/2 (ERK1/2), and phosphoinositide 3-kinase (PI3K)/Akt pathways, and inhibit microglia activation and c-Jun-N-terminal kinase (JNK) signaling pathway in animal and cellular models of PD. In RPCTs, EGb 761 improved cognitive impairment and neuropsychiatric symptoms in subjects with MCI (Gavrilova et al. 2014).

Ginsenosides are the main bioactive ingredients of ginseng (*Panax ginseng* Meyer), a popular traditional Chinese medicine used for thousands of years in China, Japan, and Korea. Ginsenosides have commonly four-ring hydrophobic structure and are classified into two groups, protopanaxadiols and protopanaxatriols, according to binding sites of sugar moieties to tripeptide at 3- or 6-position. Ginsenosides have diverse pharmacological activities: antioxidant, anti-aging, anti-apoptosis, anti-inflammation, neurotransmitter balance, neuroprotection, and mitochondrial stabilization (Huang et al. 2019). Ginseng are used for treatment of age-related neurodegeneration, depression, diabetes, hypertension, inflammatory diseases and cancer. In animal models of PD prepared by carbon tetrachloride, MPTP, MPP⁺ and β -sitosterol β -D-glucoside, ginseng extract G115, ginsenoside Rb1, Rd, and Rg1, Re and a pseudoginsenoside F11 protected dopaminergic cells (Ahmed et al. 2016). Rb1 activated estrogen receptors, induced Bcl-2, BDNF and GDNF expression and exerted anti-apoptotic effects.

Pathogenic factors targeted by neuroprotective phytochemicals in Parkinson's disease

Multiple pathogenic factors, such as oxidative stress, dysfunction of mitochondria and protein degradation system, downregulated expression of pro-survival genes and neuroinflammation, synergistically cause PD. Mitochondria play a central role in PD pathogenesis. Among 23 genes linked with monogenic PD forms, 14 genes are associated with functions and quality control of mitochondria, suggesting that mitochondria-targeted therapy may serve as potent disease-modification (Billingsley et al. 2019).

Oxidative stress and impaired mitochondrial function in Parkinson's disease

Oxidative stress is a pivotal risk factor for neuronal loss in PD. ROS and RNS cause mitochondrial dysfunction, impair cellular energy metabolism and activate apoptosis machinery. In Parkinsonian brain, increased markers for oxidation of DNA [8-hydroxy-2-deoxyguanine (8-OHdG)], lipid [4-hydroxy-2-nonenal (4-HNE), lipid hydroperoxide]

and protein [protein carbonyls, 3-nitro-tyrosine (3-NT)], and reduction of antioxidants, such as urate and glutathione (GSH), are commonly detected. DA itself contributes neurodegeneration of DA neurons in the SN. MAO localized at the outer mitochondrial membrane oxidizes DA into 3,4-dihydroxyphenylacetaldehyde (DOPAL) and produces hydrogen peroxide (H₂O₂), which is converted further into more toxic hydroxyl radical (·OH) in the presence of iron by the Fenton reaction. DOPAL is more reactive than DA, and DOPAL and its quinone promote oligomerization α Syn and the formed quinone-adduct impairs synaptic function (Jinsmaa et al. 2020). DA is also non-enzymatically oxidized into toxic DA-ortho-quinone producing superoxide radical (O₂⁻). Accumulation of DA-quinone reduces enzymatic activity of glucocerebrosidase (GCase), impairs lysosomal function and enhances α Syn accumulation (Burbulla et al. 2017). In the cytoplasm, DA-quinone produces aminochrome and finally neuromelanin, which is associated with loss of DA neurons.

In PD, mitochondrial dysfunction is another pathogenic factor (Trinh et al. 2021). Even under physiological condition, electron is leaked from complexes I and III of the electron transport chain (ETC), and generated O₂⁻ dismutates into H₂O₂, and diffuses into the cytosol. Postmortem studies of Parkinsonian brains presented a systematic deficiency of complex I, complex III and mitochondrial glycerol-3-phosphate dehydrogenase in the SN, platelets and skeletal muscle. The point mutations or depletion of mitochondrial DNA (mtDNA) increases in mitochondria of Parkinsonian DA neurons. Environmental factors [pesticides (rotenone), industrial solvent (trichloroethane)], endogenous isoquinoline toxins and mutations of mtDNA and nuclear DNA downregulate complex I and IV activities (Henchcliffe and Beal 2008). However, complex I reduction is modest (~20–30%) in most cases with sporadic PD and only about 30% of PD patients have a clear complex I defect. To modulate mitochondrial dysfunction and oxidative stress, creatine, vitamin E, coenzyme Q₁₀, melatonin and deferoxamine have been tried in clinical studies. In a RPCT, coenzyme Q10 improved the Unified Parkinson's Disease Rating Scale (UPDRS) score in PD (Yoritake et al. 2015), but another study of high-dosage coenzyme Q10 could not confirm the beneficial effects in early PD (Parkinson Study Group Qe3 investigators 2014).

Antioxidant function of phytochemicals

Polyphenols, especially flavonoids (apigenin, quercetin) directly scavenge free radicals, chelating metals and induce reduction–oxidation (redox)-related proteins. Flavonoids (isoquercetin, quercetin glycosides, rutin), resveratrol, astaxanthin and protocatechuic acid activate Nrf2/Keap1 (Kelch-like ECH-associated protein1) pathway

to increase antioxidant enzymes, superoxide dismutase (SOD), catalase, heme-oxygenase-1 (HO-1), glutathione peroxidase (GPx).

Flavonoids donate a hydrogen molecule, form a phenoxyl radical, release another hydrogen and turn single oxygen, O_2^- , $-OH$, alkoxy and peroxy radicals to a stable quinone structure. The diol group forms a complex with ferric iron, copper and other transition metal ions and prevents ROS production. Antioxidant function depends on the number of hydroxyl groups in the aromatic A and B rings and the presence of 2,3-unsaturation and a 4-carbonyl in the C ring. The hydroxyl substitutions in the 3-position of C-ring and 4 and 7 of the A-ring are important for the antioxidant potency. EGCG has strong antioxidant properties via the radical scavenging by an *ortho*-hydroxyl group in the B ring and a galley group at position 3.

The hydroxyl and methoxy groups of curcumin can scavenge ROS/RNS, and prevent lipid peroxidation in vivo and in vitro. Hydrogen-abstraction from phenolic groups in hydrogen-atom-transfer and single-electron-transfer mechanisms are associated with scavenging peroxy radicals. Curcumin activates Nrf2 and increases GSH, glutamate cysteine ligase (a rate-limiting enzyme of GSH synthesis) and Cu–Zn SOD.

trans-Resveratrol is more bioactive than the *cis*-form, and it can directly scavenge $-OH$ radical with its hydroxyl groups, and 4'-hydroxyl group is the most reactive. The presence of conjugated double bonds in two phenolic groups makes the electrons more delocalized and resveratrol donates a hydrogen to hydroperoxyl ($\cdot OOH$) radicals in its phenol group and prevents peroxidation. Resveratrol can scavenge free radicals and quench singlet oxygen in vitro, but the potency in vivo is quite low, and the in vivo biological function mainly depends on activation of Sirt1, PI3K/Nrf2/Keap/NF- κ B pathway and peroxisome proliferator-activated receptor- γ (PPAR- γ), PPAR γ cofactor-1 (PGC-1 α) and enhancement of expression of antioxidant enzymes, GPx, HO-1, inducible nitric oxide synthase (iNOS), and cyclooxygenase (COX)-2. Phenolic acid has electron-donating 3-methoxy and 4-hydroxy groups on the benzene ring, and the carboxylic acid has an adjacent unsaturated carbon–carbon double bond, binds to lipid bilayer and prevents lipid peroxidation.

Astaxanthin scavenges ROS and prevents lipid peroxidation in membrane. The hydrophobic region of astaxanthin is a series of carbon–carbon double bonds alternating with carbon–carbon single bonds. The conjugated double bonds can remove an unpaired electron from radical, or donate electrons to radical. Its linear structure provides astaxanthin to scavenge radicals in the interior and at the surface of membrane (Goto et al. 2001). Astaxanthin can scavenge ROS tenfold potentially than carotenoids and 100-fold than α -tocopherol.

Regulation of mitochondrial apoptosis pathway by phytochemicals

In neurodegenerative disorders, apoptosis is often detected by the morphological and biochemical changes. Apoptosis cascade in mitochondria is a promising target for neuroprotection by bioactive phytochemicals (Fig. 3). Apoptosis is initiated by increased mitochondrial membrane permeability and formation of transitional and reversible pore at the inner mitochondrial membrane, by the binding of cyclophilin-D (Cyp-D) localized at the matrix to adenine nucleotide translocator (ANT) at the inner membrane. Prolonged insults fully open a non-selective mitochondrial permeability transition pore (mPTP) at the contact site between the inner and outer membrane, which increase the permeability to solutes and causes expansion of the matrix and rupture of the outer membrane. Irreversible opening of the mPTP is the “point of no return” of cell death, and releases caspase-activating proteins [cytochrome c (Cyt c), SMAC/DIABLO] from the matrix into the cytoplasm and causes fragmentation and condensation of nuclear DNA. The major components of the mPTP are voltage-dependent anion channel (VDAC) at the outer membrane, and ANT and CypD at the inner membrane. In addition, anti- and pro-apoptotic Bcl-2 protein family, the outer membrane translocator protein 18 kDa (TSPO), hexokinase (HK)-I and II are associated with VDAC on the cytoplasmic face of the outer membrane, and creatine kinases (CK) at the intermembrane space. Glycogen synthase kinase-3 β (GSK-3 β) phosphorylates VDAC and inhibits its interaction with HK and ANT. The TSPO induces mitochondrial membrane permeabilization (MMP). Bcl-2 protein family regulates either in a preventive (Bcl-2, Bcl-xL, Bcl-w), or promoting way (Bax, Bak, Bid, Bad, Puma, Noxa). Recently the F_1 – F_0 -ATP synthase (F-ATPase) was reported to form the mPTP. Ca^{2+} binds to the F-ATPase at the catalytic site by replacing Mg^{2+} , changes the conformation and F-ATPase is translocated from the matrix to the inner membrane, binds to CypD and forms the mPTP.

Resveratrol, quercetin, rosmarinic acid, astaxanthin and black tea extract prevent the MMP and protect cells from apoptosis induced by mPTP, wild-type and mutated (A30P, A53T) α Syn and ischemia. Phytochemicals directly prevented or promoted the pore formation at mitochondrial membrane in a cellular model of apoptosis induced by a ligand of the TSPO, PK11195, 1-(2-chlorophenyl)-*N*-methyl-*N*-(1-methylpropyl)-3-isoquinoline carbamate (Wu et al. 2017). PK11195 induced the pore formation at the inner membrane with the burst of superoxide production called “superoxide flash”, and then the mPTP opened with calcium efflux from mitochondria. Astaxanthin and lipophilic methoxy derivative of ferulic acid inhibited formation of pore composed of ANT and Cyp-D at the inner membrane, and the following mPTP opening and apoptosis. Ferulic acid

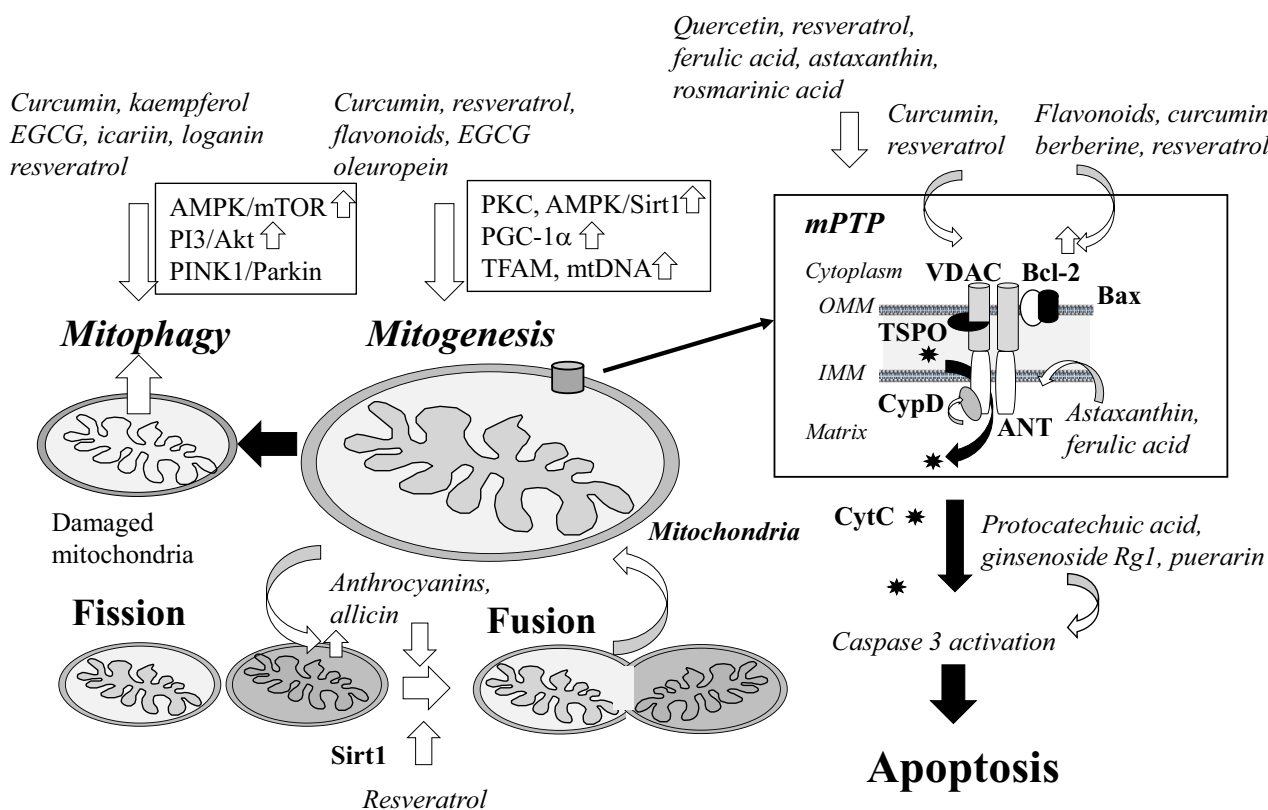


Fig. 3 Effects of phytochemicals on mitochondrial apoptosis system and homeostasis. Phytochemicals inhibit stepwise opening of pore at the inner and outer membrane induced by PK11195, or other insults. They directly regulate expression of VDAC or anti-apoptotic Bcl-2 or inhibit the activation of caspase 3. Phytochemicals activate sig-

nal transduction, increase mitogenesis, and promote fission/fusion and mitophagy to stabilize mitochondrial function. White arrows represent protective effects, whereas, black arrows cytotoxic effects. Upward or downward arrow means promoting or inhibiting effects

aldehyde and alcohol (coniferyl aldehyde, alcohol) prevented the pore formation at the outer membrane and the mPTP. Hydrophilic ethyl ester of ferulic acid promoted the mPTP opening, calcium efflux and apoptosis. These results suggest that microenvironment in the mPTP might be modulated by the amphipathic properties of phytochemicals, and the vital SH residue(s) in ANT might be reduced or oxidized by the redox potency of phytochemicals.

Resveratrol prevents oxidative modification of the critical thiol residues in ANT and membrane protein, phosphorylates Akt/GSK-3 β pathway, dephosphorylates VDAC, promotes VDAC dissociation from Bax, activates poly(ADP-ribose)polymerase (PARP), and prevents the mPTP opening induced by oxidative stress and MPP⁺ (Tian et al. 2019). Curcumin binds to the amino acids in the N-terminal α -helix of VDAC, changes the conformation and prevents the pore formation (Tewari et al. 2015). EGCG is accumulated in mitochondria and locally scavenge free radicals. Among five ingredients of green tea catechins, the anti-apoptotic potency was in order, epicatechin gallate (ECG), EGCG \gg epicatechin (EC), catechin \gg epigallocatechin (EGC), indicating the essential role of 3-gallate group in neuroprotection (Jin

et al. 2001). Black tea extract, theaflavins, rosmarinic acid and flavonoids directly interacts with membrane phospholipids, prevents the MMP. 5,7-Dihydroxy4-oxo-moiety of baicalein and morin enhances anti-apoptosis potency. Curcumin, protocatechuic acid, ginsenoside Rg1 and puerarin interact with caspase proteins and prevent apoptosis. Flavonoids (baicalein, EGCG, kaempferol, naringenin, quercetin), curcumin and berberine activate signal pathways to induce the expression of anti-apoptotic Bcl-2 and Bcl-xL and suppress that of apoptogenic Bax and Bak and modulate apoptosis pathway in mitochondria.

Impaired mitochondrial biosynthesis, dynamics and autophagy in Parkinson's disease

Mitochondria are dynamic organelle. The biogenesis (mitogenesis), quality control by fission (division) and fusion, and cleavage of damaged mitochondria by autophagy regulate mitochondrial homeostasis. Deregulated mitochondrial dynamics has been proposed to attribute PD pathogenesis (Zilocchi et al. 2018). Mitochondrial biogenesis is a complex process with high ATP requirement, involving

mtDNA replication, coordinated gene expression, protein synthesis, membrane formation and mitochondrial division. PGC-1 α is a major transcriptional regulator of mitogenesis and regulate the dynamics with nuclear respiratory factor (NRF)-1, -2. Mitochondrial transcription factor (TFAM) regulates mtDNA replication and transcription. PGC-1 α activates NRF-1 and NRF-2 transcription factors and estrogen-related receptor α (ERR α) and induces expression of nuclear DNA-encoded mitochondrial protein. PGC-1 α activity is post-transcriptionally regulated by phosphorylation and acetylation, and Sirt-1 deacetylates PGC-1 α and regulates the activity. In the Parkinsonian SN, TFAM and mtDNA were downregulated, suggesting that impaired nuclear–mitochondrial regulation is associated with neuronal loss (Chen et al. 2020).

Mitochondrial fission and fusion determine the size, number and shape, and critically regulate neuronal death (Santos et al. 2015). Dynamin-related GTPase 1 (DRP1) mediates mitochondrial fission specifically on mitochondrial membranes. Mitochondrial fusion is mediated by DRP1-mimics, mitofusin (Mfn) and optic dominant atrophy 1 (Opa1). Mfns are localized at the outer membrane, and fuse mitochondrial membranes of adjacent tubules, and Opa1 is located in the inner membrane and interacts with Mfns to form intermembrane protein complexes. PGC-1 α and -1 β , ERR α , the transcription factor MEF2 (myocyte enhancer factor-2) induce Mfn expression. Loss of Opa1 induced disruption of mitochondrial cristae and spontaneous apoptosis.

A selective degradation of mitochondria by autophagy is known as “mitophagy”, and its downregulation results in accumulation of dysfunctional and fragmented mitochondria. Mitophagy plays an important role in preservation of intracellular energy, mitochondrial quality, appropriate mass and population, and promotes cell survival in aging and neurodegenerative diseases (Tatsuta and Langer 2008).

In familiar PD, mitochondrial dysfunction is caused by autosomal dominant *SNCA* and leucine rich repeat kinase 2 (*LRRK2*) mutations, and autosomal recessive Parkin, PTEN-induced putative kinase (*PINK1*) and P5-type ATPase 13a2 (*ATP13a2*) mutations. Mutated Parkin (an E3 ubiquitin ligase) and PINK1 (a serine/threonine kinase) in autosomal PD modify mitophagy and mitochondrial dynamics. Impaired mitochondria are also degraded by the UPS, after phosphorylated by PINK1 and ubiquitinated by Parkin. Parkin incorporated into mitochondria modulates mitochondrial morphology and fission/fusion, and promotes mitophagy (Poole et al. 2008). *PINK1* or *Parkin* mutation causes the accumulation of damaged mitochondria in axons in Parkinsonian patients (Liu et al. 2012).

α Syn is associated with mitochondrial dysfunction. Human α Syn has a mitochondria-targeting 32 amino acid sequence at the N-terminal region (NTR) and is transported into mitochondria by translocases of outer membrane

(TOMs) and inner membrane (TIMs) and is localized predominantly at the inner membrane. α Syn is significantly accumulated in mitochondria of the DA neurons in the striatum, SN and cortex of Parkinsonian brains (Devi et al. 2008). Monomeric α Syn has high affinity to VDAC and induces mitochondrial dysfunction and cell death (Rostovtseva et al. 2015). Under physiological conditions, α Syn monomers improve ATP synthase efficiency and mitochondrial function, whereas, α Syn oligomers interact with ATP synthase, oxidize the β subunit and induce the mPTP opening (Ludtmann et al. 2018). α Syn oligomers interact with membrane lipids and about 15% of α Syn molecules present as membrane-bound aggregates in vivo. α Syn changes the conformation from α -helix to a coiled structure, and forms pore-like structure in vitro study. α Syn aggregates in the cytoplasm interact directly with membrane cardiolipin, an anionic phospholipid specific to mitochondria, and destabilize the membranes. Cardiolipin increases α Syn accumulation at the inner membrane and promotes the aggregation and pore formation at mitochondrial membrane. α Syn inhibits the nuclear translocation of high mobility group box 1 (HMGB1) and impairs autophagy. In addition, PD-related mutations, post-translational modifications and oxidative stress increase nuclear translocation of α Syn and downregulate PGC-1 α , and induce mitochondrial dysfunction.

Phytochemicals promote mitogenesis and preserve mitochondrial quality

Phytochemicals enhance mitochondrial synthesis, maintain dynamics and quality control, and prevent mitochondrial dysfunction. Resveratrol and curcumin inhibit cAMP phosphodiesterases and increase cAMP, or activate PKC ϵ and increase NAD, activate AMPK/Sirt1/PGC-1 α pathway and stimulate mitochondrial function, biogenesis and dynamics (Higashida et al. 2013). Resveratrol activates Sirt1, mitochondrial Sirt3, and Foxo3/PINK1/Parkin signaling pathway, maintains the balance between fusion and fission, prevents mitophagy and protects cells (Das et al. 2014). In primary fibroblast culture from patients with *Park2* mutations, resveratrol activated AMPK/Sirt1/PGC-1 α pathway, enhanced mitochondrial functions and autophagic efflux, and maintained energy homeostasis (Ferretta et al. 2014). Flavones (baicalein, quercetin, wogonin), isoflavones (daidzen, genistein), curcumin and hydroxytyrosol (3,4-dihydroxyphenyl-ethanol, present in olives) increase expression of Sirt1/AMPA/PGC-1 α , enhance mitochondrial biogenesis, the ETC components and TFAM in vivo and in vitro (Dos Santos et al. 2018). Anthocyanins stabilized the fusion/fission processes and protected neuronal cells against cytotoxicity of rotenone and amyloid precursor protein (APP)_{Swe} mutation. Oleuropein (the main polyphenol isolated from extra virgin olive oil) increased expression of mtDNA, PGC-1 α ,

complex II and IV, regulated mitochondrial function, mitogenesis and dynamics through Mfn1 and DRP1 in vivo (Sun et al. 2017). EGCG activated Sirt1/PGC-1 α pathway and upregulated Nrf1 and TFAM, increased mtDNA content, and promoted mitochondrial biogenesis in cells from subjects with Down's syndrome (Valenti et al. 2013). Allicin (diallyl thiosulfinate, the main biological compounds derived from gallic) inhibited DRP-1 increase and Opa1 decrease, regulated mitochondrial dynamics, and prevented mitochondrial fragmentation in 6-OHDA-treated PC12 cells.

In contrast to the results in preclinical studies, the effects of polyphenols on mitogenesis in humans have been shown only by a few trials. Quercetin and EGCG supplement increased the mRNA levels of Sirt1, PGC-1 α , cytochrome c oxidase and creatine synthase in skeletal muscle after 2-week administration in young adult males (Nieman et al. 2010). EC-rich cocoa increased Sirt1, PGC-1 α , TFAM, complex I and V, and enhanced mitogenesis in biopsied skeletal muscle from patients with type 2 diabetes and heart failure, and EC-rich dark chocolate significantly increased AMPA and PGC-1 α and enhanced mitogenesis in normal sedentary subjects (Taub et al. 2016).

Anti-inflammatory functions of phytochemicals

Neuroinflammation is associated with PD pathology and is caused by age-dependent decline of immune response, infection, neurotoxins and accumulation of insoluble protein fibrils, such as α Syn. In the Parkinsonian SN and striatum, inflammatory processes are indicated by microglia activation, production of cytokines (IL-1 α , IL-2, IL-6, IL9, TNF- α , TGF- β), inflammatory mediators [nitrite, nitric oxide, prostaglandin E₂ (PGE₂)] and the presence of autoantibodies. In the serum of PD patients, levels of HMGB1 and toll-like receptor 4 (TLR4), and their downstream signaling factors, NF- κ B, TNF- α and myeloid differentiation factor 88, increased in accord to the progression (Yang et al. 2018). Mutations of *SNCA*, *LRRK2*, *PINK1*, *Parkin* and *DJ-1* activated microglia and neuroinflammation (Lee et al. 2017). Toxic oligomeric or fibrillar α Syn activates TLR2 and stimulates the innate/cell-mediated immune system and microglia.

Phytochemicals inhibit activities of pro-inflammatory enzymes, COX-2 and lipoxygenases and cellular processes involved in inflammatory responses. Phytochemicals prevent the activation of transcription factors [NF- κ B, activator protein (AP)-1], signal transducer and activator of transcription (STAT) and PPAR family or activate the anti-inflammatory and antioxidant activities (Kaur et al. 2020). Flavonoids (apigenin, EGCG, luteoloside, quercetin) inhibit expression of inflammasome components, NOD-like receptor (NRL)

family and pyrin domain-containing 3 and 1 (NLRP3, NLRP1), and oligomerization of NLRP3 inflammasome. Flavonoids (3',4'-dihydroxyflavone, 3',4'-dichloroflavone, apigenin, kaempferol, quercetin) inhibit activation of TLR4 and NF- κ B, and production of TNF- α , nitric oxide, IL-1 β and PGR₂ by NLRP3 inflammasome (Lim et al. 2018). 5,7-Dihydroxyl groups in the A ring and one to two hydroxyl or dichloro groups at C-3',4' in the B ring of flavones and flavonols are required for inhibition of IL-6 β production. Curcumin and resveratrol reduce TLR4 expression in neurons, microglia and macrophages, and prevent activation of TLR4 signaling and NF- κ B, and production of inflammatory cytokines and monocyte chemoattractant protein-1 (MCP-1). Resveratrol activates Sirt1 and reduces matrix metalloproteinase 9 expression and neuroinflammation. Rosmarinic acid inhibits HMGB1/TLR4/NF- κ B signaling pathway and attenuates inflammatory responses (Lv et al. 2019).

Phytochemicals modulate α -synuclein oligomerization, aggregation and toxicity

α Syn is present as natively unfolded soluble form in high concentration at the presynaptic terminals. Changes in the protein environments increase the hydrophobicity of α Syn, decrease the net charge and induce folding. Monomeric α Syn is unfolded protein and by self-assembly transforms to partially folded intermediates, then higher order soluble oligomers in a size range from dimers to protofibrils. α Syn has three sequence regions: NTR (residues 1–60), a central hydrophobic region, called non-amyloid β -component with a conserved motif (KTKEGV) (NAC, residue 61–65) and a C-terminal region (CTR, residues 66–95). After binding to membrane, NTR forms two α -helices, changes the conformation from a random coil to β -sheet structure and amyloid β -like fibrils, whereas, CTR is highly acidic, anti-amyloidogenic and involved in Ca²⁺ binding. The central hydrophobic NAC region is the nucleation core and associated with α Syn fibrillization. Many factors, such as α Syn mutations, pH of the environment and presence of chaperones, accelerate misfolding process of amyloidogenic protein. The aggregation progresses in the presence of fibrillar seed, copper and iron and by posttranslational modification, binding of DA, DOPAL and noradrenaline, proteolysis and modification (Singh and Bhat 2019).

Phytochemicals prevent α -synuclein oligomerization and neurotoxicity

Phytochemicals inhibit α Syn synthesis, oligomerization and aggregation, and disassemble preformed α Syn aggregates by remodeling the fibrils and immobilizing C-terminal tail in vitro and in vivo (Henriquez et al. 2020), as summarized

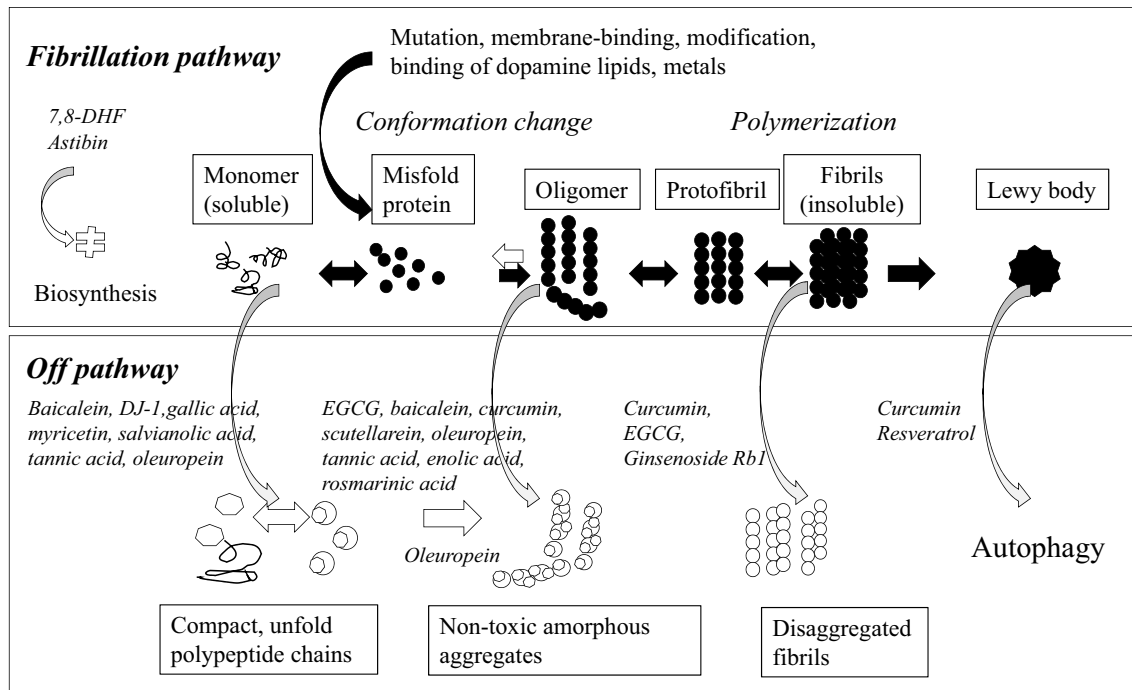


Fig. 4 Interaction of phytochemicals on α Syn misfolding and aggregation. Phytochemicals suppress the α Syn synthesis and inhibit multiple stages of toxic oligomerization and aggregation. Curcumin and resveratrol potentiate autophagy and cleave aggregated α Syn. White

arrows represent protective effects, whereas, black arrows cytotoxic effects. Black and white figures mean toxic and non-toxic derivatives of α Syn derivatives

in Fig. 4. 7,8-Dihydroxyflavone (7,8-DHF) and astibin (taxifolin-3-*O*-rhamnoside, a bioactive ingredient in St John's wort, *Hypericum perforatum*) downregulated α Syn expression in MPTP-induced mouse model of PD (Li et al. 2016). Ellagic acid inhibits primary nucleation, seeded aggregation, and membrane-induced aggregation (Kumar et al. 2021).

Flavonoids (baicalein, kaempferol, catechin, EGCG, myricetin), resveratrol, theaflavins, oleocanthal (deacetoxy ligstroside aglycon, a phenolic compound of extra-virgin olive oil), rosmarinic acid, tannic acid and salvianolic acid B (a bioactive polyphenol extracted root of *Salvia miltiorrhiza*) bind to the native, unfolded α Syn monomers, engage α Syn in compact conformations and unfold polypeptide chains. Oleuropein aglycone stabilizes α Syn monomers, promotes the growth of stable non-toxic aggregates and protects the membrane (Mohammad-Beigi et al. 2019).

Polyphenols bind to β -sheet-rich oligomeric intermediates with much higher affinity than to the monomers, and redirect the assembly process into off-pathway, to form non-toxic, SDS-resistant spherical and non-structural oligomers of ~20 nm diameter (Ehrnhoefer et al. 2008). Aromatic rings of polyphenols interact with α Syn and inhibit its self-assembly by π - π and hydrophobic interaction. α Syn disaggregation by natural polyphenols is correlated with the number of hydroxyl groups on a single phenyl group; trihydroxy- (baicalein, scutellarein, EGCG, myricetin, tannic

acid) > dihydroxy- (quercetin, morin, apigen, resveratrol, rosmarinic acid) > monohydroxy-phenyl ring [pupurogallin (2,3,4,6-tetrahydroxy-5-oxo-5H-benzo [7]annulene), ginkgolide B] (Caruana et al. 2011). The presence of 2,3-double bond in the C ring further enhanced the disaggregation. 3',4'-dihydroxy substitution on ring B of flavonoids and 2',3'-dihydroxy promotes inhibition of fibril formation, whereas, methoxylation of hydroxyl groups abolishes the inhibition. Flavonoids non-covalently bind to α Syn and sequentially produce flavonoid quinones, which covalently bind to free amines or thiols, and stabilize the soluble form of α Syn, monomers and oligomers and EGCG-derived quinones modify the amyloidogenic protein through Schiff base formation, crosslink the fibrils and prevents toxicity.

EGCG binds specifically to α Syn fibrils, alters the hydrophobic surface exposure, or disrupts the local β -sheet structure into benign, amorphous aggregates (Yao et al. 2020). Among EGCG-related polyphenols, ECG, gallic acid, gallic acid gallate and EGCG containing a gallate group can modulate α Syn aggregation, but catechin and EC do not. A gallate group has surface active and amphipathic properties and might function as a surfactant (Lorenzen et al. 2014). EGCG blocks α Syn aggregation-prone sites, GKTKGVLVY, GVLVYVGSKT, AAATGFVK, prevents conversion of active oligomers of α Syn into amyloid fibrils and prevents membrane disruption (Yang et al. 2017b). Polyphenol

components of olive, such as verbascoside, elenolic acid, 3-hydroxytyrosol (3,4-dihydroxyphenylethanol), inhibit α Syn fibril nucleation and elongation, and disaggregate preformed fibrils and prevent formation of the toxic oligomers (Mohammad-Beigi et al. 2019). Dihydromyricetin RB1 (a flavonoid ingredient isolated from seem and leaves of *Ampelopsis grossedentata*) to the oligomers and disaggregate preformed α Syn fibrils. Ginsenoside Rb1 disaggregates preformed α Syn fibrils, stabilizes soluble non-toxic oligomers containing no β -sheet, inhibits seeded polymerization and suppresses the neurotoxicity (Ardah et al. 2015). Curcumin and black tea extract promote autophagy and clearance of wild and mutant α Syn (A30P, A53T) and prevent MMP. Resveratrol activated Sirt1, deacetylated microtubule-associated protein 1 light chain 3 (LC3), promoted autophagic degradation of α Syn and exerted neuroprotection in MPTP-induced mouse model of PD (Guo et al. 2016).

Several polyphenol derivatives have been synthesized to intervene the α Syn aggregation at different stages. Carbamic ester derivatives of 7,8-DHF were more potent inhibitors than L-DOPA, and 4-oxo-2-phenyl-4*H*-chromene-7,8-diyl bis(1-amino-2-hydroxypropyl)carbamate had the highest binding to α Syn (Mohankumar et al. 2020). Curcumin derivatives were synthesized by replacement of the hydroxyl groups with methoxyl and $-\text{CH}_2$ -phenyl group, and the aromatic group with heteronuclear aromatic moieties, thiophene and pyridine to increase the inhibition (Jha et al. 2016). The benzene rings of curcumin play essential role, and the methoxyl replacement of curcumin masks the exposed hydrophobic surface of preformed α Syn fibrils and increases inhibition of α Syn fibrillation. Presence of $-\text{CH}_2$ -phenyl group promotes binding to preformed fibrils, suggesting the interaction of phenyl with the hydrophobic patches of α Syn. Curcumin pyrazole and *N*-(3-nitrophenyl-pyrazole) curcumin inhibit fibrillation of wild and mutant α Syn, disrupts preformed fibrils and prevents the toxicity (Ahsan et al. 2015). Incorporation of fluoro group in phenylzole curcumin at *meta* position significantly increases the potency to inhibit aggregation and disrupt fibrils.

Phytochemicals modulate deregulation of the UPS and ALP in PD

Dysfunction of the UPS and ALP causes accumulation of Lewy body and Lewy neurites in PD (Pan et al. 2008). The UPS selectively targets the unfolded ubiquitinated proteins, degrades short-lived proteins controlling signal transduction, cell cycle progression, apoptosis and cellular differentiation. Chymotrypsin-, trypsin- and caspase-like activity of the UPS decreased significantly in the SN of Parkinsonian patients (Furukawa et al. 2002). Mutations of α Syn, *parkin*, *UCH-L1* and *ATP13a2*, *A53T*, *A30P*, *E46K* and increase in

non-mutant α Syn by triplicated *SNCA* inhibit α Syn ubiquitination and the UPS activity, leading to α Syn accumulation in the SN.

Autophagy is induced by deficit of nutrients and energy supply and is the main system for degradation and recycling of long live, stable proteins, mitochondria, membrane proteins and protein oligomers and aggregates. The ALP is classified into macroautophagy (generally referred as autophagy), microautophagy and chaperone-mediated autophagy (CMA). Deregulated autophagy is associated with the pathogenesis of PD, especially autosomal dominant and recessive PD with mutations of *SNCA*, *LRRK2*, *GBA* encoding glucocerebrosidase (GCCase), *UCHL1* and *DJ-1* (Manzoni and Lewis 2013). α Syn oligomers inhibit the UPS and ALP (Scriver et al. 2018). Mutated and DA-modified α Syn have high affinity for the lysosomal membrane receptors and inhibit the lysosomal uptake and degradation by the CMA (Cuervo et al. 2004), whereas, wild type α Syn is translocated into lysosomes and degraded by the CMA pathway (Vogiatzi et al. 2008).

Phytochemicals modulate the ALP (Wang et al. 2017). Kaempferol and its glycoside (icariin) increase LC3-II, enhance mitochondria turnover by autophagy and exert neuroprotection in cell and animal models of PD (Filomeni et al. 2012). Loganiin [7-hydroxy-6-desoxyvenalin, an iridoid monoterpenoid derived from fruits of cornus (*Cornus officinalis*)] downregulated LC3-II and Drp1 expression and autophagy and exerted neuroprotective effects in a MPTP-treated mouse model of PD. Carnosic acid from rosemary promoted parkin translocation into mitochondria, induced the interaction of parkin and Beclin-1, activated PINK1/parkin-mediated mitophagy and protected SH-SY5Y cells against 6-OHDA toxicity (Lin and Tsai 2019). EGCG promoted AMPK/mTOR (mammalian target of rapamycin)/autophagy pathway and protected HEK293T cells against endoplasmic reticulum stress (Holczer et al. 2018). Resveratrol, genistein and quercetin activate Sirts and modulate autophagy either directly by promoting deacetylation of Atg5, Atg7 and Atg8, or indirectly by regulating FOXO3a (the Forkhead box) transcription factor.

Phytochemicals bind to NTF receptors, activate signaling pathways and enhance NTF expression

NTFs play a major role in the development, function and survival of neurons. GDNF is a potent NTF specific for dopaminergic neurons and decreased in the Parkinsonian SN and hippocampus. GDNF binds to GDNF family receptor α (GFR α), phosphorylates the receptor tyrosine kinase RET (rearranged during transfection), activates PI3K and ERK/ mitogen-activated protein kinase (MAPK) pathways

and maintains DA neurons in the adult brain. GDNF was administered in the nigra-striatal system of PD patients, using infusion or gene therapy of GDNF and neurturin (Barker et al. 2020), but effects of the intracerebral application have not fully been established (Whone et al. 2019). BDNF is required for development of nervous system and the deficit is involved in neurodegeneration and depression. BDNF decreases in Parkinsonian SN (Parain et al. 1999), Val66Met polymorphism of BDNF increases susceptibility to PD in Caucasians and is associated with cognitive decline in PD (Bieschke et al. 2010).

As presented in Fig. 5, phytochemicals show NTF-mimic activity by binding to NTF receptors, activation of downstream signal pathways and induction of NTF expression. 7,8-DHF, 7,8,3'-trihydroxyflavone, fisetin, deoxygedunin (a derivative of gedunin isolated from *Azadirachta indica*), diosmetin and curcumin bind to tropomyosin-related kinase B (TrkB), the BDNF receptor, and activate PI3K/Akt, Ras/mitogen-activated protein (MAP)/ERK pathways, phospholipase C- γ (PLC- γ) and finally cAMP-response element-binding protein (CREB) in nuclei (Liu et al. 2014).

7,8-DHF showed the BDNF-mimic effects and prevented progressive degeneration of midbrain dopaminergic neurons in MPP⁺-treated monkey (He et al. 2016). Hesperetin, huperzine (a sesquiterpene derived from *Huperzia serrata*), 4,6-dimethoxyphenanthrene, spicoside A [6-hydroxyluteolin-7-(6''-(E)-caffeoyl)glucoside] and quinic (= chinic) acid derivatives bind to TrkA receptor, the nerve growth factor (NGF) receptor, increased neurite outgrowth and showed neuroprotective potency (Hwang and Yen 2011). Phytochemicals directly intervene cellular signal molecules. Flavonoids (genistein, EGCG), curcumin and resveratrol activate PI3K/Akt, PKC, MAPK and Ras/MEK1/2/ERK1/2 pathways, increase Nrf2/HO-1 and finally phosphorylate CREB, which binds to CREB-binding protein (CBP) and increases transcription of target genes coding antioxidant enzymes, anti-apoptotic Bcl-2 and pro-survival NTFs (Vauzour et al. 2007). Flavonoids (quercetin, EC, hesperetin, icariin) inhibited cytotoxic JNK activity and prevented apoptosis.

Phytochemicals induce NTF expression in vivo and in vitro. NTFs cannot cross the BBB and the delivery system

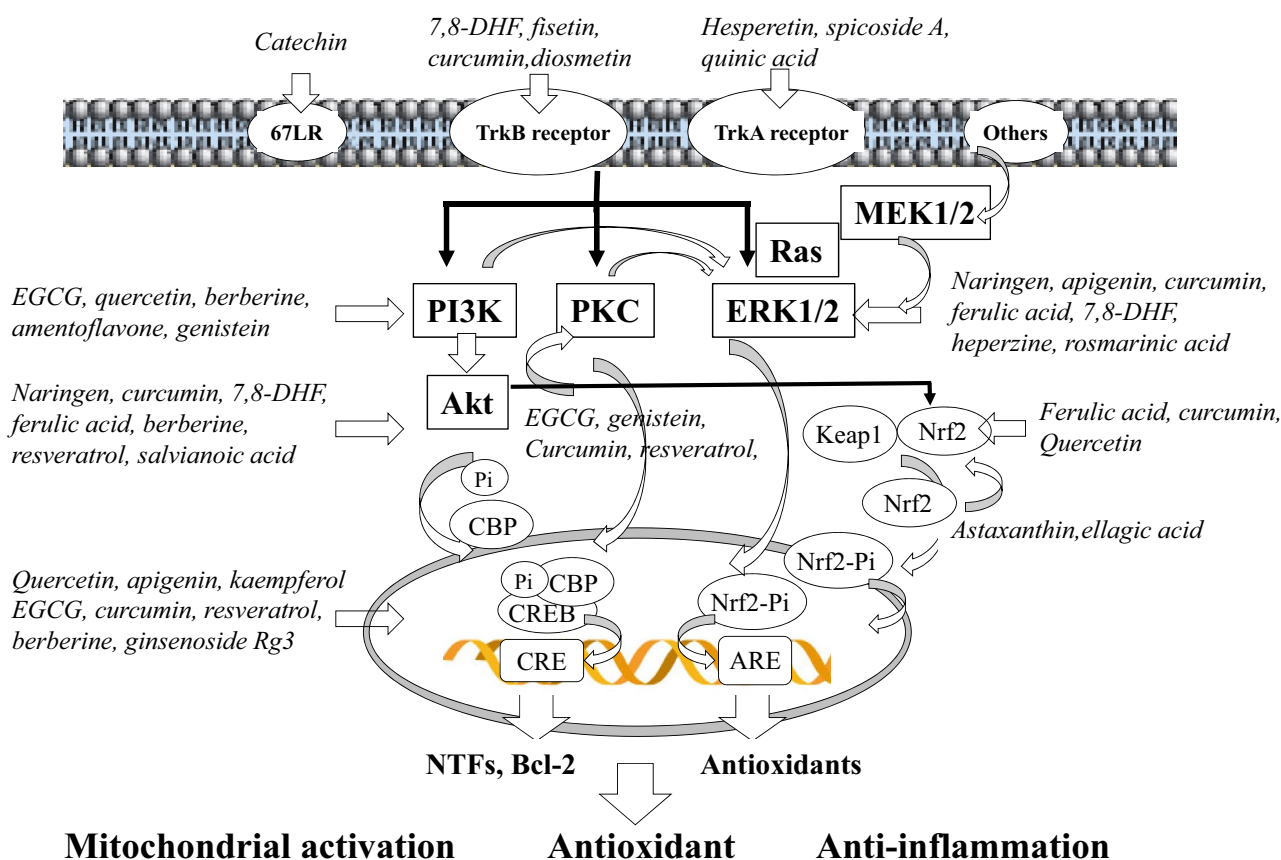


Fig. 5 Phytochemicals induce neuroprotective signaling pathways and protect neuronal cells. They bind to TrkB and other receptors at the plasma membrane, activate signal pathways, or directly activate

PI3K, PKC and Ras/ERK1/2 pathway and promote transcription to express antioxidants, NTFs and anti-apoptotic Bcl-2 family. White arrows represent protective effects

is required for transport into the brain. As an alternative practical method, the activation of de novo biosynthesis of BDNF and GDNF has been proposed by phytochemicals permeable of the BBB, including EC, EGCG, naringenin, curcumin, resveratrol and astaxanthin. Flavonoids, astaxanthin, curcumin, ginsenosides Rb1 and Rg1, phenolic acids, resveratrol, smilagenin (5 β ,20 α ,22 α ,25R-spirostan-3 β -ol, a sapogenin from *Rhizoma anemarrhenae*, *Radix asparagi*) enhance expression of GDNF, BDNF, NGF, transforming growth factor- β 1 (TGF β 1) and vascular endothelial growth factor (VEGF) in animal and cell models. Smilagenin [(25R)-5 β -spirostan-3 β -ol], catalpol and harpagoside (bioactive ingredients from *Rehmannia glutinosa*, *Scrophularia ningboensis*), naringenin and curcumin stimulate intrinsic GDNF expression and protect dopaminergic neurons *in vivo* and *in vitro* (Sun et al. 2012). Apigenin, luteolin, puerarin, and caffeic acid phenethyl ester (a honeybee propolis ingredient) enhanced BDNF expression in the SN of neurotoxin-treated rodent models and protected nigral dopaminergic neurons (Kurauchi et al. 2012). Resveratrol and 6,7,4'-trihydroxyiso-flavone activate Sirt1/miR-134 signal pathway and induce CREB/BDNF expression in the hippocampus and improve cognitive function. BDNF is induced by flavonoids *in vivo* and *in vitro*, whereas, GDNF is mostly by non-flavonoid phytochemicals, curcumin, resveratrol and catalpol. It may be relevant with the results that flavonoids improve memory, cognition and depression, whereas, resveratrol and curcumin ameliorate neuronal dysfunction and prevent cell death in animal models of PD and AD.

Only few clinical trials could prove NTF induction by phytochemicals. In a RPCT, high-flavonoid intake, fruit and vegetables for 6 weeks in control subjects (26–70 years of age), or high-flavonoid cocoa drink for 12 weeks in older males and females (62–75 years of age) increased serum BDNF levels and improved cognitive function (Neshatdoust et al. 2016). In healthy subjects, coffee fruit extract increased plasma BDNF level (Reyes-Izquierdo et al. 2013). *Ginkgo biloba* supplement and green tea extract increased serum BDNF in physically active men (Sadowska-Krepa et al. 2017). In women with premenstrual syndrome, curcumin upregulated serum BDNF levels and ameliorates the syndrome (Fanaei et al. 2016). In Parkinsonian patients, no clinical trial of phytochemical has been reported to upregulate BDNF and GDNF levels.

A specific binding site for catechin gallates (EGCG, ECG) and resveratrol was isolated from plasma membrane in the rat brain, which might be associated with neuroprotection by polyphenols in hippocampal cells against A β _{25–35} toxicity (Han et al. 2006). EGCG binds to 67-kDa laminin receptors localized at cell surface, activates signal pathways to enhance BDNF and potentiate neurite synthesis (Gundimeda et al. 2014). Neuronal α 7-nicotinic acetylcholine receptor (nAChR) mediates neuroprotection by curcumin

in dopaminergic neurons against 6-OHDA (Nebrisi et al. 2020) and by EGCG in cultured cortical neurons against A β _{1–42}-induced cytotoxicity (Zhang et al. 2014). Flavonoids (calycosin, isohamnetin, luteolin, genistein, hesperetin) and resveratrol bind to estrogen receptor β (ER β) and enhance BDNF, GDNF and NGF expression and promote cell survival in astrocytes (Xu et al. 2013). Curcumin and the derivative J147 activate serotonin-1A (5-HT_{1A}) receptor and cAMP/BDNF signaling, induce hippocampal neurogenesis and exerted neuroprotective and antidepressant properties (Li et al. 2020).

Discussion

Epidemiological studies present that the greater intake of diet, vegetables, legumes and fruits containing anthocyanidins, quercetin, and EC reduced incidence of PD (Gao et al. 2012). An inverse association was reported between coffee and tea consumption with PD in males, but not in females, by Health Professionals Follow-up Study (HPFS) (Ascherio et al. 2001). RPCTs of flavonoids (green tea catechins, EGCG, anthocyanins), resveratrol and curcumin improved cognitive activity and increased serum BDNF in AD, MCI and control subjects (Huhn et al. 2018). However, to date clinical intervention trials of phytochemicals have not presented clear evidence of disease modulation in PD. Discrepancy between encouraging preclinical results and the failure of clinical trials may be due to inadequate designs of clinical intervention trials, improper evaluation of therapeutic effects, selection of end points and subjects from heterogeneous patient groups, trial duration and dose of phytochemicals.

Poor bioavailability of phytochemicals also inhibits the *in vivo* therapeutic efficiency. Polyphenols containing catechol and pyrogallol moieties have poor pharmacokinetic properties and bioavailability, rapid metabolism, the inefficient permeability across the BBB and instability in the brain. To enhance the bioavailability, several methods have been proposed: modification of phytochemicals, liposomes, nanoparticles and inclusion complexes with cyclodextrin (Lewandowska et al. 2013). Structural modification of resveratrol by hydroxylation, amination, methoxylation, prenylation and glycosylation increased stability and bioavailability (Arbo et al. 2020). Oxyresveratrol (tetrahydroxystilbene), pinostilbene (3-methoxy-4',5-dihydroxy-*trans*-stilbene) and a fully acetylated resveratrol showed increased bioavailability and neuroprotection in cellular models of PD. Lipophilic metabolites (*O*-methylated derivatives) are permeable more markedly than polar ones (glucuronidated, sulfated one). Conjugation of pharmacologically active moiety to the scaffold of BBB-permeable phytochemicals, such as lipophilic flavonoids, coumarins, gingolide B and terpene,

has been proposed to increase bioactivity (Youdim et al. 2004). Nanoplateforms of phytochemicals, such as micro-, nano-emulsion, liposomes and nanoparticles, may be one of future promising delivery systems to maintain adequate concentrations in the brain, but more preclinical studies will be required.

Recently, bidirectional communication between the gut and the brain has been suggested in PD, AD, anxiety, depression, attention-deficit hypersensitivity disorder (ADHD) and autisms spectrum disorders (ASDs) (Cryan et al 2019). Inside the gastrointestinal tract, a complex ecological community called gut microbiota exists, which forms a permanent symbiotic relationship and have a wide range of physiological functions, including digestion, growth and self-defense. Microbiota interacts with the central nervous system and immune system, including the endocrine [the hypothalamic–pituitary–adrenal (HPA)] axis, immune (chemokines, cytokines), autonomic nervous system and enteric nervous system, forming microbiota-gut-brain (MGB) axis. In PD, microbiota-based therapeutic strategies have been proposed for treatment of gastrointestinal alterations, neuroinflammation and also motor symptoms (Sampson et al. 2016).

Gut microbiotas are associated with the biochemical processes of phytochemicals, especially flavonoids (quercetin, isoflavone) to become bioactive in human body. Dietary flavonoids are present in the glycoside form, and intestinal enzymes, such as β -glucosidases and lactase-phlorizin hydrolase, cleave the sugar moieties. Microbiotas cleave sugars into aglycones, such as rhamnose by α -rhamnosidases secreted by *Bifidobacterium dentium*, and diverse hydroxyl moieties are modified, such as acetylation of flavanones by gallic acid. The backbone structures of flavonoids are remodeled by microbiota. Daidzein is metabolized to equol or a ring-fission product, *O*-desmethlangolensin, both of which act as nonsteroidal estrogens (Murota et al. 2018). The metabolites are absorbed into the blood circulation and distributed. The bioavailability of most polyphenols is quite low, but colonic microflora can breakdown them into hydrocinnamic, phenylacetic and benzoic acid derivatives, which are directly absorbed via the colon. Many metabolites are detected in the human bloodstream, but in the brain only a few metabolites, such as gallic acid and its dimerized ellagic acid and their metabolites, are detected (Figueira et al. 2017). These metabolites modulate NF- κ B pathway, attenuate neuroinflammation, and protect endothelial and neuronal cells in vitro in BBB model. Microbiota-generated 3-hydroxybenzoic acid (3-HBA), 3,4-dihydroxy-benzoic acid (3,4-DHBA) and related phenolic acids from dietary polyphenols modulated motor dysfunction in a *Drosophila* model of α -synucleopathy (Ho et al. 2019), and attenuated α Syn aggregation in HEK203 cells overexpressing

α Syn-A53T-CEP/YFP (Yamasaki et al. 2020). These results suggest the association of gut microbiota with the bioavailability and bioactivity of polyphenols in the brain.

Most phytochemicals have biphasic either protective or promoting functions in neurodegeneration. Bioactive polyphenols inhibit Bcl-2 and Bcl-xL, induce Bax oligomerization, downregulate NF- κ B signal pathway, induce the mPTP opening and apoptosis in cancer cells (Gogada et al. 2011). Dual functions of phytochemicals in mitochondrial death signal pathways depend on their structure, concentrations, redox activities and amphipathic properties of polyphenols and mitochondrial redox state (De Marchi et al. 2009). The narrow therapeutic window of polyphenols caused by their ambivalent functions make the beneficial effects less reproducible in clinical trials. The pharmacokinetic properties of phytochemicals should be studied in more details.

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Declarations

Conflict of interest The authors have no other relevant affiliations or financial involvement with any organization or entity with a financial interest in or financial conflict with the subject matter or materials discussed in the manuscript apart from that disclosed.

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