# CLINICAL ARTICLE

# The impact of timing of cranioplasty in patients with large cranial defects after decompressive hemicraniectomy

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# Abstract

*Background* It is unclear how soon after a decompressive hemicraniectomy that cranioplasty be safely performed in a patient in whom the ICP has been normalized. Early surgery has been associated with infection, intracerebral hematoma, and complications due to persistent or recurrent brain edema. Delayed cranioplasty of large cranial defects exposes the patient to different conditions known in the literature as the syndrome of the sinking skin flap. The purpose of this study was to investigate the hypothesis that timing of cranioplasty after decompressive hemicraniectomy influences outcome and complications.

*Methods* We retrospectively examined outcome after cranioplasty performed at <7 weeks, 7–12 weeks, and >13 weeks after craniectomy in patients with large cranial defects after decompressive hemicraniectomy in our institution between 1997 and 2008.

*Results* The time between craniectomy and cranioplasty ranged from 17 days to 4 months depending on several factors such as: the cause of decompression, infection before or after craniectomy, and skin flap concavity. The analysis of the registered postoperative complications revealed that there were no significant differences between the examined groups. The cranioplasty at <7 weeks, in the form of reimplantation of

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Neurosurgical Clinic, Klinikum Frankfurt Hoechst, Akademisches Lehrkrankenhaus der Universität Frankfurt, Starkenburgring 66, 63069 Offenbach, Germany the own skull flap, led to a GOS improvement of 78 %, at 7–12 weeks 46 % and at >13 weeks12 %, respectively. Pairwise comparisons showed that the difference between cranioplasty at <7 weeks versus 7–12 weeks or >13 weeks cranioplasty groups was statistically significant (p=0.05 and p<0.001, respectively).

*Conclusions* Our study suggests that many patients with large cranial defects after decompressive craniectomy can safely undergo cranioplasty in an early stage; direct answers to these questions of timing of cranioplasty are best addressed by prospective studies. Nevertheless, the present study provides a basis for decision-making in certain patients and for the design of future investigations.

**Keywords** Cranioplasty · Decompressive craniectomy · Hemicraniectomy · Outcome · Timing

# Abbreviations

- CI Confidence interval CSF Cerebrospinal fluid GCS Glasgow Coma Scale GOS Glasgow Outcome Scale ICH Intracerebral hemorrhage ICP Intracranial pressure OR Odds ratio
- SAH Subarachnoidal hemorrhage

# Introduction

The possible benefits of craniectomy in the therapy of brain edema due to stroke, trauma, SAH, and infection have been reported in many case series [1–5] and unequivocally established by recent, randomized, prospective clinical trials [4–7].

However, after normalization of the intracranial pressure and reversion of the brain tissue shifts, the syndrome known as the "syndrome of the sinking skin flap" [8–10] may cause neurological deterioration [11–14]. Recently, several authors proposed that a negative gradient between atmospheric and intracranial pressure, which is aggravated by changes in the CSF compartment following CSF hypovolemia, to be the mechanism of neurological deterioration after craniectomy [8, 12, 13].

Cranioplasty has been proposed to restore the disorders of CSF circulation, cerebral hemodynamics, and gradients between atmospheric and intracranial pressure [10, 12, 15, 16]. Findings from animal studies and case reports supported by a number of uncontrolled, non-randomized, prospective case series suggest a substantial benefit of cranioplasty for patients with large cranial defects [4-6]. However, many authors reported complications and morbidity after cranioplasty [17–19]. While the likelihood of complications can be stratified according to neurological, medical, and radiological factors, the timing of surgery after cranioplasty can also affect outcome [18, 20]. Early cranioplasty for extended cranial defects after decompressive craniectomy has been associated with infections [17, 21], subdural or epidural fluid collections, seizures, fixed neurologic deficits [17], recurrence of brain edema, hydrocephalus, and hemorrhage [19]. On the other hand, delay in cranioplasty exposes the patient to atmospheric and mechanic pressure of the brain and hemodynamic and metabolic impairment [16]. Moreover, large cranial defects hinder the rehabilitation process [22], are associated with prolonged periods of immobility, and could lead to increased rates of pulmonary infection and thromboembolic events. How soon after a decompressive hemicraniectomy can cranioplasty be safely performed in a patient in whom the ICP has been normalized? This study addresses this topic.

# Materials and methods

Study cohort: inclusion and exclusion criteria

We performed a retrospective cohort study among patients with extended cranial bone defects after decompressive hemicraniectomy who underwent cranioplasty between 1997 and 2008. Inclusion criteria were: (1) unilateral hemicraniectomy, (2) diameter of craniectomy defect more than 10 cm, (3) cranial reconstruction with autologous bone flap preserved by freezing, (4) primary pathology as cause for craniectomy included a) traumatic brain injury, b) subarachnoid hemorrhage, c) intracerebral hemorrhage, d) cerebral infarction, and e) infection. Exclusion criteria were: (1) bilateral craniectomy, (2) diameter of craniectomy defect equal or less than 10 cm, (3) cranioplasty materials used other than autologous bone, (4) patients with other primary pathologies than the above mentioned, such as a) pseudotumor cerebri, b) peritumoral edema, and c) venous thrombosis, and 5) patients who were treated in other hospitals.

Medical record review was used to determine the primary cause for hemicraniectomy and consciousness level before craniectomy according to GCS score. Of the 221 patients who were eligible for the study, we excluded 14 patients because of invalid or missing data about time of craniectomy, and seven patients were lost to follow-up.

### Timing of cranioplasty

We defined time-to-cranioplasty as the time from decompressive hemicraniectomy at our institutes to the time when surgery to repair the cranial defect was performed. Time-to-cranioplasty is reported in 7-day intervals. We compared patient groups having cranioplasty at <7 weeks after craniectomy, with those having surgery at 7–12 weeks and at >13 weeks. Delays in treatment due to administrative or scheduling conflict were excluded from the study. The study was based on different policies of treatment represented from two surgeons at the same institute who decided the timing of cranioplasty according to their preference. Allocation to early or late cranioplasty was not randomized.

# Data collection

Data were collected by retrospective chart review using standardized, pretested forms. The characteristics of patients with large craniectomy defects admitting cranioplasty are shown in Table 1. Age, sex, and year of admission were noted, preoperative physical examination (GCS score, vital signs, cardiac examination, mental status, motor strength, general condition), electrocardiogram, chest radiograph, blood levels of glucose, coagulation tests), cause of craniectomy, preoperative status as defined by the American Society of Anaesthesiologist's physical status classification system and postoperative complications. The craniectomy flap characteristics were administered before cranioplasty (side of craniectomy) and intensity of the depth of the craniectomy flap was classified in 3 grades (Figs. 1 and 2).

The following comorbid conditions were recorded: diabetes mellitus, colonization with multiresistant bacteria, history of cardiovascular disease, thromboembolism, valvular heart disease, arrhythmia, chronic pulmonary disease, malignancy, and hypertension. Cardiovascular disease was defined as history of myocardial infarction, angina or ischemic chest pain, coronary artery disease, heart failure, or peripheral vascular disease. Chronic pulmonary disease was defined as a history of chronic obstructive lung disease, asthma, or other chronic lung disease.

Medical record review was used to determine the original primary outcome at 14 days post-craniectomy and at the time

Table 1 Patient characteristics

Timing of cranioplasty	<7 weeks n=83	7-12 weeks $n=64$	>12 weeks $n=53$
Age in years, median (range)	52 (1-70)	54 (1-79)	55 (2-66)
Sex (female), <i>n</i> (%)	33 (40)	29 (45)	28 (53)
Time to cranioplasty, median (range)	5 (3-6)	9 (7–12)	22 (13-56)
Medical history and condition on admission, n			
Cardiovascular disease	0	1	1
Diabetes	2	2	0
Thromboembolism	1	1	1
Asthma or chronic obstructive pulmonary disease	1	1	1
Colonization with multiresistant bacteria	4	3	4
Cause of craniectomy, $n$ (%)			
Trauma	26 (31)	13 (21)	12 (23)
Infarction	8 (10)	13 (21)	16 (30)
SAH	35 (42)	24 (36)	16 (30)
ICH	14 (17)	14 (22)	2 (4)
Infection	0	0	7 (13)
ASA classification			
1 or 2	75	58	49
3	8	6	4
4 or 5	0	0	0
GCS score initially, median	6	5	5
GCS score at 14 days post-insult, median	12	11	11
GOS score at 14 days post-insult, median	3	3	3
GCS score precranioplasty, median	13	13	13
GOS score precranioplasty, median	3	3	3
Follow-up in months, median (range)	43 (11-49)	42 (12–52)	43 (9–60)

of cranioplasty. These data formed the basis for comparison of outcome after surgery. The median follow-up of the cohort was 43 months (Table 1). We used a self-constructed questionnaire and interviews were conducted by phone in order to assess the final outcome. Outcome analysis was performed using the Glasgow Outcome Scale (GOS).

We evaluated postoperative morbidity and mortality, including serious bacterial infections, new brain infarction and edema, subdural or epidural fluid collections, seizures, intracerebral hematoma, myocardial infarction, and thromboembolism up to the time of discharge or 30 days after surgery. Serious bacterial infections included local infections such as deep wound infections, osteomyelitis, intracerebral abscess, subdural empyema, and systemic infections such as pneumonia and bacteriemia. Deep wound infections and osteomyelitis were identified by neurosurgeons; intracerebral abscess and subdural empyema were identified by a neuroradiologist. Pneumonia required either a chest radiograph consistent with an infiltrate followed by antibiotic treatment, or a physician diagnosis of pneumonia with subsequent antibiotic treatment. Bacteriemia was defined as a positive blood culture; two separate positive cultures were required for organisms that are usually considered contaminants (e.g., staphylococcus epidermidis). A neuroradiologist's diagnosis identified those with postoperative brain edema and subdural or intracerebral hematoma.

#### Statistical analysis

Categorical variables were compared using the Chi-squared statistic (or Fisher's exact test when the expected values were less than five). For continuous variables, we used the Mann–Whitney U test, as appropriate. All analyses were performed using BiAS software.

# Results

The median age of the cohort was 53 years (range 6 months to 79 years) and 45 % were women. Stratified by underlying pathological condition, 75 (32 %) underwent decompressive craniectomy due to SAH, 51 (26 %)

Fig. 1 The difference in GOS in the different timing groups, 14 days after insult, prior to, and 1 year after cranioplasty. *Asterisks* denote significant difference in outcome between cranioplasty at <7 weeks versus 7–12 weeks and *daggers* denote significant difference between cranioplasty at <7 weeks versus >13 weeks

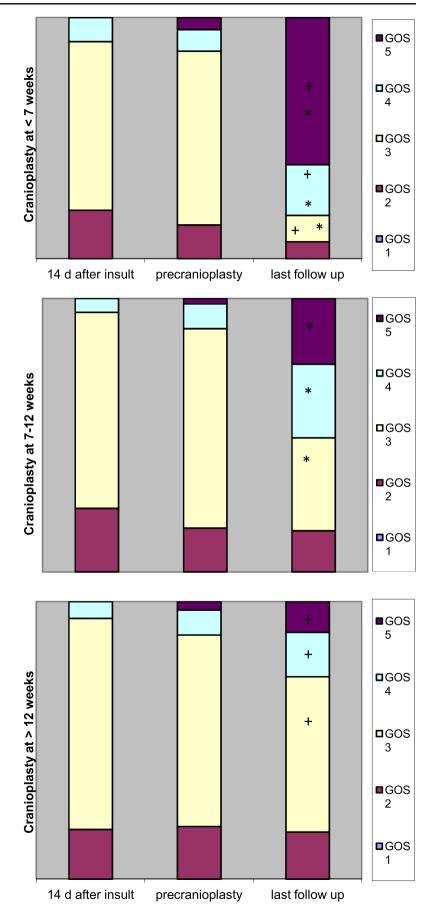
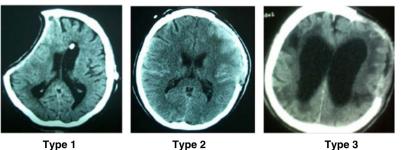


Fig. 2 Three types of craniectomy defects on CT



Significant excavation of the craniectomy flap.

Craniectomy flap in the Cra same level with the margins of the cranial vault.

Type 3 Craniectomy flap over cranial vault margins.

due to traumatic brain injury, 37 (19 %) due to cerebral infarction, 30 (16 %) due to ICH, and seven (4 %) due to infection. In the overall sample, the median time-to-cranioplasty was 10 weeks, and the median follow-up was 43 months, the minimum was less than 1 year, and the maximum was 5 years.

# Factors that delayed time-to-cranioplasty

The time between craniectomy and cranioplasty ranged from 17 days to 14 months, depending on several factors such as: the cause of decompression, infection before or after craniectomy, and skin flap concavity. Out of the 53 (27 %) patients in whom cranioplasty was delayed beyond the 13th week, seven patients (13 %) had an infection as the primary cause of craniectomy and 41 patients (77 %) had a type 3 craniectomy flap with protrusion (Fig. 2). For 147 patients (74 %) in whom cranioplasty was performed earlier than the 13th week, in none of the cases was infection the primary cause for craniectomy, and only 24 patients (16 %) had a type 3 craniectomy flap (p<0.001).

#### **Perioperative morbidity**

Nine patients (5 %) experienced a postoperative complication other than a local infection (one septic complication, one pneumonia, two hemorrhages, two subdural hematomas, one subdural fluid collection, and two brain edema). Timing of cranioplasty (comparing cranioplasty at <7 weeks with cranioplasty at 7–12 weeks and >12 weeks) was not associated with increased risk of postoperative complications (OR: 1.1; 95 % CI: 0.8 to 2.4), and in particular with the development of serious systemic bacterial infections (OR: 1.4; 95 % CI:0.7 to 1.8). There was no statistical significance in the rate of complication based on sex (p=0.999), patient age (p=0.889), and initial indication for craniectomy (p=0.756).

# Association between timing of cranioplasty and local infection rate

Twenty-one patients (10 %) experienced a local infection (12 deep-wound infections, six osteomyelitis, and three subdural empyemas). Timing of cranioplasty was not associated with significantly increased deep-wound infections rates, nor was any evidence of an interaction among timing of surgery and mortality. However, in bivariate analyses, cranioplasty at <7 weeks and several measures of comorbid conditions such

Table 2 Cranioplasty local infectious rates

Variables		No. of patients	Complications	p value
Time-to- cranioplasty				<i>p</i> =0.998
I III	<7 weeks	83	9	
	7-12 weeks	64	6	
	>12 weeks	53	6	
Group with risk factors				<i>p</i> =0.073 *
	<7 weeks	7	5	
	7-12 weeks	9	1	
	>12 weeks	8	1	
Age (years)				p=0.868
	0–30	30	4	
	30-60	127	11	
	>60	43	6	
Sex				<i>p</i> =0.816
	F	90	10	
	М	110	11	
Cause for craniectomy				<i>p</i> =0.999
	Trauma	51	5	
	SAH	75	7	
	ICB	30	4	
	Infarction	37	4	
	Infection	7	1	

as diabetes, thromboembolism, and colonization with multiresistant bacteria were independently associated with a trend of increased infection rate (p=0.073) (Table 2).

# Association between timing of cranioplasty and functional outcome

Timing of cranioplasty was associated with significantly better neurologic outcome. The functional outcome (GOS) was better in the cranioplasty at <7 weeks and at 7–12 weeks group than in the cranioplasty at >12 weeks (median score 4 versus 3) (Fig. 1); the odds ratio for a worse functional outcome (GOS $\leq$ 3) in the >12 weeks group was 7.45; 95 % CI, 3.85 to 14.39.

Among the patient group with cranioplasty at <7 weeks and at 7–12 weeks, GOS was 1.7 times greater than in those at >12 weeks (Fig. 1). The cranioplasty at <7 weeks led to a GOS improvement of 78 %, cranioplasty at 7–12 weeks 46 %, and cranioplasty at >12 weeks 12 %, respectively. Pairwise comparisons showed that the difference between <7 weeks versus 7–12 weeks or >12 weeks cranioplasty groups correlated to the precranioplasty GOS score, was statistically significant (p=0.05 and p<0.001, respectively). After adjustment for the baseline parameter of the GOS score at 14 days post-insult, the overall effect of cranioplasty on outcome did not change, however, the between-group differences were no longer significant (p=0.586).

# Discussion

We evaluated the effect of timing of cranioplasty on functional outcome in patients who underwent extended decompressive hemicraniectomy. We found similar results in the analyses of complication rates. There was a significant improvement in neurologic outcome in cranioplasty at <7 weeks and at 7–12 weeks. The shorter the time-to-surgery, the greater the outcome measure was observed.

#### Time to cranioplasty

No prospective, randomized study has been undertaken to determine the exact timing of cranioplasty in patients with large cranial defects. There is a perception that the standard of care after decompressive craniectomy is to wait at least 6 weeks before cranioplasty can be done, although this is controversial. Some authors tend to recommend that cranioplasty be performed at the latest possible time. Others suggested surgery be postponed even further for 3–6 months [19, 23]. Together, these reports formed the basis for delaying cranioplasty after completion of rehabilitation. These observations were reinforced by a

retrospective report examining the results of cranioplasty (patients undergoing cranioplasty earlier than 1 year after penetrating head injury showed increased morbidity) [24]. However, many case series showed contradictory results [25]. Many of these studies were relatively small and may have insufficient power. Experimental studies reported an improvement in cerebral blood flow and metabolism [10, 26–28]. These concerns of late cranioplasty in patients with big cranial defects were reinforced by two additional reports [22, 29]. However, these studies did not determine at what time cranioplasty is most appropriate after intracranial pressure has recovered. In our study, patients were divided into three groups depending on the timing of cranioplasty, at <7 weeks, 7-12 weeks, and >13 weeks. Although time-tosurgery was based on the surgeon's preference and individual patient's recovery, we found significant factors for delaying cranioplasty beyond the 13 week after initial surgery such as a) infection as the primary cause of craniectomy (p < 0.001) and b) type 3 craniectomy flap with protrusion (p < 0.001).

# Neurologic outcome

We hypothesized that time-to-cranioplasty was associated with better neurologic outcome if it was performed early. Prolonged neurologic improvement and mental disorders can result from decreased cerebral blood flow and disturbed brain metabolism due to craniectomy [2, 14, 30]. Cranioplasty has a markedly positive influence on postural blood flow, cerebrovascular reserve capacity, and cerebral glucose metabolism [10]. It seems logical that longer times-to-cranioplasty would promote the neurologic compromise associated with the syndrome of the sinking skin flap [4, 11, 13, 14]. In our study, patients with big cranial defects after decompressive hemicraniectomy and altered consciousness who underwent cranioplasty at <7 weeks or at 7–12 weeks fared considerably better than those patients undergoing later surgery. Ten of the 15 patients in the <7 weeks and 7-12 weeks cranioplasty groups experienced an improvement in neurological condition; on the other hand, only ten of 75 patients did the same in the >13 weeks cranioplasty group. Furthermore, in <7 weeks and 7-12 weeks cranioplasty groups, GOS was 1.7 times greater than in those with delayed surgery >13 weeks. However, even after post hoc adjustment for the baseline characteristics (GOS at 14 days post-insult); the overall favorable effect of cranioplasty did not change, although the between-group GOS differences were no longer significant. It is unlikely that our findings were due to an increased rate of survival of severely damaged patients in the late >13 weeks cranioplasty group because the rate of vegetative state patients at baseline was similar in the three study groups. However, the decision for the time to-cranioplasty was based on the different preference of two surgeons and no randomization was made. Although we found no difference in preoperative neurologic condition between the groups (Fig. 1), these findings may be influenced from a bias in the selection criteria for the timing of cranioplasty. For patients receiving early cranioplasty the question always arises, whether craniectomy had been indicated in the first place. Recently, a multicenter randomized controlled trial by Cooper and colleagues indicated that decompressive bilateral craniectomy may be associated with a worse functional outcome in patients with diffuse traumatic brain injury, although surgery can immediately and constantly reduce intracranial pressure [31]. Additional studies are needed to provide sound evidence on the role of decompressive craniectomy and timing of cranioplasty.

# Complications

We decided to exclude patients with bilateral frontotemporoparietal craniectomies from this retrospective study on the light of reports suggesting that the bilateral approach may have more complications [32]. The overall complication rate was 15 %, which is similar to those recently reported in the literature [17]. Early cranioplasty and several comorbid conditions such as diabetes, colonization with multiresistant bacteria, and thromboembolism appear to increase the risk of deep-wound infections and osteomyelitis. Extrapolation from these observations suggests that these individuals with multiple comorbid conditions would tend to be at risk for increased postoperative infections if cranioplasty is performed early (p=0.073). Preoperative blood glucose setting, anesthesia protocols, and perioperative medical management could minimize this risk. Further studies should address this topic. Cerebral hemorrhage subsequent to cranioplasty is a well known, although uncommon, complication [32]. In our study, we reported two patients with a postoperative hemorrhage from a series of 200 patients. In one patient, surgery was performed at <7 weeks and in the other one 7-12 weeks. Both hemorrhagic complications took place immediately after surgery. This complication may be attributed to postoperative hyperperfusion in an area of low preoperative perfusion pressure, which resulted in intracerebral hemorrhage.

# Limitations

There are several potential limitations of this study. First, this is not a randomized trial and it is possible that we did not adjust for other factors associated with morbidity in these patients. Second, it is possible that our chart review did not detect a possible reason for delayed cranioplasty in some patients. Third, the cause of craniectomy varied among the patients and some patient groups had a smaller number of patients making estimations difficult. Lastly, the decision for craniectomy and cranioplasty depended on the clinical judgement of the individual surgeon and the surgical technique was not standardized, which could be a confounding factor for the observed results. Only prospective randomized trials can adequately address these issues. Nevertheless, this study should provide data for decision-making in the timing of cranioplasty in certain patients with large cranial defects after decompressive hemicraniectomy as well as for the design of future studies to address these unanswered questions.

# Conclusions

The results of our study imply that early cranioplasty may contribute to better neurologic outcome as performing cranioplasty as soon as brain edema had normalized did not appear to raise infection rates. While early cranioplasty and several comorbid conditions appear to increase the risk of deepwound infections and osteomyelitis, this can be averted with aggressive preventive care. We advise optimizing selection and the patient's medical conditions before surgery.

Conflicts of interest None.

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