



# On residually finite groups satisfying an Engel type identity

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## Abstract

Let  $n, q$  be positive integers. We show that if  $G$  is a finitely generated residually finite group satisfying the identity  $[x, {}_n y^q] \equiv 1$ , then there exists a function  $f(n)$  such that  $G$  has a nilpotent subgroup of finite index of class at most  $f(n)$ . We also extend this result to locally graded groups.

**Keywords** Engel element · Engel groups · Residually finite groups · Locally graded groups · Lie algebras

**Mathematics Subject Classification** 20F45 · 20E26 · 20F40

## 1 Introduction

Let  $n$  be a positive integer. We say that a group  $G$  is (left)  $n$ -Engel if it satisfies the identity  $[y, {}_n x] \equiv 1$ , where the word  $[x, {}_n y]$  is defined inductively by the rules

$$[x, {}_1 y] = x^{-1}y^{-1}xy, \quad [x, {}_n y] = [[x, {}_{n-1} y], y] \quad \text{for all } n \geq 2.$$

A important theorem of Wilson [13, Theorem 2] says that finitely generated residually finite  $n$ -Engel groups are nilpotent. More specific properties of residually finite  $n$ -Engel groups can be found for example in a theorem of Burns and Medvedev (quoted below as Theorem 5) stating that there exist functions  $c(n)$  and  $e(n)$  such that any residually finite  $n$ -Engel group  $G$  has a nilpotent normal subgroup  $N$  of class at most  $c(n)$  such that the quotient group  $G/N$  has exponent dividing  $e(n)$ . The interested reader is referred to the survey [12] and references therein for further results on finite and

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Communicated by John S. Wilson.

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Dedicated to Pavel Shumyatsky on the occasion of his 60th birthday.

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residually finite Engel groups. The purpose of the present article is to provide the proof for the following theorem.

**Theorem 1** *Let  $G$  be a finitely generated residually finite group satisfying the identity  $[x,{}_n y^q] \equiv 1$ . Then there exists a function  $f(n)$  such that  $G$  has a nilpotent subgroup of finite index of class at most  $f(n)$ .*

A group is called locally graded if every non-trivial finitely generated subgroup has a proper subgroup of finite index. The class of locally graded groups contains locally (soluble-by-finite) groups as well as residually finite groups. We can extend the Theorem 1 to the class of locally graded groups.

**Corollary 1** *Let  $G$  be a finitely generated locally graded group satisfying the identity  $[x,{}_n y^q] \equiv 1$ . Then there exists a function  $f(n)$  such that  $G$  has a nilpotent subgroup of finite index of class at most  $f(n)$ .*

In the next section we describe the Lie-theoretic machinery that will be used in the proof of Theorem 1. The proof of the theorem and of the corollary is given in Sect. 3.

## 2 About Lie algebras

Let  $L$  be a Lie algebra over a field  $K$  and  $X$  a subset of  $L$ . By a commutator in elements of  $X$  we mean any element of  $L$  that can be obtained as a Lie product of elements of  $X$  with some system of brackets. If  $x_1, \dots, x_k, x, y$  are elements of  $L$ , we define inductively

$$[x_1] = x_1; [x_1, \dots, x_k] = [[x_1, \dots, x_{k-1}], x_k]$$

and  $[x,{}_0 y] = x$ ;  $[x,{}_m y] = [[x,{}_{m-1} y], y]$ , for all positive integers  $k, m$ . As usual, we say that an element  $a \in L$  is ad-nilpotent if there exists a positive integer  $n$  such that  $[x,{}_n a] = 0$  for all  $x \in L$ . Denote by  $F$  the free Lie algebra over  $K$  on countably many free generators  $x_1, x_2, \dots$ . Let  $f = f(x_1, x_2, \dots, x_n)$  be a non-zero element of  $F$ . The algebra  $L$  is said to satisfy the identity  $f \equiv 0$  if  $f(l_1, l_2, \dots, l_n) = 0$  for any  $l_1, l_2, \dots, l_n \in L$ .

The next theorem represents the most general form of the Lie-theoretical part of the solution of the Restricted Burnside Problem [15,17,18]. It was announced by Zelmanov [15]. A detailed proof can be found in [18].

**Theorem 2** *Let  $L$  be a Lie algebra over a field and suppose that  $L$  satisfies a polynomial identity. If  $L$  can be generated by a finite set  $X$  such that every commutator in elements of  $X$  is ad-nilpotent, then  $L$  is nilpotent.*

### 2.1 Associating a Lie ring to a group

Let  $G$  be a group. A series of subgroups

$$G = G_1 \geq G_2 \geq \dots \tag{*}$$

is called an  $N$ -series if it satisfies  $[G_i, G_j] \leq G_{i+j}$  for all  $i, j \geq 1$ . Obviously any  $N$ -series is central, i.e.  $G_i/G_{i+1} \leq Z(G/G_{i+1})$  for any  $i$ . Let  $p$  be a prime. An  $N$ -series is called  $N_p$ -series if  $G_i^p \leq G_{pi}$  for all  $i$ . Given an  $N$ -series  $(*)$ , let  $L^*(G)$  be the direct sum of the abelian groups  $L_i^* = G_i/G_{i+1}$ , written additively. Commutation in  $G$  induces a binary operation  $[\cdot, \cdot]$  in  $L^*(G)$ . For homogeneous elements  $x \in L_i^*, y \in L_j^*$  the operation is defined by

$$[xG_{i+1}, yG_{j+1}] = [x, y]G_{i+j+1} \in L_{i+j}^*$$

and extended to arbitrary elements of  $L^*(G)$  by linearity. It is easy to check that the operation is well-defined and that  $L^*(G)$  with the operations  $+$  and  $[\cdot, \cdot]$  is a Lie ring. If all quotients  $G_i/G_{i+1}$  of an  $N$ -series  $(*)$  have prime exponent  $p$  then  $L^*(G)$  can be viewed as a Lie algebra over the field with  $p$  elements. In the important case where the series  $(*)$  is the  $p$ -dimension central series (also known under the name of Zassenhaus-Jennings-Lazard series) of  $G$  we write  $D_i = D_i(G) = \prod_{j, p^k \geq i} \gamma_j(G)^{p^k}$  for the  $i$ -th term of the series of  $G$ ,  $L(G)$  for the corresponding associated Lie algebra over the field with  $p$  elements and  $L_p(G)$  for the subalgebra generated by the first homogeneous component  $D_1/D_2$  in  $L(G)$ . Observe that the  $p$ -dimension central series is an  $N_p$ -series (see [5, p. 250] for details).

The nilpotency of  $L_p(G)$  has strong influence in the structure of a finitely generated pro- $p$  group  $G$ . The proof of the following theorem can be found in [4, 1.(k) and 1.(o) in Interlude A].

**Theorem 3** *Let  $G$  be a finitely generated pro- $p$  group. If  $L_p(G)$  is nilpotent, then  $G$  is  $p$ -adic analytic.*

Let  $x \in G$  and let  $i = i(x)$  be the largest positive integer such that  $x \in D_i$  (here,  $D_i$  is a term of the  $p$ -dimensional central series to  $G$ ). We denote by  $\tilde{x}$  the element  $x \in D_{i+1} \in L(G)$ . We now quote two results providing sufficient conditions for  $\tilde{x}$  to be ad-nilpotent. The following lemma was established in [6, p. 131].

**Lemma 1** *For any  $x \in G$  we have  $(ad \tilde{x})^p = ad(\tilde{x}^p)$ .*

**Corollary 2** *Let  $x$  be an element of a group  $G$  for which there exists a positive integer  $m$  such that  $x^m$  is  $n$ -Engel. Then  $\tilde{x}$  is ad-nilpotent.*

The following theorem is a particular case of a result that was established by Wilson and Zelmanov in [14].

**Theorem 4** *Let  $G$  be a group satisfying an identity. Then for each prime number  $p$  the Lie algebra  $L_p(G)$  satisfies a polynomial identity.*

### 3 Proof of the main theorem

The following useful result is a consequence of [13, Lemma 2.1] (see also [11, Lemma 3.5] for details).

**Lemma 2** *Let  $G$  be a finitely generated residually finite-nilpotent group. For each prime  $p$  let  $J_p$  be the intersection of all normal subgroups of  $G$  of finite  $p$ -power index. If  $G/J_p$  has a nilpotent subgroup of finite index of class at most  $c$  for each  $p$ , then  $G$  also has a nilpotent subgroup of finite index of class at most  $c$ .*

**Proof** It follows from proof of [11, Lemma 3.5] that there exists a finite set of primes  $\pi$  such that  $G$  embeds in the direct product  $\prod_{p \in \pi} G/J_p$ . We will identify  $G$  with its images in direct product. By hypothesis, for any  $p \in \pi$ ,  $G/J_p$  contains a nilpotent subgroup of finite index  $H_p$  with class at most  $c$ . Set  $H = \cap_{p \in \pi} H_p$ . Thus,  $G \cap H$  has finite index in  $G$  and has nilpotency class at most  $c$ , which completes the proof.

Recall that a group is locally graded if every non-trivial finitely generated subgroup has a proper subgroup of finite index. Note that the quotient of a locally graded group need not be locally graded, since free groups are locally graded (see [10, 6.1.9]), but no finitely generated infinite simple group is locally graded. However, the following results give a sufficient conditions for a quotient to be locally graded (see [7] for details).

**Lemma 3** *Let  $G$  be a locally graded group and  $N$  a normal locally nilpotent subgroup of  $G$ . Then  $G/N$  is locally graded.*

Let  $p$  be a prime and  $q$  be a positive integer. A finite  $p$ -group  $G$  is said to be powerful if and only if  $[G, G] \leq G^p$  for  $p \neq 2$  (or  $[G, G] \leq G^4$  for  $p = 2$ ), where  $G^q$  denotes the subgroup of  $G$  generated by all  $q$ th powers. While considering a pro- $p$  group  $G$  we shall be interested only in closed subgroups. So by the commutator subgroup  $G' = [G, G]$  we mean the closed commutator subgroup,  $G^q$  means the closed subgroup generated by the  $q$ th powers. Similarly to powerful finite  $p$ -groups, we may define the powerful pro- $p$  groups. For more details we refer the reader to [7, Chapters 2 and 3]. In [1] the following useful result for powerful finite  $n$ -Engel  $p$ -group was established.

**Lemma 4** *There exists a function  $s(n)$  such that any powerful finite  $n$ -Engel  $p$ -group is nilpotent of class at most  $s(n)$ .*

The proof of Theorem 1 will requires the following lemma.

**Lemma 5** *Let  $s(n)$  be as in Lemma 4. If  $G$  is a finitely generated powerful pro- $p$  group satisfying the identity  $[x, {}_n y^q] \equiv 1$ , then  $G^q$  has nilpotency class at most  $s(n)$ .*

**Proof** Since  $G$  satisfies the identity  $[x, {}_n y^q] \equiv 1$ , we can deduce from [4, Corollary 3.5] that  $H = G^q = \{x^q \mid x \in G\}$  is a powerful  $n$ -Engel pro- $p$  group. According to [4, Corollary 3.3],  $H$  is the inverse limit of an inverse system of powerful finite  $p$ -groups  $H_\lambda$ . Lemma 4 implies that any group  $H_\lambda$  has class at most  $s(n)$ , and so,  $H$  has class at most  $s(n)$  as well. Finally, by a result due to Zelmanov [16, Theorem 1] saying that any torsion profinite group is locally finite we get that the quotient group  $G/H$  is finite. This completes the proof.  $\square$

The proof of Theorem 1 will also require the following result, due to Burns and Medvedev [3].

**Theorem 5** *There exist functions  $c(n)$  and  $e(n)$  such that any residually finite  $n$ -Engel group  $G$  has a nilpotent normal subgroup  $N$  of class at most  $c(n)$  such that  $G/N$  has exponent dividing  $e(n)$ .* □

We are now ready to embark on the proof of our main result.

**Proof of Theorem 1** For any positive integer  $n$  let  $s(n)$  and  $c(n)$  be as in Lemma 4 and Theorem 5, respectively. Set  $f(n) = \max\{s(n), c(n)\}$ . Since  $G$  satisfies the identity  $[x,{}_n y^q] \equiv 1$  we can deduce from [2, Theorem A] that  $H = G^q$  is locally nilpotent. According to Lemma 3,  $G/H$  is locally graded. By Zelmanov’s solution of the Restricted Burnside Problem [15,17,18], locally graded groups of finite exponent are locally finite (see for example [8, Theorem 1]), and so  $G/H$  is finite. Thus  $H$  is finitely generated and so it is nilpotent.

By Lemma 2, we can assume that  $H$  is residually (finite  $p$ -group) for some prime  $p$ . If  $p$  does not divide  $q$ , then  $H$  is finitely generated residually finite  $n$ -Engel group. By Theorem 5,  $H$  contains a nilpotent normal subgroup  $N$  of class at most  $f(n)$  such that the quotient group  $G/N$  has exponent dividing  $e(n)$ . Thus, we can see that  $G/N$  is finite. Thereby, in what follows we can assume that  $H$  is residually (finite  $p$ -group), where  $p$  divides  $q$ .

Set  $H = \langle h_1, \dots, h_t \rangle$ . Let  $L = L_p(H)$  be the Lie algebra associated with the  $p$ -dimensional central series of  $H$ . Then  $L$  is generated by  $\tilde{h}_i = h_i D_2, i = 1, 2, \dots, t$ . Let  $\tilde{h}$  be any Lie-commutator in  $\tilde{h}_i$  and  $h$  be the group-commutator in  $h_i$  having the same system of brackets as  $\tilde{h}$ . Since for any group commutator  $h$  in  $h_1 \dots, h_t$  we have that  $h^q$  is  $n$ -Engel, Corollary 2 shows that any Lie commutator in  $\tilde{h}_1 \dots, \tilde{h}_t$  is ad-nilpotent. Since  $H$  satisfies the identity  $[x,{}_n y^q] \equiv 1$ , by Theorem 4,  $L$  satisfies some non-trivial polynomial identity. According to Theorem 2  $L$  is nilpotent.

Let  $\hat{H}$  be the pro- $p$  completion of  $H$ , that is, the inverse limit of all quotients of  $H$  which are finite  $p$ -groups. Notice that  $\hat{H}$  is finitely generated, being  $H$  finitely generated.

Since the finite  $p$ -quotients of  $H$  are the same as the finite  $p$ -quotients of  $\hat{H}$  by (a) and (d) of [9, Proposition 3.2.2], we get that  $L_p(\hat{H}) = L$ . Hence,  $L_p(\hat{H})$  is nilpotent and so,  $\hat{H}$  is a  $p$ -adic analytic group by Theorem 3.

By [4, 1.(a) and 1.(o) in Interlude A],  $\hat{H}$  is virtually powerful, that is,  $\hat{H}$  has a powerful subgroup  $K$  of finite index. By Lemma 5,  $K^q$  has class at most  $f(n)$ . Furthermore, it follows from [16, Theorem 1] that group  $K/K^q$  is finite. Finally, since  $H$  is residually- $p$ , it embeds in  $\hat{H}$ . Thus,  $H \cap K^q$  is a nilpotent subgroup of finite index in  $G$  of class at most  $f(n)$ . This completes the proof. □

**Proof of Corollary 1** Let  $f(n)$  be as in Theorem 1. It follows from [2, Theorem C] that  $H = G^q$  is locally nilpotent. By Lemma 3,  $G/H$  is a locally graded group. By Zelmanov’s solution of the Restricted Burnside Problem, locally graded groups of finite exponent are locally finite. Thus,  $G/H$  is finite and so,  $H$  is a finitely generated nilpotent group. Since polycyclic groups are residually finite [10, 5.4.17], we can deduce from Theorem 1 that  $H$  contains a subgroup of finite index and of class at most  $f(n)$ . The proof is complete.

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