ORIGINAL ARTICLE

Prognostic signifcance of propofol‑based intravenous anesthesia in early‑stage lung cancer surgery

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Abstract

Purpose The purpose of this study was to assess whether the anesthetic type is associated with the prognosis of pathological stage I non-small cell lung cancer (NSCLC).

Methods Clinicopathological data from 431 consecutive patients who underwent lobectomy for NSCLC between 2010 and 2016 were collected. Patients were classifed into groups according to the type of anesthesia: propofol-based total intravenous anesthesia (TIVA) or inhalation anesthesia (INHA). We investigated the prognostic diferences between these two groups. **Results** A total of 72 patients in the TIVA group and 158 patients in the INHA group were eligible for the analysis. Recurrence was observed in 4 (5.6%) patients in the TIVA group and 19 (12.0%) patients in the INHA group ($P=0.159$), and all-cause death occurred in 4 (5.6%) patients in the TIVA group and 24 (15.2%) patients in the INHA group ($P=0.049$). The 5-year recurrence-free survival (RFS) and overall survival rates of the TIVA/INHA groups were 91.7%/77.4% and 94.4%/83.5%, respectively. TIVA was associated with a signifcantly better prognosis. A multivariable analysis of factors associated with RFS revealed that the type of anesthesia as a significant prognostic factor $(P=0.047)$.

Conclusion Propofol-based TIVA was associated with a better prognosis in comparison to INHA in patients with surgically resected pathological stage I NSCLC.

Keywords Lung cancer · Surgery · Prognosis · Propofol · Total intravenous anesthesia

Introduction

Although surgical resection is the mainstay of therapy for potentially curable non-small cell lung cancer (NSCLC), postoperative recurrence even occurs in patients with early-stage disease; the recurrence rate has been reported to be 18.4% after lobectomy for patients with clinical T1 $(\leq 3$ cm) N0 NSCLC [[1\]](#page-7-0). The 5-year overall survival (OS) rates according to pathological (p-) stage (UICC TNM

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classifcation, 8th edition) were reported to be 77–92% in patients with stage IA disease and 68% in those with stage IB disease [\[2](#page-7-1)]. Even in p-stage I patients, approximately 20% of patients develop recurrence after surgery [\[3,](#page-7-2) [4](#page-7-3)]. Therefore, the development or improvement of surgical techniques and/or perioperative management is needed to improve the prognosis of patients with p-stage I NSCLC.

In recent years, remarkable attention has been paid to the impact of the anesthetic choice on the cancer prognosis [[5–](#page-7-4)[9](#page-7-5)]. A large retrospective analysis found that propofolbased total intravenous anesthesia (TIVA) was associated with a better prognosis in comparison to inhalation anesthesia (INHA) in patients undergoing surgical treatment for solid malignant tumors [[5](#page-7-4)], and similar results have been observed in patients with esophageal, gastric, colorectal, and breast cancers [\[6](#page-7-6)[–9](#page-7-5)]. In vitro, animal model and clinical studies have supported these results; briefy, propofol appears to suppress tumor proliferation and invasiveness and retain antimetastatic host defenses, such as natural killer (NK) cells and cell-mediated immunity [\[10–](#page-7-7)[15\]](#page-7-8).

With respect to surgically resected NSCLC, Oh et al. reported that TIVA was associated with a lack of prognostic beneft in comparison to INHA [[16](#page-7-9)]. Although the study included $>25\%$ patients with lymph node metastasis, the authors did not assess the diferent impacts of TIVA on the cancer prognosis according to p-stage. It is expected that any favorable effects of TIVA were largely offset by the poor prognosis in advanced NSCLC patients. The heterogeneity of these patients might make it difficult to independently evaluate the impact of propofol on the prognosis. Thus, we hypothesized that propofol-based TIVA may be associated with a better prognosis in patients with p-stage I NSCLC. The aim of this study is to assess the postoperative recurrence and survival rates according to anesthetic choice among p-stage I patients who underwent complete resection for NSCLC in a single-institution retrospective cohort study.

Methods

Ethical approval

The ethics committees of our institute approved this study (No. 154, 2020) and waived the need for informed consent from patients because all patient data remained anonymous.

Study design and patient selection

This is a single-institution retrospective cohort study. We used our institution's NSCLC database, which contained information about clinicopathological, anesthetic and surgical factors, clinical outcomes, and adjuvant treatment. The pathological TNM classifcation was described according

to the 8th edition of the UICC Staging Manual [[17](#page-7-10)]. We reviewed the data of consecutive patients who underwent lobectomy with complete resection at the Yamagata Prefectural Central Hospital between January 2010 and December 2016 ($n = 431$). Patients with metachronous lung cancer, those who received chemotherapy or radiotherapy prior to surgery, those with p-stage II or III disease, and those with adenocarcinoma in situ or minimally invasive adenocarcinoma were excluded. After the application of the exclusion criteria, 230 p-stage I patients remained and were included in the present analysis (Fig. [1\)](#page-1-0).

Surgical procedure and anesthesia technique

We usually conducted lobectomy and mediastinal lymph node dissection as a treatment for NSCLC with a minimally invasive approach; posterolateral incision sparing anterior serratus muscle. Lobectomy combined with wedge resection and bilobectomy were considered lobectomy procedures in this study.

Anesthesia was induced with fentanyl and propofol and maintained using propofol or inhalation agents. Patients were divided into TIVA (continuous propofol infusion using target-controlled infusion) and INHA (sevofurane or desfurane) groups. Patients who maintained the use of both inhalation agents and propofol were assigned to the INHA group. The anesthetic choice was left to the discretion of anesthetists during the study period. In both groups, intravenous remifentanil and/or fentanyl continuous infusion during surgery were administered. Patients in both groups usually received continuous regional anesthesia, such as epidural anesthesia or thoracic paravertebral block and patientcontrolled intravenous analgesia with fentanyl for 1–2 days.

Fig. 1 The patient selec-Inclusion criteria: tion algorithm. (TIVA=total Patients who were underwent lobectomy with complete resection for primary intravenous anesthesia; non-small cell lung carcinoma from January 2010 to December 2016 (n = 431). INHA=inhalation anesthesia.)**Exclusion criteria:** 1. Patients with metachronous lung cancer ($n = 1$) 2. Patients with treatment for lung cancer before surgery $(n = 11)$ Patients with pathological stage II or III (n = 133) 3. 4. Patients with AIS or MIA ($n = 56$) Pathological stage I patients ($n = 230$) TIVA group $(n = 72)$ INHA group $(n = 158)$

AIS: adenocarcinoma in situ, MIA: minimally invasive adenocarcinoma

Non-steroid anti-infammatory drugs and/or acetaminophen were taken orally for postoperative pain control. The painkiller use protocol did not change during the study period.

Postoperative complications, surveillance, and the evaluation of recurrence

Postoperative complications of grade \geq II in the Clavien-Dindo classification system [[18](#page-7-11)] and of grade \geq 2 using the Common Terminology Criteria for Adverse Events (CTCAE) were evaluated in this study. Postoperative complications were classifed into three categories: pulmonary complications included prolonged air leak, hypoxemia, acute respiratory failure, pneumonia, atelectasis, empyema, and pleural effusion; cardiovascular complications included atrial fbrillation and supraventricular arrhythmia; and infectious complications included pneumonia, empyema, surgical site infection, and urinary tract infection.

Postoperative surveillance consisted of outpatient clinic visits at 1 or 2 weeks after surgery and every month thereafter for up to 3 months. Follow-up was continued every 3 to 6 months for 5 years. Chest x-ray and laboratory tests, including the assessment of serum tumor markers, were conducted every 3 months. During the frst 3 years of followup, patients underwent computed tomography (CT) every 6 months; thereafter, CT was performed annually. Brain CT or magnetic resonance imaging and positron-emission tomography/CT were performed if recurrence was suspected. The date of histologic confrmation or the radiologic diagnosis of recurrence was defned as the date of recurrence. Recurrence was diagnosed by the cancer treatment board.

Statistical analysis

Patient characteristics were evaluated using the Wilcoxon rank-sum test for continuous variables and Fisher's exact test for categorical variables. OS was defned as the interval from the date of surgery to the date of death due to any cause or the date of last hospital visit. Recurrence-free survival (RFS) was defned as the interval from the date of surgery to the date of frst recurrence, date of death due to any cause, or date of the last contact with the patient. Observations were censored at the last hospital visit when the patient was alive or lost to follow-up. The OS and RFS rates were established by the Kaplan–Meier method and evaluated by the log-rank test. Univariable Cox proportional-hazards regression analyses were used to identify prognostic factors for OS and RFS. Backward–forward stepwise variable selection by the Akaike Information Criterion (AIC) was conducted for a multivariable analysis. In this study, we set RFS as a primary endpoint to evaluate whether the anesthetic choice afects the recurrence as well as survival. The secondary endpoints included OS, recurrence rates and patterns, and postoperative complications. All statistical analyses were performed using JMP® Pro 15.1.0 (SAS Institute Inc., Cary, NC, USA). All statistical tests were two-sided, and P values of < 0.05 were considered statistically signifcant.

Results

Patient characteristics

Table [1](#page-3-0) shows the relationship between the clinicopathological factors and the type of anesthesia. Females and patients who underwent surgery more recently tended to receive TIVA; no signifcant diferences were observed between the groups in the other preoperative, surgical, anesthetic, and pathological factors.

Anesthetic type and postoperative prognosis and complications

In the 230 eligible patients, the median length of follow-up for censored cases was 54 months (range 18–88 months) in the TIVA group and 64 months (19–108 months) in the INHA group. No patients died within 30 days after surgery. During follow-up, 23 patients (10.0%) developed recurrences. Patients in the TIVA group had a lower rate of recurrence (4 patients, 5.6%) in comparison to the INHA group (19 patients, 12.0%); however, this diference was not statistically significant $(P=0.159)$. The recurrence patterns did not difer between the groups (Table [2\)](#page-3-1). A total of 28 patients (12.2%) died; 9 died from lung cancer and 19 died from other diseases. Signifcantly fewer deaths occurred in the TIVA group (4 patients, 5.6%) in comparison to the INHA group (24 patients, 15.2%) (*P*=0.049). The causes of death did not differ between the groups $(P=1.000)$.

Figure [2a](#page-4-0) shows the RFS curves according to the type of anesthesia; the 5-year RFS rates in the TIVA and INHA groups were 91.7% and 77.4%, respectively. RFS was signifcantly longer in the TIVA group than in the INHA group $(P=0.020)$. The OS curves are shown in Fig. [2b](#page-4-0). The 5-year OS rates in the TIVA and INHA groups were 94.4% and 83.5%, respectively; this diference was not statistically significant ($P = 0.061$). A stratified analysis by the date of surgery revealed that patients in the TIVA group experienced signifcantly longer RFS in comparison to those in the INHA group $(P=0.016)$ from 2014–2016. However, no signifcant diferences in RFS were observed from 2010–2013 $(P=0.401)$ (Fig. [3\)](#page-4-1).

Table [3](#page-4-2) shows the association between the type of anesthesia and postoperative complications. No signifcant diferences in cardiovascular or infectious complications were observed between the groups. Patients in the TIVA group experienced

Table 1 Association between anesthetic type and clinicopathological variables

Variables	TIVA	INHA	\boldsymbol{P}
	$n = 72$	$n = 158$	
Sex			
Female $(\%)$	36(50.0) $68 + 9$	55 (34.8)	0.041
Age years, mean \pm SD		$69 + 10$	0.272
Smoking history			
Never $(\%)$		29 (40.3) 54 (34.2)	0.379
Comorbidity (%)			
Hypertension	39 (54.2)	92 (58.2)	0.569
Diabetes mellitus	9(12.5)	23(14.6)	0.838
Ischemic heart disease	4(5.6)	12(7.6)	0.781
Stroke	3(4.2)	7(4.4)	1.000
COPD	5(6.9)	11(7.0)	1.000
FVC $\%$, mean \pm SD	108 ± 14	106 ± 16	0.672
FEV1/FVC ratio $\%$, mean \pm SD	71 ± 11	73 ± 10	0.219
Performance status ^a (%)			
0	67 (100)	130 (96.3)	0.173^c
1	(0.0)	4(3.0)	
$\overline{2}$	(0.0)	1(0.7)	
ASA-PS b (%)			
1	8 (11.3)	19(12.2)	0.565 ^d
2	57 (80.3)	128 (82.1)	
3	6(8.5)	9(5.8)	
Surgical procedure (%)			
Lobectomy	69 (95.8)	149 (94.3)	$0.758^{\rm e}$
Bilobectomy	2(2.8)	3(1.9)	
Lobectomy with wedge resection	1(1.4)	6(3.8)	
Skin incision cm, mean \pm SD	12 ± 3	12 ± 3	0.769
Mediastinal lymph node dissection	62(86.1)	141 (89.2)	0.512
$(\%)$			
Operative time Minutes, mean \pm SD	164 ± 49	164 ± 39	0.915
Blood loss mL, mean \pm SD	49 ± 102	$47 + 75$	0.195
Blood transfusion			
Yes $(\%)$	0(0.0)	2(1.3)	1.000
Anesthetic time Minutes, mean \pm SD	260 ± 54	$248 + 42$	0.123
Continuous regional anesthesia			
Yes $(\%)$		60 (83.3) 121 (76.6)	0.299
Epidural anesthesia	10(13.9)	31 (19.6)	
Thoracic paravertebral block	50 (69.4)	90 (57.0)	
Histological type			
Adenocarcinoma (%)	51 (70.8)	122(77.2)	0.325
Tumor size cm, mean \pm SD	2.4 ± 0.9	2.3 ± 0.8	0.692
Pathological stage (%)			1.000 ^f
IA1	17(23.6)	36(22.8)	
IA ₂	21(29.2)	41(26.0)	
IA3	9(12.5)	27(17.1)	
IB		25 (34.7) 54 (34.2)	
Pleural invasion			
Yes $(\%)$	8(11.1)	30(19.0)	0.180
Lymphovascular invasion			

TIVA, total intravenous anesthesia; INHA, inhalation anesthesia; COPD; chronic obstructive pulmonary disease; FVC, forced vital capacity; FEV1, forced expiratory volume in 1 s; ASA-PS, American Society of Anesthesiologist physical status; SD, standard deviation

^aMissing value in performance status: 5 in the TIVA group and 23 in the INHA group

^bMissing value in ASA-PS: 1 in TIVA and 2 in INHA group

c Comparing cases with PS 0 versus 1 or 2

d Comparing cases with ASA-PS 1 or 2 versus 3

e Comparing cases with lobectomy versus others

f Comparing cases with pathological stage IA versus pathological stage IB disease

Table 2 Association between anesthetic type and recurrence

Variables	TIVA $n=72$	INHA $n = 158$	
Recurrence			
Total, $n(\%)$	4(5.6)	19(12.0)	0.159
Recurrence site			
Intrathoracic, $n(\%)$	3(4.2)	14(8.9)	$1.000^{\rm a}$
Extrathoracic, n (%)	1(1.4)	5(3.2)	

a Comparing intrathoracic with extrathoracic recurrence

fewer pulmonary complications (3 patients, 4.2%) in comparison to those in the INHA group (18 patients, 11.4%); however, this difference was not statistically significant $(P=0.088)$.

Table [4](#page-5-0) shows the clinicopathological factors that afected RFS and OS. With respect to RFS, the univariable analyses identifed type of anesthesia as well as sex, p-stage and postoperative pulmonary complications as being signifcantly associated with the prognosis. The multivariable analysis revealed that INHA was signifcantly associated with a poor prognosis $(P=0.047)$. On the other hand, the OS did not differ between the anesthesia types to a statistically signifcant extent either before or after multivariable adjustment.

Fig. 2 Kaplan–Meier curves for (**a**) postoperative recurrence-free survival and (**b**) overall survival according to anesthetic type: total intravenous anesthesia (TIVA [blue line]) or inhalation anesthesia (INHA [red line]). *P* values were obtained determined by log-rank tests

Fig. 3 Kaplan–Meier curves for postoperative recurrence-free survival stratifed by date of surgery in (**a**) 2010–2013 and (**b**) 2014– 2016, according to anesthetic type: total intravenous anesthesia

Table 3 Association between anesthetic type and postoperative complications

Variables	TIVA	INHA	P
	$n = 72$	$n = 158$	
Cardiovascular complications			
Yes $(\%)$	4(5.6)	11(7.0)	0.781
Infectious complications			
Yes $(\%)$	1(1.4)	4(2.5)	1.000
Pulmonary complications			
Yes $(\%)$	3(4.2)	18(11.4)	0.088
Prolonged air leak	2(2.8)	11(7.0)	
Hypoxia	1(1.4)	3(1.9)	
Acute respiratory failure	0(0.0)	3(1.9)	
Pneumonia	0(0.0)	2(1.3)	
Atelectasis	0(0.0)	1(0.6)	
Empyema	0(0.0)	1(0.6)	
Pleural effusion	0(0.0)	1(0.6)	

TIVA, total intravenous anesthesia; INHA, inhalation anesthesia

(TIVA [blue line]) or inhalation anesthesia (INHA [red line]). *P* values were obtained using log-rank tests

Discussion

The results of this study revealed that propofol-based TIVA is associated with significantly longer RFS in comparison to INHA in patients with surgically resected p-stage I NSCLC. This result is unique and suggests a new approach to improving the prognosis of patients with surgically resected p-stage I NSCLC.

There are several possible explanations for the favorable efects of propofol-based TIVA on the prognosis. First, anesthetic agents may directly infuence cancer cell progression. Propofol has been shown to induce growth inhi-bition via apoptosis [[10](#page-7-7)] and to inhibit the invasive ability of cancer cell lines [[11](#page-7-12), [12](#page-7-13)]. In contrast, in vitro, sevofurane was shown to increase breast cancer cell proliferation, migration, and invasion [\[19\]](#page-7-14) and to enhance sphereforming activity in glioma stem cells [[20\]](#page-7-15). Sevofurane and desfurane alter the expression of metastasis-related

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genes [\[21\]](#page-7-16). These volatile agents also upregulate hypoxiainducible factors (HIFs), which are involved in increased proliferation, angiogenesis, and metastasis in cancer cells, whereas propofol suppresses the synthesis and activation of HIFs [[22](#page-7-17)]. Second, anesthetic agents may infuence the immune response to cancer. Although it is well established that neuroendocrine and cytokine responses after surgery induce immunosuppression, propofol does not reduce the activity of NK cells and does not increase lung tumor retention in rats $[13]$ $[13]$. In the clinical setting, the counts of CD3 + cells, CD4 + cells, and NK cells and ratios of $CD4 + /CD8 +$ after surgery significantly decreased in patients who underwent isoflurane anesthesia versus those who received propofol anesthesia [[14\]](#page-7-19). Furthermore, the postoperative pro-infammatory cytokine levels were signifcantly lower and anti-infammatory cytokine levels were higher in patients who received propofolbased TIVA and remifentanil in comparison to those who received inhalational anesthesia with isoflurane [[15\]](#page-7-8). Taken together, along with the results of previous studies, propofol-based TIVA appears to be more appropriate for patients undergoing cancer surgery.

Even complete surgical resection cannot completely eradicate minimal residual disease (MRD), which may be defned as small numbers of cancer cells that remain in the body after treatment, either due to dissemination during surgery or preexisting micrometastasis. These residual cancer cells are clinically undetectable and may develop into metastatic recurrences [[23\]](#page-7-20). It is believed that the period between postoperative immunosuppression and the administration of adjuvant treatment is a possible window of opportunity for MRD to flourish [[23\]](#page-7-20). Therefore, minimizing perioperative immunosuppression via propofol-based TIVA could be a new treatment strategy.

A previous study in patients with surgically resected NSCLC patients did not reveal any prognostic benefts of TIVA [[17](#page-7-10)]. In the study, $>$ 25% patients had lymph node metastasis, so any favorable efects of TIVA might have been offset by the poor prognosis of these advanced NSCLC patients. Another interpretation is that p-stage II or III patients are more heterogeneous with respect to the adjuvant chemotherapy and treatments they receive after recurrence, making it difficult to independently evaluate the impact of propofol on their prognosis. Therefore, propofol-based TIVA could be a novel treatment strategy for achieving a better prognosis in patients with stage I NSCLC.

We observed that patients in the TIVA group experienced fewer postoperative pulmonary complications in comparison to patients in the INHA group, although this diference was not statistically signifcant. A previous study showed that patients developed signifcantly fewer pulmonary complications after TIVA in comparison to INHA [[24](#page-7-21)]. Isofurane and sevofurane have both been shown to induce bronchodilation in patients with chronic obstructive pulmonary disease (COPD). We expected that INHA would have been selected for patients with COPD; however, the frequency of COPD, smoking history, and results of respiratory function tests were similar between the patients in the TIVA and INHA groups. Although animal studies have suggested that INHA triggers alveolar infammatory processes [[24](#page-7-21)], resulting in a higher risk of pulmonary complications, the underlying mechanism has remained unclear. A previous study demonstrated that postoperative pulmonary complications were independent negative prognostic factors in patients with surgically resected lung cancer [[25](#page-7-22)] and this association was also confrmed in the present study. Propofol anesthesia also reduced surgical stress and postoperative complications, resulting in the enhancement of recovery from esophageal cancer surgery, in comparison to those who received inhalational sevoflurane $[26]$ $[26]$ $[26]$. These data possibly explain the better prognoses of patients who received TIVA.

In the present study, patients in the TIVA group were treated more recently. The stratifed analysis did not reveal any favorable efects of TIVA on the prognosis of patients who underwent surgery in the earlier study period. To clarify the underlying reasons for this result, we examined diferences in patient backgrounds and the incidence of recurrence or death according to the date of surgery; however, no explanatory factors were identified. We assume that this was due to the small sample size and event count. One also would expect a more recent group of patients to have improved outcomes, however, the univariable analysis did not show the relationship between survival and the date of surgery.

The present study was associated with some limitations. First, this was a retrospective cohort study and no clear criteria were established regarding the selection of anesthesia. There would be unstudied and subtle clinical factors that infuenced the choice of anesthetic and clinical outcomes, although we carefully examined the patients characteristics, including age, sex, smoking history, comorbidities, respiratory function, performance status and pathological factors, and revealed that sex was the only signifcant factor. There may also be inherent diferences in the anesthesiologists who preferentially used TIVA, which could have had an impact on the outcomes of the patients whom they treated. Second, this was a single-institution study and the sample size was relatively small. The present study did not have enough power to reveal signifcant diferences in OS between the groups, although a signifcant diference was observed in RFS. The small sample size also did not allow adjustment for a variety of potential confounding factors in multivariable analysis. Finally, although we obtained data on the duration of anesthesia, the use of regional anesthesia, and the anesthetic type, data on other aspects of anesthetic management, such as acute pain or opioid use, were unavailable. These factors might infuence the possibility of metastatic recurrence [\[23](#page-7-20)]; however, the surgical procedure and painkiller use protocol did not change during the study period. There are studies reporting the negative effects of opioids on the anti-tumor immune response [[23\]](#page-7-20). Previous studies showed that the amount of opioids administered during the surgical periods was signifcantly higher in a TIVA group than in an INHA group [\[27](#page-8-1)] and the postoperative opioid use did not difer between these groups [\[28\]](#page-8-2). Collectively, we hypothesize that a diference in acute pain or perioperative opioid use-related immunosuppression is unlikely to play a role in the better prognosis that was seen in the TIVA group in the present study.

In conclusion, the present study revealed that propofolbased TIVA is associated with a better prognosis in comparison to INHA in patients with surgically resected p-stage I NSCLC. Therefore, surgeons need to pay more attention to the prognostic impact of the anesthetic choice on survival in NCSLC patients.

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Compliance with ethical standards

Conflict of interest The authors declare no conficts of interest in association with the present study.

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