



10 kHz spinal cord stimulation for chronic upper limb and neck pain: Australian experience

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Abstract

Purpose Intractable upper limb and neck pain has traditionally been a challenging pain condition to treat, with conventional spinal cord stimulation (SCS) often inducing positional variation in paraesthesia and/or inadequate coverage of axial neck pain. The purpose of this Australian multi-centre prospective, clinical trial was to assess the safety and effectiveness of paraesthesia-independent 10 kHz SCS for the treatment of upper limb and neck pain.

Methods Subjects with chronic, intractable neck and/or upper limb pain of ≥ 5 cm (on a 0–10-cm visual analogue scale) were enrolled (ACTRN12614000153617) following human research ethics committee approval. Subjects were implanted with two epidural leads spanning C2–C6 vertebral bodies. Subjects with successful trial stimulation were implanted with a Senza[®] system (Nevro Corp., Redwood City, CA, USA) and included in the safety and effectiveness evaluation at 3 months post-implant (primary endpoint assessment, PEA) and followed to 12 months.

Results Overall, 31/38 (82.6%) subjects reported a successful 10 kHz SCS trial and proceeded to a permanent implant. Twenty-three of 30 subjects (76.7%) met the PEA. Subjects reported a reduction in neck pain and upper limb pain from baseline at the PEA (8.1 ± 0.2 cm vs. 2.9 ± 0.5 cm, 7.3 ± 0.3 cm vs. 2.5 ± 0.5 cm, respectively, $p \leq 0.0001$). Disability, as measured by pain disability index score, decreased from 42.6 ± 2.6 at baseline to 22.7 ± 3.2 at PEA. Results were maintained 12 months post-implant. No neurological deficits, nor reports of paraesthesia, were observed.

Conclusions Stable, long-term results demonstrated that 10 kHz SCS is a promising therapy option for intractable chronic upper limb and neck pain.

Keywords 10 kHz SCS · VAS · Chronic upper limb pain · Chronic neck pain

Background

Neck pain is ranked as the fourth leading cause of years lost to disability behind back pain, depression and arthralgias [1, 2]. Globally, it is estimated to occur in excess of 30% of the population, with nearly 50% of individuals continuing to experience ongoing pain [1]. There are different manifestations of pain arising from the cervical region, including axial neck pain and upper limb radicular pain [3]. Whilst most cases of axial neck pain resolve within weeks with

conservative management, studies have suggested around 10% to 34% of patients have persistent chronic pain [3].

When conservative management is ineffective and neck pain is accompanied by spinal instability, neurological compromise or deformity, surgical intervention may be indicated [3]. There have been few studies that have evaluated surgical procedures for neck pain and even fewer that have evaluated outcomes longer than 2 years post-surgery [1]. Beyond surgery, no other proven treatment options currently exist, and for some, surgery is not expected to alleviate the underlying cause of pain [1].

In patients with persistent or recurrent pain, spinal cord stimulation (SCS) may be a viable treatment option [4]. Whilst SCS has been employed and evaluated extensively for chronic axial and radicular back pain, the practicality of the technique in the cervical region has been limited, with a small number of studies highlight promising effects on both pain relief, disability and patient satisfaction following

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the use of traditional SCS in patients with cervical origin pain [2, 4–9]. Despite this, the propensity for electrode dislodgement and variability in the distribution of cervical pain compared with back and lower limb pain has limited its widespread use [10]. Further, traditional SCS, a stimulation that produces a tingling sensation known as paraesthesia in an attempt to mask the painful sensation, has limitations in the cervical region with the paraesthesia itself being an unpleasant sensation for some patients [11]. In addition, creating the ideal paraesthesia coverage can be challenging and even more difficult in the highly mobile cervical region, as paraesthesia intensity can increase or decrease with body movement [12].

However, a newer modality of SCS has an established body of long-term evidence in back and leg pain. High-frequency SCS at 10,000 Hz (10 kHz SCS) applies a unique waveform, providing pain relief superior to traditional SCS without any paraesthesia and demonstrated improvements in quality of life and functionality, maintained up to 2 years post-implant [13–15]. This is of particular interest in pain originating from the cervical region as positional variation may not be an issue with 10 kHz SCS.

In upper limb and neck pain, the application of 10 kHz SCS has been previously examined in 36 cases from a retrospective Australian study of intractable pain conditions [12]. In patients with neck pain with or without arm/shoulder pain who underwent permanent implantation ($n = 11$), significant pain reductions were reported at 6 months [12]. Further evidence for patient satisfaction following the application of 10 kHz SCS in the cervical region has been reported in a small subgroup of patients. Three-quarters (75%) reported

satisfaction after permanent implantation of the 10 kHz SCS system in the cervical region [13].

High-quality prospective studies that stratify patients based on their cervical axial and radicular components are needed to improve our understanding of the application of 10 kHz SCS in cervical axial and radicular pain [4]. Therefore, the aim of this study was to assess the safety and effectiveness of 10 kHz SCS in a cohort of subjects with chronic, intractable pain of the upper limb and/or neck.

Methods

This study was a prospective, multi-centre study assessing the safety and effectiveness to 10 kHz SCS therapy without stimulation-related neurological deficit in adult subjects with chronic, intractable pain of the upper limb and/or neck.

Patients

Subjects meeting the inclusion and exclusion criteria were eligible for inclusion in this study (Table 1). Study sites submitted redacted medical records and flexion–extension images of the cervical spine to two independent medical monitors to confirm enrolment eligibility.

The protocol was listed on the Australian New Zealand Clinical Trial Registry (ACTRN12614000153617) and received Human Research Ethics Committee (HREC) approval from Bellberry Limited (Application Number: 2013-10-560). Subjects were recruited from three centres in Australia and consented prior to any study procedures.

Table 1 Key study selection criteria

Key inclusion criteria

Chronic, intractable pain of the upper limb and/or neck related to the cervical spine and/or neuropathic arm pain
Average upper limb or neck pain intensity of ≥ 5 out of 10 cm on the visual analogue scale (VAS) at enrolment
An appropriate candidate for HF10 SCS
Stable pain medications for at least 28 days prior to enrolling
Aged 18 years or older

Key exclusion criteria

Medical condition or pain in other area(s), not intended to be treated with SCS, that confound evaluation of study endpoints
Evidence of an active disruptive psychological or psychiatric disorder
Current diagnosis of a progressive neurological disease
Pain in the shoulder girdle or arm with a primary aetiology due to shoulder pathology
Clinical evidence mechanical instability or progressive neurological pathology that warrants surgical intervention
Any previous history of surgery on the posterior elements (laminectomy, posterior fusion) that would disrupt/obliterate the posterior epidural space
Current metastatic disease or active local malignant disease
Current life expectancy of less than 1 year
Pregnancy
Involved in an injury claim under current litigation
A pending or current worker's compensation claim

A flow chart of study design and enrolment is presented in Fig. 1.

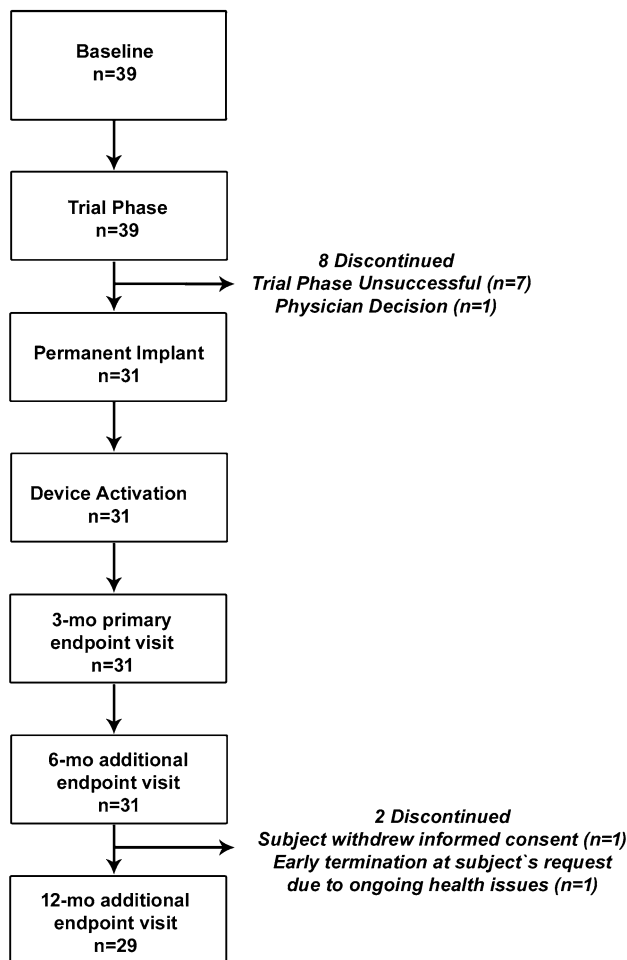


Fig. 1 Study flow chart

Procedure

Thirty-eight subjects completed a trial stimulation phase with 10 kHz SCS (Senza System, Nevro Corp., Redwood City, CA, USA) of up to 14 days with an external stimulator to determine their short-term response. Under fluoroscopy and sterile conditions, two octapolar leads were placed in a staggered fashion into the epidural space at the anatomical midline of the cervical spine spanning C2 to C6 vertebral levels to deliver 10 kHz SCS (Fig. 2). Sites were permitted to use their standard clinical practice for performing the trial procedures, including either staged trials [8] or temporary trials [9], as previously described. Subjects who experienced at least 40% reduction in their neck and/or upper limb pain

during the trial compared to baseline were eligible for permanent device implantation. For permanent implantation, leads were placed as detailed above and connected to a subcutaneously placed implantable pulse generator (IPG). The leads, anchored to the supraspinous ligaments, were tunneled to the IPG site in the axillary, flank or buttock region for connection and tested to ensure electrical integrity before closure. SCS was delivered at 10 kHz frequency, 30 μ s pulse width, and amplitudes adjusted to maximise individual subject's pain relief.

Follow-up

Subjects were assessed at baseline and at 1, 3, 6, 9 and 12 months after permanent implantation. At each visit, subjects were assessed for change in pain according to the 10-cm VAS, disability using Pain Disability Index (PDI) [16] and pain experience using Short-Form McGill Pain Questionnaire (SFMPQ2) [17]. Effects on quality of life were assessed using the Short Form-12 (SF-12) questionnaire [18], Clinician Global Impression of Change (CGIC) and Patient Global Impression of Change (PGIC) instruments [19]. Effect on depression was measured by Beck Depression Inventory (BDI) [20] and sleep disturbance by Pittsburgh Sleep Quality Index (PSQI) [21]. Change from baseline in opioid medication usage and adverse events were also recorded at every visit.

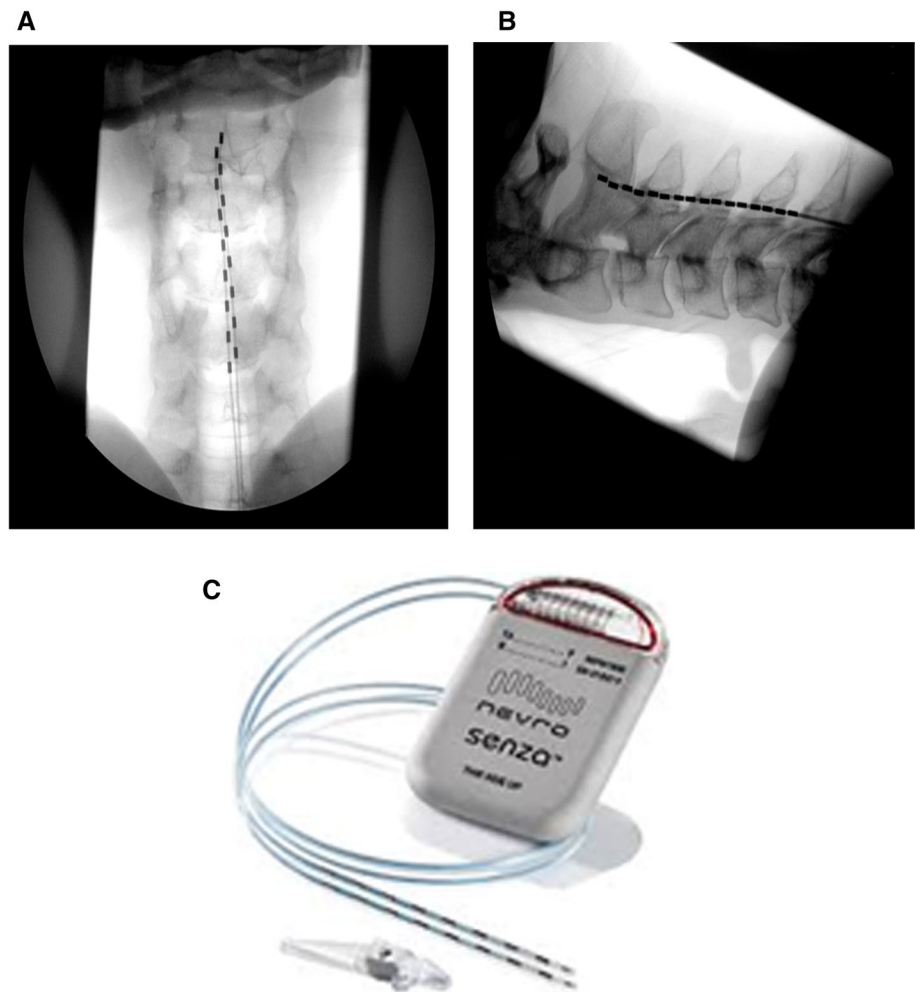
Per protocol population (PPP)

The intent-to-treat (ITT) population was defined as all subjects who received a permanent device implant. PPP was defined as subjects who had a successful SCS trial, received an IPG implant, had their device activated and had 3-month primary endpoint assessment post-permanent implant. The primary endpoint of the proportion of responders (defined as subjects with $\geq 50\%$ pain relief from baseline) without a stimulation-related neurological deficit was assessed at 3 months in PPP and at follow-up visits.

Statistical analyses

Descriptive analysis of continuous variables included mean and standard error (SEM), or median as appropriate. Categorical variables were reported as counts and percentages where possible. All data were analysed as-observed and outcomes reported through descriptive statistics. Two-tailed paired *t* test was used to compare the means, and a *p* value < 0.05 was considered as significant.

Fig. 2 **a** Representative anterior–posterior X-ray image showing lead placement. **b** Representative lateral–oblique X-ray image showing lead placement. **c** Octrode lead and implantable pulse generator used in this study



Results

Baseline characteristics

Baseline demographics and clinical characteristics of the subjects enrolled in the study are shown in Table 2. The mean age at the time of enrolment was 53.0 ± 2.3 years, 41.9% were female, the mean time since diagnosis was 10.1 ± 1.3 years, and 35.5% of enrolled subjects had previously undergone surgery of the cervical spine. Subjects with a history of spinal instability and/or progressive neurological deficits were evaluated and treated if indicated as part of their standard of care, prior to enrolling in this study. Subjects cleared for SCS continuing to experience neck and/or upper limb pain were enrolled in the study.

Trial success rates

Trial success was defined as pain relief of $\geq 40\%$ compared to baseline as measured by VAS scores. Majority of the subjects (81.5%) had a successful trial. In subjects with VAS

with ≥ 5 cm, 80.0% (28/35) and 94.7% (18/19) with neck pain and upper limb pain, respectively, were trial stimulation responders.

Safety and adverse events

The mean length of study participation for the permanently implanted subjects was 54.5 weeks (range: 40.0–76.6 weeks). Subjects were assessed for possible neurological deficits and other safety events compared with their baseline state. Overall, no stimulation-related neurological deficits were reported, with neurological assessments reporting “No Change” or “Improvement” in neurological function at PEA. Study-related adverse events (AEs) were reported in 12 (30.8%) subjects, categorised as mild or moderate, with all events resolved prior to study exit at 12 months (Table 3). One study-related serious adverse event was reported in 1 (2.6%) subject, a medical device site infection, which resolved with treatment. There were no stimulation-related, unanticipated or device-related serious adverse events recorded for the study.

Pain relief

The baseline average score for neck pain was 8.1 ± 0.2 cm and for upper limb pain was 7.3 ± 0.3 cm, which were reduced to 2.9 ± 0.5 cm ($p \leq 0.0001$) and 2.5 ± 0.5 cm ($p \leq 0.0001$), respectively, at 3 months and maintained to 12 months (Fig. 3). Average reduction in neck and upper limb pain was 65.2% and 66.0%, respectively, at 3 months. The improvement was sustained at 6 months (64.3% and 66.3%, respectively) and improved further at 12-month endpoint assessment (74.2% and 61.8%, respectively).

Responder and remitter rates

Responders were subjects who had $\geq 50\%$ pain relief. At 3 months, 64.3% of subjects with neck pain (18/28) had $\geq 50\%$ pain relief, which increased to 85.2% (23/27) by 12 months. In subjects with upper limb pain, 77.8% at 3 months (14/18) and 76.5% (13/17) at 12 months reported $\geq 50\%$ pain relief (Fig. 3).

Subjects with VAS scores ≤ 3.0 cm were defined as remitters [22]. At 3 months, 57.1% of subjects with neck pain (16/28) had pain remission, which increased to 71.4% of subjects (20/27) by 12 months. In subjects with upper limb pain, 66.6% at 3 months (12/18) and 64.7% (11/17) at 12 months were categorised as remitters.

Subjects also reported reduced SFMPQ2 scores over time in all sub-scales (Fig. 4) and had a mean total score decrease from baseline varying between 2.3 and 2.5 through month 12 (Table 4).

Functional improvement

Effect of 10 kHz SCS treatment on improvement in function was assessed using the PDI. The mean functional disability improved from 42.6 ± 2.6 at baseline to 22.7 ± 3.2 at 3 months, indicating a lesser level of disability by 19.9 ± 3.1 points, twice the minimal clinically important difference (MCID) of 8.5 to 9.5 points [23]. Subjects showed durability of PDI improvement over time, reporting a sustained PDI of 21.2 ± 3.4 at 12 months (Fig. 5).

Quality of life and medication use

Effect of 10 kHz SCS treatment on quality of life was assessed using multiple questionnaires including SF-12 quality of life, BDI, PSQI and GAF. Table 4 shows subjects reported significant improvements in all quality-of-life assessments at 3 months and sustained improvements through 12 months post-implantation.

At 3, 6 and 12 months, 41.7% of patients (10/24), 45.8% (11/24) and 58.3% (14/24) were able to decrease or eliminate concomitant opioid medications, respectively.

Table 2 Baseline demographic and clinical characteristic criteria

Characteristics	(n = 31)
Gender n (%)	
Female	13 (41.9%)
Male	18 (58.1%)
Age (years) at enrolment	
Mean \pm SD	53.0 \pm 3.3
Range	23.0 to 76.0
Years since diagnosis	
Mean \pm SD	10.1 \pm 1.3
Range	1.0 to 32.0
Diagnosis^a n (%)	
Chronic intractable neck pain	28 (90.3%)
Chronic intractable upper limb pain	19 (61.3%)
Pain aetiology^b n (%)	
Neuropathic pain	19 (61.3%)
Degenerative disc disease	8 (25.8%)
Failed cervical spine surgery syndrome	8 (25.8%)
Radiculopathy	5 (16.1%)
Spondylosis	5 (16.1%)
Other chronic pain	4 (12.9%)
Internal disc disruption/annular tear	1 (3.2%)
Mild or moderate spinal stenosis	1 (3.2%)
Spondylolisthesis	1 (3.2%)
Previous cervical spine surgery n (%)	11 (35.5%)
Baseline use of opioids n (%)	24 (77.4%)
Baseline VAS in cm	
Neck pain (mean \pm SD)	8.1 \pm 1.2
Upper limb pain (mean \pm SD)	7.3 \pm 1.2
Baseline Pain Disability Index (mean \pm SD)	42.6 \pm 2.6

^aSubjects could have both diagnoses

^bSubject could have more than one aetiology

Patient and clinician impression of change and satisfaction

At 3 months, subjects reporting PGIC as ‘a great deal better’, ‘better’ and ‘moderately better’ were 61.3%, which increased at 12-month endpoint assessment to 82.8% (Table 5). Similarly, clinicians reporting GIC as ‘a great deal better’, ‘better’ and ‘moderately better’ were 83.8% at 3 months, which increased to 89.7% at 12-month endpoint assessment.

Patient satisfaction was positively rated in 67.7% and 75.9% of subjects at the 3- and 12-month endpoints, respectively (Fig. 5).

Discussion

This prospective trial of 10 kHz SCS in Australian subjects with chronic, intractable neck and upper limb pain confirms the safety and efficacy of this therapy in the cervical region. Importantly, despite historical complications such as electrode migration and incomplete paraesthesia with traditional SCS, this study confirms that the safety of 10 kHz SCS in the cervical region is similar to the profile reported previously in the thoracic region. In the current study, neurological assessment revealed no stimulation or electrode placement-related neurological deficits. Similar rates of serious and non-serious study-related AEs were reported in the current study as compared with previous reports of 10 kHz SCS and traditional SCS, suggesting that the former does not induce any new complications [14, 24].

Significant pain relief was achieved with 10 kHz SCS for both neck and upper limb pain. Subjects reported significant improvements in pain scores at the end of the stimulation trial, maintained through to 12 months. Pain reduction translated into improved functional and quality of life scores, assessed across numerous assessments and at all time points. Given the subjects in this study have suffered from chronic pain for 10 years on an average, and other interventions had failed to relieve their pain, their baseline PDI was moderately high (42.6 out of 70). The application of

10 kHz SCS enabled clinically meaningful pain relief to an extent where they reported improved daily function out to 12 months. Given neck and upper limb pain is considered to be disabling to the extent at which work and everyday activities may be affected, 10 kHz SCS has the potential to reduce the disability-related impact of cervical-related pain. Here, a majority of subjects were satisfied with the outcome of therapy and more than half were able to decrease or cease opioid medications. In the light of the current opioid crisis, the latter signifies an important step towards successful non-opioid management of chronic pain.

The current study implies better pain relief reported in previous traditional SCS studies, where the average pain reduction for cervical spine conditions ranged from 22.8 to 66.8%, though the origin of pain was not always noted across all studies [4]. Also notable, the amount of pain relief with 10 kHz SCS was achieved without paraesthesia. Whilst a few previous traditional SCS studies also investigated impact on quality of life, no study was as thorough in demonstrating the improvement with SCS as the current study.

There is now consistent evidence for 10 kHz SCS across diverse chronic, intractable pain conditions [8, 13–15, 22, 25]. Regardless of the anatomical lead placement, significant reductions in pain are observed and sustained over the longer term.

It is important to note that this study is early data on cervical 10 kHz SCS. Previous studies in cervical SCS in

Table 3 Study-related adverse events and serious adverse events

Adverse event (AE)	No. of AEs	No. (%) of subjects with AE ^a (n = 39)
<i>Total study-related AEs</i>	23	12 (30.8%)
Device dislocation	4	3 (7.7%)
Headache	3	3 (7.7%)
Implant site pain	2	2 (5.1%)
Medical device site infection	2	2 (5.1%)
Procedural nausea	3	2 (5.1%)
Burning sensation	1	1 (2.6%)
Device stimulation issue	1	1 (2.6%)
Keloid scar	1	1 (2.6%)
Medical device pain	1	1 (2.6%)
Pain in extremity	1	1 (2.6%)
Peripheral swelling	1	1 (2.6%)
Procedural vomiting	2	1 (2.6%)
Vomiting	1	1 (2.6%)
<i>Serious adverse event (SAE)</i>	<i>No. of SAEs</i>	<i>No. (%) of subjects with SAE (n = 39)</i>
<i>Total study-related SAEs</i>	1	1 (2.6%)
Medical device site infection	1	1 (2.6%)

^aNumbers and percentages of subjects may not add to the total number of subjects and 100%, respectively, as subjects may have experienced more than 1 adverse event

Fig. 3 Mean VAS scores for **a** upper limb pain and **b** neck pain from baseline to 12 months post-permanent implantation. Responder rates for **c** upper limb pain and **d** neck pain at 12 months post-permanent implantation. Data expressed as mean ± SEM. * $p \leq 0.0001$ versus baseline

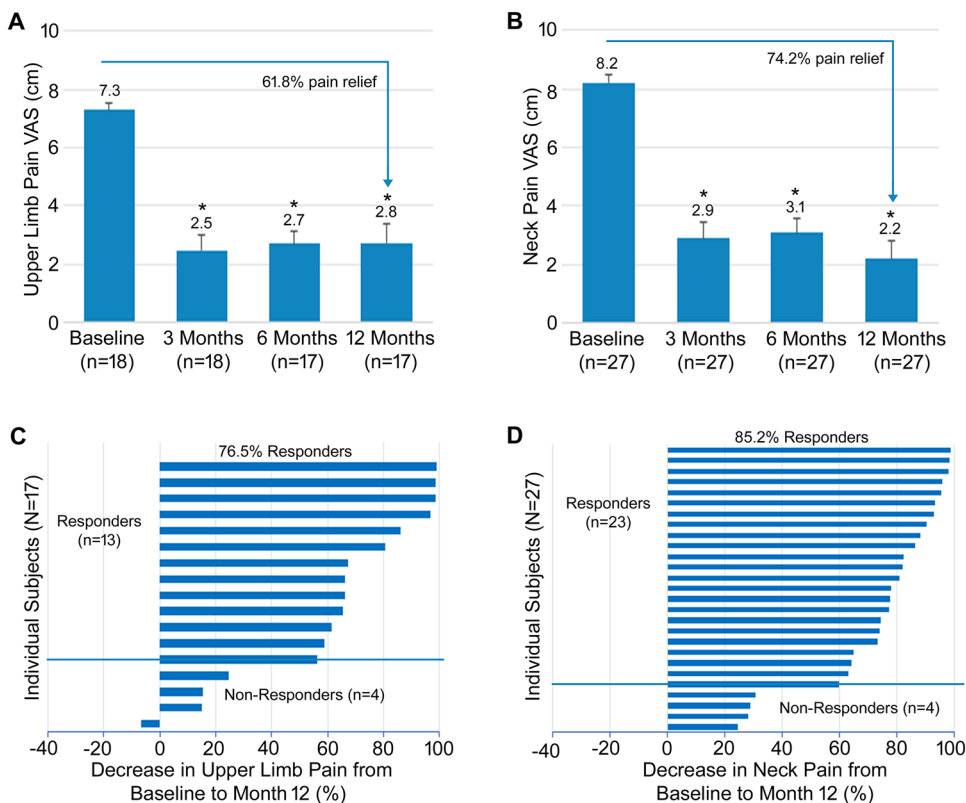
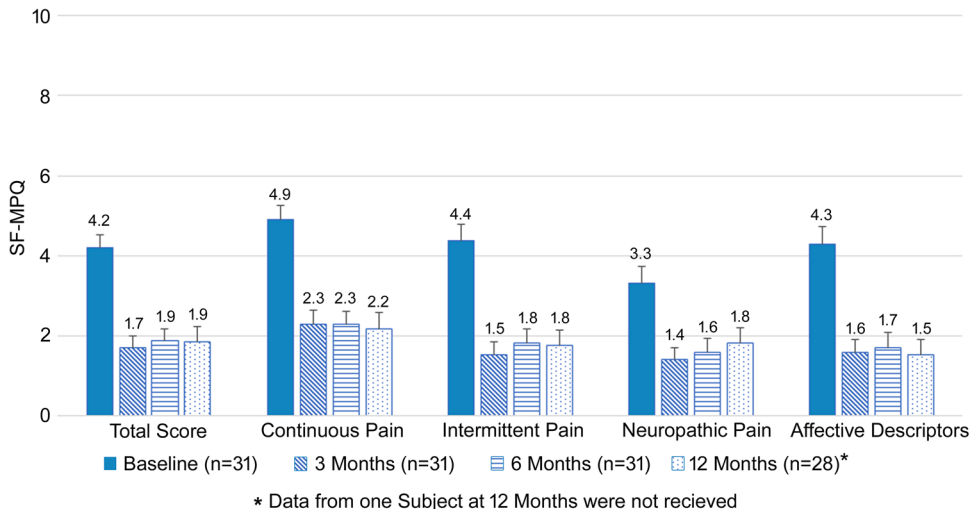


Fig. 4 Reduction in SF-MPQ scores following 10 kHz SCS treatment. Data expressed as mean ± SEM



particular often failed to distinguish between pain aetiologies. Alike the evolution of surgical interventions for cervical axial pain and SCS itself, improvements in technique and patient selection from this study is expected to help yield improved outcomes in future studies.

Main limitations of the study include lack of a control group and randomisation of subjects. The possible selection bias by the investigators which could have resulted from lack of randomisation was minimised by involvement of independent medical monitors and high level of diligence in the selection of subjects meeting the

Table 4 Change from baseline in quality of life measures and opioid pain medication

	Time since permanent implantation		
	3 months	6 months	12 months
<i>Outcome measure—change from baseline</i>			
PDI	19.7 ± 3.1	20.6 ± 2.7	22.6 ± 2.9
SF-MPQ: total	2.5 ± 0.3	2.3 ± 0.3	2.4 ± 0.4
SF-MPQ: continuous pain	2.6 ± 0.4	2.6 ± 0.4	2.8 ± 0.4
SF-MPQ: intermittent pain	2.8 ± 0.2	2.6 ± 0.4	2.7 ± 0.5
SF-MPQ: neuropathic pain	1.9 ± 0.3	1.7 ± 0.3	1.4 ± 0.4
SF-MPQ: affective descriptors	2.7 ± 0.4	2.6 ± 0.4	2.9 ± 0.5
SF-12: PCS	8.9 ± 1.7	8.4 ± 1.6	10.8 ± 2.1
SF-12: MCS	6.5 ± 1.6	5.1 ± 1.7	5.8 ± 2.1
BDI	5.8 ± 1.5	6.5 ± 1.5	7.8 ± 1.7
PSQI	2.7 ± 0.7	2.2 ± 0.7	2.6 ± 0.8
GAF	-18.6 ± 2.3	-20.1 ± 2.0	-23.8 ± 2.3
Opioid change (% decreased or eliminated)	10 (41.7%)	11 (45.8%)	14 (58.3%)

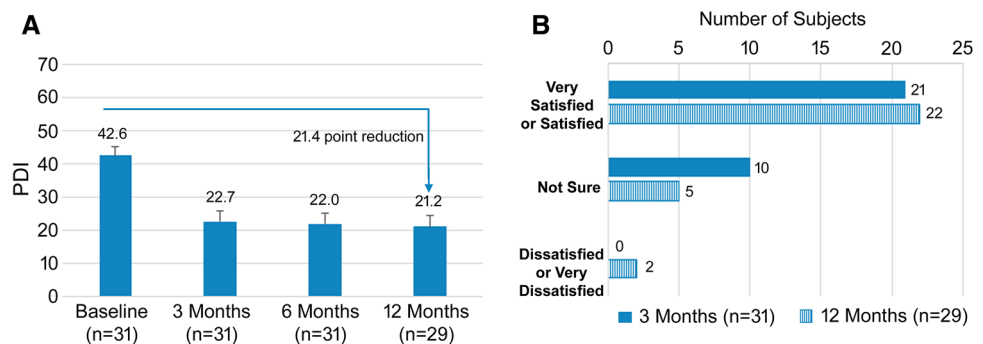
Data expressed as mean ± SD

PDI Pain Disability Index, *SF-MPQ* Short-Form McGill Pain Questionnaire, *SF-12* Short Form-12, *BDI* Beck Depression Inventory II, *PSQI* Pittsburgh Sleep Quality Index, *GAF* Global Assessment of Functioning instrument

Table 5 Change from baseline in patient and clinician global impression of change

	Time since permanent implantation			
	3 months (n = 31)		12 months (n = 29)	
	n	%	n	%
<i>Patient global impression of change (PGIC)</i>				
A great deal better	8	25.8	8	27.6
Better	7	22.6	12	41.4
Moderately better	4	12.9	4	13.8
Somewhat better	6	19.4	1	3.4
A little better	5	16.1	4	13.8
Almost the same	1	3.2	0	0.0
No change	0	0.0	0	0.0
<i>Clinician global impression of change (CGIC)</i>				
A great deal better	11	35.5	16	55.2
Better	13	41.9	7	24.1
Moderately better	2	6.5	3	10.3
Somewhat better	1	3.2	0	0.0
A little better	2	6.5	0	0.0
Almost the same	1	3.2	1	3.4
No change	1	3.2	2	6.9

Fig. 5 a PDI scores from baseline to 12 months post-permanent implantation and **b** patient satisfaction at 3 and 12 months post-permanent implantation. Data expressed as mean ± SEM



inclusion and exclusion criteria. Lack of control group in the study was addressed by estimating the effect size from efficacy of 10 kHz SCS, documented in previously completed randomised controlled trial and in large real-world retrospective review [14, 25]. Further investigations may address the impact of prior surgeries, comorbidities and concurrent healthcare utilisation, which were not built into the study design of this current study.

Conclusion

This Australian neck and upper limb study demonstrates the utility of 10 kHz SCS to cervical axial pain conditions. Over the 12-month follow-up, 10 kHz SCS in the cervical

region was well tolerated, with a similar safety profile to lumbar electrode placement. A majority of subjects reported significant upper limb and neck pain relief that conferred improvements in function and quality of life. Both patients and clinicians were satisfied with treatment at the 12-month visit. Overall, the study highlights that 10 kHz SCS is a legitimate paraesthesia-independent treatment option for patients with chronic, intractable cervical axial pain.

Compliance with ethical standards

Conflict of interest The study was sponsored by Nevro Corp. Drs Ver-rills, Russo and Salmon are consultants to Nevro Corp. Mr Gliner, Bar-nard and Caraway are employees of Nevro Corp.

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