ORIGINAL ARTICLE

The Gait Deviation Index as an indicator of gait abnormality among degenerative spinal pathologies

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Abstract

Introduction The Gait Deviation Index (GDI) is a composite measure of gait abnormality derived from lower-limb joint range-of-motion which is increasingly being reported for clinical gait analysis among neurologic and orthopedic patients. A GDI score of 100 is representative of healthy individuals and decreasing scores represent a greater abnormality. Preliminary data is needed to help assess the utility of GDI as a measure of compromised gait among spine patients and to provide reference values for commonly treated pathologies.

Methods GDI scores were obtained from healthy adults and four symptomatic degeneration groups: cervical spondylotic myelopathy (CSM), adult degenerative scoliosis (ADS), and single-level lumbar degeneration (LD). Clinical gait analysis was done using a three-dimensional motion tracking system. Evaluations were done 1 week prior to surgical intervention for degeneration groups. Two-sample *t*-tests were used to compare degenerative cohorts to healthy controls and for inter-cohort comparisons. Pearson correlations were used to test for signifcant relationships between GDI and walking speed.

Results Degenerative cohorts all showed significantly lower (worse) GDI scores compared to healthy (all *p*<0.001). CSM patients showed the best GDI scores with an average of 90, and LD patients showed the worst GDI scores with an average of 86. Worsening GDI signifcantly correlated with decreased walking speed among ADS patients.

Conclusion Composite metrics like GDI provide a tempting means to summarize nuanced and complex gait characteristics into a single, comparable value among cohorts. The results of this study provide preliminary GDI scores for common degenerative spine pathologies.

Graphic abstract

These slides can be retrieved under Electronic Supplementary Material.

Keywords Gait Deviation Index · Adult spinal degeneration · Gait analysis

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Introduction

The burden of degenerative spine conditions

Symptomatic conditions of the spine represent one of the largest sources of pain, disability, and health care burden in the world $[1, 2]$ $[1, 2]$ $[1, 2]$ $[1, 2]$. Trends in the prevalence of surgical treatment of degenerative spine conditions have varied between neck and lumbar pathologies; however, both have shown substantial increases in cost and need for improved valuation of treatment in recent years [[1–](#page-7-0)[6\]](#page-7-2). Among all orthopedic procedures reported in a 2018 review of Medicare and Humana databases, incidences of lumbar degeneration and cervical degeneration were roughly 30% and 16%, respectively [[2\]](#page-7-1). Individuals with degenerative spine conditions often have reduced quality of life as well as reduced functional abilities [\[7,](#page-7-3) [8\]](#page-7-4).

Gait analysis and complexity of data

Clinical functional evaluations are increasingly being used to objectively quantify spine patient disability and in the postoperative assessment of spine surgery [\[9–](#page-7-5)[14\]](#page-7-6). Gait analysis can provide a wide scope of gait features ranging from stride parameters (spatiotemporal parameters), dynamic joint range-of-motion angles, neuromuscular activity, and dynamic joint reaction forces [[9\]](#page-7-5). These parameters can be evaluated in two-dimensional (2D) or three-dimensional (3D) plane to assess characteristics in particular anatomical planes. Often, combinations of 2D-derived measures are used to describe more complex 3D characteristics and relationships [\[9](#page-7-5), [10](#page-7-7), [15\]](#page-7-8). While advances in motion tracking and video analysis tools in recent years have made it easier to track and record complex 3D gait patterns, the data associated with these have unfortunately equally increased in size and complexity. As interest and use of clinically derived functional evaluations tailored specifcally for spine patients grow, there will be a need for simple, objective measures to summarize the complexity of modern motion tracking data sets to simple, clinically meaningful and interpretable terms.

The Gait Deviation Index

While a variety of composite measures have been proposed for gait abnormality $[16]$, the Gait Deviation Index (GDI) has been increasingly being used and reported alongside standard kinematic measures for functional gait evaluations [\[17](#page-7-10)[–19](#page-7-11)]. The GDI was originally developed by Schwartz et al. [\[19](#page-7-11)] as a means to calculate a composite score for gait abnormality among children with cerebral palsy. The GDI was designed to be unitless with two primary features: (1) a meaningful summation of trunk and lower-extremity joint ROM variance and (2) a built-in reference to a healthy control cohort through

normalization. A culmination of 15 "gait features" (kinematic joint ROM parameters) was found to account for 98% of the variance seen in lower-extremity motion which included the following: pelvic tilt, pelvic obliquity, pelvic rotation, right and left hip fexion, right and left hip adduction, right and left hip rotation, right and left knee fexion, right and left ankle dorsifexion, and right and left foot progression (internal rotation relative to the direction of travel). For the built-in healthy control referencing, the calculation uses a set of user-defnable control data to normalize the GDI score so that the average healthy score is equal to 100 and so that 1 SD is equal to 10, with decreasing scores indicating greater abnormality. In addition to its original use among cerebral palsy children, the GDI is increasingly being reported among a variety of conditions with orthopedic and neurological aspects including hip arthritis, total hip arthroplasty, and stroke patients [[20](#page-7-12)[–23](#page-8-0)].

Composite measures like GDI are not unlike radiographic parameters which account for multiple alignment parameters like pelvic incidence or the T1 pelvic angle [[24](#page-8-1), [25\]](#page-8-2). The purpose of a tool like GDI is to provide a single, meaningful metric that provides as much, if not more, useful information than the summation of the measures of which it is derived. This can, however, be challenging, particularly when the data may be less familiar among spine surgeons such as kinematic gait parameters. Even within standard gait analysis techniques, there can be a wide variety of analysis methods and outcome measures used which can lead to inconsistencies across studies and a lack of consensus among center-to-center comparisons of gait measures among cohorts of interest [\[14\]](#page-7-6). Currently, there is a need for simple measures to serve as baseline indicators of complex gait performance among spine patients. Additionally, no published GDI data of degradative spine patients referenced to normal, healthy adults are available for preliminary comparisons.

Purpose and hypothesis

The purposes of this study were: (1) to provide preliminary GDI scores and comparison for common degenerative spinal conditions, (2) to test for diferences in symmetry of GDI between right and left legs, and (3) to test for relationships between GDI and walking speed. We hypothesized that patients with diagnosed degenerative spine pathologies would exhibit signifcantly reduced (more abnormal) GDI scores compared to healthy subjects.

Methods

Study design and subject population

This study was performed at a single, private practice institution comprised of seven contributing board-certifed spine surgeons. Institutional review board approval was obtained for a retrospective cross-sectional study of symptomatic adult patients and healthy volunteers who received functional evaluations at our institution between 2016 and 2019. Symptomatic adult patients deemed eligible for surgical treatment were drawn from one of four cohorts of degenerative spinal pathologies: cervical spondylotic myelopathy (CSM), adult degenerative scoliosis (ADS), degenerative lumbar spondylolisthesis (DLS), and single-level lumbar degeneration (LD). Inclusion criteria for CSM patients included confrmed cervical spinal cord compression on imaging as well as concordant myelopathic signs or symptoms of cord dysfunction [\[26](#page-8-3)]. Inclusion criteria for ADS patients included a progressive and symptomatic degenerative coronal Cobb angle with concurrent axial back pain, radiculopathy, or stenosis [[8,](#page-7-4) [27](#page-8-4), [28\]](#page-8-5). Inclusion criteria for DLS patients included a spondylolisthesis of Grade II or more with symptomatic central stenosis [[29\]](#page-8-6). The LD was comprised of lumbar disc herniation, single-level stenosis, and lumbar radiculopathy with back pain or claudication [[27\]](#page-8-4). Beyond cohort-specifc diagnostic criteria, patients were included if they were between the ages of 18 and 80 years, presented with symptomatic degeneration classifed by one of the four symptomatic study cohorts and if they were able to stand and walk without assistance. Patients were excluded if they had a body mass index (BMI) of 45 kg/m² or more, a primary neurological disorder, a diabetic neuropathy, any disease or disorder which impaired their ability to stand and walk without assistance, or if they were pregnant. Subjects for the healthy control group were recruited primarily from friends and family members of patients visiting our clinic with a goal of targeting individuals with an average level of daily activity. Table [1](#page-2-0) provides a summary of subject demographics.

Preparatory procedures

At each evaluation, patients were ftted with a set of fullbody reflective markers normally used for kinematic motion analysis by our laboratory (Fig. [1](#page-3-0)) [[9\]](#page-7-5). Evaluation of the degeneration cohorts was done one week prior to surgical treatment. Degeneration cohorts also completed a set of patient-reported outcomes measures (PROMs) including visual analog scales (VAS) for pain (neck, middle-back, low-back, leg) and the Oswestry Disability Index (ODI).

Testing procedures

Each subject performed a series of fve over-ground walking trials on a 10-m walkway at a normal, self-selected speed. A full gait cycle was taken from the middle of the ffth trial for kinematic analysis.

Data acquisition

Kinematic data were collected at 100 Hz using a ten-camera Vicon motion tracking system (Vicon, Oxford, UK) and was low-pass fltered using a fourth-order Butterworth flter at a 6 Hz cutoff frequency. Normalized gait cycle data of both legs of the healthy control cohort frst entered into the GDI calculation as the reference set (Appendix in Table [6\)](#page-7-13), and then, each degenerative cohort's left and right GDIs were calculated [[19](#page-7-11)]. Walking speed of both legs was also calculated from event timing of the kinematic data for both legs. Data analysis was done using a custom MATLAB program (The Math Works, Natick, MA, USA) and Excel (Microsoft, Redmond, WA, USA).

Statistical methods

Symmetry of GDIs between left and right legs were compared using paired t-tests within each cohort. Independentsample (two-sample assuming equal variance) t-tests were used to compare side-averaged GDI scores of each degenerative cohort to the healthy cohort. Independent-sample

BMI body mass index, *CSM* cervical spondylotic myelopathy, *ADS* adult degenerative scoliosis, *DLS* degenerative lumbar spondylolisthesis, *LD* lumbar degeneration

Table 1 Summary of study subject demographics

Fig. 1 Example of a patient ftted with a full-body refective marker set (left) performing an over-ground walking trial (right)

(two-sample assuming equal variance) t-tests were also used for inter-cohort comparisons of side-averaged GDI among the degenerative cohorts. Pearson correlations were used to test for signifcant relationships between side-averaged GDI and side-averaged walking speed within each cohort. Statistical analyses were performed with Excel and R (The R Foundation for Statistical Computing, Vienna, AT).

Results

Patient‑reported pain and disability

Table [2](#page-3-1) provides a summary of PROM data for pain and disability among the degenerative cohorts. All cohorts indicated at least one score of greater than 5 which typically was the score most closely related to the region of the pathology: CSM reported the highest neck and arm pains, ADS had high low-back and leg pains, and DLS and LD had high middle-, low-back, and leg pains. All degenerative cohorts indicated severe disability with average ODI scores all being greater than 40 and an average NDI of over 40 for the CSM group.

Comparison of left‑ and right‑sided GDI scores

Table [3](#page-4-0) provides a summary of side-to-side comparisons of GDI and walking speeds among all study cohorts. No significant differences were found between left and right

Table 2 Summary of patient-reported outcome measures for pain and disability among symptomatic spinal degeneration cohorts

	Cohort VAS neck	VAS arm	VAS middle-back VAS low-back		VAS leg	ODI	NDI.
CSM			5.0 ± 3.2 (n = 89) 3.8 ± 3.4 (n = 88) 2.8 ± 3.0 (n = 87) 3.8 ± 3.2 (n = 88)		2.6 ± 2.9 (n = 87)	$42.0 + 20.6$ $(n = 87)$	45.0 ± 20.1 $(n=89)$
ADS						1.8 ± 2.6 (n=87) 1.2 ± 2.5 (n=86) 4.0 ± 3.1 (n=106) 6.1 ± 3.1 (n=106) 3.9 ± 3.6 (n=106) 41.9 ± 15.9 (n=105) NA	
DLS			1.7 ± 2.3 (n=56) 1.2 ± 2.2 (n=56) 4.7 ± 2.9 (n=56)	4.9 ± 3.6 (n = 56)	$5.5 \pm 3.4 (n = 56)$	$40.7 \pm 13.1 (n=53)$	NA.
LD		0.7 ± 1.5 $(n=41)$ 0.4 ± 1.0 $(n=41)$ 3.2 ± 3.1 $(n=41)$		$4.4 + 3.5 (n=41)$	$6.6+3.0 (n=41)$	$46.4 + 16.2 (n=41)$	NA

Values are reported as mean \pm 1 SD

VAS visual analog scale, *ODI* Oswestry Disability Index. *NDI* Neck Disability Index, *CSM* cervical spondylotic myelopathy, *ADS* adult degenerative scoliosis, *DLS* degenerative lumbar spondylolisthesis, *LD* lumbar degeneration

GDI scores. Significant differences were found between left and right walking speeds for CSM $(p = 0.030)$, ADS $(p=0.003)$, and DLS $(p=0.001)$ cohorts; however, the relative differences were small.

Comparisons of side‑averaged GDI and walking speeds

Figure [2](#page-5-0) provides histogram and density plots of sideaveraged GDI and walking speed distributions by cohort. Table [4](#page-5-1) provides a summary of two-sample comparisons of degenerative cohorts to healthy controls as well as intercohort pairwise comparisons for side-averaged GDI and side-averaged walking speed. All degenerative cohorts exhibited significantly lower GDI values compared to the healthy controls (all $p < 0.001$). Within the pairwise comparisons of degenerative cohorts, the only signifcant diference identifed was with LD having a lower score than CSM $(p=0.021)$. All degenerative cohorts also exhibited signifcantly slower walking speeds compared to healthy controls (all $p < 0.001$). No significant difference in walking speed was seen within inter-cohort pairwise comparisons.

Correlation of GDI to walking speed

Table 3 Summary of side comparisons of GDI and walking speed by cohort

Table [5](#page-6-0) provides a summary of Pearson correlations between side-average GDI and side-averaged walking speed. All correlation coefficients indicated positive trends between greater GDI scores and increasing walking speed; however, the only signifcant correlation found was for the ADS cohort with an r of 0.278 ($p = 0.008$).

Discussion

The goal of this study was to provide preliminary GDI scores for common degenerative spinal conditions and to identify any basic diferences between cohorts and relationships of cohort GDI scores to walking speed. All degenerative cohorts showed signifcant abnormality in gait according to their GDI scores which were calculated relative to healthy controls. No signifcant diferences were seen in left- versus right-sided GDI scores which indicate that gait performance was symmetric among the study cohorts, even in the presence of measurable abnormality as defned by GDI. Average GDI scores among the degenerative cohorts ranged from a value of 90 out of 100 for CSM as the highest (most normal) score to a value of 86 out of 100 for LD as the lowest (most abnormal) score. The CSM to LD comparison was the only inter-cohort comparison that reached statistical signifcance which indicates that diferentiation of the other cohorts included in this study by score is likely not reliable with GDI alone. It is not unsurprising that DLS and LD cohorts had similar GDI scores as both share similar symptoms in terms of pain and neurological deficits [\[11,](#page-7-14) [30](#page-8-7)]. ADS may also share similar symptoms; however, there are added considerations such as degree of coronal deformity and a greater range of possible levels requiring treatment which may diferentiate it from other lumbar pathologies in terms of effects on gait normality $[9, 31]$ $[9, 31]$ $[9, 31]$ $[9, 31]$. CSM was the most unique cohort included in this study as it was the only condition representing degeneration other than lumbar. Although the GDI scores among the CSM patients were the most normal among the degradative cohorts, CSM has been shown to have strong efects on gait, balance, and proprioception

Cohort		Left GDI	Right GDI	p value	Left walking speed (m/s)	Right walking speed (m/s)	<i>p</i> value
Healthy	$Avg \pm Std$	$100.0 + 10.0$	$97.4 + 12.7$	0.269	$1.07 + 0.13$	$1.06 + 0.13$	0.174
	Range	75.0-119.9	58.9-120.9		$0.83 - 1.36$	$0.81 - 1.29$	
CSM	$Avg \pm Std$	$90.6 + 12.2$	$90.5 + 12.4$	0.961	$0.93 + 0.16$	$0.91 + 0.17$	$0.030*$
	Range	57.8-113.9	57.3-119.3		$0.50 - 1.44$	$0.51 - 1.58$	
ADS	$Avg \pm Std$	87.5 ± 12.9	88.8 ± 13.7	0.316	$0.9 + 0.18$	0.89 ± 0.18	$0.003*$
	Range	$55.2 - 111.0$	$63.1 - 121.5$		$0.42 - 1.29$	$0.41 - 1.30$	
DLS.	$Avg \pm Std$	$89.2 + 12.1$	89.5 ± 11.3	0.830	$0.92 + 0.16$	$0.90 + 0.16$	$0.001*$
	Range	$65.1 - 112.3$	$66.2 - 109.0$		$0.48 - 1.33$	$0.49 - 1.26$	
LD	$Avg \pm Std$	$85.9 + 12.2$	$85.8 + 11.2$	0.995	$0.87 + 0.15$	$0.86 + 0.15$	0.271
	Range	$60.7 - 109.1$	$63.8 - 112.8$		$0.54 - 1.21$	$0.53 - 1.21$	

Values are reported as mean \pm 1 SD

GDI Gait Deviation Index, *CSM* cervical spondylotic myelopathy, *ADS* adult degenerative scoliosis, *DLS* degenerative lumbar spondylolisthesis, *LD* lumbar degeneration

*Indicates signifcance at *p*<0.05

Fig. 2 Histograms (top row) and density plots (bottom row) for left/right-averaged GDI (left plots) and walking speed (right plots) indicating study cohort distributions. Histograms show total subject distributions with colors indicating cohort proportions

Cohort	GDI	Pairwise comparisons $(p$ value)			Walking speed (m/s)	Pairwise comparisons $(p$ value)				
		Healthy	CSM	ADS	DLS		Healthy	CSM	ADS	DLS
Healthy	98.7 ± 8.6					1.07 ± 0.13				
CSM	$90.4 + 10.3$	$< 0.001**^{\dagger}$				$0.92 + 0.16$	$< 0.001**^{\dagger}$			
ADS	88.1 ± 11.5	$< 0.001**^{\dagger}$	0.176			$0.90 + 0.18$	$< 0.001**^{\dagger}$	0.401		
DLS	$89.4 + 10.4$	$< 0.001**^{\dagger}$	0.576	0.515		$0.91 + 0.16$	$< 0.001**^{\dagger}$	0.702	0.597	
LD	$85.9 + 9.6$	< 0.001 ** [†]	$0.021*$	0.268	0.095	0.87 ± 0.14	$< 0.001**^{\dagger}$	0.100	0.397	0.160

Table 4 Summary of pairwise cohort comparisons for side-averaged gait deviation index scores and walking speeds (mean±SD)

GDI Gait Deviation Index, *CSM* cervical spondylotic myelopathy, *ADS* adult degenerative scoliosis, *DLS* degenerative lumbar spondylolisthesis, *LD* lumbar degeneration

*Indicates significance at $p < 0.05$

**Indicates signifcance at *p*<0.001

† Indicates signifcance at a Bonferroni-corrected *α* of *p*<0.0025

due to its neurologic and myelopathic components [[9,](#page-7-5) [13,](#page-7-15) [32](#page-8-9), [33](#page-8-10)]. The fndings of this study suggest that GDI may not be the best indicator for identifying the key aspects of reduced gait function among CSM patients although it may still serve as a simple measure of gait abnormality compared to healthy individuals.

Previous investigations of GDI have demonstrated a dependency of GDI to positively correlate with walking speed such that worse GDI scores reflect slower speed [[22,](#page-8-11) [34](#page-8-12)]. The results of our study did show positive correlations across all groups GDI scores with walking speed, however, ADS was the only one to show a signifcant relationship. In a 2014 study of rheumatoid arthritis patients by Esbjornsson **Table 5** Summary of Pearson correlations between sideaveraged GDI and walking speed by cohort

CSM cervical spondylotic myelopathy, *ADS* adult degenerative scoliosis, *DLS* degenerative lumbar spondylolisthesis, *LD* lumbar degeneration

*Indicates signifcance at $p < 0.05$

et al. [[22\]](#page-8-11), GDI was derived from data collected at both a subject-selected speed and a speed-matched GDI to account for speed-related efects and found a small but signifcant increase of approximately 4 points for speed-matched GDI compared to the standard calculated value $(p=0.017)$. While a signifcant change was found by adjusting GDI for speed, the degree of the change still requires further relation to clinically meaningful thresholds for interpretation. In the absence of such references, an adjustment may not necessarily provide a substantial improvement in the meaning of the base GDI score. The use of GDI in addition to standard spatiotemporal measures likely provides an optimal means to account for this dependency.

A key feature of the design of the GDI is that a single standard deviation is normalized to be approximately equal to 10 points away from the healthy control mean and that each additional 10 points away represents another standard deviation away. This scheme allows for a simple inference of the relative distance of a given score to the healthy control reference. In practice, however, there is a need to determine the meaning of a standard deviation in context with a clinically meaningful and interpretable way. Previous studies have investigated the use of reliability measures like standard error measurement (SEM) and interclass correlation (ICC) to defne clinically derived thresholds relevant to a particular pathology of interest [[22,](#page-8-11) [23\]](#page-8-0). These studies found varying degrees of success in determining whether GDI could be reliable and sensitive enough to be used as a meaningful outcome measure for particular pathologies. Repeatability of GDI scoring among rheumatoid arthritis patients was found to be very good resulting in good sensitivity to natural variation in gait [[22](#page-8-11)]. In the present study, standard deviations among the degenerative cohorts were all approximately 10 which coincides with a single standard deviation. Additional investigation is needed to determine if variance of GDI scores among degenerative spine pathologies can allow for adequate detection of natural gait variations driven by the underlying pathologies.

Even if clinically relevant thresholds for pathologyspecific GDIs can be identified, there is still a fundamental challenge in interpreting a composite score like GDI. In the original development of the GDI, Schwartz et al. [[19\]](#page-7-11) pointed out that meaning might be added to the GDI by considering which "gait features" are used in the calculation based on relevance to a particular pathology. In the present study, we chose to use the standard features for GDI in order to provide preliminary baseline values among our degenerative cohorts. A modifed GDI calculation tailored to address more relevant features of degenerative spine pathologies could include more parameters of the torso like lumbar lordosis and thoracic kyphosis. Inclusion of such features may provide better sensitivity to spine-related conditions and to their specifc characteristics of altered gait. Ultimately, the optimal strategy would likely be to use GDI in conjunction with existing, validated measures of gait function like spatiotemporal parameters and standard clinical tests like the time-up-and-go test [[9,](#page-7-5) [35\]](#page-8-13). Utilization of several data types in addition to GDI may help elucidate the strengths or shortcomings of the GDI as a threshold indicator for additional, possibly more in-depth, evaluation of functional abilities.

It is important to note several limitations of this study. The healthy control group used for GDI calculation referencing is younger and has a lower BMI compared to the symptomatic cohorts which may infuence the relative diferences seen in the GDI scores. The calculation coefficients provided in Appendix in Table [6](#page-7-13) can be used to compare our control group to other groups and to be used as reference data for calculation of GDI for data sets which a control is not available. Another limitation of this study was that the LD cohort was comprised of three common types of lumbar denegation which may have independent diferentiating factors driving the low overall GDI score. Future investigation is needed to discern factors within this cohort. Additionally, there is an inherent error in gait analysis utilizing 3D motion tracking including variance in marker placement, accuracy in motion tracking, and the need for post-collection processing and fltering of data.

Conclusion

This study provides GDI data among patients with the most common degenerative spine conditions which were calculated using a healthy adult control reference group. These baseline data can now serve as a reference point for further evaluation of GDI to determine its suitability and relevance to spine patients. Additionally, by referring to our healthy control coefficients, other research centers can compare GDI data from their own patients and to other healthy controls. The fndings of this study indicate that the standard GDI score as originally developed can indeed identify signifcant abnormalities across all degenerative cohorts included in this study. When used in conjunction with other functional gait measure like spatiotemporal measures or standard clinical tests, the GDI may serve as a single value and useful baseline indicator of poor gait ability and further examination. Future investigations are needed to further examine the sensitivity and reliability of GDI as a useful and meaningful indicator of gait abnormality among spine pathologies.

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IRB Approval The study was approved by the Western Institutional Review Board (IRB#: 20152881).

Compliance with ethical standards

Conflict of interest The authors declare that they have no competing interests.

Appendix

See Table [6.](#page-7-13)

The coefficients were calculated using the GDI calculator provided by Schwartz et al. [[19\]](#page-7-11)

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Afliations

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