ORIGINAL ARTICLE



Analysis of coordination between thoracic and pelvic kinematic movements during gait in adolescents with idiopathic scoliosis

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Abstract

Purpose In this research, we investigated the coordination pattern and consistency of coordination between the thorax and pelvis during gait in patients with idiopathic scoliosis.

Methods Across the study, 69 adolescent girls (controls: 30, patients: 39) participated. All participants were asked to walk 10 m barefoot at a self-selected speed. The walking speed, stride length, and range of motion of the pelvic and thoracic angles were collected using a three-dimensional optical motion analysis system, and the thorax–pelvis coordination was quantified using a vector coding technique. The frequency of four different patterns of coordination (in-phase, anti-phase, pelvis only, and thorax only) and the consistency of coordination including direction and magnitude during the gait cycle of the two groups were investigated. Independent-sample t tests were performed to examine differences between the two groups with regard to coordination patterns and consistency.

Results The patients with idiopathic scoliosis showed significantly higher in-phase and relatively lower antiphase in the transverse plane compared to controls. Additionally, the pelvis only in the transverse, frontal, and

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² Department of Orthopedics, Scoliosis Research Institute, Korea University Medical College, Guro Hospital, 148 Gurodong-ro, Guro-gu, Seoul 152-703, Korea sagittal planes was significantly lower in patients. The consistency of coordination in patients was significantly lower than in controls in direction and magnitude on the transverse and frontal planes.

Conclusion From viewpoint of the thorax–pelvis coordination, patients with IS had less gait stability in the trunk than controls.

Keywords Idiopathic scoliosis · Gait analysis · Coordination · Vector coding technique · Stability

Introduction

Idiopathic scoliosis (IS) is the most frequent type of scoliosis. It involves three-dimensional deformation of the spine and is known to alter postural orientation and cause a pathological gait [1–5]. Patients with IS have an asymmetric postural orientation of the shoulder and pelvis in the transverse and frontal planes in static condition and asymmetric movement of the trunk [3], a smaller range of motion (RoM) of the pelvis and spine in the transverse and frontal planes [1], and greater stiffness of the quadratus lumborum and erector spinae muscles in dynamic condition [6, 7]. The asymmetrical, restricted movement and muscle stiffness induce abnormalities of the thorax–pelvis coordination needed to maintain stability of the trunk [8, 9].

Coordination is defined as the ability to maintain a proper cyclic relationship between different segments or joints [10]. In particular, thorax-pelvis coordination has the important role of maintaining the stability of the entire body in a normal gait because the thorax and pelvis minimize the angular momentum of the trunk by counterrotating toward each other [11–14]. Also, the coordination

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can be quantitatively analyzed by pattern and consistency [8, 12, 15, 16]. The thorax-pelvis coordination pattern can be categorized as in-phase if the two segments tend to rotate in the same direction; anti-phase if the two segments tend to rotate in opposite directions; pelvis only if only the pelvis rotates; and thorax only if only the thorax rotates [17]. Those categories allow us to quantify which type of coordination pattern dominates in stable movement [18]. On the other hand, in periodic repeated movements such as walking, the consistency of coordination represents maintenance of stable coordination and can be quantified as the direction and magnitude for the relative movement of the two segments [16]. Generally, healthy individuals have a higher anti-phase coordination pattern and a greater consistency of coordination between the thorax and pelvis than patients with pathology. Those are the fundamental characteristics of trunk movement for gait stability [15, 16, 19, 20].

Several studies have investigated the thorax-pelvis coordination of patients with trunk-related diseases to evaluate gait stability. During walking or running, patients with low back pain showed a higher in-phase coordination pattern in the frontal or transverse plane than normal. That pattern is characteristic of coordination that increases the stability of lumbar movement by restraining the counterrotation of the thorax and pelvis to reduce strain on the soft tissues surrounding the lumbar column [21]. Also, patients with ankylosing spondylitis were shown to have in-phase thorax-pelvis coordination in the transverse plane during a loading response (sub-phase of the gait cycle) because of trunk axial stiffness. Those results indicate that ankylosing spondylitis patients have a less stable gait than normal [19]. Field-Fote and Tepavac [15] demonstrated that patients with spinal cord injury have lower consistency of coordination in the sagittal plane than normal and that training can improve the consistency of coordination to stabilize the gait for patients. Analysis of coordination is useful for assessment of gait stability and has been widely used for other diseases; however, the coordination of patients with IS has not yet been investigated.

Because spinal deformity alters the center of mass, it causes development of asymmetric trunk movement and a decline in gait stability [1, 2]. Also, IS patients have problems with dynamic balance control caused by their asymmetry of trunk rotation in the transverse plane [2, 22], smaller trunk RoM because of muscle stiffness (such as in the quadratus lumborum and erector spinae) [6], vestibular dysfunction [23], and an impaired somatosensory system [24]. All of those symptoms can cause unstable gait. To understand how IS patients maintain a stable gait, researchers commonly use RoM or asymmetric kinematic variables, which are suitable for analyzing movement changes in a specific segment. On the other hand, those variables provide limited information about the coordination of adjacent segments in ensuring a stable gait. Therefore, analysis of how coordination between two different segments maintains stability in the trunk is required additionally to investigate the gait stability for IS patients.

The purpose of our study is to investigate the characteristics of thorax-pelvis coordination in IS patients to evaluate gait stability. We quantitatively compared the pattern and consistency of thorax-pelvis coordination during gait between patients with IS and normal participants. We have hypothesized that IS patients have (1) a higher in-phase coordination pattern, (2) lower consistency of coordination than normal controls during gait.

Materials and methods

Subjects

A total of 69 adolescent girls participated in the study. We fully explained the purpose and process of the experiments and obtained consent from all participants prior to their participation. All experimental processes were carried out under the approval of the Institutional Review Board of Korea University Guro Hospital. A group of 39 patients $(age = 15.1 \pm 2.1 \text{ years},$ height = 155.2 ± 8.2 cm, weight = 45.6 ± 9.5 kg) were interviewed to examine the clinical history such as birth history and normal growth history, and were diagnosed with IS by an orthopedic clinician. All patients were selected who had no sign of a motor grade, sensory response of upper and lower extremities and pathological neurological responses which were evaluated through physical examination; and had Cobb's angle greater than 10° with no history of any treatment or surgery. Among the patients, 23 had a main curvature at the thoracic level and 16 at the thoracolumbar level. The average Cobb's angle was 33.6° with standard deviation $\pm 11.8^{\circ}$ and range 13.0° -65.0°. IS patients with leg length discrepancies higher than 1 cm with low back pain were excluded from this study. The control group was composed of 30 age-matched adolescent girls $(age = 14.8 \pm 2.7 \text{ years},$ height = 154.9 ± 5.6 cm, weight = 44.7 ± 6.3 kg) with no history of musculoskeletal disease and no spinal deformation in the frontal or sagittal planes.

Protocol and data collection

To obtain motion data for each participant, we attached a total of 14 reflective markers, each with a diameter of 14 mm, to the thorax, pelvis and feet of the subjects using double-sided adhesive tape according to a modified Helen Hayes marker protocol [25]. The locations of the reflective

markers were selected by palpation on anatomical landmarks with agreement from a skilled operator and a clinician to minimize inter-experimentalist error. To obtain the thoracic segment angle, a local coordination system was created using four reflective markers attached to the seventh cervical vertebrae, tenth thoracic vertebrae, jugular notch where the clavicles meet the sternum, and xiphoid process of the sternum. The relative rotation angle was calculated with respect to the laboratory coordinate system. The sequence used to obtain the thoracic segment angle was also used to determine the pelvic segment angle with reflective markers attached to the left anterior superior, right anterior superior, left posterior superior, and right posterior superior iliac spine (Fig. 1a). To obtain the spatiotemporal variables, a total of six reflective markers were attached to the lateral malleolus, calcaneus and second metatarsal head of each foot. Vicon motion analysis system (six-camera system 460, 120 Hz, Vicon Motion Systems, Oxford, UK) and SB-InScan foot pressure measurement system (SWING BANK Ltd., Republic of Korea) were used to calculate the spatiotemporal variables and kinematic data on the thorax and pelvis [26]. Participants underwent sufficient walking practice in the laboratory, and we recorded six repetitive walking trials of a 10-m straight line, walked barefoot at a self-selected speed. Kinematic data sets were low-pass filtered with a fourth-order Butterworth filter using a cut-off frequency of 6 Hz to remove noise [27]. All walking trials were normalized through cubic spline interpolation to the 100 % gait cycle measured from one heel strike to the following heel strike of same foot. The experimental protocols to data collection were conducted by a single skilled operator and clinician.

Spatiotemporal variables and range of motion of the pelvic angle

Spatiotemporal variables, such as walking speed, stride length, stance phase, and the RoM of the pelvic angle were calculated to assess the fundamental characteristics of gait in controls and patients [1, 6, 7]. Walking speed and stride length were normalized by leg length into a dimensionless value to eliminate influences of body size [28]. The average of the spatiotemporal variables and RoM of the pelvic angle from six repetitive trials was used as a representative value for each participant.

Vector coding technique

Vector coding technique (VCT) was used to quantify the coordination between the thorax and pelvis of each subject during gait [16]. VCT is a common non-linear technique

for quantifying the pattern and consistency of coordination by calculating the coupling angle in an angle-angle plot. This technique has the advantage of not losing any spatial information for segment movement because it does not require normalization of data [29]. It was designed to give the direction and magnitude of coordination using segmental or joint angle for easy access to clinicians [15]. To identify the coordination pattern, an angle-angle plot was constructed with a horizontal axis of the pelvic angle and a vertical axis of the thoracic angle. The coupling angle (γ) was defined as the positive direction angle between a horizontal line and the vector constructed from the *n*th point toward the n + 1th point of a normalized gait cycle, shown as the angle-angle plot, with a range of 0°-360° (Fig. 1b). Coordination patterns were categorized following the method proposed by Chang et al. [17] as described in the introduction (Fig. 1c, d). Each coordination pattern showed a frequency during one gait cycle that was normalized to 100 %. For instance, if the in-phase coordination pattern was observed for 25 % of one normalized gait cycle, it is expressed as in-phase = 25. The average coordination pattern from six repetitive kinematic data sets was used as a representative value for each participant, and gait data on all six data sets were used for coordination consistency calculations.

The consistency of coordination was represented as direction *a* and magnitude *m* calculated from the VCT [16]. The value *a* represents a direction component of consistency for the vector constructed to calculate the coupling angle at the same instance period from several gait cycles (Fig. 1e). The value *m* represents a magnitude component of consistency for the vector with horizontal axis ($x_{n,n+1}$) and vertical axis ($y_{n,n+1}$). The magnitude of the vector is expressed as $l_{n,n+1}$. The cosine and sine of $\gamma_{n,n+1}$ can be calculated using the formulas below.

$$\cos \gamma_{n,n+1} = x_{n,n+1} / l_{n,n+1}$$
(1)

$$\sin \gamma_{n,n+1} = y_{n,n+1} / l_{n,n+1}$$
(2)

Repetition of six trials of this process allowed us to calculate the mean cosine $(\overline{\cos \gamma_{n,n+1}})$ and mean sine $(\overline{\sin \gamma_{n,n+1}})$ for each value from each trial. Using formula below, the value of *a* from the *n*th to the *n* + 1th frame can be calculated.

$$a_{n,n+1} = \sqrt{\left(\cos \gamma_{n,n+1}\right)^2} + \overline{\left(\sin \gamma_{n,n+1}\right)^2}$$
(3)

Given the average value of $a_{n,n+1}$ for all normalized gait cycles from the formula above, the final value of *a* can be calculated. Let the standard deviation of $l_{n,n+1}$ for six trials



Fig. 1 Marker placement, coordination pattern, and consistency of the thorax and pelvis. **a** Marker placement of the thorax and pelvis used for the present study. **b** Calculation of coupling angle in the angle–angle plot. **c** Definition of coordination pattern. **d** Sample representation of coordination patterns between the thorax and pelvis in frontal plane motion. **e** Conceptual description of the consistency

of coordination. The values of a and m indicate the consistency of coordination. Assuming an angle-angle plot with six trials, with motion expressed from the *n*th to the n + 1th frame as a vector, a approached 1 as the six vector directions converged, and m approached 1 as the six vector magnitudes converged. When direction and magnitude were similar, a and m both approached 1

be represented as $\sigma_{n,n+1}$, and let the largest value from $\sigma_{1,2}$ to $\sigma_{99,100}$ be represented as σ_{\max} , $m_{n,n+1}$ from the interval of the *n*th to the *n* + 1th frame can be calculated using the formula below.

$$m_{n,n+1} = 1 - \frac{\sigma_{n,n+1}}{\sigma_{\max}} \tag{4}$$

With the calculated $m_{n,n+1}$ from the process above, the final value *m* can be calculated by averaging the values of $m_{n,n+1}$ for all frames of the normalized gait cycle. The values of *a* and *m* range from 0 to 1. When those values are

close to 1, it indicates high consistency of coordination between the thorax and pelvis (Fig. 1e).

Statistical analysis

Independent-sample t tests were performed to compare controls and patients for spatiotemporal variables, RoM of the pelvic angle, coordination pattern, and consistency of coordination. Data normality of all variables was verified using the Shapiro–Wilk test. Significance level was 0.05, **Table 1** Spatiotemporalvariables and the RoM of thepelvic angle during gait

Parameters (unit)	Controls	(n = 30)	Patients $(n = 39)$		p value
	Mean	SD	Mean	SD	
Pelvic RoM in the transverse plane (deg)	15.08	2.30	12.73	4.91	0.028*
Pelvic RoM in the frontal plane (deg)	12.38	2.38	8.11	1.97	0.000*
Pelvic RoM in the sagittal plane (deg)	2.27	0.63	2.30	0.58	0.870
Normalized walking speed (dimensionless)	0.41	0.03	0.38	0.04	0.013*
Normalized stride length (dimensionless)	1.47	0.04	1.38	0.11	0.000*
Stance phase (%)	61.58	1.17	60.88	1.56	0.149

SD standard deviation, $\hat{v} = v/\sqrt{gl_{leg}}$, $\hat{l}_{stride} = l_{stride}/l_{leg}$ normalized variables calculated, \hat{v} normalized walking velocity, v walking velocity, g gravitational constant, l_{leg} leg length, \hat{l}_{stride} normalized stride length, l_{stride} stride length * p < 0.05

and all analyses used SPSS 21.0 software (SPSS Inc., Chicago, IL, USA).

Results

Spatiotemporal variables and range of motion of the pelvic angle

Table 1 shows the spatiotemporal variables and RoM of the pelvic angle of controls and patients. The results for controls measured within the normal limits are reported in previous studies [11, 30–33]. Significant differences were observed in walking speed, stride length, and RoM of the pelvic angle in the transverse and frontal planes between patients and controls (p < 0.05). Stance phase and the RoM of the pelvic angle in the sagittal plane showed no significant differences between groups.

Coordination pattern

Figure 2 shows the coordination patterns between the thorax and pelvis in controls and patients. In the transverse plane, patients had significantly higher in-phase coordination (patients, 35.09 ± 12.22 , controls, 22.22 ± 10.35 , p < 0.05) and significantly lower antiphase coordination (patients, 11.85 ± 5.84 , controls, 16.10 ± 4.72 , p < 0.05) than controls. The pelvis only in the patients was significantly lower in all three anatomical planes (transverse plane, patients, 39.93 ± 11.62 , controls, 49.99 ± 12.63 , p < 0.05; frontal plane, patients, 56.44 ± 13.00 , controls, 66.40 ± 14.63 , p < 0.05; sagittal plane, patients, 11.53 ± 5.59 , controls, 13.70 ± 3.04 , p < 0.05). Other coordination patterns were similar in controls and patients.



Fig. 2 Differences in coordination patterns between IS patients and controls. *Horizontal axis* four coordination pattern types; *vertical axis* the frequency of each coordination pattern when the gait cycle was normalized to 100 %; *p < 0.05

Vector coding values	Controls $(n = 30)$		Patients $(n = 39)$		p value
	Mean	SD	Mean	SD	
In the transverse plane					
а	0.88	0.06	0.81	0.10	0.001*
m	0.40	0.08	0.33	0.08	0.013*
In the frontal plane					
а	0.88	0.04	0.84	0.07	0.043*
m	0.44	0.08	0.39	0.07	0.010*
In the sagittal plane					
а	0.80	0.09	0.79	0.07	0.656
т	0.37	0.07	0.33	0.07	0.120

 Table 2
 Mean and standard deviation of consistency of coordination

 between thorax and pelvis

SD standard deviation

* p < 0.05

Consistency of coordination

The value *a*, which indicates consistency in the direction component of coordination, and the value *m*, which indicates consistency in the magnitude component, were significantly lower (p < 0.05) in the patients than in the controls in the transverse and frontal planes (Table 2). However, in the sagittal plane, no significant differences in *a* or *m* were observed.

Discussion

In this study, the thorax-pelvis coordination of patients with IS during gait was investigated by comparing the coordination patterns and consistency with those of controls. The coordination patterns in all three anatomical planes differed between patients with IS and controls. In particular, in patients, the in-phase in the transverse plane was significantly higher than in controls, and the anti-phase was lower. The changes in thorax-pelvis coordination pattern could be related to the kinematics of those two segments because the coordination pattern was calculated from the segmental angles of the thorax and pelvis. Thus, the coordination pattern might be changed if at least one segment of thorax or pelvis has the changes of movement pattern [21]. Actually, the RoM of the pelvic angle of patients was significantly smaller than that of controls in the transverse and frontal planes (Table 1; Fig. 3a, b), which agreed with the results from Chen et al. [1] and Mahaudens et al. [6]. In those results, the smaller RoM in patients was explained as a mechanism to compensate for trunk imbalance caused by spinal deformity. Mahaudens et al. [6], in particular, explained the smaller RoM of pelvic angle as a



Fig. 3 Comparison of the pelvic angle. Mean pelvic angle \mathbf{a} in the transverse plane, \mathbf{b} in the frontal plane, and \mathbf{c} in the sagittal plane (*gray* patients; *black* controls; *solid line* mean; *dashed line* standard deviation)

result of prolonged activation of muscles in the lumbar spine and pelvis. Although an electromyographic study was not performed, patients from our study were thought to stabilize their trunk motion by lessening the RoM of their pelvic angle. Lessening the RoM of pelvic angle might cause changes in the coordination between the thorax and pelvis in patients with IS. Walking speed and stride length were lower in patients than in controls (Table 1). Those changes also lessened the RoM of the pelvic angle during walking [34] and induced in-phase coordination between the thorax and pelvis in the transverse plane [35, 36]. In summary, the lower RoM of pelvic angle, walking speed, and stride length seen in patients induce the higher in-phase coordination in the transverse plane of the thorax–pelvis coordination pattern. This suggests that patients with IS have a less stable gait than controls.

The pelvis only coordination in patients was significantly lower than in controls in all three planes. However, the thorax only coordination did not show a significant difference between patients and controls (Fig. 2). Because the thoracic angular momentum contributes more to whole-body angular momentum than does the pelvic angular momentum in a normal gait [13], changing the thoracic movement would be the most efficient way to achieve a stable gait. However, changes in pelvic movement, not thoracic movement, were observed in patients. Thus, the movements of the thorax with spinal deformation function normally; patients could self-regulate the thorax-pelvis coordination by adjusting pelvic movement. Although, pelvic movements in the sagittal plane in patients had a similar RoM to controls (Fig. 3c), the pelvis only coordination in patients was lower. This result arose from the small RoM of the pelvic angle in the sagittal plane compared to the RoM of the frontal and transverse planes. Thus, RoM in the sagittal plane is sensitive to slight pelvic movement and seems to affect coordination between the thorax and pelvis. A significant difference in coordination patterns in the sagittal plane was observed; however, those results should be interpreted with care.

The consistency of coordination between the thorax and pelvis was significantly lower in direction and magnitude in the transverse and frontal planes in the patients. These results indicate that the stability of control mechanisms underlying coordination behavior was lower in patients than in controls. According to previous studies on IS, patients have poor postural control related to trunk imbalance from spine deformation [1, 6, 22], somatosensory dysfunction [24], visual deficiency [37], proprioceptive deficiency [38], and vestibular disorders [23, 39]. These kinds of defects might affect the comprehensive motion control mechanism in a complex manner, and they could lower the consistency of coordination in patients with IS. Lastly, the direction component (the value *a*) had high reproducibility with regard to consistency of coordination, but magnitude component (the value *m*) had relatively low reproducibility. The counter-rotation (rotation in the reverse direction) of the thorax and pelvis on the vertical axis reduces the total body angular momentum [40], and the reduced angular momentum indicates that whole-body stability can be maintained in a normal gait [11-14]. Considering the main findings of previous studies, the direction component of coordination could have more contribution to the gait stability than the magnitude component of that, and it may be more reliable for researchers to apply the direction component when they assess gait stability based on coordination between the thorax and pelvis.

Conclusion

This study compared the coordination of patients with IS and controls during gait. Patients were found to have higher in-phase and lower anti-phase coordination in the transverse plane and also to have less consistency of coordination in the transverse and frontal planes than controls. Thus, from the viewpoint of the thorax-pelvis coordination, patients with IS had less gait stability in the trunk than controls. A limitation of this study was reproducibility of an everyday gait in a laboratory setting because of a lack of consecutive periodic kinematic data. Using an optical motion capture system and six infrared cameras, the capable measurement range during continuous walking was limited. The location of the reflective markers attached to subjects is very sensitive even for a skilled operator and can cause calculation errors as a result of small discrepancies; and, there would be soft tissue artifacts. Although we assumed that those errors could be ignored, they might have been included in the movement of the thorax and pelvis.

Despite those limitations, the results of this study contribute to the understanding of characteristics of coordination between the thorax and pelvis of IS patients. Thus, our results might be valuable in evaluating the gait stability of IS patients and in designing conservative treatments for maintenance of stable trunk movement. Also, IS can be classified in more detail using methods such as Cobb's angle, King type, and Lenke classification. However, the experimental design of the present study was unsuitable to analyze differences in patients classified with different types or degrees of IS. Additional research for the IS patients subdivided by classification method is required in the future.

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Conflict of interest None.

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