REVIEW

# Scaffolding in tissue engineering: general approaches and tissue-specific considerations

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**Abstract** Scaffolds represent important components for tissue engineering. However, researchers often encounter an enormous variety of choices when selecting scaffolds for tissue engineering. This paper aims to review the functions of scaffolds and the major scaffolding approaches as important guidelines for selecting scaffolds and discuss the tissue-specific considerations for scaffolding, using intervertebral disc as an example.

**Keywords** Tissue engineering · Scaffolding · Scaffolds · Biomaterials · Intervertebral disc

### Introduction

Since its emergence in the mid-1980s, tissue engineering has continued to evolve as an exciting and multidisciplinary field aiming to develop biological substitutes to restore, replace or regenerate defective tissues [59, 64]. Cells, scaffolds and growth-stimulating signals are generally referred to as the tissue engineering triad, the key components of engineered tissues. Scaffolds, typically made of polymeric biomaterials, provide the structural support for cell attachment and subsequent tissue development. However, researchers often

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encounter an enormous variety of choices when selecting scaffolds for tissue engineering. Hence, this paper reviews the functions of scaffolds, the scaffolding approaches and the tissue-specific considerations for scaffolding, using intervertebral disc as an example.

# Analogous functions of scaffolds and extracellular matrix

Apart from blood cells, most, if not all other, normal cells in human tissues are anchorage-dependent residing in a solid matrix called extracellular matrix (ECM). There are numerous types of ECM in human tissues, which usually have multiple components and tissue-specific composition. Readers are directed to detailed reviews for types of ECM [9, 90, 95] and their tissue-specific composition [13, 91, 112]. As for the functions of ECM in tissues, they can be generally classified into five categories (Table 1). Firstly, ECM provides structural support and physical environment for cells residing in that tissue to attach, grow, migrate and respond to signals. Secondly, ECM gives the tissue its structural and therefore mechanical properties, such as rigidity and elasticity that is associated with the tissue functions. For example, well-organized thick bundles of collagen type I in tendon are highly resistant to stretching and are responsible for the high tensile strength of tendons. On the other hand, randomly distributed collagen fibrils and elastin fibers of skin are responsible for its toughness and elasticity. Thirdly, ECM may actively provide bioactive cues to the residing cells for regulation of their activities. For examples, the RGD sequence on fibronectin triggers binding events [47] while the regular topological pattern stimulates preferred alignment of cells [26, 127]. Fourthly, ECM may act as reservoir of growth factors and

|    | Functions of ECM in native tissues  | Analogous functions of scaffolds in engineered tissues  | Architectural, biological, and mechanical features of scaffolds   |
|----|---|---|---|
| 1. | Provides structural support for cells to reside   | Provides structural support for exogenously<br>applied cells to attach, grow, migrate and<br>differentiate in vitro and in vivo       | Biomaterials with binding sites for cells; porous<br>structure with interconnectivity for cell<br>migration and for nutrients diffusion;<br>temporary resistance to biodegradation upon<br>implantation                     |
| 2. | Contributes to the mechanical properties of tissues   | Provides the shape and mechanical stability<br>to the tissue defect and gives the rigidity<br>and stiffness to the engineered tissues | Biomaterials with sufficient mechanical<br>properties filling up the void space of the defect<br>and simulating that of the native tissue   |
| 3. | Provides bioactive cues for cells to respond<br>to their microenvironment   | Interacts with cells actively to facilitate<br>activities such as proliferation and<br>differentiation                                | Biological cues such as cell-adhesive binding<br>sites; physical cues such as surface topography  |
| 4. | Acts as the reservoirs of growth factors and potentiates their actions  | Serves as delivery vehicle and reservoir for<br>exogenously applied growth-stimulating<br>factors                                     | Microstructures and other matrix factors retaining bioactive agents in scaffold   |
| 5. | Provides a flexible physical environment to<br>allow remodeling in response to tissue<br>dynamic processes such as wound<br>healing | Provides a void volume for vascularization<br>and new tissue formation during<br>remodeling   | Porous microstructures for nutrients and<br>metabolites diffusion; matrix design with<br>controllable degradation mechanisms and rates;<br>biomaterials and their degraded products with<br>acceptable tissue compatibility |

Table 1 Functions of extracellular matrix (ECM) in native tissues and of scaffolds in engineered tissues

potentiate their bioactivities. For example, heparin sulfate proteoglycans facilitate bFGF dimerization and thus activities [102]. Fifthly, ECM provides a degradable physical environment so as to allow neovascularization and remodeling in response to developmental, physiological and pathological challenges during tissue dynamic processes namely morphogenesis, homeostasis and wound healing, respectively.

Intuitively, the best scaffold for an engineered tissue should be the ECM of the target tissue in its native state. Nevertheless, the multiple functions, the complex composition and the dynamic nature of ECM in native tissues make it difficult to mimic exactly. Therefore, contemporary concept of scaffolding in tissue engineering is to mimic the functions of native ECM, at least partially. As a result, the important roles played by scaffolds in engineered tissues, as reviewed elsewhere [79, 109], are analogous to the functions of ECM in native tissues and are associated with their architectural, biological, and mechanical features (Table 1). Let us consider these functions and features as follows:

1. Architecture: Scaffolds should provide void volume for vascularization, new tissue formation and remodeling so as to facilitate host tissue integration upon implantation. The biomaterials should be processed to give a porous enough structure for efficient nutrient and metabolite transport without significantly compromising the mechanical stability of the scaffold. Moreover, the biomaterials should also be degradable upon implantation at a rate matching that of the new matrix production by the developing tissue.

- Cyto- and tissue compatibility: Scaffolds should provide support for either extraneously applied or endogenous cells to attach, grow and differentiate during both in vitro culture and in vivo implantation. The biomaterials used to fabricate the scaffolds need to be compatible with the cellular components of the engineered tissues and endogenous cells in host tissue.
- Bioactivity: Scaffolds may interact with the cellular 3. components of the engineered tissues actively to facilitate and regulate their activities. The biomaterials may include biological cues such as cell-adhesive ligands to enhance attachment or physical cues such as topography to influence cell morphology and alignment. The scaffold may also serve as a delivery vehicle or reservoir for exogenous growth-stimulating signals such as growth factors to speed up regeneration. In this regard, the biomaterials need to be compatible with the biomolecules and amenable to an encapsulation technique for controlled release of the biomolecules with retained bioactivity. For example, hydrogels synthesized by covalent or ionic crosslinking can entrap proteins and release them by a mechanism controlled by swelling of the hydrogels [11].

4. Mechanical property: Scaffolds provide mechanical and shape stability to the tissue defect. The intrinsic mechanical properties of the biomaterials used for scaffolding or their post-processing properties should match that of the host tissue. Recent studies on mechanobiology have highlighted the importance of mechanical properties of a scaffold on the seeded cells. Exerting traction forces on a substrate, many mature cell types, such as epithelial cells, fibroblasts, muscle cells, and neurons, sense the stiffness of the substrate and show dissimilar morphology and adhesive characteristics [35]. This mechanosensitivity has also been demonstrated in the differentiation of MSC [36], when stiffness of the agarose gel would determine the differentiation tendency. The hMSC would differentiate along the neuronal, muscle, or bone lineages according to stiffness that approximate those of the brain, muscle, and bone tissues, respectively.

#### Scaffolding approaches in tissue engineering

Over the last two decades, four major scaffolding approaches for tissue engineering have evolved (Fig. 1). It would be informative to highlight the working principles and the characteristics of these approaches (Table 2) before discussing the tissue-specific considerations.

#### Pre-made porous scaffolds for cell seeding

Fig. 1 Schematic diagram

showing different scaffolding

Since the birth of "tissue engineering" [65, 114], seeding therapeutic cells in pre-made porous scaffolds made of degradable biomaterials has become the most commonly used and well-established scaffolding approach. This approach represents the bulk of biomaterial research in tissue engineering, leading to enormous efforts in development of different types of biomaterials and fabrication technologies.

Many types of biomaterials can be used to make porous scaffolds for tissue engineering provided that a fabrication technology compatible with the biomaterial properties is available. Reviewing these biomaterials in detail is out of the scope of this paper and readers are directed to other reviews [51, 61, 92]. In general, biomaterials used for making porous scaffolds for tissue engineering can be classified into two categories according to their sources. namely natural and synthetic biomaterials. Naturally occurring biomaterials can be obtained from their natural sources and processed to make porous scaffolds. These materials can be in their native form, such as ECM from allografts and xenografts, or can be in the form of smaller building blocks, which include but not limited to inorganic ceramics such as calcium phosphates and organic polymers such as proteins, polysaccharides, lipids and polynucleotides. Natural biomaterials usually have superb biocompatibility so that cells can attach and grow with excellent viability. However, one issue with natural materials is their limited physical and mechanical stability and therefore they may not be suitable for some load-bearing applications. This is the reason why researchers using natural biomaterials are prompted to develop technologies improving and reinforcing the mechanical and shape stability of natural biomaterials. Examples include developing composites with synthetic material [14] and crosslinking [20, 22, 55]. Another issue is the potential immunogenicity, because natural biomaterials from allogenic or xenogenic sources may be antigenic to the hosts. As a result, researchers are attracted to technologies such as removal of telopeptides in procollagen [100] for reduction of immunogenicity.



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| Scaffolding approach                       | (1) Pre-made porous scaffolds for cell seeding  | (2) Decellularized extracellular<br>matrix for cell seeding   | (3) Confluent cells<br>with secreted<br>extracellular matrix   | (4) Cell encapsulated<br>in self-assembled<br>hydrogel  |
|--|---|---|--|---|
| Raw materials                              | Synthetic or natural biomaterials   | Allogenic or xenogenic tissues  | Cells  | Synthetic or natural<br>biomaterials able to<br>self-assemble into<br>hydrogels                     |
| Processing or<br>fabricating<br>technology | Incorporation of porogens in<br>solid materials; solid<br>free-form fabrication<br>technologies; techniques<br>using woven<br>or non-woven fibers | Decellularization technologies  | Secretion of<br>extracellular matrix<br>by confluent cells   | Initiation of self-<br>assembly process by<br>parameters such as<br>pH and temperature              |
| Strategy to combine<br>with cells          | Seeding   | Seeding   | Cells present before<br>extracellular matrix<br>secretion  | Cells present before<br>self-assembly   |
| Strategy to transfer<br>to host tissues    | Implantation  | Implantation  | Implantation   | Injection   |
| Advantages                                 | Most diversified choices for<br>materials; precise design for<br>microstructure and<br>architecture   | Most nature-simulating scaffolds<br>in terms of composition and<br>mechanical properties  | Cell-secreted<br>extracellular matrix<br>is biocompatible  | Injectable, fast and<br>simple one-step<br>procedure; intimate<br>cell and material<br>interactions |
| Disadvantages                              | Time consuming cell seeding<br>procedure; inhomogeneous<br>distribution of cells  | Inhomogeneous distribution of<br>cells, difficulty in retaining all<br>extracellular matrix,<br>immunogenicity upon incomplete<br>decellularization | Need multiple<br>laminations   | Soft structures   |
| Preferred applications                     | Both soft and hard tissues;<br>load-bearing tissues   | Tissues with high ECM content;<br>load-bearing tissues  | Tissues with high<br>cellularity,<br>epithelial tissues,<br>endothelial tissues,<br>thin layer tissues | Soft tissues  |

Table 2 Characteristics of different scaffolding approaches in tissue engineering

Synthetic biomaterials can be generally categorized into inorganic such as bioglasses, and organic such as synthetic polymers. It is generally believed that synthetic biomaterials have better controlled physical and mechanical properties and can be used to tailor for both soft and hard tissues. Nevertheless, for synthetic biomaterials, biocompatibility becomes the major issue because cells may have difficulties in attachment and growth on these materials. Therefore, many processes modifying the surface and bulk properties have been developed to improve their biocompatibility [78]. Examples include surface laser engineering [63] and coating with natural biomaterials such as collagen [16]. With the development of composites materials, the combinations of biomaterials for making porous scaffolds have become enormous. Multiple types of biomaterials with distinct properties can be blended and fabricated to be tailored for a particular application.

Over the last decade, development of fabrication technologies for porous scaffolds has been an intensive area of research. Readers are also directed to several excellent review articles for various fabrication technologies [25, 34, 50, 54, 107, 109, 124, 125]. In general, these technologies can be classified into (1) processes using porogens in biomaterials, (2) solid free-form or rapid prototyping technologies and (3) techniques using woven or non-woven fibers. In the first category [25], solid materials either in solids or dissolved in solvents, are incorporated with porogens, which could be gases such as carbon dioxide, liquids such as water or solids such as paraffin. The mixtures are processed and then cast or extruded. Porogens are removed after fabrication using methods such as sublimation, evaporation and melting to leave behind a porous structure in the scaffold. Example techniques include solvent casting and particulate leaching, gas foaming, freezedrying and phase separation [124]. In the second category, hierarchical porous structures are manufactured by sequential delivery of material and/or energy needed to bond the materials to preset points in space [50]. Some solid free-form fabrication technologies [34, 50, 54] such as selective laser sintering, stereolithography and 3D printing depend on precise delivery of light or heat energy in a scanner system to points of space in the material bed so as to bond or crosslink the materials to give solid structures in an otherwise soluble bed of materials. Some solid freeform fabrication technologies [50] such as wax printing rely on simultaneous delivery of solid materials and removable supporting materials in a layer-by-layer printing manner. In the third category, woven and non-woven fiber structures can be piled together and bonded using thermal energy or adhesives to give a porous meshwork using techniques such as fiber bonding [124], or fibers can be generated by the electrospinning technique, in which a high voltage is injected to a polymer solution where the electrostatic forces are built up to overcome the surface tension of the polymer solution and therefore form a spinning fiber jet [18, 26, 27, 32, 89, 107].

This scaffolding approach using pre-made porous scaffolds has a number of advantages. Firstly, this approach has the most diversified choice for biomaterials. Almost all biomaterials ranged from ceramics to hydrogels have suitable fabrication technologies. Secondly, relatively precise designs on architecture and microstructure of the scaffolds can be incorporated by this approach. Therefore, the physicochemical properties of the porous scaffolds are easily engineered in order to mimic the physical properties of native ECM in the target tissues. This is especially advantageous for making load-bearing tissues where the mechanical properties are important. Nevertheless, this approach also has certain disadvantages. In particular, postfabrication cell-seeding to porous scaffolds is time-consuming and inefficient because of the limited penetration ability of cells into the scaffolds. This usually leads to inhomogeneous distribution of cells in the scaffolds and therefore heterogeneous properties in the engineered tissues. As a result, various efforts such as agitation, perfusion and enlarged pore size are needed to enhance cell seeding efficiency and these methods are not free of problems, to list a few, low cell viability and high cost.

# Decellularized ECM from allogenic or xenogenic tissues for cell seeding

Acellular ECM processed from allogenic or xenogenic tissues are the most nature-simulating scaffolds, which have been used in tissue engineering of many tissues including heart valves [62], vessels [15], nerves [44], tendon and ligament [56]. This scaffolding approach removes the allogenic or xenogenic cellular antigens from the tissues as they are the sources for immunogenicity upon implantation but preserves the ECM components, which are conserved among species and therefore well tolerated immunologically. Specialized decellularization techniques are developed to remove cellular components and this is usually achieved by a combination of physical, chemical and enzymatic methods [9, 40]. In brief, cell membranes are lysed by physical treatments such as freeze-thaw cycles or ionic solutions such as hypo or hypertonic solutions before separating the cellular components from the ECM by enzymatic methods such as trypsin/EDTA treatment. The cytoplasmic and nuclear cellular components will then be solubilized and removed by a handful choice of detergents. The process parameters need to be optimized to aid complete decellularization with minimal disturbance on biochemical composition and mechanical properties of the ECM. Decellularized ECM can be used for homologous functions when the decellularized ECM is used to replace an analogous structural tissue that has been damaged. An example is to decellularize vessels as allogenic vascular grafts [15, 101]. Decellularized ECM can also be used for non-homologous functions when it is used for a purpose different from which it fulfills in its native state, or in a location of the body where such structural function does not normally occur. Examples are to use small intestinal submucosa (SIS) for vascular graft, tendon, dura mater, skin and other tissues [7, 8, 38, 49, 101] and to use amnion membrane for peripheral nerve regeneration [77]. The major advantage of this scaffolding approach is the most close-to-nature mechanical and biological properties of the decellularized ECM. Moreover, apart from the excellent biocompatibility of the natural ECM, growth factors preserved in the decellularized matrix may further facilitate cell growth and remodeling [9]. Nevertheless, cell seeding in decellularized ECM may also lead to inhomogeneous distribution while incomplete removal of cellular components may elicit immune reactions upon implantation [129].

## Cell sheets with self-secreted ECM

Cell sheet engineering represents an approach where cells secrete their own ECM upon confluence and are harvested without the use of enzymatic methods. This is achieved by culturing cells on thermo-responsive polymer, such as poly(N-isopropylacrylamide) coated culture dish until confluence. The confluent cell sheet is then detached by thermally regulating the hydrophobicity of the polymer coatings without enzymatic treatment. Such approach can be repeated to laminate multiple single cell layers to form thicker matrix. This technology is pioneered by Japanese group [84, 85, 110] and has been systemically applied to a number of applications such as cornea [82] in clinical trials and myocardium [106] in preclinical trials. The same approach has been modified and improved to produce patterned substrates for thicker tissue fabrication and for injectable applications [57]. Thermosensitive chitosan has also been investigated to create an aligned cell sheet [32]. Cell sheet engineering approach is excellent for epithelium, endothelium and cell-dense tissues [111, 123] as the formation of cell sheets require cells to grow into confluence at high density such that cells can form tight junctions with each other and secrete ECM of their own. High cell density and close association is a characteristic of epithelium and endothelium. Therefore, corneal epithelium and endothelium, vessel endothelium and tracheal epithelium are good candidates of this approach. Another advantage of the cell sheet engineering approach is that the laminated layers resulted in rapid neovascularization unlike transplantation of thick constructs with cell-seeded scaffolds. A recent report reviewed other advantages of the cell sheet engineering approach such as easy harvesting procedure, possibility of sutureless transplantation, and even distribution of cells in the sheet without mass transfer problem [31]. Nevertheless, one disadvantage of this approach is that it is difficult to construct thick tissues as each layer is around 30 µm thick. Multisurgeries such as 10 layers to form a 300 µm thick myocardial patch are required [104, 105]. This is less clinically feasible as multiple surgeries in patients are unlikely and therefore preformed patches are needed. The other disadvantage or perhaps limitation of cell sheet engineering approach is that constructing ECM rich tissues and hypocellular tissue such as bones, cartilage and intervertebral disc are unlikely as the amount of ECM secreted upon cell confluence is severely limited. In another word, tissues with rich ECM for load bearing purposes are unlikely to be fabricated by a cell sheet engineering approach [123].

### Cell encapsulation in self-assembled hydrogel matrix

Encapsulation is a process entrapping living cells within the confines of a semi-permeable membrane or within a homogenous solid mass [66, 86, 87, 113]. The biomaterials used for encapsulation are usually hydrogels, which are formed by covalent or ionic crosslinking of water-soluble polymers. Many types of biomaterials including natural and synthetic hydrogels can be used for encapsulation provided that the conditions inducing the hydrogel formation or the polymerization are compatible with living cells. Encapsulation has been developed over several decades and the predominating use is for immunoisolation during allogenic or xenogenic cell transplantation [87, 113]. Naturally occurring polysaccharides derived from algae, sodium alginate is the most commonly used material while other natural materials such as agarose [10] and chitosan [130] and synthetic materials such as poly (ethylene glycol) (PEG) [83] and polyvinylalcohol (PVA) [58] are also used. The most well-known application is xenogenic pancreatic cell transplantation for diabetes [41, 66] while applications for other disorders such as CNS insufficiency [86] and liver failure [24] are also reported. For immunoisolation to work, biomaterials encapsulating the cells need to be crosslinked or processed to become impenetrable to cells, impermeable to large molecules such as antibodies and cellular antigens but permeable to nutrients such as oxygen and glucose, metabolites such as carbon dioxide and lactic acid, and secreted therapeutic biomolecules from the encapsulated cells such as insulin from pancreatic beta cells. In case of a semi-permeable membrane, the encapsulated cells should have the ability to maintain viability and functionality in aggregates even though there is only solid anchorage support limited to the luminal surface. Pancreatic cells, hepatocytes and haematopoietic cells are of this type. In case of a homogenous solid mass, where the entrapped cells are closely interacting with the biomaterials, the biomaterials should have good biocompatibility enabling cellular attachment and growth. Nevertheless, the commonly used encapsulating materials such as alginate and agarose have limited ability to support cell attachment growth and differentiation, resulting in low cell viability and growth [42, 83, 131]. In many cases, a biomaterial with better biocompatibility such as collagen must be supplemented for improvement in cell viability [10, 42]. Collagen is a natural biocompatible and biodegradable material [126] and can be reconstituted into fibrous structures simulating the native ECM in tissues. Recently, a microencapsulation system immobilizing living cells within reconstituted collagen fiber meshwork has been established [19] and the collagen meshwork is able to provide a bio-mimetic scaffold supporting cell growth, migration [21], therapeutic protein secretion [122] and stem cell differentiation [52]. Moreover, chemical approaches have been used to design self-assembled peptides [39, 128] and these biomimetic peptides can also be used to entrap cells [117]. One important feature of encapsulation is that the biomaterials used are able to selfassemble from liquid monomers to solid polymer meshwork upon initiation, which is usually pH, temperature, ionic strength and light controlled. To list a few examples, alginate solidifies when its monomer solution is exposed to divalent ion solutions such as calcium chloride, where the calcium ion crosslinks the alginate; collagen monomers polymerize when they are switched from an acidic pH and a low temperature to a neutral pH and a body temperature; ethylene glycol modified with acrylic moiety starts to polymerize when it is exposed to UV light in the presence of a photo-initiator [81]. This unique feature combines the scaffold fabrication and the cell seeding into one-step procedure as cells can be mixed with the liquid biomaterials before initiation of polymerization. The advantages of this approach include simple one-step procedure, homogenous cell distribution in the hydrogel and excellent cell viability. Moreover, this self-assembled approach enables injectable application where the polymerization can be initiated after injection, leading to "setting" of the hydrogel after they are injected into the defective tissues. This represents a minimally invasive approach of tissue engineering and is advantageous when the defect is irregularly shaped. Because of the injectable feature of the scaffold, this approach is sometimes referred as injectable scaffolding or in situ tissue engineering. Nevertheless, hydrogel materials in this approach used usually have poor mechanical properties. As a result, this scaffolding approach is seldom used for tissues with load bearing functions.

#### Scaffolding in intervertebral disc tissue engineering

Selecting the scaffolding approach for tissue engineering is tissue- and application-specific. Intervertebral disc is chosen as an illustrating example in this review. Extensive efforts have been made to search for biological therapeutics for disc degeneration of different severity [48, 103]. Readers are directed to excellent reviews on structural functional relationship and pathophysiological aspects of IVD [1, 12, 116] and excellent reviews on potential biological therapies including growth factor, cell and tissue engineering approaches [3, 6, 37, 43, 88]. In this review, existing scaffolding approaches for disc regeneration and their insufficiencies, the unique considerations of intervertebral disc and the future directions of scaffolding in IVD tissue engineering will be reviewed (Table 3).

In early disc degeneration, disc cells in particular the nucleus pulposus (NP) cells become less capable to synthesize the proper ECM. The gelatinous NP becomes more fibrous with reduced water content. The primary to be repaired should be the capability of the NP cells to secrete the right matrix components in particular proteoglycans, which are responsible for the water absorbing function of the nucleus matrix. At this early stage, matrix loss is still limited or partial and there is no need to replace the bulk matrix. As a result, minimally invasive treatments such as injecting growth factors to stimulate the NP cells to synthesize proteoglycans [74] or injecting cells able to synthesize appropriate ECM such as bone marrow mesenchymal stem cells [48, 67, 108] are the most commonly proposed. Nevertheless, one important inadequacy of this approach is that the high disc pressure and the aqueous nature of the cell suspension usually result in depletion of the local availability of cells [5, 53]. As a result, use of injectable carriers is necessary to effectively deliver cells into the degenerative NP space. Therefore, self-assembled hydrogels able to suspend and deliver cells in solution via injection but which can solidify after injection presents an appropriate scaffolding approach. An example is to deliver mesenchymal stem cells in hyaluronan gel [30]. Nevertheless, these hydrogel carriers still have insufficient viscosity and stiffness resulting in immediate loss of the majority of injected cells (>96%) due to back-flow via the injection path [30]. This has been observed in our own group using other hydrogels (unpublished work). Therefore, better injectable approaches such as carriers with higher viscosity and stiffness, and in situ welding techniques such as laser welding, photochemical welding and use of biological glues at the injection site should be explored in order to prevent leakage and extrusion and thus improve the effectiveness of the injectable therapy.

Table 3 Existing scaffolding approaches, insufficiencies and future directions for IVD tissue engineering

| Stage of disc degeneration | Existing scaffolding approaches  | Insufficiencies  | Proposed future directions  |
|----------------------------|--|--|---|
| Early<br>degeneration      | Injection of cells with and without<br>hydrogel carriers (Approach 4)  | Poor engraftment and viability   | Improved viscosity and stiffness of carriers<br>to reduce leakage and extrusion;<br>welding or bioglue techniques to prevent<br>leakage and extrusion   |
| Mid-stage<br>degeneration  | Implantation of cell-seeded pre-made<br>porous scaffolds (Approach 1)<br>Implantation of cell-seeded<br>decellularized ECM (Approach 2)<br>Injection of cells with hydrogel carriers<br>to replace loss in matrix (Approach 4) | Lack of in vivo model; extrusion<br>of implants under loading  | Welding or bioglue techniques to prevent<br>leakage and extrusion; technologies to<br>enhance the mechanical properties of<br>scaffolds without compromising the cell<br>viability  |
| Late<br>degeneration       | Allogenic whole disc for total disc<br>replacement; (Approach 2) Building<br>interface between multiple tissue<br>components   | Short-term maintenance of disc<br>height and hydration;<br>degeneration of allograft in long<br>term; lack of remodeling cells | Better graft preservation technologies to<br>maintain matrix composition; scaffolds<br>providing appropriate microenvironment<br>for cells to remodel upon mechanical<br>stimulationImprovement of<br>proteoglycan retention in scaffolds for<br>nucleus replacements; improvement of<br>scaffold mechanical properties for stable<br>annulus replacements; combinatory<br>approach for IVD scaffolding;<br>engineering of stable tissue interfaces |

As the disc degeneration progresses, more ECM and structural changes such as proteoglycan and collagen degradation involving NP and sometimes annulus fibrosus (AF) ensue, leading to disc height reduction. At this stage, replacement of cells with capacity to synthesize ECM and compensation of the substantial loss of matrix components are appropriate therapeutic approaches. This can be achieved by implanting cell-seeded pre-made scaffolds (approach 1) or decellularized ECM (approach 2) or injecting cells encapsulated in self-assembled hydrogels (approach 4) into the intradiscal space. In reviewing the existing scaffolding approaches for IVD tissue engineering, approach 1 using pre-made scaffold is most common. A wide range of biomaterials dominated by natural biomaterials has been used for nucleus replacement. These materials include collagen [121], atellocollagen [98, 99], alginate [70, 76], gelatin [118], chitosan [33], collagen/ glycosaminoglycan [97], collagen/hyaluronan [2] and poly-L-lactic acid [94]. Reviews for nucleus replacements have been reported elsewhere [29, 103]. On the other hand, biomaterials used for annulus replacement are dominated by synthetic biomaterials including silk [23], polycaprolactone [80] and its derivatives [118], polyglycolic acid/ polylactic acid [76] and bioglass [120] with a few natural biomaterials such as collagen/hyaluronan [2]. In most if not all cases, freeze-drying has been employed as the fabrication method to create porous structures. In most nucleus and annulus replacement strategies, survival and growth of seeded cells, and enhanced synthesis of collagen II and proteoglycans by these cells are reported [2, 23, 70, 76, 94, 97, 118, 120]. Nevertheless, almost all studies using the pre-made scaffold approach are in vitro while only a few are in vivo [76]. Subcutaneous implantation was used and the implant was never exposed to physiological loading. Recently, there is one ex vivo study reporting collagen nucleus replacement in bovine discs under mechanical loading [121]. Although restoration of disc height is possible, extrusion of the whole implant after a few loading cycles have been reported. As a result, maintaining annulus integrity by sealing or welding methods after nucleotomy or injection or insertion of nucleus replacements is crucial. As for annulus replacement, the intrinsic mechanical properties of the annulus replacements have to be comparable to that of the native disc in order to be able to resist physiological loading. This is extremely challenging because the native annulus fibrosis serves a highly demanding and complex mechanical function. In existing literature, only a few annulus replacement strategies mentioned enhanced mechanical properties using composites materials [118] and electrospun fibers with alignment [80]. As a result, further efforts in enhancing the mechanical integrity of scaffolds for annulus replacement should be encouraged.

The efforts using the second scaffolding approach, decellularized ECM for nucleus replacement is minimal. Only one report uses porcine SIS as the scaffold for human disc cells [68]. Cell survival and enhanced matrix deposition have been reported in vitro [68]. In a pilot animal study using SIS in nucleotomized baboons [69], MRI suggested a higher water content in the treatment groups compared to the nucleotomy group and some tissue remodeling in the disc space in the group with bone marrow-soaked SIS. Moreover, SIS has good tissue biocompatibility in the disc space and seemed to have biodegraded over the six-month period. Nevertheless, a sizable study with more animals is needed before a conclusive statement on the efficacy of using SIS as nucleus replacement can be made. There is no study in decellularizing allogenic or xenogenic nucleus or annulus for replacement at all. Nevertheless, there is one study evaluating the mechanical properties of decellularized temporomandibular joint disc [73]. Although the immune privileged status of IVD, which is avascular, allows the application of allografts without decellularization, research efforts in decellularizing nucleus and annulus grafts from xenogenic sources for scaffolding should be encouraged because allografts are not always available. Preservation of proteoglycans will, however, be challenging. Recently, there is a surge in number of papers using injectable nucleus replacement, which is based on the fourth scaffolding approach, where cells are encapsulated in self-assembled biomaterials and injected to the disc space before the gel "sets". Mesenchymal stem cells and disc cells have been encapsulated in thermosensitive hydroxybutyl chitosan gel and cell proliferation and matrix production has been demonstrated [33]. Atellocollagen type II, hyaluronan and aggrecan gels support NP viability [45]. Another study using atellocollagen shows that atellocollagen is better than alginate in supporting NP cells with better water and proteoglycan retention [98]. Nevertheless, most studies on injectable modality using approach 4 are in vitro. Encouraging in vivo studies have been relatively scarce. In a rabbit model, MSCs were loaded in solution atellocollagen [99] and injected to degenerative discs. Disc degeneration is effectively arrested by the treatment, and evidence of atellocollagen supporting cell growth, differentiation and matrix production is demonstrated. In a pig model, MSCs were loaded with hyaluronan derivatives [93] and injected into the nucleotomized discs. Close similarity in disc biconvex structure and viable chondrocytes like cells were reported [93]. Further enhancement in the swelling and mechanical stability of the scaffolds for nucleus or annulus replacement such as crosslinking without compromising cell viability [45] should be encouraged as these physical properties favor the restoration and maintenance of disc height. Evaluation of the mechanical properties of injectable polymers such as hyaluronic acid gel [28] and

polyethylene glycol [115] against different engineering parameters should also be encouraged as replacing the mechanical function is equally important as replacing the cellular function in matrix secretion in this stage of degeneration.

At the advanced stage of disc degeneration, structural collapse and eventual loss of disc function in resisting loading set in motion. At this stage, probably only an engineered IVD tissue idealized in the discussion above can do the job. Decellularized IVD allograft is theoretically the best scaffold for late stage replacement. To date, there is no decellularization study in intervertebral disc, but there are several in vivo studies transplanting undecellularized allogenic discs. A few groups have also transplanted cryopreserved allogenic disc grafts in dogs [60, 75]. Nevertheless, dramatic decrease in cellular activity has been reported, indicating that preservation of cellular synthetic and remodeling activities is important for long term viability of the grafts. Furthermore, degenerative changes have been reported at 1 year post-implantation, also suggesting the need to preserve better cellular remodeling capacity. Larger animal model in monkeys [71, 72] showed that fresh frozen allografts can maintain the mechanical properties and some degree of cell metabolism, but severe degeneration has been observed in 2 years time, associating with decreased biochemical contents of the disc. This study also suggests the need to preserve or supplement viable cells with remodeling capacity that is able to maintain long term merits of allografting. Very recently, this group conducted a first allograft disc segment transplantation study in human [96]. The transplanted segments were able to preserve motion and hydration for at least 5 years with partially recovered disc height and improved neurological symptoms, and with no immunoreaction. Nevertheless, mild degenerative changes have been found after years of followup, suggesting again that repopulation or supplementation of live cells with matrix remodeling capacity is necessary for long term functionality of allografts. As a result, a promising direction is to develop better graft preservation technologies for maintaining the disc cell remodeling capacity. Alternatively, supplementing stem cells or other cells with the ability to respond to physiological challenges in terms of synthesis and secretion of appropriate ECM into the allograft disc space is also promising. In this regard, searching for injectable carriers to provide an appropriate microenvironment to the encapsulated cells for proper remodeling response toward mechanical stimulation deserves more attention. Apart from using the allograft, building an implantable IVD with multiple tissue components with mechanical properties comparable with the native disc presents another possibility. Activities with this line of research have been scarce, and only a few groups are attempting to build multiple tissue components and to target the interface problems [4, 46]. A few unique considerations should be highlighted as they are the most challenging tasks for tissue engineering. Firstly, IVD is a complex tissue with multiple tissue components. It is unlikely to utilize single biomaterial and single scaffolding approach. Maintaining the differential hydration and mechanical properties of the nucleus and the annulus is important to the maintenance of the disc height and its load-resisting properties. Engineering designs to better retain the water absorbing capacity in the biomaterials used for nucleus replacement and engineering designs to better maintain the enclosure of the swelling nucleus within the confines of the annulus replacement warrant further attention. Secondly, IVD involves multiple tissue interfaces, which are essential for load transfer and distribution between hard and soft tissues [17, 119] and are crucial for maintaining proper disc functions. Chondrocytes seeded between a pre-made bone scaffold and NP cells resulted in the formation of a cartilage-like layer between bone construct and nucleus cells [46] while chondrocytes supplemented with osteogenic differentiation signal led to the formation of a calcified zone between bone and cartilage interface [4]. Both studies demonstrated benefits in mechanical performance, in particular improving the interfacial shear stress. This suggests that engineering the interfaces among different tissue components should deserve special attention and more enthusiastic research efforts.

Disc tissue engineering is by default multidisciplinary, and the scaffolding approach is just one of the disciplines involved. Its success obviously relies on the corroborative efforts from other aspects. For examples, delineation of the etiology of disc degeneration, understanding of the disc nutrition mechanism, cell sourcing, identification of disc specific markers, growth-stimulating and differentiationstimulating signals, etc. are also important aspects in achieving better functional outcomes of IVD tissue engineering.

## Conclusion

Scaffolds in engineered tissues are to mimic the ECM in native tissues, at least partially. Unsurprisingly, their functions should mimic the ECM of the target tissue. Over the past few decades, four major scaffolding approaches, namely implanting cell-seeded pre-made porous scaffolds, implanting cell-seeded decellularized allograft or xenograft ECM, implanting laminated cell sheets with secreted ECM and injecting cell encapsulated self-assembled hydrogels, have been developed. Each approach has its own pros and cons and preferred tissue engineering applications. In planning for tissue engineering for a complex tissue such as IVD, these scaffolding approaches serve as important guidelines and can be used in combinations. Moreover, tissue-specific considerations in relation to the extent of injury, the unique structural functional relationship, multiple tissue composition and interfaces in IVD deserve special attention.

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