ORIGINAL ARTICLE

Cerebrovascular CO₂ reactivity during isoflurane-nitrous oxide **anesthesia in patients with chronic renal failure**

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Abstract

Purpose We assessed the cerebrovascular CO_2 reactivity $(CO₂R)$ in chronic renal failure (CRF) patients without diabetes mellitus (DM), uncontrolled hypertension, peripheral vascular disease, or neurological disease under isofuranenitrous oxide anesthesia.

Methods Forty-nine patients undergoing surgery, including 36 CRF patients (30 receiving dialysis and six pre-dialysis patients) and 13 patients without CRF (controls). Middle cerebral artery fow velocity (VMCA) was measured by transcranial Doppler ultrasonography at an end-tidal $CO₂$ of 35 to 45 mmHg. $CO₂R$ was calculated as an absolute value (change in VMCA per mmHg $PaCO₂$) and a relative value (absolute CO_2R/b aseline VMCA \times 100). Factors associated with $CO₂R$ were evaluated simultaneously.

Results Despite no signifcant diferences in the absolute and relative values of $CO₂R$ between the CRF (mean 2.5 cm/s/mmHg; median 5.0%/mmHg) and control (2.4 cm/s/mmHg; 5.0%/mmHg) groups, blood urea nitrogen (BUN) concentrations in the CRF group correlated inversely with both absolute and relative $CO₂R$. BUN concentration was higher (mean 72 versus 53 mg/dl, $p = 0.006$) and relative CO_2R was lower (mean 2.6 versus 5.7%/mmHg, $p = 0.011$) in patients with pre-dialysis CRF ($n = 6$) versus CRF patients receiving dialysis $(n = 30)$.

Conclusions CO₂R in CRF patients was not significantly diferent from that in controls. However, in CRF patients with high BUN concentrations, $CO₂R$ might be impaired, leading to reduced cerebrovascular reserve capacity. Because DM is a major cause of CRF and we excluded DM patients, our results might not be applicable to patients with DMinduced CRF.

Keywords Chronic renal failure · Isofurane-nitrous oxide anesthesia · Cerebral blood fow velocity · Transcranial Doppler ultrasonography \cdot CO₂ reactivity

Introduction

Chronic renal failure (CRF) is a critical condition that requires careful perioperative management to prevent cerebral insult and protect cerebral function. CRF patients have an increased risk of ischemic stroke [\[1](#page-7-0)] and cognitive dysfunction [[2–](#page-7-1)[4\]](#page-7-2) and morbidity and mortality is particularly high during the perioperative period [\[5–](#page-7-3)[9\]](#page-7-4). Although several factors are involved, impaired cerebral blood fow (CBF) in CRF patients may be one of the major causes of ischemic stroke [\[1](#page-7-0)] and cognitive dysfunction [[3\]](#page-7-5).

Evaluating cerebrovascular reactivity to $CO₂$ partial pressure (CO_2R) is an established method for assessing cerebrovascular reserve capacity [\[10](#page-7-6)]. Impaired $CO₂R$ has been linked to increased risk of cerebral ischemia [[11](#page-7-7)], postoperative confusion [\[12](#page-7-8)], and postoperative cognitive dysfunction [\[13](#page-7-9)]. However, limited information is available regarding cerebrovascular reactivity in CRF patients. To our knowledge, only two studies have reported $CO₂R$ in CRF patients in the awake state [[14](#page-7-10), [15](#page-7-11)]. Using positron emission tomography (PET), Kuwabara et al. [\[14](#page-7-10)] demonstrated that CBF was higher in CRF patients with severe anemia

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versus normal controls and that $CO₂R$ was attenuated in CRF patients with mild and severe anemia. In contrast, Skinner et al. [[15](#page-7-11)], using transcranial Doppler ultrasonography (TCD), found no $CO₂R$ impairment in CRF patients who had not obvious anemia. These findings suggest that $CO₂R$ is unafected in CRF patients without obvious anemia.

However, CRF patients tend to have several other comorbidities, apart from anemia, that might also affect CO_2R . These include DM [\[13](#page-7-9), [16–](#page-7-12)[18\]](#page-7-13), uncontrolled hypertension [\[19\]](#page-7-14), peripheral vascular disease [\[16](#page-7-12)], and cerebrovascular disease [\[20\]](#page-7-15). Based on these factors, the interpretation of investigations on $CO₂R$ in CRF patients could be complicated if selection of patients is not carefully done.

We presumed that accumulation of humoral factors such as creatinine and BUN in particular could affect $CO₂R$ in CRF patients, because both elevated serum creatinine or BUN concentrations interfere with CBF [[21\]](#page-7-16). Therefore, we sought to evaluate $CO₂R$ in CRF patients under conditions where comorbidities that could affect $CO₂R$ were excluded as much as possible.

As mentioned above, previous studies of $CO₂R$ in CRF patients were conducted with patients in the awake state [[14,](#page-7-10) [15](#page-7-11)], and no studies to date have evaluated $CO₂R$ in CRF patients under general anesthesia. Evaluating $CO₂R$ under general anesthesia could be important for the management of CRF patients in the perioperative period. For example, Kadoi et al. [\[13](#page-7-9)] found that impaired $CO₂R$ during anesthesia was associated with postoperative cognitive dysfunction in patients with DM.

In the present study, we examined $CO₂R$ using TCD to measure mean VMCA in CRF patients under isofuranenitrous oxide anesthesia. These values were compared to those in patients without CRF (controls). We also evaluated CRF factors that may explain possible $CO₂R$ changes including blood urea nitrogen (BUN), creatinine and hemoglobin (Hb) concentrations.

Methods

Patients and preoperative assessment

The Yamaguchi University Graduate School of Medicine Ethics Committee for Human Study approved the study protocol (25th April 2012:H23-172-5). Our institutional Medicine Ethics Committee for Human Study judged that the present study was observational prospective study and no application for clinical trial registration was necessary. Written informed consent was obtained from all participants. Forty-two CRF patients (CRF group) and 17 contemporaneous patients without CRF (control group) were enrolled in the study. All patients in the CRF group had glomerulonephritis, blood creatinine concentration ≥ 4 mg/ dl, and were scheduled for living-related renal transplantation. Patients with DM, uncontrolled hypertension (systolic blood pressure, and diastolic blood pressure exceeding 140 and 90 mmHg, respectively during the 3 days before surgery), peripheral vascular disease, or neurological disease including cerebral vascular disease, as well as those with a Hb concentration < 8 g/dl were excluded from the study. The 17 patients in the control group were classed as American Society of Anesthesiologists (ASA) physical status (PS) 1 and were scheduled for elective resection of tumor in the gastrointestinal tract or kidney, or for uterus or orthopedic surgery. No laparoscopic procedures were involved in either of the groups. Blood sampling for complete blood cell count and biochemical tests were performed, and heart rate and blood pressure were evaluated at rest the evening prior to the day of surgery.

Anesthesia and monitoring

Anesthesia was induced using 4 mg/kg thiopental and 0.1–0.15 mg/kg vecuronium. The trachea was intubated to mechanically ventilate the lungs. Anesthesia was maintained using 0.5–1.5% isofurane in 50% nitrous oxide and oxygen. Fentanyl was administered as required. The radial artery was cannulated to monitor arterial blood pressure and sample blood for measurement of arterial blood gases, Hb, and electrolytes. Bladder or nasopharyngeal temperature was monitored and the patient was maintained at approximately 36 °C using a warming blanket (Medi-Therm II; Gaymar, New York, NY).

Pulse oximetry was monitored, and end-tidal $CO₂$ $(ETCO₂)$ tension, isoflurane concentration, and nitrous oxide concentration were assessed continuously using an infrared analyzer (Capnomac Ultima; Datex, Helsinki, Finland). A central venous catheter was inserted via the right internal jugular vein in all CRF patients and in fve controls. A potassium-free electrolyte solution with 2.6% glucose (Solita-T1; Ajinomoto, Tokyo, Japan; or Soldem-1; Terumo, Tokyo, Japan) was infused intravenously at 2–5 ml/kg/h in the CRF group, while acetate Ringer's solution (Veen F; Nikken Kagaku, Tokyo, Japan) was infused intravenously at 5–10 ml/kg/h in the control group.

Determination of VMCA and cerebrovascular CO₂R **during anesthesia**

VMCA and $CO₂R$ were determined using previously described methods [[16\]](#page-7-12). A 2-MHz TCD probe (Neurogard; Medasonics, Fremont, CA) was attached to the patient's head at the left temporal window after inducing anesthesia and VMCA was measured continuously. The right temporal window was used if signals on the left side could not be appropriately detected. The probe was attached to the head using a probe holder to maintain the insonating angle after the appropriate signals were identifed at a depth of 45–60 mm. The values were recorded at end-expiration because VMCA fuctuated with the respiratory cycle.

Baseline VMCA measurements were performed more than 2–3 h after induction of anesthesia at an $ETCO₂$ of approximately 35 mmHg, while anesthesia was maintained with 1% isoflurane (end-tidal) and 50% nitrous oxide in oxygen. Baseline VMCA and blood samples were obtained during a hiatus in the surgical procedures. $ETCO₂$ was then increased to 45 mmHg by adding a dead-space tube of approximately 100 ml to the endotracheal tube and reducing the ventilatory frequency by two or three breaths per minute. These alterations increased the partial pressure of $CO₂$ in arterial blood (PaCO₂) by approximately 10 mmHg (i.e., hypercapnia) within a few minutes. VMCA values were recorded when $ETCO₂$ was elevated and remained stable for 10 min. Blood samples were obtained at the same time. Small doses of vecuronium were administered intravenously to prevent spontaneous respiration during hypercapnia.

The $CO₂R$ in each patient was calculated as both the absolute change in VMCA per mmHg change in $PaCO₂$ and as the relative change that is percentage change in VMCA (percentage of baseline VMCA), using the following formula:

Absolute CO_2R (cm/s/mmHg) = $\Delta VMCA/\Delta PaCO_2$

Relative $CO₂R$ (percentage of baseline VMCA)

 $=$ (absolute CO₂R/baseline VMCA) \times 100.

Here, Δ VMCA is the difference between the flow velocity of hypercapnia and baseline, and Δ PaCO₂ is the difference in PaCO₂ between hypercapnia and baseline.

Statistical analysis

A previous $CO₂R$ study at our institution reported VMCA in ASA PS 1 patients to be 40 ± 6 cm/s (mean \pm standard deviation) at the same baseline condition as the present study [\[16](#page-7-12)]. We calculated that at least 13 patients would be needed to detect diferences in VMCA of 10 cm/s at an *α* level of 0.05 with 80% power. However, because a large variation in VMCA values was observed in the CRF patients during the study proceeded, we calculated and tried to enroll at least 36 cases of CRF patients.

Data were analyzed using SPSS version 11 (SPSS Inc., Chicago, IL, USA). Data expressed as number of cases, mean \pm standard deviation if data distributed normally, or median and interquartile range if data did not distributed normally. Statistical analysis was performed using the Chisquare test, unpaired *t* test (Welch's *t* test was applied if no homogeneity of variance was observed) and the Wilcoxon rank-sum test to compare patient characteristics and $CO₂R$ between the groups. According to the distribution of the data, the unpaired *t* test or Wilcoxon rank-sum test were used to evaluate diferences in physiological variables and VMCA between the groups, and paired *t* test or Wilcoxon signed-rank test were used for comparing the baseline and hypercapnia in each group.

Based on the fndings of previous studies, we hypothesized that the following predictive factors may affect CO_2R : age [[22](#page-7-17), [23](#page-7-18)], Hb concentration [[14](#page-7-10), [24](#page-7-19)], BUN, creatinine concentration [[21,](#page-7-16) [25](#page-7-20)], pH, base excess (BE) [\[26\]](#page-7-21), and the duration of dialysis [[22,](#page-7-17) [27\]](#page-7-22).

To assess associations between these predictive factors and $CO₂R$, we performed a simple linear regression analysis based on univariate analysis. Pearson's product-moment correlation coefficients (r) were calculated and factors related to $CO₂R$ on univariate analysis at $p < 0.1$ were entered into a multivariate linear regression analysis.

Because our group of CRF patients included both patients who had been receiving dialysis or were with pre-dialysis, we performed sub-group analyses between these two groups using the same statistics used to compare the CRF and control groups.

Statistical significance was set at $p < 0.05$. Statistical analysis was performed and was counterchecked by Kazuyoshi Ishida and Mishiya Matsumoto.

Results

Of the 59 CRF patients and control, five patients were excluded before surgery due to no acquisition of informed consent. Of the remaining 39 CRF and 15 control patients, three patients in CRF and two patients in control were excluded because of inability to access middle cerebral artery for measuring VMCA. Finally, 36 CRF patients and 13 control patients were enrolled for analysis (Fig. [1](#page-3-0)).

Twenty patients had been receiving hemodialysis (HD). Eight patients were receiving continuous ambulatory peritoneal dialysis (CAPD). Two patients received both HD and CAPD as a hybrid treatment, while the remaining six CRF patients were not receiving dialysis treatment (pre-dialysis). HD was performed three times weekly and continued until the day before surgery. CAPD was performed overnight and continued until the morning of surgery. The duration of dialysis treatment varied from no dialysis at all (pre-dialysis) to 180 months. Patient demographics and preoperative data are presented in Table [1.](#page-3-1) No signifcant diferences were found for age, sex, height, weight, or heart rate between the CRF and control groups. The number of patients with hypertension and preoperative mean blood pressure (MBP) was higher in the CRF versus control group. Preoperative Hb was lower in the CRF group than in the control group. BUN and

Fig. 1 The fow chart of the patient selection process

creatinine in concentrations in the CRF group were higher than those in the control group.

Intraoperative physiological and $CO₂R$ values are pre-sented in Table [2](#page-4-0). PaCO₂ values were similar between groups. pH, BE, and Hb were lower in the CRF group

Table 1 Patient demographics and preoperative data

compared with the control group. VMCA increased in both groups during hypercapnia compared with baseline values (Table [2](#page-4-0)). Diferences between groups could not be detected for VMCA or absolute and relative $CO₂R$ (Table [2](#page-4-0)).

Table [3](#page-4-1) shows the results of simple linear regression analysis of factors associated with $CO₂R$. Only age correlated inversely and significantly with absolute CO_2R ($r = -0.62$, $p = 0.025$) in the control group (Table [3](#page-4-1)). In the CRF group, only BUN correlated inversely and signifcantly with absolute CO_2R CO_2R CO_2R ($r = -0.33$ $r = -0.33$ $r = -0.33$, $p = 0.049$) (Table 3, Fig. 2). BUN($r = -0.45$, $p = 0.006$), pH ($r = 0.29$, $p = 0.086$), BE ($r = 0.3$, $p = 0.08$) and duration of dialysis ($r = -0.31$, $p = 0.097$) correlated with relative CO₂R ($p < 0.1$) (Table [3](#page-4-1)). However, in multiple linear regression analysis, only BUN concentration correlated inversely with relative $CO₂R$ $(r = -0.45, p = 0.016)$ (Fig. [2](#page-5-0)).

Table [4](#page-5-1) shows patient demographics and preoperative data of sub-group analysis. BUN was higher in the patients with pre-dialysis (mean BUN 72 mg/dl, $n = 6$) than those receiving dialysis (mean BUN 53 mg/dl, *n* = 30). Creatinine concentration was lower in the former patients than the latter.

Data are expressed as mean \pm standard deviation, median (interquartile range) values and number of cases

CRF chronic renal failure, *MBP* mean arterial blood pressure, *HR* heart rate, *HD* hemodialysis, *CAPD* continuous ambulatory peritoneal dialysis, *ACE-I* angiotensin converter enzyme inhibitor, *ARB* angiotensin II receptor blocker, *Hb* hemoglobin, *BUN* blood urea nitrogen

Table 2 Intraoperative physiological values and $CO₂R$ values

Data are expressed as mean \pm standard deviation and median (interquartile range) values

CO2R CO2 reactivity, *CRF* chronic renal failure, *MBP* mean arterial blood pressure, *HR* heart rate, *Hb* hemoglobin, *VMCA* mean middle cerebral artery blood fow velocity

CO₂R CO₂ reactivity, *CRF* chronic renal failure, *r* Pearson's product–moment correlation coefficient, *Hb* hemoglobin, *BUN* blood urea nitrogen, *BE* base excess

Table [5](#page-6-0) shows the physiological variables and $CO₂R$ in sub-group analysis. Lower pH and BE values and higher baseline VMCA values (median 67 vs. 49 cm/s, $p = 0.017$) were observed in pre-dialysis CRF group compared with those in the dialysis group. No signifcant diferences were observed in absolute $CO₂R$ between the groups but relative $CO₂R$ was significantly lower in pre-dialysis CRF group than in the dialysis group (mean 2.6 vs. 5.7% /mmHg, $p = 0.011$).

Discussion

To our knowledge, this study is the frst trial to evaluate $CO₂R$ in CRF patients under general anesthesia. No signifcant diference was noted in baseline VMCA or absolute and relative $CO₂R$ between CRF patients and controls under isofurane-nitrous oxide anesthesia. However, we found a signifcant inverse correlation between BUN and absolute and relative $CO₂R$ in the CRF group. Furthermore,

Fig. 2 Correlations between blood urea nitrogen (BUN) concentration and absolute (**a**) and relative (b) CO₂ reactivity $(CO₂R)$ in the chronic renal failure (CRF) group ($n = 36$). The BUN concentration correlates inversely with both absolute $(r = -0.33, p = 0.049)$ and relative CO_2R ($r = -0.45$, *p* = 0.006). *Open circles* indicate CRF patients receiving dialysis (*n* = 30) and *closed circles* indicate CRF patients with pre-dialysis $(n = 6)$

Table 4 Patient demographics and preoperative data of sub-group analysis

Data are expressed as mean \pm standard deviation, median (interquartile range) values and number of cases

MBP mean arterial blood pressure, *HR* heart rate, *ACE-I* angiotensin converter enzyme inhibitor, *ARB* angiotensin II receptor blocker, *Hb* hemoglobin, *BUN* blood urea nitrogen

in patients with pre-dialysis CRF, relative $CO₂R$ was signifcantly lower and BUN concentrations were signifcantly higher than those in patients who had been receiving dialysis. Observed impairment of $CO₂R$ in CRF patents with high BUN concentrations may suggest that humoral factors that accumulate in CRF patients could reduce cerebrovascular reserve capacity.

Kuwabara et al. [[14\]](#page-7-10) reported that attenuated CO_2R has been reported in severe anemia (mean Hb 6.8 g/dl) in CRF in association with high basal CBF and even in mild anemia (mean Hb 10.8 g/dl) with no associated elevation in basal CBF in CRF patients receiving dialysis. Because signifcant correlation between hematocrit and $CO₂R$ was found by evaluation with a wide range of hematocrit values in all the CRF patients together, they speculated that the cause of the decrease in $CO₂R$ and increase in basal CBF during CRF is maximal dilation of resistance vessels to allow supply of sufficient O_2 to the brain under anemic conditions. Skinner et al. [[15](#page-7-11)] demonstrated that there was no $CO₂R$ impairment in CRF patients without obvious anemia (mean Hb 11.7 g/dl) when examined either before or after hemodialysis or when compared with normal control references values. These results suggest that anemia might be the cause of $CO₂R$ impairment in CRF patients. In the present study, on the other hand, the mean value of Hb (mean Hb 10.4 g/ dl) in the CRF group corresponded to mild anemia, but no $CO₂R$ impairment was observed in this group. We speculate that this could be because of the exclusion of patients with comorbidities in the present study, diferences in measurement conditions (under general anesthesia or awake), or measurement procedures (TCD or PET).

An interesting fnding in this study was that there was a signifcant inverse correlation between BUN (but not creatinine) and absolute and relative $CO₂R$ in CRF patients. Furthermore, in the CRF patients who had not yet started dialysis, $CO₂R$ was impaired in association with higher BUN compared with that of patients receiving dialysis. We speculate that high BUN concentration results in cerebral vasodilation and hence attenuates $CO₂R$ because it has been reported that CBF is positively correlated with BUN

Data are expressed as mean \pm standard deviation and median (interquartile range) values

CO2R CO2 reactivity, *CRF* chronic renal failure, *MBP* mean arterial blood pressure, *HR* heart rate, *Hb* hemoglobin, *VMCA* mean middle cerebral artery blood fow velocity

concentration in CRF patients [[21\]](#page-7-16). In fact, in the present study, higher BUN and baseline VMCA were observed in patients with pre-dialysis CRF, and the concomitant $CO₂R$ was significantly decreased. However, Skinner et al. [\[15\]](#page-7-11) assessed changes in $CO₂R$, comparing the values before and after hemodialysis. They observed no signifcant changes even though BUN concentrations signifcantly decreased [23.9 (66.9)–8.7 (24.3) mmol/l (mg/dl)] occurred after hemodialysis, suggesting that there was no prominent effect of BUN on $CO₂R$. This was in contrast to our results. Their results suggest that the acute change of BUN concentrations did not affect $CO₂R$. Conversely, our present study might demonstrate the long-term effects of BUN on $CO₂R$.

Regarding creatinine, a controversial effect of increased creatinine on CBF was reported [\[21](#page-7-16), [28](#page-7-23)]. Furthermore, creatinine has been shown to exert less infuence than BUN on electroencephalogram fndings [\[25](#page-7-20)] and latency of visual event-related potentials [[29\]](#page-7-24). Our results that indicate creatinine does not alter $CO₂R$ may be consistent with these neurophysiologic observations.

This study has some limitations. First, the number of patients with pre-dialysis CRF was small. Second, unknown accumulated substances in CRF that could possibly affect $CO₂R$ were not evaluated. Third, we did not perform perioperative neuropsychological examinations, which might have provided insight as to the precise impact of elevated BUN on cerebral function in patients with impaired $CO₂R$. Fourth, DM is one of the most common causes of CRF [[30](#page-7-25)], but we excluded CRF patients with

DM. Because DM is reported to affect $CO₂R$ in patients under general anesthesia [[13,](#page-7-9) [16](#page-7-12), [17](#page-7-26)], our results may not be applicable to patients with DM-induced CRF.

Further study is warranted to evaluate contributory factors to $CO₂R$ including unknown accumulated substances and to evaluate the effect of impaired $CO₂R$ on postoperative cognition in CRF patients.

Conclusions

 $CO₂R$ in a group of CRF patients was not significantly diferent from that in controls. However, we found a signifcant inverse correlation between BUN and absolute and relative CO_2R in the CRF group. In addition, subgroup analysis revealed impairment of $CO₂R$ in patients with pre-dialysis CRF with high BUN concentrations. These fndings indicate that cerebrovascular reserve capacity is not impaired in CRF patients if dialysis is adequately performed, but is impaired in CRF patients with elevated BUN concentrations.

Compliance with ethical standards

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