



Regularity results for a free interface problem with Hölder coefficients

L. Esposito¹ · L. Lamberti¹

Received: 1 December 2022 / Accepted: 24 April 2023 / Published online: 22 May 2023
© The Author(s) 2023

Abstract

We study a class of variational problems involving both bulk and interface energies. The bulk energy is of Dirichlet type albeit of very general form allowing the dependence from the unknown variable u and the position x . We employ the regularity theory of Λ -minimizers to study the regularity of the free interface. The hallmark of the paper is the mild regularity assumption concerning the dependence of the coefficients with respect to x and u that is of Hölder type.

Mathematics Subject Classification 49Q10 · 49N60 · 49Q20

1 Introduction and statements

This paper deals with a large class of nonlinear variational problems involving both bulk and interface energies,

$$\mathcal{F}(E, u; \Omega) = \int_{\Omega} [F(x, u, \nabla u) + \mathbb{1}_E G(x, u, \nabla u)] dx + P(E; \Omega), \quad (1)$$

where $u \in H^1(\Omega)$ and $\mathbb{1}_E$ denotes the characteristic function of a set $E \subset \Omega$ with finite perimeter $P(E; \Omega)$ in Ω . Energy functionals including both bulk and interface terms are very frequent in the mathematical and physical literature (see for instance [1, 2, 13, 14, 17, 20–22, 26]). In particular, the functionals that we study in this paper are strictly related to the integral energy employed in the study of charged droplets (see [9, 25]). A prototype version of these functionals, that is

$$\int_{\Omega} \sigma_E(x) |\nabla u|^2 dx + P(E; \Omega), \quad (2)$$

Communicated by J. Kristensen.

✉ L. Esposito
luesposi@unisa.it
L. Lamberti
llamberti@unisa.it

¹ Dipartimento di Matematica, Università degli Studi di Salerno, Fisciano, Italy

with $u = u_0$ prescribed on $\partial\Omega$ and $\sigma_E(x) = \beta \mathbb{1}_E + \alpha \mathbb{1}_{\Omega \setminus E}$, $0 < \alpha < \beta$, was formerly studied in 1993 in two papers by Ambrosio et al. (see [2, 22]).

The regularity of minimizers of these kinds of functionals is a rather subtle issue, even in the scalar setting, especially regarding the free interface ∂E .

In 1993 in the paper [2] Ambrosio and Buttazzo proved that if (E, u) is a minimizer of the functional (2), then u is locally Hölder continuous in Ω and E is relatively open in Ω . In the same volume of the same journal, Lin proved a regularity result for the interface ∂E . To clarify the situation we define the set of regular points of ∂E as follows:

$$\text{Reg}(E) := \{x \in \partial E \cap \Omega : \partial E \text{ is a } C^{1,\gamma} \text{ hypersurface in } B_\varepsilon(x), \\ \text{for some } \varepsilon > 0 \text{ and } \gamma \in (0, 1)\},$$

where $B_\varepsilon(x)$ denotes the ball centered in x with radius ε . Accordingly, we define the set of singular points of ∂E

$$\Sigma(E) := (\partial E \cap \Omega) \setminus \text{Reg}(E).$$

Lin in [22] proved that, for minimal configurations of the functional (2),

$$\mathcal{H}^{n-1}(\Sigma(E)) = 0.$$

The aforementioned regularity result has been recently improved by G. De Philippis & A. Figalli, and N. Fusco & V. Julin. Using different approaches and different techniques De Philippis and Figalli [7] and Fusco and Julin [15] proved that for minimal configurations of the functional (2) it turns out that

$$\dim_{\mathcal{H}}(\Sigma(E)) \leq n - 1 - \varepsilon, \tag{3}$$

for some $\varepsilon > 0$ depending only on α, β . Regarding this dependence, it is worth noticing that in [11] it was proven that $u \in C^{0, \frac{1}{2} + \varepsilon}$ and the reduced boundary $\partial^* E$ of E is a $C^{1,\varepsilon}$ -hypersurface and $\mathcal{H}^s(\partial E \setminus \partial^* E) = 0$ for all $s > n - 8$, assuming that $1 \leq \frac{\alpha}{\beta} < \gamma_n$, for some $\gamma_n > 1$ depending only on the dimension.

Lin and Kohn in [23] extended the same result that the first author obtained for the model case (2) to the more general setting of integral energy of the type (1), depending also on x and u . More precisely F.H. Lin and R.V. Kohn proved, for minimal configurations (E, u) of (1) under suitable smoothness assumption on F and G , that $\mathcal{H}^{n-1}(\Sigma(E)) = 0$.

A natural question to ask is whether the same dimension reduction of the singular set $\Sigma(E)$ proved for the model case (2) by De Philippis et al. can be extended also to the general case of functionals of the type (1). In a very recent paper we give a positive answer to this question. Indeed in [12] we prove that

$$\dim_{\mathcal{H}}(\Sigma(E)) \leq n - 1 - \varepsilon,$$

for some $\varepsilon > 0$, for optimal configurations of a wide class of quadratic functionals depending also on x and u . Our path to prove the aforementioned result basically follows the same strategy used in [15]. The technique used in [12] relies on the linearity of the Euler–Lagrange equation of the functional (1). For this reason we need a quadratic structure condition for the bulk energy. Conversely, the nonquadratic case is less studied and there are few regularity results available (see [4, 5, 10, 19]).

Throughout the paper we will assume that the density energies F and G in (1) satisfy the following structural quadratic assumptions:

$$F(x, s, z) = \sum_{i,j=1}^n a_{ij}(x, s)z_i z_j + \sum_{i=1}^n a_i(x, s)z_i + a(x, s), \tag{4}$$

$$G(x, s, z) = \sum_{i,j=1}^n b_{ij}(x, s)z_i z_j + \sum_{i=1}^n b_i(x, s)z_i + b(x, s), \tag{5}$$

for any $(x, s, z) \in \Omega \times \mathbb{R} \times \mathbb{R}^n$. In the paper [12] we assumed as in [23] that the coefficients $a_{ij}, b_{ij}, a_i, b_i, a, b$ belong to the class $C^{0,1}(\Omega \times \mathbb{R})$ with respect to both variables x and s . This $C^{0,1}$ assumption of the coefficients with respect to (x, s) is crucial in several respects in order to prove the desired regularity result for ∂E .

In the first place the $C^{0,1}$ assumption is strongly used (see Theorem 2 in [12]) to prove that every minimizer of the constrained problem (that is for $|E| = d$ fixed) is a Λ -minimizer of a penalized functional containing the extra-term $\Lambda||E| - d|$. In addition the $C^{0,1}$ assumption is primarily used to get an Euler–Lagrange-type equation that is one of the main ingredients to prove the desired regularity result (see Proposition 4.9 in [15] and Theorem 8 in [12]).

In this paper we examine in depth the question of the minimal regularity assumptions of the coefficients we ought to assume in order to get the regularity result quoted in (3). Concerning the coefficients appearing in (4) and (5) we will assume a Hölder continuous dependence of (x, s) . We want to stress that under this hypothesis it is not possible to write down an Euler–Lagrange-type equation of the functional (1). We overcome this problem considering, in Section 8, the first variation of the functional (1), under a small perturbation $\Phi_t(x) = x + tX(x)$, depending on the lower order term ($t^\alpha + o(t)$) (where α is the Hölder exponent of the coefficients with respect to x). This does not allow us to write down the Euler equation because we cannot pass to the limit for $t \rightarrow 0$ being $0 < \alpha < 1$. Nevertheless it is possible, in the blow-up procedure employed in Theorem 10 (Excess improvement), to choose the excess ε_h as increment in the first variation described above. In this step it is possible to carry out the blow-up procedure letting $\varepsilon_h \rightarrow 0$ using the condition $\alpha > \frac{n-1}{n} \geq \frac{1}{2}$ (see equations before (80)). We exploited the proof strategy in every possible way in order to push to the limit the assumptions concerning the Hölder exponent of the coefficients. In this regard it is important to point out that no restriction is needed for the Hölder exponent β with respect to the s variable quoted below. Precisely we will assume that

$$a_{ij}(x, \cdot), b_{ij}(x, \cdot), a_i(x, \cdot), b_i(x, \cdot), a(x, \cdot), b(x, \cdot) \in C^{0,\beta}(\mathbb{R}), \quad \text{for every } x \in \Omega.$$

We will denote by L_β the greatest Hölder seminorm of the coefficients with respect to the second variable, that is

$$[a_{ij}(x, \cdot)]_\beta := \sup_{u,t \in \mathbb{R}, u \neq t} \frac{|a_{ij}(x, u) - a_{ij}(x, t)|}{|u - t|^\beta} \leq L_\beta, \quad \forall x \in \Omega, \tag{6}$$

and the same holds true for b_{ij}, a_i, b_i, a, b .

Similarly we will assume about the dependence on the first variable that

$$a_{ij}(\cdot, s), b_{ij}(\cdot, s), a_i(\cdot, s), b_i(\cdot, s), a(\cdot, s), b(\cdot, s) \in C^{0,\alpha}(\Omega), \quad \text{for every } s \in \mathbb{R},$$

where

$$\alpha \in \left(\frac{n-1}{n}, 1 \right].$$

We will denote by L_α the greatest Hölder seminorm of the coefficients with respect to the first variable, that is

$$[a_{ij}(\cdot, s)]_\alpha := \sup_{y, z \in \Omega, y \neq z} \frac{|a_{ij}(y, s) - a_{ij}(z, s)|}{|y - z|^\alpha} \leq L_\alpha, \quad \forall s \in \mathbb{R}, \tag{7}$$

and the same holds true for b_{ij}, a_i, b_i, a, b .

Moreover, to ensure the existence of minimizers, we assume the boundedness of the coefficients and the ellipticity of the matrices a_{ij} and b_{ij} , i.e.

$$v|z|^2 \leq a_{ij}(x, s)z_i z_j \leq N|z|^2, \quad v|z|^2 \leq b_{ij}(x, s)z_i z_j \leq N|z|^2, \tag{8}$$

$$\sum_{i=1}^n |a_i(x, s)| + \sum_{i=1}^n |b_i(x, s)| + |a(x, s)| + |b(x, s)| \leq L, \tag{9}$$

for any $(x, s, z) \in \Omega \times \mathbb{R} \times \mathbb{R}^n$, where v, N and L are three positive constants.

Some comments about the Hölder exponent α are in order. There are two main points in our proof where the assumption $\alpha > \frac{n-1}{n}$ is used. In both cases we have to handle with a perturbation of the set E .

The first point concerns the equivalence between the constrained problem and the penalized problem (see the definitions below). In Theorem 2 we perform a suitable “small” perturbation of a minimal set E around a point $x \in \partial E$ using a transformation of the type

$$\Phi_\sigma(x) = x + \sigma X(x), \quad \text{where } X \in C_0^1(B_r(x)).$$

Denoting by $\tilde{E} := \Phi_\sigma(E)$ the perturbed set and by $\tilde{u} := u \circ \Phi_\sigma^{-1}$ the perturbed function, we prove that

$$\mathcal{F}(E, u) - \mathcal{F}(\tilde{E}, \tilde{u}) = O(\sigma^\alpha),$$

where α is the Hölder exponent given in (7). On the other hand, in Theorem 2 we prove by contradiction that (E, u) is a minimizer of a penalized functional obtained adding in (1) a penalization term of the type

$$\Lambda ||\tilde{E} - d|^s,$$

for some suitable Λ to be chosen sufficiently large. Since we can observe that $\Lambda ||\tilde{E} - d|^s = O(\sigma^s)$, it is clear that we are forced to choose $s = \alpha$ (see Definition 2 below). Finally it is evident that this new penalization term cannot exceed the perimeter term when we rescale the functional (see Lemma 6) and so we are forced to choose $\alpha > \frac{n-1}{n}$.

The second point concerns the excess improvement given in Theorem 10, where we use a standard rescaling argument to show that the limit g of the rescaled functions g_h whose graph locally represents ∂E is harmonic (see Step 1 in Theorem 10). In this step we use the Taylor expansion of the bulk term given in Theorem 7 and the condition $\alpha > \frac{n-1}{n}$ is again crucial, see (81).

In this paper we study the regularity of minimizers of the following constrained problem.

Definition 1 We shall denote by (P_c) the constrained problem

$$\min_{\substack{E \in \mathcal{A}(\Omega) \\ v \in u_0 + H_0^1(\Omega)}} \{ \mathcal{F}(E, v; \Omega) : |E| = d \}, \tag{P_c}$$

where $u_0 \in H^1(\Omega)$, $0 < d < |\Omega|$ are given and $\mathcal{A}(\Omega)$ is the class of all subsets of Ω with finite perimeter in Ω .

The problem of handling with the constraint $|E| = d$ is overtaken using an argument introduced in [11], ensuring that every minimizer of the constrained problem (P_c) is also a minimizer of a penalized functional of the type

$$\mathcal{F}_\Lambda(E, v; \Omega) = \mathcal{F}(E, v; \Omega) + \Lambda ||E| - d|^\alpha,$$

for some suitable $\Lambda > 0$ (see Theorem 2 below). Therefore, we give in addition the following definition.

Definition 2 We shall denote by (P) the penalized problem

$$\min_{\substack{E \in \mathcal{A}(\Omega) \\ v \in u_0 + H_0^1(\Omega)}} \mathcal{F}_\Lambda(E, v; \Omega), \tag{P}$$

where $u_0 \in H^1(\Omega)$ is fixed and $\mathcal{A}(\Omega)$ is the same class defined in Definition 1.

From the point of view of regularity, the extra term $\Lambda ||E| - d|^\alpha$ is a higher order negligible perturbation, being $\alpha > \frac{n-1}{n}$. The main result of the paper is stated in the following theorem.

Theorem 1 *Let (E, u) be a minimizer of problem (P), under assumptions (4–9). Then*

- a) *there exists a relatively open set $\Gamma \subset \partial E$ such that Γ is a $C^{1,\mu}$ hypersurface for all $0 < \mu < \frac{\gamma}{2}$, where $\gamma := 1 + n(\alpha - 1) \in (0, 1)$,*
- b) *there exists $\varepsilon > 0$ depending on n, v, N, L such that*

$$\mathcal{H}^{n-1-\varepsilon}((\partial E \setminus \Gamma) \cap \Omega) = 0.$$

Let us briefly describe the organization of this paper. Section 2 collects known results, notation and preliminary definitions. Moreover, in this section the equivalence between the constrained problem and the penalized problem is proved. As it always happens when different kind of energies compete with each other, the proof of the regularity is based on the study of the interplay between them. In this case we must compare perimeter and bulk energy (see [3, 22]).

We notice that the standard regularity theory give us $u \in C^{0,\gamma}$, for some $0 < \gamma < 1$, for solutions u of either (P) or (P_c) . However, the Hölder exponent $\gamma = \frac{1}{2}$ is critical in our setting, indeed the Hölder exponent is linked to the decay of the gradient on balls. As observed by Lin (see [22] Remark pg. 162), whenever $u \in C^{0, \frac{1}{2}+\eta}$ for some $\eta > 0$, then for any $K \subset\subset \Omega$

$$\int_{B_r(x)} |\nabla u|^2 \leq cr^{n-1+2\eta},$$

namely the bulk term locally decay faster than the perimeter term.

In Sect. 3 we prove suitable energy decay estimates for the bulk energy. The key point of this approach is contained in Lemma 5, where it is proved that the bulk energy decays faster than ρ^{n-1} , that is, for any $\mu \in (0, 1)$,

$$\int_{B_\rho(x_0)} |\nabla u|^2 dx \leq C \rho^{n-\mu}, \tag{10}$$

either in the case that

$$\min\{|E \cap B_\rho(x_0)|, |B_\rho(x_0) \setminus E|\} < \varepsilon_0 |B_\rho(x_0)|,$$

or in the case that there exists a half-space H such that

$$|(E \Delta H) \cap B_\rho(x_0)| \leq \varepsilon_0 |B_\rho(x_0)|,$$

for some $\varepsilon_0 > 0$. The latter case is the hardest one to handle because it relies on the regularity properties of solutions of a transmission problem which we study in Sect. 3.1. Let us notice that, for any given $E \subset \Omega$, local minimizers u of the functional

$$\int_{\Omega} [F(x, u, \nabla u) + \mathbb{1}_E G(x, u, \nabla u)] dx \tag{11}$$

are Hölder continuous, $u \in C_{loc}^{0,\sigma}(\Omega)$, but the needed bound $\sigma > \frac{1}{2}$ cannot be expected in the general case without any information on the set E .

In Sect. 3.1 we prove that minimizers of the functional (11) are in $C^{0,\sigma}$ for every $\sigma \in (0, 1)$, in the case E is an half-space. In this context the linearity of the equation strongly comes into play ensuring that the derivatives of the Euler–Lagrange equation are again solutions of the same equation. For the proof in Sect. 3 we readapt a technique depicted in the book [3] in the context of the Mumford–Shah functional and recently used in a paper by Mukoseeva and Vescovo [25].

In Sect. 4, using the estimates obtained in Sect. 3, we are in position to prove some decay estimates for the whole energy including the perimeter term. More precisely, whenever the perimeter of E is sufficiently small in a ball $B_{\rho}(x_0)$, then the total energy

$$\int_{B_r(x_0)} |\nabla u|^2 dx + P(E; B_r(x_0)), \quad 0 < r < \rho,$$

decays as r^n (see Lemma 7). In the subsequent sections we collect the preliminary results needed to deduce that ∂E is locally represented by a Lipschitz graph, see Theorem 5.

In Sect. 4, making use of the previous results, we are in position to prove the density upper bound and the density lower bound for the perimeter of E which, in turn, are crucial to prove the Lipschitz approximation theorem. In the subsequent sections the proof strategy follows the path traced by the regularity theory for perimeter minimizers.

In Sect. 5 it is proved the compactness for sequences of minimizers which follows in a quite standard way from the density lower bound.

Section 6 is devoted to the Lipschitz approximation theorem which involves the usual main ingredient of the regularity proof, that is the excess

$$\mathbf{e}(x, r) = \inf_{v \in \mathbb{S}^{n-1}} \mathbf{e}(x, r, v) := \inf_{v \in \mathbb{S}^{n-1}} \frac{1}{r^{n-1}} \int_{\partial E \cap B_r(x)} \frac{|v_E(y) - v|^2}{2} d\mathcal{H}^{n-1}(y).$$

In Sect. 7 we prove a reverse Poincaré inequality which is the counterpart of the well-known Caccioppoli’s inequality for weak solutions of elliptic equations.

Section 8 contains a Taylor-like expansion formula for the terms appearing in the energy under a small domain perturbation.

In Sect. 9 we finally prove the excess improvement, which is the main ingredient to achieve the regularity of the interface. More precisely, we prove that, whenever the excess $\mathbf{e}(x, r)$ tends to zero, as $r \rightarrow 0$, the Dirichlet integral $\int_{B_{\rho}(x_0)} |\nabla u|^2 dx$ decays as in (10). With all these results in hand we can conclude the desired result.

In Sect. 10 we provide the proof of Theorem 1 that is a consequence of the excess improvement proved before.

2 Preliminary notation and definitions

In the rest of the paper we will write $\langle \xi, \eta \rangle$ for the inner product of vectors $\xi, \eta \in \mathbb{R}^n$, and consequently $|\xi| := \langle \xi, \xi \rangle^{\frac{1}{2}}$ will be the corresponding Euclidean norm. As usual ω_n stands for the Lebesgue measure of the unit ball in \mathbb{R}^n . For $E \subset \mathbb{R}^n$ we denote by $E^{(1)}$ the set of points of density 1 of E .

We will denote by $p : \mathbb{R}^n \rightarrow \mathbb{R}^{n-1}$ and $q : \mathbb{R}^n \rightarrow \mathbb{R}$ the horizontal and vertical projections, so that $x = (px, qx)$ for all $x \in \mathbb{R}^n$. For simplicity of notation we will often write $px = x'$ and $qx = x_n$, so that we will write $x = (x', x_n)$, where $x' \in \mathbb{R}^{n-1}$ and $x_n \in \mathbb{R}$. Accordingly, we denote $\nabla' = (\partial_{x_1}, \dots, \partial_{x_{n-1}})$ the gradient with respect to the first $n - 1$ components.

The n -dimensional ball in \mathbb{R}^n with center x_0 and radius $r > 0$ will be denoted as

$$B_R(x_0) = \{x \in \mathbb{R}^n : |x - x_0| < R\}.$$

If $x_0 = 0$, we will simply write B_R instead of $B_R(x_0)$.

The $(n - 1)$ -dimensional ball in \mathbb{R}^{n-1} with center x'_0 and radius $r > 0$ will be denoted with a different letter, that is

$$D_R(x_0) = \{x' \in \mathbb{R}^{n-1} : |x' - x'_0| < R\}.$$

If u is integrable in $B_R(x_0)$ we set

$$u_R = \frac{1}{\omega_n R^n} \int_{B_R(x_0)} u \, dx = \int_{B_R(x_0)} u \, dx.$$

For any $\mu \geq 0$ we define the Morrey space $L^{2,\mu}(\Omega)$ as

$$L^{2,\mu}(\Omega) := \left\{ u \in L^2(\Omega) : \sup_{x_0 \in \Omega, r > 0} r^{-\mu} \int_{\Omega \cap B_r(x_0)} |u|^2 \, dx < \infty \right\}. \tag{12}$$

In the sequel we will constantly need to denote the difference between α and $\frac{n-1}{n}$, so that we define

$$\gamma := n \left(\alpha - \frac{n-1}{n} \right) = 1 + n(\alpha - 1) \in (0, 1).$$

The following definition is standard.

Definition 3 Let $v \in H^1_{loc}(\Omega)$ and assume that $E \subset \Omega$ is fixed. We define the functional \mathcal{F}_E by setting

$$\mathcal{F}_E(w, \Omega) := \mathcal{F}(E, w; \Omega), \quad \forall w \in H^1(\Omega).$$

Furthermore we say that v is a local minimizer of the integral functional \mathcal{F}_E if and only if

$$\mathcal{F}_E(v; B_R(x_0)) = \min_{w \in v + H^1_0(B_R(x_0))} \mathcal{F}_E(w; B_R(x_0)),$$

for all $B_R(x_0) \subset\subset \Omega$.

It is worth mentioning that for a quadratic integrand $F(x, s, z)$ of the type given in (4) the following growth condition can be immediately deduced from assumptions (8) and (9):

$$\frac{\nu}{2} |z|^2 - \frac{L^2}{\nu} \leq F(x, s, z) \leq (N + 1) |z|^2 + L(L + 1), \quad \forall x \in \Omega, \forall s \in \mathbb{R}, \forall z \in \mathbb{R}^n. \tag{13}$$

The next lemma is very standard and can be found for example in [3, Lemma 7.54].

Lemma 1 *Let $f : (0, a] \rightarrow [0, \infty)$ be an increasing function such that*

$$f(\rho) \leq A \left[\left(\frac{\rho}{R} \right)^p + R^s \right] f(R) + BR^q, \quad \text{whenever } 0 < \rho < R \leq a,$$

for some constants $A, B \geq 0, 0 < q < p, s > 0$. Then there exist $R_0 = R_0(p, q, s, A)$ and $c = c(p, q, A)$ such that

$$f(\rho) \leq c \left(\frac{\rho}{R} \right)^q f(R) + cBR^q, \quad \text{whenever } 0 < \rho < R \leq \min\{R_0, a\}.$$

2.1 From constrained to penalized problem

The next theorem allows us to overcome the difficulty of handling with the constraint $|E| = d$. Indeed, we prove that every minimizer of the constrained problem (P_c) is also a minimizer of a suitable unconstrained problem with a volume penalization of the type given in (P).

Theorem 2 *There exists $\Lambda_0 > 0$ such that if (E, u) is a minimizer of the functional*

$$\mathcal{F}_\Lambda(A, w) = \int_\Omega [F(x, w, \nabla w) + \mathbb{1}_A G(x, w, \nabla w) dx] dx + P(A; \Omega) + \Lambda | |A| - d |^\alpha, \tag{14}$$

for some $\Lambda \geq \Lambda_0$, among all configurations (A, w) such that $w = u_0$ on $\partial\Omega$, and α is the Hölder coefficient with respect to x variable appearing in (7), then $|E| = d$ and (E, u) is a minimizer of problem (P_c) . Conversely, if (E, u) is a minimizer of problem (P_c) , then it is a minimizer of (14), for all $\Lambda \geq \Lambda_0$.

Proof The proof can be carried out as in [11, Theorem 1]. For reader’s convenience we give here its sketch, emphasizing main ideas and minor differences with respect to the case treated in [11].

The first part of the theorem can be proved by contradiction. Assume that there exist a sequence $(\lambda_h)_{h \in \mathbb{N}}$ such that $\lambda_h \rightarrow \infty$ as $h \rightarrow \infty$ and a sequence of configurations (E_h, u_h) minimizing \mathcal{F}_{λ_h} and such that $u_h = u_0$ on $\partial\Omega$ and $|E_h| \neq d$ for all $h \in \mathbb{N}$. Let us choose now an arbitrary fixed $E_0 \subset \Omega$ with finite perimeter such that $|E_0| = d$. Let us point out that

$$\mathcal{F}_{\lambda_h}(E_h, u_h) \leq \mathcal{F}(E_0, u_0) := \Theta. \tag{15}$$

Without loss of generality we may assume that $|E_h| < d$. Indeed, the case $|E_h| > d$ can be treated in the same way considering the complement of E_h in Ω . Our aim is to show that, for h sufficiently large, there exists a configuration $(\tilde{E}_h, \tilde{u}_h)$ such that $\mathcal{F}_{\lambda_h}(\tilde{E}_h, \tilde{u}_h) < \mathcal{F}_{\lambda_h}(E_h, u_h)$, thus proving the result by contradiction.

By condition (15), it follows that the sequence $(u_h)_h$ is bounded in $H^1(\Omega)$, the perimeters of the sets E_h in Ω are bounded and $|E_h| \rightarrow d$. Therefore, possibly extracting a not relabelled subsequence, we may assume that there exists a configuration (E, u) such that $u_h \rightarrow u$ weakly in $H^1(\Omega)$, $\mathbb{1}_{E_h} \rightarrow \mathbb{1}_E$ a.e. in Ω , where the set E is of finite perimeter in Ω and $|E| = d$. The couple (E, u) will be used as reference configuration for the definition of $(\tilde{E}_h, \tilde{u}_h)$.

Step 1. *Construction of $(\tilde{E}_h, \tilde{u}_h)$.* Proceeding exactly as in [11], we take a point $x \in \partial^* E \cap \Omega$ and observe that the sets $E_r = (E - x)/r$ converge locally in measure to the half-space $H = \{ \langle z, \nu_E(x) \rangle < 0 \}$, i.e., $\mathbb{1}_{E_r} \rightarrow \mathbb{1}_H$ in $L^1_{\text{loc}}(\mathbb{R}^n)$, where $\nu_E(x)$ is the generalized exterior normal to E at x (see [3, Definition 3.54]). Let $y \in B_1(0) \setminus H$ be the point $y = \nu_E(x)/2$. Given ε (that will be chosen in the Step 2), since $\mathbb{1}_{E_r} \rightarrow \mathbb{1}_H$ in $L^1(B_1(0))$ there exists

$0 < r < 1$ such that

$$|E_r \cap B_{1/2}(y)| < \varepsilon, \quad |E_r \cap B_1(y)| \geq |E_r \cap B_{1/2}(0)| > \frac{\omega_n}{2^{n+2}},$$

where ω_n denotes the measure of the unit ball of \mathbb{R}^n . Then, if we define $x_r := x + ry \in \Omega$, we have that

$$|E \cap B_{r/2}(x_r)| < \varepsilon r^n, \quad |E \cap B_r(x_r)| > \frac{\omega_n r^n}{2^{n+2}}.$$

Let us assume, without loss of generality, that $x_r = 0$. From the convergence of E_h to E we have that for all h sufficiently large

$$|E_h \cap B_{r/2}| < \varepsilon r^n, \quad |E_h \cap B_r| > \frac{\omega_n r^n}{2^{n+2}}. \tag{16}$$

Let us now define the following bi-Lipschitz function used in [11] which maps B_r into itself:

$$\Phi(x) = \begin{cases} (1 - \sigma_h(2^n - 1))x & \text{if } |x| < \frac{r}{2}, \\ x + \sigma_h \left(1 - \frac{r^n}{|x|^n}\right)x & \text{if } \frac{r}{2} \leq |x| < r, \\ x & \text{if } |x| \geq r, \end{cases} \tag{17}$$

for some $0 < \sigma_h < 1/2^n$ sufficiently small to be chosen later in such a way that, setting

$$\tilde{E}_h := \Phi(E_h), \quad \tilde{u}_h := u_h \circ \Phi^{-1},$$

we have

$$|\tilde{E}_h| < d.$$

We are going to evaluate

$$\begin{aligned} \mathcal{F}_{\lambda_h}(E_h, u_h) - \mathcal{F}_{\lambda_h}(\tilde{E}_h, \tilde{u}_h) &= \left[\int_{B_r} [F(x, u_h, \nabla u_h) + \mathbb{1}_{E_h} G(x, u_h, \nabla u_h)] dx \right. \\ &\quad \left. - \int_{B_r} [F(x, \tilde{u}_h, \nabla \tilde{u}_h) + \mathbb{1}_{\tilde{E}_h} G(x, \tilde{u}_h, \nabla \tilde{u}_h)] dy \right] \\ &\quad + [P(E_h; \bar{B}_r) - P(\tilde{E}_h; \bar{B}_r)] + \lambda_h [(d - |E_h|)^\alpha - (d - |\tilde{E}_h|)^\alpha] \\ &= I_{1,h} + I_{2,h} + I_{3,h}. \end{aligned} \tag{18}$$

In order to estimate the contribution of the last integrals we need some preliminary estimates for the map Φ that can be obtained by direct computation (see [11] or [12] for the explicit calculation). We just observe that for $|x| < r/2$, Φ is simply a homothety and all the estimates that we are going to introduce are trivial.

Conversely, for $r/2 < |x| < r$ we have

$$\frac{\partial \Phi_i}{\partial x_j}(x) = \left(1 + \sigma_h - \frac{\sigma_h r^n}{|x|^n}\right) \delta_{ij} + n\sigma_h r^n \frac{x_i x_j}{|x|^{n+2}}. \tag{19}$$

It is clear from this expression that, since σ_h is going to zero, $\nabla \Phi$ is a small perturbation of the identity that can be written as

$$\nabla \Phi = Id + \sigma_h Z.$$

We can also address the reader to Section 17.2 “Taylor’s expansion of the determinant close to the identity” in [24] for related estimates. Then we have

$$|z - z \circ \nabla \Phi(y)| \leq C_1(n)\sigma_h|z|, \quad \text{for all } y, z \in \mathbb{R}^n. \tag{20}$$

It is not difficult to find out also that

$$\|\nabla \Phi^{-1}(\Phi(x))\|_\infty \leq (1 - (2^n - 1)\sigma_h)^{-1} \leq 1 + 2^n n\sigma_h, \quad \text{for all } x \in B_r. \tag{21}$$

Concerning $J\Phi$, the Jacobian of Φ , from (19) we deduce

$$J\Phi(x) = \left(1 + \sigma_h + \frac{(n-1)\sigma_h r^n}{|x|^n}\right) \left(1 + \sigma_h - \frac{\sigma_h r^n}{|x|^n}\right)^{n-1}.$$

For $r/2 < |x| < r$, we can estimate (see also Section 3 in [4]):

$$\begin{aligned} J\Phi(x) &\geq \left(1 + \sigma_h + \frac{(n-1)\sigma_h r^n}{|x|^n}\right) \left(1 + \sigma_h - (n-1)\frac{\sigma_h r^n}{|x|^n}\right) \\ &\geq 1 + 2\sigma_h - (4^n(n-1)^2 - 1)\sigma_h^2 > 1 + \sigma_h, \end{aligned}$$

provided that we choose

$$\sigma_h < \frac{1}{4^n(n-1)^2 - 1}.$$

Summarizing we gain the following inequalities for the Jacobian of Φ :

$$\begin{aligned} 1 + \sigma_h &\leq J\Phi(x), \quad \text{for all } x \in B_r \setminus B_{r/2}, \\ J\Phi(x) &\leq 1 + 2^n n\sigma_h, \quad \text{for all } x \in B_r. \end{aligned} \tag{22}$$

Now, let us start by estimating $I_{3,h}$ thus proving at the same time that the condition $|\tilde{E}_h| < d$ is satisfied.

Step 2. *Estimate of $I_{3,h}$. First we recall (16), (17), (22), thus getting*

$$\begin{aligned} |\tilde{E}_h| - |E_h| &= \int_{E_h \cap B_r \setminus B_{r/2}} (J\Phi(x) - 1) dx + \int_{E_h \cap B_{r/2}} (J\Phi(x) - 1) dx \\ &\geq \left(\frac{\omega_n}{2^{n+2}} - \varepsilon\right) \sigma_h r^n - [1 - (1 - (2^n - 1)\sigma_h)^n] \varepsilon r^n \\ &\geq \sigma_h r^n \left[\frac{\omega_n}{2^{n+2}} - \varepsilon - (2^n - 1)n\varepsilon\right]. \end{aligned}$$

Therefore, if we choose $0 < \varepsilon < \varepsilon_0(n)$, we have that

$$\lambda_h(|\tilde{E}_h| - |E_h|) \geq \lambda_h C_2(n)\sigma_h r^n. \tag{23}$$

Moreover, if we denote $\delta_h := d - |E_h|$, we choose σ_h in such a way that $|\tilde{E}_h| - |E_h| \leq \delta_h/2$ thus respecting the condition $|\tilde{E}_h| < d$. For this reason let us observe that we have, proceeding as before and using (22),

$$|\tilde{E}_h| - |E_h| = \int_{E_h \cap B_r} (J\Phi(x) - 1) dx \leq n2^n \sigma_h r^n.$$

Then we will choose

$$\delta_h \leq \sigma_h \leq \frac{\delta_h}{n2^{n+1}r^n}.$$

Let us observe that in the last condition we imposed also that σ_h is comparable with δ_h , which is crucial in the following estimate. Resuming (23) we can conclude

$$\begin{aligned}
 I_{3,h} &= \lambda_h [(d - |E_h|)^\alpha - (d - |\tilde{E}_h|)^\alpha] \geq \lambda_h \frac{\alpha}{(d - |E_h|)^{1-\alpha}} (|\tilde{E}_h| - |E_h|) \\
 &= \lambda_h \alpha (d - |E_h|)^\alpha \frac{|\tilde{E}_h| - |E_h|}{d - |E_h|} \geq \lambda_h \alpha \delta_h^\alpha \frac{C_2(n) \sigma_h r^n}{\delta_h} \\
 &\geq \lambda_h C_3(n, \alpha) \sigma_h^\alpha r^n,
 \end{aligned} \tag{24}$$

for some positive constant $C_3 = C_3(n, \alpha)$.

Step 3. Estimate of $I_{1,h}$. Now we can perform the change of variables $y = \Phi(x)$ and, observing that $\mathbb{1}_{\tilde{E}_h}(\Phi(x)) = \mathbb{1}_{E_h}(x)$, we get

$$\begin{aligned}
 I_{1,h} &= \int_{B_r} [F(x, u_h, \nabla u_h) - J\Phi(x)F(\Phi(x), u_h(x), \nabla u_h(x) \circ \nabla \Phi^{-1}(\Phi(x)))] dx \\
 &\quad + \int_{B_r \cap E_h} [G(x, u_h, \nabla u_h) - J\Phi(x)G(\Phi(x), u_h(x), \nabla u_h(x) \circ \nabla \Phi^{-1}(\Phi(x)))] dx \\
 &=: J_{1,h} + J_{2,h}.
 \end{aligned}$$

The two terms $J_{1,h}$ and $J_{2,h}$, involving F and G in B_r and $B_r \cap E_h$ respectively, can be treated in the same way. Therefore we just perform the calculation for $J_{1,h}$.

To make the argument clearer, since we shall use the structure conditions (4) and (5) we introduce the following notation. $A_2(x, s)$ denotes the quadratic form and $A_1(x, s)$ denotes the linear form defined as follows:

$$A_2(x, s)[z] := a_{ij}(x, s)z_i z_j, \quad A_1(x, s)[z] := a_i(x, s)z_i,$$

for any $z \in \mathbb{R}^n$. Analogously we set $A_0(x, s) = a(x, s)$. Accordingly, we can write down

$$\begin{aligned}
 J_{1,h} &= \int_{B_r} \left\{ A_2(x, u_h(x))[\nabla u_h(x)] - A_2(\Phi(x), u_h(x))[\nabla u_h(x) \circ \nabla \Phi^{-1}(\Phi(x))] J\Phi(x) \right\} dx \\
 &\quad + \int_{B_r} \left\{ A_1(x, u_h(x))[\nabla u_h(x)] - A_1(\Phi(x), u_h(x))[\nabla u_h(x) \circ \nabla \Phi^{-1}(\Phi(x))] J\Phi(x) \right\} dx \\
 &\quad + \int_{B_r} \left\{ A_0(x, u_h(x)) - A_0(\Phi(x), u_h(x)) J\Phi(x) \right\} dx.
 \end{aligned} \tag{25}$$

We proceed estimating the first difference in the previous equality, the other being similar and indeed easier to handle.

$$\begin{aligned}
 &\int_{B_r} \left\{ A_2(x, u_h(x))[\nabla u_h(x)] - A_2(\Phi(x), u_h(x))[\nabla u_h(x) \circ \nabla \Phi^{-1}(\Phi(x))] J\Phi(x) \right\} dx \\
 &= \int_{B_r} \left\{ A_2(\Phi(x), u_h(x))[\nabla u_h(x)] - A_2(\Phi(x), u_h(x))[\nabla u_h(x) \circ \nabla \Phi^{-1}(\Phi(x))] J\Phi(x) \right\} dx \\
 &\quad + \int_{B_r} \left\{ A_2(x, u_h(x))[\nabla u_h(x)] - A_2(\Phi(x), u_h(x))[\nabla u_h(x)] \right\} dx =: H_{1,h} + H_{2,h}.
 \end{aligned}$$

The first term $H_{1,h}$ can be estimated observing that, as a consequence of (8), we have:

$$|A_2[\xi] - A_2[\eta]| \leq N|\xi + \eta||\xi - \eta|, \quad \forall \xi, \eta \in \mathbb{R}^n.$$

If we apply the last inequality to the vectors

$$\xi := \nabla u_h(x), \quad \eta := \sqrt{J\Phi(x)}[\nabla u_h(x) \circ \nabla \Phi^{-1}(\Phi(x))],$$

we are led to estimate $|\xi - \eta|$.

We start by observing that, being $J\Phi(x) = (1 - \sigma_h(2^n - 1))^n$ for $|x| < r/2$, by also using, (22) we deduce

$$|\sqrt{J\Phi(x)} - 1| < C(n)\sigma_h, \quad \text{for all } x \in \mathbb{R}^n.$$

Therefore we have

$$|\sqrt{J\Phi}\xi - \xi| \leq C(n)\sigma_h|\xi|.$$

In addition choosing $z = \xi \circ \nabla \Phi^{-1}(\Phi(x))$ in (20) and using also (21), we can deduce

$$\begin{aligned} |\xi \circ \nabla \Phi^{-1}(\Phi(x)) - \xi| &\leq \sigma_h C_1(n) |\xi \circ \nabla \Phi^{-1}(\Phi(x))| \leq \sigma_h |\xi| C_1(n) \|\nabla \Phi^{-1}(\Phi(x))\|_\infty \\ &\leq n2^n C_1(n) \sigma_h |\xi|. \end{aligned}$$

Summarizing we finally get

$$|\xi - \eta| \leq \sigma_h C(n) |\nabla u_h(x)|, \quad |\xi + \eta| \leq C(n) |\nabla u_h(x)|,$$

for some constant $C = C(n) > 0$. From the previous estimates we deduce that

$$|H_{1,h}| \leq \sigma_h N C^2(n) \int_{B_r} |\nabla u_h(x)|^2 dx \leq \sigma_h N C^2(n) \Theta, \tag{26}$$

where Θ is defined in (15).

The second term $H_{2,h}$ can be estimated using the Hölder continuity assumption on a_{ij} and observing that $|x - \Phi(x)| \leq \sigma_h r 2^n$. Therefore we deduce that

$$|H_{2,h}| \leq (\sigma_h r 2^n)^\alpha L_\alpha \int_{B_r} |\nabla u_h(x)|^2 dx \leq \sigma_h^\alpha C(n, \alpha, L_\alpha) \Theta. \tag{27}$$

In conclusion, since the other terms in (25) can be estimated in the same way, collecting estimates (26) and (27) we get

$$|J_{1,h}| \leq \sigma_h^\alpha C(n, N, \alpha, L_\alpha) \Theta.$$

Since the same estimate holds true for $J_{2,h}$, we conclude that

$$I_{1,h} \geq -\sigma_h^\alpha C_4(n, N, \alpha, L_\alpha) \Theta, \tag{28}$$

for some constant $C_4 = C_4(n, N, \alpha, L_\alpha) > 0$.

Step 4. Estimate of $I_{2,h}$. In order to estimate $I_{2,h}$, we can use the area formula for maps between rectifiable sets. If we denote by $T_{h,x}$ the tangential gradient of Φ along the approximate tangent space to $\partial^* E_h$ in x and $T_{h,x}^*$ is the adjoint of the map $T_{h,x}$, the $(n-1)$ -dimensional jacobian of $T_{h,x}$ is given by

$$J_{n-1} T_{h,x} = \sqrt{\det(T_{h,x}^* \circ T_{h,x})}.$$

Thereafter we can estimate

$$J_{n-1} T_{h,x} \leq 1 + \sigma_h + 2^n(n-1)\sigma_h. \tag{29}$$

We address the reader to [11] where explicit calculations are given. In order to estimate $I_{2,h}$, we use the area formula for maps between rectifiable sets ([3, Theorem 2.91]), thus getting

$$\begin{aligned}
 I_{2,h} &= P(E_h; \overline{B}_r) - P(\tilde{E}_h; \overline{B}_r) = \int_{\partial^* E_h \cap \overline{B}_r} d\mathcal{H}^{n-1} - \int_{\partial^* E_h \cap \overline{B}_r} J_{n-1} T_{h,x} d\mathcal{H}^{n-1} \\
 &= \int_{\partial^* E_h \cap \overline{B}_r \setminus B_{r/2}} (1 - J_{n-1} T_{h,x}) d\mathcal{H}^{n-1} + \int_{\partial^* E_h \cap B_{r/2}} (1 - J_{n-1} T_{h,x}) d\mathcal{H}^{n-1}.
 \end{aligned}$$

Notice that the last integral in the above formula is non-negative since Φ is a contraction in $B_{r/2}$, hence $J_{n-1} T_{h,x} < 1$ in $B_{r/2}$, while from (29) we have

$$\int_{\partial^* E_h \cap \overline{B}_r \setminus B_{r/2}} (1 - J_{n-1} T_{h,x}) d\mathcal{H}^{n-1} \geq -2^n n P(E_h; \overline{B}_r) \sigma_h \geq -2^n n \Theta \sigma_h^\alpha,$$

thus concluding that

$$I_{2,h} \geq -2^n n \Theta \sigma_h^\alpha. \tag{30}$$

Finally to conclude the proof we recall (18), (24), (28) and (30) to obtain

$$\mathcal{F}_{\lambda_h}(E_h, u_h) - \mathcal{F}_{\lambda_h}(\tilde{E}_h, \tilde{u}_h) \geq \sigma_h^\alpha (\lambda_h C_3(n, \alpha) r^n - \Theta(C_4(n, N, \alpha, L_\alpha) + 2^n n)) > 0,$$

if λ_h is sufficiently large. This contradicts the minimality of (E_h, u_h) , thus concluding the proof. □

The previous theorem motivates the following definition.

Definition 4 ((Λ, α) -minimizers) The energy pair (E, u) is a (Λ, α) -minimizer in Ω of the functional \mathcal{F} , defined in (1), if and only if for every $B_r(x_0) \subset \Omega$ it holds:

$$\mathcal{F}(E, u; B_r(x_0)) \leq \mathcal{F}(F, v; B_r(x_0)) + \Lambda |F \Delta E|^\alpha,$$

whenever (F, v) is an admissible test pair, namely, F is a set of finite perimeter with $F \Delta E \subset \subset B_r(x_0)$ and $v - u \in H_0^1(B_r(x_0))$.

3 Decay of the bulk energy

We start by quoting higher integrability results both for local minimizers of the functional (1) and for comparison functions that we will use later in the paper. We assume that E is fixed and therefore we consider only the dependence on the bulk term through u . It is worth mentioning that the following lemmata can be applied in general to minimizers of integral functionals of the type

$$\mathcal{H}(u; \Omega) := \int_{\Omega} H(x, u, \nabla u) dx, \tag{31}$$

assuming that the energy density H satisfies only the structure condition (4) and the growth conditions (8) and (9), without assuming any continuity on the coefficients. It is clear that functionals of the type (1) belong to this class and in addition the involved estimates only depend on the constants appearing in (8) and (9) but do not depend on E accordingly. Since the argument is very standard we address the reader to [12] where detailed proofs is given.

Lemma 2 Let $u \in H^1(\Omega)$ be a local minimizer of the functional \mathcal{H} defined in (31), where H satisfies the structure condition (4) and the growth conditions (8) and (9). There exists $s = s(n, \nu, N, L) > 1$ such that, for every $B_{2R}(x_0) \subset\subset \Omega$, it holds

$$\int_{B_R(x_0)} |\nabla u|^{2s} dx \leq C_1 \left(\int_{B_{2R}(x_0)} (1 + |\nabla u|^2) dx \right)^s,$$

where $C_1 = C_1(n, \nu, N, L)$ is a positive constant.

In the next subsection we will prove some energy density estimates by using a standard comparison argument. For this purpose we will need a reverse Hölder inequality for the comparison function defined below.

Definition 5 (Comparison function) Let $u \in H^1(\Omega)$ be a local minimizer of the functional \mathcal{F} defined in (1) and $B_{2R}(x_0) \subset\subset \Omega$. We shall denote by v the solution of the following problem

$$v := \operatorname{argmin}_{w \in u + H_0^1(B_R(x_0))} \int_{B_R(x_0)} \tilde{H}(x, \nabla w) dx, \tag{32}$$

where $\tilde{H}(x, z) := H(x, u(x), z)$ satisfies the structure condition (4) and the growth conditions (8) and (9).

Lemma 3 Let $u \in H^1(\Omega)$ be a local minimizer of the functional \mathcal{F} defined in (1). Let $v \in H^1(B_R(x_0))$ be the comparison function defined in (32). Denoting by $s = s(n, \nu, N, L) > 1$ the same exponent given in Lemma 2, it holds

$$\int_{B_R(x_0)} |\nabla v|^{2s} dx \leq C_2 \left(\int_{B_{2R}(x_0)} (1 + |\nabla u|^2) dx \right)^s,$$

where $C_2 = C_2(n, \nu, N, L)$ is a positive constant.

Remark 1 The proof of Lemma 3 does not use directly the minimality of u , but only the higher integrability of its gradient.

3.1 A decay estimate for elastic minima

In this section we prove a decay estimate for elastic minima that will be crucial for the proof strategy. Indeed, we show that if (E, u) is a (Λ, α) -minimizer of the functional \mathcal{F} defined in (1) and x_0 is a point in Ω , where either the density of E is close to 0 or 1, or the set E is asymptotically close to a hyperplane, then for ρ sufficiently small we have

$$\int_{B_\rho(x_0)} |\nabla u|^2 dx \leq C \rho^{n-\mu},$$

for any $\mu \in (0, 1]$. A preliminary result we want to mention, which will be used later, provides an upper bound for \mathcal{F} . The proof is rather standard and is related to the threshold Hölder exponent $\frac{1}{2}$ of the function u , when (E, u) is either a solution of the constrained problem (P_c) or a solution of the penalized problem (P) defined in Sect. 1. For the proof we address the reader to [23, Lemma 2.3] and [15]. A detailed proof in the case of constrained problems and for functionals satisfying general p -polynomial growth is contained in [4].

Theorem 3 *Let (E, u) be a (Λ, α) -minimizer of \mathcal{F} in Ω . Then for every open set $U \subset\subset \Omega$ there exists a constant $C_3 = C_3(n, N, \nu, \alpha, \Lambda, U, \|\nabla u\|_{L^2(\Omega)}) > 0$ such that for every $B_r(x_0) \subset U$ it holds*

$$\mathcal{F}(E, u; B_r(x_0)) \leq C_3 r^{n-1}.$$

Proof Fixing $B_r(x_0) \subset U \subset\subset \Omega$, we compare (E, u) with $(E \setminus B_r(x_0), u)$ thus obtaining

$$\begin{aligned} \mathcal{F}(E, u; \Omega) &\leq \mathcal{F}(E \setminus B_r(x_0), u; \Omega) + \Lambda |E \Delta (E \setminus B_r(x_0)) \cap \Omega|^\alpha \\ &\leq \mathcal{F}(E \setminus B_r(x_0), u; \Omega) + \Lambda |B_r(x_0)|^\alpha. \end{aligned}$$

Making \mathcal{F} explicit and getting rid of the common terms, we obtain an energy estimate on $B_r(x_0) \cap E$,

$$\begin{aligned} \int_{B_r(x_0) \cap E} G(x, u, \nabla u) dx + P(E; B_r(x_0)) &\leq P(E \cap \partial B_r(x_0); \Omega) + c(n, \alpha, \Lambda) r^{n\alpha} \\ &\leq \mathcal{H}^{n-1}(\partial B_r(x_0)) + c(n, \alpha, \Lambda) r^{n-1} \\ &\leq c(n, \alpha, \Lambda) r^{n-1}. \end{aligned} \tag{33}$$

Now we want to prove that there exist M and $\tau \in (0, \frac{1}{2})$, depending on $\frac{N}{\nu}$, such that for every $\delta \in (0, 1)$ there exists $h_0 \in \mathbb{N}$ such that, for any $B_r(x_0) \subset U$, we have

$$\int_{B_r(x_0)} |\nabla u|^2 \leq h_0 r^{n-1} \quad \text{or} \quad \int_{B_{\tau r}(x_0)} |\nabla u|^2 dx \leq M \tau^{n-\delta} \int_{B_r(x_0)} |\nabla u|^2 dx.$$

Step 1: Arguing by contradiction, for $\tau \in (0, \frac{1}{2})$ and $\delta \in (0, 1)$, we choose $M \geq 1$ and we assume that, for every $h \in \mathbb{N}$, there exists a ball $B_{r_h}(x_h) \subset U$ such that

$$\int_{B_{r_h}(x_h)} |\nabla u|^2 dx > h r_h^{n-1} \tag{34}$$

and

$$\int_{B_{\tau r_h}(x_h)} |\nabla u|^2 dx > M \tau^{n-\delta} \int_{B_{r_h}(x_h)} |\nabla u|^2 dx. \tag{35}$$

Note that estimates (33) and (34) yield

$$\int_{B_{r_h}(x_h) \cap E} |\nabla u|^2 dx + P(E; B_{r_h}(x_h)) \leq c_0 r_h^{n-1} < \frac{c_0}{h} \int_{B_{r_h}(x_h)} |\nabla u|^2 dx, \tag{36}$$

and so

$$\int_{B_{r_h}(x_h) \cap E} |\nabla u|^2 dx < \frac{c_0}{h} \int_{B_{r_h}(x_h)} |\nabla u|^2 dx, \tag{37}$$

for some positive constant c_0 .

Step 2: We will prove our aim by means of a blow-up argument. We set

$$\zeta_h^2 := \int_{B_{r_h}(x_h)} |\nabla u|^2 dx$$

and, for $y \in B_1$, we introduce the sequence of rescaled functions defined as

$$v_h(y) := \frac{u(x_h + r_h y) - a_h}{\zeta_h r_h}, \quad \text{with} \quad a_h := \int_{B_{r_h}(x_h)} u dx,$$

$$E_h^* := \frac{E - x_h}{r_h} \cap B_1.$$

We have $\nabla u(x_h + r_h y) = \varsigma_h \nabla v_h(y)$ and a change of variable yields

$$\int_{B_1} |\nabla v_h(y)|^2 dy = \frac{1}{\varsigma_h^2} \int_{B_{r_h}(x_h)} |\nabla u(x)|^2 dx = 1.$$

Therefore, there exist a (not relabeled) subsequence of v_h and $v \in H^1(B_1)$ such that $v_h \rightarrow v$ in $H^1(B_1)$ and $v_h \rightarrow v$ in $L^2(B_1)$. Moreover, the semicontinuity of the norm implies

$$\int_{B_1} |\nabla v(y)|^2 dy \leq \liminf_{h \rightarrow \infty} \int_{B_1} |\nabla v_h(y)|^2 dy = 1. \tag{38}$$

We rewrite the inequalities (34), (35) and (37). They become, respectively,

$$\varsigma_h^2 > \frac{h}{r_h}, \tag{39}$$

$$\int_{B_\tau} |\nabla v_h(y)|^2 dy > M\tau^{-\delta}, \tag{40}$$

$$\int_{B_1 \cap E_h^*} |\nabla v_h(y)|^2 dy < \frac{c_0}{h} \int_{B_1} |\nabla v_h(y)|^2 dy = \frac{c_0 \omega_n}{h}. \tag{41}$$

Of course, (39) implies that $\varsigma_h \rightarrow \infty$, as $h \rightarrow \infty$.

Step 3: In order to go further we must prove the strong convergence $v_h \rightarrow v$ in $H^1_{loc}(B_1)$.

Since $r_h^{n-1} P(E_h^*; B_1) = P(E; B_{r_h}(x_h))$, by (36), we have that the sequence $(P(E_h^*; B_1))_{h \in \mathbb{N}}$ is bounded. Therefore up a not relabeled subsequence, $\mathbb{1}_{E_h^*} \rightarrow \mathbb{1}_{E^*}$ in $L^1(B_1)$, for some set $E^* \subset B_1$ of locally finite perimeter. By semicontinuity we deduce that

$$\begin{aligned} \int_{B_1} \mathbb{1}_{E^*} |\nabla v|^2 dy &\leq \liminf_{h \rightarrow \infty} \int_{B_1} \mathbb{1}_{E^*} |\nabla v_h|^2 dy \\ &\leq \liminf_{h \rightarrow \infty} \left(\int_{B_1} \mathbb{1}_{E_h^*} |\nabla v_h|^2 dy + \int_{B_1} \mathbb{1}_{E^* \setminus E_h^*} |\nabla v_h|^2 dy \right) = 0, \end{aligned}$$

where we used (41) and the equi-integrability of $(|\nabla v_h|^2)_{h \in \mathbb{N}}$.

By Λ -minimality of (E, u) with respect to $(E, u + \phi)$ we get, for $\phi \in H^1_0(B_{r_h}(x_h))$,

$$\begin{aligned} &\int_{B_{r_h}(x_h)} [F(x, u, \nabla u) + \mathbb{1}_E G(x, u, \nabla u)] dx \\ &\leq \int_{B_{r_h}(x_h)} [F(x, u + \phi, \nabla u + \nabla \phi) + \mathbb{1}_E G(x, u + \phi, \nabla u + \nabla \phi)] dx. \end{aligned}$$

Using the change of variable $x = x_h + r_h y$, we deduce for every $\psi \in H_0^1(B_1)$,

$$\begin{aligned} & \int_{B_1} [F(x_h + r_h y, u(x_h + r_h y), \zeta_h \nabla v_h) + \mathbb{1}_{E_h^*} G(x_h + r_h y, u(x_h + r_h y), \zeta_h \nabla v_h)] dy \\ & \leq \int_{B_1} F(x_h + r_h y, u(x_h + r_h y) + r_h \psi, \zeta_h \nabla v_h + \nabla \psi) dy \\ & \quad + \int_{B_1} \mathbb{1}_{E_h^*} G(x_h + r_h y, u(x_h + r_h y) + r_h \psi, \zeta_h \nabla v_h + \nabla \psi) dy. \end{aligned} \tag{42}$$

Let $\eta \in C_c^\infty(B_1)$ such that $0 \leq \eta \leq 1$. We choose the test function $\psi_h = \zeta_h \eta(v - v_h)$ and exploit

$$\nabla v_h + \nabla \psi_h = \zeta_h \eta \nabla v + \zeta_h (1 - \eta) \nabla v_h + \zeta_h (v - v_h) \nabla \eta.$$

For simplicity of notation we will denote $w_h := u(x_h + r_h y) + r_h \zeta_h \eta(v - v_h)$ so that the previous inequality can be read as

$$\begin{aligned} & \int_{B_1} [F(x_h + r_h y, u(x_h + r_h y), \zeta_h \nabla v_h) + \mathbb{1}_{E_h^*} G(x_h + r_h y, u(x_h + r_h y), \zeta_h \nabla v_h)] dy \\ & \leq \int_{B_1} F(x_h + r_h y, w_h, \zeta_h \eta \nabla v + \zeta_h (1 - \eta) \nabla v_h + \zeta_h (v - v_h) \nabla \eta) dy \\ & \quad + \int_{B_1} \mathbb{1}_{E_h^*} G(x_h + r_h y, w_h, \zeta_h \eta \nabla v + \zeta_h (1 - \eta) \nabla v_h + \zeta_h (v - v_h) \nabla \eta) dy. \end{aligned}$$

Using the quadratic structure of F and G we can pull out the terms $\zeta_h(v - v_h)$ in order to use the convexity in the next step.

$$\begin{aligned} & \int_{B_1} [F(x_h + r_h y, u(x_h + r_h y), \zeta_h \nabla v_h) + \mathbb{1}_{E_h^*} G(x_h + r_h y, u(x_h + r_h y), \zeta_h \nabla v_h)] dy \\ & \leq \int_{B_1} F(x_h + r_h y, w_h, \zeta_h \eta \nabla v + \zeta_h (1 - \eta) \nabla v_h) dy \\ & \quad + \int_{B_1} \mathbb{1}_{E_h^*} G(x_h + r_h y, w_h, \zeta_h \eta \nabla v + \zeta_h (1 - \eta) \nabla v_h) dy \\ & \quad + c(N, L) \int_{B_1} (|\zeta_h \nabla v| + |\zeta_h \nabla v_h| + |\zeta_h (v - v_h)|) \zeta_h |v - v_h| dy. \end{aligned}$$

Using the convexity of F and G and rearranging the terms we obtain

$$\begin{aligned} & \int_{B_1} \eta F(x_h + r_h y, w_h, \zeta_h \nabla v_h) \leq \int_{B_1} \eta F(x_h + r_h y, w_h, \zeta_h \nabla v) dy \\ & \quad + \int_{B_1} [F(x_h + r_h y, w_h, \zeta_h \nabla v_h) - F(x_h + r_h y, u(x_h + r_h y), \zeta_h \nabla v_h)] dy \\ & \quad + \int_{B_1} \mathbb{1}_{E_h^*} [G(x_h + r_h y, w_h, \zeta_h \nabla v_h) - G(x_h + r_h y, u(x_h + r_h y), \zeta_h \nabla v_h)] dy \\ & \quad + \int_{B_1} \mathbb{1}_{E_h^*} \eta [G(x_h + r_h y, w_h, \zeta_h \nabla v) - G(x_h + r_h y, w_h, \zeta_h \nabla v_h)] dy \\ & \quad + c(N, L) \int_{B_1} (|\zeta_h \nabla v| + |\zeta_h \nabla v_h| + |\zeta_h (v - v_h)|) \zeta_h |v - v_h| dy. \end{aligned}$$

The last term and the second to last term can be treated in a standard way using (38), Hölder’s inequality, the strong convergence of v_h to v and the weak convergence of ∇v_h to ∇v . The remaining two terms, which differ only in the second argument, can be treated as follows.

We remark that by definition of v_h and Hölder continuity of u_h immediately follows $r_h \zeta_h v_h \rightarrow 0$. Therefore, being $r_h \zeta_h \rightarrow 0$ where $v \neq 0$, we deduce also $w_h - u(x_h + r_h y) = r_h \zeta_h \eta(v - v_h) \rightarrow 0$ for a.e. $y \in B_1$. Finally, using the equi-integrability of $|\nabla v_h|^2$, resulting from the weak convergence of ∇v_h , and the boundedness of the coefficients a_{ij}, a_i, a we conclude that

$$\begin{aligned} & \int_{B_1} [F(x_h + r_h y, w_h, \zeta_h \nabla v_h) - F(x_h + r_h y, u(x_h + r_h y), \zeta_h \nabla v_h)] dy \\ & \leq \zeta_h^2 \int_{B_1} |a_{ij}(x_h + r_h y, w_h) - a_{ij}(x_h + r_h y, u(x_h + r_h y))| |\nabla_i v_h| |\nabla_j v_h| dy \\ & \quad + \zeta_h \int_{B_1} |a_i(x_h + r_h y, w_h) - a_i(x_h + r_h y, u(x_h + r_h y))| |\nabla_i v_h| dy + c(n, L) = \zeta_h^2 \varepsilon_h. \end{aligned}$$

Combining the previous inequalities, we get

$$\int_{B_1} \eta F(x_h + r_h y, w_h, \zeta_h \nabla v_h) dy \leq \int_{B_1} \eta F(x_h + r_h y, w_h, \zeta_h \nabla v) dy + \zeta_h^2 \varepsilon_h.$$

Dividing by ζ_h^2 , the linear terms in F tend to 0, thus getting

$$\int_{B_1} \eta a_{ij}(x_h + r_h y, w_h) \nabla_i v_h \nabla_j v_h dy \leq \int_{B_1} \eta a_{ij}(x_h + r_h y, w_h) \nabla_i v \nabla_j v dy + \varepsilon_h.$$

Since $B_{r_h}(x_h) \subset U \subset\subset \Omega$ for all $h \in \mathbb{N}$, we may assume that $x_h \rightarrow \bar{x}$, as $h \rightarrow \infty$.

Passing to the upper limit for $h \rightarrow \infty$, in the previous inequality, we deduce

$$\limsup_{h \rightarrow \infty} \int_{B_1} \eta a_{ij}(\bar{x}, u(\bar{x})) \nabla_i v_h \nabla_j v_h dy \leq \int_{B_1} \eta a_{ij}(\bar{x}, u(\bar{x})) \nabla_i v \nabla_j v dy.$$

The opposite inequality holds true by lower semicontinuity. Thus we conclude

$$\lim_{h \rightarrow \infty} \int_{B_1} \eta a_{ij}(\bar{x}, u(\bar{x})) \nabla_i v_h \nabla_j v_h dy = \int_{B_1} \eta a_{ij}(\bar{x}, u(\bar{x})) \nabla_i v \nabla_j v dy.$$

Since the matrix $a_{ij}(\bar{x}, u(\bar{x}))$ is elliptic and bounded, it induces a norm which is equivalent to the euclidean norm. Therefore, being $\eta \in C_c^1(B_1)$ arbitrary we deduce the strong convergence of v_h to v in $H_{loc}^1(B_1)$.

Step 4: (Reaching a contradiction.) Using the strong convergence of v_h to v in $H_{loc}^1(B_1)$ we can pass to the limit in the inequality(42) divided by ζ_h^2 . Observing that the terms containing G vanish by (41) we conclude that

$$\int_{B_1} F(\bar{x}, u(\bar{x}), \nabla v) dy \leq \int_{B_1} F(\bar{x}, u(\bar{x}), \nabla v + \nabla \psi) dy,$$

for every $\psi \in H_0^1(B_1)$. Therefore v minimizes a quadratic functional in B_1 and we deduce that there exists $\tau_0 \in (0, \frac{1}{2})$ and \tilde{C} , depending on $\frac{N}{\nu}$ such that

$$\int_{B_\tau} |\nabla v|^2 dy \leq \tilde{C} \int_{B_1} |\nabla v|^2 dy \leq \tilde{C}, \quad \forall \tau \leq \tau_0.$$

Then we conclude

$$\lim_{h \rightarrow \infty} \int_{B_\tau} |\nabla v_h|^2 dy = \int_{B_\tau} |\nabla v|^2 dy \leq \tilde{C} \int_{B_1} |\nabla v|^2 dy \leq \tilde{C}$$

Thus we reach a contradiction with (40) if we choose $M \geq \tilde{C} > \tau^\delta \tilde{C}$.

We conclude that there exists $\tau \in (0, \frac{1}{2})$ and $M \geq \tilde{C}$ such that, for every $\delta \in (0, 1)$ there exists $h_0 \in \mathbb{N}$ such that, for any $B_r(x_0) \subset \Omega$, we have

$$\int_{B_r(x_0)} |\nabla u|^2 \leq h_0 r^{n-1} \quad \text{or} \quad \int_{B_{\tau r}(x_0)} |\nabla u|^2 dx \leq M \tau^{n-\delta} \int_{B_r(x_0)} |\nabla u|^2 dx.$$

Hence,

$$\int_{B_{\tau r}(x_0)} |\nabla u|^2 dx \leq M \tau^{n-\delta} \int_{B_r(x_0)} |\nabla u|^2 dx + h_0 r^{n-1},$$

and, using Lemma 1, we obtain that

$$\int_{B_\rho(x_0)} |\nabla u|^2 dx \leq c \left\{ \left(\frac{\rho}{r} \right)^{n-1} \int_{B_r(x_0)} |\nabla u|^2 dx + h_0 \rho^{n-1} \right\}, \quad \forall 0 < \rho < r \leq R,$$

and so

$$\int_{B_\rho(x_0)} |\nabla u|^2 dx \leq c \rho^{n-1}.$$

□

As a consequence of the previous theorem, using Poincaré’s inequality and the characterization of Campanato spaces (see for example [16, Theorem 2.9]), we can infer that $u \in C^{0, \frac{1}{2}}$. We deduce the following remark.

Remark 2 Let (E, u) be a Λ -minimizer of the functional \mathcal{F} defined in (1). For every open set $U \subset \subset \Omega$ there exists a constant $C = C(n, \alpha, \Lambda, U, \|\nabla u\|_{L^2(\Omega)}) > 0$ such that

$$\sup_{x, y \in U} \frac{|u(x) - u(y)|}{|x - y|^{\frac{1}{2}}} \leq C. \tag{43}$$

In order to prove the main lemma of this section we introduce the following preliminary result. For reader’s convenience we give here a sketch of the proof, which can be found in [25]. Actually we state here a weaker version that is suitable for our aim. In the following we will denote

$$H = \{x \in \mathbb{R}^n : x_n > 0\}.$$

Lemma 4 Let $v \in H^1(B_1)$ be a solution of

$$-\operatorname{div}(A \nabla u) = \operatorname{div} G, \quad \text{in } \mathcal{D}'(B_1),$$

where

$$G^+ := \mathbb{1}_H G \in C^{0, \sigma}(H \cap B_1), \quad G^- := \mathbb{1}_{H^c} G \in C^{0, \sigma}(H^c \cap B_1),$$

for some $\sigma \in (0, 1]$ and A is an elliptic matrix satisfying

$$v|z|^2 \leq A_{ij}(x)z_i z_j \leq N|z|^2$$

and

$$A^+ := \mathbb{1}_H A \in C^{0,\sigma}(\overline{H} \cap B_1), \quad A^- := \mathbb{1}_{H^c} A \in C^{0,\sigma}(\overline{H^c} \cap B_1),$$

for some constants $\nu, N > 0$. Let us denote

$$C_A = \max \{ \|A^+\|_{C^{0,\sigma}}, \|A^-\|_{C^{0,\sigma}} \}, \quad C_G = \max \{ \|G^+\|_{C^{0,\sigma}}, \|G^-\|_{C^{0,\sigma}} \}.$$

Then $\nabla v \in L^{2,n}_{loc}(B_1)$ (see (12)). Moreover, there exist two constants $C = C(n, \nu, N, C_A, C_G)$ and $r_0 = r_0(n, \nu, N, \|G\|_{L^\infty}, C_A, C_G)$ such that, for any $r < r_0$ with $B_r(x_0) \subset B_1$,

$$\int_{B_\rho(x_0)} |\nabla v|^2 dx \leq C \left(\frac{\rho}{r}\right)^n \int_{B_r(x_0)} |\nabla v|^2 dx + C\rho^n, \quad \forall \rho < \frac{r}{4}.$$

Proof Fix $x_0 \in B_1$ and let r be such that $B_r(x_0) \subset B_1$. Let us denote by a^+ and a^- the averages of A in $H \cap B_r(x_0)$ and $H^c \cap B_r(x_0)$ respectively. In an analogous way we define g^+ and g^- the averages of G in $H \cap B_r(x_0)$ and $H^c \cap B_r(x_0)$. For $x \in B_r(x_0)$ we define

$$\overline{A} := a^+ \mathbb{1}_H + a^- \mathbb{1}_{H^c}, \quad \overline{G} := g^+ \mathbb{1}_H + g^- \mathbb{1}_{H^c}.$$

Notice that by assumption

$$|A(x) - \overline{A}(x)| \leq C_A r^\sigma \quad \text{and} \quad |G(x) - \overline{G}(x)| \leq C_G r^\sigma. \tag{44}$$

Let w be the solution of

$$\begin{cases} -\operatorname{div}(\overline{A}\nabla w) = \operatorname{div} \overline{G} & \text{in } \mathcal{D}'(B_r(x_0)), \\ w = v & \text{on } \partial B_r(x_0). \end{cases}$$

Step 1: Tangential derivatives of w . Let us denote with τ the general direction tangent to the hyperplane ∂H . Since \overline{A} and \overline{G} are both constant along the tangential directions, the classical difference quotient method gives that $\nabla_\tau w \in W^{1,2}_{loc}(B_r(x_0))$ and

$$\operatorname{div}(\overline{A}\nabla(\nabla_\tau w)) = 0 \quad \text{a.e. in } B_r(x_0).$$

Hence, Caccioppoli's inequality holds:

$$\int_{B_\sigma(x)} |\nabla(\nabla_\tau w)|^2 dy \leq \frac{c(n, \nu, N)}{(\rho - \sigma)^2} \int_{B_\rho(x)} |\nabla_\tau w - (\nabla_\tau w)_{x,\rho}|^2 dy, \tag{45}$$

for all balls $B_\rho(x) \subset B_r(x_0)$, with $0 < \sigma < \rho$. Moreover, by De Giorgi's regularity theorem, $\nabla_\tau w$ is Hölder continuous and there exists $\gamma = \gamma(n, \nu, N) > 0$ such that if $B_\rho(x) \subset B_r(x_0)$

$$\int_{B_\sigma(x)} |\nabla_\tau w - (\nabla_\tau w)_{x,\sigma}|^2 dy \leq c(n, \nu, N) \left(\frac{\sigma}{\rho}\right)^{n+2\gamma} \int_{B_\rho(x)} |\nabla_\tau w - (\nabla_\tau w)_{x,s}|^2 dy, \tag{46}$$

for any $0 < \sigma < \frac{\rho}{2}$ and

$$\max_{B_{\frac{\rho}{2}}(x)} |\nabla_\tau w|^2 \leq \frac{c(n, \nu, N)}{\rho^n} \int_{B_\rho(x)} |\nabla_\tau w|^2 dy. \tag{47}$$

Observe that, since a^\pm and g^\pm are constant, we have that $w^\pm \in W_{loc}^{2,2}(B_r^\pm(x_0))$ respectively, where we denoted $B_r^+(x_0) = B_r(x_0) \cap H$ and $B_r^-(x_0) = B_r(x_0) \cap H^c$, $w^+ := w \mathbb{1}_{B_r^+}$, $w^- := w \mathbb{1}_{B_r^-}$. If we choose $\phi \in C_c^1(B_r^+)$ or $\phi \in C_c^1(B_r^-)$ in the equation

$$\int_{B_r(x_0)} (\bar{A} \nabla w + \bar{G}) \nabla \phi \, dy = 0, \quad \forall \phi \in C_0^1(B_r(x_0)),$$

we deduce that

$$\begin{cases} -\operatorname{div}(a^+ \nabla w^+) = 0 & \text{a.e. in } B_r(x_0) \cap H, \\ -\operatorname{div}(a^- \nabla w^-) = 0 & \text{a.e. in } B_r(x_0) \cap H^c, \\ w^+ = w^- & \text{on } B_r(x_0) \cap \partial H. \end{cases}$$

We also notice that, by the linearity of the first and the second equations quoted above, we can deduce that

$$a_{nn}^\pm \nabla_{nn}^2 w^\pm = - \sum_{(i,j) \neq (n,n)} a_{ij}^\pm \nabla_{ij}^2 w^\pm \quad \text{in } B_r^\pm(x_0),$$

and then

$$|\nabla_{nn}^2 w^\pm| \leq c(n) \frac{N}{\nu} \sum_{(i,j) \neq (n,n)} |\nabla_{ij}^2 w^\pm| \quad \text{in } B_r^\pm(x_0).$$

Therefore we can estimate, using (45), the second derivatives of w^\pm up to the flat boundary ∂H , that is to say $w^\pm \in W^{2,2}(B_\rho^\pm(x_0))$ for every $0 < \rho < r$. This implies that ∇w^\pm has a trace on ∂H . Let us write down the equation for w separately on B_r^+ and B_r^- :

$$\int_{B_r^+} (a^+ \nabla w^+ + g^+) \nabla \phi \, dy + \int_{B_r^-} (a^- \nabla w^- + g^-) \nabla \phi \, dy = 0, \quad \forall \phi \in C_c^1(B_r(x_0)).$$

We can integrate by part the separately on B_r^+ and B_r^- and use the fact that ∇w^+ and ∇w^- have a trace on $B_r(x_0) \cap \partial H$, while the volume terms disappear, to conclude that

$$\begin{aligned} & \int_{B_r(x_0) \cap \partial H} (a^+ \nabla w^+ + g^+) \cdot e_n \phi \, dy \\ & - \int_{B_r(x_0) \cap \partial H} (a^- \nabla w^- + g^-) \cdot e_n \phi \, dy = 0, \quad \forall \phi \in C_c^1(B_r(x_0)). \end{aligned}$$

Therefore we have obtained the transmission condition

$$\langle a^+ \nabla w^+, e_n \rangle - \langle a^- \nabla w^-, e_n \rangle = \langle g^-, e_n \rangle - \langle g^+, e_n \rangle \quad \text{on } B_r(x_0) \cap \partial H, \tag{48}$$

in the sense of traces. Set

$$\bar{D}_c w := \sum_{i=1}^n \bar{A}_{in} \nabla_i w + \langle \bar{G}, e_n \rangle,$$

where \bar{A}_{in} is the (i, n) -th entry of the matrix \bar{A} . In the next steps we will use the transmission condition to deduce that the distributional gradient of $\bar{D}_c w$ is well defined all over $B_r(x_0)$.

Step 2: Regularity of $\bar{D}_c w$. We start by proving that the distributional gradient of $\bar{D}_c w$ is given by $\nabla \bar{D}_c w^+$ on B_r^+ and $\nabla \bar{D}_c w^-$ on B_r^- . Hence, no contribution appears on ∂H . Let

$\phi \in C_c^\infty(B_r(x_0); \mathbb{R}^n)$, if we employ the integration by part deduce that

$$\begin{aligned} \int_{B_r(x_0)} \overline{D}_c w \operatorname{div} \phi \, dy &= \int_{B_r^+(x_0)} \nabla \overline{D}_c w \cdot \phi \, dy + \int_{B_r^-(x_0)} \nabla \overline{D}_c w \cdot \phi \, dy \\ &+ \int_{\partial H \cap B_r(x_0)} \left(\sum_{i=1}^n a_{i,n}^+ \nabla_i w + g^+ \cdot e_n - \sum_{i=1}^n a_{i,n}^- \nabla_i w + g^- \cdot e_n \right) (\phi \cdot e_n) \, dy. \end{aligned}$$

Finally, we can observe that the last term vanishes thanks to the transmission condition (48). Thus we conclude that the distributional gradient of $\overline{D}_c w$ coincide with the pointwise one and so $\overline{D}_c w$ is a Sobolev function. Let us compute now $\nabla_\tau(\overline{D}_c w) = \overline{D}_c(\nabla_\tau w) - \langle \overline{G}, e_n \rangle$. This implies by Step 1 that the tangential derivatives of $\overline{D}_c w$ belong to $L^2_{loc}(B_r(x_0))$. Furthermore we can estimate directly by definition of $\overline{D}_c w$:

$$|\nabla_n(\overline{D}_c w)| \leq c(n, N) |\nabla \nabla_\tau w|,$$

which implies again by Step 1

$$|\nabla \overline{D}_c w| \leq c(n, N) |\nabla \nabla_\tau w|.$$

We can conclude that $\overline{D}_c w \in W^{1,2}_{loc}(B_r(x_0))$. Using Poincaré’s inequality and (45), we have

$$\begin{aligned} \int_{B_\rho(x)} |\overline{D}_c w - (\overline{D}_c w)_{x,\rho}|^2 \, dy &\leq c(n) \rho^2 \int_{B_\rho(x)} |\nabla(\overline{D}_c w)|^2 \, dy \\ &\leq c(n, N) \rho^2 \int_{B_\rho(x)} |\nabla(\nabla_\tau w)|^2 \, dy \\ &\leq c(n, \nu, N) \int_{B_{2\rho}(x)} |\nabla_\tau w - (\nabla_\tau w)_{x,2\rho}|^2 \, dy, \end{aligned}$$

for any $B_{2\rho}(x) \subset B_r(x_0)$. By (46) we infer

$$\begin{aligned} &\int_{B_\rho(x)} |\overline{D}_c w - (\overline{D}_c w)_{x,\rho}|^2 \, dy \\ &\leq c(n, \nu, N) \left(\frac{\rho}{r}\right)^{n+2\gamma} \int_{B_{\frac{r}{2}}(x)} |\nabla_\tau w - (\nabla_\tau w)_{x,\frac{r}{2}}|^2 \, dy \\ &\leq c(n, \nu, N) \left(\frac{\rho}{r}\right)^{n+2\gamma} \int_{B_r(x_0)} |\nabla_\tau w|^2 \, dy, \end{aligned}$$

for any $x \in B_{\frac{r}{4}}(x_0)$ and $\rho \leq \frac{r}{4}$. Hence by Lemma 4.2 in [25] (see also [3, Lemma 7.51]), $\overline{D}_c w$ is Hölder continuous and by (47) we get:

$$\begin{aligned} \max_{B_{\frac{r}{4}}(x_0)} |\overline{D}_c w|^2 &\leq c(n, \nu, N) \int_{B_r(x_0)} |\nabla_\tau w|^2 \, dy + \left| \int_{B_{\frac{r}{4}}(x_0)} \overline{D}_c w(y) \, dy \right|^2 \\ &\leq \frac{c(n, \nu, N)}{r^n} \int_{B_r(x_0)} |\nabla w|^2 \, dy + 2 \|G\|_{L^\infty}^2. \end{aligned} \tag{49}$$

Step 3: *Comparison between v and w .* Subtracting the equation for w from the equation for v we get

$$\begin{aligned} & \int_{B_r(x_0)} \bar{A}_{ij}(x) (\nabla_i v - \nabla_i w) \nabla_j \varphi \, dx \\ &= \int_{B_r(x_0)} (\bar{A}_{ij}(x) - A_{ij}(x)) \nabla_i v \nabla_j \varphi \, dx + \int_{B_r(x_0)} (\bar{G}_i - G_i) \nabla_i \varphi \, dx \end{aligned}$$

for any $\varphi \in W_0^{1,2}(B_r(x_0))$. Choosing $\varphi = v - w$ in the previous equation and using assumption (44) we have

$$v \int_{B_r(x_0)} |\nabla v - \nabla w|^2 \, dx \leq C_A r^\sigma \int_{B_r(x_0)} |\nabla v|^2 \, dy + C_G r^{n+\sigma}.$$

Finally we can estimate

$$\begin{aligned} \int_{B_\rho(x_0)} |\nabla v|^2 \, dy &\leq 2 \int_{B_\rho(x_0)} |\nabla w|^2 \, dy + 2 \int_{B_\rho(x_0)} |\nabla v - \nabla w|^2 \, dy \\ &\leq 2\omega_n \rho^n \sup_{B_{\frac{r}{4}}} |\nabla w|^2 + 2 \int_{B_\rho(x_0)} |\nabla v - \nabla w|^2 \, dy, \end{aligned}$$

for any $\rho \leq \frac{r}{4}$, and observing that

$$\begin{aligned} \sup_{B_{\frac{r}{4}}(x_0)} |\nabla w|^2 &= \sup_{B_{\frac{r}{4}}(x_0)} |\nabla_\tau w|^2 + \sup_{B_{\frac{r}{4}}(x_0)} |\nabla_n w|^2 \\ &\leq c(n, \nu, N) \sup_{B_{\frac{r}{4}}(x_0)} |\nabla_\tau w|^2 + c(\nu) \sup_{B_{\frac{r}{4}}(x_0)} |\bar{D}_c w|^2 + c(\nu, \|G\|_\infty), \end{aligned}$$

by (47), (49), the minimality of w and Young’s inequality we gain

$$\begin{aligned} & \int_{B_\rho(x_0)} |\nabla v|^2 \, dy \\ &\leq c(n, \nu, N) \left(\frac{\rho}{r}\right)^n \int_{B_r(x_0)} |\nabla w|^2 \, dy + c(n, \nu, \|G\|_\infty, C_A, C_G) \left[r^\sigma \int_{B_r(x_0)} |\nabla v|^2 \, dy + r^n \right] \\ &\leq C(n, \nu, N, \|G\|_\infty, C_A, C_G) \left\{ \left[\left(\frac{\rho}{r}\right)^n + r^\sigma \right] \int_{B_r(x_0)} |\nabla v|^2 \, dy + r^n \right\}, \end{aligned}$$

which leads to our aim if we apply Lemma 1. □

The next lemma is inspired by [15, Proposition 2.4] and is the main result of this section.

In the sequel we shall consider the worst Hölder exponent introduced in (6) and (7), defined as

$$\delta := \min \{ \alpha, \beta \}.$$

Lemma 5 *Let (E, u) be a (Λ, α) -minimizer of the functional \mathcal{F} defined in (1). There exists $\tau_0 \in (0, 1)$ such that the following statement is true: for all $\tau \in (0, \tau_0)$ there exists $\varepsilon_0 = \varepsilon_0(\tau) > 0$ such that if $B_r(x_0) \subset\subset \Omega$ with $r^{\frac{\delta}{2n}} < \tau$ and one of the following conditions holds:*

- (i) $|E \cap B_r(x_0)| < \varepsilon_0 |B_r(x_0)|$,
- (ii) $|B_r(x_0) \setminus E| < \varepsilon_0 |B_r(x_0)|$,

(iii) *There exists a halfspace H such that $\frac{|(E\Delta H)\cap B_r(x_0)|}{|B_r(x_0)|} < \varepsilon_0$,*

then

$$\int_{B_{\tau r}(x_0)} |\nabla u|^2 dx \leq C_4 \left[\tau^n \int_{B_r(x_0)} |\nabla u|^2 dx + r^n \right],$$

for some positive constant $C_4 = C_4(n, \nu, N, L, \alpha, \beta, L_\alpha, L_\beta, \|\nabla u\|_{L^2(\Omega)})$.

Proof Let us fix $B_r(x_0) \subset\subset \Omega$ and $0 < \tau < 1$. Without loss of generality, we may assume that $\tau < 1/4$ and $x_0 = 0$. We start by proving the assertion in the case (i), the proof in the case (ii) being similar. Let us define

$$\begin{aligned} A_{ij}^0 &:= a_{ij}(x_0, u_{r/2}(x_0)), & B_i^0 &:= a_i(x_0, u_{r/2}(x_0)), & f^0 &:= a(x_0, u_{r/2}(x_0)), \\ F_0(z) &:= \langle A^0 z, z \rangle + \langle B^0, z \rangle + f^0. \end{aligned}$$

Let us denote by v the solution of the following problem:

$$\min_{w \in u + H_0^1(B_{r/2})} \mathcal{F}_0(w; B_{r/2}),$$

where

$$\mathcal{F}_0(w; B_{r/2}) := \int_{B_{r/2}} F_0(\nabla w) dx.$$

Now we use the following identity

$$\langle A^0 \xi, \xi \rangle - \langle A^0 \eta, \eta \rangle = \langle A^0 (\xi - \eta), \xi - \eta \rangle + 2 \langle A^0 \eta, \xi - \eta \rangle, \quad \forall \xi, \eta \in \mathbb{R}^n,$$

in order to deduce that

$$\begin{aligned} &\mathcal{F}_0(u) - \mathcal{F}_0(v) \\ &= \int_{B_{r/2}} [\langle A^0 \nabla u, \nabla u \rangle - \langle A^0 \nabla v, \nabla v \rangle] dx + \int_{B_{r/2}} \langle B^0, \nabla u - \nabla v \rangle dx \\ &= \int_{B_{r/2}} \langle A^0 (\nabla u - \nabla v), \nabla u - \nabla v \rangle dx \\ &\quad + 2 \int_{B_{r/2}} \langle A^0 \nabla v, \nabla u - \nabla v \rangle dx + \int_{B_{r/2}} \langle B^0, \nabla u - \nabla v \rangle dx. \end{aligned} \tag{50}$$

By the Euler–Lagrange equation for v we deduce that the sum of the last two integrals in the previous identity is zero, being also $u = v$ on $\partial B_{r/2}$. Therefore, using the ellipticity assumption of A^0 we finally achieve that

$$v \int_{B_{r/2}} |\nabla u - \nabla v|^2 dx \leq \mathcal{F}_0(u) - \mathcal{F}_0(v). \tag{51}$$

Now we prove that u is an ω -minimizer of \mathcal{F}_0 . We start by writing

$$\begin{aligned} \mathcal{F}_0(u) &= \mathcal{F}(E, u) + [\mathcal{F}_0(u) - \mathcal{F}(E, u)] \\ &\leq \mathcal{F}(E, v) + [\mathcal{F}_0(u) - \mathcal{F}(E, u)] \\ &= \mathcal{F}_0(v) + [\mathcal{F}_0(u) - \mathcal{F}(E, u)] + [\mathcal{F}(E, v) - \mathcal{F}_0(v)]. \end{aligned} \tag{52}$$

Estimate of $\mathcal{F}_0(u) - \mathcal{F}(E, u)$. We use (6), (7), (8), (9) and (43) to infer

$$\begin{aligned}
 \mathcal{F}_0(u) - \mathcal{F}(E, u) &= \int_{B_{r/2}} (a_{ij}(x_0, u_{r/2}(x_0)) - a_{ij}(x, u(x))) \nabla_i u \nabla_j u \, dx \\
 &+ \int_{B_{r/2}} (a_i(x_0, u_{r/2}(x_0)) - a_i(x, u(x))) \nabla_i u \, dx \\
 &+ \int_{B_{r/2}} (a(x_0, u_{r/2}(x_0)) - a(x, u(x))) \, dx - \int_{B_{r/2} \cap E} G(x, u, \nabla u) \, dx \\
 &\leq c(n, L_\alpha, L_\beta \|\nabla u\|_{L^2(\Omega)}) \left(r^{\frac{\delta}{2}} \int_{B_{r/2}} |\nabla u|^2 \, dx + r^{n+\frac{\delta}{2}} \right) \\
 &+ C(N, L) \left(\int_{B_{r/2} \cap E} |\nabla u|^2 \, dx + r^n \right), \tag{53}
 \end{aligned}$$

where we denoted L_α, L_β the greatest modulus of Hölder continuity of the data $a_{ij}, b_{ij}, a_i, b_i, a, b$ defined in (6) and (7). Now we use Hölder’s inequality and Lemma 2 to estimate

$$\begin{aligned}
 \int_{B_{r/2} \cap E} |\nabla u|^2 \, dx &\leq |E \cap B_r|^{1-1/s} |B_r|^{1/s} \left(\int_{B_{r/2}} |\nabla u|^{2s} \right)^{1/s} \\
 &\leq C_1^{1/s} \left(\frac{|E \cap B_r|}{|B_r|} \right)^{1-1/s} \int_{B_r} (1 + |\nabla u|^2) \, dx. \tag{54}
 \end{aligned}$$

Merging the last estimate in (53) we deduce

$$\begin{aligned}
 \mathcal{F}_0(u) - \mathcal{F}(E, u) &\leq \left(c(n, L_\alpha, L_\beta, \|\nabla u\|_{L^2(\Omega)}) + C(N, L) C_1^{1/s} \right) \left(r^{\frac{\delta}{2}} + \varepsilon_0^{1-1/s} \right) \int_{B_r} |\nabla u|^2 \, dx \\
 &+ \left(C(N, L) C_1^{1/s} + C(N, L) + c(n, L_\alpha, L_\beta, \|\nabla u\|_{L^2(\Omega)}) \right) r^n. \tag{55}
 \end{aligned}$$

Estimate of $\mathcal{F}(E, v) - \mathcal{F}_0(v)$.

$$\begin{aligned}
 \mathcal{F}(E, v) - \mathcal{F}_0(v) &= \int_{B_{r/2}} (a_{ij}(x, v(x)) - a_{ij}(x_0, u_{r/2}(x_0))) \nabla_i v \nabla_j v \, dx \\
 &+ \int_{B_{r/2}} (a_i(x, v(x)) - a_i(x_0, u_{r/2}(x_0))) \nabla_i v \, dx \\
 &+ \int_{B_{r/2}} (a(x, v(x)) - a(x_0, u_{r/2}(x_0))) \, dx + \int_{B_{r/2} \cap E} G(x, v, \nabla v) \, dx. \tag{56}
 \end{aligned}$$

If we choose now $z \in \partial B_{r/2}$, recalling that $u(z) = v(z)$ we deduce

$$\begin{aligned}
 &|a_{ij}(x, v(x)) - a_{ij}(x_0, u_{r/2}(x_0))| \\
 &= |a_{ij}(x, v(x)) - a_{ij}(x, v(z)) + a_{ij}(x, u(z)) - a_{ij}(x_0, u_{r/2}(x_0))| \\
 &\leq (L_\beta |v(x) - v(z)|^\beta + C(L_\beta, \|\nabla u\|_{L^2(\Omega)}) r^{\frac{\delta}{2}} + L_\alpha r^\delta) \\
 &\leq (c(\beta, L_\beta) \text{osc}(u, \partial B_{r/2})^\beta + C(n, v, N, L, \beta, L_\alpha, L_\beta) r^\beta + C(L_\beta, \|\nabla u\|_{L^2(\Omega)}) r^{\frac{\delta}{2}} + r^\delta) \\
 &\leq C(n, v, N, L, \beta, L_\alpha, L_\beta, \|\nabla u\|_{L^2(\Omega)}) r^{\frac{\delta}{2}},
 \end{aligned}$$

where we used the fact that $\text{osc}(v, B_{r/2}) \leq \text{osc}(u, \partial B_{r/2}) + C(n, \nu, N, L)r$ (see [16, Lemma 8.4]). Analogously we can estimate the other differences in (56), deducing

$$\begin{aligned} \mathcal{F}(E, v) - \mathcal{F}_0(v) &\leq C(n, \nu, N, L, \alpha, \beta, L_\alpha, L_\beta, \|\nabla u\|_{L^2(\Omega)})r^{\frac{\delta}{2}} \left(\int_{B_{r/2}} |\nabla v|^2 dx + r^n \right) \\ &\quad + C(N, L) \left(\int_{B_{r/2} \cap E} |\nabla v|^2 dx + r^n \right), \end{aligned}$$

Reasoning in a similar way as in (54), we can apply the higher integrability for v given by Lemma 3 and infer

$$\int_{B_{r/2} \cap E} |\nabla v|^2 dx \leq C(n, \nu, N, L)\varepsilon_0^{1-1/s} \left(\int_{B_r} |\nabla u|^2 dx + r^n \right).$$

Therefore we obtain

$$\begin{aligned} \mathcal{F}(E, v) - \mathcal{F}_0(v) &\leq C(n, \nu, N, L, \alpha, \beta, L_\alpha, L_\beta, \|\nabla u\|_{L^2(\Omega)}) \left[\left(r^{\frac{\delta}{2}} + \varepsilon_0^{1-1/s} \right) \int_{B_r} |\nabla u|^2 dx + r^n \right]. \end{aligned} \tag{57}$$

Finally, collecting (51), (52), (55) and (57), if we choose ε_0 such that $\varepsilon_0^{1-\frac{1}{s}} = \tau^n$, recalling that $r^{\frac{\delta}{2n}} < \tau$, we conclude that

$$\int_{B_{r/2}} |\nabla u - \nabla v|^2 dx \leq C \left[\tau^n \int_{B_r} |\nabla u|^2 dx + r^n \right], \tag{58}$$

for some constant $C = C(n, \nu, N, L, \alpha, \beta, L_\alpha, L_\beta \|\nabla u\|_{L^2(\Omega)})$. On the other hand v is the solution of a uniformly elliptic equation with constant coefficients, so we have

$$\int_{B_{\tau r}} |\nabla v|^2 dx \leq C(n, \nu, N)\tau^n \int_{B_{r/2}} |\nabla v|^2 dx \leq C(n, \nu, N, L) \left[\tau^n \int_{B_{r/2}} |\nabla u|^2 dx + r^n \right]. \tag{59}$$

Hence we may estimate, using (58) and (59),

$$\int_{B_{\tau r}} |\nabla u|^2 dx \leq 2 \int_{B_{\tau r}} |\nabla v - \nabla u|^2 dx + 2 \int_{B_{\tau r}} |\nabla v|^2 dx \leq C \left[\tau^n \int_{B_r} |\nabla u|^2 dx + r^n \right],$$

for some constant $C = C(n, \nu, N, L, \alpha, \beta, L_\alpha, L_\beta \|\nabla u\|_{L^2(\Omega)})$.

We are left with the case (iii). Let H be the half-space from our assumption and let us denote accordingly

$$\begin{aligned} A_{ij}^0(x) &:= a_{ij}(x, u(x)) + \mathbb{1}_H b_{ij}(x, u(x)), \\ B_{ij}^0(x) &:= a_i(x, u(x)) + \mathbb{1}_H b_i(x, u(x)), \\ f^0(x) &:= a(x, u(x)) + \mathbb{1}_H b(x, u(x)), \\ F_0(x, z) &:= \langle A^0(x)z, z \rangle + \langle B^0(x), z \rangle + f^0(x). \end{aligned}$$

Let us denote by v_H the solution of the following problem

$$\min_{w \in u + H_0^1(B_{r/2})} \mathcal{F}_0(w; B_{r/2}),$$

where

$$\mathcal{F}_0(w; B_{r/2}) := \int_{B_{r/2}} F_0(x, \nabla w) dx.$$

Let us point out that v_H solves the Euler–Lagrange equation

$$- 2 \operatorname{div}(A^0 \nabla v_H) = \operatorname{div} B^0 \quad \text{in } \mathcal{D}'(B_{r/2}). \tag{60}$$

Therefore we are in position to apply Lemma 4 to the function v_H . Indeed, from the Hölder continuity of u (see Remark 2) we deduce that the restrictions of A^0 and B^0 onto $H \cap B_r$ and $B_r \setminus H$ respectively are Hölder continuous. We can conclude using also (43) that there exist two constants $C = C(n, \nu, N, L, \alpha, \beta, L_\alpha, L_\beta \|\nabla u\|_{L^2(\Omega)})$ and $\tau_0 = \tau_0(n, \nu, N, L, \alpha, \beta, L_\alpha, L_\beta \|\nabla u\|_{L^2(\Omega)})$ such that for $\tau < \tau_0$

$$\int_{B_{\tau r}} |\nabla v_H|^2 dx \leq C \left[\tau^n \int_{B_{r/2}} |\nabla v_H|^2 dx + r^n \right]. \tag{61}$$

In addition, using the ellipticity condition of A^0 we can argue as in (50) to deduce using also the fact that v_H satisfies (60),

$$\nu \int_{B_{r/2}} |\nabla u - \nabla v_H|^2 dx \leq \mathcal{F}_0(u) - \mathcal{F}_0(v_H). \tag{62}$$

One more time we can prove that u is an ω -minimizer of \mathcal{F}_0 . We start as above by writing

$$\begin{aligned} \mathcal{F}_0(u) &= \mathcal{F}(E, u) + [\mathcal{F}_0(u) - \mathcal{F}(E, u)] \\ &\leq \mathcal{F}(E, v_H) + [\mathcal{F}_0(u) - \mathcal{F}(E, u)] \\ &= \mathcal{F}_0(v_H) + [\mathcal{F}_0(u) - \mathcal{F}(E, u)] + [\mathcal{F}(E, v_H) - \mathcal{F}_0(v_H)]. \end{aligned}$$

We can estimate the differences $\mathcal{F}_0(u) - \mathcal{F}(E, u)$ and $\mathcal{F}(E, v_H) - \mathcal{F}_0(v_H)$ exactly as before using this time the higher integrability given in Lemma 3. We conclude that

$$\int_{B_{r/2}} |\nabla u - \nabla v_H|^2 dx \leq C \left[\tau^n \int_{B_r} |\nabla u|^2 dx + r^n \right],$$

for some constant $C = C(n, \nu, N, L, \alpha, \beta, L_\alpha, L_\beta \|\nabla u\|_{L^2(\Omega)})$. From the last estimate we can conclude the proof as before using (61) and (62). □

4 Energy density estimates

This section is devoted to prove a lower bound estimate for the functional $\mathcal{F}(E, u; B_r(x_0))$. Points i) and ii) of Lemma 5 are the main tools to achieve such result. We shall prove that the energy \mathcal{F} decays “fast” if the perimeter of E is “small”. In this section we will use a scaling argument.

Lemma 6 (Scaling of (Λ, α) -minimizers) *Let $B_r(x_0) \subset \Omega$ and let (E, u) be a (Λ, α) -minimizer of \mathcal{F} in $B_r(x_0)$. Then (E_r, u_r) is a $(\Lambda r^\gamma, \alpha)$ -minimizer of \mathcal{F}_r in B_1 , for $\gamma = 1 + n(\alpha - 1) \in (0, 1)$ where*

$$E_r := \frac{E - x_0}{r}, \quad u_r(y) := r^{-\frac{1}{2}} u(x_0 + ry), \quad \text{for } y \in B_1,$$

$$\begin{aligned} \mathcal{F}_r(E_r, u_r; B_1) &:= r \int_{B_1} [F(x_0 + ry, r^{\frac{1}{2}}u_r, r^{-\frac{1}{2}}\nabla u_r) \\ &+ \mathbb{1}_{E_r}G(x_0 + ry, r^{\frac{1}{2}}u_r, r^{-\frac{1}{2}}\nabla u_r)] dy + P(E_r; B_1). \end{aligned}$$

Proof Since $\nabla u_r(y) = r^{\frac{1}{2}}\nabla u(x_0 + ry)$, for any $y \in B_1$, we rescale:

$$\begin{aligned} \mathcal{F}(E, u; B_r(x_0)) &= r^n \int_{B_1} [F(x_0 + ry, u(x_0 + ry), \nabla u(x_0 + ry)) \\ &+ \mathbb{1}_E(x_0 + ry)G(x_0 + ry, u(x_0 + ry), \nabla u(x_0 + ry))] dy + r^{n-1}P(E_r; B_1) \\ &= r^{n-1}\mathcal{F}_r(E_r, u_r; B_1). \end{aligned}$$

Thus, if $\tilde{F} \subset \mathbb{R}^n$ is a set of finite perimeter with $\tilde{F} \Delta E_r \subset\subset B_1$ and $\tilde{v} \in H^1(B_1)$ is such that $\tilde{v} - u_r \in H^1_0(B_1)$, then

$$\begin{aligned} \mathcal{F}_r(E_r, u_r; B_1) &= \frac{\mathcal{F}(E, u; B_r(x_0))}{r^{n-1}} \leq \frac{\mathcal{F}(F, v; B_r(x_0)) + \Lambda|F \Delta E|^\alpha}{r^{n-1}} \\ &= \mathcal{F}_r(\tilde{F}, \tilde{v}; B_1) + \Lambda r^\gamma |\tilde{F} \Delta E_r|^\alpha, \end{aligned}$$

where $F := x_0 + r\tilde{F}$ and $v(x) = r^{\frac{1}{2}}\tilde{v}(\frac{x-x_0}{r})$, for $x \in B_r(x_0)$. □

Lemma 7 *Let (E, u) be a (Λ, α) -minimizer in Ω of the functional \mathcal{F} defined in (1). For every $\tau \in (0, 1)$ there exists $\varepsilon_1 = \varepsilon_1(\tau) > 0$ such that, if $B_r(x_0) \subset \Omega$ and $P(E; B_r(x_0)) < \varepsilon_1 r^{n-1}$, then*

$$\mathcal{F}(E, u; B_{\tau r}(x_0)) \leq C_5(\tau^n \mathcal{F}(E, u; B_r(x_0)) + (\tau r)^{n\alpha}),$$

for some positive constant $C_5 = C_5(n, \nu, N, L, L_\alpha, L_\beta, \alpha, \beta, \Lambda, \|\nabla u\|_{L^2(\Omega)})$ independent of τ and r .

Proof Let $\tau \in (0, 1)$ and $B_r(x_0) \subset \Omega$. Without loss of generality, we may assume that $\tau < \frac{1}{2}$. We may also assume that $x_0 = 0$, and $r = 1$ by scaling $E_r = \frac{E-x_0}{r}$, $u_r(y) = r^{-\frac{1}{2}}u(x_0 + ry)$ for $y \in B_1$, and replacing Λ with Λr^γ . Thus, we have that (E_r, u_r) is a $(\Lambda r^\gamma, \alpha)$ -minimizer of \mathcal{F}_r in $\frac{\Omega-x_0}{r}$. For simplicity of notation we can still denote E_r by E , u_r by u and then, recalling that $\mathcal{F} = r^{n-1}\mathcal{F}_r$ and $\gamma = n\alpha - (n - 1)$, we have to prove that there exists $\varepsilon_1 = \varepsilon_1(\tau)$ such that, if $P(E; B_1) < \varepsilon_1$, then

$$\mathcal{F}_\tau(E, u; B_\tau) \leq C_5(\tau^n \mathcal{F}_r(E, u; B_1) + \tau^{n\alpha} r^\gamma).$$

Note that, since $P(E; B_1) < \varepsilon_1$, by the relative isoperimetric inequality, either $|B_1 \cap E|$ or $|B_1 \setminus E|$ is small and thus Lemma 5 can be applied. Assuming that $|B_1 \setminus E| \leq |B_1 \cap E|$ and using the relative isoperimetric inequality we can deduce that

$$|B_1 \setminus E| \leq c(n)P(E; B_1)^{\frac{n}{n-1}}.$$

If we choose as a representative of E the set of points of density one, we get, by Fubini's theorem that

$$|B_1 \setminus E| \geq \int_\tau^{2\tau} \mathcal{H}^{n-1}(\partial B_\rho \setminus E) d\rho.$$

Combining these inequalities, we can choose $\rho \in (\tau, 2\tau)$ such that

$$\mathcal{H}^{n-1}(\partial B_\rho \setminus E) \leq \frac{c(n)}{\tau} P(E; B_1)^{\frac{n}{n-1}} \leq \frac{c(n)\varepsilon_1^{\frac{1}{n-1}}}{\tau} P(E; B_1). \tag{63}$$

Now we set $F = E \cup B_\rho$ and observe that

$$P(F; B_1) \leq P(E; B_1 \setminus \overline{B}_\rho) + \mathcal{H}^{n-1}(\partial B_\rho \setminus E).$$

If we choose (F, u) to test the $(\Lambda r^\gamma, \alpha)$ -minimality of (E, u) we get

$$\begin{aligned} \mathcal{F}_r(E, u) &\leq \mathcal{F}_r(F, u) + \Lambda r^\gamma |F \setminus E|^\alpha \\ &\leq P(E; B_1 \setminus \overline{B}_\rho) + \mathcal{H}^{n-1}(\partial B_\rho \setminus E) + \Lambda r^\gamma |B_\rho|^\alpha \\ &\quad + r \int_{B_1} (F(x_0 + ry, r^{\frac{1}{2}}u(y), r^{-\frac{1}{2}}\nabla u(y)) + \mathbb{1}_F G(x_0 + ry, r^{\frac{1}{2}}u(y), r^{-\frac{1}{2}}\nabla u(y))) dy. \end{aligned}$$

Then getting rid of the common terms we obtain

$$P(E; B_\rho) \leq \mathcal{H}^{n-1}(\partial B_\rho \setminus E) + r \int_{B_\rho} G(x_0 + ry, r^{\frac{1}{2}}u(y), r^{-\frac{1}{2}}\nabla u(y)) dy + \Lambda r^\gamma |B_\rho|^\alpha.$$

Now if we choose ε_1 such that $c(n)\varepsilon_1^{\frac{1}{n-1}} \leq \tau^{n+1}$ we have from (63)

$$P(E; B_\rho) \leq \tau^n P(E; B_1) + r \int_{B_\rho} G(x_0 + ry, r^{\frac{1}{2}}u(y), r^{-\frac{1}{2}}\nabla u(y)) dy + \Lambda r^\gamma |B_\rho|^\alpha.$$

Then, we choose ε_1 satisfying $c(n)\varepsilon_1^{\frac{n}{n-1}} \leq \varepsilon_0(2\tau)|B_1|$ to obtain, using Lemma 5 and growth conditions (8), (9),

$$\begin{aligned} &r \int_{B_\rho} G(x_0 + ry, r^{\frac{1}{2}}u(y), r^{-\frac{1}{2}}\nabla u(y)) dy \\ &\leq C(N, L) \int_{B_\rho} (|\nabla u|^2 + r) dy \\ &\leq C(n, \nu, N, L, L_\alpha, L_\beta, \alpha, \beta, \|\nabla u\|_{L^2(\Omega)}) \tau^n \int_{B_1} (|\nabla u|^2 + r) dy. \end{aligned}$$

Finally, we recall that $\rho \in (\tau, 2\tau)$ to conclude, using the previous estimates,

$$\begin{aligned} P(E; B_\tau) &\leq C(n, \nu, N, L, L_\alpha, L_\beta, \alpha, \beta, \|\nabla u\|_{L^2(\Omega)}) \tau^n \left[\int_{B_1} (|\nabla u|^2 + r) dy + P(E; B_1) \right] \\ &\quad + \Lambda r^\gamma |B_{2\tau}|^\alpha \\ &\leq C(n, \nu, N, L, L_\alpha, L_\beta, \alpha, \beta, \|\nabla u\|_{L^2(\Omega)}) [\tau^n \mathcal{F}_r(E, u; B_1) + \tau^{n\alpha} r^\gamma]. \end{aligned}$$

From this estimate the result easily follows applying again Lemma 5. □

In the sequel we will assume that the representative of the set E is chosen in such a way that the topological boundary ∂E coincides with the closure of the reduced boundary, that is $\partial E = \overline{\partial^* E}$, (see also [24] Proposition 12.19).

Theorem 4 (Density lower bound) *Let (E, u) be a (Λ, α) -minimizer of \mathcal{F} in Ω and $U \subset\subset \Omega$ be an open set. Then there exists a constant $C_6 = C_6(n, \nu, N, L, \alpha, \beta, L_\alpha, L_\beta, \Lambda, \|\nabla u\|_{L^2(\Omega)}, U)$, such that, for every $x_0 \in \partial E$ and $B_r(x_0) \subset U$, it holds*

$$P(E; B_r(x_0)) \geq C_6 r^{n-1}.$$

Moreover, $\mathcal{H}^{n-1}((\partial E \setminus \partial^* E) \cap \Omega) = 0$.

Proof We start by assuming that $x_0 \in \partial^* E$. Without loss of generality we may also assume that $x_0 = 0$. Let

$$\begin{aligned} \tau &\in (0, 2^{-\frac{1}{\nu}}) \text{ such that } 2C_5\tau^{n(1-\alpha)} < 1, \\ \sigma &\in (0, 1) \text{ such that } 2C_5C_3\sigma^\gamma < \varepsilon_1(\tau), \quad 2\omega_n \frac{L^2}{\nu}\sigma < \varepsilon_1(\tau), \quad \sigma^\gamma < \tau^{n(1-\alpha)}, \\ 0 &< r_0 < \min \left\{ 1, C_3^{\frac{1}{\gamma}}, \varepsilon_1(\tau)^{\frac{1}{\gamma}} \right\}, \end{aligned}$$

where C_5 and ε_1 come from Lemma 7, C_3 comes from Theorem 3. We point out that $\tau, \sigma, r_0, \varepsilon_1(\sigma)$ depend on $n, \nu, N, L, \alpha, \beta, L_\alpha, L_\beta, \Lambda, \|\nabla u\|_{L^2(\Omega)}$ through the constants C_3 and C_5 only. Let us suppose by contradiction that there exists $B_r \subset U$, with $r < r_0$, such that $P(E; B_r) < \varepsilon_1(\sigma)r^{n-1}$. We shall prove that

$$\mathcal{F}(E, u; B_{\sigma\tau^h r}) \leq \varepsilon_1(\tau)\tau^{\gamma h}(\sigma\tau^h r)^{n-1}, \tag{64}$$

for any $h \in \mathbb{N}_0$, reaching a contradiction afterward.

For $h = 0$, using Lemma 7 with $\varepsilon_1 = \varepsilon_1(\sigma)$, Theorem 3, $r < r_0 < C_3^{\frac{1}{\gamma}}$ and $2C_5C_3\sigma^\gamma < \varepsilon_1(\tau)$, we get:

$$\begin{aligned} \mathcal{F}(E, u; B_{\sigma r}) &\leq C_5(\sigma^n \mathcal{F}(E, u; B_r) + (\sigma r)^{n\alpha}) \\ &\leq C_5C_3\sigma^n r^{n-1} + C_5\sigma^{n\alpha} r^{n-1} r^\gamma \\ &\leq 2C_5C_3\sigma^{n\alpha} r^{n-1} \leq \varepsilon_1(\tau)(\sigma r)^{n-1}. \end{aligned}$$

In order to prove the induction step we have to ensure to be in position to apply Lemma 7, that is by proving smallness of the perimeter. In such regard, let us observe that, by the definition of $\mathcal{F}(E, u; B_\rho)$ and the growth condition given in (13),

$$P(E; B_\rho) \leq \mathcal{F}(E, u; B_\rho) + 2\omega_n \frac{L^2}{\nu} \rho^n,$$

for any $B_\rho \subset \Omega$.

Assuming that the induction hypothesis (64) holds true for some $h \in \mathbb{N}$ and, being $2\omega_n \frac{L^2}{\nu} \sigma < \varepsilon_1(\tau)$, $\tau < 2^{-\frac{1}{\nu}}$ and $r < 1$, we infer

$$\begin{aligned} P(E; B_{\sigma\tau^h r}) &\leq \mathcal{F}(E, u; B_{\sigma\tau^h r}) + 2\omega_n \frac{L^2}{\nu} (\sigma\tau^h r)^n \\ &\leq (\sigma\tau^h r)^{n-1} \left(\varepsilon_1(\tau)\tau^{\gamma h} + 2\omega_n \frac{L^2}{\nu} \sigma\tau^h r \right) \leq (\sigma\tau^h r)^{n-1} \varepsilon_1(\tau)(\tau^{\gamma h} + \tau^h) \\ &\leq (\sigma\tau^h r)^{n-1} \varepsilon_1(\tau) 2\tau^\gamma \leq (\sigma\tau^h r)^{n-1} \varepsilon_1(\tau). \end{aligned}$$

We are now in position to apply Lemma 7 with $\varepsilon_1 = \varepsilon_1(\tau)$. Using also the induction hypothesis and, since $\sigma^\gamma < \tau^{n(1-\alpha)}$, $r < r_0 \leq \varepsilon_1(\tau)^{\frac{1}{\nu}}$ and $2C_5\tau^{n(1-\alpha)} < 1$, we estimate:

$$\begin{aligned} \mathcal{F}(E, u; B_{\sigma\tau^{h+1}r}) &\leq C_5[\tau^n \mathcal{F}(E, u; B_{\sigma\tau^hr}) + \tau^{n\alpha}(\sigma\tau^hr)^{n\alpha}] \\ &\leq C_5[\tau^n \varepsilon_1(\tau)\tau^{\gamma h}(\sigma\tau^hr)^{n-1} + \tau^{n\alpha}(\sigma\tau^hr)^{n\alpha}] \\ &= \tau^{\gamma h}(\sigma\tau^hr)^{n-1}C_5[\tau^n \varepsilon_1(\tau) + \tau^{n\alpha}(\sigma r)^\gamma] \\ &\leq \tau^{\gamma h}(\sigma\tau^hr)^{n-1}\tau^n[C_5\varepsilon_1(\tau) + C_5r^\gamma] \\ &\leq \tau^{\gamma h}(\sigma\tau^hr)^{n-1}\tau^n 2C_5\varepsilon_1(\tau) \leq \tau^{\gamma h}(\sigma\tau^hr)^{n-1}\tau^n \varepsilon_1(\tau)\tau^{n(\alpha-1)} \\ &= \tau^{\gamma(h+1)}(\sigma\tau^{h+1}r)^{n-1}\varepsilon_1(\tau). \end{aligned}$$

We conclude that (64) holds for any $h \in \mathbb{N}_0$. Thus, we gain

$$\begin{aligned} P(E; B_{\sigma\tau^hr}) &\leq \varepsilon_1(\tau)\tau^{\gamma h}(\sigma\tau^hr)^{n-1} + 2\omega_n \frac{L^2}{\nu}(\sigma\tau^hr)^n \\ &\leq (\sigma\tau^hr)^{n-1}\tau^{\gamma h}\left(\varepsilon_1(\tau) + 2\omega_n \frac{L^2}{\nu}\sigma\tau^{h(1-\gamma)}\right) \\ &\leq (\sigma\tau^hr)^{n-1}\tau^{\gamma h}\varepsilon_1(\tau)(1 + \tau^{h(1-\gamma)}) \\ &\leq 2(\sigma\tau^hr)^{n-1}\tau^{\gamma h}\varepsilon_1(\tau). \end{aligned}$$

We finally get

$$\lim_{\rho \rightarrow 0^+} \frac{P(E; B_\rho)}{\rho^{n-1}} = \lim_{h \rightarrow +\infty} \frac{P(E; B_{\sigma\tau^hr})}{(\sigma\tau^hr)^{n-1}} \leq \lim_{h \rightarrow +\infty} 2\varepsilon_1(\tau)\tau^{\gamma h} = 0,$$

which implies that $x_0 \notin \partial^*E$, that is a contradiction. We recall that we chose the representative of ∂E such that $\partial E = \overline{\partial^*E}$. Thus, if $x_0 \in \partial E$, there exists $(x_h)_{h \in \mathbb{N}} \subset \partial^*E$ such that $x_h \rightarrow x_0$ as $h \rightarrow +\infty$,

$$P(E; B_r(x_h)) \geq c(n, \nu, N, L, \alpha, \beta, L_\alpha, L_\beta, \Lambda, \|\nabla u\|_{L^2(\Omega)})r^{n-1}$$

and $B_r(x_h) \subset U$, for h large enough. Passing to the limit as $h \rightarrow +\infty$, we get the thesis. \square

5 Compactness for sequences of minimizers

In this section we basically follow the path given in [24, Part III]. We start by proving a standard compactness result.

Lemma 8 (Compactness) *Let (E_h, u_h) be a sequence of (Λ_h, α) -minimizers of \mathcal{F} in Ω such that $\sup_h \mathcal{F}(E_h, u_h; \Omega) < \infty$ and $\Lambda_h \rightarrow \Lambda \in \mathbb{R}^+$. There exist a (not relabelled) subsequence and a (Λ, α) -minimizer of \mathcal{F} in Ω , (E, u) , such that for every open set $U \subset\subset \Omega$, it holds*

$$E_h \rightarrow E \text{ in } L^1(U), \quad u_h \rightarrow u \text{ in } H^1(U), \quad P(E_h; U) \rightarrow P(E; U).$$

In addition,

$$\text{if } x_h \in \partial E_h \cap U \text{ and } x_h \rightarrow x \in U, \text{ then } x \in \partial E \cap U, \tag{65}$$

$$\text{if } x \in \partial E \cap U, \text{ there exists } x_h \in \partial E_h \cap U \text{ such that } x_h \rightarrow x. \tag{66}$$

Finally, if we assume also that $\nabla u_h \rightharpoonup 0$ weakly in $L^2_{loc}(\Omega, \mathbb{R}^n)$ and $\Lambda_h \rightarrow 0$, as $h \rightarrow \infty$, then E is a local minimizer of the perimeter, that is

$$P(E; B_r(x_0)) \leq P(F; B_r(x_0)),$$

for every set F such that $F \Delta E \subset\subset B_r(x_0) \subset \Omega$.

Proof We start by observing that, by the boundedness condition on $\mathcal{F}(E_h, u_h; \Omega)$, we may assume that u_h weakly converges to u in $H^1(U)$ and strongly in $L^2(U)$, and $\mathbb{1}_{E_h}$ converges to $\mathbb{1}_E$ in $L^1(U)$, as $h \rightarrow \infty$. By lower semicontinuity we are going to prove the (Λ, α) -minimality of (E, u) . Let us fix $B_r(x_0) \subset\subset \Omega$ and assume for simplicity of notation that $x_0 = 0$. Let (F, v) be a test pair such that $F \Delta E \subset\subset B_r$ and $\text{supp}(u - v) \subset\subset B_r$. We can handle the perimeter term as in [24], that is, eventually passing to a subsequence and using Fubini’s theorem, we may choose $0 < r_0 < \rho < r$ such that, once again, $F \Delta E \subset\subset B_\rho$, $F \setminus B_{r_0} = E \setminus B_{r_0}$, $\text{supp}(u - v) \subset\subset B_\rho$, and in addition,

$$\mathcal{H}^{n-1}(\partial^* E \cap \partial B_\rho) = \mathcal{H}^{n-1}(\partial^* E_h \cap \partial B_\rho) = 0,$$

and

$$\lim_{h \rightarrow 0} \mathcal{H}^{n-1}(\partial B_\rho \cap (E^{(1)} \Delta E_h^{(1)})) = 0. \tag{67}$$

Now we choose a cut-off function $\psi \in C^1_0(B_r)$ such that $\psi \equiv 1$ in B_ρ and define $v_h = \psi v + (1 - \psi)u_h$, $F_h := (F \cap B_\rho) \cup (E_h \setminus B_\rho)$ to test the minimality of (E_h, u_h) . Thanks to the (Λ_h, α) -minimality of (E_h, u_h) and [24, Theorem 16.16], we have

$$\begin{aligned} & \int_{B_r} (F(x, u_h, \nabla u_h) + \mathbb{1}_{E_h} G(x, u_h, \nabla u_h)) \, dx + P(E_h; B_r) \leq \\ & \leq \int_{B_r} (F(x, v_h, \nabla v_h) + \mathbb{1}_{F_h} G(x, v_h, \nabla v_h)) \, dx + P(F_h; B_r) + \Lambda_h |F_h \Delta E_h|^\alpha \\ & \leq \int_{B_r} (F(x, v_h, \nabla v_h) + \mathbb{1}_{F_h} G(x, v_h, \nabla v_h)) \, dx + P(F; B_\rho) + \Lambda_h |F_h \Delta E_h|^\alpha \\ & \quad + P(E_h; B_r \setminus \overline{B}_\rho) + \varepsilon_h, \end{aligned} \tag{68}$$

The mismatch term $\varepsilon_h = \mathcal{H}^{n-1}(\partial B_\rho \cap (F^{(1)} \Delta E_h^{(1)})) = \mathcal{H}^{n-1}(\partial B_\rho \cap (E^{(1)} \Delta E_h^{(1)}))$ appears because F is not in general a compact variation of E_h . Nevertheless we have that $\varepsilon_h \rightarrow 0$ because of the assumption (67) (see also [24, Theorem 21.14]).

Now we use the convexity of F and G with respect to the z variable to deduce

$$\begin{aligned} & \int_{B_r} (F(x, v_h, \nabla v_h) + \mathbb{1}_{F_h} G(x, v_h, \nabla v_h)) \, dx \\ & \leq \int_{B_r} (F(x, v_h, \psi \nabla v + (1 - \psi) \nabla u_h) + \mathbb{1}_{F_h} G(x, v_h, \psi \nabla v + (1 - \psi) \nabla u_h)) \, dx \\ & \quad + \int_{B_r} \langle \nabla_z F(x, v_h, \nabla v_h), \nabla \psi(v - u_h) \rangle \, dx + \int_{B_r} \mathbb{1}_{F_h} \langle \nabla_z G(x, v_h, \nabla v_h), \nabla \psi(v - u_h) \rangle \, dx, \end{aligned}$$

where the last two terms in the previous estimate tend to zero as $h \rightarrow \infty$. Indeed, the term $\nabla \psi(v - u_h)$ strongly converges to zero in L^2 , being $u = v$ in $B_r \setminus B_\rho$ and the first part in

the scalar product weakly converges in L^2 . Then using again the convexity of F and G with respect to the z variable we obtain, for some infinitesimal σ_h ,

$$\begin{aligned} & \int_{B_r} (F(x, v_h, \nabla v_h) + \mathbb{1}_{F_h} G(x, v_h, \nabla v_h)) dx \\ & \leq \int_{B_r} \psi (F(x, v_h, \nabla v) + \mathbb{1}_{F_h} G(x, v_h, \nabla v)) dx \\ & \quad + \int_{B_r} (1 - \psi)(F(x, v_h, \nabla u_h) + \mathbb{1}_{F_h} G(x, v_h, \nabla u_h)) dx + \sigma_h. \end{aligned} \tag{69}$$

Finally, we combine (68) and (69) and pass to the limit as $h \rightarrow +\infty$, using the lower semicontinuity on the left-hand side. For the right-hand side we observe that $\mathbb{1}_{E_h} \rightarrow \mathbb{1}_E$ and $\mathbb{1}_{F_h} \rightarrow \mathbb{1}_F$ in $L^1(B_r)$ and we use also the equi-integrability of $\{\nabla u_h\}_h$ to conclude,

$$\begin{aligned} & \int_{B_r} \psi (F(x, u, \nabla u) + \mathbb{1}_E G(x, u, \nabla u)) dx + P(E; B_\rho) \\ & \leq \int_{B_r} \psi (F(x, v, \nabla v) + \mathbb{1}_F G(x, v, \nabla v)) dx + P(F; B_\rho) + \Lambda |F \Delta E|^\alpha. \end{aligned}$$

Letting $\psi \downarrow \mathbb{1}_{B_\rho}$ we finally get

$$\begin{aligned} & \int_{B_\rho} (F(x, u, \nabla u) + \mathbb{1}_E G(x, u, \nabla u)) dx + P(E; B_\rho) \\ & \leq \int_{B_\rho} (F(x, v, \nabla v) + \mathbb{1}_F G(x, v, \nabla v)) dx + P(F; B_\rho) + \Lambda |F \Delta E|^\alpha, \end{aligned}$$

and this proves the (Λ, α) -minimality of (E, u) .

To prove the strong convergence of ∇u_h to ∇u in $L^2(B_r)$ we start by observing that by (68) and (69) applied using (E_h, u) to test the (Λ, α) -minimality of (E_h, u_h) we get

$$\begin{aligned} & \int_{B_r} \psi (F(x, u_h, \nabla u_h) + \mathbb{1}_{E_h} G(x, u_h, \nabla u_h)) dx \\ & \leq \int_{B_r} \psi (F(x, u, \nabla u) + \mathbb{1}_{E_h} G(x, u, \nabla u)) dx + \sigma_h. \end{aligned}$$

Then from the equi-integrability of $\{\nabla u_h\}_h$ in $L^2(U)$ and recalling that $\mathbb{1}_{E_h} \rightarrow \mathbb{1}_E$ in $L^1(U)$, we obtain

$$\begin{aligned} & \limsup_{h \rightarrow \infty} \int_{B_r} \psi (F(x, u_h, \nabla u_h) + \mathbb{1}_{E_h} G(x, u_h, \nabla u_h)) dx \\ & \leq \int_{B_r} \psi (F(x, u, \nabla u) + \mathbb{1}_E G(x, u, \nabla u)) dx. \end{aligned}$$

The opposite inequality can be obtained by semicontinuity. Thus we get

$$\begin{aligned} & \lim_{h \rightarrow \infty} \int_{B_r} \psi (F(x, u_h, \nabla u_h) + \mathbb{1}_{E_h} G(x, u_h, \nabla u_h)) dx \\ & = \int_{B_r} \psi (F(x, u, \nabla u) + \mathbb{1}_E G(x, u, \nabla u)) dx. \end{aligned}$$

From the ellipticity condition in (8) we infer, for some $\sigma_h \rightarrow 0$,

$$\begin{aligned} \nu \int_{B_r} \psi |\nabla u_h - \nabla u|^2 dx &\leq \int_{B_r} \psi (F(x, u_h, \nabla u_h) - F(x, u, \nabla u)) dx \\ &\quad + \int_{B_r} \psi \mathbb{1}_E (G(x, u_h, \nabla u_h) - G(x, u, \nabla u)) dx + \sigma_h. \end{aligned}$$

Passing to the limit we obtain

$$\lim_{h \rightarrow \infty} \int_{B_r} \psi |\nabla u_h - \nabla u|^2 dx = 0.$$

Finally testing the minimality of (E_h, u_h) with respect to the pair (E, u) we also get

$$\lim_{h \rightarrow \infty} P(E_h; B_\rho) = P(E; B_\rho).$$

With a usual argument we can deduce $u_h \rightarrow u$ in $W^{1,2}(U)$ and $P(E_h; U) \rightarrow P(E; U)$, for every open set $U \subset\subset \Omega$. The topological information stated in (65) and (66) follows as in [24, Theorem 21.14], indeed they are a consequence of the lower and upper density estimates given above. □

6 Height bound and Lipschitz approximation

In the following for $R > 0$ and $\nu \in \mathbb{S}^{n-1}$ we will denote

$$\mathbf{C}_R(x_0, \nu) := x_0 + \{y \in \mathbb{R}^n : |\langle y, \nu \rangle| < R, |y - \langle y, \nu \rangle \nu| < R\},$$

the cylinder centered in x_0 with radius R oriented in the direction ν .

The cylinder of radius R oriented in the direction e_n with height 2 will be denoted as

$$\mathbf{K}_R(x_0) := \{y = (y', y_n) \in \mathbb{R}^n : |y' - x'_0| < R, |y_n - (x_0)_n| < 1\},$$

In addition we introduce some usual quantities involved in regularity theory

Definition 6 Let E be a set of locally finite perimeter, $x \in \partial E$, $r > 0$ and $\nu \in \mathbb{S}^{n-1}$. We define:

- the **cylindrical excess** of E at the point x , at the scale r and with respect to the direction ν , as

$$\begin{aligned} \mathbf{e}_C(x, r, \nu) &:= \frac{1}{r^{n-1}} \int_{\mathbf{C}(x, r, \nu) \cap \partial^* E} \frac{|\nu_E - \nu|^2}{2} d\mathcal{H}^{n-1} \\ &= \frac{1}{r^{n-1}} \int_{\mathbf{C}(x, r, \nu) \cap \partial^* E} [1 - \langle \nu_E, \nu \rangle] d\mathcal{H}^{n-1}. \end{aligned}$$

- the **spherical excess** of E at the point x , at the scale r and with respect to the direction ν , as

$$\mathbf{e}(x, r, \nu) := \frac{1}{r^{n-1}} \int_{\partial E \cap B_r(x)} \frac{|\nu_E - \nu|^2}{2} d\mathcal{H}^{n-1}.$$

- the **spherical excess** of E at the point x and at the scale r , as

$$\mathbf{e}(x, r) := \min_{\nu \in \mathbb{S}^{n-1}} \mathbf{e}(x, r, \nu).$$

In the following, for simplicity of notation we will denote

$$\mathbf{C}_R = \mathbf{C}_R(0, e_n) = \{y = (y', y_n) \in \mathbb{R}^n : |y'| < R, |y_n| < R\}.$$

The following height bound lemma is a standard step in the proof of regularity because it is one of the main ingredients to prove the Lipschitz approximation theorem. The results contained in this section are a consequence of the compactness lemma, the density lower bound and the lower semicontinuity of the excess. In the statement of these results we assume that (E, u) is a (Λ, α) -minimizer of \mathcal{F} . However the minimality is not used except to ensure compactness and the density lower bound.

Lemma 9 (Height bound) *Let (E, u) be a (Λ, α) -minimizer of \mathcal{F} in $B_r(x_0)$. There exist two positive constants ε_2 and C_7 , depending on $n, \nu, N, L, \alpha, \beta, L_\alpha, L_\beta, \Lambda, \|\nabla u\|_{L^2(B_r(x_0))}$, such that if $x_0 \in \partial E$ and*

$$\mathbf{e}(x_0, r, \nu) < \varepsilon_2,$$

for some $\nu \in \mathbb{S}^{n-1}$, then

$$\sup_{y \in \partial E \cap B_{r/2}(x_0)} \frac{|\langle \nu, y - x_0 \rangle|}{r} \leq C_7 \mathbf{e}(x_0, r, \nu)^{\frac{1}{2(n-1)}}.$$

Proof The proof of this lemma is identical to the one in [24, Theorem 22.8]. Indeed, it follows from the density lower bound (see Theorem 4), the relative isoperimetric inequality and the compactness result proved in the previous section. □

Proceeding as in [24], we give the following Lipschitz approximation lemma, which is a consequence of the height bound lemma. Its proof follows exactly as in [24, Theorem 23.7]. It is a fundamental step in the long journey to the regularity because it provides a connection between the regularity theories for parametric and non-parametric variational problems. Indeed we are able to prove for (Λ, α) -minimizers that the smallness of the excess guaranties that ∂E can be locally almost entirely covered by the graph of a Lipschitz function.

Theorem 5 (Lipschitz approximation) *Let (E, u) be a (Λ, α) -minimizer of \mathcal{F} in $B_r(x_0)$. There exist two positive constants ε_3 and C_8 , depending on $\|\nabla u\|_{L^2(B_r(x_0))}$, such that if $x_0 \in \partial E$ and*

$$\mathbf{e}(x_0, r, e_n) < \varepsilon_3,$$

then there exists a Lipschitz function $f : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ such that

$$\sup_{x' \in \mathbb{R}^{n-1}} \frac{|f(x')|}{r} \leq C_8 \mathbf{e}(x_0, r, e_n)^{\frac{1}{2(n-1)}}, \quad \|\nabla' f\|_{L^\infty} \leq 1,$$

and

$$\frac{1}{r^{n-1}} \mathcal{H}^{n-1}((\partial E \Delta \Gamma_f) \cap B_{r/2}(x_0)) \leq C_8 \mathbf{e}(x_0, r, e_n),$$

where Γ_f is the graph of f . Moreover,

$$\frac{1}{r^{n-1}} \int_{D_{r/2}(x'_0)} |\nabla' f|^2 dx' \leq C_8 \mathbf{e}(x_0, r, e_n).$$

7 Reverse Poincaré inequality

In this section we shall prove a reverse Poincaré inequality. This is the counterpart for (Λ, α) -minimizers of the well-known Caccioppoli inequality for weak solutions of elliptic equations. The proof of the results of this section can be obtained as in the case of Λ -minimizers of the perimeter (see [24, Section 24]). For the sake of completeness we give here the main steps of the proof underlining the minor changes. We will need first a weak form.

Lemma 10 (Weak reverse Poincaré inequality) *If (E, u) is a (Λ, α) -minimizer of \mathcal{F} in \mathbf{C}_4 such that*

$$|x_n| < \frac{1}{8}, \quad \forall x \in \mathbf{C}_2 \cap \partial E,$$

$$\left| \left\{ x \in \mathbf{C}_2 \setminus E : x_n < -\frac{1}{8} \right\} \right| = \left| \left\{ x \in \mathbf{C}_2 \cap E : x_n > \frac{1}{8} \right\} \right| = 0,$$

and if $z \in \mathbb{R}^{n-1}$ and $s > 0$ are such that

$$\mathbf{K}_s(z) \subset \mathbf{C}_2, \quad \mathcal{H}^{n-1}(\partial E \cap \partial \mathbf{K}_s(z)) = 0, \tag{70}$$

then, for every $|c| < \frac{1}{4}$,

$$P(E; \mathbf{K}_{\frac{s}{2}}(z)) - \mathcal{H}^{n-1}(D_{\frac{s}{2}}(z)) \leq C(n, N, L) \left\{ \left[P(E; \mathbf{K}_s(z)) - \mathcal{H}^{n-1}(D_s(z)) \right] \right. \\ \left. \times \int_{\mathbf{K}_s(z) \cap \partial^* E} \frac{(x_n - c)^2}{s^2} d\mathcal{H}^{n-1} \right\}^{\frac{1}{2}} + \Lambda s^{(n-1)\alpha} + \int_{\mathbf{K}_s(z)} |\nabla u|^2 dx \Big\}.$$

Proof We may assume $z = 0$.

Step 1: The set function

$$\zeta(G) = P(E; \mathbf{C}_2 \cap p^{-1}(G)) - \mathcal{H}^{n-1}(G), \quad \text{for } G \subset D_2,$$

defines a Radon measure on \mathbb{R}^{n-1} , supported in D_2 .

Step 2: Since E is a set of locally finite perimeter, by [24, Theorem 13.8] there exist a sequence $(E_h)_{h \in \mathbb{N}}$ of open subsets of \mathbb{R}^n with smooth boundary and a vanishing sequence $(\varepsilon_h)_{h \in \mathbb{N}} \subset \mathbb{R}^+$ such that

$$E_h \xrightarrow{loc} E, \quad \mathcal{H}^{n-1} \llcorner \partial E_h \rightarrow \mathcal{H}^{n-1} \llcorner \partial E, \quad \partial E_h \subset I_{\varepsilon_h}(\partial E),$$

as $h \rightarrow +\infty$, where $I_{\varepsilon_h}(\partial E)$ is a tubular neighborhood of ∂E with half-length ε_h . By Coarea formula we get

$$\mathcal{H}^{n-1}(\partial \mathbf{K}_{r,s} \cap (E^{(1)} \Delta E_h)) \rightarrow 0, \quad \text{for a.e. } r \in \left(\frac{2}{3}, \frac{3}{4} \right).$$

Moreover, provided h is large enough, by $\partial E_h \subset I_{\varepsilon_h}(\partial E)$, we get:

$$|x_n| < \frac{1}{4}, \quad \forall x \in \mathbf{C}_2 \cap \partial E_h,$$

$$\left\{ x \in \mathbf{C}_2 : x_n < -\frac{1}{4} \right\} \subset \mathbf{C}_2 \cap E_h \subset \left\{ x \in \mathbf{C}_2 : x_n < \frac{1}{4} \right\}.$$

Therefore, given $\lambda \in (0, \frac{1}{4})$ and $|c| < \frac{1}{4}$, we are in position to apply [24, Lemma 24.8] to every E_h to deduce that there exists $I_h \subset (\frac{2}{3}, \frac{3}{4})$, with $|I_h| \geq \frac{1}{24}$, and, for any $r \in I_h$, there

exists an open subset F_h of \mathbb{R}^n of locally finite perimeter such that

$$\begin{aligned} F_h \cap \partial \mathbf{K}_{r_s} &= E_h \cap \partial \mathbf{K}_{r_s}, \\ \mathbf{K}_{\frac{s}{2}} \cap \partial F_h &= D_{\frac{s}{2}} \times \{c\}, \end{aligned} \tag{71}$$

$$\begin{aligned} &P(F_h; \mathbf{K}_{r_s}) - \mathcal{H}^{n-1}(D_{r_s}) \\ &\leq c(n) \left\{ \lambda \left(P(E_h; \mathbf{K}_s) - \mathcal{H}^{n-1}(D_s) \right) + \frac{1}{\lambda} \int_{\mathbf{K}_s \cap \partial E_h} \frac{|x_n - c|^2}{s^2} d\mathcal{H}^{n-1} \right\}. \end{aligned} \tag{72}$$

Clearly $\bigcap_{h \in \mathbb{N}} \bigcup_{k \geq h} |I_k| \geq \frac{1}{24} > 0$ and thus there exist a divergent subsequence $\{h_k\}_{k \in \mathbb{N}}$ and $r \in (\frac{2}{3}, \frac{3}{4})$ such that

$$r \in \bigcap_{k \in \mathbb{N}} I_{h_k} \quad \text{and} \quad \lim_{k \rightarrow +\infty} \mathcal{H}^{n-1}(\partial \mathbf{K}_{r_s} \cap (E^{(1)} \Delta E_{h_k})) = 0.$$

We will write F_k in place of F_{h_k} . Now we test the (Λ, α) -minimality of (E, u) in \mathbf{C}_4 with (G_k, u) , where $G_k = (F_k \cap \mathbf{K}_{r_s}) \cup (E \setminus \mathbf{K}_{r_s})$, as $E \Delta G_k \subset \subset \mathbf{K}_s \subset \subset B_4$. By [24, (16.33)] we infer:

$$\begin{aligned} P(E; \mathbf{K}_{r_s}) &\leq P(G_k; \mathbf{K}_{r_s}) + \Lambda |(E \Delta F_k) \cap \mathbf{K}_{r_s}|^\alpha + \int_{\mathbf{K}_{r_s}} G(x, u, \nabla u) [\mathbb{1}_{G_k} - \mathbb{1}_E] dx \\ &\leq P(F_k; \mathbf{K}_{r_s}) + \sigma_k + \Lambda |(E \Delta F_k) \cap \mathbf{K}_{r_s}|^\alpha + c(n, N, L) \int_{\mathbf{K}_{r_s}} (|\nabla u|^2 + 1) dx, \end{aligned}$$

with $\sigma_k = \mathcal{H}^{n-1}(\partial \mathbf{K}_{r_s} \cap (E^{(1)} \Delta F_k)) = \mathcal{H}^{n-1}(\partial \mathbf{K}_{r_s} \cap (E^{(1)} \Delta E_{h_k})) \rightarrow 0$, thanks to (71), as $k \rightarrow +\infty$. Thus, since ζ is nondecreasing and $r \geq \frac{2}{3}$, by (72) we deduce that

$$\begin{aligned} P(E; \mathbf{K}_{\frac{s}{2}}) - \mathcal{H}^{n-1}(D_{\frac{s}{2}}) &= \zeta(D_{\frac{s}{2}}) \leq \zeta(D_{r_s}) = P(E; \mathbf{K}_{r_s}) - \mathcal{H}^{n-1}(D_{r_s}) \\ &\leq P(F_k; \mathbf{K}_{r_s}) - \mathcal{H}^{n-1}(D_{r_s}) + \sigma_k + \Lambda |(E \Delta F_k) \cap \mathbf{K}_{r_s}|^\alpha + c(n, N, L) \int_{\mathbf{K}_{r_s}} (|\nabla u|^2 + 1) dx \\ &\leq c(n) \left\{ \lambda \left(P(E_{h_k}; \mathbf{K}_s) - \mathcal{H}^{n-1}(D_s) \right) + \frac{1}{\lambda} \int_{\mathbf{K}_s \cap \partial E_{h_k}} \frac{|x_n - c|^2}{s^2} d\mathcal{H}^{n-1} \right\} \\ &\quad + c(n, N, L) \left(\Lambda s^{(n-1)\alpha} + \int_{\mathbf{K}_s} |\nabla u|^2 dx \right). \end{aligned}$$

Letting $k \rightarrow +\infty$, (70) implies that $P(E_{h(k)}; \mathbf{K}_s) \rightarrow P(E; \mathbf{K}_s)$ and therefore

$$\begin{aligned} P(E; \mathbf{K}_{\frac{s}{2}}) - \mathcal{H}^{n-1}(D_{\frac{s}{2}}) &\leq c(n) \left\{ \lambda \left(P(E; \mathbf{K}_s) - \mathcal{H}^{n-1}(D_s) \right) + \frac{1}{\lambda} \int_{\mathbf{K}_s \cap \partial E} \frac{|x_n - c|^2}{s^2} d\mathcal{H}^{n-1} \right\} \\ &\quad + c(n, N, L) \left(\Lambda s^{(n-1)\alpha} + \int_{\mathbf{K}_s} |\nabla u|^2 dx \right), \end{aligned} \tag{73}$$

for any $\lambda \in (0, \frac{1}{4})$. If $\lambda > \frac{1}{4}$,

$$\begin{aligned} P(E; \mathbf{K}_{\frac{s}{2}}) - \mathcal{H}^{n-1}(D_{\frac{s}{2}}) &= \zeta(D_{\frac{s}{2}}) \leq \zeta(D_{r_s}) \\ &\leq 4\lambda P(E; \mathbf{K}_{r_s}) - \mathcal{H}^{n-1}(D_{r_s}) \leq c(n)\lambda \left(P(E; \mathbf{K}_s) - \mathcal{H}^{n-1}(D_s) \right) \end{aligned}$$

and thus (73) holds true for $\lambda > 0$, provided we choose $c(n) \geq 4$. Minimizing over λ , we get the thesis. \square

Theorem 6 (Reverse Poincaré Inequality) *There exists a positive constant $C_9 = C_9(n, N, L, \alpha)$ such that if (E, u) be a (Λ, α) -minimizer of \mathcal{F} in $\mathbf{C}_{4r}(x_0, \nu)$ with $x_0 \in \partial E$ and*

$$e_C(x_0, 4r, \nu) < \omega\left(n, \frac{1}{8}\right),$$

then

$$e_C(x_0, r, \nu) \leq C_9 \left(\frac{1}{r^{n+1}} \int_{\partial E \cap \mathbf{C}_{2r}(x_0, \nu)} |\langle \nu, x - x_0 \rangle - c|^2 d\mathcal{H}^{n-1} + \Lambda r^\gamma + \frac{1}{r^{n-1}} \int_{\mathbf{C}_{2r}(x_0, \nu)} |\nabla u|^2 dx \right),$$

for every $c \in \mathbb{R}$.

Proof Up to a rotation taking ν into e_n and replacing (E, u) with $\left(\frac{E-x_0}{r}, r^{-\frac{1}{2}}u(x_0 + ry)\right)$ (see Lemma 6), we may assume that (E, u) is a $(\Lambda r^\gamma, \alpha)$ -minimizer of \mathcal{F}_r in \mathbf{C}_4 , $0 \in \partial E$ and, by [24, Proposition 22.1],

$$e_C(0, 4, e_n) \leq \omega\left(n, \frac{1}{8}\right).$$

Using Lemma 8 and Theorem 4 it is easy to verify that [24, Lemma 22.10 and Lemma 22.11] hold also for $(\Lambda r^\gamma, \alpha)$ -minimizers of \mathcal{F}_r in \mathbf{C}_4 . Thus we infer that

$$\begin{aligned} |x_n| &< \frac{1}{4}, \quad \forall x \in \mathbf{C}_2 \cap \partial E, \\ \left| \left\{ x \in \mathbf{C}_2 \setminus E : x_n < -\frac{1}{8} \right\} \right| &= \left| \left\{ x \in \mathbf{C}_2 \cap E : x_n > \frac{1}{8} \right\} \right| = 0, \\ \mathcal{H}^{n-1}(G) &= \int_{\mathbf{C}_2 \cap \partial^* E \cap p^{-1}(G)} \langle \nu_E, e_n \rangle d\mathcal{H}^{n-1}, \quad \forall G \subset D_2. \end{aligned}$$

Since

$$\begin{aligned} e_n(1) &= \int_{\mathbf{C}_1 \cap \partial^* E} (1 - \langle \nu_E, e_n \rangle) d\mathcal{H}^{n-1} = P(E; \mathbf{C}_1) - \int_{\mathbf{C}_1 \cap \partial^* E} \langle \nu_E, e_n \rangle d\mathcal{H}^{n-1} \\ &= P(E; \mathbf{C}_1) - \mathcal{H}^{n-1}(D_1), \end{aligned}$$

then our aim is to show

$$P(E; \mathbf{C}_1) - \mathcal{H}^{n-1}(D_1) \leq C_9 \left(\int_{\mathbf{C}_2 \cap \partial E} |x_n - c|^2 d\mathcal{H}^{n-1} + \Lambda r^\gamma + \int_{\mathbf{C}_2} |\nabla u|^2 dx \right), \tag{74}$$

for any $c \in \mathbb{R}$. Actually, it suffices to prove it only for $|c| < \frac{1}{4}$; indeed, for $|c| \geq \frac{1}{4}$, we have:

$$\int_{\mathbf{C}_2 \cap \partial E} |x_n - c|^2 d\mathcal{H}^{n-1} \geq \int_{\mathbf{C}_2 \cap \partial E} (|c| - |x_n|)^2 d\mathcal{H}^{n-1} \geq \frac{1}{64} P(E; \mathbf{C}_2) \geq \frac{1}{64} P(E; \mathbf{C}_1).$$

The set function $\zeta(G) = P(E; \mathbf{C}_2 \cap p^{-1}(G)) - \mathcal{H}^{n-1}(G)$, for $G \subset D_2$, defines a Radon measure on \mathbb{R}^{n-1} , concentrated on D_2 . We apply Lemma 10 to E in every cylinder $\mathbf{K}_s(z)$ with $z \in \mathbb{R}^{n-1}$ and $s > 0$ such that

$$D_{2s}(z) \subset D_2, \quad \mathcal{H}^{n-1}(\partial E \cap \partial \mathbf{K}_{2s}(z)) = 0, \tag{75}$$

to get that

$$\zeta(D_s(z)) \leq C(n, N, L, \alpha) \left\{ (\zeta(D_{2s}(z))h)^{\frac{1}{2}} + \Lambda r^\gamma s^{(n-1)\alpha} + \int_{\mathbf{K}_{2s}(z)} |\nabla u|^2 dx \right\},$$

where

$$h := \inf_{|c| < \frac{1}{4}} \int_{C_2 \cap \partial E} |x_n - c|^2 d\mathcal{H}^{n-1}.$$

Multiplying by s^2 and using an approximation argument to remove the second assumption in (75), we obtain:

$$s^2 \zeta(D_s(z)) \leq c(n, N, L, \alpha) \left(\sqrt{s^2 \zeta(D_{2s}(z))h} + \Lambda r^\gamma + \int_{\mathbf{K}_{2s}(z)} |\nabla u|^2 dx \right), \tag{76}$$

for $D_{2s}(z) \subset D_2$, where we used that $s < 1$. In order to prove the thesis, we use a covering argument by setting

$$Q = \sup_{D_{2s}(z) \subset D_2} s^2 \zeta(D_s(z)) < +\infty.$$

We cover $D_s(z)$ by finitely many balls $\{D(z_k, \frac{s}{4})\}_{k \in \{1, \dots, \tilde{N}\}}$ with centers $z_k \in D_s(z)$. Of course, this can be done with $\tilde{N} \leq \tilde{N}(n)$, for some $\tilde{N}(n) \in \mathbb{N}$. Hence, by the sub-additivity of ζ and (76) for $\frac{s}{4}$, since $D_s(z_k) \subset D_2$, we have:

$$\begin{aligned} s^2 \zeta(D_s(z)) &\leq s^2 \sum_{k=1}^{\tilde{N}} \zeta\left(D_{\frac{s}{4}}(z_k)\right) = 16 \sum_{k=1}^{\tilde{N}} \left(\frac{s}{4}\right)^2 \zeta\left(D_{\frac{s}{4}}(z_k)\right) \\ &\leq c(n, N, L, \alpha) \sum_{k=1}^{\tilde{N}} \left(\sqrt{\left(\frac{s}{2}\right)^2 \zeta\left(D_{\frac{s}{2}}(z_k)\right)h} + \Lambda r^\gamma + \int_{\mathbf{K}_{2s}(z)} |\nabla u|^2 dx \right) \\ &\leq c(n, N, L, \alpha) \left(\sqrt{Qh} + \Lambda r^\gamma + \int_{\mathbf{K}_{2s}(z)} |\nabla u|^2 dx \right). \end{aligned}$$

Passing to the supremum for $D_{2s}(z) \subset D_2$ we infer that

$$Q \leq c(n, N, L, \alpha) \left(\sqrt{Qh} + \Lambda r^\gamma + \int_{\mathbf{K}_2} |\nabla u|^2 dx \right).$$

If $\sqrt{Qh} \leq \Lambda r^\gamma + \int_{\mathbf{K}_2} |\nabla u|^2 dx$, then $Q \leq c(n, N, L, \alpha) \left(\Lambda r^\gamma + \int_{\mathbf{K}_2} |\nabla u|^2 dx \right)$.

If $\sqrt{Qh} > \Lambda r^\gamma + \int_{\mathbf{K}_2} |\nabla u|^2 dx$, then $Q \leq c(n, N, L, \alpha) \sqrt{Qh}$ and thus $Q \leq c(n, N, L, \alpha)h$. In both cases we obtain:

$$Q \leq c(n, N, L, \alpha) \left(h + \Lambda r^\gamma + \int_{\mathbf{K}_2} |\nabla u|^2 dx \right),$$

which leads to (74), since $\mathbf{K}_2 \subset C_2$. □

8 First variation of the energy

In this section we deduce a kind of Taylor’s expansion formula, with respect to a parameter $t \in \mathbb{R}$, for the energy quantity involved in the definition of (Λ, α) -minimizer, under a “small”

domain perturbation of the type

$$\Phi_t(x) = x + tX(x).$$

We remark that it is not possible to write an Euler–Lagrange-type equation for the energy because the densities F and G are not Lipschitz continuous in x and u .

We start with the energy of the rescaled functional \mathcal{F}_r . For the sake of simplicity we will denote with $A_1(x, s)$ the matrix whose entries are $a_{hk}(x, s)$, $A_2(x, s)$ the vector of components $a_h(x, s)$, $A_3(x, s) = a(x, s)$ and similarly for $B_i, i = 1, 2, 3$. Then we define

$$\begin{aligned} \mathcal{F}_r(w; D) &:= \int_{B_1} [F_r(x, w, \nabla w) + \mathbb{1}_D G_r(x, w, \nabla w)] dx \\ &= \int_{B_1} [((A_{1r} + \mathbb{1}_D B_{1r}) \nabla w, \nabla w) + \sqrt{r} (A_{2r} + \mathbb{1}_D B_{2r}, \nabla w) + r(A_{3r} + \mathbb{1}_D B_{3r})] dx, \end{aligned}$$

where $r > 0, x_0 \in \Omega, A_{ir}(y, w) := A_i(x_0 + ry, \sqrt{r}w), B_{ir}(y, w) := B_i(x_0 + ry, \sqrt{r}w)$, for $i = 1, 2, 3$.

Theorem 7 (First variation of the bulk term) *Let $u \in H^1(B_1)$ and let us fix $X \in C^1_0(B_1; \mathbb{R}^n)$. We define $\Phi_t(x) := x + tX(x)$, for any $t > 0$. Accordingly we define*

$$E_t := \Phi_t(E), \quad u_t := u \circ \Phi_t^{-1}.$$

There exists a constant $\bar{C} = \bar{C}(N, L, L_\alpha, \|X\|_\infty, \|\nabla X\|_\infty) > 0$ such that

$$\begin{aligned} &\int_{B_1} [F_r(y, u_t, \nabla u_t) + \mathbb{1}_{E_t}(y)G_r(y, u_t, \nabla u_t)] dy \\ &- \int_{B_1} [F_r(x, u, \nabla u) + \mathbb{1}_E(x)G_r(x, u, \nabla u)] dx \\ &\leq \bar{C}(t^\alpha + o(t)) \int_{B_1} (|\nabla u|^2 + r) dx, \end{aligned}$$

where L_α is defined in (7).

Proof Taking into account that

$$\nabla \Phi_t^{-1}(\Phi_t(x)) = I - t \nabla X(x) + o(t), \quad \mathbf{J} \Phi_t(x) = 1 + t \operatorname{div} X(x) + o(t).$$

we obtain:

$$\begin{aligned} &\int_{B_1} [F_r(y, u_t, \nabla u_t) + \mathbb{1}_{E_t}(y)G_r(y, u_t, \nabla u_t)] dy \\ &= \int_{B_1} [F_r(\Phi_t(x), u, \nabla u) + \mathbb{1}_E(x)G_r(\Phi_t(x), u, \nabla u)](1 + t \operatorname{div} X + o(t)) dx \\ &- (t + o(t)) \int_{B_1} [t\{C_1 \nabla u \nabla X, \nabla u \nabla X\} + 2\{C_1 \nabla u \nabla X, \nabla u\} + \sqrt{r}\{C_2, \nabla u \nabla X\}] dx, \end{aligned}$$

where we set

$$C_i := \tilde{A}_{ir} + \mathbb{1}_E \tilde{B}_{ir} = A_{ir}(\Phi_t(x), u) + \mathbb{1}_E(x)B_{ir}(\Phi_t(x), u),$$

for $i = 1, 2, 3$. From the previous identity, by subtracting the term

$$\int_{B_1} [F_r(x, u, \nabla u) + \mathbb{1}_E(x)G_r(x, u, \nabla u)] dx,$$

we gain:

$$\begin{aligned}
 & \int_{B_1} [F_r(y, u_t, \nabla u_t) + \mathbb{1}_{E_t}(y)G_r(y, u_t, \nabla u_t)] dy \\
 & - \int_{B_1} [F_r(x, u, \nabla u) + \mathbb{1}_E(x)G_r(x, u, \nabla u)] dx \\
 & = \left[\int_{B_1} [F_r(\Phi_t(x), u, \nabla u) + \mathbb{1}_E(x)G_r(\Phi_t(x), u, \nabla u) \right. \\
 & \quad \left. - [F_r(x, u, \nabla u) + \mathbb{1}_E(x)G_r(x, u, \nabla u)]] dx \right] \\
 & \quad + \left[t \int_{B_1} [F_r(\Phi_t(x), u, \nabla u) + \mathbb{1}_E(x)G_r(\Phi_t(x), u, \nabla u)] \operatorname{div} X dx \right. \\
 & \quad + o(t) \int_{B_1} [F_r(\Phi_t(x), u, \nabla u) + \mathbb{1}_E(x)G_r(\Phi_t(x), u, \nabla u)] dx \\
 & \quad \left. - (t + o(t)) \int_{B_1} [t\langle C_1 \nabla u \nabla X, \nabla u \nabla X \rangle + 2\langle C_1 \nabla u \nabla X, \nabla u \rangle \right. \\
 & \quad \left. + \sqrt{r}\langle C_2, \nabla u \nabla X \rangle] dx \right] =: [I_1] + [I_2].
 \end{aligned}$$

Let us estimate separately the two terms I_1, I_2 on the right-hand side. By the Hölder continuity of the data with respect to the first variable given in (7) and Young’s inequality we get

$$\begin{aligned}
 I_1 &= \int_{B_1} [(F_r(\Phi_t(x), u, \nabla u) + \mathbb{1}_E(x)G_r(\Phi_t(x), u, \nabla u) \\
 & \quad - [F_r(x, u, \nabla u) + \mathbb{1}_E(x)G_r(x, u, \nabla u)])] dx \\
 & \leq c(L_\alpha)t^\alpha \int_{B_1} [X[|\nabla u|^2 + \sqrt{r}|\nabla u| + r]] dx \leq c(L_\alpha, \|X\|_\infty)t^\alpha \int_{B_1} [|\nabla u|^2 + r] dx.
 \end{aligned}$$

Regarding I_2 we have that

$$\begin{aligned}
 I_2 &\leq (t + o(t))(1 + \|\nabla X\|_\infty) \int_{B_1} |F_r(\Phi_t(x), u, \nabla u) + \mathbb{1}_E(x)G_r(\Phi_t(x), u, \nabla u)| dx \\
 & \quad + (t + o(t))(1 + \|\nabla X\|_\infty)^2 \int_{B_1} [t\langle C_1 \nabla u, \nabla u \rangle + 2\langle C_1 \nabla u, \nabla u \rangle + \sqrt{r}\langle C_2, \nabla u \rangle] dx \\
 & \leq C(t + o(t)) \int_{B_1} (|\nabla u|^2 + r) dx,
 \end{aligned}$$

where $C = C(N, L, \|\nabla X\|_\infty)$. From the last estimates the thesis easily follows. □

The second estimate concerns the perimeter (see [24, Theorem 17.5]).

Theorem 8 (First variation of the perimeter) *If $A \subset \mathbb{R}^n$ is an open set, $E \subset \mathbb{R}^n$ is a set of locally finite perimeter and $\Phi_t(x) := x + tX(x)$ for some fixed $X \in C^1_0(A; \mathbb{R}^n)$, then*

$$P(\Phi_t(E); A) - P(E; A) = (t + O(t^2)) \int_{\partial^* E} \operatorname{div}_E X d\mathcal{H}^{n-1},$$

where the tangential divergence of X , $\operatorname{div}_E X : \partial^* E \rightarrow \mathbb{R}$, is the Borel function defined as

$$\operatorname{div}_E X = \operatorname{div} X - \langle \nu_E, \nabla X \nu_E \rangle.$$

The last result we will use in the sequel concerns the penalization term (see [24, Lemma 17.9]).

Theorem 9 *Let $A \subset \mathbb{R}^n$ be an open set, $E \subset \mathbb{R}^n$ be a set of locally finite perimeter and $\Phi_t(x) := x + tX(x)$, for some fixed $X \in C_0^1(A; \mathbb{R}^n)$, be a local variation in A , i.e. $\{x \neq \Phi_t(x)\} \subset K \subset A$, for some compact set $K \subset A$ and for $|t| < \varepsilon_0$. Then*

$$|\Phi_t(E) \Delta E| \leq C|t|P(E; K),$$

where C is a positive constant.

9 Excess improvement

We point out that, in the following estimates, the constant depending on $\mathcal{D}(x_0, r)$ actually just depends on $n, N, \nu, \alpha, \Lambda, \Omega, \|\nabla u\|_{L^2(\Omega)}$ by means of Theorem 3.

Theorem 10 (Excess improvement) *For every $\tau \in (0, \frac{1}{4})$ and $M > 0$ there exists a constant $\varepsilon_4 = \varepsilon_4(\tau, M) \in (0, 1)$ such that if (E, u) is a (Λ, α) -minimizer of \mathcal{F} in $B_r(x_0)$ with $x_0 \in \partial E$ and*

$$\mathbf{e}(x_0, r) \leq \varepsilon_4, \quad \mathcal{D}(x_0, r) + r^\gamma \leq M\mathbf{e}(x_0, r), \tag{77}$$

then there exists a positive constant C_{10} , depending on $\mathcal{D}(x_0, r)$, such that

$$\mathbf{e}(x_0, \tau r) \leq C_{10}(\tau^2\mathbf{e}(x_0, r) + \mathcal{D}(x_0, 4\tau r) + (\tau r)^\gamma).$$

Proof Without loss of generality we may assume that $\tau < \frac{1}{8}$. Let us rescale and assume by contradiction that there exist an infinitesimal sequence $\{\varepsilon_h\}_{h \in \mathbb{N}} \subseteq \mathbb{R}^+$, a sequence $\{r_h\}_{h \in \mathbb{N}} \subseteq \mathbb{R}^+$ and a sequence $\{(E_h, u_h)\}_{h \in \mathbb{N}}$ of $(\Lambda r_h^\gamma, \alpha)$ -minimizers of \mathcal{F}_{r_h} in B_1 , with equibounded energies, such that, denoting by \mathbf{e}_h the excess of E_h and by \mathcal{D}_h the rescaled Dirichlet integral of u_h , we have

$$\mathbf{e}_h(0, 1) = \varepsilon_h, \quad \mathcal{D}_h(0, 1) + r_h^\gamma \leq M\varepsilon_h$$

and

$$\mathbf{e}_h(0, \tau) > C_{10}(\tau^2\mathbf{e}_h(0, 1) + \mathcal{D}_h(0, 4\tau) + (\tau r_h)^\gamma),$$

with some positive constant C_{10} to be chosen. Up to rotating each E_h we may also assume that, for all $h \in \mathbb{N}$,

$$\mathbf{e}_h(0, 1) = \frac{1}{2} \int_{\partial E_h \cap B_1} |v_{E_h} - e_n|^2 d\mathcal{H}^{n-1}.$$

Step 1. Thanks to the Lipschitz approximation theorem, for h sufficiently large, there exists a 1-Lipschitz function $f_h: \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ such that

$$\sup_{\mathbb{R}^{n-1}} |f_h| \leq C_8 \varepsilon_h^{\frac{1}{2(n-1)}}, \quad \mathcal{H}^{n-1}((\partial E_h \Delta \Gamma_{f_h}) \cap B_{\frac{1}{2}}) \leq C_8 \varepsilon_h, \quad \int_{D_{\frac{1}{2}}} |\nabla' f_h|^2 dx' \leq C_8 \varepsilon_h. \tag{78}$$

We define

$$g_h(x') := \frac{f_h(x') - a_h}{\sqrt{\varepsilon_h}}, \quad \text{where } a_h = \int_{D_{\frac{1}{2}}} f_h dx'$$

and we assume, up to a subsequence, that $\{g_h\}_{h \in \mathbb{N}}$ converges weakly in $H^1(D_{\frac{1}{2}})$ and strongly in $L^2(D_{\frac{1}{2}})$ to a function g .

We prove that g is harmonic in $D_{\frac{1}{2}}$. It is enough to show that

$$\lim_{h \rightarrow +\infty} \frac{1}{\sqrt{\varepsilon_h}} \int_{D_{\frac{1}{2}}} \frac{\langle \nabla' f_h, \nabla' \phi \rangle}{\sqrt{1 + |\nabla' f_h|^2}} dx' = 0, \tag{79}$$

for all $\phi \in C_0^1(D_{\frac{1}{2}})$; indeed, if $\phi \in C_0^1(D_{\frac{1}{2}})$, by weak convergence we have

$$\begin{aligned} \int_{D_{\frac{1}{2}}} \langle \nabla' g, \nabla' \phi \rangle dx' &= \lim_{h \rightarrow +\infty} \frac{1}{\sqrt{\varepsilon_h}} \int_{D_{\frac{1}{2}}} \langle \nabla' f_h, \nabla' \phi \rangle dx' \\ &= \lim_{h \rightarrow +\infty} \frac{1}{\sqrt{\varepsilon_h}} \left\{ \int_{D_{\frac{1}{2}}} \frac{\langle \nabla' f_h, \nabla' \phi \rangle}{\sqrt{1 + |\nabla' f_h|^2}} dx' + \int_{D_{\frac{1}{2}}} \left[\langle \nabla' f_h, \nabla' \phi \rangle - \frac{\langle \nabla' f_h, \nabla' \phi \rangle}{\sqrt{1 + |\nabla' f_h|^2}} \right] dx' \right\}. \end{aligned}$$

Using the Lipschitz continuity of f_h and the third inequality in (78), we infer that the second term in the previous equality is infinitesimal:

$$\begin{aligned} &\limsup_{h \rightarrow +\infty} \frac{1}{\sqrt{\varepsilon_h}} \left| \int_{D_{\frac{1}{2}}} \left[\langle \nabla' f_h, \nabla' \phi \rangle - \frac{\langle \nabla' f_h, \nabla' \phi \rangle}{\sqrt{1 + |\nabla' f_h|^2}} \right] dx' \right| \\ &\leq \limsup_{h \rightarrow +\infty} \frac{1}{\sqrt{\varepsilon_h}} \int_{D_{\frac{1}{2}}} |\nabla' f_h| |\nabla' \phi| \frac{\sqrt{1 + |\nabla' f_h|^2} - 1}{\sqrt{1 + |\nabla' f_h|^2}} dx' \\ &\leq \limsup_{h \rightarrow +\infty} \frac{1}{\sqrt{\varepsilon_h}} \int_{D_{\frac{1}{2}}} |\nabla' \phi| |\nabla' f_h|^2 dx' \leq \lim_{h \rightarrow +\infty} C_8 \|\nabla' \phi\|_\infty \sqrt{\varepsilon_h} = 0. \end{aligned}$$

Therefore, we should prove (79). We fix $\delta > 0$ so that $\text{supp } \phi \times [-2\delta, 2\delta] \subset B_{\frac{1}{2}}$ and choose a cut-off function $\psi : \mathbb{R} \rightarrow [0, 1]$ with $\text{supp } \psi \subset (-2\delta, 2\delta)$, $\psi = 1$ in $(-\delta, \delta)$. Let us define

$$\Phi_{\varepsilon_h}(x) := x + \varepsilon_h X(x), \quad \text{where } X(x) = \phi(x') \psi(x_n) e_n,$$

and

$$\tilde{E}_h := \Phi_{\varepsilon_h}(E_h), \quad \tilde{u}_h := u \circ \Phi_{\varepsilon_h}^{-1}.$$

By the (Λ, α) -minimality of (E_h, u_h) we deduce that

$$\mathcal{F}_{r_h}(E_h, u_h) \leq \mathcal{F}_{r_h}(\tilde{E}_h, \tilde{u}_h) + \Lambda r_h^\gamma |\tilde{E}_h \Delta E_h|^\alpha.$$

Then we may estimate

$$\begin{aligned} &P(E_h; B_{\frac{1}{2}}) - P(\tilde{E}_h; B_{\frac{1}{2}}) \\ &\leq \int_{B_{\frac{1}{2}}} [F_r(y, \tilde{u}_h, \nabla \tilde{u}_h) + \mathbb{1}_{\tilde{E}_h}(y) G_r(y, \tilde{u}_h, \nabla \tilde{u}_h)] dy \\ &\quad - \int_{B_{\frac{1}{2}}} [F_r(x, u, \nabla u) + \mathbb{1}_E(x) G_r(x, u, \nabla u)] dx \\ &\quad + \Lambda r_h^\gamma |\Phi_{\varepsilon_h}(E_h) \Delta E_h|^\alpha. \end{aligned}$$

Applying Theorems 7 and 9 in the right-hand side we get

$$P(E_h; B_{\frac{1}{2}}) - P(\tilde{E}_h; B_{\frac{1}{2}}) \leq C \left[(\varepsilon_h^\alpha + o(\varepsilon_h)) \int_{B_1} (|\nabla u_h|^2 + r_h) dx + r_h^\gamma \varepsilon_h^\alpha (P(E_h; B_1))^\alpha \right],$$

for some $C = C(N, L, L_\alpha, \alpha, \Lambda, \|X\|_\infty, \|\nabla X\|_\infty)$. Then, using the second assumption in (77), we obtain

$$P(E_h; B_{\frac{1}{2}}) - P(\tilde{E}_h; B_{\frac{1}{2}}) \leq MC [(\varepsilon_h^\alpha + o(\varepsilon_h))\varepsilon_h + \varepsilon_h^{1+\alpha} (P(E_h; B_1))^\alpha]. \tag{80}$$

We want apply now Theorem 8 on the left-hand side. For this reason let us observe that by Lemma 9, for h large enough, $|x_n| < \delta$ for every $x \in \partial E_h$, so that $\psi' = 0$ and then we can write

$$\nabla X(x) = e_n \otimes \nabla' \phi(x'), \quad \operatorname{div} X = \phi \psi' = 0,$$

thus concluding

$$\operatorname{div}_{E_h} X = -\langle \nabla X v_{E_h}, v_{E_h} \rangle = -\langle v_{E_h}, e_n \rangle \langle \nabla' \phi, v'_{E_h} \rangle \quad \text{on } \partial E_h.$$

Therefore, applying Theorem 8, we obtain

$$P(E_h; B_{\frac{1}{2}}) - P(\tilde{E}_h; B_{\frac{1}{2}}) = (\varepsilon_h + O(\varepsilon_h^2)) \int_{\partial E_h \cap B_{\frac{1}{2}}} \langle v_{E_h}, e_n \rangle \langle \nabla' \phi, v'_{E_h} \rangle d\mathcal{H}^{n-1},$$

and then inserting this equality in (80) we deduce,

$$\begin{aligned} & (\varepsilon_h + O(\varepsilon_h^2)) \int_{\partial E_h \cap B_{\frac{1}{2}}} \langle v_{E_h}, e_n \rangle \langle \nabla' \phi, v'_{E_h} \rangle d\mathcal{H}^{n-1} \\ & \leq MC [(\varepsilon_h^\alpha + o(\varepsilon_h))\varepsilon_h + \varepsilon_h^{1+\alpha} (P(E_h; B_1))^\alpha]. \end{aligned}$$

Finally, if we replace ϕ by $-\phi$, we deduce dividing by ε_h

$$\left| \int_{\partial E_h \cap B_{\frac{1}{2}}} \langle v_{E_h}, e_n \rangle \langle \nabla' \phi, v'_{E_h} \rangle d\mathcal{H}^{n-1} \right| \leq MC (\varepsilon_h^\alpha + o(\varepsilon_h)) (1 + P(E_h; B_1)^\alpha),$$

then recalling that $\alpha > \frac{n-1}{n} \geq \frac{1}{2}$ we deduce

$$\lim_{h \rightarrow +\infty} \frac{1}{\sqrt{\varepsilon_h}} \left| \int_{\partial E_h \cap B_{\frac{1}{2}}} \langle v_{E_h}, e_n \rangle \langle \nabla' \phi, v'_{E_h} \rangle d\mathcal{H}^{n-1} \right| = 0. \tag{81}$$

Decomposing $\partial E_h \cap B_{\frac{1}{2}} = ([\Gamma_{f_h} \cup (\partial E_h \setminus \Gamma_{f_h})] \setminus (\Gamma_{f_h} \setminus \partial E_h)) \cap B_{\frac{1}{2}}$, we deduce

$$\begin{aligned} & -\frac{1}{\sqrt{\varepsilon_h}} \int_{\partial E_h \cap B_{\frac{1}{2}}} \langle v_{E_h}, e_n \rangle \langle \nabla' \phi, v'_{E_h} \rangle d\mathcal{H}^{n-1} = \frac{1}{\sqrt{\varepsilon_h}} \left[-\int_{\Gamma_{f_h} \cap B_{\frac{1}{2}}} \langle v_{E_h}, e_n \rangle \langle \nabla' \phi, v'_{E_h} \rangle d\mathcal{H}^{n-1} \right. \\ & \left. - \int_{(\partial E_h \setminus \Gamma_{f_h}) \cap B_{\frac{1}{2}}} \langle v_{E_h}, e_n \rangle \langle \nabla' \phi, v'_{E_h} \rangle d\mathcal{H}^{n-1} + \int_{(\Gamma_{f_h} \setminus \partial E_h) \cap B_{\frac{1}{2}}} \langle v_{E_h}, e_n \rangle \langle \nabla' \phi, v'_{E_h} \rangle d\mathcal{H}^{n-1} \right]. \end{aligned}$$

Since by the second inequality in (78) we have

$$\begin{aligned} & \left| \frac{1}{\sqrt{\varepsilon_h}} \int_{(\partial E_h \setminus \Gamma_{f_h}) \cap B_{\frac{1}{2}}} \langle v_{E_h}, e_n \rangle \langle \nabla' \phi, v'_{E_h} \rangle d\mathcal{H}^{n-1} \right| \leq C_8 \sqrt{\varepsilon_h} \sup_{\mathbb{R}^{n-1}} |\nabla' \phi|, \\ & \left| \frac{1}{\sqrt{\varepsilon_h}} \int_{(\Gamma_{f_h} \setminus \partial E_h) \cap B_{\frac{1}{2}}} \langle v_{E_h}, e_n \rangle \langle \nabla' \phi, v'_{E_h} \rangle d\mathcal{H}^{n-1} \right| \leq C_8 \sqrt{\varepsilon_h} \sup_{\mathbb{R}^{n-1}} |\nabla' \phi|, \end{aligned}$$

then by (81) and the area formula, we infer

$$0 = \lim_{h \rightarrow +\infty} \frac{-1}{\sqrt{\varepsilon_h}} \int_{\Gamma_{f_h} \cap B_{\frac{1}{2}}} \langle v_{E_h}, e_n \rangle \langle \nabla' \phi, v'_{E_h} \rangle d\mathcal{H}^{n-1} = \lim_{h \rightarrow +\infty} \frac{1}{\sqrt{\varepsilon_h}} \int_{D_{\frac{1}{2}}} \frac{\langle \nabla' f_h, \nabla' \phi \rangle}{\sqrt{1 + |\nabla' f_h|^2}} dx'.$$

This proves that g is harmonic.

Step 2. The proof of this step now follows exactly as in [15] using the height bound lemma and the reverse Poincaré inequality. We give here the proof for the sake of completeness.

By the mean value property of harmonic functions, Lemma 25.1 in [24], Jensen’s inequality, semicontinuity and the third inequality in (78) we deduce that

$$\begin{aligned} & \lim_{h \rightarrow \infty} \frac{1}{\varepsilon_h} \int_{D_{2\tau}} |f_h(x') - (f_h)_{2\tau} - \langle (\nabla' f_h)_{2\tau}, x' \rangle|^2 dx' \\ &= \int_{D_{2\tau}} |g(x') - (g)_{2\tau} - \langle (\nabla' g)_{2\tau}, x' \rangle|^2 dx' \\ &= \int_{D_{2\tau}} |g(x') - g(0) - \langle \nabla' g(0), x' \rangle|^2 dx' \\ &\leq c(n)\tau^{n-1} \sup_{x' \in D_{2\tau}} |g(x') - g(0) - \langle \nabla' g(0), x' \rangle|^2 \\ &\leq c(n)\tau^{n+3} \int_{D_{\frac{1}{2}}} |\nabla' g|^2 dx' \leq c(n)\tau^{n+3} \liminf_{h \rightarrow \infty} \int_{D_{\frac{1}{2}}} |\nabla' g_h|^2 dx' \\ &\leq \tilde{C}(n, C_8)\tau^{n+3}. \end{aligned}$$

On one hand, using the area formula, the mean value property, the previous inequality and setting

$$c_h := \frac{(f_h)_{2\tau}}{\sqrt{1 + |(\nabla' f_h)_{2\tau}|^2}}, \quad v_h := \frac{(-\langle \nabla' f_h \rangle_{2\tau}, 1)}{\sqrt{1 + |(\nabla' f_h)_{2\tau}|^2}},$$

we have

$$\begin{aligned} & \limsup_{h \rightarrow \infty} \frac{1}{\varepsilon_h} \int_{\partial E_h \cap \Gamma_{f_h} \cap B_{2\tau}} |\langle v_h, x \rangle - c_h|^2 d\mathcal{H}^{n-1} \\ &= \limsup_{h \rightarrow \infty} \frac{1}{\varepsilon_h} \int_{\partial E_h \cap \Gamma_{f_h} \cap B_{2\tau}} \frac{|\langle -\langle \nabla' f_h \rangle_{2\tau}, x' \rangle + f_h(x') - (f_h)_{2\tau}|^2}{1 + |(\nabla' f_h)_{2\tau}|^2} \sqrt{1 + |\nabla' f_h(x')|^2} dx' \\ &\leq \lim_{h \rightarrow \infty} \frac{1}{\varepsilon_h} \int_{D_{2\tau}} |f_h(x') - (f_h)_{2\tau} - \langle (\nabla' f_h)_{2\tau}, x' \rangle|^2 dx' \leq \tilde{C}(n, C_8)\tau^{n+3}. \end{aligned}$$

On the other hand, arguing as in Step 1, we immediately get from the height bound lemma and the first two inequalities in (78) that

$$\lim_{h \rightarrow \infty} \frac{1}{\varepsilon_h} \int_{(\partial E_h \setminus \Gamma_{f_h}) \cap B_{2\tau}} |\langle v_h, x \rangle - c_h|^2 d\mathcal{H}^{n-1} = 0.$$

Hence we conclude that

$$\limsup_{h \rightarrow \infty} \frac{1}{\varepsilon_h} \int_{\partial E_h \cap B_{2\tau}} |\langle v_h, x \rangle - c_h|^2 d\mathcal{H}^{n-1} \leq \tilde{C}(n, C_8)\tau^{n+3}. \tag{82}$$

We claim that the sequence $\{e_h(0, 2\tau, v_h)\}_{h \in \mathbb{N}}$ is infinitesimal; indeed, by the definition of excess, Jensen’s inequality and the third inequality in (78) we have

$$\begin{aligned} & \limsup_{h \rightarrow \infty} \int_{\partial E_h \cap B_{2\tau}} |v_{E_h} - v_h|^2 d\mathcal{H}^{n-1} \\ & \leq \limsup_{h \rightarrow \infty} \left[2 \int_{\partial E_h \cap B_{2\tau}} |v_{E_h} - e_n|^2 d\mathcal{H}^{n-1} + 2|e_n - v_h|^2 \mathcal{H}^{n-1}(\partial E_h \cap B_{2\tau}) \right] \\ & \leq \limsup_{h \rightarrow \infty} \left[4\varepsilon_h + 2\mathcal{H}^{n-1}(\partial E_h \cap B_{2\tau}) \frac{|((\nabla' f_h)_{2\tau}, \sqrt{1 + |(\nabla' f_h)_{2\tau}|^2} - 1)|^2}{1 + |(\nabla' f_h)_{2\tau}|^2} \right] \\ & \leq \limsup_{h \rightarrow \infty} [4\varepsilon_h + 4\mathcal{H}^{n-1}(\partial E_h \cap B_{2\tau})|(\nabla' f_h)_{2\tau}|^2] \\ & \leq \limsup_{h \rightarrow \infty} \left[4\varepsilon_h + 4 \int_{D_{\frac{1}{2}}} |\nabla' f_h|^2 dx' \right] \leq \lim_{h \rightarrow \infty} [4\varepsilon_h + 4C_8\varepsilon_h] = 0. \end{aligned}$$

Therefore, applying the reverse Poincaré inequality, (82) and observing that $C_{2\tau} \subset B_{4\tau}$, we have for h large that

$$e_h(0, \tau) \leq e_h(0, \tau, v_h) \leq C_9(\tilde{C}\tau^2 e_h(0, 1) + \mathcal{D}(0, 4\tau) + (2\tau r_h)^\gamma),$$

which is a contradiction if we choose $C_{10} > C_9 \max\{\tilde{C}, 2^\gamma\}$. □

10 Proof of the main theorem

The proof works exactly as in [15]. We give here some details to emphasize the dependence of the constant ε appearing in the statement of Theorem 1 from the structural data of the functional. The proof is divided in four steps.

Step 1. We show that for every $\tau \in (0, 1)$ there exists $\varepsilon_5 = \varepsilon_5(\tau) > 0$ such that if $e(x, r) \leq \varepsilon_5$, then

$$\mathcal{D}(x, \tau r) \leq C_4 \tau \mathcal{D}(x, r),$$

where C_4 is from Lemma 5. Assume by contradiction that for some $\tau \in (0, 1)$ there exist two positive sequences $(\varepsilon_h)_h$ and $(r_h)_h$ and a sequence (E_h, u_h) of $(\Lambda r_h^\gamma, \alpha)$ -minimizers of \mathcal{F}_{r_h} in B_1 with equibounded energies such that, denoting by e_h the excess of E_h and by \mathcal{D}_h the rescaled Dirichlet integral of u_h , we have that $0 \in \partial E_h$,

$$e_h(0, 1) = \varepsilon_h \rightarrow 0 \quad \text{and} \quad \mathcal{D}_h(0, \tau) > C_4 \tau \mathcal{D}_h(0, 1). \tag{83}$$

Thanks to the energy upper bound (Theorem 3) and the compactness lemma (Lemma 8), we may assume that $E_h \rightarrow E$ in $L^1(B_1)$ and $0 \in \partial E$. Since, by lower semicontinuity, the excess of E at 0 is null, E is a half-space in B_1 , say H . In particular, for h large, it holds

$$|(E_h \Delta H) \cap B_1| < \varepsilon_0(\tau)|B_1|,$$

where ε_0 is from Lemma 5, which gives a contradiction with the inequality (83).

Step 2. Let $U \subset\subset \Omega$ be an open set. We prove that for every $\tau \in (0, 1)$ there exist two positive constants $\varepsilon_6 = \varepsilon_6(\tau, U)$ and C_{11} such that if $x_0 \in \partial E$, $B_r(x_0) \subset U$ and $e(x_0, r) + \mathcal{D}(x_0, r) + r^\gamma < \varepsilon_6$, then

$$e(x_0, \tau r) + \mathcal{D}(x_0, \tau r) + (\tau r)^\gamma \leq C_{11}(\tau e(x_0, r) + \tau \mathcal{D}(x_0, r) + (\tau r)^\gamma). \tag{84}$$

Fix $\tau \in (0, 1)$ and assume without loss of generality that $\tau < \frac{1}{4}$. We can distinguish two cases.

Case 1: $\mathcal{D}(x_0, r) + r^\gamma \leq \tau^{-n} \mathbf{e}(x_0, r)$. If $\mathbf{e}(x_0, r) < \min\{\varepsilon_4(\tau, \tau^{-n}), \varepsilon_5(2\tau)\}$ it follows from Theorem 10 and Step 1 that

$$\begin{aligned} \mathbf{e}(x_0, \tau r) &\leq C_{10}(\tau^2 \mathbf{e}(x_0, r) + \mathcal{D}(x_0, 4\tau r) + (\tau r)^\gamma) \\ &\leq C_{10}(\tau \mathbf{e}(x_0, r) + 4C_4 \tau \mathcal{D}(x_0, r) + (\tau r)^\gamma). \end{aligned}$$

Case 2: $\mathbf{e}(x_0, r) \leq \tau^n (\mathcal{D}(x_0, r) + r^\gamma)$. By the property of the excess at different scales, we infer

$$\mathbf{e}(x_0, \tau r) \leq \tau^{1-n} \mathbf{e}(x_0, r) \leq (\tau \mathcal{D}(x_0, r) + (\tau r)^\gamma).$$

We conclude that choosing $\varepsilon_6 = \min\{\varepsilon_4(\tau, \tau^{-n}), \varepsilon_5(2\tau), \varepsilon_5(\tau)\}$, inequality (84) is verified.

Step 3. Fix $\sigma \in (0, \frac{\nu}{2})$ and choose $\tau_0 \in (0, 1)$ such that $C_{11} \tau_0^\gamma \leq \tau_0^{2\sigma}$. Let $U \subset\subset \Omega$ be an open set. We define

$$\begin{aligned} \Gamma \cap U &:= \{x \in \partial E \cap U : \mathbf{e}(x, r) + \mathcal{D}(x, r) + r^\gamma < \varepsilon_6(\tau_0, U), \\ &\text{for some } r > 0 \text{ such that } B_r(x_0) \subset U\}. \end{aligned}$$

Note that $\Gamma \cap U$ is relatively open in ∂E . We show that $\Gamma \cap U$ is a $C^{1,\sigma}$ -hypersurface. Indeed, inequality (84) implies via standard iteration argument that if $x_0 \in \Gamma \cap U$ there exist $r_0 > 0$ and a neighborhood V of x_0 such that for every $x \in \partial E \cap V$ it holds:

$$\mathbf{e}(x, \tau_0^k r_0) + \mathcal{D}(x, \tau_0^k r_0) + (\tau_0^k r_0)^\gamma \leq \tau_0^{2\sigma k}, \quad \text{for } k \in \mathbb{N}_0.$$

In particular $\mathbf{e}(x, \tau_0^k r_0) \leq \tau_0^{2\sigma k}$ and, arguing as in [15], we obtain that for every $x \in \partial E \cap V$ and $0 < s < t < r_0$ it holds

$$|(v_E)_s(x) - (v_E)_t(x)| \leq ct^\sigma,$$

for some constant $c = c(n, \tau_0, r_0)$, where

$$(v_E)_t(x) = \int_{\partial E \cap B_t(x)} v_E d\mathcal{H}^{n-1}.$$

The previous estimate first implies that $\Gamma \cap U$ is C^1 . By a standard argument we then deduce again from the same estimate that $\Gamma \cap U$ is a $C^{1,\sigma}$ -hypersurface. Finally we define $\Gamma := \cup_i (\Gamma \cap U_i)$, where $(U_i)_i$ is an increasing sequence of open sets such that $U_i \subset\subset \Omega$ and $\Omega = \cup_i U_i$.

Step 4. Finally we are in position to prove that there exists $\epsilon > 0$ such that

$$\mathcal{H}^{n-1-\epsilon}(\partial E \setminus \Gamma) = 0.$$

The argument being rather standard, setting $\Sigma = \left\{x \in \partial E \setminus \Gamma : \lim_{r \rightarrow 0} \mathcal{D}(x, r) = 0\right\}$, by Lemma 2 we have that $\nabla u \in L^2_{loc}(\Omega)$ for some $s = s(n, \nu, N, L) > 1$ and we have that

$$\dim_{\mathcal{H}}\left(\left\{x \in \Omega : \limsup_{r \rightarrow 0} \mathcal{D}(x, r) > 0\right\}\right) \leq n - s.$$

The conclusion follows as in [15] (see also [6, 8]) showing that $\Sigma = \emptyset$ if $n \leq 7$ and $\dim_{\mathcal{H}}(\Sigma) \leq n - 8$ if $n \geq 8$.

Acknowledgements The authors are members of the Gruppo Nazionale per l'Analisi Matematica, la Probabilità e le loro Applicazioni (GNAMPA) of the Istituto Nazionale di Alta Matematica (INdAM).

Funding Open access funding provided by Università degli Studi di Salerno within the CRUI-CARE Agreement.

Data Availability Data sharing is not applicable to this article as no datasets were generated or analysed during the current study.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit <http://creativecommons.org/licenses/by/4.0/>.

References

1. Alt, H.W., Caffarelli, L.A.: Existence and regularity for a minimum problem with free boundary. *J. Reine Angew. Math.* **325**, 107–144 (1981)
2. Ambrosio, L., Buttazzo, G.: An optimal design problem with perimeter penalization. *Calc. Var. Part. Differ. Equ.* **1**, 55–69 (1993)
3. Ambrosio, L., Fusco, N., Pallara, D.: *Functions of Bounded Variation and Free Discontinuity Problems*, 1st edn. Oxford University Press, New York (2000)
4. Carozza, M., Fonseca, I., Passarelli Di Napoli, A.: Regularity results for an optimal design problem with a volume constraint. *ESAIM COCV* **20**(2), 460–487 (2014)
5. Carozza, M., Fonseca, I., Passarelli Di Napoli, A.: Regularity results for an optimal design problem with quasiconvex bulk energies. *Calc. Var.* **57**, 68 (2018)
6. De Lellis, C., Focardi, M., Ruffini, B.: A note on the Hausdorff dimension of the singular set for minimizers of the Mumford–Shah energy. *Adv. Calc. Var.* **7**(5), 539–545 (2014)
7. De Philippis, G., Figalli, A.: A note on the dimension of the singular set in free interface problems. *Differ. Integral Equ.* **28**, 523–536 (2015)
8. De Philippis, G., Figalli, A.: Higher integrability for minimizers of the Mumford–Shah functional. *Arch. Ration. Mech. Anal.* **213**(2), 491–502 (2014)
9. De Philippis, G., Hirsch, J., Vescovo, J.: Regularity of minimizers for a model of charged droplets. accepted paper. *Ann. Inst. H. Poincaré Anal. Non Linéaire*. [arxiv:1901.02546](https://arxiv.org/abs/1901.02546)
10. Esposito, L.: Density lower bound estimate for local minimizer of free interface problem with volume constraint. *Ric. di Mat.* **68**(2), 359–373 (2019)
11. Esposito, L., Fusco, N.: A remark on a free interface problem with volume constraint. *J. Convex Anal.* **18**(2), 417–426 (2011)
12. Esposito, L., Lamberti, L.: Regularity Results for an Optimal Design Problem with lower order terms. Accepted paper: *Adv. Calc. Var.* [arxiv:2111.07197](https://arxiv.org/abs/2111.07197)
13. Fonseca, I., Fusco, N.: Regularity results for anisotropic image segmentation models. *Ann. Sc. Norm. Super. Pisa* **24**, 463–499 (1997)
14. Fonseca, I., Fusco, N., Leoni, G., Morini, M.: Equilibrium configurations of epitaxially strained crystalline films: existence and regularity results. *Arch. Ration. Mech. Anal.* **186**, 477–537 (2007)
15. Fusco, N., Julin, V.: On the regularity of critical and minimal sets of a free interface problem. *Interfaces Free Bound.* **17**(1), 117–142 (2015)
16. Giusti, E.: *Direct Methods in the Calculus of Variations*. World Scientific Publishing Co., Inc, River Edge (2003)
17. Gurtin, M.: On phase transitions with bulk, interfacial, and boundary energy. *Arch. Ration. Mech. Anal.* **96**, 243–264 (1986)
18. Julin, V., Pisante, G.: Minimality via second variation for microphase separation of diblock copolymer melts. *J. Fur Reine Angew. Math* **729**, 81–117 (2017)
19. Lamberti, L.: A regularity result for minimal configurations of a free interface problem. *Boll. Un. Mat. Ital.* **14**, 521–539 (2021)

20. Larsen, C.J.: Regularity of components in optimal design problems with perimeter penalization. *Calc. Var. Part. Differ. Equ.* **16**, 17–29 (2003)
21. Li, H., Halsey, T., Lobkovsky, A.: Singular shape of a fluid drop in an electric or magnetic field. *Europhys. Lett.* **27**, 575–580 (1994)
22. Lin, F.H.: Variational problems with free interfaces. *Calc. Var. Part. Differ. Equ.* **1**, 149–168 (1993)
23. Lin, F.H., Kohn, R.V.: Partial regularity for optimal design problems involving both bulk and surface energies. *Chin. Ann. Math.* **20B**, 137–158 (1999)
24. Maggi, F.: Sets of finite perimeter and geometric variational problems. In: *An Introduction to Geometric Measure Theory*. Cambridge Studies in Advanced Mathematics, 135. Cambridge University Press, Cambridge (2012)
25. Mukoseeva, E., Vescovo, G.: Minimality of the ball for a model of charged liquid droplets. *Ann. Inst. H. Poincaré Anal. Non Linéaire* 40(2), 457–509 (2023). <https://doi.org/10.4171/aihpc/49>
26. Taylor, G.I.: Disintegration of water drops in an electric field. *Proc. Roy. Soc. Lond. A* **280**, 383–397 (1964)

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.