

# The limit of $W^{1,1}$ homeomorphisms with finite distortion

N. Fusco · G. Moscarillo · C. Sbordone

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**Abstract** We show that the limit  $f$  of a weakly convergent sequence of  $W^{1,1}$  homeomorphisms  $f_j$  with finite distortion has finite distortion as well, provided that it is a homeomorphism. Moreover, the lower semicontinuity of the distortions is deduced both in case of outer and inner distortion.

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## 1 Introduction

In this paper, we study the convergence of a sequence of homeomorphisms  $f_j : \Omega \mapsto \Omega'$  of Sobolev class  $W_{\text{loc}}^{1,1}(\Omega, \mathbb{R}^n)$  with finite distortion, where  $\Omega$  and  $\Omega'$  are bounded open sets in  $\mathbb{R}^n$ ,  $n \geq 2$ .

Recall that a mapping  $f \in W_{\text{loc}}^{1,1}(\Omega, \mathbb{R}^n)$  is said to be of *finite distortion* if its Jacobian  $J_f \in L'_{\text{loc}}(\Omega)$  and is strictly positive almost everywhere on the set where  $Df \neq 0$ . For such a mapping the *distortion*  $K_f$  is defined as

$$K_f(x) = \begin{cases} \frac{|Df(x)|^n}{J_f(x)} & \text{if } J_f(x) > 0, \\ 1 & \text{otherwise.} \end{cases} \quad (1.1)$$

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N. Fusco (✉) · G. Moscarillo · C. Sbordone  
Dipartimento di Matematica ed Applicazioni,  
via Cintia, 80126 Naples, Italy  
e-mail: nicola.fusco@unina.it

G. Moscarillo  
e-mail: gmoscari@unina.it

C. Sbordone  
e-mail: sbordone@unina.it

Notice that  $K_f(x)$  is the smallest function greater than or equal to 1 and such that

$$|Df(x)|^n \leq K_f(x)J_f(x) \quad \text{for a.e. } x \in \Omega. \tag{1.2}$$

Our first result deals with the convergence of the inverse mappings  $f_j^{-1}$  of a sequence  $f_j$  of homeomorphisms of finite distortion. In fact, a recent result proved in [5] (see also [11, 12], for the case  $n = 2$  and [3, 13, 14]) states that if  $f \in W^{1,n-1}(\Omega, \Omega')$  is a homeomorphism of finite distortion, then the inverse map  $f^{-1}$  belongs to  $W^{1,1}(\Omega', \Omega)$  and has finite distortion too.

In particular our Theorem 3.2 shows that if  $f_j$  is a sequence of homeomorphisms of finite distortion, satisfying reasonable equi-boundedness assumptions, then the inverse mappings  $f_j^{-1}$  converge weakly in  $W^{1,1}$ .

In the literature the study of a sequence of mappings of finite distortion has been also considered from a different point of view, namely to find under which conditions weak limits are also maps of finite distortion. To this aim, we recall the following result, proved in [10], where the maps  $f_j$  are assumed to converge weakly in  $W^{1,n}$  to  $f$  and the corresponding distortions  $K_{f_j}$  converge in the biting sense to some function  $K$ .

**Theorem 1.1** *Suppose that  $f_j : \Omega \mapsto \mathbb{R}^n$  is a sequence of mappings of finite distortion which converge weakly in  $W^{1,n}(\Omega, \mathbb{R}^n)$  to  $f$  and suppose that the functions  $K_{f_j}$  converge in the biting sense to  $K$ . Then  $f$  has finite distortion and*

$$K_f(x) \leq K(x) < \infty \quad \text{for a.e. } x \in \Omega.$$

A more general version of this result has been proved in [15] in the context of Orlicz–Sobolev spaces.

An important tool in the proof of Theorem 1.1 is the continuity of the Jacobian operator

$$f \in W^{1,n}(\Omega, \mathbb{R}^n) \mapsto J_f \in L^1(\Omega)$$

with respect to weak convergence in  $W^{1,n}$  of mappings of finite distortion and weak convergence in  $L^1$  of Jacobians. Notice that such a continuity is not guaranteed, even in dimension  $n = 2$ , when we assume that mappings  $f_j$  belong only to  $W^{1,1}$  and converge weakly in  $W^{1,1}$ . On the other hand this result pertains to mappings of finite distortion which are not necessarily one-to-one, though they are continuous, as a consequence of the required summability of their gradients.

In this paper, we present a different kind of result. On one side, we assume more on the maps  $f_j$  and  $f$  by requiring that they are both homeomorphisms, on the other side, we weaken significantly the integrability assumptions on the gradients by requiring only that  $Df_j, Df \in L^1$ . Denoting by  $\text{Hom}(\Omega, \Omega')$  the set of all homeomorphisms between  $\Omega$  and  $\Omega'$ , our main result reads as follows.

**Theorem 1.2** *Let  $f_j, f \in W^{1,1}(\Omega, \mathbb{R}^n) \cap \text{Hom}(\Omega, \Omega')$ , with  $f_j \rightharpoonup f$  weakly in  $W^{1,1}(\Omega, \mathbb{R}^n)$ . Assume that*

$$|Df_j(x)|^n \leq K_j(x)J_{f_j}(x) \quad \text{for a.e. } x \in \Omega, \tag{1.3}$$

where  $K_j : \Omega \rightarrow [1, \infty)$  is a Borel function for all  $j$  and  $K_j$  converges in the biting sense to  $K$ . Then  $f$  is a map of finite distortion and  $K_f(x) \leq K(x)$  for a.e.  $x \in \Omega$ .

Finally, we observe that in Theorem 1.2 the finite distortion assumption (1.3) can be replaced by a similar one involving inner distortion (see Theorem 4.1).

## 2 Preliminary results

In the sequel it will be convenient to work with a pointwise definition of a gradient of a Sobolev map. To this aim let us consider a function  $f \in L^1_{loc}(\Omega, \mathbb{R}^N)$ . We say that a point  $x$  is a point of *approximate continuity* if there exists  $z \in \mathbb{R}^N$  such that

$$\lim_{r \rightarrow 0} \int_{B_r(x)} |f(y) - z| dy = 0.$$

The vector  $z$  for which the equality above holds is called the *approximate limit* of  $f$  at  $x$  and is denoted by  $f^*(x)$ .

Let  $x$  be a point of approximate continuity for  $f$ . We say that  $f$  is *approximately differentiable* at  $x$  if there exists a  $N \times n$  matrix, denoted by  $Df(x)$ , such that

$$\lim_{r \rightarrow 0} \int_{B_r(x)} \frac{|f(y) - f^*(x) - Df(x)(y - x)|}{r} dy = 0. \tag{2.1}$$

The *approximate gradient*  $Df(x)$  is uniquely determined by equality (2.1) and it can be easily checked that the set

$$\mathcal{D}_f = \{x \in \Omega : f \text{ is approximately differentiable at } x\}$$

is a Borel set and the map  $Df : \mathcal{D}_f \mapsto \mathbb{R}^{nN}$  is a Borel map ([2, Proposition 3.71]).

In the sequel by  $Df$  we shall always denote the approximate gradient defined above. Note that if  $f$  is differentiable in the classical sense at  $x$  the approximate gradient  $Df(x)$  coincides with the usual gradient. Moreover, if  $f \in W^{1,1}_{loc}(\Omega, \mathbb{R}^N)$ , then  $f$  is approximately differentiable almost everywhere in  $\Omega$  and its approximate differential gradient coincides almost everywhere with the distributional gradient ([2, Proposition 3.83]).

Another feature of the definition (2.1) is its local nature. In fact, if  $f, g \in L^1_{loc}(\Omega, \mathbb{R}^N)$ , then ([2, Proposition 3.73])

$$Df(x) = Dg(x) \quad \text{for a.e. } x \in \mathcal{D}_f \cap \mathcal{D}_g \cap \{f = g\}. \tag{2.2}$$

Finally, we remark that definition (2.1) of approximate gradient is slightly stronger than the one introduced in [9]. However, for a Sobolev map the two definitions agree, up to a set of measure zero.

Next lemma is a technical result that will be useful in the sequel.

**Lemma 2.1** *Let  $f : \Omega \mapsto \Omega'$  be a one-to-one map such that  $f \in W^{1,1}(\Omega, \Omega')$  and  $f^{-1} \in W^{1,1}(\Omega', \Omega)$ . Set  $E = \{y \in \mathcal{D}_{f^{-1}} : |J_{f^{-1}}(y)| > 0\}$ . Then, there exists a Borel set  $A \subset E$ , with  $|E \setminus A| = 0$  such that  $f^{-1}(A) \subset \{x \in \mathcal{D}_f : |J_f(x)| > 0\}$ , with the property that*

$$Df^{-1}(y) = [Df(f^{-1}(y))]^{-1} \quad \text{for all } y \in A.$$

*Proof* Fix  $\varepsilon > 0$ . By a well known approximation result there exist a Lipschitz map  $h : \mathbb{R}^n \mapsto \mathbb{R}^n$  and a measurable set  $F_\varepsilon \subset E$ , with  $|E \setminus F_\varepsilon| < \varepsilon$ , such that  $f^{-1}(y) = h(y)$  for all  $y \in F_\varepsilon$ . As a consequence, recalling (2.2), we have that  $Df^{-1}(y) = Dh(y)$  for a.e.  $y \in F_\varepsilon$ , hence  $|J_h(y)| > 0$  for a.e.  $y \in F_\varepsilon$ .

Thus, by the Lipschitz linearization lemma of Federer ([2, Lemma 2.74] or [9, Lemma 3.2.2]),  $F_\varepsilon$  can be decomposed, up to a set of zero measure, into the union of countably many, pairwise disjoint, compact sets  $H_i$  such that for all  $i$ , the map  $h|_{H_i}$  is invertible,  $(h|_{H_i})^{-1}$  is Lipschitz,  $h$  is differentiable,  $|J_h(y)| > 0$  and  $Df^{-1}(y) = Dh(y)$  for all  $y \in H_i$ . Finally,

let us denote by  $g_i : \mathbb{R}^n \mapsto \mathbb{R}^n$  a Lipschitz function such  $g_i(x) = (h_{|H_i})^{-1}(x)$  for all  $x \in h(H_i)$ . Since  $h(g_i(x)) = x$  for all  $x \in h(H_i)$  and  $g_i(h(y)) = y$  for all  $y \in H_i$ , using the a.e. differentiability of Lipschitz functions and (2.2) again we easily get that for all  $i$

$$Dh(g_i(x)) = [Dg_i(x)]^{-1} \quad \text{for a.e. } x \in h(H_i).$$

Since  $g_i(x) = f(x)$  for every  $x \in h(H_i)$ , from the equality above we deduce that for all  $i$  there exists a null Borel set  $M_i \subset h(H_i) = f^{-1}(H_i)$  such that  $f$  is approximately differentiable at every point  $x \in f^{-1}(H_i) \setminus M_i$ , and

$$Dh(f(x)) = [Df(x)]^{-1} \quad \text{for any } x \in f^{-1}(H_i) \setminus M_i,$$

i.e.,  $Dh(y) = [Df(f^{-1}(y))]^{-1}$  for all  $y \in H_i \setminus f(M_i)$ . Notice that  $f(M_i) = g_i(M_i)$  and thus, since  $g_i$  is a Lipschitz map, we may deduce that  $f(M_i)$  is a Borel set of zero Lebesgue measure. In conclusion, recalling that  $Df^{-1}(y) = Dh(y)$  for all  $y \in \cup_i H_i$ , we have proved that the approximate gradient  $Df(x)$  exists for all  $x \in \cup_i (f^{-1}(H_i) \setminus M_i)$  and

$$Df^{-1}(y) = [Df(f^{-1}(y))]^{-1} \quad \text{for all } y \in \cup_i (H_i \setminus f(M_i)),$$

where  $\cup_i (H_i \setminus f(M_i))$  is a Borel subset of  $F_\varepsilon$  of full measure. From this equality, the assertion easily follows. □

**Remark 2.2 [Validity of the Area formula]** In the sequel we are going to use the *area formula* for maps in  $W_{loc}^{1,1}(\Omega, \mathbb{R}^n) \cap \text{Hom}(\Omega, \Omega')$ . To this aim, we recall that if  $f$  is such a map, and  $\mathcal{D}_f$  is the set of points in  $\Omega$  where  $f$  is approximately differentiable, then the area formula holds in  $\mathcal{D}_f$ , i.e.,

$$\int_{\mathcal{D}_f} \varphi(f(x)) |J_f(x)| dx = \int_{f(\mathcal{D}_f)} \varphi(y) dy \tag{2.3}$$

for every nonnegative Borel function  $\varphi$  in  $\mathbb{R}^n$ . Equality (2.3) is proved by covering  $\mathcal{D}_f$  with a countable family of measurable sets such that the restriction of  $f$  to each member of the family is a Lipschitz map ([9, Theorem 3.1.8]) and by applying the usual area formula for Lipschitz maps. In particular, denoting by  $\mathcal{J}_f^0 \subset \mathcal{D}_f$ , the set of points where  $J_f$  is zero, we have that  $|f(\mathcal{J}_f^0)| = 0$ . This result can be viewed as a *weak version of the classical Sard theorem*.

Notice that, as a consequence of (2.3), we have that for any Borel set  $E \subset \Omega$  and any nonnegative Borel function  $\varphi$  in  $\mathbb{R}^n$  the following inequality holds

$$\int_E \varphi(f(x)) |J_f(x)| dx \leq \int_{f(E)} \varphi(y) dy. \tag{2.4}$$

However, if  $f$  satisfies the (N) Lusin condition, inequality (2.4) clearly holds as an equality.

Next theorem is a slight variant of the result proved in [5], with the only difference that the (outer) distortion  $K_f$  defined in (1.1) is replaced by the inner distortion. To this aim, let us recall that a mapping  $f \in W^{1,1}(\Omega, \mathbb{R}^n)$  is said to be of *finite inner distortion* if its Jacobian  $J_f \in L'_{loc}(\Omega)$  and is strictly positive almost everywhere on the set where  $\text{Adj } Df \neq 0$ . Here, if  $A$  is a  $n \times n$  matrix,  $\text{Adj } A$  denotes the transpose of the cofactor matrix of  $A$ . If  $f$  is a map of finite inner distortion, similarly to (1.2), we call *inner distortion* of  $f$  the smallest function  $K_f^I \geq 1$  such that

$$|\text{Adj } Df(x)|^n \leq K_f^I(x) J_f(x)^{n-1} \quad \text{for a.e. } x \in \Omega. \tag{2.5}$$

Notice that in (2.5) and in the rest of the paper, by  $|A|$  we denote the operator norm of the  $n \times n$  matrix  $A$ , i.e.,  $|A| = \sup\{|A\xi| : \xi \in \mathbb{R}^n, |\xi| = 1\}$ .

Clearly, a map of finite (outer) distortion is also of finite inner distortion and in dimension  $n = 2$  the two notions coincide. In general, as a consequence of the Hadamard inequality  $|\text{Adj } A| \leq |A|^{n-1}$ , we have immediately that if  $f$  has finite distortion, then  $K_f^I(x) \leq (K_f(x))^{n-1}$  for all  $x$  and the inequality can be strict if  $n \geq 3$ .

**Theorem 2.3** *Let  $f \in W^{1,n-1}(\Omega, \mathbb{R}^n) \cap \text{Hom}(\Omega, \Omega')$  be a map such that*

$$|\text{Adj } Df(x)|^n \leq K(x)J_f(x)^{n-1} \quad \text{a.e. in } \Omega, \tag{2.6}$$

for some Borel function  $K : \Omega \rightarrow [1, \infty)$ . Then,  $f^{-1}$  is a  $W^{1,1}(\Omega'; \mathbb{R}^n)$  map of finite distortion. Moreover,

$$|Df^{-1}(y)|^n \leq K(f^{-1}(y))J_{f^{-1}}(y) \quad \text{a.e. in } \Omega' \tag{2.7}$$

and

$$\int_{\Omega'} |Df^{-1}(y)| \, dy = \int_{\Omega} |\text{Adj } Df(x)| \, dx. \tag{2.8}$$

*Proof* The proof that  $f^{-1}$  is a  $W^{1,1}(\Omega'; \mathbb{R}^n)$  map goes exactly as the proof of Theorem 1.2 in [5], where the finite distortion assumption on  $f$  was used only to derive Eq. (4.6). However, one can easily check that in the proof only the weaker assumption (2.6) is actually needed. Then, the fact that  $f^{-1}$  has finite distortion follows directly from Theorem 4.5 in [5]. Thus, we are reduced to show only (2.7) and (2.8).

Notice that, since  $f^{-1}$  is a map of finite distortion, in order to prove (2.7) it is enough to restrict ourselves to the points  $y \in A$ , where  $A$  is the Borel set provided by Lemma 2.1. To this aim, let us denote by  $F \subset \Omega$  a Borel set, with  $|F| = 0$  such that (2.6) holds for all  $x \in \Omega \setminus F$ . Then, for any  $y \in A \setminus f(F)$ , from Lemma 2.1 and from (2.6) we have

$$|Df^{-1}(y)|^n = \frac{|\text{Adj } Df(f^{-1}(y))|^n}{J_f(f^{-1}(y))^n} \leq \frac{K(f^{-1}(y))}{J_f(f^{-1}(y))} = K(f^{-1}(y))J_{f^{-1}}(y). \tag{2.9}$$

Then, (2.7) follows, since from area formula (2.3) we get

$$\int_{A \cap f(F)} J_{f^{-1}}(y) \, dy = |f^{-1}(A) \cap F| = 0,$$

hence  $|A \cap f(F)| = 0$ . Using Lemma 2.1 and recalling that  $f^{-1}$  is a map of finite distortion, from (2.9) and the area formula we have

$$\begin{aligned} \int_{\Omega'} |Df^{-1}(y)| \, dy &= \int_A |Df^{-1}(y)| \, dy = \int_A \frac{|\text{Adj } Df(f^{-1}(y))|}{J_f(f^{-1}(y))} \, dy \\ &= \int_A |\text{Adj } Df(f^{-1}(y))| J_{f^{-1}}(y) \, dy \leq \int_{\Omega} |\text{Adj } Df(x)| \, dx. \end{aligned}$$

To show the opposite inequality, let us apply Lemma 2.1 again, thus getting a Borel set  $\tilde{A} \subset \tilde{E} = \{x \in \mathcal{D}_f : J_f(x) > 0\}$ , such that  $|\tilde{E} \setminus \tilde{A}| = 0$  and  $Df(x) = [Df^{-1}(f(x))]^{-1}$  for all  $x \in \tilde{A}$ . Then, from the assumption (2.6) and the area formula we obtain

$$\int_{\Omega} |\text{Adj } Df(x)| \, dx = \int_{\tilde{A}} |\text{Adj } Df(x)| \, dx = \int_{\tilde{A}} |Df^{-1}(f(x))| J_f(x) \, dx \leq \int_{\Omega'} |Df^{-1}(y)| \, dy,$$

thus proving (2.8). □

### 3 Weak convergence of the inverse mappings

Let us start with the following

**Lemma 3.1** *Let  $f_j, f \in \text{Hom}(\Omega, \Omega')$  be such that  $f_j \rightarrow f$  uniformly in  $\Omega$ . Then,  $f_j^{-1} \rightarrow f^{-1}$  locally uniformly in  $\Omega'$ .*

*Proof* Fix a compact subset  $H$  of  $\Omega'$ . We argue by contradiction. If  $f_j^{-1}$  does not converge uniformly to  $f^{-1}$  in  $H$ , we can find an increasing sequence  $\{j_r\}$  and a corresponding sequence of points  $y_{j_r} \in H$  such that  $y_{j_r} \rightarrow y \in H$  and

$$\liminf_{r \rightarrow \infty} |f_{j_r}^{-1}(y_{j_r}) - f^{-1}(y_{j_r})| > 0. \tag{3.1}$$

On the other hand, by the uniform convergence of  $f_j$  to  $f$  we have that  $f(f_{j_r}^{-1}(y_{j_r})) - y_{j_r} = f(f_{j_r}^{-1}(y_{j_r})) - f_{j_r}(f_{j_r}^{-1}(y_{j_r})) \rightarrow 0$  as  $r \rightarrow \infty$ . From this, recalling that  $y_{j_r} \rightarrow y$ , we deduce that  $f(f_{j_r}^{-1}(y_{j_r})) \rightarrow y$ , and in turn, by the continuity of  $f^{-1}$ , that

$$\lim_{r \rightarrow \infty} (f_{j_r}^{-1}(y_{j_r}) - f^{-1}(y_{j_r})) = 0$$

which contradicts (3.1). Hence, the result follows. □

We recall that if  $K : \mathbb{R}^n \rightarrow [0, \infty)$  is a measurable function with compact support, the *spherically decreasing rearrangement*  $K^*$  of  $K$  is defined by setting, for every  $x \in \mathbb{R}^n$ ,

$$K^*(x) = \sup \{t \geq 0 : |\{K > t\}| > \omega_n |x|^n\},$$

where  $\omega_n$  denotes the measure of the unit ball. Notice that from this definition one easily gets that for all  $t > 0$

$$|\{K^* > t\}| = |\{K > t\}|. \tag{3.2}$$

**Theorem 3.2** *Let  $f_j \in W^{1,n-1}(\Omega, \mathbb{R}^n) \cap \text{Hom}(\Omega, \Omega')$  be a sequence of maps of finite inner distortions  $K_j$ . Assume that  $K_j^* \leq K$  for all  $j$ , for some Borel function  $K : \mathbb{R}^n \rightarrow [0, \infty)$ , and that the sequence  $\text{Adj } Df_j$  is equi-integrable in  $\Omega$ . Then  $f_j^{-1}$  is locally weakly compact in  $W^{1,1}(\Omega'; \mathbb{R}^n)$ .*

*Moreover, if  $f_j \rightarrow f \in \text{Hom}(\Omega, \Omega')$  uniformly in  $\Omega$ , the maps  $f_j^{-1}$  converge weakly in  $W^{1,1}(\Omega'; \mathbb{R}^n)$  and locally uniformly in  $\Omega'$  to  $f^{-1}$ .*

*Proof* From Theorem 2.3, the maps  $f_j^{-1}$  belong to  $W^{1,1}(\Omega'; \mathbb{R}^n)$  and have finite distortion. Moreover, (2.8) implies that the sequence  $f_j^{-1}$  is bounded in  $W^{1,1}(\Omega', \mathbb{R}^n)$ , hence is locally compact in  $L^1(\Omega', \mathbb{R}^n)$ .

Therefore, we need only to show that the sequence  $Df_j^{-1}$  is equi-integrable. To this aim, let us set, for  $j, h \in \mathbb{N}$ ,  $F_{jh} = \{x \in \Omega : K_j(x) > h\}$ . For any Borel set  $E \subset \Omega'$ , we have

$$\int_E |Df_j^{-1}(y)| dy = \int_{E \setminus f_j(F_{jh})} |Df_j^{-1}(y)| dy + \int_{E \cap f_j(F_{jh})} |Df_j^{-1}(y)| dy = I_1 + I_2. \tag{3.3}$$

If  $y \in E \setminus f_j(F_{jh})$ , then  $K_j(f_j^{-1}(y)) \leq h$ , hence, by applying (2.7) to each  $f_j$  and using Hölder inequality and inequality (2.4),

$$I_1 \leq h^{1/n} \int_E J_{f_j^{-1}}(y)^{1/n} dy \leq (h|\Omega|)^{1/n} |E|^{(n-1)/n}. \tag{3.4}$$

To estimate  $I_2$ , define for  $j, h \in \mathbb{N}$

$$E_{jh} = E \cap f_j(F_{jh}) \cap A_j$$

where, for all  $j$ ,  $A_j$  is the set relative to  $f_j^{-1}$  provided by Lemma 2.1. Recalling that each  $f_j^{-1}$  is a map of finite distortion, from area formula (2.3) and Lemma 2.1, we get

$$\begin{aligned} I_2 &= \int_{E_{jh}} \frac{|Df_j^{-1}(y)|}{J_{f_j^{-1}}(y)} J_{f_j^{-1}}(y) dy = \int_{f_j^{-1}(E_{jh})} \frac{|Df_j^{-1}(f_j(x))|}{J_{f_j^{-1}}(f_j(x))} dx \\ &= \int_{f_j^{-1}(E_{jh})} |\text{Adj } Df_j(x)| dx \leq \int_{F_{jh}} |\text{Adj } Df_j(x)| dx. \end{aligned}$$

From this inequality, (3.3) and (3.4), we conclude that for any measurable set  $E \subset \Omega'$  and for any  $j, h \in \mathbb{N}$

$$\int_E |Df_j^{-1}(y)| dy \leq \int_{F_{jh}} |\text{Adj } Df_j(x)| dx + (h|\Omega|)^{1/n} |E|^{(n-1)/n}.$$

Notice that  $|F_{jh}| \rightarrow 0$  as  $h \rightarrow \infty$ , uniformly with respect to  $j$ , since from (3.2) we have  $|F_{jh}| = |\{K_j^* > h\}| \leq |\{K > h\}|$  and  $K(x) < \infty$  a.e. in  $\Omega$ . Therefore, from the equi-integrability of the sequence  $\text{Adj } Df_j$  we deduce that, given any  $\varepsilon > 0$ , there exists  $h_\varepsilon$  such that

$$\sup_{j \in \mathbb{N}} \int_{F_{jh_\varepsilon}} |\text{Adj } Df_j(x)| dx < \varepsilon.$$

Therefore, if  $|E| < \frac{\varepsilon^{n/(n-1)}}{(h_\varepsilon|\Omega|)^{1/(n-1)}}$ , we get that for all  $j$

$$\int_E |Df_j^{-1}(y)| dy < 2\varepsilon,$$

thus proving the equi-integrability of the sequence  $Df_j^{-1}$ .

If we assume in addition that  $f_j \rightarrow f \in \text{Hom}(\Omega, \Omega')$  uniformly in  $\Omega$  the local uniform convergence of  $f_j^{-1}$  to  $f^{-1}$  follows from Lemma 3.1. Moreover, since  $\Omega$  and  $\Omega'$  are both

bounded, we have also that  $f_j^{-1}$  to  $f^{-1}$  in  $L^1(\Omega', \mathbb{R}^n)$ . Hence, the weak convergence in  $W^{1,1}(\Omega', \mathbb{R}^n)$  easily follows from the equi-integrability of the sequence  $Df_j^{-1}$ .  $\square$

### 4 Lower semicontinuity of the distortion

In this section, we establish the lower semicontinuity of the distortions of a sequence of homeomorphisms converging weakly in  $W^{1,1}$  (see Corollary 4.2 below). This property is an immediate consequence of Theorem 1.2 whose proof is also given here.

To this aim, let us recall that a sequence of measurable functions  $h_j : \Omega \rightarrow \mathbb{R}$  is said to converge in the *biting sense* in  $\Omega$  to a measurable function  $h : \Omega \rightarrow \mathbb{R}$  if there exists an increasing sequence of measurable sets  $E_k \subset \Omega$ , with  $\cup_k E_k = \Omega$ , such that  $h_j, h \in L^1(E_k)$  for all  $j, k$  and  $h_j \rightharpoonup h$  weakly in  $L^1(E_k)$  for all  $k$ .

An important feature of this convergence is the property that if  $h_j$  is a sequence bounded in  $L^1(\Omega)$ , then there exists a subsequence  $h_{j_k}$  converging in the biting sense in  $\Omega$  (see [4] or [1, Lemma 1.6]).

*Proof of Theorem 1.2* Since  $f_j$  converges to  $f$  weakly in  $W^{1,1}(\Omega, \mathbb{R}^n)$ , passing possibly to a subsequence, we may assume without loss of generality that  $f_j(x) \rightarrow f(x)$  a.e. in  $\Omega$ .

For any  $\sigma > 0$  denote by  $\Omega_\sigma \subset \Omega$  a measurable set such that  $f_j \rightarrow f$  uniformly in  $\Omega_\sigma$ ,  $K_j \rightharpoonup K$  weakly in  $L^1(\Omega_\sigma)$  and  $|\Omega \setminus \Omega_\sigma| < \sigma$ . For all  $M > 1$  we set

$$L_M = \{x \in \mathcal{D}_f : K(x) + |Df(x)| \leq M\} \setminus f^{-1}(\mathcal{J}_{f^{-1}}^0),$$

where  $\mathcal{D}_f$  is the set of points where  $f$  is approximately differentiable and  $\mathcal{J}_{f^{-1}}^0$  is the set of points in  $\mathcal{D}_{f^{-1}}$ , where  $J_{f^{-1}} = 0$ . We are going to show that

$$\int_H |Df(x)|^n dx \leq \int_H K(x) J_f(x) dx \quad \text{for all compact sets } H \subset L_M \cap \Omega_\sigma. \tag{4.1}$$

In fact, once this inequality is proved, since  $\mathcal{D}_f$  has full measure in  $\Omega$  and by the weak Sard theorem  $|f^{-1}(\mathcal{J}_{f^{-1}}^0)| = 0$ , from the arbitrariness of  $H, M$  and  $\sigma$  we easily conclude that  $|Df(x)|^n \leq K(x) J_f(x)$  for a.e.  $x \in \Omega$ .

So, let us fix a compact subset  $H$  of  $L_M \cap \Omega_\sigma$ . Given a nonnegative function  $\varphi \in C_0(\Omega)$ , from the assumption (1.3) and the weak convergence of  $f_j$  to  $f$  in  $W^{1,1}(\Omega, \mathbb{R}^n)$ , we immediately get

$$\int_H |Df(x)| \varphi(x) dx \leq \liminf_{j \rightarrow \infty} \int_H |Df_j(x)| \varphi(x) dx \leq \liminf_{j \rightarrow \infty} \int_H (K_{f_j}(x) J_{f_j}(x))^{1/n} \varphi(x) dx. \tag{4.2}$$



Let us now denote by  $\psi$  a bounded, strictly positive, continuous function in  $\Omega$ . By applying Hölder inequality (once if  $n = 2$  and twice if  $n \geq 3$ ) and inequality (2.4) for  $f_j$ , we get

$$\begin{aligned} \int_H (K_j J_{f_j})^{1/n} \varphi \, dx &\leq \left( \int_H (K_j \psi)^{\frac{1}{n-1}} \varphi^{\frac{n(n-2)}{(n-1)^2}} \, dx \right)^{\frac{n-1}{n}} \left( \int_H \frac{\varphi^{\frac{n}{n-1}}(x) J_{f_j}(x)}{\psi(x)} \, dx \right)^{\frac{1}{n}} \\ &\leq \left( \int_H K_j(x) \psi(x) \, dx \right)^{\frac{1}{n}} \left( \int_H \varphi^{\frac{n}{n-1}}(x) \, dx \right)^{\frac{n-2}{n}} \left( \int_H \frac{\varphi^{\frac{n}{n-1}}(x) J_{f_j}(x)}{\psi(x)} \, dx \right)^{\frac{1}{n}} \\ &\leq \left( \int_H K_j(x) \psi(x) \, dx \right)^{\frac{1}{n}} \left( \int_H \varphi^{\frac{n}{n-1}}(x) \, dx \right)^{\frac{n-2}{n}} \left( \int_{\Omega'} \frac{\varphi^{\frac{n}{n-1}}(f_j^{-1}(y))}{\psi(f_j^{-1}(y))} \chi_H(f_j^{-1}(y)) \, dy \right)^{\frac{1}{n}}. \end{aligned} \tag{4.3}$$

Fix  $y \in \Omega'$ . Notice that if there exists a subsequence  $f_{j_r}$  of  $f_j$  such that  $f_{j_r}^{-1}(y) \in H$ , the same argument used in the proof of Lemma 3.1 gives immediately that  $f_{j_r}^{-1}(y) \rightarrow f^{-1}(y)$ . As a consequence, we get that

$$\limsup_{j \rightarrow \infty} \frac{\varphi^{\frac{n}{n-1}}(f_j^{-1}(y))}{\psi(f_j^{-1}(y))} \chi_H(f_j^{-1}(y)) \leq \frac{\varphi^{\frac{n}{n-1}}(f^{-1}(y))}{\psi(f^{-1}(y))} \chi_H(f^{-1}(y)) \quad \text{for all } y \in \Omega'.$$

Thus, combining (4.2) and (4.3), and passing to the limit as  $j \rightarrow \infty$ , by Fatou Lemma and the weak convergence of  $K_j$  in  $H$ , we get

$$\begin{aligned} &\int_H |Df(x)| \varphi(x) \, dx \\ &\leq \limsup_{j \rightarrow \infty} \left( \int_H K_j \psi \, dx \right)^{\frac{1}{n}} \left( \int_H \varphi^{\frac{n}{n-1}}(x) \, dx \right)^{\frac{n-2}{n}} \left( \int_{\Omega'} \frac{\varphi^{\frac{n}{n-1}}(f_j^{-1}(y))}{\psi(f_j^{-1}(y))} \chi_H(f_j^{-1}(y)) \, dy \right)^{\frac{1}{n}} \\ &\leq \left( \int_H K \psi \, dx \right)^{\frac{1}{n}} \left( \int_H \varphi^{\frac{n}{n-1}}(x) \, dx \right)^{\frac{n-2}{n}} \left( \int_{f(H)} \frac{\varphi^{\frac{n}{n-1}}(f^{-1}(y))}{\psi(f^{-1}(y))} \, dy \right)^{\frac{1}{n}}. \end{aligned} \tag{4.4}$$

Now, let us fix  $m \in \mathbb{N}$  and set  $E_m = \{x \in \mathcal{D}_f : 1/m \leq J_f(x) \leq m\}$ . Given  $\varepsilon > 0$ , we denote by  $\psi_h$  a sequence of continuous, equibounded functions such that  $\psi_h(x) \geq \varepsilon$  for all  $x \in \Omega$  such that

$$\psi_h(x) \rightarrow J_f(x) \chi_{E_m}(x) + \varepsilon \quad \text{for a.e. } x \in \Omega.$$

Recall that  $H \subset \mathcal{D}_f$  and that, by (2.3),  $f|_{\mathcal{D}_f}$  satisfies the Lusin (N) property. Thus,

$$\psi_h(f^{-1}(y)) \rightarrow J_f(f^{-1}(y)) \chi_{E_m}(f^{-1}(y)) + \varepsilon \quad \text{for a.e. } y \in f(H).$$

Thus, inserting  $\psi_h$  in place of  $\psi$  in (4.4) and passing to the limit, first as  $h \rightarrow \infty$  and then as  $m \rightarrow \infty$ , we get

$$\int_H |Df|\varphi dx \leq \left( \int_H K(J_f(x)+\varepsilon)dx \right)^{\frac{1}{n}} \left( \int_H \varphi^{\frac{n}{n-1}} dx \right)^{\frac{n-2}{n}} \times \left( \int_{f(H)} \frac{\varphi^{\frac{n}{n-1}}(f^{-1}(y))}{J_f(f^{-1}(y))\chi_E(f^{-1}(y))+\varepsilon} dy \right)^{\frac{1}{n}},$$

where  $E = \{x \in \mathcal{D}_f : J_f(x) > 0\}$ .

Recalling that  $|f(\mathcal{J}_f^0)| = 0$ , we have that  $|f(H \setminus E)| = 0$ , hence  $\chi_E(f^{-1}(y)) = 1$  for a.e.  $y \in f(H)$ . Thus, letting  $\varepsilon \rightarrow 0$  in the inequality above, we get

$$\int_H |Df(x)|\varphi(x)dx \leq \left( \int_H K(x)J_f(x)dx \right)^{\frac{1}{n}} \times \left( \int_H \varphi^{\frac{n}{n-1}}(x)dx \right)^{\frac{n-2}{n}} \left( \int_{f(H \cap E)} \frac{\varphi^{\frac{n}{n-1}}(f^{-1}(y))}{J_f(f^{-1}(y))} dy \right)^{\frac{1}{n}}.$$

By the definition of  $L_M$ , it follows that  $f(H \cap E) \cap \mathcal{J}_{f^{-1}}^0 = \emptyset$ . Therefore, since  $\Omega \setminus \mathcal{D}_{f^{-1}}$  is a null set, from Lemma 2.1 we have that  $J_{f^{-1}}(y) = 1/J_f(f^{-1}(y))$  for a.e.  $y \in f(H \cap E)$  and thus, using (2.4), we get

$$\begin{aligned} \int_H |Df|\varphi dx &\leq \left( \int_H K(x)J_f(x) dx \right)^{\frac{1}{n}} \\ &\times \left( \int_H \varphi^{\frac{n}{n-1}}(x) dx \right)^{\frac{n-2}{n}} \left( \int_{f(H \cap E)} \varphi^{\frac{n}{n-1}}(f^{-1}(y))J_{f^{-1}}(y) dy \right)^{\frac{1}{n}} \\ &\leq \left( \int_H K(x)J_f(x) dx \right)^{\frac{1}{n}} \left( \int_H \varphi^{\frac{n}{n-1}}(x) dx \right)^{\frac{n-2}{n}} \left( \int_{H \cap E} \varphi^{\frac{n}{n-1}}(x) dx \right)^{\frac{1}{n}} \\ &\leq \left( \int_H K(x)J_f(x) dx \right)^{\frac{1}{n}} \left( \int_H \varphi^{\frac{n}{n-1}}(x) dx \right)^{\frac{n-1}{n}}. \end{aligned}$$

Finally, let us replace  $\varphi$  in this inequality by  $\varphi_h$ , where  $\varphi_h \in C_0(\Omega)$ ,  $0 \leq \varphi_h(x) \leq M^{n-1}$  for all  $h \in \mathbb{N}$  and any  $x \in \Omega$  and

$$\varphi_h(x) \rightarrow |Df(x)|^{n-1} \quad \text{for a.e. } x \in L_M.$$

Then, letting  $h \rightarrow \infty$ , we get

$$\int_H |Df(x)|^n dx \leq \left( \int_H K(x)J_{f_j}(x) dx \right)^{\frac{1}{n}} \left( \int_H |Df(x)|^n dx \right)^{\frac{n-1}{n}}, \tag{4.5}$$

hence (4.1) follows. This concludes the proof. □

A slightly different result is obtained with a simple variant of the argument used in the proof of Theorem 1.2.

**Theorem 4.1** *Let  $f_j, f \in W^{1,n-1}(\Omega, \mathbb{R}^n) \cap \text{Hom}(\Omega, \Omega')$  with  $f_j \rightharpoonup f$  weakly in  $W^{1,n-1}(\Omega, \mathbb{R}^n)$ . Assume that*

$$|\text{Adj } Df_j(x)|^n \leq K_j(x)J_{f_j}^{n-1} \text{ for a.e. } x \in \Omega, \tag{4.6}$$

where  $K_j : \Omega \rightarrow [1, \infty)$  is a Borel function and  $K_j$  converges to  $K$  in the biting sense. Then  $f$  has finite inner distortion  $K_f^I \leq K(x)$  for a.e.  $x \in \Omega$ .

*Proof* Assume  $n \geq 3$ , since for  $n = 2$  the assertion reduces to Theorem 1.2.

As in the proof of Theorem 1.2, we start by observing that  $f_j(x) \rightarrow f(x)$  a.e. in  $\Omega$  and that for any  $\sigma > 0$  there exists a measurable set  $\Omega_\sigma \subset \Omega$  such that  $f_j \rightarrow f$  uniformly in  $\Omega_\sigma$ ,  $K_j \rightarrow K$  in  $L^1(\Omega_\sigma)$ , with  $|\Omega \setminus \Omega_\sigma| < \sigma$ .

For  $M > 1$  we set

$$L_M = \{x \in \mathcal{D}_f : K(x) + |\text{Adj } Df_j(x)| \leq M\} \setminus f^{-1}(\mathcal{J}_{f^{-1}}^0).$$

Our aim is to show that for every compact set  $H \subset L_M \cap \Omega_\sigma$  we have

$$\int_H |\text{Adj } Df_j(x)|^n dx \leq \int_H K(x)J_{f_j}(x)^{n-1} dx. \tag{4.7}$$

Indeed, as before, establishing this inequality will conclude the proof.

Thus, let us fix a compact set  $H$  and a nonnegative function  $\varphi \in C_0(\Omega)$ . Setting for all  $(x, A) \in \Omega \times \mathbb{R}^{n^2}$

$$F(x, A) = \chi_H(x)\varphi(x)|\text{Adj } A|,$$

$F$  turns out to be a polyconvex integrand with growth  $(n - 1)$ . Therefore, using the lower semicontinuity theorem by Acerbi–Fusco ([1]), from (4.6) we have

$$\begin{aligned} \int_H |\text{Adj } Df(x)|\varphi(x)dx &\leq \liminf_{j \rightarrow \infty} \int_H |\text{Adj } Df_j(x)|\varphi(x)dx \\ &\leq \liminf_{j \rightarrow \infty} \int_H (K_j(x)J_{f_j}(x)^{n-1})^{\frac{1}{n}} \varphi(x)dx. \end{aligned}$$

Let us denote by  $\psi$  a strictly positive and bounded continuous function in  $\Omega$ . By Hölder’s inequality and (2.4) we get

$$\begin{aligned} \int_H (K_j(x)J_{f_j}(x)^{n-1})^{\frac{1}{n}} \varphi(x) dx &\leq \left( \int_H K_j(x)\psi^{n-1}(x) dx \right)^{\frac{1}{n}} \left( \int_H \frac{J_{f_j}(x)\varphi^{\frac{n-1}{n}}(x)}{\psi(x)} dx \right)^{\frac{n-1}{n}} \\ &\leq \left( \int_H K_j(x)\psi^{n-1}(x) dx \right)^{\frac{1}{n}} \left( \int_{\Omega'} \frac{\varphi^{\frac{n-1}{n}}(f_j^{-1}(y))}{\psi(f_j^{-1}(y))} \chi_H(f_j^{-1}(y)) dy \right)^{\frac{n-1}{n}} \end{aligned}$$

and then, arguing exactly as in the proof of Theorem 1.2, we deduce first the inequality

$$\int_H |\text{Adj } Df(x)|\varphi(x) dx \leq \left( \int_H K(x)\psi^{n-1}(x) dx \right)^{\frac{1}{n}} \left( \int_{f(H)} \frac{\varphi^{\frac{n-1}{n}}(f^{-1}(y))}{\psi(f^{-1}(y))} dy \right)^{\frac{n-1}{n}}$$

and then

$$\int_H |\text{Adj } Df(x)|\varphi(x) dx \leq \left( \int_H K(x)J_f(x)^{n-1} dx \right)^{\frac{1}{n}} \left( \int_H \varphi^{\frac{n-1}{n}}(x) dx \right)^{\frac{n-1}{n}}.$$

Finally, replace in this inequality  $\varphi$  by  $\varphi_h \in C_0(\Omega)$ ,  $0 \leq \varphi_h \leq M^{n-1}$ , such that

$$\varphi_h(x) \rightarrow |\text{Adj } Df(x)|^{n-1} \quad \text{for a.e. } x \in L_M$$

and let  $h \rightarrow \infty$  to obtain

$$\int_H |\text{Adj } Df(x)|^n dx \leq \left( \int_H K(x)J_f^{n-1} dx \right)^{\frac{1}{n}} \left( \int_H |\text{Adj } Df(x)|^n dx \right)^{\frac{n-1}{n}}.$$

From this inequality (4.7) follows, thus concluding the proof. □

**Corollary 4.2** *Let  $f_j, f \in W^{1,1}(\Omega, \mathbb{R}^n) \cap \text{Hom}(\Omega, \Omega')$ , with  $f_j \rightharpoonup f$  weakly in  $W^{1,1}(\Omega, \mathbb{R}^n)$ . Assume that the maps  $f_j$  have all finite distortions  $K_{f_j}$  and that the sequence  $K_{f_j}$  is bounded in  $L^1(\Omega)$ . Then  $f$  is a map with finite distortion and*

$$\int_{\Omega} K_f(x) dx \leq \liminf_{j \rightarrow \infty} \int_{\Omega} K_{f_j}(x) dx. \tag{4.8}$$

*Proof* In order to prove (4.8) we may assume without loss of generality that the lim inf on the right hand side is a limit. If this is the case, there exists a subsequence  $K_{f_{j_r}}$  converging in the biting sense to a measurable function  $\tilde{K}$ . Thus, from Theorem 1.2, we have

$$\int_{\Omega} K_f(x) dx \leq \int_{\Omega} \tilde{K}(x) dx \leq \lim_{r \rightarrow \infty} \int_{\Omega} K_{f_{j_r}}(x) dx$$

and the assertion follows.

A similar result clearly holds for the inner distortions if in Corollary 4.2 we assume that the maps  $f_j, f$  satisfy the assumptions of Theorem 4.1.

*Example 4.3* Let  $\varphi : \mathbb{R} \rightarrow [c, +\infty)$ ,  $c > 0$ , be a 1-periodic function, strictly increasing in  $(0, 1)$  and such that  $\int_0^1 \varphi dt = 1$ , but  $\varphi \notin L^p((0, 1))$  for all  $p > 1$ . Set, for all  $j \in \mathbb{N}$ ,  $(x, y) \in \Omega = (0, 1) \times (0, 1)$ ,

$$f_j(x, y) = \left( \int_0^x \varphi(jt)dt, \int_0^y \varphi(jt)dt \right).$$

Then,  $f_j$  is a sequence of homeomorphisms from  $\Omega$  onto  $\Omega$  weakly converging to the identity map  $f$  in  $W^{1,1}(\Omega, \Omega)$ . All maps  $f_j$  are of finite distortion and for a.e.  $(x, y) \in \Omega$

$$K_{f_j}(x, y) = \frac{\max\{\varphi^2(jx), \varphi^2(jy)\}}{\varphi(jx)\varphi(jy)}.$$

Thus, the functions  $K_{f_j}$  converge weakly in  $L^1(\Omega)$  to the constant function

$$K \equiv \int_0^1 \int_0^1 \frac{\max\{\varphi^2(s), \varphi^2(t)\}}{\varphi(s)\varphi(t)} dsdt.$$

Recalling that  $\varphi$  is strictly increasing in  $(0, 1)$ , we easily get that

$$K \equiv 2 \int_0^1 \varphi(s) ds \int_0^s \frac{1}{\varphi(t)} dt > 2 \int_0^1 \varphi(s) \frac{s}{\varphi(s)} ds = 1 \equiv K_f,$$

thus showing that the inequality  $K_f \leq K$  provided by Theorem 1.2 can be everywhere strict even in very simple situations. Notice also that since  $f_j \notin W^{1,2}$  for all  $j$ , Theorem 1.1 does not apply to this example.

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