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# Surface crack detection using deep learning with shallow CNN architecture for enhanced computation

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#### Abstract

Surface cracks on the concrete structures are a key indicator of structural safety and degradation. To ensure the structural health and reliability of the buildings, frequent structure inspection and monitoring for surface cracks is important. Surface inspection conducted by humans is time-consuming and may produce inconsistent results due to the inspectors' varied empirical knowledge. In the field of structural health monitoring, visual inspection of surface cracks on civil structures using deep learning algorithms has gained considerable attention. However, these vision-based techniques require highquality images as inputs and depend on high computational power for image classification. Thus, in this study, shallow convolutional neural network (CNN)-based architecture for surface concrete crack detection is proposed. LeNet-5, a wellknown CNN architecture, is optimized and trained for image classification using 40,000 images in the Middle East Technical University (METU) dataset. To achieve maximum accuracy for crack detection with minimum computation, the hyperparameters of the proposed model were optimized. The proposed model enables the employment of deep learning algorithms using low-power computational devices for a hassle-free monitoring of civil structures. The performance of the proposed model is compared with those of various pretrained deep learning models, such as VGG16, Inception, and ResNet. The proposed shallow CNN architecture was found to achieve a maximum accuracy of 99.8% in the minimum computation. Better hyperparameter optimization in CNN architecture results in higher accuracy even with a shallow layer stack for enhanced computation. The evaluation results confirm the incorporation of the proposed method with autonomous devices, such as unmanned aerial vehicle, for real-time inspection of surface crack with minimum computation.

Keywords Deep learning · Crack detection · Convolution neural network · Computer vision · Concrete structures

## 1 Introduction

Structures, such as bridges, tall buildings, and highways, deteriorate with time, which causes damage to the health of the structures [\[1](#page-15-0)]. Structural safety remains challenging in all infrastructures due to various internal and environmental parameters [\[2](#page-15-0), [3](#page-15-0)]. Ensuring structural safety of the infrastructure plays a significant role in structural maintenance, and it is exponentially related to the age of the infrastructure [\[3](#page-15-0), [4](#page-15-0)]. Building inspection for damages is important to maintain the safety of its residents [[6\]](#page-15-0). The lack of inspection guidance and the inadequate use of available technologies for structural health monitoring (SHM) reduce the safety and durability of the infrastructure [\[7](#page-15-0)]. However, to minimize the loss of the lives of people and property, the inspection methodologies for the conditional assessment of the infrastructure need to be improved [\[8](#page-15-0)]. Continuous structure monitoring for damages is important to improve the health and reliability of the structures.

Among the various parameters that ensure structural health, cracks remain as a key parameter directly indicating the condition of the structures [\[9](#page-15-0)]. Cracks exhibit the magnitude of the structural damage. Periodical monitoring

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of building surfaces for cracks and taking measures for restoration are necessary to improve the durability of the building. Continuous and effective monitoring for cracks is greatly important to ensure structural safety. The analysis of a train accident in Japan in 1999, which resulted in the loss of the lives of people and property, reveals that shear cracks on concrete blocks were the root cause of the accident [\[10](#page-15-0)]. Statistical analysis shows that 46% of the structural weakness is only due to the failure to detect structural cracks [[11\]](#page-15-0). To prevent such accidents, continuous autonomous inspection of civil infrastructures should be carried out  $[12]$  $[12]$ .

Conventionally, the method of human visual inspections was employed to detect cracks. However, this method has limitations in terms of human experience, time consumption, and risk of inspection in inaccessible areas [\[13](#page-15-0)]. To enhance the accuracy of monitoring, the health vibrationbased structural system identifications were applied [[14\]](#page-15-0). In SHM, numerical method conjugations have been widely adopted [[15,](#page-15-0) [16](#page-15-0)]. Monitoring of large-scale civil infrastructures, structural uncertainties, and environmental disturbances are the main challenges associated with the vibration-based approach [\[17](#page-15-0), [18\]](#page-15-0). The computer vision and image processing techniques have become an integral part of SHM compared with the traditional manual inspection-based crack detection system [[19,](#page-15-0) [20\]](#page-15-0). Computer vision-based approaches are employed to provide rich hidden insights out of the image and video data which facilitate in the automation of crack detection and identification systems  $[21-23]$ . The detection systems were mainly built using common image processing methods, such as segmentation, pattern recognition, fuzzy clustering [\[24](#page-15-0)], histogram analysis, Fourier transform [\[25](#page-15-0)], and image filtering [\[26](#page-15-0)] techniques. However, these traditional methods failed to accurately handle noisy image data.

To further improve the accuracy, researchers have initially used various edge detection algorithms [[27](#page-15-0)]. The Canny edge detector, wavelet method, and Laplace of Gaussian [[28,](#page-15-0) [29\]](#page-15-0) were employed for edge detection in concrete crack identification. Among the various edge detection algorithms, wavelet method was mostly used in the literature [[30\]](#page-15-0). Abdel-Qader et al. [\[31](#page-16-0)] considered and compared four edge detection algorithms, namely, the Haar transform, fast Fourier transform, Sobel, and Canny. A histogram-based method for feature extraction and fuzzy logic for crack classification were proposed. However, the outcome of the algorithm emphasized the need for accuracy improvement [\[32](#page-16-0)]. Researches using the Canny and k-means clustering techniques were conducted to detect surface cracks [[33\]](#page-16-0). However, these traditional algorithms were unsuccessful in effectively processing a huge volume of concrete image data, which paved the way for machine learning-based approaches for crack detection [\[34](#page-16-0)].

Initially, support vector machine (SVM) [\[35](#page-16-0)] was employed for the binary classification of concrete images into to crack and non-crack via handcrafted manual feature extraction. Moreover, principal component analysis (PCA) [\[36](#page-16-0)] was used as a dimensionality reduction technique for extracting the healthy features out of a large set of features. SVM with PCA exhibited a significant improvement in the classification. To enhance the accuracy of crack identification, other classification algorithms, such as artificial neural network (ANN) [[37\]](#page-16-0), k-nearest neighbors (k-NN), fuzzy logic, and genetic [[38\]](#page-16-0), were implemented as a hybrid approach with SVM. Aside from classical machine learning algorithms, deep learning techniques were successfully implemented in computer vision, pattern recognition image classification, and object detection. The recent developments of computer vision techniques have resulted in end-to-end learning using ANNs [\[39](#page-16-0)] and convolutional neural networks (CNNs). ANNs and CNNs are capable of relating the complex input and output relationship of data using parameterized nonlinear activation functions. CNNs were employed to effectively classify the low-level features of biological microscopy images with high accuracy [\[40](#page-16-0)]. CNNs with machine learning methods were implemented to classify the crack and non-crack images from the video frames [[41\]](#page-16-0). A CrackNet model without pooling layers was proposed to improve the accuracy of crack detection [\[32](#page-16-0)]. The combination of CNN and the sliding window technique was designed to classify the crack and non-crack images more accurately over IPMs [[42\]](#page-16-0). To detect multiple crack damages, a faster region-based CNN (faster R-CNN) was adopted [[42\]](#page-16-0).

The ability of deep learning (DL) techniques to handle huge volume of data and to automate the feature extraction processes enables the DL techniques to develop solutions for crack detection problems on concrete structures [\[43](#page-16-0)]. CNN combined with Naïve Bayes was employed to analyze individual video frames for crack detection [\[44](#page-16-0)]. Moreover, CNN models were implemented for various crack detection applications, such as pavement crack detection [\[45](#page-16-0)], cracks on asphalt surfaces [[46\]](#page-16-0), automatic road crack detection [\[47](#page-16-0)], cracks on mechanical steel structures [\[48](#page-16-0)], damage detection, steel corrosion, bolt corrosion, and steel delamination [\[49](#page-16-0)]. Due to the advancements in hardware and computational software, deep convolutional neural networks (DCNNs) were widely used for concrete crack image classification and object detection [[50\]](#page-16-0). In the literature, deeper CNN architectures were designed to handle images of sizes  $99 \times 99 \times 3$  [\[19](#page-15-0)],  $256 \times 256 \times 3$  [[40\]](#page-16-0), and  $227 \times 227 \times 3$  [\[11](#page-15-0)].

Vision-based techniques depend on continuous motion videos, which exponentially increase the volume of data to be handled. Thus, these techniques remain a tough task. When the data volume increases, interpreting the inner

working of DCNN becomes difficult owing to its black box nature [[51\]](#page-16-0). A building damage assessment model was established based on post-hurricane satellite images using the CNN architecture [\[52](#page-16-0)]. Researches have used many other CNN models, such as AlexNet [[53\]](#page-16-0), ResNet [\[22](#page-15-0)], VGG16 [\[54](#page-16-0)], and Inception [[5\]](#page-15-0), for crack identification. VGG16 is the most commonly used pretrained model deeply stacked with a 16-layer depth. ResNet is a residual architecture with a 152-layer depth, whereas Inception is a parallel stacked convolutional network with a 159-layer depth. To obtain accuracy, these pretrained models require a huge volume of high-quality data and high computational power.

Although the use of deep CNN layers can better extract more information from an image, overfitting to training data and vanishing gradients are the major challenges associated with deep CNN architectures. Due to these challenges, deep CNN architectures render the model void and unusable. More robust CNN architectures, such as VGG16, ResNet, and Inception, are computationally expensive to train and deploy during real-time analysis. Moreover, the use of very deep and advanced architectures is overrated for our surface crack binary classification as it they involve simple image features.

The enhancement of the accuracy of CNN models can be achieved by optimizing their hyperparameters [[54\]](#page-16-0). The selection of hyperparameters for optimization in deep architectures becomes challenging due to the exponential increase in the number of parameters and the requirement of deep structures for complex tasks [[55](#page-16-0)]. In addition, an efficient training of deep CNN architecture requires powerful hardware resources. Deep CNN models depend on Graphic Processing Unit (GPU), Tensor Processing Unit (TPU), Computer Unified Device Architecture (CUDA), and other servers to handle the large volume of data. A backpropagation learning algorithm using multiplexing layer scheme was attempted on a field-programmable gate array (FPGA) board to achieve more learning on lowperformance devices [[56\]](#page-16-0). The Internet of things (IoT) enabled devices, such as unmanned aerial vehicles (UAVs), which are used for autonomous monitoring, to handle only a minimum quantity of data due to their limited computational power [\[57](#page-16-0)]. Applying deep architecture in UAVs is practically impossible. Hence, the implementation of the deep CNN models in all applications involving UAV for live data monitoring is not feasible. Therefore, the deployment of such architectures in embedded and smart devices remains challenging.

The original LeNet-5 proposed by LeCunn [\[4](#page-15-0)] in 1998 is capable of doing most of the image classifications that do not involve complex image features. Initially, LeNet-5 was used for handwritten digit recognition. Since the establishment of the LeNet-5 architecture, new functions and design changes have been developed and have proven to be very robust inclusions in the newer architectures. To improve the accuracy of the LeNet-5 architecture for image classification, an Optimized LeNet (OLeNet) was proposed in this work. OLeNet infuses new functions, such as rectified linear unit (ReLU), dropout, and adaptive moment estimation (Adam) algorithm, to LeNet-5 to train the best accurate and possible hardware-efficient model. OLeNet can be trained using a small dataset and with relatively faster training time. It is capable of making fast predictions with high accuracy in a low-power computational device. The OLeNet architecture can also overcome the challenges associated with deep CNN architecture owing to its minimum layer stack [[58\]](#page-16-0).

The remainder of this paper is organized as follows. Section presents the overview of the proposed work. Section [3](#page-3-0) describes the architecture of different pretrained models. Section [4](#page-7-0) discusses the technical work of the proposed shallow OLeNet architecture. Finally, Sect. [5](#page-9-0) provides the results and discussions.

## 2 Overview of the proposed model

In this section, the overview of the proposed OLeNet CNN model is summarized. Optimization of the hyperparameters with the shallow LeNet-5 architecture results in the establishment of OLeNet. Since OLeNet utilizes lowpower computational resources, it is greatly suitable for IoT applications. LeNet-5 is the first core architecture of CNN with very simple and shallow layer arrangements. It provides the basic architecture over which the deep CNN models were developed. The LeNet-5 architecture exhibits 98.5% accuracy in the classification of the MNIST database with hand written digits [\[26](#page-15-0)]. Moreover, it utilizes minimum computational power, which enables its deployment on normal machines. The layer stack arrangement of LeNet-5 is loosely coupled, which enables the model to configure the hyperparameters easily according to the applications [\[55](#page-16-0)]. Since concrete crack images are not diversified images, depending on very deep architectures for crack classification is not necessary.

The present study proposes an OLeNet architecture by fine-tuning the hyperparameters of the LeNet-5 architecture for the detection of surface cracks on concrete structures. The proposed architecture is capable of processing even low-quality images of  $50 \times 50$  pixels. This enables OLeNet to effectively work with IoT devices without depending on the GPU, unlike all the other existing deep architectures. First, the proposed model is trained for lowquality image classification on a benchmark crack dataset. Second, using a new set of images, the performance of the proposed OLeNet architecture is evaluated. Finally, the <span id="page-3-0"></span>performance is validated by comparing the proposed model (OLeNet) with other deep pretrained models, namely, VGG16 [\[25](#page-15-0)], Inception [[55\]](#page-16-0), and ResNet [[56\]](#page-16-0).

IoT devices employed for the SHM of the building are proposed to be used with the shallow OLeNet architecture (Fig. 1a). Owing to its shallow architecture, OLeNet is capable of running low-power computational devices. The configuration of the OLeNet architecture (Fig. 1b) is performed by fine-tuning the optimum hyperparameters of the core LeNet-5 architecture. The entire dataset is divided into training and testing data. To train and validate the proposed CNN model, the entire high-quality images are resized into minimum sizes of  $50 \times 50$  pixels. Then, the images are converted into an array of numbers of the size  $50 \times 50$ .

## 3 Pretrained convolutional neural networks for crack identification

A fully connected pretrained DCNN is employed to classify the input images into crack and non-crack [[5–8\]](#page-15-0). In the literature  $[2-5]$ , the performance of the pretrained CNN models was evaluated on the open-source dataset obtained from multiple buildings in the Middle East Technical University (METU). Table [2](#page-9-0) presents the details of the METU dataset. In this section, the basic process of CNN and other pretrained models used for the validation of the proposed work is summarized.

#### 3.1 Convolutional neural network architecture

CNN is a deep neural architecture that is widely applied for image classification. It is made up of convolutional layers for analyzing the features out of the input and connected layers for the classification process. Each convolutional layer is configured with a convolutional layer, activation function, and a pooling layer  $[40, 41]$  $[40, 41]$  $[40, 41]$  $[40, 41]$  $[40, 41]$ . To capture the correlation between the input features, CNN employs a set of connected kernel filters. These filters form the core part of the CNN which performs the convolutional operation with the output of the preceding layers. Figure [2](#page-4-0) demonstrates the operating principle of the CNN architecture.

The mathematical relationship for the convolution in each location of  $P_y$  of the output y is expressed as follows:

$$
y(P_y) = \sum w(P_G)x(P_y + P_G)
$$
 (1)

where  $x$  denotes the input variable;  $w$ , the filter;  $G$ , the field in the convolutional layer; and  $P_G$ , the positions in the field G. The activation function improves the nonlinearity in the model. Some of the activation functions most commonly used by CNN are sigmoid, ReLU, and Tanh. The inputs of a 2D CNN layer can be visualized as multiple 2D matrices with different channels based on the image representations. The convolutional layer is enabled with multiple filters capable of scanning the inputs and producing the output mappings. If there are  $M$  inputs and N outputs, then it is important to have  $M \times N$  filters to perform the convolutional operations.









(b) Proposed OLeNet process model

<span id="page-4-0"></span>

Fig. 2 Operating principle of the CNN architecture

## 3.2 LeNet-5 architecture

LeNet-5 is the basic and one of the simplest CNN architectures. It holds a total of five layers with two convolutional and three fully connected layers [[26\]](#page-15-0). LeNet-5 uses Tanh as the activation function, whereas the softmax activation function is used at the final layers for convergence. The basic architecture of LeNet-5 is presented in Fig. 3.

The average-pooling layer acts as a subsampling layer and has trainable weights [\[27](#page-15-0)]. This architecture consists of 60,000 parameters and occupies a less storage space [[44\]](#page-16-0). It has become the standard for stacking convolutional layers, pooling layers, and ending the network with one or more fully connected layers.

#### 3.3 VGG16 architecture

VGG16 is the most commonly used version of CNN. It has a total of 16 layers with 13 convolutional and 3 fully connected layers [[25,](#page-15-0) [44](#page-16-0)]. VGG16 has introduced the deeper way of designing the CNN. It uses ReLU as an activation function to improve the nonlinearity in the model, whereas the softmax function is used at the final layers for classification. The basic architecture of VGG16 is presented in Fig. [4.](#page-5-0)

The main contribution of the VGG16 architecture is its homogeneous topology and the introduction of small kernel size. This network stacks more layers to make the architecture deeper and uses small-sized filters  $(2 \times 2$  and  $3 \times 3$ ). VGG16 consists of 138 million parameters and takes up about 500 mb of storage space.

#### 3.4 Inception architecture

Inception is a deeper version of CNN with 24 million parameters. It is the first architecture to employ batch normalization. Inception architecture is made up of inception modules that enable the deep representations of the input feature set by multiple convolutions. [[55\]](#page-16-0). This architecture is capable of handling problems of representational bottleneck using batch normalization and by replacing large filters with small ones [\[44](#page-16-0)]. The basic architecture of Inception is presented in Fig. [5.](#page-5-0)

Inception occupies a storage space of 92 mb with a depth of 159. It uses ReLU as the activation function in the middle layers, whereas the softmax activation function is used for convergence. Each module in the Inception architecture has parallel towers of convolutions with different filters followed by concatenation [\[16](#page-15-0)]. This works by the principle of a layer-by-layer construction, in which one layer should analyze the correlation statistics of the last

Fig. 3 Basic LeNet-5 architecture



<span id="page-5-0"></span>

Fig. 4 Basic VGG16 architecture



Fig. 5 Basic Inception architecture

layer and cluster them into groups of units with high correlation.

#### 3.5 ResNet architecture

ResNet is one of the early adopters of batch normalization. It has 25 million parameters [\[56](#page-16-0)]. ResNet is the architecture that supports hundreds of convolutional layers. It is the first architecture to conduct identity mapping using skipped connections [[44\]](#page-16-0). The process of the ResNet architecture is presented in Fig. [6.](#page-6-0)

The basic building blocks of ResNet are the convolutional and identity blocks. The convolutional block depends on the ReLU activation function and batch normalization. Finally, it uses the softmax activation function for convergence. This architecture makes it possible to design deeper CNNs up to 152 layers without compromising the generalization power of the model.

## 3.6 Comparison of familiar pretrained CNN models

The comparison of different configuration parameters of familiar pretrained CNN models, such as LeNet-5, VGG16, Inception, and ResNet, is presented in Table [1.](#page-6-0) Such a comparison is performed by considering the important aspects, such as the total storage space occupied, depth of the model, activation function, number of parameters, and error rate involved in the execution of the input data [\[2](#page-15-0), [26](#page-15-0), [44,](#page-16-0) [55\]](#page-16-0). The error rate data are presented based on the performance of the algorithm on the MNIST database and ImageNet database.

The error rate can be computed using log loss [\[59](#page-16-0)]. Log loss is a probabilistic measure, and it is used to measure the performance of the concrete crack image classification model. The log loss probability value lies between 0 and 1. The higher the log loss value, the higher the accuracy of the developed model [\[60](#page-16-0)]. The entire dataset is divided into training dataset and testing dataset. The images in both datasets are labeled as either crack or non-crack images. The test dataset images are provided as input to the developed model, and the predicted results are derived as

<span id="page-6-0"></span>

Fig. 6 Basic ResNet architecture

pretrained CNN models



output from the model. The actual label and predicted label are used to calculate the log loss value.

For binary classification with a true label  $y$  and probability estimate  $p$ , the log loss per sample is defined as the negative log likelihood of the classifier given the true label, which is calculated as given in Eq.  $(1)$  $(1)$ .

$$
L_{\log}(y, p) = -\log \Pr(y|p) = -y \log(p) + (1 - y) \log(1 - p)
$$
 (2)

where  $y \in \{0, 1\}$ , and  $p = Pr(y = 1)$ . The binary log loss given above is generalized for the binary case by considering  $p_{i,0} = 1 - p_{i,1}$  and  $y_{i,0} = 1 - y_{i,1}$ . Expanding the inner sum over  $y_{i,k} \in \{0, 1\}$  provides the values of the binary log loss. The comparison of the different pretrained CNN models considering the different parameters is presented in Table 1.

It can be seen from Table 1 that the LeNet-5-based CNN model holds a minimum depth compared with the other models [[44\]](#page-16-0). Since the model only holds a minimum depth, the total storage space used during the execution of the input and the total number of parameters involved in the execution are also very minimal compared with those of the other models [\[4](#page-15-0)]. The diagrammatic representation of the model complexity of various pretrained models is presented in Fig. 7.

As the depth of the total number of layers in the CNN architecture increases, the complexity of the model also



Fig. 7 Model complexity of CNN

increases [[9\]](#page-15-0). The increase in depth exponentially increases the total number of parameters, which in turn results in the increase in the storage space. An additional insight is that increasing the depth and the total number of parameters does not improve the performance of the model [\[11](#page-15-0)]. The overall performance of the model is based on the optimization of the hyperparameters involved in the network. From the literature [[26,](#page-15-0) [27\]](#page-15-0), it is clear that the LeNet-5 architecture operates in a high speed than the other DCNN models owing to its spatial arrangement. Since concrete images are not diversified images like the images available in the ImageNet database, dependency on deep architecture results is not essential.

## <span id="page-7-0"></span>4 Methodology

## 4.1 Optimized LeNet (OLeNet) architecture

In the proposed work, the optimization of the hyperparameters of the LeNet-5 results in OLeNet has been attempted. Significant changes were carried out to optimize the process of the conventional LeNet-5 architecture. Moreover, two convolutional layers were added to the convolutional block of OLeNet. These convolutional layers enhance the property of spatial invariance to recognize the key features in the crack images. To improve the nonlinearity, the ReLU activation function was used as an alternative to the Tanh and sigmoid functions. Using the ReLU activation function makes it possible to easily backpropagate the errors and activate multiple layers of neurons. In OLeNet, a fully connected layer occupies most of the parameter results in co-dependency among the neurons during training, which degrades the individual power of each neuron, leading to overfitting of the training data. To overcome the problem of overfitting, the dropout function is also added in the proposed OLeNet architecture (Fig. 8).

OLeNet follows the same math behind the CNN operation. The mathematical relationship for the convolution in each location of  $P_y$  of the output y in the OLeNet architecture is expressed as  $Y_{OLeNet}$  in Eq. (3).

$$
Y_{OLeNet}(P_y) = \sum w(P_G).x(P_y + P_G)
$$
\n(3)

where  $w$  denotes the filter;  $G$ , the field in the convolutional layer; and  $P_G$ , the positions in the field  $G$ .



The convolutional layer (conv2d) in the convolutional block ensures the extraction of more features from the image. The convolutional block now consists of two conv2d convolutional layers followed by a subsampler (maxpool2d) layer. This enables the model to perform a deeper feature extraction from the input image. For an input image (Fig. 9) of dimension  $(h \times w \times d)$  and filter size of  $(f_h)$ .  $\times f_w \times d$ , the dimensions of the convoluted image will be of the dimensions  $(h - f_h + 1 \times w - f_w + 1 \times 1)$ .

ReLU is used as an activation function to improve the nonlinearity in the model to achieve better performance. The ReLU activation layer is added to each convolutional block. This layer reduces the linearity between the extracted features. Moreover, it improves the ability of the model to predict a more diverse set of input data. ReLU is also capable of including more nonlinearity in the model. The ReLU activation function for an input variable  $x$  is expressed in Eq. (4).

$$
ReLU(x) = max(x, 0)
$$
\n(4)

The process of ReLU as a transfer function in handling the image matrix is presented in Fig. [10.](#page-8-0)

The dropout function drops the less relevant data and only keeps the significant feature data. It improves the model to achieve better classification and feeds relevant data to fully connected dense layers. Since the training data have a low resolution  $(50 \times 50)$  for training, it is reasonable to use a relatively low-power computational model for enhanced execution. Such models are enabled to run in low-power devices, such as smartphones and IoT devices.

The kernel convolutional and pooling operations in OLeNet play a significant role in optimization. Convolutional operation is the integral building block of CNN. In the OLeNet architecture, convolutional operation is the process in which a small matrix of numbers, called kernel, pass over the image pixel matrix and outputs a feature map based on the kernel values. The kernel values are utilized to detect a variety of features in the image, such as horizontal lines, vertical lines, intersections, and shapes. These values represent the features of various real-world objects with curves and lines. Convolutional operation is very powerful and important in the image classification as it can be adopted to learn the features of the object rather than taking them as absolute static values observed in standard neural



Fig. 8 OLeNet architecture configuration Fig. 9 Operating principle of convolution

<span id="page-8-0"></span>



networks. Here, the values in the filters are the parameters that can learn through backpropagation using the labeled training data. The kernel convolutional operation in the OLeNet architecture is expressed in Eq. (5).

$$
G[m,n] = (f \times m)[m,n] = \sum_{j} \sum_{k} h[j,k] \times f[m-j,n-k]
$$
\n(5)

where  $f$  denotes the input image, and  $k$  denotes the kernel. The indices of rows and columns of the resultant matrix are denoted by  $m$  and  $n$ , respectively.

Pooling operation is the process of downsampling the features of the image generally given by the output of the CNN layer filters. Pooling downsamples the features as an effort to retain only the significant features for the sequential layers of the convolutional parts. In OLeNet, the operation carries through each channel, thereby affecting just the height and weight dimensions of the feature maps, keeping the number of channels intact. The pooling operation in OLeNet is calculated as in Eqs. (6) and (7).

$$
\dim(pooling(a^{l-1})) = \left(\frac{n_h + 2p - f}{s} + 1, \frac{n_w + 2p - f}{s} + 1, n_c\right); s > 0
$$
\n(6)

dim
$$
(pooling(a^{l-1}))
$$
 =  $(n_h + 2p - f, n_w + 2p - f, n_c)$ ; s  
= 0

 $(7)$ 

where  $a^{l-1}$  denotes the feature map;  $a^0$ , the input image;  $n_h$ , the height of the feature map  $a^{l-1}$ ;  $n_w$ , the width of the feature map  $a^{l-1}$ ;  $n_c$ , the number of channels of  $a^{l-1}$ ; p, the size of the padding used in the feature map before pooling; and  $f$ , the size of the pooling filter. Conventionally, the values of f and s are set to  $f = 2$  and consider  $s = 2$ .

#### 4.2 Implementation procedure

#### 4.2.1 Concrete image dataset

The open-source dataset used in the proposed work for classification is collected from multiple buildings in the METU [\[2](#page-15-0)] (Table [2](#page-9-0)). The dataset consists of a total of 40,000 images of  $227 \times 227$  pixels and was equally

grouped into "crack" and "non-crack" for the binary classification (Fig. [11](#page-9-0)). A total of 32,000, 4000, and 4000 images were used for the training, validation, and testing, respectively.

#### 4.2.2 Proposed architecture

The overall execution process of the proposed work begins with the OLeNet architecture configuration. Some of the important hyperparameters of the deep learning architectures are the total number of layers, number of hidden units, activation function, epochs, and learning rate. In the OLeNet architecture, these hyperparameters are optimized to achieve enhanced performance with a shallow layer stack architecture arrangement. The shallow architecture depends on minimum computational resources. The overall computational architecture for the OLeNet-based crack detection model (Fig. [12](#page-9-0)) focuses on model training, model validation, and performance evaluation.

Image pre-processing is performed before building and training the CNN architecture model. High-resolution images have variance in terms of surface finish and illumination conditions. In terms of random rotation or flipping, no data augmentation performed. All the images from the input are read and stored in a numpy array together with their label. For negative images, the numpy array is encoded as [1, 0], and for positive images the numpy array is encoded as [0, 1]. Encoding improves the model training and prediction. Data transformation is performed by processing the input images and is saved as a numpy array. The saved numpy array can be directly loaded without the need to pre-process the data every time. The pseudocode presented in Table [3](#page-10-0) explains the technical function of OLeNet for image classification.

Training data out of the entire data are used to build the OLeNet model. Epoch indicates the sum of one forward pass and backward pass for all the training samples. For better time optimization, the model is limited with the epoch value of 20. To reduce the memory space, the batch size of the input data is kept at a minimum. Since the proposed model is shallow with seven layers, the total number of the parameters used and the total memory space occupied are also kept at a minimum. The segregated

<span id="page-9-0"></span>Table 2 Dataset details

Dataset details				Training $-32,000$		$Val - 4000$		$Test - 4000$	
Total images	Image size	Crack	Non-crack	Crack	Non-crack	Crack	Non-crack	Crack	Non-crack
40.000	$227 \times 227$	20,000	20,000	18.000	18.000	2000	2000	2000	2000



Fig. 11 Sample collection of crack and non-crack images



Fig. 12 Proposed OLeNet architecture for crack detection

validation data are utilized to ensure the finalized version of the OLeNet crack detection model. The performance of the OLeNet model is validated by comparing it with those of the other pretrained CNN models discussed in Sect. 5.

## 5 Results and discussions

In this section, the results of the proposed algorithm (OLeNet) are compared with those of the other deep learning models, such as VGG16, Inception, and ResNet.

Section 5.1 validates the performance of OLeNet by considering the different evaluation measures. In Sect. [5.2,](#page-11-0) the performance of OLeNet is validated by comparing it with those of the other models in terms of hyperparameter values.

## 5.1 Overall performance of algorithms

Accuracy score, precision, recall, and F-Measure are used as metrics for analyzing the performance of the proposed model. The definition and formula for determining the

#### <span id="page-10-0"></span>Table 3 Pseudocode of OLeNet

## **OLeNet process steps** 1. Input a batch *i* of input images  $X_i$ , along with its label vectors  $Y_i$ 2. Run the Feature Extraction algorithm on image batch  $X_i$ a. Pass through the Convolutional Block i. **Initialize the** *n* feature kernels  $f^l$  of size  $(k \times k)$  of the *l*-th layer ii. Perform the convolutional function for each feature kernel ❖ for  $f_1^l = f_1^l, f_2^l, f_3^l, ..., f_n^l$  do **a.** Perform the convolutional function,  $z_j^l = X_i \times f_j^l$ **b.** Perform the ReLU Activation to obtain output,  $a_i^l = \max(z_i^l, 0)$  $\div$  end for iii. Repeat for all feature kernels and different layers iv. Perform **b.** Perform the Max Pooling Function on the output tensor  $a_i^l$ c. Repeat this for the defined number of times mentioned in the architecture d. Finally, convert the tensor into linear array via Flattening. Thus, feature vector  $a_{feature}$  is obtained 3. Run Feature Classification Network on the feature vector. a. Initialize weights  $w$  and bias  $b$  arrays of the Linear Network with 256 Neural Nodes b. Perform  $z = w \cdot a_{feature} + b$ c. Perform the ReLU Activation Function  $a^l = \max(z, 0)$ d. Initialize weights  $w$  and bias  $b$  arrays of the Linear Network with 2 Neural Nodes e. Perform  $z = w \cdot a^l + b$

- f. Perform the ReLU Activation Function  $a^l = \max(z, 0)$
- **g.** Apply the softmax function on  $a^l$  to obtain the probability distribution of the two classes Crack and Non-crack.

different performance metrics are explained in the following section.

Accuracy score denotes the measure of correctness of the model's prediction. If  $\hat{y}_i$  is the predicted label of the *i*th sample test image and  $y_i$  is its corresponding actual label, then the fraction of correct predictions over the  $n_{samples}$  of the test images is computed as follows:

$$
accuracy(y, \hat{y}) = \frac{1}{n_{samples}} \sum_{i=0}^{n_{samples}-1} 1(\hat{y}_i = y_i)
$$
 (8)

The performance of the model is analyzed by observing the true positive (TP), true negative (TN), false positive (FP), and false negative (FN) metrics. A TP is an outcome in which the model correctly classifies the crack images.

Similarly, a TN is an outcome in which the model correctly classifies the non-crack images. An FP and FN are the outcomes in which the model incorrectly classifies the crack images and the non-crack images, respectively.

Precision denotes the estimation of the model's ability to perform with minimum FP results. It evaluates the robustness of the model and enables the model to avoid labeling an input image as crack that is actually non-crack (Eq. 9).

$$
Precision = \frac{TP}{TP + FP}
$$
 (9)

Recall denotes the measure of the ability of the model to correctly identify the crack images (Eq. 10).

<span id="page-11-0"></span>
$$
Recall = \frac{TP}{TP + FN}
$$
 (10)

F-Measure denotes the harmonic mean of precision and recall. It is utilized to evaluate the model with a more considerate score (Eq. 11).

$$
\text{F-Measure} = 2 \times \left[ \frac{\text{Precision} \times \text{Recall}}{\text{Precision} + \text{Recall}} \right] \tag{11}
$$

The overall performance analysis of the proposed OLeNet algorithm and the other pretrained CNN models is presented in Table 4. Confusion matrix (Fig. [13](#page-12-0)) clearly visualizes that OLeNet performs comparatively better than the other algorithms.

The performance measures (Table [5](#page-12-0)) derived from the confusion matrix present clear insights into the algorithms. The proposed OLeNet algorithms produce maximum accuracy, similar to VGG16 and Inception. Despite its shallow configuration, OLeNet withstands to produce maximum accuracy similar to other deep architectures. In addition to the accuracy, OLeNet remains competitive with other pretrained models in terms of the values of precision, recall, and F-Measure. The graphical representation of the performance is demonstrated in Figs. [14](#page-12-0), [15](#page-12-0), [16](#page-12-0) and [17.](#page-12-0)

The OLeNet architecture was able to produce 99.8% accuracy and the same value for precision, recall, and F-Measure evaluations, respectively. The VGG16, Inception, and ResNet architectures produced an accuracy of 99.8%, 99.8%, and 97.1%, respectively. Being computationally lightweight in nature, the proposed shallow architecture is persistent in exhibiting better performance compared with the other pretrained deep CNN architectures.

## 5.2 Performance of OLeNet based on hyperparameters

The hyperparameters of the deep learning model govern the entire training process of model building. The performance comparison between the OLeNet architecture and other pretrained CNN models provides a clear insight into the importance of hyperparameter optimization. The hyperparameter performance of OLeNet (Figs. [18,](#page-13-0) [19,](#page-13-0) [20,](#page-13-0)

Table 4 Confusion matrix

Algorithm	TP	TN	FP	FN
<b>OLeNet</b>	1995	1996		
VGG16	1996	1996	3	
Inception	1994	1997	6	3
ResNet	1890	1988	110	12



#### <span id="page-12-0"></span> $\blacktriangleleft$  **Fig. 13** Confusion matrices





[21](#page-13-0), [22](#page-13-0), [23](#page-13-0), [24](#page-14-0), [25,](#page-14-0) [26](#page-14-0)) is compared with those of the other deep CNN architectures in terms of training accuracy, validation accuracy, training loss, and validation loss at each epoch.

To reduce the used computational power, minimization of the epoch value is required  $[14]$  $[14]$ . The performance of other pretrained models used for comparison and their visual representations (Figs. [20](#page-13-0), [21\)](#page-13-0) in this proposed work indicate the significance of hyperparameter optimization. The visual representation (Figs. [22](#page-13-0), [23\)](#page-13-0) clearly demonstrates that the proposed algorithm is capable of meeting the maximum accuracy and minimum loss within 20 epoch counts. In this work, it has been found that by reducing each epoch count, the computation time reduces by 11 s.

The VGG16 based-CNN model is capable of producing the maximum value in all the performance measures. Inception exhibits better performance compared with the ResNet architecture. The VGG16 and Inception architectures are capable of producing the same accuracy with OLeNet. The concept of identity mapping with the ResNet architecture complicates the network to obtain maximum accuracy in limited epoch counts, compared with other pretrained CNN models. The performance comparison between the CNN models and epoch values (Table [6\)](#page-14-0)



Fig. 14 Accuracy measure analysis

Fig. 15 Precision measure analysis

**0.93 0.94 0.95 0.96 0.97 0.98 0.99 1.00**

**Precision Measure**

Precision Measure



**0.998 0.999 0.997**

**Precision Comparison**

**OLeNet VGG16 Inception ResNet**

Fig. 16 Recall measure analysis





indicates the significance of hyperparameter optimization. The optimized version of LeNet exhibits better performance than the other CNN models (Figs. [22,](#page-13-0) [23,](#page-13-0) [24](#page-14-0), [25](#page-14-0), [26\)](#page-14-0) at minimum epoch counts.

The computational performance of all the CNN models can be analyzed by comparing the accuracy and loss values with respect to epoch count. In this proposed work, the

**0.945**

<span id="page-13-0"></span>

Fig. 18 OLeNet accuracy



Fig. 19 OLeNet loss



Fig. 20 Accuracy comparison of pretrained CNN models

maximum accuracy achieved is 99.8%. The VGG16- and Inception-based CNN models are capable of achieving this accuracy at the 45th and 47th epoch counts, respectively, whereas the proposed shallow OLeNet model is capable of achieving the same accuracy at the 19th epoch count. The minimum loss value is 0.01. The VGG-, Inception-, and ResNet-based CNN models can achieve this minimum loss at the 45th, 47th, and 42nd epoch counts, respectively, whereas the proposed OLeNet model can achieve this loss at the 18th epoch count.



Fig. 21 Loss comparison of pretrained CNN models



Fig. 22 OLeNet accuracy comparison with deep CNN models



Fig. 23 OLeNet loss comparison with deep CNN models

In addition to the maximum accuracy and minimum loss values, OLeNet can stabilize the model at minimum epoch values compared with other pretrained CNN models (Fig. [26\)](#page-14-0). The inclusion of the activation function along with the dropout function allows the model to skip the unwanted features at the minimum epoch count. The ReLU activation function improves the nonlinearity in the model,

<span id="page-14-0"></span>

Fig. 24 Epoch at maximum accuracy



Fig. 25 Epoch at minimum loss



Fig. 26 Epoch at stabilized values



thus enabling the model to extract the best feature set from the available features. Due to hyperparameter optimization, the proposed model gets trained within 220 s for 40,000 images of  $50 \times 50$  pixels within 20 epoch counts.

### 6 Conclusion

In this study, a shallow CNN-based architecture is employed for concrete surface crack detection. The entire procedure of the proposed method consists of fine-tuning of the LeNet-5 architecture with the METU dataset. Finetuning of the hyperparameters of LeNet-5 results in the establishment of OLeNet, which enables OLeNet to perform competitively with other pretrained deep learning models, such as VGG16, ResNet, and Inception. A shallow optimized CNN model (OLeNet) has been built and validated with 40,000 crack and non-crack images obtained from the METU dataset. Contrary to the previous research works, the proposed model does not depend on highquality images and high-end computational devices for building real-time crack detection models used to identify the concrete cracks on the structures. The validation of the proposed OLeNet architecture has proven to achieve a maximum accuracy of 99.8% with a minimum computation of 19 epoch counts with its shallow layer stack (deep CNN architectures require a minimum of 45 epoch counts to achieve 99.8% accuracy). In addition, the proposed model gets trained within 220 s to achieve maximum accuracy (deep CNN architectures require a minimum computation time of 524 s to achieve maximum accuracy). Rather than depending on high-quality images by deep CNN models, the proposed shallow OLeNet architecture is capable of achieving maximum accuracy using simple images.

The minimum layer stack arrangement and dependency on simple images reduce the computational time and operational time of the OLeNet architecture. Despite the advantages, the system is not fully automatic, which remains as a limitation for the proposed method. The proposed architecture can be employed using low-power computational IoT devices for image classification to develop a fully functioning autonomous system. Therefore, future studies shall concentrate on integrating the proposed shallow CNN architecture with low-power computational



<span id="page-15-0"></span>autonomous devices, such as unmanned aerial vehicles, to achieve enhanced performance.

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Code availability Not applicable.

#### Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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