ORIGINAL ARTICLE

Segmentation of english Offline handwritten cursive scripts using a feedforward neural network

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Abstract In the present paper, we used the Pixel Plot and Trace and Re-plot and Re-trace (PPTRPRT) technique for English offline handwritten curve scripts and leads. Unlike other approaches, the PPTRPRT technique prioritizes segmentation of words and characters. The PPTRPRT technique extracts text regions from English offline handwritten cursive scripts and leads an iterative procedure for segmentation of text lines along with skew and de-skew operations. Iteration outcomes provide for pixel spacebased word segmentation which enables segmentation of characters. The PPTRPRT technique embraces various dispensations in segmentation of characters from English offline handwritten cursive scripts. Moreover, various normalization steps allow for deviations in pen breadth and inscription slant. Investigational outcomes show that the proposed technique is competent at extracting characters from English offline handwritten cursive scripts.

Keywords PPTRPRT · Trace · Plot · Offline · Handwritten - Segmentation

1 Introduction

The recognition of English offline handwritten cursive script is of burning interest for researchers due to the high unevenness of scripting styles. The high gratitude tariff has been published to recognize remote digits, characters or words [\[11](#page-10-0), [12](#page-10-0), [15–24\]](#page-10-0). The gratitude performance achieved

& Manoj Kumar Sharma manoj186@yahoo.co.in for recognition systems of unconstrained English offline handwritten cursive scripts is drastically poorer. It has transpired since research focused on relevant domains wherever solitary words are implicated (e.g., handwritten cursive addresses, names on bank check lists and drafts or signature reading). Many pre-processing steps are performed by automatic English offline handwritten cursive script recognition systems, which allow for moderate deviation in the handwritten script and conserved information relevant to acknowledgement of the signature.

In this research article, we present a realistic technique for improving word and character segmentation [\[25](#page-10-0)] from offline scripts over the existing techniques. This technique will provide a concrete basis for design of optical character readers with the fine accuracy and low cost. In the realm of research, the ''Pixel Plot and Trace and Re-plot and Retrace'' (PPTRPRT) technique has been applied by the authors with Devanagari script segmentation [\[15](#page-10-0)] and has been newly applied in the reconstruction of offline handwritten English cursive scripts.

The present research article is arranged as follows: Sect. 2 gives a brief introduction of related works; Sect. [3](#page-1-0) defines mathematical notations used in the description algorithms; Sect. [4](#page-1-0) discusses the methodologies of the PPTRPRT technique; Sects. [5,](#page-4-0) [6](#page-5-0) and [7](#page-6-0) deal with word and character segmentation.

2 Related work

The researchers have published numerous approaches for improving segmentation of English offline handwritten cursive script. Dhaval et al. [\[1](#page-9-0)] tested segmentation by evaluating the local stroke geometry (imposed the width, height and aspect-ratio constraints in resultant characters),

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without making limiting assumptions on the characters size and number of characters in a word. This approach found the segmented text with the most average liveliness of the resulting characters. A possible location of the segmenting neighboring was described by a graph model. Ram et al. [[2,](#page-9-0) [14](#page-10-0), [15\]](#page-10-0) used text line contour estimation to analyze and place neighboring text line segments in their real boundaries. Impedovo et al. [\[3](#page-9-0)] has proposed a database for handwritten cursive basic words recognition. The database includes various instances of basic words for bank checks. Lee et al. [[4\]](#page-9-0) discussed over-segmentation of the words in a text line to reduce the chances of under-segmentation. Over-segmented words have been used in neural network binary validation systems for producing results on behalf of fitness function. Florence et al. [[5\]](#page-9-0) proposed a technique for selecting a certain place in a text line and checked that the selected places belong to a letter of a word, or a space between two words. Njah et al. [\[6](#page-9-0)] obtained segmentation of bank cheques using a new database. Shivram et al. [[7\]](#page-9-0) used English character features with geometrical points for the segmentation of postal addresses.

3 Mathematical terms

The use of the following standard symbols is strongly recommended (Table 1).

4 Methodology

In this Section, algorithms of the PPTRPRT technique are discussed in detail.

4.1 Overview of system design

The architecture of the PPTRPRT technique is given in Fig. [1](#page-2-0).

The pattern sets used in the current study are English offline handwritten cursive script and a feedforward neural network is used to draw desired outcomes with improved accuracy.

For evaluation of the PPTRPRT technique, a gigantic database of 49,000 character samples (Center for Microprocessor Application for Training Education and Research (CMATER), ICDAR-2005, Off-line Handwritten Numeral Database, WCACOM ET0405A-U, HP Labs India Indic Handwriting Datasets) is composed for training patterns.

The PPTRPRT technique extracts a text region from English offline handwritten cursive script images and passes it through an iterative process for segmentation of text lines. These extracted text lines were uses in skew and de-skew operations and skewed/de-skewed images were

Table 1 Mathematical notations in the segmentation

provided for white pixel-based word segmentation. The segmented words were used in an iterative process for segmentation of characters. The PPTRPRT technique embraces various dispensations to extract cursive

Fig. 1 Architecture of the PPTRPRT technique

characters from English offline handwritten cursive script. Normalization steps allow for deviations in pen breadth and inscription slant.

The PPTRPRT technique initiates with a segmentation algorithm.

Algorithm 1: Segmentation ()

Step 1 Load offline cursive English script file ε_i Step 2 Call preprocessing (ε_i)

The segmentation algorithm starts with uploading English offline handwritten cursive script ε_i . The outcome of an algorithm is shown in Fig. 2.

4.2 Pre-processing for character segmentation

The outcome of the segmentation algorithm is availed by the preprocessing algorithm for forthcoming operations.

Fig. 2 Original text image

Algorithm 2: Preprocessing (ε_i)

Step 1 Extract text segment $\beta_i = \varepsilon_i - \rho$

Fig. 3 Extraction of text image

Step 2 Extract text lines from β_i

(a) Define "PEAK_FACTOR" $(\Gamma_i) = 5$ and "THRESHOLD" $(E_i) = 1$

- (b) Obtain black and white image (Δ_i)
- (c) Generate histogram (Π_i)
- (d) Calculate upper peak $(Max\Gamma_i)$ and lower peak $(Min\Gamma_i)$
- (e) Calculate text line segmentation points (P_j)
- (f) Extract text line (S_i) and drop white parts (\exists_k)

Step 3 Skew correction (S_i)

In the above defined algorithm, operations start with extraction of the text segment β_i from α_i by removing noise ρ . This text segment β_i is applied for text line segmentation by calculating Max Γ_i and Min Γ_i using PEAK_FACTOR Γ_i with various adequate operations. Furthermore, using Max Γ_i , and Min Γ_i along with size of an image L one can calculate the text line segmentation points (P_j) . Moreover, it extracts the text line (S_i) from the text segment β_i by eliminating the white parts (\exists_k) and using the THRESH-OLD value. The outcome of an algorithm is shown in Fig. 3.

4.3 Skew correction

Moreover, the outcomes of the preprocessing algorithm were availed by the skew correction algorithm for further operations.

Algorithm 3: Skew correction (S_i)

- Step 1 Improve intensity of the black pixels (Bk)
- Step 2 Find the (x, y) coordinates of the lower pixels (low_bk)
- Step 3 Filter the irrelevant pixels F_i (low bk)
- Step 4 Calculate the slope angle $(\theta_i(S_i))$ of the text line using linear regression
- Step 5 Skew or de-skew the text line (S_i) with slope angle $(\theta_i(S_i)) (S_i^2 = \text{Screw } \theta_i \text{ or } De - \text{Screw } \theta_i)$

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Fig. 4 Slant detection of the text lines

Step 6 Remove the black corners from the skewed text line

$$
S_i^2 = S_i^1 - A_k
$$

Step 7 Slant detection (S_i^2, θ_i)

The above defined algorithm starts by calculating the $(x,$ y) coordinate of the lower pixels and filtering the irrelevant pixels F_i (low *bk*) from extracted text line (S_i) . The slope angle $(\theta_i(S_i))$ of the text line (S_i) is calculated using linear regression $(\theta_i(S_i))$ and removes the black corners with the skewed operation $S_i^2 = S_i^1 - A_k$. The outcomes of an algorithm are shown in Fig. 4.

4.4 Slant detection and correction

Moreover, outcomes of the skew correction algorithm (skewed text line S_i^2 along with the angle θ_i) availed by the slant detection algorithm for further operations.

Algorithm 4: Slant Detection (S_i^2, θ_i)

- Step 1 Trace the regional boundaries of the image ω_i (S_i $\frac{1}{102}$
- Step 2 Define the ''min_strock_length'' and ''step_size''
- Step 3 Do histogram count operations (Π_i)
- Step 4 Find the indices and values of non-zero elements
- Step 5 Calculate the slant angle ϕ_i
- Step 6 Slant correction (S_i^2, ϕ_i)

Operation of the slant detection algorithm starts by tracing the regional boundaries of an image ω_i (S_i^2 (S_i^2) and by defining the min_strock_length and step_size. Moreover, using histogram count operations Π_i , the indices of the text line S_i^2 are traced and the non-zero elements are counted. A slant angle ϕ_i is calculated using indices and values of the non-zero elements. The outcomes of an algorithm are shown in Fig. [5.](#page-4-0)

Besides, slant angle ϕ_i along with the text line S_i^2 is used for slant correction operations.

Algorithm 5: Slant Correction (S_i^2, ϕ_i)

- Step 1 Calculate the height $(\xi_i) S_i^2$ $(\xi_i(S_i^2))$, width $(\eta_i(S_i^2))$ $(\eta_i(S_i^2))$ and depth $(\zeta_i(S_i^2))$ of the text line (S_i^2)
- Step 2 Define the new text line matrix $A_i(S_i^2)$ $\frac{1}{1}$ (c2)
- Step 3 Create a spatial transformation structure of the text line $(1 - \frac{1}{2})$
	- $R_i\left(A_i\left(S_i^2\right)\right)$
	- $T_i(A_i(S_i^2))$ $\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$
	- $U_i(A_i(S_i^2))$
- Step 4 Extract the final skewed text line $S_i^3 = (R_i - T_i + U_i)$ $\sqrt{c^3}$
- Step 5 Word segmentation (S_i^3)

In the above defined algorithm, the operation starts with calculation of the height $(\xi_i) S_i^2$ $(\xi_i(S_i^2))$, width $(\eta_i(S_i^2))$ $(\eta_i(S_i^2))$ and depth $(\zeta_i(S_i^2))$ of the text line (S_i^2) . These parameters are used in formulating a new text line matrix $A_i(S_i^2)$ (S_i^2) and spatial transformation structures $R_i(A_i(S_i^2)), T_i(A_i(S_i^2)),$ $U_i\big(A_i\big(S_i^2\big)$ $(A_i(S_i^2))$. These spatial transformation structures have been used for segmentation of skewed text line $S_i^3 = (R_i - T_i + U_i)$. The skewed text line $S_i^3 =$ $(R_i - T_i + U_i)$ is to be used in word segmentation. The outcomes of an algorithm are shown in Fig. 6.

5 Word segmentation

Moreover, the outcome of slant correction algorithm availed by the word segmentation algorithm.

Fig. 5 Slant detection of text lines Fig. 6 Slant correction of the text line

Fig. 7 White spaces between words

Algorithm 6: Word Segmentation (S_i^3) $\sqrt{c^3}$

Step 1 Generate a histogram Π_i

Step 2 Find the segmentation points of word Q_{ij}

- (a) Find white spaces $\lambda_{ij} = \sum \exists_k$
- (b) Cluster the text line to distinguish between white spaces $\Omega_{ij} = \aleph_i(S_i^3, \lambda_{ij})$

Step 3 Character segmentation (Ω_{ij})

The above algorithm starts by calculating a histogram Π_i and the white space $\lambda_{ij} = \Sigma \exists_k$. These white spaces are uses to calculate word segmentation points Q_{ij} . The outcomes of an algorithm are shown in Figs. 7 and [8.](#page-5-0)

The segmented words (Ω_{ij}) are to be used by character segmentation algorithm for segmentation of characters using a feedforward neural network model.

Fig. 8 Segmented words from the text line

Fig. 9 Feedforward backpropagation neural network training (20–5– $5 - 5$)

6 Feedforward neural network

In a multilayer feedforward neural network, output feeds forward from one layer of neurons to the next layer of neurons. A multilayer feedforward neural network can represent nonlinear functions and consists of one input layer; i.e., one or more hidden layers besides one output layer. Each layer has an associated weight [i.e., weight (w_0) feeds into the hidden layer and weight (z_0) feeds into the output layer]; there are neither backwards connections nor skipping connections between layers. These weights will adjust while training the network using a backpropagation algorithm. Typically, all input units are connected to hidden layer unit and hidden layer units are connected to the output units.

6.1 Input units

Input units feed data into the system without any processing; the value of an input unit is x_i , for j going for 1 to d input units along with a special input unit x_0 that contains a constant value 1 and provides bias to the hidden nodes.

6.2 Hidden units

Each hidden node calculates the weighted sum of its inputs and determines the output of the hidden node with a threshold function. The weighted sum of the inputs for hidden node z_h is calculated as:

$$
\sum_{j=0}^{d} w_{hj} x_j \tag{1}
$$

The threshold function applied at the hidden node is typically either a step function or a sigmoid function.

$$
sigmoid(a) = \frac{1}{1 + e^{-a}}\tag{2}
$$

The sigmoid function is a squashing function; it squashes input between 0 and 1. It applies to the hidden node for the weighted sum of inputs and generates output z_h for h going from 1 to H total number of hidden nodes.

$$
z_h = \text{ sigmoid}\left(\sum_{j=0}^d w_{hj} x_j\right) = \frac{1}{1 + e^{-\sum_{j=0}^d w_{hj} x_j}} \tag{3}
$$

6.3 Output units

Computation of the output node is either based on the type of problem (i.e., either a regression problem or a classification problem) or on the number of the output. The weights going into the output unit is v_{ih} , and have the bias input from hidden unit z_0 , where the input from z_0 is always 1. So, output unit i computes the weighted sum of its inputs as:

$$
o_i = \sum_{h=0}^{H} v_{ih} z_h \tag{4}
$$

In case of one output unit, the weighted sum is

$$
o = \sum_{h=0}^{H} v_h z_h \tag{5}
$$

6.4 Functions

6.4.1 Regression for single and multiple outputs

A regression function for the single output calculates the output unit value 'y' by a weighted sum of its inputs:

$$
y = o = \sum_{h=0}^{H} v_h z_h \tag{6}
$$

For multiple outputs, we calculate the output value of unit y_i

$$
y_i = o_i = \sum_{h=0}^{H} v_{ih} z_h \tag{7}
$$

6.4.2 Classification for two classes

One node can produce either 0 or 1; it can have one class correspond to 0 or 1, respectively, and generate output between 0 and 1.

$$
y = sigmoid(o) = sigmoid\left(\sum_{h=0}^{H} v_h z_h\right)
$$

$$
= \frac{1}{1 + e^{-\sum_{h=0}^{H} v_h z_h}}
$$
(8)

7 Character segmentation

7.1 Design of the training set

In simulation design of network learning, a multilayer feedforward neural network is utilized. The neural network is trained using 'gradient descent with momentum and adaptive learning rate back-propagation', 'gradient descent with momentum weight and bias learning function', 'mean squared normalized error performance function' and 'log-sigmoid transfer function'. Since every input pattern consist of 20 distinct features. A neural network has 20 processing units in the input layer. The architecture of the neural network consists of the input layer as well as two hidden layers with five units and one

Table 2 Distinct parameters

output layer with five units, i.e., a 20–5–5–5 architecture (see Fig. [9](#page-5-0)).

For evaluation of the PPTRPRT technique, a gigantic database was composed for training patterns. A

Fig. 12 Analytical graph of the final segmentation results of the proposed technique

Analytical Results

Fig. 13 Comparative analysis of the proposed technique with existing segmentation techniques

histogram of offline images is in 20 columns to deliberate the compactness of pixels. Moreover, we obtained 20 input pattern vectors (arranged in 20×1) of the training set for each English offline handwritten cursive script image. Output pattern vector corresponded to the input pattern vector which consists of 5×1 binary values. Sample test patterns were used to verify the performance of the qualified neural network. So, segmentation of characters over the segmented words is applied using a feedforward neural network.Algorithm 7: Character Segmentation (Ω_{ij})

- Step 1 Calculate all black pixels $\sum B_k(\Omega_{ij})$
- Step 2 Plot N pixels image $P_{jk}\bigl(\sum N_k,\,\sum B_k\bigl(\Omega_{ij}\bigr)\bigr)$

Step 3 Give image to the feedforward neural network and recall the given image from the given samples

$$
\psi_{jk} = ANN\Big(P_{jk}\Big(\sum N_k, \sum B_k(\Omega_{ij})\Big)\Big)
$$

If $(\psi_{jk} > 95\%)$, go to step 2, else $\psi'_{jk} = \psi_{jk} + P_{jk}\Big(\sum N_k, \sum B_k(\Omega_{ij})\Big)\psi_{jk} = \psi'_{jk}$
Repeat step 3

Step 4 Return (ψ_{jk})

A histogram Π_i is used in the above algorithm and calculate the black pixels $\Sigma B_k(\Omega_{ij})$ from the segmented words, and a plot of the N black pixels $P_{jk}(\Sigma N_k, \Sigma B_k(\Omega_{ij}))$ is used calculate the black pixels $\sum B_k(\Omega_{ij})$. Moreover, P_{jk} pixels are used by the feedforward

Data set	Noise correction	Noise correction	Skewed lines ratio	Un-skewed lines ratio	Skewed words	Un-skewed words ratio	Skewed characters	Un-skewed characters
	ratio	fail ratio			ratio		ratio	ratio
CMATER	90.078	9.022	99.97	0.03	100.00	0.0	99.99	0.01
$ICDAR-2005$	99.23	0.77	99.67	0.23	99.90	0.10	99.66	0.34
WCACOM ET0405A-U	98.45	1.55	99.43	0.57	99.95	0.05	100.0	$0.0\,$
HP Labs India Indic handwriting datasets	99.00	1.00	99.89	0.11	98.78	1.22	99.99	0.01
Off-line handwritten numeral database	99.43	0.57	99.32	0.68	99.65	0.35	99.83	0.17

Table 4 Final segmentation results of the proposed technique using different datasets

Data set	Scanned text images	Words	Characters	Segmentation rate of lines	$Un-$ segmented rate of lines	Segmentation rate of words	$Un-$ segmented rate of words	Segmentation rate of chars.	$Un-$ segmented rate of chars.
CMATER	250	5000	8000	100.00	0.0	99.45	0.55	95.57	4.43
ICDAR-2005	300	8000	11,000	100.00	0.0	99.00	1.00	97.32	2.68
WCACOM ET0405A-U	120	6400	19,000	100.00	0.0	100.00	0.0	96.00	4.00
HP Labs India Indic handwriting datasets	200	4000	9000	100.00	0.0	99.32	0.68	95.00	5.00
Off-line handwritten numeral database	180	3000	2000	100.00	0.0	99.76	0.24	96.78	3.22

Table 5 Comparative analysis of the proposed technique with existing segmentation techniques

neural network model to recall an image from the sample database.

$$
\psi_{jk} = ANN\Big(P_{jk}\Big(\sum N_k \, , \, \sum B_k\big(\Omega_{ij}\big)\Big)\Big) \tag{25}
$$

The PPTRPRT technique (which is based on the undersegmentation to over-segmentation approach) calculates ψ_{jk} using a feedforward neural network

where ψ_{jk} denoted the percentage of extracted features using feedforward neural network (FFNN).

If the recalled image ψ_{ik} matched with the sample pattern up to \geq 95 %, then proceed to the next set of N pixels. Otherwise, calculate ψ'_{jk} by adding N more pixels in the earlier calculation ψ_{ik} and proceed with further operations

$$
\psi_{jk}' = \psi_{jk} + P_{jk} \left(\sum N_k \, , \sum B_k \left(\Omega_{ij} \right) \right) \tag{26}
$$

The outcomes of an algorithm are shown in Fig. [10.](#page-6-0)

8 Experiments and results

The PPTRPRT technique utilizes a gigantic database of offline handwritten samples (each sample has 10 to 20 lines) with distinct brightness and intensity. In this research article, descriptive algorithms are used with distinct parameters given in Table [2](#page-6-0). The outcomes of the algorithms are in the form of lines, words and characters (see Figs. [1,](#page-2-0) [2,](#page-2-0) [3,](#page-3-0) [4](#page-3-0), [5](#page-4-0), [6](#page-4-0), [7,](#page-4-0) [8,](#page-5-0) [9](#page-5-0), [10](#page-6-0), [11](#page-7-0), [12,](#page-7-0) [13\)](#page-7-0).

The PPTRPRT technique starts its execution with the preprocessing algorithm by extracting text regions and segmenting text lines from English offline handwritten cursive script images (see Fig. [3](#page-3-0)). These segmented text lines (see Fig. [4\)](#page-3-0) passed through the skew correction algorithm, and skewed lines were used by the slant detection algorithm (see Fig. [5](#page-4-0)). The detected line slantswere then used by the slant correction algorithm (see Fig. [6](#page-4-0)). The pre-processing results from noise detection/correction to skewed/un-skewed character ratio is given in Table [3.](#page-8-0)

Figure [11](#page-7-0) shows the analytical performance graph of the pre-processing operations. The graph is showing achieved and un-achieved performance ratios for distinct datasets used in the experiments.

Therefore, the slant-corrected lines were used by the white pixel-based word segmentation algorithm (see Figs. [7,](#page-4-0) [8\)](#page-5-0) and the outcomes of word segmentation were used by the characters segmentation algorithm. A multilayer FFNN is designed for network learning, and the network is trained with 'gradient descent with momentum and adaptive learning rate back-propagation', a 'gradient descent with momentum weight and bias learning function', a 'mean squared normalized error performance function' and a 'log-sigmoid transfer function', and the architecture of the network is 20–5–5–5 (see Fig. [9](#page-5-0)). Outcomes of the PPTRPRT technique are encouraging enough and analyses of the results are presented in Figs. [11](#page-7-0) and [13.](#page-7-0)

Figure [12](#page-7-0) shows the analytical performance graph between the final segmentation results of the proposed technique for distinct datasets used in the experiments (Table [4](#page-8-0)).

Finally, in the proposed work, the PPTRPRT technique provides the best segmentation results, up to 97.32 %, using a FFNN as a classifier; the size of data set was 49,000. Therefore, a comparative analysis of the proposed technique with existing techniques is given in Table [5.](#page-8-0)

Figure [13](#page-7-0) shows a results analysis of the proposed technique as compared to the performance of the existing techniques. Our future work intends to extend the scope of the PPTRPRT technique to segmentation of multilingual offline handwritten scripts.

9 Conclusion and further work

This research work presents a realistic technique for character segmentation of English offline handwritten cursive scripts using a FFNN. The PPTRPRT technique is a new technique for reconstructing English offline handwritten cursive and is driving the results by keeping an approach between under-segmentation and over-segmentation. The technique will provide a concrete basis by which design of an optical character reader with fine accuracy and low cost will be achieved.

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