#### **ORIGINAL ARTICLE**



## Prevalence, risk factors, and trajectories of sleep disturbance in a cohort of African-American breast cancer survivors

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#### Abstract

**Purpose** Sleep disturbance may be an overlooked modifiable risk factor for health disparities among African-American breast cancer survivors (AABCS). This study aimed to identify the prevalence of and risk factors for sleep disturbance in a cohort of AABCS.

**Methods** The study was conducted among participants in the Women's Circle of Health Follow-up Study, a longitudinal study of breast cancer in 10 counties in New Jersey. Cases were identified shortly after diagnosis by the New Jersey State Cancer Registry. Self-reported sleep disturbance (Pittsburgh Sleep Quality Index) and other factors (e.g., socioeconomic status, menopausal status) were assessed at pre-diagnosis (n = 637), 10 months post-diagnosis (n = 261), and 24 months post-diagnosis (n = 632). Clinical data were obtained via medical record abstraction, and height and weight were measured by study staff.

**Results** Most AABCS (57%) reported clinically significant sleep disturbance before diagnosis, and this rate remained largely unchanged at 10 months (53%) and 24 months post-diagnosis (61%). Average sleep disturbance scores indicated clinically significant disturbance at all three assessments (*M* range = 6.67-7.57). Most reported sleeping fewer than the recommended 7 hours per night at each assessment (range 57-65%). Risk factors for sleep disturbance were identified at each assessment, including pre-diagnosis (less education), 10 months post-diagnosis (lack of insurance, treatment with chemotherapy), and 24 months post-diagnosis (younger age, less education, lower income, obesity, and lymphedema). Treatment with endocrine therapy was a protective factor at 10 months post-diagnosis.

**Conclusion** Most AABCS report clinically significant sleep disturbance from before diagnosis through 24 months post-diagnosis. These rates appear indicate AABCS experience significant sleep-related disparities.

**Keywords** Cancer  $\cdot$  Oncology  $\cdot$  Sleep  $\cdot$  Quality of life  $\cdot$  African-American or African-American cancer survivors  $\cdot$  Breast neoplasms  $\cdot$  Survivorship

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#### Background

Recent attention has focused on understanding disparities in outcomes among African-American breast cancer survivors (AABCS). Recent attention is due in part because of higher breast cancer mortality and worse quality of life (QoL) [1] among African-American women compared with white women [2]. Sleep disturbance is an often-overlooked and potentially modifiable risk factor that may contribute to poor survival, QoL, and medication adherence. We define sleep disturbance in this study as difficulty falling or staying asleep. Although evidence is mixed, sleep disturbance has predicted survival among cancer survivors in some studies [3, 4]. Although there is a lack of consensus on how to define "short" sleep duration, the literature among the general population [5-7] and among cancer survivors [8, 9] has generally shown that sleeping fewer than the consensus recommendation of 7 h per night [10] is associated with worse survival. Data from chronically ill patients provide evidence that sleep disturbance may account for some disparities in treatment adherence [11–14]. Moreover, sleep disturbance is strongly linked with impaired QoL in cancer patients. One study of women receiving breast cancer treatment found sleep disturbance was associated with worse physical and mental quality of life [15]. Another study of women with ovarian cancer found a temporal relationship, such that improvements in sleep quality from diagnosis to 1 year later were associated with improvements in QoL [16]. This relationship remained after adjusting for disease stage; menopausal status at diagnosis; and use of medications for sleep, depression, anxiety, and pain.

Studies among white breast cancer survivors have demonstrated high rates of sleep disturbance. A cohort study of mostly white breast cancer patients in Canada found that rates of sleep disturbance as long as 18 months after diagnosis remained as high as 42% [17]. In another US study, breast cancer survivors reported significantly worse sleep disturbance than matched controls in both white and African-American patients [18]. Similarly, a study comparing 62 African-American breast cancer survivors (AABCS) to 78 African-American women with no history of cancer found AABCS to report significantly worse sleep disturbance than controls [19]. However, little is known about the prevalence and longevity of sleep disturbance among AABCS. One study with AABCS that assessed sleep disturbance using a singleitem measure found that 50% reported sleep disturbances over 4 years after diagnosis [20]. Only one study has assessed the prevalence of sleep disturbance in an African-American cancer patients using a validated scale. This cross-sectional sample of AABCS ranging from 1 to 18 years (mean = 7 years) post-diagnosis found that 43% reported clinically significant sleep disturbance [21]. Overall, the current literature suggests that sleep disturbance is a major issue in AABCS, but few studies have evaluated the prevalence or risk factors for sleep

disturbance among African-Americans using validated measures.

This study aimed to examine risk factors and change over time in sleep disturbance spanning the time prior to breast cancer diagnosis through 2 years post-diagnosis in a longitudinal cohort of AABCS. Based on previous research showing elevated rates of sleep disturbance among breast cancer survivors and higher rates among AA women compared with white women, we hypothesize that a majority of AABCS will report clinically significant sleep disturbance at 10 months after diagnosis. We also hypothesize that the percentage of AABCS who report clinically significant sleep disturbance will decrease from 10 to 24 months post-diagnosis.

#### Methods

#### Participants and procedure

This study used data from two assessments conducted approximately 10 months (i.e., the baseline assessment) and 24 months after diagnosis in the Women's Circle of Health Follow-up Study, an ongoing population-based longitudinal study of AABCS. The study has been described in detailed elsewhere [22]. In brief, the study was built upon the Women's Circle of Health Study, which was conducted between 2006 and 2014 and aimed to evaluate risk factors for early-onset and aggressive breast cancer in African-American women [23-27]. Potentially eligible cases were identified using rapid case ascertainment through the New Jersey State Cancer Registry. Eligibility criteria included self-identification as African-American, diagnosis of histologically confirmed invasive or ductal carcinoma in situ breast cancer, residence in one of ten counties in New Jersey, age 20-75 years, no previous history of cancer other than non-melanomatous skin cancer, and ability to speak English. In 2014, with additional funding, we continued to recruit newly diagnosed African-American breast cancer cases using the same criteria and expanded the study to evaluate breast cancer survivorship and outcomes by collecting data on QoL and risk factors related to prognosis, including treatment, comorbidities, patient-related symptoms, and patient-reported outcomes. Medical records data were verified by medical record abstraction and data linkage with the New Jersey State Cancer Registry. The study protocol (ID no. Pro2017000069) was approved by the Institutional Review Board at Rutgers, The State University of New Jersey, and Roswell Park Comprehensive Cancer Center, and all participants provided written informed consent before participating.

#### Measures

**Demographic and clinical factors** Participants completed an in-person home interview with a trained interviewer who collected information on sociodemographic, reproductive, lifestyle, and medical history 1 year before diagnosis, as well as clinical factors. Pre-diagnostic self-reported height and weight were collected, and participants' body measurements at the time of the interview were collected by trained interviewers using a standardized protocol [25, 28]. Medical records, including pathology reports, were obtained and abstracted to assess tumor stage, diagnosis and treatment information, and other clinical factors.

Sleep disturbance Sleep disturbance was assessed using the Pittsburgh Sleep Quality Index (PSQI) [29]. This 19-item scale assesses 7 components of sleep quality: subjective sleep quality, sleep latency, sleep duration, habitual sleep disturbances, use of sleep medication, and daytime dysfunction over the past month. Items ask respondents to indicate "how long (in minutes) has it usually taken you to fall asleep each night" and "how would you rate your sleep quality overall"? A sleep efficiency score is calculated as a percentage of the time spent in bed that is spent asleep. Global sleep disturbance scores  $\geq 5$  indicate clinically significant sleep disturbance [29], as does a sleep efficiency score < 85% [30, 31]. This scale has been validated, demonstrated to be reliable, and is widely used in studies of cancer patients [32, 33]. In this sample, the internal consistency reliability of the PSQI measure was adequate with Cronbach's alpha values at each assessment ranging from .70 to .73.

The PSQI was administered at the baseline assessment to solicit data on sleep disturbance in the year prior to diagnosis as well as on sleep disturbance in the month prior to the baseline assessment ( $\sim 10$  months after diagnosis). At the 24-month assessment, sleep disturbance was again assessed for the prior month. The assessment of sleep disturbance in the month prior to the baseline interview was later removed from the protocol to reduce participant burden; thus, fewer participants reported data at this assessment than at the other two assessments.

#### Statistical analyses

Analyses included participants recruited between October 2012 and March 2019 who provided sleep disturbance data retrospectively for the time prior to diagnosis (n = 637), at 10 months after diagnosis (n = 261), or at 24 months after diagnosis (n = 632). Descriptive statistics were used to describe the sample on demographic and clinical characteristics. Next, we used descriptive statistics to describe sleep disturbance at each assessment.

We used two sets of analyses to identify risk factors for sleep disturbance. First, we conducted chi-square tests to identify risk factors for sleep disturbance in bivariate analyses. Second, we fitted age-adjusted and fully adjusted (adjusting for age, menopausal status at diagnosis, education, and BMI) logistic regression models to identify additional risk factors that were independently associated with sleep disturbance above and beyond the effects of these covariates that are commonly associated with sleep disturbance in the literature. We then conducted multivariate logistic regression analyses including only those risk factors that were associated with sleep disturbance in any of the previous bivariate, age-adjusted, or fully adjusted models.

Lastly, we plotted change in sleep disturbance over time among the subset of AABCS who provided sleep data at all three assessments (n = 138). Analyses were conducted with SAS 9.4 (SAS Institute, Cary, NC) using an alpha level of .05.

#### Results

#### Participants

The left-most column of Table 1 provides demographic and clinical characteristics of those who provided data on prediagnostic sleep disturbance. Participants were on average 54.99 years of age (SD = 10.71), 67% had completed at least some college, and 38% were married. Table 1 presents results of chi-square analyses identifying demographic and clinical factors associated with clinically significant sleep disturbance at each assessment. At pre-diagnosis, less education was a risk factor for sleep disturbance (p < .01). At 10 months post-diagnosis, risk factors for sleep disturbance included lack of health insurance and treatment with chemotherapy ( $ps \leq$ .03); treatment with endocrine therapy was a protective at 10 months post-diagnosis (p = .02). At 24 months post-diagnosis, risk factors for sleep disturbance included younger age at diagnosis, less education, less household income, greater postdiagnosis BMI, and lymphedema at 24 months ( $ps \le .03$ ).

#### Sleep disturbance

Descriptive statistics for sleep disturbance are presented in Table 2. Average Pittsburgh Sleep Quality Index scores at all timepoints (range = 6.67 to 7.57) were above 5, the level indicating clinically significant sleep disturbance. The majority of AABCS reported clinically significant sleep disturbance prior to diagnosis (57%), 10 months after diagnosis (53%), and 24 months after diagnosis (61%). Average sleep efficiency was approximately 78% and 79% at each assessment, below the accepted cutoff of 85%. Participants reported sleeping approximately 6 hours per night on average at each assessment, below the recommended 7 hours. Most (57-65%)

#### Demographic and clinical characteristics as well as associations with sleep disturbance (WCHSF 2012-2019) Table 1

Participant characteristics ( $n = 637$ )		Number (%) with clinically significant sleep disturbance								
			agnosis ( $n = 637$ ) 10 months post-D			post-Dx (n =	x (n = 261) 24 mc		on the post-Dx $(n = 632)$	
	n (%)	Yes	No	р	Yes	No	р	Yes	No	р
Age (years)				.19			.63			< .01
< 50	191 (30)	117 (32)	74 (27)		47 (34)	35 (29)		128 (33)	68 (27)	
50-60	192 (30)	114 (31)	78 (29)		42 (30)	37 (30)		138 (36)	68 (27)	
> 60	254 (40)	135 (37)	119 (44)		50 (36)	50 (41)		119 (31)	111 (45)	
Education	205 (22)	115 (22)	00 (22)	< .01	40 (25)	20 (22)	.10	146 (20)	75 (20)	.054
$\leq$ High school	205 (32)	117 (32)	88 (33)		48 (35)	28 (23)		146 (38)	75 (30)	
Some college	220 (35)	146 (40)	74 (27)		49 (35)	46 (38)		131 (34)	82 (33)	
College or grad degree	212 (33)	103 (28)	109 (40)		42 (30)	48 (39)		108 (28)	90 (37)	
Marital status		221 ((2))	1(0)(0)	.36	00 ((2))	(0.(57))	.31	0(1)((0))	1.51 (61)	.09
Not married	393 (62)	231 (63)	162 (60)		88 (63)	69 (57)		261 (68)	151 (61)	
Married	242 (38)	133 (37)	109 (40)		51 (37)	53 (43)		124 (32)	96 (39)	
Annual household income	17( (20)	110 (22)	(1.00)	.10	27 (20)	20 (27)	.89	12((27)	(2 (27)	.03
< \$25,000	176 (30)	112 (33)	64 (26)		37 (28)	30 (27)		136 (37)	63 (27)	
\$25,000-\$69,000	214 (37)	113 (33)	101 (41)		48 (36)	44 (39)		120 (33)	89 (38)	
≥\$70,000	194 (33)	113 (33)	81 (33)		49 (36)	39 (34)		110 (30)	84 (35)	
Insurance status	(1,(10))	25 (10)	2((10)	.52	00 (17)	0 (7)	.03	4.4 (1.1)	10 (7)	.08
None	61 (10)	35 (10)	26 (10)		23 (17)	9 (7)		44 (11)	18 (7)	
Medicaid	76 (12)	49 (13)	27 (10)		10 (7)	18 (15)		48 (13)	20 (8)	
Medicare	119 (19)	64 (18)	55 (20)		20 (14)	24 (20)		66 (17)	48 (20)	
Private/other	374 (59)	212 (59)	162 (60)		85 (62)	71 (58)		224 (59)	160 (65)	
Smoking status				.15			.92			.17
Never	372 (58)	209 (57)	163 (60)		87 (63)	74 (61)		237 (62)	140 (57)	
Former	162 (26)	89 (24)	73 (27)		35 (25)	31 (25)		85 (22)	71 (29)	
Current	103 (16)	68 (19)	35 (13)		17 (12)	17 (14)		63 (16)	36 (14)	
Menopausal status				.35			.61			.07
Pre-menopausal	220 (35)	132 (36)	88 (33)		53 (38)	42 (34)		141 (37)	73 (30)	
Post-menopausal	413 (65)	231 (64)	182 (67)		86 (62)	80 (66)		242 (63)	174 (70)	
Pre-diagnostic BMI (kg/m <sup>2</sup> )				.13			.14			.09
< 25	86 (13)	44 (12)	42 (16)		14 (10)	21 (17)		57 (15)	40 (16)	
25–29	189 (30)	102 (28)	87 (32)		41 (30)	40 (33)		94 (24)	78 (32)	
$\geq$ 30	360 (57)	219 (60)	141 (52)		84 (60)	61 (50)		233 (61)	129 (52)	
24 months post-diagnosis BMI				.51			.46			.03
< 25	47 (14)	25 (12)	22 (16)		8 (10)	12 (18)		51 (13)	36 (15)	
25–29	90 (27)	53 (26)	37 (28)		22 (28)	18 (26)		94 (25)	82 (33)	
$\geq$ 30	201 (59)	125 (62)	76 (56)		48 (62)	38 (56)		237 (62)	128 (52)	
Pre-diagnostic type 2 diabetes				.70			.88			> .99
No	495 (78)	282 (77)	213 (79)		111 (80)	99 (81)		298 (78)	192 (78)	
Yes	142 (22)	84 (23)	58 (21)		28 (20)	23 (19)		86 (22)	55 (22)	
Pre-diagnostic hypertension				.51			> .99			.80
No	243 (38)	144 (39)	99 (37)		86 (62)	75 (61)		155 (40)	102 (41)	
Yes	394 (62)	222 (61)	172 (63)		53 (38)	47 (39)		230 (60)	145 (59)	
AJCC stage				.85			.26			.19
0	120 (23)	72 (24)	48 (22)		25 (20)	32 (28)		71 (20)	58 (26)	
I/II	363 (70)	206 (69)	157 (71)		90 (70)	75 (65)		256 (71)	152 (67)	
III/IV	35 (7)	20 (7)	15 (7)		13 (10)	8 (7)		32 (9)	15 (7)	
Surgery type				.76			.10			.09
None	46 (7)	28 (8)	18 (7)		14 (10)	10 (8)		24 (6)	19 (8)	
Lumpectomy	421 (66)	237 (65)	184 (68)		83 (60)	88 (72)		240 (62)	169 (69)	

#### Table 1 (continued)

Participant characteristics (n = 637)

Number (%) with clinically significant sleep disturbance

r an expansion function sites $(n - 0.57)$										
		Pre-diagno	gnosis ( $n = 637$ )		10 months post-Dx ( $n = 261$ )		24 months post-Dx ( $n = 632$ )			
Mastectomy	n (%) 168 (27)	Yes 99 (27)	No 69 (25)	р	Yes 42 (30)	No 24 (20)	р	Yes 121 (32)	No 58 (23)	р
Treated with chemotherapy				.63			.01			.06
No	311 (49)	175 (48)	136 (50)		58 (42)	72 (59)		180 (47)	134 (54)	
Yes	324 (51)	189 (52)	135 (50)		81 (58)	50 (41)		205 (53)	112 (46)	
Treated with radiotherapy				.80			.53			.19
No	223 (35)	126 (35)	97 (36)		59 (42)	46 (38)		171 (44)	95 (39)	
Yes	411 (65)	237 (65)	174 (64)		80 (58)	75 (62)		214 (56)	150 (61)	
Treated with endocrine therapy				.57			.02			.33
No	295 (47)	173 (48)	122 (45)		81 (59)	53 (44)		188 (49)	110 (45)	
Yes	338 (53)	190 (52)	148 (55)		57 (41)	68 (56)		196 (51)	135 (55)	
Lymphedema at 24 months				.26			.39			< .01
No	616 (97)	351 (96)	265 (98)		131 (94)	118 (97)		348 (90)	240 (97)	
Yes	21 (3)	15 (4)	6 (2)		8 (6)	4 (3)		37 (10)	7 (3)	

Some categories do not add up to N = 637, n = 261, or n = 632 due to missing data. Clinically significant sleep disturbance was defined as having a Pittsburgh Sleep Quality Index score  $\geq 5$  [29]. Treatment information is based on participant self-report

reported sleeping fewer than 7 hours per night. The proportion of participants reporting sleep efficiency below the cutoff of 85% ranged from 54 to 56%.

#### Change over time in sleep disturbance

The course of sleep disturbance is presented in Fig. 1 among patients with data at all three assessments (n = 138), and similar trends are demonstrated in Supplementary Figure 1 for the n = 317 participants who reported sleep disturbance data at

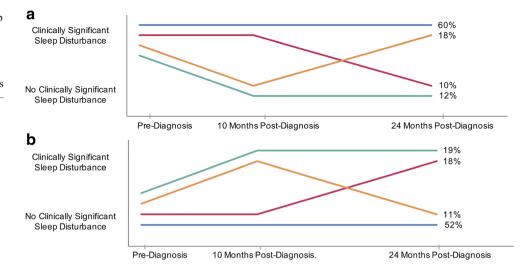
pre-diagnosis and 24 months post-diagnosis. Most (60%) of these 138 participants reported clinically significant sleep disturbance at pre-diagnosis. Of these, most (60%) sustained clinically significant sleep disturbance at the 10-month and 24-month assessments. An additional 18% temporarily remitted at the 10-month assessment but returned to clinically significant levels at the 24-month assessment. Sleep disturbance had resolved by the 24-month assessment in only 22% of women reporting sleep disturbance at prediagnosis.

Table 2 Sleep quality in the year before diagnosis as well as 10 months and 24 months post-diagnosis (WCHFS 2012–2019)

Sleep outcome	Pre-diagnosis	10 months	24 months post-diagnosis $(n = 632)$	
	(n = 637)	post-diagnosis $(n = 261)$		
PSQI score (mean (SD))	6.84 (4.29)	6.67 (4.03)	7.57 (4.53)	
Clinically significant sleep disturbance <sup>1</sup>				
No, N (%)	271 (42.54%)	122 (46.74%)	247 (39.08%)	
Yes, N (%)	366 (57.46%)	139 (53.26%)	385 (60.92%)	
Sleep efficiency (mean (SD))	79.20 (18.54)	79.11 (18.66)	77.69 (19.55)	
Sleep efficiency < 85%				
No, N (%)	296 (46.47%)	119 (45.59%)	279 (44.15%)	
Yes, N (%)	341 (53.53%)	142 (54.41%)	353 (55.85%)	
Hours of overnight sleep (mean (SD))	5.88 (1.56)	6.08 (1.54)	5.92 (1.54)	
Sleep < 7 h per night				
No, N (%)	229 (35.95%)	112 (42.91%)	221 (34.97%)	
Yes, N (%)	408 (64.05%)	149 (57.09%)	411 (65.03%)	

PSQI, Pittsburgh Sleep Quality Index; SD, standard deviation

<sup>1</sup> Clinically significant sleep disturbance was defined as a Pittsburgh Sleep Quality Index (PSQI) score  $\geq$  5 [29]



Of the 40% whose sleep disturbance score was not above the cutoff for clinical significance at pre-diagnosis, over a third (37%) went on to develop clinically significant sleep disturbance by the 24-month assessment. An additional 11% temporarily developed clinically significant levels at the 10month assessment but resolved at the 24-month assessment. Most (52%) continued to report sub-clinical levels of sleep disturbance at the 10-month and 24-month assessments.

# Demographic and clinical risk factors for sleep disturbance

Results from age-adjusted and fully adjusted (e.g., adjusted for age, menopausal status, and BMI) logistic regression models predicting clinically significant sleep disturbance from sociodemographic factors are presented in Supplementary Table 1 and from clinical factors are presented in Supplementary Table 2. At pre-diagnosis, less education was a risk factor for sleep disturbance in age- and fully adjusted models ( $ps \leq .01$ ). At 10 months post-diagnosis, treatment with chemotherapy was associated with higher risk of sleep disturbance, whereas patients with health insurance and who were treated with endocrine therapy were less likely to have sleep disturbance in the age- and fully adjusted models ( $ps \leq$ .03). At 24 months post-diagnosis, younger age, less education, lower income, obesity, and lymphedema at 24 months were significant risk factors for sleep disturbance in the ageadjusted model ( $ps \le .03$ ). Younger age, less education, and lymphedema remained significant risk factors for sleep disturbance at 24 months in the fully adjusted model ( $ps \le .03$ ).

Table 3 presents results from multivariate models to identify which of those risk factors identified in previous models remained independently associated with sleep disturbance. We included only those risk factors that emerged as statistically significant in bivariate chi-square analyses, presented in Table 1, or multivariate logistic regression analyses, presented in Supplementary Tables 1 and 2. Thus, at pre-diagnosis, the only risk factor included in the model was education because it was the only risk factor associated with pre-diagnostic sleep disturbance in previous analyses. Less education remained significantly associated with higher risk of sleep disturbance (p < .01), with patients who had attended some college being more likely to report significant sleep disturbance before diagnosis than patients with less than or equal to high school education (OR = 1.48, 95% CI = 1.001, 2.20). At 10 months post-diagnosis, lack of health insurance and treatment with chemotherapy were independently associated with higher risk of sleep disturbance (ps = .04). At 24 months post-chemotherapy, younger age, higher BMI at 24 months post-diagnosis, and lymphedema at 24 months post-diagnosis were independently associated with higher risk of sleep disturbance at 24 months post-diagnosis ( $ps \le .02$ ).

### Discussion

This study is the largest to our knowledge to characterize sleep disturbance in African-American cancer survivors and the first to longitudinally examine sleep quality. We examined changes occurring from the time of diagnosis to 2 years post-diagnosis. As we hypothesized, most AABCS reported clinically significant sleep disturbance 10 months after diagnosis. Contrary to hypotheses, the rate of clinically significant sleep disturbance did not decline at 24 months after diagnosis. On average, participants also reported sleeping approximately 6 h of sleep per night, below the recommended 7 h per night. Risk factors for sleep disturbance included less education (likely a proxy for socioeconomic status), younger age, greater BMI, treatment with chemotherapy, and lymphedema. Protective factors included higher income, having medical insurance, and treatment with endocrine therapy.

 Table 3
 Multivariate models identifying risk factors for sleep disturbance at pre-diagnosis as well as 10 and 24 months post-diagnosis (WCHFS 2012–2019)

Predictor/variable	Pre-diagnosis ( $n = 6$	37)	10 months post-diagnos	sis $(n = 261)$	24 months post-diagnosis ( $n = 632$ )		
	Estimate (95% CI)	р	Estimate (95% CI)	р	Estimate (95% CI)	р	
Education		< .01				.32	
$\leq$ High school	Referent				Referent		
Some college	1.48 (1.001-2.20)				0.80 (0.52-1.22)		
College or grad degree	0.71 (0.48–1.05)				0.70 (0.44–1.13)		
Insurance status				.04			
None			Referent				
Medicaid			0.21 (0.07-0.65)				
Medicare			0.39 (0.14–1.07)				
Private/other			0.55 (0.24-1.30)				
Treated with chemotherapy				.04			
No			Referent				
Yes			1.76 (1.03–3.03)				
Treated with endocrine therapy				.13			
No			Referent				
Yes			0.67 (0.39–1.13)				
Age (years)						< .01	
< 50					Referent		
50-60					1.03 (0.67–1.60)		
> 60					0.53 (0.35-0.80)		
Annual household income						.26	
< \$25,000					Referent		
\$25,000-\$69,000					0.71 (0.46–1.10)		
≥\$70,000					0.71 (0.44–1.15)		
BMI at 24 months post-diagnosis						.02	
< 25					Referent		
25–29					0.85 (0.49–1.48)		
$\geq$ 30					1.44 (0.87–2.40)		
Lymphedema at 24 months						<.01	
No					Referent		
Yes					3.13 (1.34-7.30)		

BMI calculated as kilograms per square meter. Risk factors were included if they were associated with sleep disturbance at the respective assessment

More than half of the AABCS in this study experienced clinically significant sleep disturbance before diagnosis and at 10 and 24 months after diagnosis. This rate of clinically significant sleep disturbance (57-61%) is higher than the rate observed in a longitudinal study among predominantly white Canadian breast cancer survivors at 18 months after diagnosis (42%) [17]. These discrepant findings may be partly attributed to the previous study's use of a semi-structured clinical interview to assess sleep disturbance [17], which may have resulted in lower rates of sleep disturbance than the self-report measure used in the present study. Additionally, participants in the current sample may have had lower socioeconomic status, as evidenced by lower levels of education (33% had greater than or equal to college degree) than the previous sample (51% had greater than or equal to college degree) [34]. Socioeconomic status is consistently associated with greater sleep disturbance [35]; however, previous research has demonstrated that racial disparities in sleep disturbance persist independent of socioeconomic status [36]. Thus, in the context of existing literature, our findings suggest that AABCS experience high rates of sleep disturbance before diagnosis and perhaps higher rates than white breast cancer survivors well into the survivorship phase [17]. This difference may reflect an important disparity between white breast cancer survivors and AABCS that is similar to that observed in individuals without cancer [37–39].

The finding that the rate of sleep disturbance did not change over time after diagnosis is striking. We hypothesized that, as observed in the study of Canadian breast cancer survivors [17], AABCS would report a gradual reduction in clinically significant sleep disturbance over time. Instead, the rate remained stable with over 60% reporting clinically significant sleep disturbance approximately 24 months post-diagnosis. Similarly, longitudinal findings demonstrated that the vast majority of participants who reported clinically significant sleep disturbance before their diagnosis continued to have difficulty sleeping throughout the assessment period. There was also a high rate of development of new sleep disturbance among those who did not report sleep disturbance prior to diagnosis. In the subset of participants who reported sleep disturbance data at all three assessments, most who reported clinically significant sleep disturbance before diagnosis continued to report elevated sleep disturbance 24 months after diagnosis, suggesting sleep disturbance may be intractable in this cohort. Conversely, over a third of patients who reported sleeping well at pre-diagnosis went on to develop clinically significant sleep disturbance by the 24-month assessment. This increase may be attributed to the many stress-related and cancer treatmentrelated risk factors for sleep disturbance reported here and in previous research.

Survivors' average overnight sleep duration of approximately 6 h per night may also increase risk of adverse outcomes, given epidemiologic studies in the general population finding that individuals with short overnight sleep duration, generally defined as < 7 h per night [10], have higher risk of mortality [5, 40]. As with sleep disturbance, sleep duration remained largely unchanged over time in this study. The prevalence of insufficient sleep in this sample of AABCS (57-65%) was higher than the 47% prevalence observed in a nationwide cohort of 3750 African-American adults [41]. Additional research is needed to examine whether lower sleep duration represents a significant factor contributing to cancer health disparities among AABCS. However, research in noncancer populations has consistently shown that African-Americans are more likely to report lower overnight sleep duration than their white counterparts and that this disparity has worsened over time [6, 42].

We identified several risk factors associated with worse sleep disturbance among AABCS. African-American breast cancer survivors who were less educated were more likely to report sleep disturbance. These findings are consistent with findings in the non-cancer and cancer literature [42, 43]. We also found that obesity was associated with sleep disturbance. This may be attributed to the greater risk of obstructive sleep apnea among overweight and obese individuals [44]. Future studies should aim to disentangle the prevalence in AABCS of various sleep disorders, such as sleep apnea and insomnia.

A novel finding is that persistent lymphedema was associated with worse sleep disturbance. We are unaware of any studies reporting this association among African-American population. A previous qualitative study found that breast cancer survivors with lymphedema commonly reported sleep disturbance [45], and a randomized trial of a lymphatic drainage intervention showed improvements in sleep [46]. Lymphedema may disturb survivors' sleep due to pain experienced while putting pressure on one's swollen arm. Attempting to address this barrier to sleep was reportedly difficult in previous studies. In the qualitative study, survivors reported taking steps to avoid the pain associated with lymphedema while sleeping, such as using pillows to elevate their arms while in bed [45]. Those who slept on their sides tried sleeping only on the side without swelling. Future intervention studies should aim to target lymphedema as a source of sleep disturbance in breast cancer survivors.

Strengths of the study include the examination of sleep disturbance at clinically important assessment points, using a validated scale assessing sleep disturbance, and the simultaneous collection of risk factor data obtained during in-person interviews. However, some limitations should be noted. Pre-diagnostic sleep quality was retrospectively assessed by asking participants to recall their sleeping habits 1 year before diagnosis, which may have introduced some degree of recall bias. Another limitation is that not all participants completed the measure of sleep disturbance at each assessment because measure was included in the study assessments after recruitment had begun. However, distributions for major variables of interest for the subset of participants with the three assessments and those with only two assessments were similar (Supplementary Table 3). Lastly, we assessed sleep disturbance using a validated self-report scale rather than a clinical interview and did not employ objective tools that would permit distinguishing between distinct sleep disorders (e.g., insomnia, obstructive sleep apnea, restless leg syndrome). Future research on this topic would be strengthened by measuring sleep disturbance closer to the time of diagnosis, collecting complete sleep disturbance data on all participants at each assessment, and combining objective and subjective measures.

In conclusion, these findings suggest AABCS may be particularly vulnerable to sleep disturbance and suggest risk factors for worse sleep disturbance at various points along the survivorship continuum. Given the adverse consequences of chronic sleep disturbance in cancer survivors, clinicians may wish to inquire about sleep quality in AABCS, particularly those with the risk factors identified in this study. Future studies should aim to replicate and extend these findings and examine biological and psychological mechanisms of these risk factors and the consequences of sleep disturbance in AABCS.

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**Data availability** The data that support the findings of this study are available on request from the corresponding author. The data are not publicly available due to privacy or ethical restrictions.

#### **Compliance with ethical standards**

**Conflict of interest** HJ: Red Hill Biopharma, Janssen Scientific Affairs. BG: SureMed Compliance, Elly Health. All the other authors declare that they have no conflict of interest.

**Ethics approval** The study protocol (ID no. Pro2017000069) was approved by the Institutional Review Board at Rutgers, The State University of New Jersey, and Roswell Park Comprehensive Cancer Center.

**Consent to participate** All participants provided written informed consent before participating.

**Consent for publication** All authors have consented to publishing this manuscript.

**Code availability** The codes that support the findings of this study are available on request from the corresponding author.

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