

The effect of melatonin on sleep and quality of life in patients with advanced breast cancer

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Abstract

Background Fatigue and sleep problems are prevalent in cancer patients and can be associated with disruption of circadian rhythmicity. In this prospective phase II trial, we sought to assess the effect of melatonin on circadian biomarkers, sleep, and quality of life in breast cancer patients.

Methods Thirty-two patients with metastatic breast cancer, receiving hormonal or trastuzumab therapy, took 5 mg of melatonin at bedtime for 2 months. Before starting and after 2 months on melatonin therapy, sleep and circadian rhythmicity were assessed by actigraphy, diurnal patterns of serum

cortisol, and the expression of the core clock genes *PER2* and *BMAL1* in peripheral blood mononuclear cells. The European Organisation for Research and Treatment of Cancer (EORTC) QLQ-C30 questionnaire was completed for subjective parameters.

Results Bedtime melatonin was associated with a significant improvement in a marker of objective sleep quality, sleep fragmentation and quantity, subjective sleep, fatigue severity, global quality of life, and social and cognitive functioning scales. Morning clock gene expression was increased following bedtime melatonin intake. Melatonin did not affect actigraphy measure of circadian rhythmicity, or the diurnal cortisol pattern.

Conclusion These results invite further investigation of melatonin as a potentially useful therapeutic agent for improving sleep and quality of life in cancer patients.

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Introduction

Fatigue and sleep problems are among the most prevalent complaints of cancer patients. Their incidence has been reported to be as high as 90 and 80 %, respectively [1]. While these symptoms frequently co-occur, their etiology is multifactorial and poorly understood [2]. The available therapeutic options remain limited [3].

Circadian rhythms control a variety of behavioral (including sleep) and physiological processes in living systems ranging from bacteria to man [4]. The suprachiasmatic nuclei (SCN) of the anterior hypothalamus are the site of the circadian pacemaker in mammals. The SCN are synchronized to geophysical time by photic signals from the retina and drive rhythmic behavior and physiology in extraneural organs

through rhythmic temperature and humoral and neural signals. The circadian expression of core clock genes in the SCN and their interactions in transcriptional networks are at the core of the SCN clock network and impact the synchronized rhythmic expression of clock genes in peripheral organs. These peripheral tissue clocks in turn control rhythms in cellular physiology and metabolism including xenobiotic detoxification, glucose homeostasis, and lipid metabolism [5].

A disruption of circadian rhythmicity has been associated with several human disease states including cancer [6, 7]. A meta-analysis of 13 studies that examined cancer risk among female shift workers and cabin crew found a 48 % increase in the relative risk of breast cancer [8]. The International Agency for Research on Cancer (IARC) has classified shift work, involving circadian disruption, as probably carcinogenic to humans. This designation was mainly based on the experimental and epidemiologic evidence for circadian disruption and breast cancer and prompted a panel of experts to suggest preventive measures [9].

Circadian disruption is associated with faster tumor progression and shorter survival in tumor-bearing rodents [10, 11]. In one study, this was reversed by melatonin therapy [12]. Circadian disruption is common in cancer patients and can contribute to both fatigue and sleep problems [2, 7, 13, 14]. The bidirectional connections of the circadian system with multiple biological and physiological functions make it sensitive to disturbances caused by the cancer itself and anti-cancer therapy [4, 7]. Circadian disruption has been associated with more severe systemic symptoms, poorer quality of life, and shorter overall survival in patients with breast, colon, lung, kidney, and ovary cancer [2, 15–21]. In healthy individuals, cortisol levels have a circadian rhythm—high in the morning and low at night. Abnormalities in the diurnal cortisol rhythm are common in cancer patients and have been associated with poorer survival in breast cancer patients [17].

These observations in rodents and cancer patients raise the possibility that a reversal of circadian disruption could lessen systemic symptoms, improve quality of life, and even improve patient outcomes. Melatonin is safe and commonly used to reset the circadian timing system in subjects with circadian disruption [22]. Melatonin is secreted by the pineal gland in a circadian pattern with high values during the night, peaking early in the morning, and low values during the day. When humans are exposed to light, neural input from the SCN inhibits pineal activity and thus melatonin synthesis. The normal diurnal rhythm of melatonin secretion is disrupted in cancer patients, usually with a dampened difference between daytime and nighttime values [23, 24].

The use of exogenous melatonin in the oncology setting is relatively unexplored. Lissoni has performed several small clinical trials in which melatonin therapy was associated with reduced toxicity from cancer therapy, improved quality of life, improved response rates, and longer survival [25]. However, these clinical studies included a small sample size and did not

document the effect of melatonin on the circadian timing system at either the physiological or the molecular level.

We hypothesized that melatonin therapy could improve circadian disruption in breast cancer patients. To test this hypothesis, we conducted a prospective phase II trial to assess the impact of bedtime melatonin on sleep and circadian rhythmicity, assessed objectively by actigraphy, diurnal patterns of serum cortisol, and clock gene expression, and subjectively by a quality of life questionnaire.

Materials and methods

Study design

This was an open-label phase II trial assessing the efficacy of melatonin 5 mg (N-acetyl-5-methoxytryptamine, supplied by Circa Dia BV, Amsterdam, Netherlands) taken orally at each patient's usual bedtime for 2 months. The trial was based on a repeated-measures design, with each patient being her own control. Eligible patients had histologically proven metastatic breast cancer with stable disease receiving either no systemic treatment, bisphosphonates, hormonal therapy (tamoxifen, aromatase inhibitors, or progestins), or trastuzumab. Patients doing shift work and taking steroids or beta blockers and those with ECOG performance status >2 were excluded from study. The Ethics Review Board at Sunnybrook Health Sciences Centre approved the study, and all patients signed informed consent. Staging radiological investigations were done at baseline and after 2 months on melatonin. For patients with bone metastases only, plain X-rays, carcino-embryonic antigen (CEA), and alkaline phosphatase were done to assess disease status. Toxicity was graded according to NCI Common Terminology Criteria for Adverse Events version 3. Patients were taken off the study if there was clinical or radiological evidence of progression of disease before the end of the 2-month study period or at the patient request. Patients completed the European Organisation for Research and Treatment of Cancer (EORTC) QLQ-C30 v3.0 questionnaire before wearing the actigraph at baseline and after 2 months of melatonin treatment. Scores were calculated using the recommended EORTC procedures. Higher values for domains indicate better functioning, while higher scores for symptoms reflect more severe complaints.

Assessment of sleep and circadian rhythmicity

A Basic-Motionlogger actigraph (Ambulatory Monitoring Inc., Ardsley, NY, USA) was used to assess individual rest-activity patterns before and after treatment with melatonin [26]. This watch-sized device, worn on the non-dominant wrist, contains a piezoelectric linear accelerometer to detect wrist movements and a memory chip for data storage. The

actigraph was worn for at least 4 days before starting melatonin therapy, and again during the last week of the 2-month course of melatonin. The actigraphy time series were analyzed for several validated parameters using software provided by the manufacturer (Action 4 and AW2, Ambulatory Monitoring Inc.) and using previously described algorithms implemented in MATLAB (MathWorks, Natick, MA) [27]. The primary endpoints included a circadian parameter, autocorrelation coefficient at 24 h (r24), and a sleep parameter (pRA). The circadian parameter r24 is a measure of the regularity and reproducibility of the activity pattern over a 24-h period from one day to the next, and reaches 1 in subjects with a robust circadian pattern [16, 28, 29]. The sleep metric pRA is a probabilistic measure of sleep fragmentation with higher values representing more sleep fragmentation and lower values representing more consolidated sleep. In brief, pRA estimates the probability of arousal (as indicated by movement) per 15-s period of sleep (as indicated by sustained rest).

Nine other parameters were calculated: three estimating the circadian rest-activity pattern (dichotomy index, I<O [29]; intraday variability, IV; and interday stability, IS [30]), one estimating sleep (sleep fragmentation index, SFI [31]), and five related to physical activity (average activity counts; average duration of rest; a probabilistic metric of activity fragmentation, pAR that is analogous to pRA [27]; average activity during 6 most active hours, M6; and during 6 least active hours, L6). Finally, the actigraph provided two estimates of the phase of the activity pattern using a cosine regression (acrophase) or a non-parametric analysis of the average clock time of the midpoint of the eight most active hours of each day (M8) [32]. Patients kept record of their sleeping and waking times in a diary during the actigraphy periods [26].

Blood was obtained at 08:00 and at 16:00, at baseline, and after 2 months of melatonin therapy, for serum cortisol measurements (performed at Hospital Biochemical laboratory) and to measure the relative expression of the core clock genes *hPer2* and *hBmal1* in peripheral blood mononuclear cells (PBMCs). The cortisol rhythm can be accurately described by sampling two times per day [33]. In healthy volunteers, *hPer2* peaks at about 06:00 and *hBmal1* peaks at around 14:00 [34].

PBMCs were separated from whole blood by centrifugation, and total RNA extracted using TRIzol (Life Technology, Inc.). Before a real-time PCR reaction, genomic DNA was eliminated using the Genomic DNA elimination reaction kit (Qiagen). Real-time polymerase chain reaction (PCR) was performed, using the Applied Biosystems ABI7000 sequence detection system (Applied Biosystems, Foster City, CA). First, total RNA was extracted (Quantiscript Reverse Transcriptase with RNase inhibitor) and incubated for 15 min at 42 °C to generate complementary DNA (cDNA). Then, the cDNA product was amplified with one cycle at

95 °C for 15 min, followed by 45 cycles of denaturation at 94 °C for 15 s, elongation at 55 °C for 30 s, and annealing at 72 °C for 30 s, followed by the routine dissociation protocol at 60 °C. Standard curves were run at cDNA twofold series dilution on each plate to check efficiency. All data was standardized to the reference gene GAPDH. Primers, designed using Primer Express software (Applied Biosystems) and purchased through ACGT Corp (Toronto, Ontario, Canada), were the following: GAPDH forward, TGGGCTACACTGAGCACCAG, and reverse, GGGTGTGCTGTGTTGAAGTCA; hPER2 forward, ACTCAGCGAAGTGTGGACAC, and reverse, TTCGATCCTGTGATTCAAGGG; and hBMAL1 forward, GCCGAATGATTGCTGAGGAA, and reverse, GGCGATGACCCTCTTATCTG.

Statistical analyses

The primary endpoints were the within-patient changes in the circadian parameter r24 and in the sleep parameter pRA at baseline versus after 2 months on melatonin. The sample size required to detect a clinically meaningful difference in r24 (the interquartile range) with a two-sided alpha error of 0.05 and a power of 90 % was 31 analyzable patients. This was based on the distribution of this parameter in patients with metastatic breast [28] and colorectal cancer [29]. Secondary endpoints included changes in other actigraphy parameters, in quality of life scales, and in the ratio of morning/afternoon cortisol and clock gene expression. Descriptive statistics were calculated, and two-sided paired-samples *t* tests were used for comparisons between baseline and after treatment. The threshold for statistical significance was set at $p < 0.025$ for the primary endpoints, following a Bonferroni correction for multiple testing. Two-sided Spearman's rank correlations were computed for cortisol and clock gene data. Given the exploratory nature of secondary analyses, the threshold for statistical significance was set at $p < 0.05$. All analyses were performed using SPSS 16 software (SPSS Inc., IL, USA).

Results

Study sample

Forty-one patients were enrolled. Of those, 32 (78 %) completed the study and provided valid actigraphy data. There was one male patient. Nine patients were not included in the analyses due to surgery unrelated to breast cancer ($n=1$), actigraphy file unreadable ($n=3$), melatonin stopped too early ($n=2$), not eligibility for study ($n=1$), progressed and started chemotherapy before the second assessment ($n=1$), and withdrew from study ($n=1$). Some parameters could be calculated only in a smaller number of recordings due to technical issues.

The clinical and demographic features of the study sample ($n=32$) are summarized in Table 1. Patients experienced no melatonin-related toxicity during the 2 months on study.

Actigraphy recordings

Patients wore the actigraph for an average of 115 h (SD, 29 h) at baseline and 105 h (SD, 35 h) after melatonin treatment. The median interval between recordings was 59 days (range, 50 to 84).

There was no significant difference in the distribution of the circadian parameter r_{24} before and after treatment with melatonin ($p=0.11$, Fig. 1a). The mean difference in r_{24} values was -0.06 , ranging between -0.58 and 0.37 . Twelve patients (37.5 %) improved their r_{24} after having taken melatonin. In contrast, overall sleep fragmentation measured by pRA was significantly reduced after treatment with melatonin ($p=0.0015$; Fig. 1b). This represents a 40 % relative reduction in pRA (Fig. 1b).

Table 1 Clinical and demographical features of the study sample

Feature	No. (%)
Median age in years (range)	55.4 (33.2–69.4)
Stage at diagnosis	
In situ	1 (3.1)
I	7 (21.9)
II	10 (31.3)
III	4 (12.5)
IV	9 (28.1)
Unknown	1 (3.1)
Prior radiotherapy	
Yes	22 (68.8)
Prior chemotherapy	
Yes	17 (53.1)
Prior chemotherapy drugs received	
Anthracyclines	7 (21.9)
Taxanes	7 (21.9)
Capecitabine	3 (9.4)
Current treatment	
Hormonal ^a	22 (68.8)
Trastuzumab	5 (15.6)
Biphosphonate ^a	12 (37.5)
None	1 (3.1)
Interval between initial diagnosis and melatonin treatment (months)	
Median	49.1
Range	5.0–219.8

^a Seven patients (21.9 %) were receiving a combination of hormonal treatment with biphosphonates

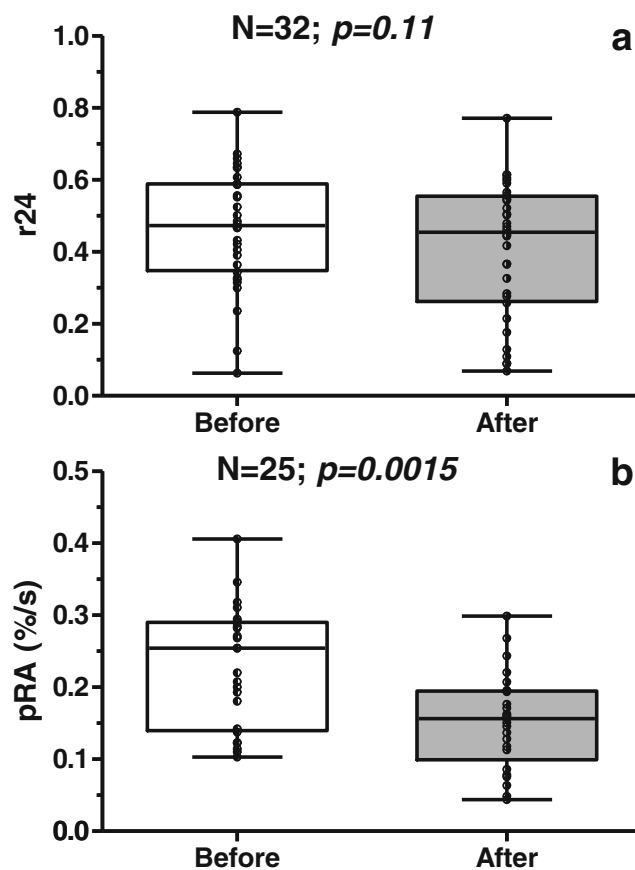


Fig. 1 Effect of melatonin on primary outcome parameters. Distribution and individual changes of the two primary outcomes of the study, following melatonin treatment. **a** r_{24} ; **b** pRA. Boxplots show median and quartiles before (white boxes) and after (gray boxes) melatonin intake

Significant post-treatment differences were observed for L6 (Fig. 2c), pAR (Fig. 2i), SFI (Fig. 2h), and for the total duration of rest (Fig. 2g). The relative changes in these four parameters, decrease in the first three and increase in the fourth, indicate an improvement in rest quality and duration. Conversely, the circadian parameters (IS, IV, and I<O, see Fig. 2d–f) and overall-activity-related parameter (mean daily activity and M6, see Fig. 2a and 2b) did not show significant changes. Melatonin did not modify the phase of the activity pattern, as indicated by the timing of the activity acrophases calculated with the cosinor (Fig. 3a) or M8 (Fig. 3b) methods for all patients ($p=0.52$ and $p=0.18$, respectively). Nonetheless, phase shifts (both delays or advances) up to 5 h were observed in some patients (Fig. 3a, b).

Quality of life

Melatonin treatment was associated with a significant improvement in global quality of life, social and cognitive functioning domains (Fig. 4), and self-rated sleep disturbance and fatigue (Fig. 4). A clinically relevant increase (i.e., ≥ 10 points

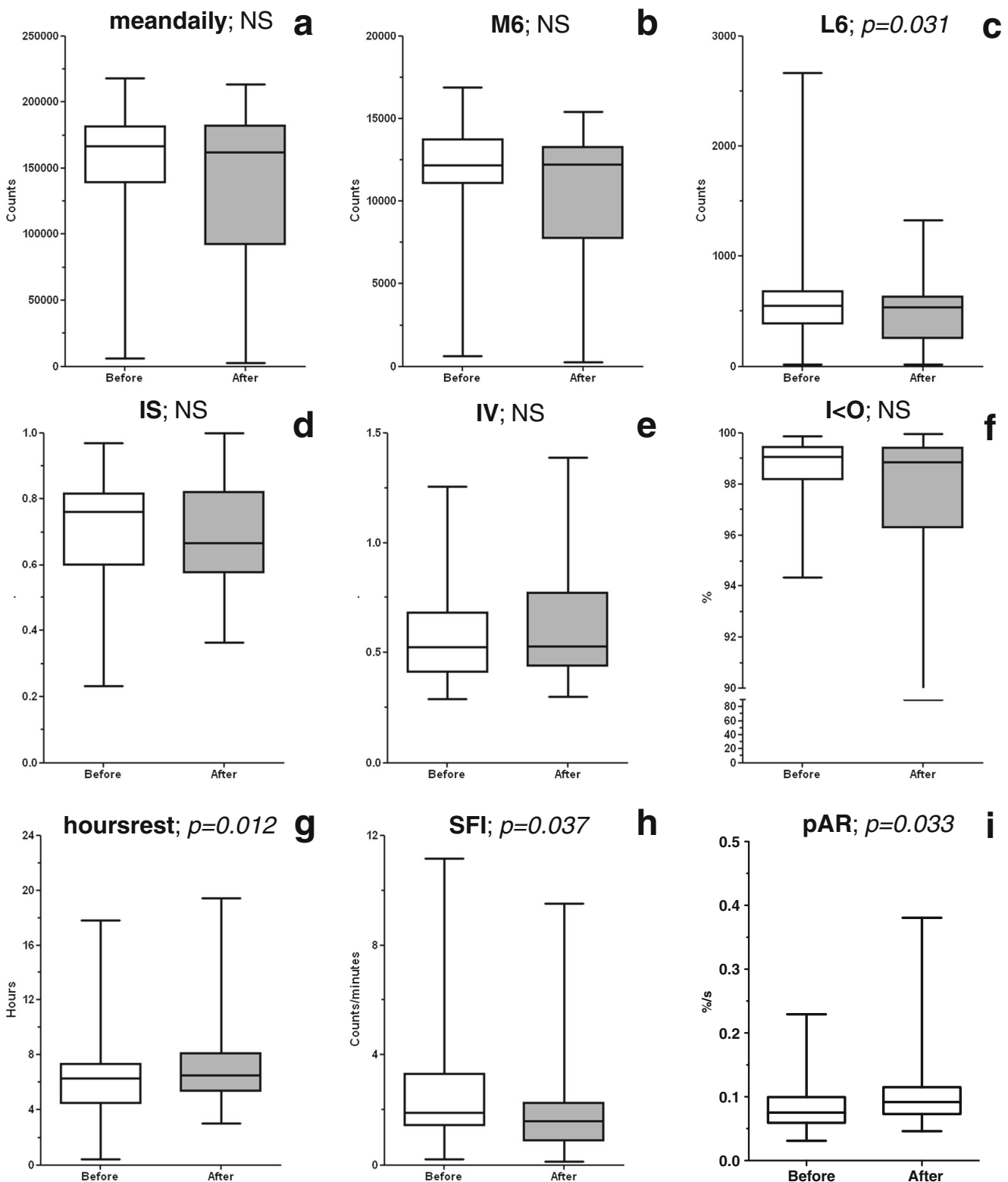


Fig. 2 Effect of melatonin on secondary actigraphy parameters. Boxplots representing median and quartiles (*whiskers* show range) before (*white boxes*) and after (*gray boxes*) treatment with melatonin of nine actigraphy parameters. *N* ranges from 25 to 32 according to parameter. *NS*, not significant

[35]) of global quality of life was reported by 35.7 % of the patients, whereas 39.5 % of patients reported significant increase in social and cognitive functions. Clinically

meaningful decreases (i.e., ≤ 10 points [35]) in sleep disturbance and fatigue occurred in 50.0 and 47.4 % of patients, respectively. The remaining domains and

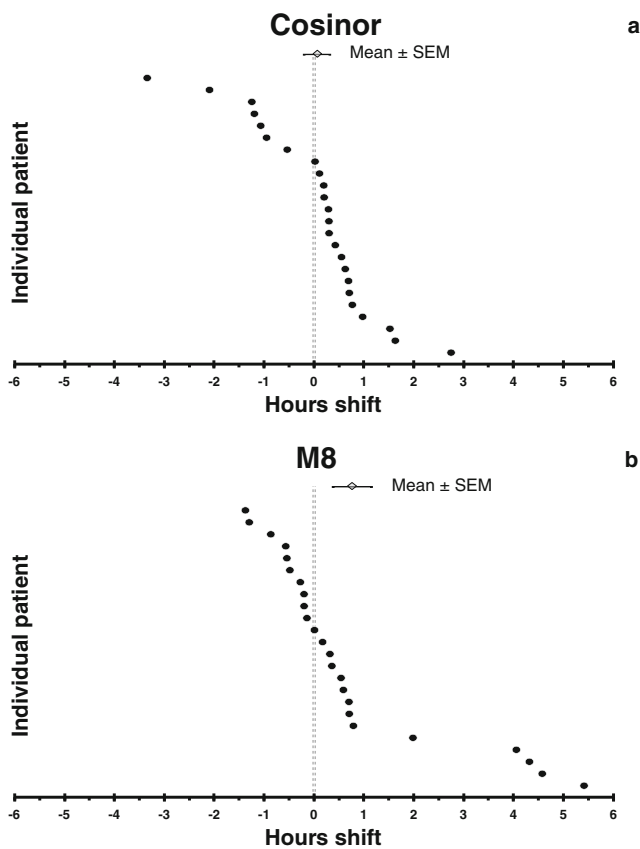


Fig. 3 Effect of melatonin on locomotor activity acrophase. Acrophase shift (in hours) following melatonin intake in the individual patients (dots), with the mean \pm SEM (diamond on top; $N=24$). **a** Acrophase computed using cosinor analysis; **b** acrophase calculated using non-parametric M8 analysis

symptoms, including appetite, showed no significant change (Fig. 4).

Cortisol and clock genes

Treatment with melatonin did not affect average morning/afternoon differences in cortisol patterns ($p=0.89$), with normal significantly higher values in the morning compared to afternoon both at baseline and after melatonin (both $p<0.0001$; Fig. 5). At baseline, the relative expression of *hPer2* did not differ between morning and afternoon ($p=0.41$), whereas, following melatonin treatment, *hPer2* displayed significantly higher morning values ($p<0.0001$; Fig. 5). Conversely, *hBmal1* showed significantly higher afternoon values ($p=0.01$) at baseline, and this difference was suppressed after melatonin ($p=0.91$; Fig. 5). Thus, melatonin significantly increased morning expression of both *hPer2* and *hBmal1* (both $p=0.006$), with no effect on afternoon expression ($p=0.96$ for *hPer2* and $p=0.94$ for *hBmal1*; Fig. 5). *hPer2* morning-to-afternoon changes following melatonin administration were significantly correlated to those of *hBmal1* ($r=0.83$; $p<0.0001$).

Discussion

We report for the first time that daily bedtime melatonin therapy is associated with reduction in sleep fragmentation, increases in sleep duration, improvements in self-rated sleep quality (Figs. 1b, 2c, h, i, and 4), and improvement in global quality of life and pertinent social and cognitive domains, as well as reduction in fatigue severity (Fig. 4). These clinically important effects were obtained without any short-term toxicity.

The expression patterns of two core clock genes, *hPer2* and *hBmal1*, in PBMC were modified [4, 34] (Fig. 5). The observed increase in morning expression of *hPer2* after melatonin is consistent with an increase in the amplitude of circadian rhythmicity since *hPer2* peaks early in the morning [34]. For *hBmal1*, an increase in the amplitude of circadian rhythmicity would have been expected to result in an increase in afternoon *hBmal1* expression [34]. Our findings could reflect a melatonin-induced phase-shift in *hBmal1* expression patterns. The effect of melatonin on *hPer2* is intriguing since a germline polymorphism of this gene is associated with a higher risk of breast cancer [36], and its downregulation accelerates experimental breast cancer growth [37]. Altered expression of several other clock genes has been associated with breast cancer risk, recurrence rates, and prognosis [38].

We did not find any significant effects of melatonin on several objective circadian rest-activity parameters that have been extensively validated in various settings ($r24$, IS, IV, and I<O, see Figs. 1a and 2d–f respectively) [31]. This is consistent with the lack of any significant impact on the diurnal pattern of cortisol (Fig. 5). It is unknown whether a higher dose or longer treatment duration would have affected these circadian parameters. In agreement with our results, a double-blind placebo-controlled study reported no effect of a higher melatonin dose (20 mg for 4 weeks) on appetite in cancer patients with cachexia [39].

Our patients displayed a much more robust locomotor activity pattern at baseline than we have reported for patients with metastatic colorectal cancer [15, 16]. This may partly explain the lack of effect on objective circadian rest-activity parameters. In the current study, only 19.1 % of the patients had clinically important baseline circadian disruption, as estimated by the validated parameter I<O [15, 16] (Fig. 2f). This could lead to a ceiling effect, making it more difficult to demonstrate a beneficial effect of melatonin therapy. Similarly, two-point serum cortisol assessments indicated a normal diurnal pattern [33] at baseline (Fig. 5), confirming the relative robustness of the circadian system function of the patients enrolled. The values for baseline subjective sleep problems in our study were consistent with those observed in similar cancer populations [40]. We show for the first time that melatonin can lead to clinically relevant improvements in sleep parameters without significant changes in circadian

Fig. 4 Effect of melatonin on subjective health-related quality of life. Mean ± SEM of the domains (*top*: higher values indicate better functioning) or symptoms (*bottom*: higher values indicate more severe complaint) assessed using the EORTC QLQ-C30 v3.0 questionnaire before (*white boxes*) and after (*gray boxes*) melatonin treatment. *N*=22. Only results with *p*≤0.05 are tagged. *NS*, not significant

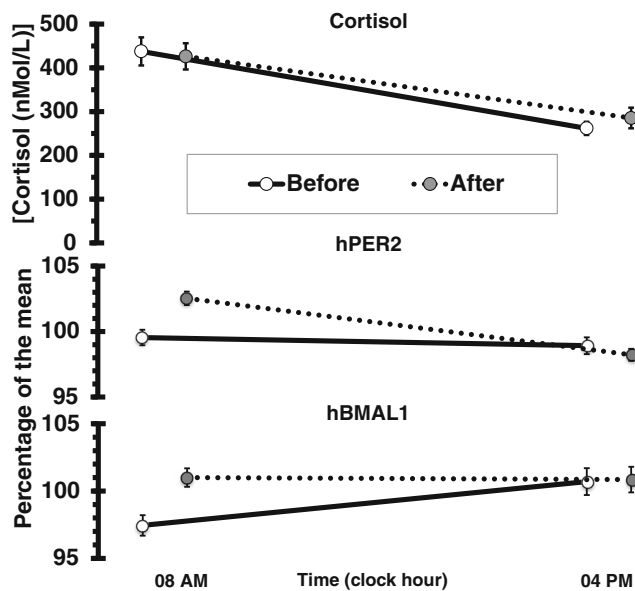
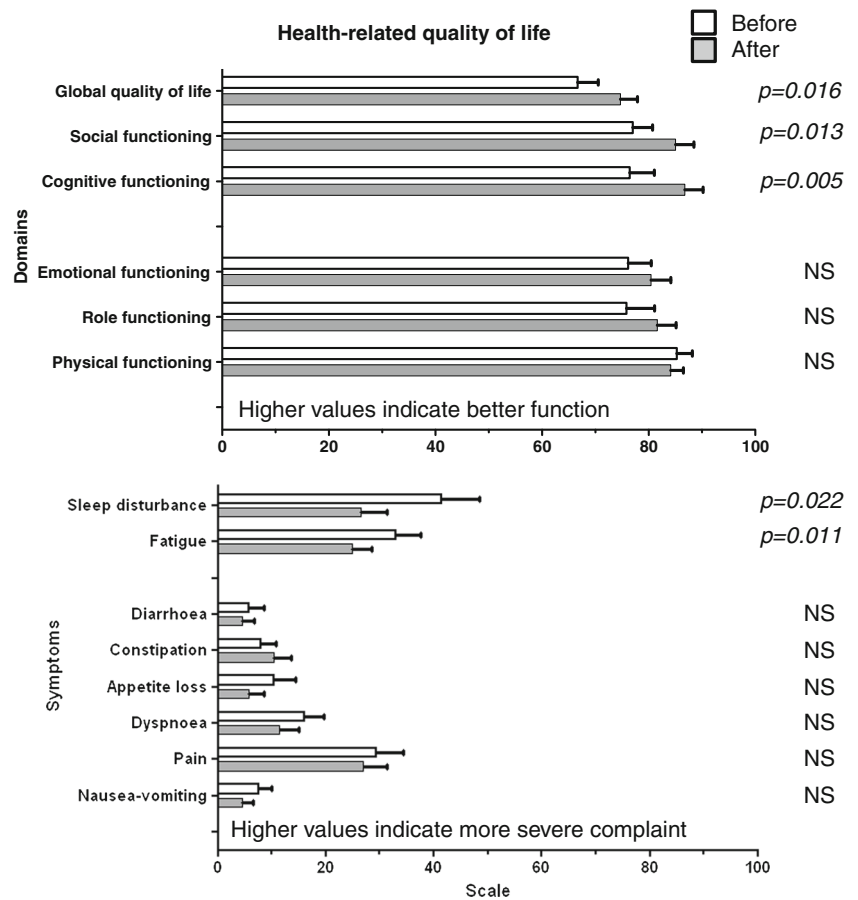


Fig. 5 Effect of melatonin on serum cortisol and clock gene pattern. Mean ± SEM of the serum cortisol concentrations (*N*=22) and hPer2 and hBmal1 expression levels (*N*=23) at ~0800h and ~1600h before (*white dots* and *solid line*) and after (*gray dots* and *dotted line*) treatment with melatonin

actigraphy parameters, biochemical measures of circadian rhythmicity, or overall average physical activity.

The clinical correlates of sleep disturbances and circadian disruption in cancer patients include poor quality of life, altered physical, social, and cognitive functions, fatigue, and appetite loss [2, 13, 14, 16, 22]. Our findings, showing a concomitant improvement in sleep and several of these domains (Fig. 4), support the hypothesis of their shared mechanism [2].

The main limitation of our study is the lack of a blind allocation to a control arm, either a placebo or a different hypnotic or chronobiotic treatment. A placebo effect is unlikely in our study since we observed a significant improvement in objective sleep parameters, as well as a significant increase in the morning expression levels of two core clock genes.

Our findings support the use of melatonin as a safe and feasible intervention to improve sleep and quality of life in cancer patients. Randomized controlled trials are needed to compare melatonin therapy to placebo or to other interventions in order to establish its efficacy and to understand whether such interventions could improve survival. The possibility of a survival benefit from a therapy that improves circadian disruption is suggested by retrospective data showing that women with breast cancer who exercise have a 30 to 50 %

lower risk of breast cancer recurrence and breast cancer death [41]. Both rodent and human data have shown that exercise can change the phase of the circadian rhythm [42, 43]. The benefit of routine exercise may therefore to some extent be related to its reversal of circadian disruption. The concept that re-synchronizing circadian rhythms might be associated with a survival benefit is further supported by studies in breast, colon, lung, kidney, and ovary cancer documenting shorter overall survival in patients with circadian disruption [2, 15–21].

Future studies might focus on breast cancer patients receiving chemotherapy given the high prevalence of circadian disruption, sleep problems, fatigue, and cognitive dysfunction in this group of patients [15, 44, 45]. Our results are encouraging for the continued development of therapeutic interventions to reverse circadian disruption in an effort to reduce systemic symptoms, improve quality of life, improve sleep, and possibly improve survival in patients with cancer.

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Conflict of interest The authors have no conflict of interest to declare.

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