ORIGINAL ARTICLE

A randomized, double-blind, placebo-controlled trial of a β-hydroxyl β-methyl butyrate, glutamine, and arginine mixture for the treatment of cancer cachexia (RTOG 0122)

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Abstract

Purpose Cancer cachexia is a common problem among advanced cancer patients. A mixture of β-hydroxyl βmethyl butyrate, glutamine, and arginine (HMB/Arg/Gln) previously showed activity for increasing lean body mass

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(LBM) among patients with cancer cachexia. Therefore a phase III trial was implemented to confirm this activity.

Materials and methods Four hundred seventy-two advanced cancer patients with between 2% and 10% weight loss were randomized to a mixture of β-hydroxyl β-methyl butyrate, glutamine, and arginine or an isonitrogenous, isocaloric control mixture taken twice a day for 8 weeks. Lean body mass was estimated by bioimpedance and skinfold measurements. Body plethysmography was used when available. Weight, the Schwartz Fatigue Scale, and the Spitzer Quality of Life Scale were also measured.

Results Only 37% of the patients completed protocol treatment. The majority of the patient loss was because of patient preference (45% of enrolled patients). However, loss of power was not an issue because of the planned large target sample size. Based on an intention to treat analysis, there was no statistically significant difference in the 8-week lean body mass between the two arms. The secondary endpoints were also not significantly different between the arms. Based on the results of the area under the curve (AUC) analysis, patients receiving HMB/Arg/Gln had a strong trend higher LBM throughout the study as measured by both bioimpedance $(p=0.08)$ and skin-fold measurements $(p=0.08)$. Among the subset of patients receiving concurrent chemotherapy, there were again no significant differences in the endpoints. The secondary endpoints were also not significantly different between the arms.

Conclusion This trial was unable to adequately test the ability of β-hydroxy β-methylbutyrate, glutamine, and arginine to reverse or prevent lean body mass wasting among cancer patients. Possible contributing factors beyond the efficacy of the intervention were the inability of patients to complete an 8-week course of treatment and return in a timely fashion for follow-up assessment, and because the patients may have only

had weight loss possible not related to cachexia, but other causes of weight loss, such as decreased appetite. However, there was a strong trend towards an increased body mass among patients taking the Juven® compound using the secondary endpoint of AUC.

Keywords Cachexia . Weight loss. Cancer. Quality of life . Randomized trial

Introduction

It is estimated that a majority of advanced cancer patients ultimately suffer from cachexia [\[1\]](#page-8-0). However, there is no universally accepted definition of cancer cachexia. One accepted feature is that in cachexia there is involuntary loss of both muscle mass and fat [[2\]](#page-8-0). This is in contrast to starvation, in which there is fat loss and relative sparing of muscle. Cachexia can present as a loss of appetite, but often there is weight loss despite adequate nutritional intake. According to Roubenoff, wasting is unintentional weight loss, whereas cachexia is the loss of fat-free mass with little weight loss [[3\]](#page-8-0). Interventions tested against cancer cachexia in phase III trials include medroxyprogesterone [[4](#page-8-0)], pentoxiphylline [\[5](#page-8-0)], thalidomide [[6](#page-8-0)], fish oil [[7\]](#page-8-0), eicosapentaenoic acid [\[8\]](#page-8-0), cannabis extract and delta-9-tetrahydrocannabinol [[9\]](#page-8-0), insulin [[10](#page-8-0)], etanercept [\[11](#page-8-0)], and a mixture of β-hydroxyl β-methyl butyrate, arginine, and glutamine (HMB/Arg/Gln) [\[12\]](#page-8-0). Only the latter treatment has shown improvement in muscle mass, rather than fat mass.

The physiology of cachexia is not well understood. The pivotal finding in cachexia is disproportionate muscle wasting, whereas during starvation more fat than muscle is lost. The effects may be stimulated by host factors, including proinflammatory cytokines such as interleukin-1, IL-2, interferon-γ and tumor necrosis factor α [[13](#page-9-0)]. Putative tumor-derived mediators include proteolysisinducing factor (PIF) and lipid-metabolizing factor [\[14](#page-9-0)].

This trial was designed to evaluate the ability of HMB/ Arg/Gln mixture to reverse cancer cachexia, as evidenced by loss of muscle mass. Although arginine has been shown to promote wound healing [[15\]](#page-9-0), and glutamine is also a regulator of muscle turnover, HMB, a leucine metabolite, is probably the most active agent in the mixture. In a recent study of HMB supplementation among critically ill trauma patients, both a HMB/Arg/Gln mixture and HMB alone attenuated the patients' negative nitrogen balance equally well when compared with placebo [\[16\]](#page-9-0). Eley et al. showed that HMB inhibits the effects of PIF. Whereas eicosopentaenoic acid, also a PIF inhibitor, is thought to be active by preventing the release of arachadonic acid from the cell membrane, HMB appears to attenuate phosphorylation of p42/44-mitogen-activated protein kinase by PIF [\[17\]](#page-9-0).

A commercial formulation of this mixture, Juven®, is currently available without a prescription. A previous small randomized trial showed a statistically significant increase in both weight and lean body mass among advanced cancer patients taking HMB/Arg/Gln in the Juven® formulation [\[12](#page-8-0)]. Therefore, a more comprehensive, national cooperative group randomized trial of Juven® was undertaken.

Materials and methods

Eligibility

Patients receiving treatment at any RTOG full member, affiliate member, or community clinical oncology program (CCOP) member institution were candidates for inclusion in the study. Eligible patients had a stage III or IV solid cancer or currently metastatic cancer of any initial stage. They must have had at least 2% and no more than 10% weight loss over the previous 3 months. A maximum of 10% weight loss was chosen because of concern that patients with greater than 10% weight loss would deteriorate too quickly to show an effect from the intervention. They must have had a Zubrod performance status of 0–2, a life expectancy of at least 3 months and not be on any concurrent appetite-enhancing drugs.

Design

This was a randomized double-blinded trial. The patients were randomized to either active supplement for 8 weeks or placebo for 8 weeks. The active supplement consisted of 3 g of HMB, 14 g arginine, and 14 g of glutamine. The placebo was an isonitrogenous, isocaloric mixture to the HMB/Arg/Gln containing 7.72 g l-alanine, 4.28 g glycine, 2.96 g l-serine, 1.23 g l-glutamic acid, and 30.52 g gelatin. Both the placebo and HMB/Arg/Gln had an orange-drink taste. Patients took either the placebo or HMB/Arg/Gln twice a day for 8 weeks.

Lean body mass (LBM) was calculated using the Sun equations based on the reported resistance and reactance measured by bioimpedance (BIA) using the RJL Quantum II unit [[18\]](#page-9-0). These equations are based on standard models of the distribution of resistance and reactance in the body tissues, and does not directly measure muscle mass. The utility of bioimpedance is its ease in use and low cost of measurement. In addition, circumference measurements were reported from the upper arm, forearm, chest, hips, and thigh. Skin-fold measurements were reported from the chest, axilla, triceps, subscapular, abdominal, suprailiac, and thigh. Videotaped instructions with measurement equipment were given to all sites. If body plethysmography (BOD POD®) was available, data from this were collected.

Fig. 1 CONSORT diagram

Weight, the Schwartz Fatigue Index score, and the Spitzer Quality of Life score were also collected.

Randomization was performed using the Zelen treatment allocation scheme to balance patient factors other than institution [[19](#page-9-0)]. MTI Biotech, Inc. supplied and distributed both the placebo and HMB/Arg/Gln supplements to institutions in a foil sealed packet identified by patient case number only. All study personnel and patients were blinded to treatment assignment for the duration of the study. Patients were stratified by degree of weight loss in the 3 months prior to study entry $(2-5\% \text{ and } 6-10\%)$, primary disease site (lung and others), concurrent chemotherapy (yes and no), and evidence of metastases (yes and no). The maximum weight loss was limited to 10% to minimize the risk that patients had progressed too far to be able to respond to therapy. Patients received an 8-week supply of the supplement at the initial visit and were scheduled to return for 4-week and 8-week follow-up visits to assess their condition. Eight weeks of supplementation was shown in previous trials to be sufficient time to see the reversal of muscle mass [[12,](#page-8-0) [20](#page-9-0)].

Statistics

The primary endpoint was the percent change in LBM [baseline to 8 weeks] as measured by BIA between patients given the HMB/Arg/Gln and patients given the placebo supplement. The percent change was defined as the difference between the baseline LBM and the 8-week LBM divided by the baseline LBM multiplied by 100. Percent change was used instead of absolute change to adjust for varying baseline LBM values. Secondary endpoints were the change in fatigue (as measured by the Schwarz Fatigue Index), quality of life (as measured by the Spitzer Quality of Life Index), percent

^a Based on Wilcoxon rank sum test

^bBased on Fisher's exact test

^c Based on chi-square test

change in weight, and percent change in LBM based on body plethysmography and skin-fold measurement techniques.

The study was designed to ensure that among the subset of patients receiving concurrent chemotherapy there would be 80% statistical power to detect a 4% improvement in LBM in the HMB/Arg/Gln arm at the two-sided 5% significance level. Thirty percent of patients were expected to receive concurrent chemotherapy. Power to detect the difference in all patients would be greater than 99%.

Because of the brevity of the study, an interim analysis was not conducted. All analyses were completed as specified in the protocol. Data normality assumptions were not met requiring the use of non-parametric methods. The Wilcoxon rank sum test was used to test the null hypothesis of no difference in the percent change in LBM between the HMB/ Arg/Gln and placebo treatment arms. Estimates of the median difference between the two arms were calculated using the Hodges–Lehmann estimate and non-parametric 95% confidence intervals were determined using the Moses criterion. Also tested were changes in weight, fatigue, and quality of life. Patients not completing the 8-week assessment were treated as missing data. Additionally, area under the curve (AUC) analysis was utilized for each endpoint to assess overall body composition and overall quality of life. AUC incorporates patient outcomes at the 4-week assessment and does not solely rely on change values.

Results

From December 2002 to October 2004, 472 patients were randomized into the trial at a rate of 21.3 patients per Table 2 Pretreatment char teristics of all eligible patients

Table 3 Treatment compliance

^a Based on Wilcoxon rank test
^bBased on chi-square test

	Placebo $(n=226)$		$HMB/Arg/Gln (n=220)$		Total $(n=446)$	
	n	$\%$	\boldsymbol{n}	$\%$	n	$\%$
Not completed	124	55	104	47	228	
Completed during 7th week					10	
Completed during 8th week	47	21	37		84	19
Completed during 9th week	35	15	46		81	18
Completed during 10th week	h		16		22	
Completed during/beyond 11th week			10		21	

Table 4 Actual time of patient 8-week LBM assessment

month. Patients were followed up for 8 weeks, the duration of the study. Patients were enrolled from 23 RTOG full member and 15 CCOP member institutions. Twenty-six patients did not meet the eligibility inclusion criteria or withdrew their consent for participation in the study (Fig. [1\)](#page-2-0). Patient demographics and stratification variables were well balanced between the placebo and HMB/Arg/Gln arms (Tables [1](#page-3-0) and [2\)](#page-4-0) as expected because of randomization of the patient population. Patients receiving concurrent chemotherapy were of particular interest. Fifty-three percent of the eligible patients received concurrent therapy, 123 (54%) on the placebo arm, and 115 (52%) on the HMB/Arg/Gln arm. In the HMB/Arg/Gln arm, 22% and 1% of the patients experienced grades 1–2 or 3–4 gastrointestinal toxicity. In the placebo arm, 23% and 3% of the patients experienced grades 1–2 and 3–4 gastrointestinal toxicity. Gastrointestinal toxicity included nausea, vomiting, diarrhea, and constipation. There were no other significant levels of toxicity in either arm.

There was a high rate of patient non-compliance in the study. Only 77 (34%) patients on the placebo arm and 88 (40%) patients on the HMB/Arg/Gln arm completed the treatment as designed per protocol. The reasons for noncompliance are shown in Table [3.](#page-4-0) The majority of patients did not complete treatment because of patient preference. Since the analysis plan was based on intention-to-treat, failure to complete protocol treatment was not a valid reason for exclusion from analysis. However, patients missing the baseline or 8-week LBM assessment were excluded from analysis, rather than attempting imputation of the missing data. Because of the nature of cooperative clinical trials, consistently assessing patients during the

eighth week of treatment proved difficult. Only 47 (21%) patients on the placebo arm and 37 (17%) patients on the HMB/Arg/Gln arm completed the 8-week follow-up assessment during the eighth week as scheduled. Patients who were assessed during the 7th, 8th, 9th, or 10th week of treatment completion were then included to have suitable numbers for analysis (Table 4). After including these patients, there were 91 (41%) patients on the placebo arm and 106 (48%) patients on the HMB/Arg/Gln arm that completed both the baseline and 8-week follow-up assessments of LBM necessary for inclusion in analysis (Table 5).

Although the number of analyzable patients (197) was less than the target sample size, loss of power was not an issue for the entire group analysis. The target sample size was set high to ensure that an adequate number of patients would receive concurrent chemotherapy. Since the study design and sample size were based on the subset of patients receiving concurrent chemotherapy, there was still 94% statistical power to detect a difference between the two treatment arms in all patients. Within the subset of 100 patients receiving concurrent chemotherapy, however, the analysis was slightly underpowered at 70%. After patients with missing baseline or 8-week assessments were excluded, 50 (55%) patients on the placebo arm, and 50 (47%) patients on the HMB/Arg/Gln arm received concurrent chemotherapy.

Table [2](#page-4-0) illustrates the differences in pretreatment characteristics between patients excluded and included in analysis. Patients included in the analysis were more likely to have completed protocol treatment $[p<0.0001]$. Within each treatment completion group, however, the prognostic factors did not differ between patients included and

Table 5 Patterns of missing data of patient LBM assessments completed

				. .					
Baseline	Week 4 visit	Week 8 visit	Placebo $(n=226)$			HMB/Arg/Gln $(n=220)$		Total $(n=446)$	
			\boldsymbol{n}	$\frac{0}{0}$	n	$\%$	n	$\frac{0}{0}$	
Х			87	39	96	44	183	41	
X		Х	4		10		14		
Χ	Х		44	19	30	14	74	16	
Χ			89	39	83	38	172	39	
						$<$ 1			

Fig. 2 Determination of missing data mechanism

excluded from analysis. Therefore, the data can be assumed to be missing at random and excluding patients with missing data (complete case analysis) may lead to biased results (Fig. 2). Multiple imputation methods were not used since many of the patients missing the 8-week assessment also missed the 4-week assessment (Table [3\)](#page-4-0). Although patients included may represent a biased subset all eligible cases, degree of weight loss prior to study entry, baseline weight, baseline LBM, and all other stratification and pretreatment variables did not significantly differ between patients excluded and included from analysis.

There was no statistically significant difference between the placebo and HMB/Arg/Gln arms in any of the primary or secondary endpoints (Table [6\)](#page-7-0). The median difference in percent change in LBM as measured by bioimpedance between the two arms was 0.96 [(-0.75, 2.64); $p=0.24$]. The median difference in percent change in LBM between the two arms as determined by skin-fold measurements was 0.09 [(-1.51, 1.65); *p*=0.91]. There were only six cases that had LBM determined by body plethysmography. The median difference in percent change in weight between the two arms was −0.19 [(−1.58, 1.17); $p=0.78$]. The median

% Change = (baseline -8 week visit) / baseline

Change = baseline − 8 week visit

Schwartz score, positive change indicates reduction in fatigue

Spitzer score, negative change indicates improved quality of life

^a Based on the Hodges–Lehmann estimate of the median difference^b Based on Wilcoxon rank sum test

difference in change in fatigue was 0 [(−1, 2); $p=0.56$]. The median difference in change in quality of life was 0 [$(-1, 0)$; $p=0.44$]. Among the subset of patients receiving concurrent chemotherapy, there was also no significant difference in the median change values for the primary or secondary endpoints. There were also no differences in outcome within the primary site (lung versus others), presence of metastases, gender, or race subgroups. Among patients with a 2% to 5% weight loss, there was a median treatment difference of 2.26% change in lean body mass favoring the active arm $(p=$ 0.01). Among the patients with a 5% to 10% weight, the difference was 0.02 ($p=0.38$).

Based on the results of the AUC analysis, patients receiving HMB/Arg/Gln had a strong trend towards a higher LBM throughout the study as measured by both bioimpedance ($p=0.08$) and skin-fold measurements ($p=$ 0.08). There was also a greater effect among patients with a smaller initial weight loss (less than 5%).

Discussion

This trial failed to show that the HMB/Arg/Gln mixture, as formulated in this study, resulted in a significant change in lean body mass among cancer patients with weight loss at the primary endpoint of lean body mass at 8 weeks (extended to the range of 7–10 weeks to allow sufficient data for analysis).

This trial had several problems. Only 37% of patients completed the trial per protocol design. The majority (45%) of these patients refused to complete the study or cited side effects as a reason for non-compliance. The high amino acid mixtures may have caused excessive gastrointestinal toxicities (nausea, constipation, and/or diarrhea). In a previous, three-institution study of HMB/Arg/Gln, 25 patients were randomized to the control group, and 24 were randomized to the HMB/Arg/Gln group. Seventeen patients (35%) withdrew from the study prior to their first 4-week follow-up visit (11 control; 6 HMB/Arg/Gln). Only 44% of patients completed the 8 week assessment of body composition. The reasons for the low compliance are not given. Despite the large number of patients missing assessments, there was sufficient power to detect a difference (11).

Bioimpedance was chosen to measure lean body mass because it was the most efficient method to use within a multi-institutional trial with limited funding resources. Body plethysmography is the "gold standard" measurement, but has very limited availability. No multi-institutional trial of skin-fold measurements had been done prior to this trial to validate its use as a primary endpoint. DEXA scans are also a reliable method, but would require that each institution arranges for these to be done, raising both financial and quality assurance problems [\[21\]](#page-9-0). The supplier of the HMB/ Arg/Gln donated bioimpedance units to the Radiation Therapy Oncology Group for use during the trial. Centralized training was done, and all centers used the same algorithms to measure lean body mass. Both the raw data and the final data were transmitted to the Radiation Therapy Oncology Group, increasing the quality assurance of the measurements. Further, as an inducement to enroll patients, the participating centers were able to keep the units afterwards. Randomized studies have shown good agreement

between DEXA scans and bioimpedance measurements [[22,](#page-9-0) [23\]](#page-9-0). Thus, after consultation with the National Cancer Institute, it was decided to use the bioimpedance measurements as the primary endpoint.

The trial design hypothesized that the majority of patients would have lung, breast, or prostate cancer. The stratification by lung versus others was used as a surrogate for the type of chemotherapy they would receive, which at that time was primarily cisplatin for lung cancer and less emetogenic chemotherapy for breast cancer. It was statistically untenable to attempt to stratify by every type of chemotherapy and the manner it was given. There was no difference in outcomes between the two arms when analyzed by whether chemotherapy was given or not, or by the type of cancer (lung or others).

This trial, as do many trials studying patients with advanced cancer, had difficulties with patient compliance. There was a high percentage of missing data or delayed data. To minimize the effect of missing data, it was decided post hoc to allow to the final body mass index to be collected during a range of 7 to 10 weeks, rather than at exactly 8 weeks. This was done on the assumption that any clinically significant effect of the intervention would still be present in this time frame. Although the decision increased the risk of losing statistical significance because of muscle mass loss in the 8- to 10-week period, the lack of even a trend for improvement in the intervention arm at the "8" weeks suggests that this was not a factor. Among the patients in this time range (7–10 weeks), 84% of the patients were measured in weeks 8 or 9. Although it could be argued that there could have been a drastic weight loss over weeks 9 and 10, the lack of such a drastic weight loss in the control arm argues against this concern. Among the secondary analyses, there was a strong trend towards improved lean body mass when measured using the area under the curve (AUC) with a 0.08 *p* value.

This study also suggests that weight loss was not an ideal surrogate marker for cancer cachexia. Patients on both arms continued to lose weight during the trial. Patients on the placebo arm increased their LBM. The increase in LBM among the placebo patients reinforces that weight loss among cancer patients is multi-factorial and does not necessarily represent lean body mass loss secondary to cancer cachexia. Weight loss alone should not be used as a surrogate marker for the presence of cancer cachexia. Future cachexia trials will need to clearly identify the definition of cachexia being used, and use the appropriate measurements or biomarkers for that definition [[24\]](#page-9-0).

Conclusions

Factors associated with this failure include the inability of patients to complete an 8-week course of treatment and return for follow-up assessment, because the patients may not have been suffering from cancer cachexia, and poor tolerance of the treatment mixtures. This study alone did not definitively determine the activity of this mixture. Future trials of this compound, and other cancer cachexia treatments, will need to prove the presence of cachexia prior to initiation of treatment. Also, for the HMB/Arg/Gln compound to be widely used, higher patient tolerance will be needed. The trend for improved lean body mass as measured using AUC suggests that further testing is warranted using stricter entry criteria. There may also be a benefit to restricting the studies to patients with a smaller amount of weight loss. Finally, efforts should be made to increase patient acceptance of the mixture.

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