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# Reduced stem growth, but no reserve depletion or hydraulic impairment in beech suffering from long-term decline

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#### Abstract

Keymessage Under non-extreme drought conditions, reduced stem growth is not associated with reserve or hydraulic impairment in beech suffering from longterm decline.

Abstract Global change is expected to increase the frequency and the intensity of drought events in temperate ecosystems. In some regions, this might be associated with an increase in tree decline. Of the ecophysiological mechanisms that have been proposed to explain tree decline, an impairment of the vascular transport system and/or carbon function are two of the most discussed. In a context of long-term decline caused by droughts, we investigated the functional differences between the carbon, nitrogen, and hydraulic functions of healthy and declining mature beech (Fagus sylvatica L.) trees. The study was carried out over two consecutive years with contrasting water availabilities. The radial growth of declining trees was clearly less than that of healthy trees, due to a lower growth rate, associated during the wet year with a shorter growth period. Leaf functional characteristics and hydraulic parameters (native embolism and cavitation vulnerability curves) were similar in healthy and declining

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trees. However, at the end of a growing season characterized by a dry spring, carbon reserves concentrations in young branches of declining trees were lower than those in healthy trees, though they recovered during the following non-constraining growing season. Our results did not indicate carbon starvation, nitrogen deficiency, or hydraulic failure. However, there seems to be some compensation mechanism related to reserve dynamics in the remaining living tissue of the declining trees. This study shows that the climate conditions of successive years are probably crucial for these functional adjustments to be operational.

Keywords Beech · Reserves · Forest decline · Growth · Hydraulic failure

# Introduction

Climate change is resulting in more frequent and more intense droughts, and this tendency is expected to continue in the coming decades, particularly in temperate climates (Intergovernmental Panel on Climate Change [2014](#page-13-0)). At the same time, the frequency of drought-induced forest declines is increasing (Lorenz and Becher [2012](#page-13-0)). As a consequence, there has been renewed interest in studying forest decline in the scientific community (McDowell et al. [2008](#page-13-0); Adams et al. [2009](#page-11-0); Breshears et al. [2009;](#page-12-0) Leuzinger et al. [2009](#page-13-0); Sala [2009](#page-14-0); McDowell and Sevanto [2010](#page-13-0)). However, an accurate prediction of the amplitude of this increased tree mortality in coming decades is not possible (Allen et al. [2010\)](#page-11-0), due to a lack of understanding of the functional mechanisms involved in the responses of trees to climate change. The functional mechanisms underlying tree decline therefore need to be investigated thoroughly (Bréda and Badeau [2008](#page-12-0)).

The potential roles of carbon (C) and/or water balances in tree decline or mortality during stressful events have long been suggested (Bossel [1986](#page-12-0); Martinez-Vilalta et al. [2002\)](#page-13-0). In a review based on field observations, Waring [\(1987](#page-14-0)) suggested that the C balance of trees is key to understand their reactions to diverse stressors. More recently, research efforts have focused on drought-induced tree mortality (McDowell et al. [2008](#page-13-0); Anderegg et al. [2012b;](#page-12-0) Adams et al. [2013;](#page-11-0) Hartmann et al. [2013a,](#page-13-0) [b](#page-13-0)). Two functional mechanisms underlying drought-induced tree mortality have been hypothesized: a failure of the hydraulic system and carbon starvation. The latter is defined as either an exhaustion of C reserves (referred to as NSC—nonstructural carbohydrates) of the tree, or a failure to remobilize them (McDowell et al. [2008;](#page-13-0) Sala et al. [2010](#page-14-0)). The exclusivity of these two hypotheses has since been reconsidered (Sala et al. [2010](#page-14-0); Sevanto et al. [2014\)](#page-14-0). Moreover, it is now known that insects and/or pathogens can play a major role in tree decline (Durand-Gillmann et al. [2012\)](#page-12-0).

Recent studies have investigated the roles of C and/or water balances during drought-related tree declines and mortality (Brodribb and Cochard [2009](#page-12-0); Galiano et al. [2011](#page-13-0); Galvez et al. [2011](#page-13-0); Amoroso et al. [2012;](#page-12-0) Anderegg et al. [2012a](#page-12-0)). Several studies of the role of C starvation have yielded contradictory results (Piper [2011;](#page-13-0) Hartmann et al. [2013b;](#page-13-0) Zhao et al. [2013\)](#page-14-0). C starvation has been difficult to demonstrate empirically for three reasons: firstly, because this hypothesis relies on the assumption that C reserves accumulate mainly passively (Sala et al. [2010](#page-14-0)), which has recently been challenged (Wiley et al. [2013](#page-14-0); Dietze et al. [2014\)](#page-12-0); secondly, because the NSC pools are never fully depleted (Sala et al. [2010\)](#page-14-0), suggesting that there is a threshold below which the NSC pools are too low to sustain the functioning of the tree in the absence of C assimilation (McDowell et al. [2011\)](#page-13-0); and thirdly, because even if this threshold could be defined for each species, the tree might not be able to remobilize and/or transport the stored NSC (Sala et al. [2010](#page-14-0)).

Non-structural nitrogen (N) compounds supply N for spring growth and winter maintenance (Wildhagen et al. [2010;](#page-14-0) Bazot et al. [2013\)](#page-12-0). However, the tree internal N cycle has been largely overlooked in studies of drought-related tree decline, and to our knowledge, the N reserves of trees during decline have seldom, if ever, been assessed. N reserves are essential for long-term tree survival and health status, as N is often a limiting element in forest ecosystems (Waring [1987](#page-14-0); LeBauer and Treseder [2008](#page-13-0)). As a consequence, we hypothesized that N reserves (here referred to as NSNC—non-structural nitrogen compounds) would be lower in declining trees than in healthy trees.

Studies of hydraulic failure, on the other hand, have shown that loss of hydraulic conductance via cavitation may be linked to tree mortality. Indeed, Urli et al. ([2013\)](#page-14-0) showed that in temperate angiosperms, a high degree of embolism (around 90 %) was associated with tree mortality, and that drought resistance and cavitation resistance were strongly linked. Moreover, the link between the hydraulic limits of trees and drought-induced tree mortality has been well documented, at both local and global scales (Brodribb and Cochard [2009;](#page-12-0) Poot and Veneklaas [2012](#page-14-0); Choat et al. [2012](#page-12-0); Nardini et al. [2013](#page-13-0); Barigah et al. [2013](#page-12-0)). Several studies have also shown that hydraulic failure was the final mechanism causing death of drought-affected trees (Anderegg et al. [2012b](#page-12-0); Adams et al. [2013;](#page-11-0) Hartmann et al. [2013a](#page-13-0)). However, as pointed out by Plaut et al. [\(2012](#page-13-0)), hydraulic failure and C starvation might often interact when drought-related tree mortality occurs.

Despite several reports of multi-year periods of mortality following drought events reported in the literature (Allen et al. [2010](#page-11-0); Anderegg et al. [2012a\)](#page-12-0), the ecophysiological differences between healthy and declining trees during long-term declines have seldom been studied. During these events, affected trees may survive for years, or even decades with severely thinned crowns. As described above, short-term responses of C and N reserve concentrations and hydraulic conductance to drought remain unclear. Understanding long-term tree functional responses to repeated droughts is particularly critical for forest survival and predictions of the response of forest productivity to future climate scenarios (Bréda et al. [2006](#page-12-0)). Since reserve depletion may already be important after a severe drought (Bréda et al. [2006](#page-12-0)), it can be hypothesized that recurrent droughts would induce drastic decreases in reserves, which could lead to a progressive loss of tree resilience, by reducing the ability to regenerate (López et al. [2009\)](#page-13-0). Similarly, after being damaged by a drought event, the plant hydraulic system could continue to further deteriorate due to the accumulation of permanent damage (Anderegg et al. [2013;](#page-12-0) Savi et al. [2015\)](#page-14-0) or slower growth of the xylem (Brodribb et al. [2010](#page-12-0)).

This paper investigates the long-term ecophysiological responses of mature beech trees (Fagus sylvatica L.) to recurrent droughts (especially in 1959, 1976, 1990, 1992, 1996, and 2003). The study plot is an even-aged beech stand (mean tree age of 95 years) growing in the Fontainebleau state forest (France). Low soil water availability has been shown to be a predisposing factor of decline for beech in this forest, and a dendrochronological approach revealed the triggering role of dry years (Silva [2010](#page-14-0)). In the plot, two categories of trees can be distinguished in terms of their crown conditions: healthy trees with dense crowns and declining trees with highly thinned crowns. Silva [\(2010](#page-14-0)) showed that until 1959, the growth of currently declining and healthy trees was similar and that after the dry 1959 growing season, radial growth of currently declining trees had been reduced. Since the 1996 drought,

<span id="page-2-0"></span>the currently declining trees displayed less interannual growth variation than the currently healthy trees. Furthermore, crown loss in the declining trees also started at the end of the 1990s (Silva [2010\)](#page-14-0), and no biotic attack has been recorded since the regeneration of this stand in the 1910s (National Forest Office, personal communication). Microsite soil water availability is not linked to the health status of the trees (Silva [2010\)](#page-14-0).

In this study, we intended to determine whether the altered growth of declining trees was associated with reduced internal carbon and/or nitrogen concentrations, and/or with hydraulic dysfunction. More precisely, we asked the following questions:

- 1. To what extent and how is the stem radial growth reduced in declining trees at the seasonal time scale? Does it depend on climatic conditions?
- 2. Is the growth reduction associated with altered foliar functioning?
- 3. Is the altered seasonal growth in declining trees related to a dysfunction in reserve mobilization and/or storage?
- 4. Is water transport damaged during the growing season in declining trees?

To answer these questions, tree radial growth was monitored weekly over two growing seasons with contrasting climatic conditions: one with a spring drought (2011) and one without water constraint (2012). Together with stem radial growth, the seasonal changes of C and N reserve concentrations were assessed over 2 years in stems and young branches. In 2012, foliar functioning was characterized by the percentage of N in the total organic matter, carbon isotope composition  $(\delta^{13}C)$ , and stomatal density measurements on mature leaves. Hydraulic functioning was studied at the branch level by measurements of water potential, vulnerability to cavitation, and native embolism.

## Materials and methods

#### Site and stand description

The study was carried out in the Fontainebleau state forest, France  $(48^{\circ}22'N, 02^{\circ}36'E,$  mean elevation 120 m a.s.l.) during the 2011 and 2012 growing seasons. This forest extends over 17,000 ha, 60 km southeast of Paris. The climate is temperate, with a mean annual temperature of 10.6  $\degree$ C and mean annual precipitation of 749 mm, well distributed throughout the year (for the 1960–2010 period). During the 1960–2010 growing seasons (from March to September), mean temperature was  $14.1 \text{ }^{\circ}C$ , mean precipitation was 426 mm, and there were on average 91 days

with precipitation. The 2011 growing season was remarkable for being unusually dry and warm, particularly in spring: the mean temperature was  $15.1 \text{ °C}$ , precipitation was 307 mm, and the number of days with precipitation was 69. In contrast, the 2012 growing season had average climatic conditions, with a mean temperature of 14.4  $\degree$ C, precipitation of 364 mm, and 95 days with precipitation.

The study site is a mature, monospecific beech (F. sylvatica L.) stand which has shown strong signs of decline since the late 1990s (observation by the forester in charge of the plot), where mean tree age in 2011 was 95 years and dominant height was 27 m. During the decline, the thinning of the crown has been observed to be episodic. The forester in charge of the plot has observed that crown loss episodes usually coincided with low precipitation periods. The crown condition typically does not improve during favorable years (with abundant and well temporally distributed precipitation). Mortality of some declining trees was observed by the forester in charge of the plot ca. 10 years after the onset of the visual symptoms of decline. During the 2011 growing season, death of upper branches was observed in the crowns of declining trees, resulting in further thinning of their crowns. Despite more favorable climatic conditions in 2012, the crown health of declining trees did not improve.

Trees were growing on a rather shallow podzoluvisol soil (mean profile depth 0.6 m), with a C:N ratio of 18.7:1, and an extractable soil water content of 101 mm. The soil texture is dominated by Stampian sand mixed with loam and clay at different depths.

## Sampling design

Within the plot, ten trees from the dominant storey were chosen according to their health status, so that they formed two homogeneous groups: five ''healthy'' trees with intact crowns and five ''declining'' trees with altered crowns. The crown loss was evaluated using the DEPEFEU (DEPErissement des FEUillus) protocol (Nageleisen and Goudet [2011\)](#page-13-0), which quantifies crown thinning on a scale from 0 (healthy tree) to 4 (dead tree). The selected healthy trees had large, dense crowns and abundant fine ramification (average DEPEFEU score 0.9), while the declining trees had reduced crown areas, very transparent crowns, only one or two main branches remaining and very limited fine ramification (average DEPEFEU score 3.6). On average, declining trees had 70 % less leaf area than healthy trees, as estimated with the DEPEFEU protocol. The mean diameters at breast height (45.9  $\pm$  5 cm) and the heights of the two groups  $(26.5 \pm 4 \text{ m})$  were similar. Radial stem growth was followed weekly with manual band dendrometers (DB20, EMS Brno, Brno, Czech Republic) placed at breast height. The spatial distribution of trees was not taken into account. An assessment of the competitive status of the chosen trees carried out as described in Takahashi [\(1996](#page-14-0)) after their selection showed that healthy and declining trees were subjected to similar levels of competition. The key phenological stages (budburst, evolution of the leaf mass per area—LMA and yellowing) were also monitored.

## Sampling for C and N measurements

Trees were sampled twice in both 2011 and 2012: at budburst (50 % of the tree buds broken) and at yellowing (senescence of 50 % of tree leaves). The time of budburst was determined as described in Vitasse et al. [\(2009](#page-14-0)): a bud was considered broken when its leaves started unfolding. In beech, budburst has been shown to correspond to minimum reserve concentrations, while at yellowing the reserve concentrations are at a maximum (Barbaroux and Bréda [2002;](#page-12-0) Hoch et al. [2003\)](#page-13-0).

On each sampling date, we collected light-exposed branches formed in 2010 using a shotgun; two trunk cores taken at breast height (one for C reserve assessment, one for N reserve assessment) containing phloem and xylem; and two coarse root cores (diameter  $\geq$ 5 mm, see Le Goff and Ottorini [2001](#page-13-0), taken approximately 50 cm from the trunk). Sampling was always performed between 10:00 and 12:00.

Samples were transported in a cooler to the laboratory, where their fresh weight was determined. The samples were then immediately placed in a freezer at  $-80$  °C. The samples destined for carbohydrate analysis were lyophilized for 7 days, and dry weight determined immediately after freeze drying to estimate the humidity content of each organ. The samples destined for soluble protein assessment were kept frozen until analysis.

Light-exposed leaves were collected during the 2012 growing season, lyophilized, and ground to a fine powder. 1 mg subsamples were then placed in tin capsules in order to determine the  $\delta^{13}$ C and percentage of N with a CN analyzer and a mass spectrometer (Sercon Ltd., Cheshire, UK).

#### Hydraulic parameters

#### Water potentials

Pre-dawn potentials were measured twice in each tree during the 2011 and 2012 growing season (on 2011/06/29, 2011/08/23, 2012/05/25, and 2012/08/20) in three lightexposed branches, between 10 and 20 cm long. Midday water potentials were measured only in 2012 growing season. Measurements were immediately made in the field using a pressure chamber (Model 600, PMS Instruments,

USA), after recutting 2 cm away from the last visible lead shot injury.

## Native embolism

Light-exposed branches in the upper third part of the crown of each tree were sampled three times during the 2012 growing season (on 2012/04/25, 2012/05/29, and 2012/09/ 07) with a shotgun. The whole branch was immediately wrapped in moist paper towels, placed in a black plastic bag (to prevent any photosynthesis and transpiration), and brought back to the laboratory in a cooler. They were then preserved in a cold room at  $7^{\circ}$ C until analysis. Native embolism (% loss of hydraulic conductivity, PLC) on ca. 3 cm branch segments using a  $XYL'EM^{\circledR}$  embolism meter (Bronkhorst, Montigny-les-Cormeilles, France). The technique requires hydraulic conductivity measurements before and after water refilling (for further details, see Cochard [2002](#page-12-0)). The branch segments were allowed to relax for ca. 60 min before measurement to prevent any measurement artifact (Wheeler et al. [2013\)](#page-14-0), then perfused with a degassed 100 mmol  $1^{-1}$  KCl - 10 mmol  $1^{-1}$  CaCl<sub>2</sub> solution, filtered at  $0.2 \mu m$ . Samples were flushed three times for 3 s at 0.2 MPa to remove embolism. Native embolism was computed as described in Sperry et al. [\(1988](#page-14-0)).

#### Cavitation vulnerability curves

To establish vulnerability curves, two south-oriented lightexposed branches from each tree were sampled at the end of May 2012. From each branch, segments ca. 20 cm long were selected. The average age of these segments was 20 years. Vulnerability curves were established using the Cavitron technique (Cochard [2002\)](#page-12-0), as described in Wortemann et al. ([2011\)](#page-14-0). Beech vessel length (90 % of beech vessels are shorter than 12 cm, see Hacke and Sauter [1995](#page-13-0)) is ideal to establish reliable vulnerability curves, as discussed in Cochard et al.  $(2010)$  $(2010)$ . The slope  $(\%$  MPa<sup>-1</sup>), xylem pressure inducing losses of conductance of 12 %  $(P_{12})$ , 50 %  $(P_{50})$ , and 88 %  $(P_{88})$  were computed as described in Wortemann et al.  $(2011)$  $(2011)$ .  $P_{12}$  is considered as the xylem pressure at which embolism begins to appear in the xylem conduits (Sparks and Black [1999](#page-14-0)), and  $P_{88}$  the xylem pressure at which the embolism level in the xylem conduits becomes critical (Domec and Gartner [2001](#page-12-0)).

#### Foliar functioning characterization

During the 2012 growing season, light-exposed leaves were sampled at the same phenological stages as trees were sampled for C and N measurements. The total area of 10 leaves randomly selected from each tree was measured using an area meter (Delta-T Area-meter, Delta-T Devices, UK). These 10 leaves were then dried at 60  $\degree$ C for 4 days, and their LMA computed.

In mid-August 2012, 10 sun-exposed leaves were sampled in the upper third of the crown. On each of these leaves, a 1 cm<sup>2</sup> area was covered with a thin layer of nail polish, and left to dry for approximately 20 min. This was then carefully removed with a piece of clear adhesive tape, and mounted on a glass slide. With a magnification of 200 times under an optical microscope (Leitz Wetzlar, Germany), stomata were counted in three separate fields from each leaf. The field width was 930 um, as calculated with a graduated slide. The stomatal densities of the three fields were averaged to obtain the mean stomatal density of each leaf (number of stomata  $mm^{-2}$ ). The average stomatal densities of each of the 10 leaves of a given tree were then averaged to obtain the tree's average stomatal density.

#### Quantification of N and C compounds

## Total non-structural N (TNSN)

Soluble proteins were assessed in the 2010 growth units, the phloem, the outermost 1.5 cm of xylem, and the coarse roots, using an adaptation of the protocol described by Bahrman et al. ([1997\)](#page-12-0). 400 mg of fresh matter were ground in liquid N. The proteins were then precipitated with 10 ml of precipitation solution (acetone, 10 % TCA, and 0.07 %  $\beta$ -mercapto-ethanol). After a night at  $-20$  °C, the extracts were centrifuged (15 min,  $-4 \degree C$ , 12,000g) and the supernatants eliminated. The remaining pellets were rinsed with 10 ml  $0.07\%$   $\beta$ -mercapto-ethanol in acetone, incubated for 1 h at  $-20$  °C, centrifuged (30 min, 4 °C,  $12,000g$ , and the supernatants were again eliminated. The pellets were then dried in a centrifugal vacuum concentrator (Centrivap, Labconco, USA) and  $10-20 \mu l$  mg<sup>-1</sup> of pellet of a solubilization solution (7 M urea, 2 M thiourea, 100 mM DTT, 4 % CHAPS, 0.4 % Triton X-100 in water) were added. After sonication during 30 min, the tubes were centrifuged (30 min, 15 °C, 12,000g), and the supernatants were transferred to other tubes.

Proteins were assessed in these supernatants by the Bradford method (Bradford [1976](#page-12-0)). Bradford reagent (BioRad Protein Assay, BioRad, USA) was diluted four times, and 3.5 ml of the diluted dye reagent were added to  $10 \mu l$  of the protein extract mixed with  $10 \mu l$  of HCl 0.1 mol  $1^{-1}$  and 80 µl of distilled water. After 15 min in the dark, absorbance was read at 595 nm with a spectrophotometer (UVIKON 938, NorthStar, UK). Protein concentration was calculated using solutions of increasing ovalbumine concentrations as standards. The protein concentrations were expressed in grams of reserve compound per 100 g of dry matter (g 100 g  $DW^{-1}$ ).

## Non-structural carbohydrates

Soluble sugars and starch were extracted from 10 mg  $(\pm 0.5 \text{ mg})$  of finely ground dry matter from the 2010 growth units, phloem, outermost 0.5 cm of xylem, and coarse roots. Samples were ground using a ball mill (MM 301, Retsch, Germany) with 5 mm balls shaken at 30 Hz three times for 2 min. Soluble sugars were extracted twice with 1 ml of boiling 80 % ethanol. These 2 ml were pooled and centrifuged. The supernatants and the pellets containing starch were dried in a centrifugal vacuum concentrator to remove ethanol (Centrivap, Labconco, USA). Soluble sugars and the pellets containing starch were then rehydrated with  $0.02$  mol  $1^{-1}$  NaOH. Glucose, fructose, and sucrose were assessed enzymatically by the method described in Barbaroux et al. [\(2003](#page-12-0)) and Brummer and Cui [\(2005](#page-12-0)). Their concentrations were then added to determine the concentration of total soluble sugars. Starch was quantified as the glucose equivalent, using the enzymatic method described for soluble sugars. The concentrations of total non-structural carbohydrates (TNSC), starch, and total soluble sugars were expressed in grams of reserve compound per 100 g of dry matter (g 100 g  $DW^{-1}$ ).

#### Statistical analyses

The growth data of each tree for each year were fitted with a sigmoid curve using Matlab software (MATLAB 2008a, MathWorks, Natick, MA, USA):

$$
CG = \frac{a}{1 + e^{-S \times (D - b)}}
$$

in which CG is the cumulative stem diameter growth (mm),  $a$  is the upper asymptote (mm),  $S$  is the growth rate (mm day<sup>-1</sup>), *D* is the date (day of year), and *b* is the inflexion point of the curve.

The dates of start and end of growth were determined by deriving the function six times for the start date and five times for the end date. The dates obtained with the fitted curves were then matched with the closest of our measurement dates. Additionally, annual basal area increment (BAI) was computed from the radial growth data for 2011 and 2012.

All the variables studied (growth-related variable, functional leaf parameters, C and N reserve concentrations, and hydraulic-related parameters) were checked for normality using Shapiro–Wilk normality test. The effects of health status on the different variables were determined with Student's  $t$  tests performed separately for each sampling date. The differences between sampling dates were tested using pairwise Student's t tests. Concerning C and N reserve concentrations, a potential difference of reserve variations between the 2 years of measurement was tested <span id="page-5-0"></span>by creating an annual synthetic variable: the difference between concentrations at budburst and at yellowing for each compound in each organ. On this synthetic variable, we applied paired Student's *t* tests to test for a year effect. The same technique was used to test for a possible interaction between health status and phenological stage that could result in differences in reserve dynamics between healthy and declining trees. The relationship between growth and reserve concentrations and dynamics were tested with Pearson correlation tests. Data were analyzed with the R software package [\(http://www.r-project.org/](http://www.r-project.org/)). In the text, values are presented as averages  $\pm$  SE.

## **Results**

## Stem radial growth

Declining trees had lower diameter increments ( $P\leq0.05$ , Fig. 1a) and lower BAI (basal area increment, data not shown) than healthy trees during both years. In 2011, the

Fig. 1 Cumulative diameter increment (mm) of healthy and declining Fagus sylvatica trees and daily rainfall (mm) in 2011 (a) and 2012 (b) in Fontainebleau state forest (France). Error bars represent SE  $(n = 5)$ 

diameter increment was on average 2.4 mm in healthy trees and 1.3 mm in declining trees. The 2012 diameter increments were more than twice those in 2011: 5.7 mm in healthy trees and 3.0 mm in declining trees. Lower growths in declining trees were related to lower growth rates  $(P < 0.05)$  and a growth duration difference in 2012 (Fig. 1a): declining trees stopped growing 19 days earlier than healthy ones that year ( $P \lt 0.05$ ). The dates of the onset of growth were not significantly different between declining and healthy trees in both years. However, trees stopped growing earlier in 2011 ( $P < 0.01$ ), resulting in a shorter growing season than in 2012 (73 days in 2011 for both healthy and declining trees, and 121 and 102 days in 2012 for healthy and declining trees, respectively,  $P < 0.01$ , Fig. 1a).

## Leaf characteristics

At each studied phenological stage (budburst, leaf maturity, yellowing), all the functional leaf characteristics measured ( $\delta^{13}$ C of total leaf organic matter, %C, %N,



Health status	Phenological stage	$\delta^{13}$ C of total organic matter $(\%_0)$	$\%N$	Mean leaf area $\text{ (cm}^2\text{)}$	LMA (g m <sup>-2</sup> )	Stomatal densities (stomata $mm^{-2}$ )
Healthy trees	<b>Budburst</b>	$-26.05 \pm 0.33$	$3.24 \pm 0.17$	$5.0 \pm 0.5$	$56.2 \pm 0.6$	$189 \pm 19$
	Leaf maturity	$-28.91 \pm 0.20$	$2.13 \pm 0.17$	$16.0 \pm 1.7$	$101.0 \pm 7$	
	Yellowing	$-29.96 \pm 0.27$	$1.60 \pm 0.05$	$20.0 \pm 1.5$	$72.5 \pm 8.1$	
Declining trees	<b>Budburst</b>	$-26.23 \pm 0.26$	$2.83 \pm 0.08$	$4.7 \pm 0.7$	$54.4 \pm 1.9$	$185 \pm 2$
	Leaf maturity	$-28.87 \pm 0.16$	$2.38 \pm 0.13$	$17.0 \pm 2.4$	$95.4 \pm 2.3$	
	Yellowing	$-29.73 \pm 0.25$	$1.67 \pm 0.05$	$17.4 \pm 1.5$	$81.6 \pm 1.1$	

Table 1 Mean characteristics ( $\pm$ SE) of light-exposed leaves of healthy and declining Fagus sylvatica trees in Fontainebleau state forest (France) during the 2012 growing season

Values are mean ± SE

LMA leaf mass per area, %N percentage of nitrogen in total organic matter

mean leaf surface and LMA) were similar in healthy and declining trees (Table 1). The patterns of seasonal variation of these variables were similar for healthy and declining trees (Table 1). LMA increased between budburst and leaf maturity ( $P < 0.05$ ), and decreased slightly at yellowing  $(P<0.05,$  Table 1). The mean leaf area increased between budburst and leaf maturity ( $P \lt 0.05$ ), and did not vary significantly thereafter. %N and  $\delta^{13}$ C of total organic matter decreased throughout the growing season  $(P<0.05)$ .

## C and N reserves

In 2011, no effect of health status on TNSC concentrations was detected at budburst in the 2010 growth units. However, at yellowing, TNSC concentrations were lower in declining trees than in healthy ones ( $P < 0.05$ , Fig. [2](#page-7-0)a). No effect of health status on TNSC concentrations in the trunk phloem and xylem (Fig. [2b](#page-7-0), c), and in coarse roots (data not shown), was noticed at any date. Starch concentrations in the 2010 growth units exhibited patterns similar to TNSC. No effect of health status on starch concentrations in the trunk phloem and xylem (Fig. [2](#page-7-0)b, c), or in coarse roots (data not shown), was observed at any date. Health status had no significant effect on soluble sugar concentrations in the 2010 growth units at any phenological stage. No effect of health status on soluble sugars in the trunk phloem was detected at budburst. However, at yellowing, soluble sugars were lower in the trunk phloem of declining trees than in that of healthy ones  $(P < 0.05,$  Fig. [2](#page-7-0)b). Declining trees had higher soluble sugar concentrations in the xylem than healthy trees at budburst ( $P \lt 0.05$ ), a difference which had disappeared by yellowing (Fig. [2c](#page-7-0)).

In 2012, declining trees had lower TNSC concentrations in the 2010 growth units than healthy trees at budburst  $(P<0.05,$  Fig. [2](#page-7-0)a), but no such difference was observed at yellowing. Health status had no significant effect on TNSC concentrations in the trunk phloem and xylem (Fig. [2b](#page-7-0), c) and in the coarse roots (data not shown) whatever the date.

No effect of health status on starch and soluble sugar concentrations was observed in any organ at any date (Fig. [2a](#page-7-0)–c).

Nitrogen reserve concentrations were similar for healthy and declining trees, except at yellowing in 2011 in the xylem, where protein concentrations were lower in healthy than in declining trees ( $P \lt 0.05$ , Fig. [2f](#page-7-0)).

## Interannual variations of growth and reserves

Globally, TNSC concentrations were higher in 2012 than in 2011 in the 2010 growth units, at both budburst and yellowing ( $P \lt 0.05$ , Fig. [2](#page-7-0)a). TNSC concentrations in the phloem were also higher in 2012 than in 2011 at budburst  $(P<0.01$ , Fig. [2](#page-7-0)b), but were similar at yellowing in both years. No difference was noted in TNSC concentrations in the xylem between 2011 and 2012 at budburst. However, TNSC concentrations at yellowing were higher in 2012 compared to 2011 ( $P < 0.05$ , Fig. [2c](#page-7-0)). TNSC concentrations in coarse roots did not differ between 2011 and 2012 (data not shown). The interannual variations of starch concentrations were similar to those of TNSC (Fig. [2a](#page-7-0)–c). Soluble sugar concentrations in the 2010 growth units were higher at budburst in 2012 than in 2011 ( $P \lt 0.01$ ), but as it was the opposite at yellowing: the soluble sugar concentrations were higher in 2011 than in 2012 ( $P < 0.01$ , Fig. [2](#page-7-0)a). In the phloem, the soluble sugar concentrations were higher in 2012 than in 2011 at budburst ( $P \lt 0.001$ ), but they were not different between years at yellowing (Fig. [2b](#page-7-0)). In the xylem, at budburst, the soluble sugar concentrations were higher in 2011 than in 2012  $(P<0.05)$ , but this difference had disappeared at yellowing (Fig. [2c](#page-7-0)). Soluble sugar concentrations in the coarse roots did not significantly differ between 2011 and 2012 (data not shown).

Soluble protein concentrations in the 2010 growth units did not significantly differ between 2011 and 2012 at budburst, whereas they were higher at yellowing in 2011 than in 2012 ( $P < 0.05$ , Fig. [2d](#page-7-0)). Soluble protein

<span id="page-7-0"></span>Fig. 2 Concentrations of carbon (soluble sugar and starch, a–c) and nitrogen reserves (soluble proteins, d–f) in healthy  $(H)$  and declining (D) Fagus sylvatica trees in Fontainebleau state forest (France) during the 2011 growth season: 2010 growth units (a,  $d$ ); phloem  $(b, e)$ ; and xylem  $(c,$ f). The error bars represent SE. The letters correspond to the results of pairwise Wilcoxon– Mann–Whitney (WMW) sum rank tests. Different letters indicate significant differences in average values over all studied trees between dates  $(P < 0.05)$ ; in (a), (b), and (c) for TNSC concentrations (upper line), for soluble sugar concentrations (middle line), and for starch concentrations (lower line), in  $(d)$ ,  $(e)$ , and (f) for protein concentrations. At each date, significant differences (WMW tests) between healthy and declining trees are noted by asterisk  $(P<0.05)$  for TNSC concentrations (upper line), for soluble sugar concentrations (middle line), and starch concentrations (lower line)



E. Mean total soluble sugars

> coarse roots, soluble protein concentrations were higher in 2012 than in 2011 ( $P < 0.01$ , data not shown).

concentrations in the trunk phloem were higher in 2011 than in 2012 at both budburst and yellowing ( $P < 0.05$ , Fig. 2e). Protein concentrations in the xylem were higher in 2012 than in 2011 at budburst ( $P \lt 0.05$ ), but at yellowing the opposite held true ( $P < 0.01$ , Fig. 2f). In the

Considering all the trees, the relationship between stem radial growth and seasonal variations in C reserves differed between years: the replenishment of starch reserves in the

<span id="page-8-0"></span>

Fig. 3 Relationship between basal area increment (BAI, mm<sup>2</sup> year-<sup>1</sup> ) and seasonal variations in starch concentration in the 2010 growth units (% dry weight, calculated as the difference between the starch concentrations at yellowing and budburst), in 2011 (gray dots) and 2012 (black dots). A simple regression line for 2011 is shown

2010 growth units was positively correlated with stem radial growth ( $R^2 = 0.50$ ,  $P < 0.05$ ) in 2011, but not in 2012 (Fig. 3). A similar correlation was found for replenishment of TNSC in the stem xylem and stem radial growth  $(R^2 = 0.39, P < 0.05)$ . The seasonal variations of the C reserves were not significantly correlated between years, with the exception of starch in the xylem  $(P < 0.01,$  $R^2 = 0.66$ ). Stem radial growth was significantly correlated between years ( $P < 0.01$ ,  $R^2 = 0.70$ ).

## Hydraulic characteristics

## Tree water status

Health status had no significant effect on pre-dawn water potential whatever the sampling year and date. The average midday water potential was significantly lower in August 2012 (-2.1 MPa) than in May (-1.4 MPa,  $P < 0.0001$ , Table 2).

## Branch xylem embolism

Healthy and declining trees had similar PLCs at all sampling dates ( $P > 0.05$ , Fig. 4). Between April 25th and May 29th, the PLC in both groups decreased significantly, from 35.5 to 20.1 % (Fig. 4), but it did not show any significant variation in September. Regarding vulnerability to water-stress induced cavitation, the parameters describing the curves did not differ significantly between healthy and declining trees  $(P > 0.05)$ . Average values, considering all trees, were  $P_{12}$  $-2.3$ ,  $P_{50}$   $-3.0$ , and  $P_{88}$   $-3.6$  MPa, and the average slope of the linear part of the curve was 87.3 PLC  $MPa^{-1}$  (Fig. [5\)](#page-9-0).

**Table 2** Average  $(\pm SE)$  pre-dawn and midday water potentials of healthy and declining beech trees in Fontainebleau state forest in June and August 2011 and May and August 2012

	Pre-dawn water potential (MPa)	Midday water potential (MPa)
June 2011		
Healthy trees	$-0.26 \pm 0.08$	
Declining trees	$-0.14 \pm 0.04$	
August 2011		
Healthy trees	$-0.22 \pm 0.07$	
Declining trees	$-0.08 \pm 0.01$	
May 2012		
Healthy trees	$-0.23 \pm 0.03$	$-1.29 \pm 0.06$
Declining trees	$-0.17 \pm 0.02$	$-1.41 \pm 0.07$
August 2012		
Healthy trees	$-0.16 \pm 0.03$	$-2.21 \pm 0.11$
Declining trees	$-0.16 \pm 0.03$	$-2.12 \pm 0.11$



Fig. 4 Average percentage loss of conductivity  $(\pm SE)$  in young branches (1–2 years old) of healthy  $(H, black)$  and declining  $(D, gray)$ Fagus sylvatica trees in Fontainebleau state forest (France) during the 2012 growing season. Letters correspond to the results of Wilcoxon-Mann–Whitney (WMW) sum rank tests. Different letters indicate significant differences between dates ( $P < 0.05$ )

#### **Discussion**

# How does health status affect stem radial growth and its response to climatic conditions, at the seasonal and interannual scales?

Greater growth rates and a longer growing season in 2012 led to increased growth compared to 2011, in healthy and declining trees. The stem radial growth differences can be explained by climatic conditions: in 2012, precipitation events were frequent and evenly distributed (Fig. [1](#page-5-0)b),

<span id="page-9-0"></span>

Fig. 5 Average cavitation vulnerability curves of healthy and declining Fagus sylvatica trees in 2012. The symbols represent the measured values of percentage loss of conductivity ( $\pm$  SE,  $n = 5$ ), and the dashed lines represent the model fitted as described in the "[Materials and methods](#page-2-0)" section

leading to high water availability throughout the growing season, whereas 2011 was marked by a dry spring (Fig. [1](#page-5-0)a) which probably imposed a hydric limitation on growth. Indeed, it has long been demonstrated that low water availability can impose growth limitations via a decrease in turgor pressure: Hsiao ([1973\)](#page-13-0), reviewing the response of plants to water stress, already described this effect more than 40 years ago. More recently, Zweifel et al. [\(2006](#page-14-0)), investigating the intra-annual growth patterns of different tree species (notably beech) growing on dry sites in the Alps, showed the importance of the drought sensitivity of turgor-related cell expansion in intra-annual stem radial growth patterns.

As expected, we observed significantly less growth in declining trees than in healthy ones. Numerous studies have presented similar observations, sometimes long before the appearance of crown-level symptoms: studying silver fir dieback in Slovenia, Torelli et al. [\(1986](#page-14-0)) noted that declining trees exhibited narrower rings at least 10 years before the occurrence of the first crown-level symptoms of decline. Jenkins and Pallardy ([1995\)](#page-13-0), Pedersen ([1998\)](#page-13-0), and Demchik and Sharpe ([2000\)](#page-12-0) made similar observations of North American oak species experiencing high mortality following recurrent droughts: growth decreased up to 20 years before mortality. More recently, Bré $da$  and Badeau ( $2008$ ) showed in a meta-analysis that following severe droughts, the stem radial growth of some trees was permanently decreased. Even when the decline or mortality event cannot be related exclusively to dry conditions, affected trees can show decreased growth, as shown by Amoroso et al.  $(2012)$  $(2012)$  in a study of declining Austrocedrus chilensis. The decreased annual growth of declining trees we observed is consistent with data already obtained from other trees at the same site (Silva [2010](#page-14-0)), which showed that currently declining trees had exhibited a decreased stem radial increment since 1959.

The average growth difference between healthy and declining trees was greater in 2012 than in 2011. Declining trees thus seem to have a weaker response to interannual climatic variations than healthy trees. Silva ([2010\)](#page-14-0) also observed that declining trees displayed reduced interannual variations since the dry year of 1996, when crown-level symptoms of decline appeared. Pedersen [\(1998](#page-13-0)) observed a similar reduction of interannual growth variations during the years preceding tree death in North American oaks. However, the long-term studies of Amoroso et al. ([2012\)](#page-12-0) and Klein et al. [\(2014](#page-13-0)) showed the opposite trend: declining trees showed a stronger response to interannual climatic variation than healthy trees.

# Is the growth reduction associated with altered foliar functioning?

All the leaf functional variables (LMA, mean leaf surface, percentage of N,  $\delta^{13}$ C of total organic matter, and stomatal densities) studied were within the range reported for healthy beech trees in the literature (Aussenac and Ducrey [1977](#page-12-0); Balsberg Påhlsson [1989](#page-12-0); Keitel et al. [2006](#page-13-0); Wang et al. [2008](#page-14-0), [2011](#page-14-0); Closa et al. [2010;](#page-12-0) Bresson et al. [2011](#page-12-0)). All these parameters were similar for healthy and declining trees. Similarly, the observed phenological variations of the measured parameters were consistent with those reported in the literature (Wang et al. [2008;](#page-14-0) Fotelli et al. [2009](#page-13-0); Maunoury-Danger et al. [2010](#page-13-0)).

Taken together, these parameters give us a partial image of the foliar functioning of the two groups. The  $\delta^{13}C$  of the total leaf organic matter is known to be related to the tree's water use efficiency (Farquhar et al. [1989\)](#page-12-0). Combined with the stomatal densities, N content, and the pre-dawn and midday water potentials, it gives us a proxy for stomatal conductance, which is probably similar in healthy and declining trees. This result is not in agreement with observations made at the canopy level in Populus tremu-loides by Anderegg et al. ([2014\)](#page-12-0): aspen canopies in healthy plots had higher stomatal conductance than the canopies in declining plots. However, this apparent contradiction could stem from differences in spatial scales: while Anderegg et al. ([2014](#page-12-0)) studied aspen decline at the plot level, we focused on individual trees growing in the same plot. It would therefore be highly interesting to apply Anderegg et al.'s [\(2014](#page-12-0)) approach to healthy and declining beech plots to enable a proper comparison. Concerning the photosynthetic apparatus, the LMA and N contents of leaf organic matter are known to be related to the biochemical

leaf photosynthetic capacities (Evans [1989](#page-12-0); Poorter et al. [2009\)](#page-13-0). As a consequence, the C-assimilation capacity at leaf level is likely to be similar in healthy and declining trees. Thus, the observed growth reduction in declining trees is probably not associated with altered leaf functioning but rather with a reduction of carbon uptake due to a reduced leaf area per tree (indirectly quantified here by the crown loss index), as demonstrated in poplars by Anderegg et al. ([2014\)](#page-12-0).

# Is altered seasonal growth in declining trees related to a dysfunction in reserve mobilization and/ or storage?

There is a lack of information concerning the N relations of declining trees. The only studies relevant to this subject in the literature deal with soil N absorption and partitioning by trees during experimental droughts (Fotelli et al. [2002,](#page-12-0) [2004;](#page-13-0) Geßler et al. [2004](#page-13-0); Peuke and Rennenberg [2004](#page-13-0)). In our study, health status had little effect on N reserve concentrations and dynamics. The measured protein concentrations were within the ranges reported by Bazot et al. [\(2013](#page-12-0)) and Gilson et al. [\(2014](#page-13-0)) for temperate oaks. Similarly, the percentage of N in sun-exposed leaves was similar in healthy and declining trees, and within the range reported in the literature (Påhlsson [1992;](#page-13-0) Bussotti et al. [2005\)](#page-12-0). N limitation is therefore unlikely at our study site, and is probably not significantly implicated in the beech decline reported. The results from literature are rather contradictory, and are not directly comparable to those presented in this paper. Even during the moderate drought year 2011, leaf N and protein contents were similar in declining and healthy trees, an observation consistent with previous results. Indeed, Fotelli et al. ([2002\)](#page-12-0) found no effect of drought on the protein N content of beech seedlings. Moreover, Fotelli et al. [\(2004\)](#page-13-0) and Peuke and Rennenberg [\(2004](#page-13-0)) observed no effect of drought on N assimilation in beech seedlings. However, Fotelli et al. [\(2002](#page-12-0)) and Geßler et al. [\(2004](#page-13-0)) showed that under dry conditions, N absorption by beech trees was reduced.

Young branches of declining trees had less C reserves than healthy ones following the constraining 2011 growing season. This reserve deficit was caused by lower starch concentrations in the declining trees. A reduction of C starch concentrations following a drought was documented in the stems of beech trees that had suffered defoliation during the 2003 heat wave and drought (Bréda et al. [2006](#page-12-0)). Similar observations were made in Mediterranean forest trees: a clear association between drought-induced defoliation and lower C reserve concentrations in Quercus ilex was observed (Galiano et al. [2012;](#page-13-0) Rosas et al. [2013](#page-14-0)). In our study, this difference in C reserve concentrations was still present at the following budburst. However, after the moist 2012 growing season, C reserve concentrations in young branches of healthy and declining trees were similar (Fig. [2a](#page-7-0)). It therefore seems that in 2012, declining trees made a functional adjustment at the tissue level by storing more C reserves in young branches than healthy trees. The reduced stem radial growth of declining trees was therefore associated with differences in reserve concentrations between budburst and yellowing. This relationship between growth and C reserves can be examined more precisely by correlating stem radial growth and reserve replenishment of individual trees during the two contrasted growing seasons. In 2011, stem radial growth was positively correlated with the replenishing of C reserves in young branches (Fig. [3\)](#page-8-0): the more a tree grew, the greater the increase of C reserve concentrations during the growing season. This correlation was however not significant in 2012. Declining trees in fact increased their stem radial growth between 2011 and 2012 to a lesser extent than healthy trees, while increasing the replenishment of their C reserves in stems and young branches between the 2 years. In beech, C reserve storage and growth were concomitant for both healthy and declining trees in 2011 and 2012 (data not shown). Barbaroux and Bréda [\(2002](#page-12-0)) and Scartazza et al. ([2013\)](#page-14-0) similarly showed that in beech, growth and C reserve formation were not disconnected. Nevertheless, it was noticeable that in 2012, the growth of declining trees stopped almost 2 weeks before that of healthy trees (Fig. [1a](#page-5-0); beginning of August in declining trees and mid-August in healthy trees). Net C assimilation at the tree level has been shown to occur from May to September in beech (Lebaube et al. [2000](#page-13-0); Pilegaard et al. [2001\)](#page-13-0). Thus, the assimilated C could be stored after the cessation of growth, explaining the higher increase of C reserve concentrations in branches of declining trees. The concomitance of growth and C storage at the beginning of the growing season confirmed the active nature of C reserve formation, as described by Chapin et al. ([1990\)](#page-12-0), whereas C storage is likely to become a passive process after the cessation of growth. However, if the stem radial growth of declining trees was actively down regulated, C reserve formation at the end of the 2012 growing season could be considered semi-active, as described by Dietze et al. ([2014\)](#page-12-0). It would therefore mean that in declining trees, during the 2012 growing season, the stem radial growth was compromised to ensure the replenishing of C reserves. Carbon reserve concentrations are known to correlate with the ability of trees to recover from stressful events (Bréda et al. [2006](#page-12-0); Galiano et al. [2011\)](#page-13-0) and with tree survival (Canham et al. [1999](#page-12-0); Gleason and Ares [2004;](#page-13-0) Myers and Kitajima [2007](#page-13-0)). Our results point towards a potential strategy of C storage at the expense of short-term growth to ensure long-term survival, as proposed in Sala et al.  $(2012)$  $(2012)$ .

# <span id="page-11-0"></span>Is water transport altered by health status during the growing season?

Similar pre-dawn water potentials in healthy and declining trees in both 2011 and 2012 indicate that the trees probably have similar access to soil water resources (Ritchie and Hinckley [1975\)](#page-14-0). This finding is consistent with that presented by Silva ([2010\)](#page-14-0): the local variability of soil depth around beech trees growing in the same plot (as in this paper) was not spatially correlated with the pattern of decline. Our results showed similar native embolism levels throughout the growing season in healthy and declining trees, well below levels lethal to beech (PLC around 90 % Barigah et al. [2013\)](#page-12-0). Moreover, healthy and declining trees also had similar vulnerabilities to cavitation, well within the range reported for beech (Wortemann et al. [2011\)](#page-14-0). As a consequence, our data suggest that hydraulic failure sensu stricto (without considering the potential interactions with C metabolism) is probably not a chronic functional mechanism involved in beech decline in Fontainebleau state forest. However, hydraulic failure during an extreme dry year such as 2003 leading to observed loss of young branches in declining trees cannot be excluded. In this species, the xylem function seems to fail only under very prolonged and extreme hydric stress (Barigah et al. [2013,](#page-12-0) study on saplings).

## Potential interaction between C and hydraulics

Sala et al. ([2012\)](#page-14-0) exposed that the hydraulic and C systems of trees are likely to interact with each other. Since declining trees had less C reserves than healthy ones in young branches at the end of the constraining 2011 growing season, it is possible that, in the case of a more severe drought, or of recurrent droughts, declining trees could fail to maintain sufficient C reserve concentrations in other storage compartments in order to ensure the growth of new organs at the beginning of the following growing season, and proper osmoregulation of the xylem sap, and consequently suffer from C-related hydraulic failure. Indeed, the importance of sufficient C reserve concentrations in the maintenance of the xylem transport via osmoregulation processes has recently been demonstrated: Sevanto et al. ([2014\)](#page-14-0) showed that under drought conditions, hydraulic failure in Pinus edulis might be associated with a loss of C reserve content required for osmoregulation, in turn promoting hydraulic failure. A study of within-crown variability of both C reserves and hydraulic function could clarify the link between these two aspects of tree function, and improve our understanding of the increased fine branch mortality observed in declining trees.

In conclusion, this study documents tree functional responses after a long-term, drought-related decline in beech, at the individual level. Declining trees were characterized by growth clearly lower than that of healthy trees. This decrease is linked to reduced carbon assimilation, mainly due to a thinned canopy rather than an impairment of leaf function. During a favorable year following a constraining one, their growth recovery was limited especially by the length of the growing season. This apparent limitation could in fact be beneficial, by allowing a seasonal recovery of the reserve content in woody tissue. With the exception of growth, functioning of declining trees is globally still close to that of healthy trees for the surviving tissues (for C, N, and hydraulic functioning). This study concerned favorable or moderately stressful years, and should be completed by examining tree functioning during an extremely constraining year, when hydraulic and reserve dynamics are probably impacted to greater and different extents.

Author contribution statement AD, SB, and CD conceived and designed experiments. AD conducted all laboratory analysis, carried out data analysis, wrote the main part of the manuscript and prepared the figures. CD and SB contributed to the redaction of the manuscript.

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