



# Oncological outcomes of laparoscopic versus open radical total gastrectomy for upper-middle gastric cancer after neoadjuvant chemotherapy: a study of real-world data

Hua-Long Zheng<sup>1,2,3,4</sup> · Li-li Shen<sup>1,2,3,4</sup> · Bin-bin Xu<sup>1,2,3,4</sup> · Qi-Yue Chen<sup>1,2,3,4</sup> · Jun Lu<sup>1,2,3,4</sup> · Zhen Xue<sup>1,2,3,4</sup> · Jia-Lin<sup>1,2,3,4</sup> · Jian-Wei Xie<sup>1,2,3,4</sup> · Ping Li<sup>1,2,3,4</sup> · Chang-Ming Huang<sup>1,2,3,4</sup> · Chao-Hui Zheng<sup>1,2,3,4</sup>

Received: 13 November 2022 / Accepted: 17 April 2023 / Published online: 17 May 2023  
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## Abstract

**Background** Laparoscopic technique has been increasingly used in gastrectomy, but the safety and feasibility of the laparoscopic total gastrectomy (LTG) for advanced proximal gastric cancer (PGC) after neoadjuvant chemotherapy (NAC) is unclear.

**Methods** A retrospective analysis of 146 patients who received NAC followed by radical total gastrectomy at Fujian Medical University Union Hospital from January 2008 to December 2018 was performed. The primary endpoints were long-term outcomes.

**Results** The patients were divided into two groups: 89 were in the LTG group and 57 were in the open total gastrectomy (OTG) group. The LTG group had a significantly shorter operative time (median 173 min vs. 215 min,  $p < 0.001$ ), less intraoperative bleeding (62 ml vs. 135 ml,  $p < 0.001$ ), higher total lymph node (LN) dissections (36 vs 31,  $p = 0.043$ ), and higher total chemotherapy cycle completion rate ( $\geq 8$  cycles) (37.1% vs. 19.7%,  $p = 0.027$ ) than OTG. The 3-year overall survival (OS) of the LTG group was significantly higher than that of the OTG group (60.7% vs. 35%,  $p = 0.0013$ ). Survival with inverse probability weighting (IPW) correction for Lauren type, ypTNM stage, NAC schemes and the times at which the surgery was performed showed that there was no significant difference in OS between the two groups ( $p = 0.463$ ). Post-operative complications (25.8% vs. 33.3%,  $p = 0.215$ ) and recurrence-free survival (RFS) ( $p = 0.561$ ) between the LTG and OTG groups were also comparable.

**Conclusion** In experienced gastric cancer surgery centers, LTG is recommended as the preferred option for such patients who performed NAC, owing to its long-term survival is not inferior to OTG, and it offers less intraoperative bleeding, better chemotherapy tolerance than conventional open surgery.

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Hua-Long Zheng, Li-li Shen and Bin-bin Xu contributed equally to this work and should be considered co-first authors.

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✉ Chao-Hui Zheng  
wwkzch@163.com

- 1 Department of Gastric Surgery, Fujian Medical University Union Hospital, No.29 Xinquan Road, Fuzhou 350001, Fujian, China
- 2 Department of General Surgery, Fujian Medical University Union Hospital, Fuzhou, China
- 3 Key Laboratory of Ministry of Education of Gastrointestinal Cancer, Fujian Medical University, Fuzhou, China
- 4 Fujian Key Laboratory of Tumor Microbiology, Fujian Medical University, Fuzhou, China

According to the latest global oncology data in 2020, gastric cancer is the fifth most common tumor and third leading cause of cancer-related deaths [1]. Owing to its high morbidity and mortality rates, progress in the treatment of gastric cancer is of great concern. The MAGIC [2] study was the first to demonstrate that neoadjuvant chemotherapy (NAC) can improve the chances of the radical resection of advanced gastric cancer by downstaging the tumor and eliminating possible micro-metastases. The results brought hope to the patients with advanced gastric cancer (AGC) who have lost the chance to undergo surgery. The NCCN guidelines recommended that NAC should be administered to all advanced gastric cancers [3, 4], and it was included in the standard care for the multimodal treatment of gastric cancer in many countries around the world.

Laparoscopic gastrectomy (LG) was first reported by Kitano et al. [5] in 1994, and after 20 years of development, LG for gastric cancer has gradually progressed. The findings of the Japanese (JCOG0912) [6] and Korean (KLASS-01) [7] studies showed that compared with open gastrectomy (OG), LG has the advantages of less pain, better cosmetic results, and less intraoperative bleeding, and while it is widely accepted as the preferred surgical approach for early gastric cancer, it has gradually extended to patients with locally advanced gastric cancer. The results of a randomized controlled trial (CLASS-01) conducted in China showed that LG had similar short-term and long-term outcomes as open surgery in patients with advanced distal gastric cancer [8].

However, the radical resection of gastric cancer after NAC faces technical challenges due to the fibrotic response of vascular tissue and alteration of the normal anatomical plane [9–11]. LG has the advantages of a large field of view and good maneuverability. An RCT study conducted by Li Z [9] showed that laparoscopic surgery had better surgical safety and postoperative chemotherapy tolerance than open surgery for distal gastrectomy after NAC. However, there is still a lack of studies on whether LG has better postoperative chemotherapy tolerance and long-term survival benefits in patients with advanced proximal gastric cancer (PGC) after NAC. The only study available by Nicole van der Wielen et al. showed that LTG after NAC was comparable to open surgery in terms of surgical safety, with no significant differences in postoperative recovery and complications. However, the patients included in that study were from a European population and only had a one-year follow-up, which did not provide a reference for long-term survival outcomes [12].

In the Eastern population, there is still a research gap regarding whether LTG after NAC is superior to OTG in patients with advanced PGC. This study aimed to investigate

the oncologic efficacy of LTG compared with OTG in the treatment of PGC after NAC, with the aim of providing evidence for the use of minimally invasive surgery in these populations.

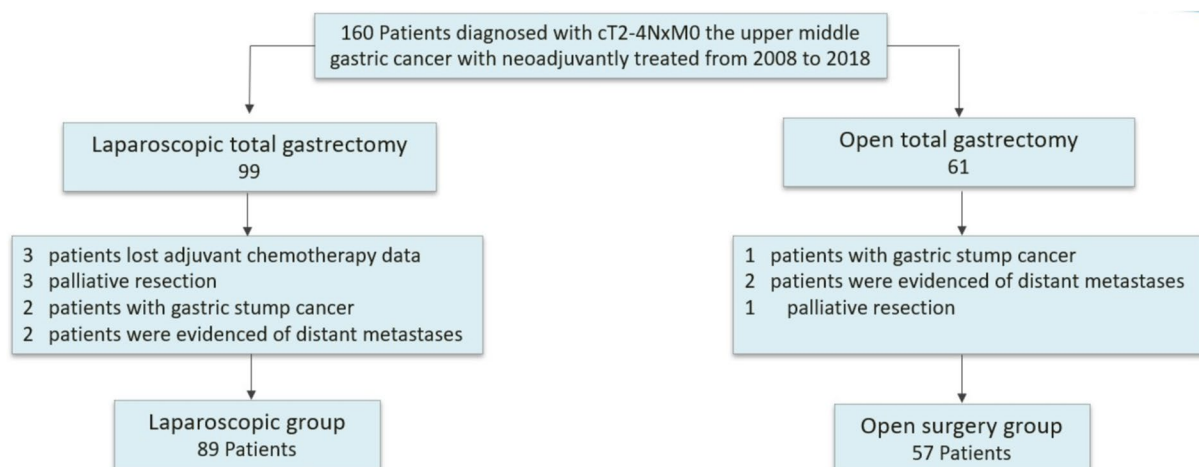
## Materials and methods

### Study design and patient selection

A prospective collection and retrospective analysis were performed using the data of 146 patients diagnosed with gastric adenocarcinoma of the upper middle stomach and treated with NAC followed by total gastrectomy between January 2008 and December 2018 at the Fujian Medical University Union Hospital. All data were obtained from a prospective database at the center. The patients provided informed consent and the surgical approach method was selected according to the patient's intentions. Eligible participants were histologically proven to have gastric adenocarcinoma with clinical stage T2-4aN0/+ M0 after NAC by a preoperative evaluation according to the 8th staging system of the American Joint Committee on Cancer [13]. Patients with distant metastases, palliative resection, gastric stump cancer, or no adjuvant chemotherapy data were excluded. The patient selection process is illustrated in Fig. 1. All patients underwent D2 curative gastrectomy three weeks after NAC. The study was approved by the Ethics Committee of Union Hospital affiliated with the Fujian Medical University.

### Perioperative chemotherapy

All patients received at least two cycles of NAC based on 5-Fu and were routinely recommended to receive adjuvant chemotherapy after surgery. The specific information on



**Fig. 1** Flow Diagram. LTG indicates laparoscopic total gastrectomy; OTG indicates open total gastrectomy

NAC regimens is as follows: three-week cycles of SOX (S-1 40–60 mg orally twice daily on days 1–14; oxaliplatin 130 mg/m<sup>2</sup> intravenously on day 1) [14]. Three-week cycles of XELOX (1000 mg/m<sup>2</sup> of capecitabine orally twice daily on days 1 to 14 and 130 mg/m<sup>2</sup> of oxaliplatin intravenously on day 1). Three-week cycles of DS (S-1 40–60 mg orally twice daily on days 1–14; docetaxel 40 mg/m<sup>2</sup> intravenously on day 1) [15]. Two-week cycles of FOLFOX4 (oxaliplatin 85 mg/m<sup>2</sup> intravenously on day 1, leucovorin 200 mg/m<sup>2</sup> as a 2-h intravenous infusion followed by bolus fluorouracil 400 mg/m<sup>2</sup>, and a 22-h intravenous infusion of fluorouracil 600 mg/m<sup>2</sup>) [16], and others including and apatinib regimens (oxaliplatin plus apatinib). Contrast-enhanced abdominopelvic CT was performed every two cycles, after which the tumor regression was evaluated and graded according to the Response Evaluation Criteria in Solid Tumors (RECIST) [17] guidelines. Treatment management for all patients was based on the Japanese Gastric Cancer Association (JGCA) [18]. All surgical procedures, including the extent of the lymph node (LN) dissection, were performed according to the guidelines of the Japanese Research Society for the Study of Gastric Cancer [19, 20], while staging was performed according to the TNM classification (AJCC, 8th edition) [13]. The pathological response was based on the estimation of the percentage of vital tumor cells in relation to the macroscopically identifiable tumor bed and included the following categories that were quantified using the Becker regression criteria. Toxicities were evaluated according to the National Cancer Institute-Common Terminology Criteria for Adverse Events version 4.0.

## Surgical operations

The abdominal cavity was explored to check whether the liver, peritoneum, mesenteric, pelvic, and other metastatic gastric serous membranes had been invaded. All the operations were performed by one experienced team of surgeons who had performed at least 50 open total gastrectomy and 50 laparoscopic total gastrectomy with D2 lymphadenectomy.

## Definitions

LN noncompliance rate: when more than one group of LNs was not detected [21, 22].

Blood loss: calculated by the number of gauze pieces and the amount of suction.

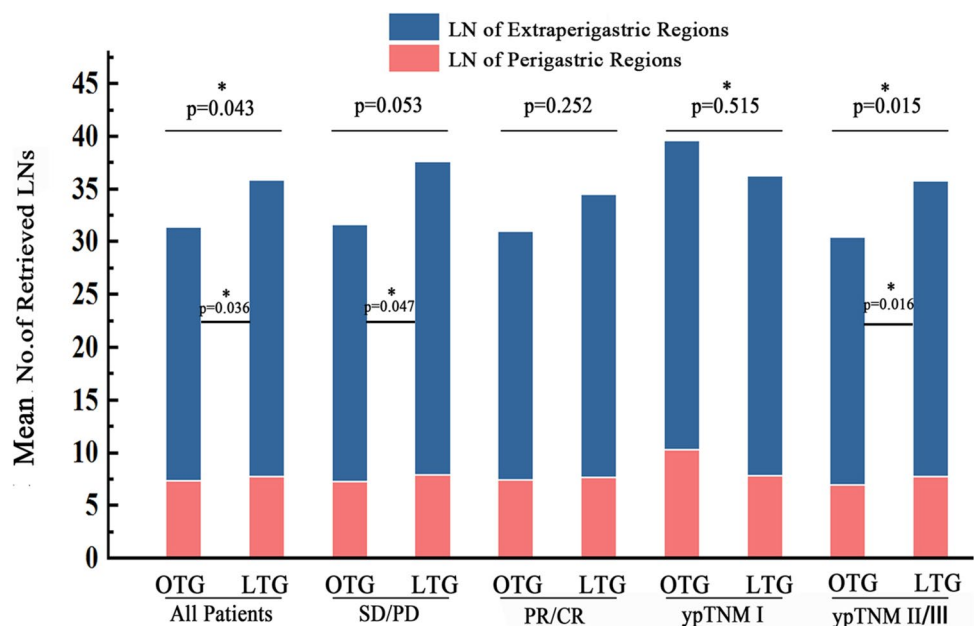
Operation time: the time from the start of the skin incision to the end of the abdominal closure.

Postoperative complications: complications occurring within 30 days after surgery that were recorded through hospitalization and discharge summaries; the severity of the complications was graded according to the Clavien-Dindo scoring system [23]. Major complications were defined as Clavien-Dindo 3A or higher.

Textbook outcome: Curative resection was performed, with no perioperative complications, a pathological radical resection (R0) with more than 15 lymph nodes resected, no major complications (Clavien-Dindo 3A or higher), no reinterventions, length of hospital stay less than 21 days, and no mortality or readmission in the first 30 days [24].

Recurrence: confirmed by imaging or biopsy of the suspected lesion. Recurrence-free survival (RFS) was defined as the period from the first day after surgery until recurrence was

**Fig. 2** Experiogastric LN and perigastric LN dissections in subgroup patients



detected. Early recurrence was recurrence occurring within one year after surgery [25]. For RFS, the last follow-up records of patients who died without tumor recurrence were reviewed. The pattern of recurrence was classified as local recurrence (LR) (anastomosis, remnant stomach, and perigastric lymph nodes), peritoneal metastases (PM) (peritoneal implants, pelvic implants, and cancerous ascites), and distant metastases (DM) (brain, liver, lung, bone, and extra-regional lymph nodes).

### Statistical analysis

SPSS version 26 (IBM, Armonk, NY, USA) and R version 4.0.3 (<http://www.r-project.org>) were used to perform all the analyses. Categorical variables were analyzed using the chi-square test or Fisher's exact test and are presented as frequencies and percentages. Continuous variables were analyzed using Student's t-tests and are expressed as mean  $\pm$  standard deviation. The Meier method, adjusted survival curves with inverse probability weights (IPW) [26], and differences between curves were analyzed using the log-rank test. Statistical significance was set at  $p < 0.05$ .

## Results

### Clinical characteristics

A total of 146 patients (89 in the LTG group and 57 in the OTG group) were included, and the clinicopathological data of the two groups are shown in Table 1. The two groups were comparable in age, sex, BMI, comorbidity index, ECOG score, cT and cN stages before NAC, tumor size, TRG grading, and ypTNM stage, except for the Lauren type ( $p > 0.05$ ).

### Operative outcomes and oncological outcomes

We compared the short-term surgical outcomes of the two groups, as the Table 2 results showed that the LTG group had a shorter operative time, with a median operative time of 173 min (IQR 105–241 min), while the OTG group had a median operative time of 215 min (IQR 147–283 min) ( $p < 0.001$ ). Intraoperative blood loss was significantly lower in the LTG group (mean, 62 ml) than that in the OTG group (mean, 135 ml) ( $p < 0.001$ ). The postoperative recovery was similar in both groups, with the mean time to first off-bed being 2.5 days in the LTG group and 2.8 days in the OTG group, and the mean time to first liquid diet and half-liquid diet being 5.1 days and 7.3 days in the LTG group, respectively, compared with 5.3 days and 7.6 days in the OTG group, respectively. The time to extubation was 9.4 days in the LTG group and 9.5 days in the OTG group ( $p > 0.05$ ). We also found that the discharge time in the LTG group and the OTG group was  $10.90 \pm 4.60$  days vs  $12.67 \pm 5.17$  days respectively ( $p = 0.033$ ).

**Table 1** Demographics and clinical characteristics of the study population

Characteristic	LTG (n=89) N(%)/ mean(SD)	OTG (n=57) N(%)/ mean(SD)	P value
Age (Y)			0.058
$\geq 65$	32 (36.0%)	30(51.7%)	
$< 65$	57(64.0%)	27(48.3%)	
Age (Y)	60.30 $\pm$ 10.1	63.28 $\pm$ 11.3	0.101
Sex			0.611
Male	69(77.5%)	46(81.0%)	
Female	20(22.5%)	11(19.0%)	
BMI (Kg/m <sup>2</sup> )			0.477
$\geq 25$	11(12.4%)	5(8.6%)	
$< 25$	78(87.6%)	52(91.4%)	
CCI			0.822
0	55(61.8%)	39(69.0%)	
1	21(23.6%)	12(20.7%)	
2	9(10.1%)	4(6.9%)	
$\geq 3$	4(4.5%)	2(3.4%)	
ECOG Score			0.650
0	60 (67.4%)	37(63.8%)	
1	29(32.6%)	20(36.2%)	
Before NAC cT Stage			0.621
T2	1(1.1%)	2(3.34%)	
T3	22(22.5%)	13(22.4%)	
T4	76(76.4%)	42(74.1%)	
Before NAC cN Stage			0.402
N0	17(19.0%)	8(13.8%)	
N+	72(80.9%)	49(86.2%)	
ycTNM Stage			0.379
I	0 (–)	1 (1.8%)	
II	14(15.7%)	11(19.3%)	
III	75(84.3%)	45(78.9%)	
TRG			0.152
0	7(7.9%)	1(1.8%)	
1	19 (21.3%)	7(12.3%)	
2	45(50.6%)	37(64.9%)	
3	18(20.2%)	12(21.1%)	
Size (mm)			0.083
$< 50$	60(67.4%)	30(52.6%)	
$\geq 50$	29(32.6%)	27(47.4%)	
Size (mm)	51.7 $\pm$ 33.3	60.5 $\pm$ 35.7	0.127
Lauren Type			<b>0.019</b>
Intestinal	34(38.2%)	20(35.1%)	
Diffused	45(50.6%)	37(64.9%)	
Mixed	10(11.2%)	0	
ypTNM Stage			0.058
I	16(16.9%)	6(10.5%)	
II	35(39.3%)	15(26.3%)	
III	38(42.7%)	36(63.2%)	
NAC Regimens			$< 0.001$

**Table 1** (continued)

Characteristic	LTG( <i>n</i> =89) N(%)/ mean(SD)	OTG ( <i>n</i> =57) N(%)/ mean(SD)	<i>P</i> value
Oxaliplatin	46(51.7%)	48(84.2%)	
Paclitaxel	41(46.1%)	7(12.3%)	
Others	2(2.2%)	2(3.5%)	
Adjuvant Chemotherapy			0.538
Yes	67(75.3%)	41(70.7%)	
No	22(24.7%)	17(29.3%)	
Postoperative Complications			0.702
Yes	31(34.8%)	22(37.9%)	
No	58(65.2%)	36(62.1%)	
Times of surgery presented			<0.001
2008–2013	20(22.5%)	36(63.2%)	
2014–2018	69(77.5%)	21(36.8%)	

Statistical significance  $p < 0.05$  are given in bold

*SD* standard deviation, *BMI* body mass index, *CCI* Charlson Comorbidity Index [39] (score range: 0–37, with the lowest score indicating that no comorbidities were found). *NAC* neoadjuvant chemotherapy. Oxaliplatin including SOX: S-1 plus oxaliplatin or FOLFOX4 (oxaliplatin plus leucovorin and 5-fluorouracil) or XELOX: capecitabine plus oxaliplatin; Paclitaxel including DS: docetaxel plus S-1; Others: apatinib regimens (oxaliplatin plus apatinib)

We further analyzed the oncological outcomes in both groups. All patients underwent radical TG. We evaluated the oncological quality of safe resection by total LN dissection and textbook outcomes. The average number of total LN dissections was significantly higher in the LTG group ( $37 \pm 13$ ) than that in the OTG group ( $31 \pm 10$ ) ( $p = 0.043$ ) (Table 2). The average number of peri-gastric LN (No.1–7)

dissections was significantly higher in the LTG group than in the OTG group ( $28 \pm 11$  vs.  $24 \pm 9$ ,  $p = 0.036$ ), and there was no significant difference in the number of LN dissections of extraperigastric regions and each station (Table 3). A subgroup analysis of the relationship between the degree of LN dissection and the radiological response after NAC (RECIST standard) and ypTNM stage was performed. There was no significant difference in the average number of LN dissections between the LTG and OTG groups, including the group with good tumor regression (PR/CR) (Supplementary eFigure 2B) and the group with ypTNM stage I (Supplementary eFigure 2D). However, in the group with poor tumor regression (PD/SD) (Supplementary eFigure 2C), the number of peri-gastric and No.1p LN dissections was significantly higher in the LTG group than in the OTG group. Regarding ypTNM II/III, the total average number of LN dissections was significantly higher in the LTG group than in the OTG group ( $36 \pm 14$  vs.  $30 \pm 10$ ,  $p = 0.015$ , Fig. 2), and there were significantly higher peri-gastric LN dissections, while No. 3 and 7 LNs were dissected more often (Supplementary eFigure 2E) (specific LN dissection numbers are shown in Supplementary material eTables 1, 2, and 3). The percentage of LN dissection numbers [ $\geq 27$ , the LN dissection number cut-off point of 27 by unrestricted cubic spline (as shown in Supplementary material eFigure 1)] in the LTG group was significantly higher than that in the OTG group (78.7% vs. 61.45%,  $p = 0.037$ ). The textbook outcomes were similar in both groups (LTG vs. OTG: 60.7% vs. 57.9%), with no statistically significant difference in LN noncompliance rates (61.8% vs. 71.9%,  $p = 0.218$ ; Fig. 3).

**Table 2** Surgical and postoperative recovery characteristics

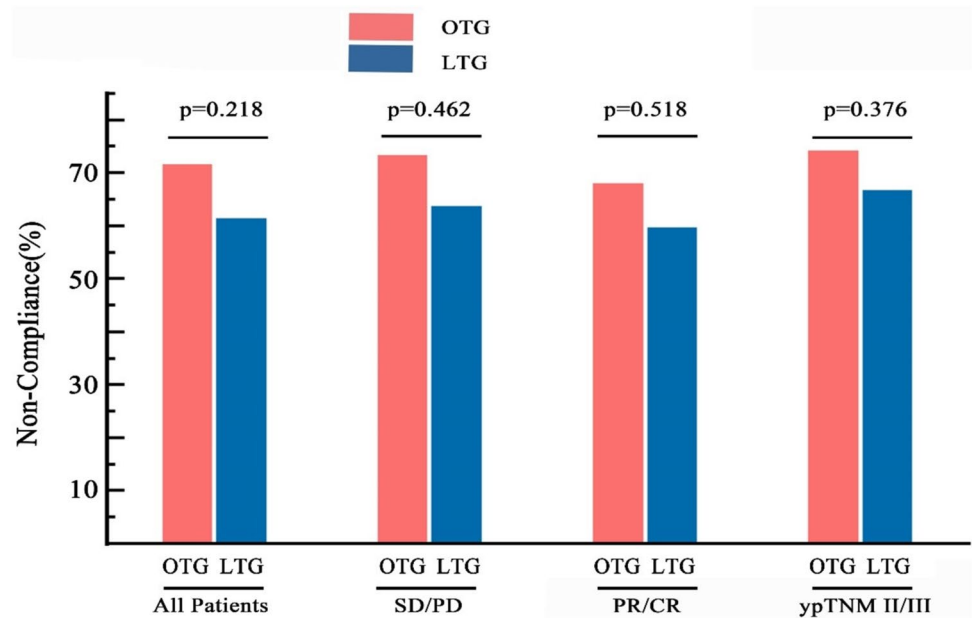
Variable	LTG N(%) / mean(SD)	OTG N(%) / mean(SD)	<i>P</i> value
Operation Time (IQR min)	173(105–241)	215(147–283)	<0.000
Operation Blood Lost (ml)	62 ± 54	135 ± 188	<0.000
Total LN Dissections	37 ± 13	31 ± 10	0.043
LN Examined Numbers			0.037
$\geq 27$	70(78.7%)	35(61.4%)	
$< 27$	19(21.35%)	23(38.6%)	
Textbook Outcome			0.738
Yes	54(60.7%)	33(57.9%)	
No	35(39.3%)	24(42.1%)	
Discharge time (d)	10.90 ± 4.60	12.67 ± 5.17	0.033
Time to First (d)			
Off-bed	2.50 ± 0.91	2.80 ± 1.46	0.214
Liquid diet	5.14 ± 1.50	5.35 ± 1.75	0.454
Half-liquid diet	7.35 ± 1.99	7.65 ± 3.65	0.570
Remove abdominal drainage tubes	9.38 ± 4.29	9.47 ± 2.70	0.886

Statistical significance  $p < 0.05$  are given in bold

**Table 3** Effect of surgical method on the number of LN dissections

Variable	LTG mean(SD)	OTG mean(SD)	<i>P</i> value
Total LN Dissections	37 ± 13	31 ± 10	<b>0.043</b>
Peri-gastric regions (No.1–7)	28 ± 11	24 ± 8	<b>0.036</b>
Extraperigastric regions(No.7-11p,12a)	8 ± 4	7 ± 4	0.518
NO.1	2 ± 2	1 ± 1	0.508
NO.2	2 ± 2	2 ± 1	0.349
NO.3	9 ± 6	8 ± 5	0.119
NO.4	7 ± 6	6 ± 5	0.284
NO.5	1 ± 1	1 ± 2	0.732
NO.6	3 ± 2	3 ± 3	0.726
NO.7	3 ± 3	3 ± 2	0.228
NO.8	2 ± 1	2 ± 2	0.573
NO.9	2 ± 2	2 ± 1	0.448
NO.11p	2 ± 2	1 ± 1	0.186
NO.12a	1 ± 1	1 ± 1	0.955

Statistical significance  $p < 0.05$  are given in bold

**Fig. 3** Comparison of two group in the non-compliance rate of lymph node

### Postoperative complication

There was no significant difference in the postoperative complication rate between the two groups (LTG vs. OTG: 25.8% vs. 33.3%;  $p = 0.215$ ). The most common postoperative complication in the LTG group was pulmonary infection (eight cases, one of which had a serious complication), followed by anastomotic fistula (six cases). The most common postoperative complication in the OTG group was anastomotic fistula ( $n = 8$ ), followed by pulmonary infection ( $n = 6$ ) (Supplementary material eTable 4).

### Perioperative chemotherapy

The perioperative chemotherapy characteristics are shown in Table 4, with the mean number of cycles completed for NAC in the LTG and OTG groups being 3.2 and 2.6 cycles, respectively, while the mean number of cycles completed for adjuvant chemotherapy was 4.4 and 3.8 cycles, respectively. Compared with the OTG group, the LTG group had significantly higher rates of adjuvant chemotherapy completion of four cycles (65.1% vs. 45%,  $p = 0.045$ ), five cycles (52.45% vs 27.5%,  $p = 0.013$ ), and six cycles (41.3% vs.

**Table 4** Perioperative chemotherapy of patients

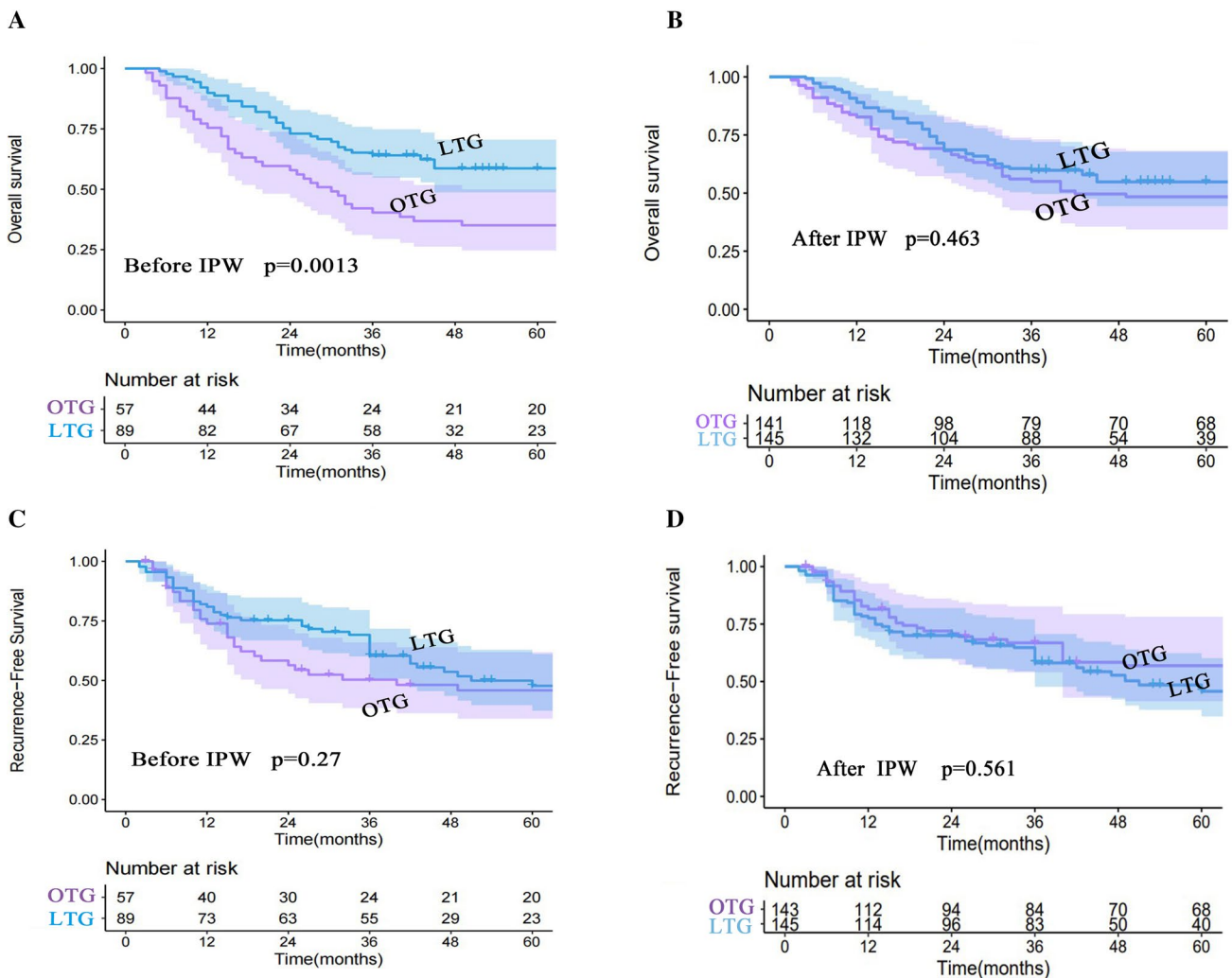
Variable	Laparoscopic	Open	P value
NAC Cycles	2.62 ± 0.89	3.20 ± 1.04	<b>0.01</b>
AC Cycles	4.44 ± 2.30	3.80 ± 1.72	0.084
AC Complicate Cycles			
4 cycles	41(65.1%)	18(45.0%)	<b>0.045</b>
5 cycles	33(52.4%)	11(27.5%)	<b>0.013</b>
6 cycles	26(41.3%)	9(22.5%)	<b>0.050</b>
Total Cycles	6.64 ± 3.17	5.14 ± 2.55	<b>0.003</b>
Total Cycles Compliance(≥ 8)	33(37.1%)	8(19.3%)	<b>0.027</b>

Statistical significance  $p < 0.05$  are given in bold  
 NAC Neoadjuvant chemotherapy; AC Adjuvant chemotherapy

22.5%,  $p = 0.050$ ). We further analyzed the total perioperative chemotherapy completion rate ( $\geq 8$ ) and postoperative chemotherapy cycles in relation to the surgical approaches. The results showed that the mean total perioperative chemotherapy cycle completion in the LTG group was significantly higher than that in the OTG group (6.64 vs 5.14,  $p = 0.003$ ), and the perioperative chemotherapy completion rate ( $\geq 8$ cycles) was also significantly higher (37.1% vs. 19.3%,  $p = 0.027$ ).

**Survival outcomes**

In the K–M survival curve analysis, the 3-year OS of the LTG group was significantly better than that of the OTG group (35% vs. 60.7%,  $p = 0.0013$ ) (Fig. 4A), with a median



**Fig. 4** Survival outcome. Comparison of survival curves between laparoscopic total gastrectomy (LTG) group and open total gastrectomy (OTG) group according to inverse probability weighting (IPW).

**A** Overall Survival curve before IPW. **B** Overall Survival curve after IPW. **C** Recurrence-Free Survival curve before IPW. **D** Recurrence-Free Survival curve after IPW

OS of 38 months in the LTG group and 30 months in the OTG group. Given the difference in the Lauren type between the two groups ( $p=0.019$ , Table 1) and the significant independent effect of ypTNM staging on long-term survival, an inverse probability weighted (IPW) corrected survival curve, adjusted for the Lauren type, ypTNM stage, NAC schemes and the times at which the surgery was performed (Fig. 4B) showed that the 3-year OS of the LTG group is not inferior to that of the OTG group,  $p=0.463$ . There was also no significant difference in the RFS between the two groups (Fig. 4C–D). Further analysis of the differences in the recurrence patterns between the two groups (Supplementary material Table 2) showed that the OTG group had a higher overall recurrence rate than the LTG group, but this difference was not statistically significant (61.4% vs. 46.1%,  $p=0.07$ ). The patients in the LTG and OTG groups did not differ significantly in the type of recurrence, including early recurrence (17 vs. 14,  $p=0.431$ ), local recurrence (7 vs. 10,  $p=0.075$ ), peritoneal metastasis (16 vs. 13,  $p=0.476$ ), and distant metastasis (19 vs. 18,  $p=0.166$ ) (Table 5).

## Discussion

In this study, the early postoperative recovery indices and postoperative complications were similar between LTG and OTG for AGC after NAC. However, LTG showed an equivalent OS with shorter operative times, less intraoperative bleeding, higher numbers of LN dissections, and higher perioperative chemotherapy completion rates. This is the first study to evaluate the oncological prognosis of the neoadjuvant treatment of PGC with LTG and OTG in an eastern population. The results suggested that LTG may be not inferior in terms of oncological efficacy and surgical safety.

LTG group has a shorter operative time, less intraoperative blood loss, and a higher number of LN dissections than OTG. Studies by Fujisaki et al. [9, 27] showed that

compared with conventional open surgery, laparoscopic surgery required a longer operative time, which seemed to contradict our results. However, the quality of laparoscopic surgery is considered to be related to the annual volume of laparoscopic surgeries performed at this center. In our center, we perform over 1000 laparoscopic gastrectomy operations annually. So far, we have completed 12,000 laparoscopic radical gastrectomy. After continuous exploration and improvement in the skill of laparoscopic surgery, we have summarized and formed a standardized laparoscopic technique. For example, we developed a novel procedure for laparoscopic supra-pancreatic lymph node dissection [1]. In addition, in this study, we performed laparoscopic surgery for patients after NAC, which was on the basis of the increasing maturity of laparoscopic technology. The above factors may be the reasons why the operative time shortened in the laparoscopic group. And previous studies at our center [28] showed a significantly shorter operative time for laparoscopic radical gastric cancer than for open radical gastric cancer, which is consistent with the results of this study. After NAC, the tumor and tumor surroundings, including LN, were altered with varying degrees of fibrosis, causing changes in the anatomical planes of blood vessels and nerves, making surgery more difficult. A complete resection in the correct anatomical plane is the key to achieve a "bloodless" operation and a higher number of LN dissections. The magnification effect of laparoscopy can overcome the limited visual field of open surgery because of the human eye, facilitating access to the correct anatomical level and allowing the completion of more delicate surgical operations, thus dissecting more LN, reducing intraoperative blood loss, and reducing the "leakage" of cancer cells into the intra-abdominal surgical field, with better recent efficacy and surgical safety.

Our results showed no significant difference in early postoperative recovery and postoperative complications between the two groups. The Class-02 study [29] confirmed that LTG by an experienced surgeon for early gastric cancer is comparable in safety to open surgery. Previous studies on performing LG after neoadjuvant therapy mainly focused on distal gastrectomy. For example, a prospective study by Ziyu et al. [9] showed no significant difference between laparoscopic distal gastrectomy and open surgery after neoadjuvant therapy for the first liquid and defecation after surgery, which was consistent with our results. They concluded that postoperative complications were fewer in laparoscopic distal gastrectomy than in open surgery, and our results showed a slightly higher postoperative complication rate in the OTG group than in the LTG group. As the sample size was too small, no significant difference was observed between the two groups, and future large-scale multicenter data are needed to further explore the potential advantages

**Table 5** Recurrence pattern of patients

Recurrence location	LTG $N=41$ (%)	Open $N=35$ (%)	$P$ value
Early Recurrence (< 12 m)	17(41.5)	14(24.6)	0.431
Local Recurrence	7(7.9)	10(17.5)	0.075
Peritoneal Metastasis	16(18.0)	13(22.8)	0.476
Distant Metastasis	19(21.3)	18(31.6)	0.166
Liver	1	2	–
Pulmonary	2	2	–
Brain	2	2	–
Bone	2	2	–
Distal LN	14	11	–
Rectum	1	0	–



of laparoscopic total gastrectomy after neoadjuvant therapy in terms of postoperative complications.

Our study explored the long-term survival outcomes of LTG and OTG after NAC and showed that the 3-year overall survival rate was significantly higher in the LTG group than in the OTG group. While after an IPW survival correction for the Lauren type, ypTNM stage, NAC schemes and the times at which the surgery was performed, we found that the 3-year OS of the LTG group is not inferior to that of the OTG group,  $p=0.463$ . In addition, we found better compliance with perioperative chemotherapy in the LTG group, which had a significantly higher completion rate of 8 cycles of perioperative chemotherapy than the OTG group, and complete perioperative chemotherapy has been shown to be important for improving long-term survival [30]. These factors may be potential reasons for the potential higher long-term survival outcomes in patients with LTG than in those with OTG.

This study has the following limitations: (1) It was a single-center study, and due to the low acceptance of NAC in Chinese, more patients preferred surgery only than surgery after NAC. Therefore, the sample size in this study was relatively small, there is a possible bias due to not entirely consistent chemotherapy regimens. (2) We did not assess the possible impact of chemotherapy toxicity. (3) We did not assess postoperative functional status; therefore, we cannot comment on whether performing laparoscopic surgery after NAC improved patients' quality of life. (4) In the early stage of this study, there was a lack of consensus on the use of peritoneal cytology examination and we did not routinely perform this examination. The lack of information on peritoneal cytology may lead to the possibility of p Stage IV patients, which could have an impact on the results of this study. Nevertheless, this study is the first to describe the oncologic outcomes of LTG versus OTG for locally advanced upper middle gastric cancer after NAC in an Eastern population.

## Conclusion

The results of this study suggested that LTG can be safely performed by experienced surgeons for patients with locally advanced upper gastric cancer receiving NAC and the perioperative chemotherapy is better tolerated with an equivalent 3-year long-term survival.

**Supplementary Information** The online version contains supplementary material available at <https://doi.org/10.1007/s00464-023-10084-z>.

**Author contributions** LS, HLZ and BX contributed equally to this work and should be considered first coauthors. CHZ had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis. Concept and design: LS, CHZ. Acquisition, analysis, or interpretation of data: JL, LS, QYC, ZX, BX, PL, JL. Drafting of the manuscript: LS, HLZ, BX. Critical

revision of the manuscript for important intellectual content: HLZ, CHZ, LS, JWX, CMH. Statistical analysis: LS. Administrative, technical, or material support: CHZ. Supervision: CHZ.

**Funding** Fujian Province Medical "Creating Double High" Construction Funding Support (Fujian Health Medical Administration NO.[2021]76). Funder: Huang Chang-ming.

**Data availability** The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

## Declarations

**Disclosures** Drs. Hua-Long Zheng, Li-li Shen, Bin-bin Xu, Qi-Yue Chen, Jun Lu, Zhen Xue, Jia-Lin, Jian-Wei Xie, Ping Li, Chang-Ming Huang, Chao-Hui Zheng have no conflicts of interest to disclose.

**Informed consent** All procedures followed were in accordance with the ethical standards of the responsible committee on human experimentation (institutional and national) and with the Helsinki Declaration of 1964 and later versions. Informed consent or substitute for it was obtained from all patients for being included in the study.

**Consent for publication** This article doesn't report an individual participant's data in any form.

**Validity and feasibility of the statistics** The validity and feasibility of statistics have been evaluated by a biostatistician, Wu FQ.

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