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Using impedance planimetry (EndoFLIP[™]) in the operating room to assess gastroesophageal junction distensibility and predict patient outcomes following fundoplication

Bailey Su^{1,2} Stephanie Novak¹ · Zachary M. Callahan¹ · Kristine Kuchta¹ · JoAnn Carbray¹ · Michael B. Ujiki¹

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Abstract

Introduction The purpose of this study is to evaluate the utility of using a functional lumen imaging probe (EndoFLIPTM) intra-operatively during hiatal hernia repair and fundoplication. Additionally, we hypothesize that these measurements correlate with long-term outcomes.

Methods A prospectively maintained quality database was queried. Between 2013 and 2018, 175 patients underwent laparoscopic fundoplication, the majority of which also had a hiatal hernia repair. The EndoFLIPTM was used to measure minimum diameter (D_{min}), balloon pressure, and distensibility index (DI) at different timepoints throughout the operation. Clinical outcomes were measured up to 2 years after treatment.

Results Crural closure and fundoplication resulted in a significant increase in balloon pressure and decrease in DI when compared to initial measurements as well as measurements taken after hernia reduction. After 1 year, patients with a final DI < 2.0 mm²/mmHg reported significantly more gas bloat and dysphagia than those with a final DI \ge 2.0 mm²/mmHg (p=0.040 and p=0.025, respectively). This disparity became even more dramatic at 2 years (p=0.006 and p=0.004, respectively), with a final DI < 2.0 mm²/mmHg being significantly associated with higher prevalence of daily gas bloat (43.8% vs. 12.0%; p=0.03). Additionally, patients with a final DI between 2.0 and 3.5 mm²/mmHg reported significantly lower Reflux Symptom Index scores at one year compared to those with a final DI < 2.0 or > 3.5 mm²/mmHg (p=0.042).

Conclusion EndoFLIPTM measurements correlate well with patient outcomes, with a final DI between 2 and 3.5 mm²/mmHg potentially being ideal. The EndoFLIPTM can be a useful adjunct in the operating room by providing objective measurements of esophageal distensibility after crural closure and fundoplication.

Keywords Gastroesophageal reflux · Impedance planimetry · EndoFLIPTM · Fundoplication · Hiatal hernia · Distensibility

Gastroesophageal reflux disease (GERD) affects up to 30% of the adult Western population [1]. Disruption of the antireflux barrier allows gastric contents to enter the esophagus which can result in life-altering symptoms and increased risk of malignancy [1, 2]. For patients with medically refractory

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GERD, surgery is a safe and effective option, and laparoscopic fundoplication is the gold standard anti-reflux operation [3, 4]. By returning the gastroesophageal junction (GEJ) to its normal intra-abdominal location, re-approximating the crura, and creating a new flap valve with fundoplication, surgeons are able to recreate the complex anti-reflux barrier.

Despite its effectiveness, many patients are still reluctant to undergo surgery due to potential adverse outcomes such as dysphagia and gas bloat [5]. Although there are several techniques to minimize these risks (e.g., use of a bougie, "floppy" Nissen), rates of dysphagia and gas bloat are still reported to be as high as 20% [6, 7]. Although the exact mechanism is not known, it has been suggested that an overly tight crural closure or fundoplication can increase the risk of gas bloat and dysphagia by preventing the surgically altered GEJ to relax sufficiently [8, 9]. Until now, there has

Bailey Su bailey.su@uchospitals.edu

¹ Department of Surgery, Northshore University HealthSystem, 2650 Ridge Ave, GCSI Suite B665, Evanston, IL 60201, USA

² Department of Surgery, University of Chicago, Chicago, IL, USA

not been an objective way to evaluate the tightness of crural closure or fundoplication in real-time during the operation.

The endoluminal functional lumen imaging probe (EndoFLIPTM) (Medtronic; Dublin, Ireland) is a balloon-based catheter that uses impedance planimetry technology to evaluate the diameter, cross-sectional area, and distensibility of any sphincter in response to volume-controlled distention. Its use in the ambulatory setting for evaluating GEJ competency has been well described [10]; however, its use in the operating room to evaluate GEJ distensibility after crural closure and fundoplication has not been fully explored. Additionally, correlation between EndoFLIPTM measurements and long-term patient outcomes after fundoplication has never been described.

The aim of our study was to evaluate the utility of using the EndoFLIPTM intra-operatively to assess esophageal distensibility during laparoscopic fundoplication. We hypothesize that the EndoFLIPTM catheter can detect geometric changes in the GEJ after fundoplication and that final EndoFLIPTM measurements will correlate with patient outcomes.

Materials and methods

Data collection

After institutional review board approval, a prospectively maintained quality gastroesophageal (GE) database was queried for all patients undergoing laparoscopic fundoplication and EndoFLIPTM evaluation between 2013 and 2018. The GE database is maintained by research associates who prospectively collect clinical information on patients who present to our clinic with any gastroesophageal chief complaint. Data from pre-operative (e.g., usage of PPIs, symptomatology), intra-operative (e.g., operative length, blood loss), and post-operative time periods are prospectively collected through the electronic medical record. Additionally, online surveys are sent to all patients prior to surgery, as well as at various time points after their operation.

Operative protocol

All patients underwent a comprehensive esophageal work-up including upper endoscopy, manometry, and radiographic imaging (either barium swallow or CT scan) prior to surgery. pH testing was performed unless the indication for surgery was a symptomatic paraesophageal hernia.

All operations were performed laparoscopically by a single surgeon in a standardized manner. Once pneumoperitoneum (15 mmHg) was established, the crus was dissected, the hiatal hernia (if present) was reduced, the esophagus was mobilized to ensure at least 3 cm of intra-abdominal length, and the crura were re-approximated with permanent, posterior sutures. For patients with paraesophageal hernias or very large crural defects, mesh was used to buttress the repair. All fundoplications were performed over a bougie, the sizes of which ranged from 50 to 60 French, and was selected based on esophageal size. Patients with abnormal esophageal motility underwent Toupet instead of Nissen fundoplication.

Intra-operative EndoFLIP[™] protocol

An EndoFLIPTM 1.0 unit and an 8 cm catheter (EF-325) were used for this protocol. Prior to usage, the catheter precheck process was completed and the pressure transducer was referenced to atmospheric pressure. During the operation, the EndoFLIPTM catheter was placed transorally into the stomach and inflated to 20 ml. The catheter was pulled back until an hourglass shape was seen on the monitor, indicating the balloon was straddling the lower esophageal sphincter. The balloon was then inflated to 30 ml of volume and given 30 s to stabilize. All measurements were recorded with the patient in reverse Trendelenburg. Minimum diameter (D_{min}), cross-sectional area (CSA), intra-balloon pressure, and distensibility index (DI) were recorded at the following timepoints:

- 1. After intubation—"initial"
- 2. After hiatal mobilization and/or hernia reduction
- 3. After crural closure
- 4. After fundoplication and bougie removal-"final"

Unfortunately, during this study period, there were variations in our EndoFLIPTM protocol. At times measurements were taken prior to establishing pneumoperitoneum whereas other times they were taken after insufflation. Additionally, some patients were evaluated with a 30 ml volume fill, whereas others were evaluated with a 40 ml volume. The impact of pneumoperitoneum and varying fill volumes on EndoFLIPTM catheter measurements is well known [11, 12], so we have taken extensive precautions to be consistent in our comparisons of the data. There were no complications related to EndoFLIPTM catheter usage.

Clinical follow-up

All patients are seen in clinic 3 weeks after surgery for their post-operative check. Additionally, Reflux Symptom Index (RSI), GERD-health related quality of life (GERD-HRQL), and Dysphagia Score surveys are emailed to patients at 3 weeks, 6 months, 1 year, 2 years, 5 years, 7 years, and 10 years after surgery. Gas bloat was evaluated based on the answer to question #9 on the GERD-HRQL (i.e., Do you have bloating or gassy feelings), and dysphagia frequency was evaluated based on question #7 (i.e., Do you

have difficulty swallowing)? Responses in the GERD-HRQL range from a score of 0 to 5: 0—no symptoms, 1—symptoms noticeable but not bothersome, 2—symptoms noticeable and bothersome but not daily, 3—symptoms bothersome every day, 4—symptoms affect daily activity, 5—symptoms are incapacitating. The Dysphagia Score is used to evaluate the severity of dysphagia on a 5-point scale: 1—I am able to eat a normal diet/no dysphagia, 2—I am able to swallow some solid foods, 3—I am able to swallow only semi-solid foods, 4—I am able to swallow liquids only, 5—I am unable to swallow anything/total dysphagia. The RSI survey evaluates "atypical" symptoms of reflux, and a score > 13 is suggestive of severe reflux [13]. The GERD-HRQL is intended to quantify "typical" reflux symptoms [14]. Survey responses are recorded in the GE database.

Statistical analysis

The Wilcoxon signed-rank test was used to compare EndoFLIPTM measurements over the course of the operation and survey responses over time. The Wilcoxon rank-sum, Kruskal–Wallis, and Fisher's exact test were used to compare survey responses between groups of EndoFLIPTM measurements. Multiple comparisons for the Kruskal–Wallis test were computed using the Dwass, Steel, Critchlow–Fligner method. All statistical analysis was performed using twotailed tests with SAS 9.3 (SAS Institute, Cary, NC). A *p* value of <0.05 was considered statistically significant.

Results

Patient demographics

Between 2013 and 2018, 175 consecutive patients underwent laparoscopic fundoplication \pm hiatal hernia repair with EndoFLIPTM evaluation. Demographics of the cohort along with intra-operative details are shown in Table 1. Indications for surgery included GERD (48.6%) or symptomatic paraesophageal hernia (51.4%). GERD was characterized objectively by either positive pH testing (i.e., DeMeester score > 14.72), LA grade C or D esophagitis, histologically proven Barrett's esophagus or histologic evidence of esophagitis.

EndoFLIP[™] catheter detected changes in esophageal distensibility during surgery

When comparing all measurements taken with pneumoperitoneum and 30 ml volume fill, initial measurements of intra-balloon pressure and DI changed significantly after fundoplication (Fig. 1). Final D_{\min} was 8.7 ± 1.9 mm compared to 8.6 ± 2.5 mm initially, final intra-balloon pressure Table 1 Patient demographics and intra-operative details

Total patients [N (%)]	175
Age, years [mean ± SD]	65.7±12.1
BMI [mean ± SD]	29.3 ± 4.8
Female $[N(\%)]$	124 (70.8)
Pre-operative symptoms $[N(\%)]$	
Reflux	160 (91.4)
Heartburn	129 (73.7)
Dysphagia	68 (38.9)
Cough	68 (38.9)
Waterbrash	39 (22.3)
Hiatal hernia type $[N(\%)]$	
Ι	51 (29.1)
II	2 (1.1)
III	94 (53.7)
IV	16 (9.1)
No hernia	12 (6.9)
PPI Use [<i>N</i> (%)]	153 (87.4)
Pre-operative DeMeester score [median (Q1–Q3)]	33 (16-56)
Indication for surgery $[N(\%)]$	
Gastroesophageal reflux disease	85 (48.6)
Symptomatic paraesophageal hernia	90 (51.4)
OR time, minutes [median (Q1–Q3)]	120 (98–146)
EBL, ml [median (Q1–Q3)]	20 (10-30)
Mesh use for paraesophageal hernias $[N(\%)]$	110 (98.2%)
Biosynthetic $[N(\%)]$	99 (90%)
Porcine small intestine submucosa $[N(\%)]$	11 (10%)
Redo surgery [N (%)]	9 (5.1)
Nissen fundoplication $[N(\%)]$	128 (73.1)
Toupet fundoplication $[N(\%)]$	47 (26.9)

BMI body mass index, *PPI* proton pump inhibitor, *OR* operating room, *EBL* estimated blood loss

was 36.3 ± 11.2 mmHg compared to 28.6 ± 10.9 mmHg initially, and final DI was 1.9 ± 0.9 mm²/mmHg compared to 2.6 ± 2.3 mm²/mmHg initially.

Patient outcomes were affected by final distensibility

Outcomes of 71 patients were examined for correlation with pre-operative or post-operative D_{\min} , CSA or DI. All included patients had a hiatal hernia repair, and final EndoF-LIPTM measurements recorded with a 30 ml volume fill and without pneumoperitoneum. On average, the final D_{\min} was 8.6 ± 1.8 mm, intra-balloon pressure was 28.8 ± 9.4 mmHg, and DI was 2.3 ± 1.5 mm²/mmHg.

When evaluating gas bloat, patients with a final DI < 2 mm²/mmHg reported higher gas bloat scores at 1 year compared to those with a DI \ge 2 mm²/mmHg (1.9 ± 1.2 vs. 1.2 ± 0.3, p = 0.040) (Fig. 2). At 2 years, this disparity



Fig. 1 EndoFLIPTM catheter measurements taken initially, after hiatal mobilization and after fundoplication. Measurements were based on a 30 ml volume fill with pneumoperitoneum. There were significant changes in balloon pressure and DI over the course of the operation



Fig. 2 Patients with a final $DI < 2.0 \text{ mm}^2/\text{mmHg}$ reported significantly less gas bloat at 1 and 2 years after surgery. Final measurements based on a 30 ml volume fill and without pneumoperitoneum. Scale: 0—no symptoms; 1—symptoms noticeable but not bothersome; 2—symptoms noticeable and bothersome but not daily; 3—

became even more pronounced $(2.3 \pm 0.3 \text{ vs. } 1.2 \pm 0.2, p=0.006)$, with 43.8% of patients with a DI < 2 mm²/mmHg reporting daily gas bloat versus 12.0% of patients with a DI > 2 mm²/mmHg (p=0.03).

Similarly, patients with a final DI < 2 mm²/mmHg reported significantly more frequent dysphagia at 1 year compared to those with a final DI $\ge 2.0 \text{ mm}^2$ /mmHg (0.7 ± 0.2 vs. 0.2 ± 0.1, p = 0.024), and this difference became even larger at 2 years (1.7 ± 0.3 vs. 0.2 ± 0.1, p = 0.004) (Fig. 3). Although this significant difference is not seen when evaluating Dysphagia Score, there continues to be a trend toward slightly worse dysphagia at 2 years for patients with a final DI < 2.0 mm²/mmHg (1.2±0.1 vs. $1.0\pm 0, p = 0.065$) (Fig. 4).

When evaluating RSI scores, patients with a final DI between 2 to $3.5 \text{ mm}^2/\text{mmHg}$ reported significantly lower scores at 1 year compared to those with a DI < 2 or > $3.5 \text{ mm}^2/\text{mmHg}$ (Fig. 5). While not statistically

symptoms bothersome every day; 4—symptoms affect daily activity; 5—symptoms are incapacitating. *p* Values represent comparisons of responses between patients based on their final DI at 1 and 2 years after surgery. *WPO* weeks post-operative, *MPO* months post-operative, *YPO* years post-operative

significant, the trend persists at 2 years, with a final DI between 2 and 3.5 mm²/mmHg being associated with lower RSI scores. There was no association between final DI and total GERD-HRQL scores.

Our cohort includes nine patients who were undergoing re-do surgery, and we acknowledge that this is a very different patient population. With this in mind, repeat analysis removing these nine patients did not alter our results, so the decision was made to include them in the study.

Additional analysis between EndoFLIP™ measurements and surgical characteristics

Additional comparison between final EndoFLIPTM measurements and surgical factors were performed, using only final measurements that were completed with a 30 ml volume fill and without insufflation (Table 2). Final EndoFLIPTM measurements did not differ whether a hiatal hernia repair



Fig. 3 Patients with a final $DI < 2.0 \text{ mm}^2/\text{mmHg}$ reported significantly more frequent dysphagia at 1 and 2 years after surgery. Final measurements based on a 30 ml fill volume and without pneumoperitoneum. Scale: 0—no symptoms; 1—symptoms noticeable but not bothersome; 2—symptoms noticeable and bothersome but not daily;

3—symptoms bothersome every day; 4—symptoms affect daily activity; 5—symptoms are incapacitating. *p* Values represent comparisons of responses between patients based on their final DI at 1 and 2 years after surgery. *WPO* weeks post-operative, *MPO* months post-operative, *YPO* years post-operative



Fig. 4 Regardless of final distensibility (DI), all patients had some initial dysphagia, but symptoms improved over the course of the first year. However, dysphagia for patients with a final $DI < 2.0 \text{ mm}^2/\text{ mmHg}$ seemed to worsen slightly over the course of the second year. Final measurements based on a 30 ml fill volume and without pneumoperitoneum. Scale: 1—no dysphagia; 2—able to swallow some

solid foods; 3—able to swallow only semi-solid foods; 4—able to swallow liquids only; 5—unable to swallow anything. *p* Values represent comparisons of responses between patients based on their final DI at 2 years after surgery. *WPO* weeks post-operative, *MPO* months post-operative, *YPO* years post-operative

was performed or not. Additionally, there were no difference in outcomes between the two groups, likely due to the small number of patients who did not have a hiatal hernia repair. Similarly, when comparing patients who ended up recurring versus those who did not, there was also no significant difference between final EndoFLIPTM measurements. Overall, there were 13 (7.4%) patients who recurred, and recurrence rates were similar between biosynthetic and porcine small intestine submucosa mesh (7.1 vs. 9.1%, p = 0.966). Lastly, aside from intra-balloon pressure, there was no significant difference in final measurements between patients undergoing a partial (Toupet) fundoplication compared to those undergoing a complete (Nissen) fundoplication.

Discussion

The goal of anti-reflux surgery is to recreate the complex anatomic zone of the GEJ. Particularly in patients with hiatal hernia, GEJ distensibility is increased which means GEJ opening occurs under significantly lower distention pressure [15]. By reducing the distensibility of the GEJ, surgery Fig. 5 Patients with a final DI between 2 and 3.5 mm²/mmHg report lowest RSI scores at 1 and 2 years. Final measurements based on a 30 ml volume fill and without pneumoperitoneum. Min score: 0; max score: 45. RSI > 13 suggestive of severe reflux. *p* Values represent comparisons of responses between patients based on their final DI at 1 and 2 years after surgery. *WPO* weeks post-operative, *MPO* months post-operative, *YPO* years post-operative



Table 2	Comparison	of final	EndoFLIP TM	measurements
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	No HHR $(N=7)$	HHR $(N=71)$	p Value
Final			
Diameter (mm)	9.1 ± 1.9	8.5 ± 1.8	0.46
Pressure (mmHg)	26.7 ± 5.7	28.9 ± 9.7	0.59
Distensibility (mm ² /mmHg)	2.5 ± 1.6	2.3 ± 1.5	0.85
	Toupet $(N=28)$	Nissen $(N=50)$	p Value
Final			
Diameter (mm)	8.5 ± 2.0	8.6 ± 1.7	0.95
Pressure (mmHg)	25.0 ± 7.2	30.8 ± 10.0	0.02
Distensibility (mm ² /mmHg)	2.8 ± 2.1	2.1 ± 0.9	0.33
	No recurrence $(N=71)$	Recurrence $(N=7)$	p Value
Final			
Diameter (mm)	8.5 ± 1.8	9.0 ± 1.5	0.40
Pressure (mmHg)	28.6 ± 9.5	30.2 ± 9.9	0.71
Distensibility (mm ² /mmHg)	2.3 ± 1.5	2.5 ± 1.2	0.48

All measurements were recorded with a 30 ml volume fill without insufflation

HHR hiatal hernia repair

is able to recreate the anti-reflux barrier, but calibration of distensibility has not been possible until now. This study demonstrates that the EndoFLIPTM can detect changes in esophageal distensibility during laparoscopic fundoplication and that final measurements are associated with patient outcomes. These findings suggest that there may be an "ideal" distensibility range for which surgeons can target in order to maximize patient outcomes.

When comparing initial EndoFLIPTM measurements to final measurements, we saw that minimum diameter did not change significantly. While this seems counter-intuitive, it is consistent with findings by Kwiatek et al. who demonstrated that fundoplication actually creates a longer zone of constriction, resulting in increased intra-balloon pressure [11]. Since distensibility is calculated by dividing CSA by intraballoon pressure, increasing pressure subsequently decreases distensibility. Using the EndoFLIPTM intra-operatively provides a visual representation of this change (Fig. 6). After hernia reduction, the GEJ appears wider and more patulous. After crural repair, D_{\min} decreases and intra-balloon pressure increases. After fundoplication, although the minimum diameter does not decrease, the length of the narrowing increases which results in an overall increase in intra-balloon pressure and further decrease of distensibility.

To our knowledge, Kim et al. is the only other group that has reported patient outcomes based on final EndoFLIPTM measurements after crural repair and fundoplication [16]. They reported a final D_{\min} of 5.97 mm, CSA of 28.28 mm², and DI of 1.26 mm²/mmHg, using a 30 ml volume fill and insufflation. The presence of pneumoperitoneum likely accounts for the difference between their average final measurements compared to ours. At 1 month follow-up, they



Fig. 6 Visual representation of the changes in gastroesophageal junction shape at different timepoints of the operation. Although the minimum diameter does not change after fundoplication, the length of the

narrowing (i.e., lengthening of the valve) increases which increases intra-balloon pressure and subsequently decreases DI

reported that all patients had resolution of reflux symptoms without any significant dysphagia. Ilczyszyn and Botha also recorded EndoFLIP[™] measurements after fundoplication and reported an average final DI of 0.972 mm²/mmHg based on a 30 ml volume fill and no pneumoperitoneum [12]. DeHaan et al. reported a final DI of 1.6 mm²/mmHg after fundoplication; however, these measurements were based on a 30 ml volume fill with pneumoperitoneum [17] and neither of these studies included patient outcomes.

Additionally, the variability in protocols for recording EndoFLIPTM measurements amongst studies highlights the need for additional standardization of EndoFLIPTM usage in the operating room. While we used final measurements obtained with a 30 ml volume fill and without pneumoperitoneum, it remains unclear whether these measurement settings correlate best with outcomes. There are no studies that have obtained measurements with various settings (e.g., 30 ml ± pneumoperitoneum, 40 ml ± pneumoperitoneum) and reported which is best at predicting outcomes. While the gastrointestinal literature suggests that a 40 ml volume fill correlates best with symptoms in patients with achalasia [18], this is an area requiring further research, particularly in the surgical realm.

Ours is the first study to compare EndoFLIPTM measurements with long-term patient outcomes. We demonstrated that final distensibility is in fact associated with outcomes, and that a final DI between 2 and 3.5 mm²/mmHg (based on a 30 ml volume fill without pneumoperitoneum) may produce the best result by minimizing gas bloat, reflux, and dysphagia. For both frequency and severity of dysphagia, it appears that patients with a final DI < 2.0 mm²/mmHg improve over the course of the first year after surgery; however, their dysphagia worsens over the course of the second year. This may be due to an achalasia-like pathophysiology,

in which the low distensibility acts as an esophageal outflow obstruction, gradually causing impaired motility and worse dysphagia. Unfortunately, we do not have follow-up manometry studies in these patients to confirm this theory; however, this progression highlights the need for additional studies reporting long-term outcomes.

Although final EndoFLIPTM measurements seemed to be associated with RSI scores, they were not associated with GERD-HRQL scores. This may be due to the different focus of the two surveys. The RSI focuses mainly on "atypical" GERD symptoms such as coughing, hoarseness and throat clearing, while the GERD-HRQL aims to quantify "typical" GERD symptoms such as heartburn and regurgitation. It is possible that recreating the anti-reflux barrier alone, by returning the GEJ to its normal intra-abdominal position, re-approximating the crura, and creating a new flap valve, sufficiently reduces typical GERD symptoms, regardless of distensibility. However, when it comes to atypical symptoms, targeting a DI between 2 and 3.5 mm²/mmHg allows for preserved esophageal clearance and reduction of atypical symptoms. This mechanism likely accounts for why RSI scores in patients with a final $DI < 2.0 \text{ mm}^2/\text{mmHg}$ also fail to improve over time.

There are several limitations to our study. As previously stated, our EndoFLIPTM usage protocol during this study period was not consistent; therefore, measurements were not always recorded the same way for all patients. Despite this, we have made a conscious effort to compare measurements in a consistent manner, although this may have decreased our sample sizes in some instances. Additionally, we do not have objective data such as pH studies to correlate with End-oFLIPTM measurements—this is an area for future research. Lastly, lack of standardization between institutions regarding EndoFLIPTM protocol in the operating room makes

it difficult to compare results between studies and limits generalizability.

In conclusion, usage of the EndoFLIPTM in the operating room is safe and feasible. Our study is the first to demonstrate that final distensibility after laparoscopic fundoplication is associated with long-term patient outcomes. These findings suggest that the EndoFLIPTM has potential to assist surgeons in calibrating crural and wrap tightness to minimize adverse effects of anti-reflux surgery. Additional research to confirm our ideal range of distensibility as well as the correlation between objective studies and final EndoFLIPTM measurements is warranted.

Compliance with ethical standards

Disclosures Dr. Michael B. Ujiki is a speaker for Medtronic, consultant for Olympus, on the Boston Scientific advisory board, a consultant and speaker for Apollo Medical Devices and a speaker for Gore Medical. Dr. Bailey Su, Ms. Stephanie Novak, Dr. Zachary M. Callahan, Ms. Kristine Kuchta and Ms. JoAnn Carbray have no conflicts of interest or financial ties to disclose.

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