



Efficacy and safety of endoscopic sleeve gastroplasty for obesity patients: a meta-analysis

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Abstract

Background Endoscopic sleeve gastroplasty (ESG) is a minimally invasive, effective, and safe technique for weight loss intervention. Since a relatively small number of cases were present in previous studies, this study aimed to elucidate the efficacy and safety of ESG.

Methods Relevant publications were identified through searching PubMed, EMBASE, Cochrane, and Web of Science before March 1, 2019. The percentage of total body weight loss (%TBWL), percentage of excess weight loss (%EWL), and the adverse event rate in each follow-up session were extracted, pooled, and analyzed. Forest plots were graphed based on random effects models.

Results A total of 1542 patients from nine studies were eligible for analysis. The pooled results of %TBWL at 1, 3, 6, and 12 months were 8.78% (p=0.000), 11.85% (p=0.000), 14.47% (p=0.024), and 16.09% (p=0.063), respectively. The pooled results of %EWL at 1, 3, 6, and 12 months were 31.16% (p=0.000), 43.61% (p=0.000), 53.14% (p=0.000), and 59.08% (p=0.015), respectively. Finally, the pooled rate of mild adverse events was 72% (p<0.01), and the pooled estimate of severe adverse events was only 1% (p=0.08).

Conclusion Although the conventional surgical sleeve gastrectomy is the gold standard for bariatric surgery, ESG could be a promising minimally invasive alternative for treating obesity with satisfactory efficacy and low risk.

Keywords Endoscopic sleeve gastroplasty · Bariatric surgery · Endoscopic bariatric therapy · Sleeve gastroplasty

Obesity is an accelerating pandemic across the world, increasing morbidity and mortality [1]. Obesity has caused a tremendously negative impact on multiple levels of society, such as healthcare costs, social resources, and the potential burden on social economic development [2]. Although nonsurgical approaches including lifestyle modifications and drugs could provide modest weight loss, conventional methods are usually associated with a high rate of weight regain [3]. Currently, bariatric-metabolic surgery is the only durable and efficacious option for treating obesity [4]. However, not all eligible patients are able to undergo bariatric surgery because of costs, risks, limited access, and patient characteristics [5]. Thus, effective and safe approaches are imperative to bridge the current obesity treatment gap.

Endoscopic bariatric therapy (EBT) is presenting advantages of being less invasive, having a lower cost, and having higher patient acceptability. To date, two of the most performed approaches of EBT are intragastric balloons (IGB) and the duodenojejunal liner [6, 7]. However, due to the intrinsic technical limitation, the implanted device is not allowed to remain in situ for more than 12 months in both methods [8, 9]. Moreover, risks regarding device-related adverse events are also a necessary concern and includes migration, ulcers, and sustaining abdominal pain [10].

Endoscopic sleeve gastroplasty (ESG) is a minimally invasive, incisionless procedure for bariatric treatment and was first described in 2013 [11]. The principle of ESG is to reduce gastric capacity by creating a restrictive sleeve via an endoluminal suturing system that places full-thickness sutures along the corpus of the stomach. Although this

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procedure has been manifested to be reproducible and effective, the widespread clinical adoption is still hindered by the indistinct recognition of its efficacy and safety. The aim of this meta-analysis is to evaluate the efficacy and safety of ESG as an alternative EBT for obesity treatment.

Methods

Study search and selection criteria

A comprehensive literature search was performed through PubMed, EMBASE, Cochrane Library, and Web of Science up to February 1, 2019. The following key terms were used: "endoscopic sleeve gastroplasty," "ESG," "endoscopic bariatric therapy," and "EBT". An additional search was performed among the references of the included studies to find potentially eligible studies.

According to the predefined criteria, two investigators (PW.L. and B.M.) independently screened all the relevant studies and reviewed the full text of the included studies. If there was a disagreement, it was discussed and solved by a consensus with a third reviewer (S.L.G.). Inclusion criteria were as follows: (1) no limitations on study design, including prospective or retrospective observational cohorts, case-control studies, and randomized controlled trials (RCT); and (2) studies reporting the therapeutic outcomes of ESG, such as percentage of total body weight loss (%TBWL), percentage of excess weight loss (%EWL), the number of follow-up patients and adverse outcomes, such as abdominal pain, nausea, perigastric fluid collection, and gastrointestinal bleeding. Exclusion criteria were as follows: (1) case reports; (2) literature on ESG as an introduction to the technology; (3) experiments on animals; (4) reviews, comments, or letters; (5) studies published in other languages besides English; and (6) studies with unavailable full text. In addition, for multiple studies that were published containing the overlapping patient based on the same outcomes, the most informative study was included. If multiple studies reported different outcomes based on the overlapping patient, the results were combined for a more comprehensive analysis. PW.L and B.M. evaluated the quality of the included studies using the Newcastle–Ottawa scale [12].

Data collection and outcome measures

A formalized table was developed to extract relevant data from the included studies. The information included the authors, year of publication, study duration, country, study design, study setting, total number of patients, patient demographics (age and sex), operative time, follow-up period, %TBWL, %EWL, and adverse outcomes rate. In accordance with the Preservation and Incorporation of Valuable endoscopic Innovations (PIVI) guidelines [13], clinical success was defined as a %TWBL of at least of 15%. The American Society for Gastrointestinal Endoscopy (ASGE) and the American Society for Metabolic and Bariatric Surgery (ASMBS) recommend efficacy as more than 25% EWL at 12 months and the safety threshold as less than 5% risk of major complications [6].

Statistical analysis

In our meta-analysis, there were two types of data; one was continuous for evaluating the efficacy of ESG (%TBWL and %EWL), and the other was a single proportion rate for evaluating the safety of ESG (adverse event). To get the most appropriate statistical results, the efficacy of ESG was analyzed by using Stata software, version 12.0 (2011; Stata Corp., College Station, TX, USA), and the safety of ESG was calculated using R 3.5.1 software (version 3.5.1 https://www.r-project.org/). All pooled results were calculated with a random effects model because it provided more conservative estimates. All statistical values are reported with 95% confidence intervals (CI).

IRB approval

This meta-analysis is not related with any patient privacy or related information, so there is no need for IRB approval.

Results

Search results

A total of 558 studies were found by search of the Pub-Med, EMBASE, Cochrane Library, and Web of Science databases. The study flow chart is shown in Fig. 1. Two hundred and ninety duplicate studies were excluded, and an additional 206 studies were removed for reasons related to the title, abstract, and language. Sixty-two records were eligible for full text review. Among these full text-reviewed articles, Lopez-Nava et al. [14-18] had five articles included in the search. However, two articles [14, 15] had overlapping patients and clinical outcomes with subsequent research by Lopez-Nava et al. [16–18], so those two articles were excluded. Although the remaining three studies also had overlapping patients, some important clinical outcomes did not overlap, and those outcomes were extracted for pooled analysis. A similar reason also applied to the studies from Abu Dayyeh et al. [19] and Sharaiha et al. [20] because Lopez-Nava et al. [18] made a pooled analysis from their studies. The study from Novikov et al. [21] also contained the same patients



Fig. 1 Flow chart of this meta-analysis

as Sharaiha et al. [20], but there were not available data for analysis, so it was not included either. Hence, nine studies were finally included and only the non-overlapping clinical results were extracted for analysis [16–20, 22–25]. No RCTs were found in the search. The baseline information of included studies is shown in Table 1, and the average quality of all included studies was moderate. As shown in Table 1, most of the research found were singlecenter prospective studies [16, 17, 19, 20, 22, 23, 25]. The mean age of all the included studies was less than 50 years old, and the mean BMI was more than 30 kg/m². All but one study [22] were from the USA and Spain [16–20, 23–25], the core facilities where ESG was developed. Only Alqahtani et al. [22] presented a large number of 1000 patients, whereas the other studies showed a relatively limited quantity of cases.

Assessment of efficacy

A total of 1542 patients underwent ESG for obesity treatment. As shown in Fig. 2, the pooled results of %TBWL at 1, 3, 6, and 12 months were 8.78% (95% CI 8.12-9.44%, $I^2 = 88.5\%$, p = 0.000, n = 1102), 11.85%(95% CI 10.55–13.15%, $I^2 = 96.5\%$, p = 0.000, n = 826), 14.47% (95% CI 13.6–15.34%, $I^2 = 64.3\%$, p = 0.024, n = 735), and 16.09% (95% CI 14.24–17.94%, $I^2 = 63.9\%$, p = 0.063, n = 340), respectively. The heterogeneity of %TBWL at 1, 3, and 6 months was significant; however, the heterogeneity of %TBWL at 12 months was nonsignificant due to a p value > 0.05. The pooled results of %EWL are presented in Fig. 3, and %EWL at 1, 3, 6, and 12 months were 31.16% (95% CI 23.81-38.52%, $I^2 = 97.9\%$, p = 0.000, n = 1050), 43.61% (95% CI 36.56-50.65%, $I^2 = 95.1\%$, p = 0.000, n = 826), 53.14% $(95\% \text{ CI } 46.31-59.98\%, I^2 = 89.1\%, p = 0.000, n = 579),$

Table 1 The main characteristics of included studies

Study	Country	Period	Study type	No. of patients (M/F)	Age (mean \pm SD)	BMI (mean ± SD)	Study quality
1. Lopez-Nava et al. [16]	Spain	2013.05-2015.07	SC	55 (13/42)	43.5 ± 8.1	37.7 ± 4.5	5
2. Abu Dayyeh et al. [19]	USA	2012.09-2015.03	SC	25 (4/21)	47.6 ± 10	35.5 ± 2.6	5
3. Lopez-Nava et al. [17]	Spain	2013.05-2016.03	SC	154 (46/108)	NA	38.3±5.5	5
4. Lopez-Nava et al. [18]	Spain	2013.01-2015.12	MC	248 (NA)	44.5 ± 10	37.8 ± 5.6	6
5. Sharaiha et al. [20]	USA	2013.08-2016.03	SC	91 (29/62)	43.66 ± 11.26	38.6 ± 7.0	5
 Alqahtani et al. [22] 	Saudi Arabia	2016.12-2018.08	SC	1000 (103/897)	34.4 ± 9.5	33.3 ± 4.5	5
7. Fayad et al. [23]	USA	2015.03-2016.12	SC	54 (23/31)	Median 48 (24–72)	Median 43.07 (30.2–65.6)	5
8. Sartoretto et al. [24]	USA	2016.02-2017.05	MC	112 (31/81)	45.1 ± 11.7	37.9 ± 6.7	6
9. Saumoy et al. [25]	USA	2013.08-2016.12	SC	128 (42/86)	43.62 ± 11.37	38.92 ± 6.95	5

SC single center prospective study, MC multicenter retrospective study, NA not available

Pooled analysis of percentage of TBWL

Study		%	
ID	ES (95% CI) Weigh	ıt
1 month			
3. Lopez–Nava, G.,2017	● 7.70 (7.18,	8.22) 24.58	
6. Algahtani, A., 2018		9.10) 28.40	
7. Fayad, L.,2018	↔ 9.80 (9.12,	10.48) 22.20	
8. Sartoretto, A.,2018	• 8.80 (8.29,	9.31) 24.82	
Subtotal (I–squared = 88.5% , p = 0.000)	8.78 (8.12,	9.44) 100.00)
3 month			
1. Lopez–Nava, G.,2016	13.30 (12.2	4, 14.36) 29.19	
6. Alqahtani, A., 2018	• 10.50 (10.1	6, 10.84) 35.28	
8. Sartoretto, A.,2018	• 12.00 (11.7	'2, 12.28) 35.53	
Subtotal (I-squared = 96.5%, $p = 0.000$)	11.85 (10.5	5, 13.15) 100.00)
6 month			
4. Lopez–Nava, G.,2017		8, 17.22) 12.52	
6. Alqahtani, A., 2018	➡ 13.70 (13.0)1, 14.39) 30.41	
7. Fayad, L.,2018	17.10 (14.5	5, 19.65) 8.97	
8. Sartoretto, A.,2018	🔹 14.70 (14.1	3, 15.27) 32.41	
9. Saumoy, M.,2018	13.40 (11.7	'1, 15.09) 15.69	
Subtotal (I-squared = 64.3% , p = 0.024)	• 14.47 (13.6	0, 15.34) 100.00)
12 month			
3. Lopez–Nava, G.,2017	18.20 (15.7	'3, 20.67) 27.07	
6. Alqahtani, A., 2018	15.00 (13.9	97, 16.03) 45.10	
9. Saumoy, M.,2018	15.80 (13.4	0, 18.20) 27.83	
Subtotal (I-squared = 63.9% , p = 0.063)	16.09 (14.2	4, 17.94) 100.00)
NOTE: Weights are from random effects analysis			
l –20.7	0 20.7		

Fig. 2 The pooled analysis of percentage of TBWL at 1, 3, 6, and 12 months

and 59.08% (48.74–69.42%, $I^2 = 76.2\%$, p = 0.015, n = 290), respectively. The heterogeneities of %EWL at 1, 3, 6, and 12 months were all significant.

Assessment of safety

The most common mild adverse event was abdominal pain and nausea, and the pooled estimate is shown in Fig. 4A. The pooled rate was 72% (95% CI 49–90%, $I^2 = 97\%$, p < 0.01, n = 1299) with a significant heterogeneity. The severe adverse events were different across the included studies, such as perigastric inflammatory fluid collection, pulmonary embolism, pneumoperitoneum, pneumothorax, bleeding, and postprocedure needing blood transfusion. The pooled estimate was only 1% (95% CI 0-3%, $I^2 = 53\%$, p = 0.08, n = 1542) with non-significant heterogeneity (Fig. 4B).

Discussion

The prevalence of obesity is growing. However, the rate of obesity should not be increasing for a rising population with conventional therapies (including diet, medications, and increased exercise) [3]. Although bariatric surgery could offer a relevant and satisfying option for weight loss, only 1% of eligible patients are able to get access to it due to self-intention, cost, risk, and medical resources [5, 16]. EBT with intragastric balloons and the duodenoje-junal liner remedy some of the disadvantages of bariatric surgery, yet there is still a certain shortage of it.

In this study, ESG, an innovative EBT, is found to achieve and maintain desired weight loss by creating structural and physiologic change to the gastric body. After ESG, the tendency of %TBWL gradually increased, changing from 8.78% at 1 month to 16.09% at 12 months.

Pooled analysis of percentage of EWL

Study			%
ID	E	ES (95% CI)	Weight
1 month			
3. Lopez–Nava, G.,2017 🗨		24.80 (22.59, 27.01)	33.13
6. Alqahtani, A., 2018 🗨	4	40.20 (37.78, 42.62)	32.94
8. Sartoretto, A.,2018	2	28.60 (27.56, 29.64)	33.93
Subtotal (I–squared = 97.9%, p = 0.000)	3	31.16 (23.81, 38.52)	100.00
3 month			
1. Lopez–Nava, G.,2016		43.00 (38.72, 47.28)	31.45
6. Alqahtani, A., 2018	● 2	49.30 (46.13, 52.47)	33.18
8. Sartoretto, A.,2018		38.80 (37.89, 39.71)	35.37
Subtotal (I–squared = 95.1%, p = 0.000)	> 2	43.61 (36.56, 50.65)	100.00
6 month			
2. Abu Dayyeh, B. K.,2017	+ + 5	53.00 (46.34, 59.66)	22.69
3. Lopez–Nava, G.,2017	● △	47.80 (42.80, 52.80)	25.05
6. Alqahtani, A., 2018	— e	54.30 (58.57, 70.03)	24.03
8. Sartoretto, A.,2018	• 2	48.50 (46.52, 50.48)	28.23
Subtotal (I–squared = 89.1%, p = 0.000)	<u>ب</u>	53.14 (46.31, 59.98)	100.00
12 month			
2. Abu Dayyeh, B. K.,2017		56.10 (43.52, 68.68)	26.91
3. Lopez–Nava, G.,2017	 	52.60 (44.93, 60.27)	35.91
6. Alqahtani, A., 2018	— e	67.50 (60.53, 74.47)	37.18
Subtotal (I–squared = 76.2%, p = 0.015)		59.08 (48.74, 69.42)	100.00
NOTE: Weights are from random effects analysis			
-74.5 0	74.5		

Fig. 3 The pooled analysis of percentage of EWL at 1, 3, 6, and 12 months

Additionally, the tendency of %EWL also gradually increased, changing from 31.16% at 1 month to 59.08% at 12 months. In fact, the %TBWL was 18.6% (95% CI 15.7–21.5%) at 24 months in the study conducted by Lopez-Nava et al. [18], and the %EWL was $60.4 \pm 31.1\%$ at 24 months [17]. Although some included studies did not present the 24-month follow-up result [22-25], it was demonstrated that %TBWL $\ge 10\%$ at 6 months was a highly predictive factor with future weight loss and long-term weigh maintenance for up to 2 years postprocedure [14]. The pooled %TBWL at 6 months was 14.47% (95% CI 13.6-15.34%), which could be speculated as possibly sustaining weight loss at 24 months. However, there was great heterogeneity among the included studies, and we thought that the great difference in number of patients and study type in each study might contribute to the heterogeneity.

Safety of a new endoscopic technique is also an important concern. Most of the patients could be discharged at 24–48 h after the ESG procedure and some even on the same day as the procedure [16, 17, 24]. None of the included studies reported any severe intraprocedural adverse events. A large

proportion of mild adverse events were abdominal pain and nausea, and the pooled results reached 72%. However, most of the symptoms could be relieved by conservative treatment [20, 22]. Although some studies reported a few postprocedure bleeding cases [18, 22–24], all the cases could be cured with medications and blood transfusion, and no mortality occurred. A high rate of severe adverse events included perigastric leak [18, 22–25], but all the leaks were able to be treated with percutaneous drainage or antibiotics. None of the patients needed secondary surgical intervention.

According to PIVI guidelines [13], clinical success was defined as a %TWBL of at least of 15%. In addition, ASGE and ASMBS recommended efficacy as more than 25% EWL at 12 months, and the safety threshold was less than 5% risk of any major complication [6]. Both %TWBL and %EWL were able to meet the requirement after undergoing ESG as mentioned above. Meanwhile, the severe adverse event rate was only 1% which showed that ESG was an efficient and safe procedure for treating obesity.

To elucidate the efficacy and safety between different approaches for weight loss, Novikov et al. [21] made a



Fig. 4 A Forest plot of the rate of abdominal pain and nausea. B Forest plot of the rate of severe adverse events

comparison among ESG, laparoscopic sleeve gastrectomy (LSG), and laparoscopic band (LAGB). LSG achieved the greatest %TBWL compared to LAGB and ESG (29.28% vs 13.3% vs 17.5%, respectively, p < 0.001). However, ESG had the lowest rate of morbidity compared to LSG and LAGB (2.2% vs 9.17% vs 8.97%, respectively, p = 0.01). Moreover, the length of stay was also shortest in the ESG group. A case-matched study by Fayad et al. [23] validated that LSG could achieve a higher %TBWL compared to ESG $(23.6 \pm 7.6\% \text{ vs } 17.1 \pm 6.5\%, p < 0.01)$, whereas the ESG group not only had lower rates of adverse events compared with the LSG group (5.2% vs 16.9%, p < 0.05) but also a lower rate of gastroesophageal reflux disease (1.9% vs 14.5%, p < 0.05). In addition, ESG could achieve comparable %TBWL for patients with a BMI $< 40 \text{ kg/m}^2$ who are mostly ineligible for LSG [21, 23].

In fact, the anatomic change of the stomach is reversible after ESG because the fundus of the stomach and the vessel along the greater gastric curvature are still intact. Therefore, it is feasible to perform a reversal or redo of ESG with the indication of severe abdominal pain and weight regain [22]. Moreover, it is even possible for patients who had poor weight loss defined as %TBWL < 5% to convert to conventional sleeve gastrectomy [22]. Theoretically, it is also applicable for patients who fail ESG to convert to Roux-en-Y gastric bypass surgery, for the lesser curvature and the cardia of the stomach are anatomically preserved after ESG [18]. On the other hand, postprocedure medication usage is also different between ESG and LSG. Only omeprazole is needed for a few weeks after ESG. However, lifelong vitamin supplementation is needed after LSG [23]. Based on all these features, it manifests that ESG is a more flexible technique to provide an effective alternative to the patients who are ineligible or do not wish to perform surgery. However, it is not intended to replace conventional bariatric surgery [18].

Until now, there is still no direct comparison between ESG and IGB in terms of efficacy. Some systematic reviews and meta-analyses revealed that the %TBWL and %EWL were 12% and 25.4% at 6 months, respectively [9, 26–28]. Furthermore, IGB could cause a high rate of GERD (18.3%) and intolerance (10%) requiring removal of the device [27, 29]. In addition, IGB requires two or more endoscopy sessions for placement, adjustment, and removal, whereas ESG only requires a single session to perform the procedure [18]. Additionally, more serious adverse events could be caused by IGB such as gastric ulcers, balloon deflation, device migration, and gastric perforation [24]. In fact, Sartoretto et al. [24] have reported that ESG could treat the patients who failed previous IGB. More studies are needed to verify the sequence of EBTs in the personalized medicine era.

This is the first meta-analysis comprehensively analyzing the available data on the ESG for the treatment of patients suffering from obesity, and the results suggest that ESG is both safe and effective. It seems that ESG has more momentum for promising widespread usage. However, several limitations of this meta-analysis should be considered. First, both the number of the studies and the number of cases in the studies were relatively small, which might make the results less reliable. Second, the heterogeneity of some pooled estimates is significant, and we speculated that it perhaps came from the different sizes of the included studies. Therefore, further analyses should be performed if individual patient data are available. Third, no RCTs were available for this study. Most of the studies in this current analysis were single-arm observational studies which are potentially inherent with selection bias and information bias and are less convincing. However, these limitations are inherent limitations to many new and emerging interventional techniques, and we tried our best to avoid any confounding effects from duplicate published data. Only the most informative studies in each follow-up session were included for analysis.

In conclusion, ESG is a minimally invasive, effective, and safe technique for weight loss intervention. Future studies are needed not only to compare ESG with other EBTs in efficacy and safety but also to compare combination effects with other EBTs.

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Compliance with ethical standards

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